

NP

The two major causes for exponential running time are

- (a) the intrinsic difficulty of the problem
- (b) the output is exponential w.r.t. input.

We are primarily interested in (a).

P: Class of problems that can be solved in Poly-time.

NP: class of problems that can be solved in Poly-time by a non-deterministic Turing machine.

The solution <sub>size</sub> is bounded by a polynomial of input.

∴ It can be verified in P.

Polynomial time reducibility: We say that a problem A is polynomial time reducible to another problem B, i.e.  $A \leq_P B$

if  $\exists$  an algorithm that maps an instance I of A to an instance  $f(I)$  of B such that I is a Yes (No) instance of A if  $f(I)$  is a Yes (No) instance of B.

Observe that  $|f(I)|$  cannot be exponential w.r.t.  $|I|$ .

NP-Hard: NP-Hard problems are the hardest problems ~~in NP~~ w.r.t. NP.

⇒ Solving a NP-Hard problem yields solution to ~~the rest of~~ the problems in NP. However, these problems  $\notin$  NP.

Ex:  $K^{\text{th}}$  Largest Subset problem:

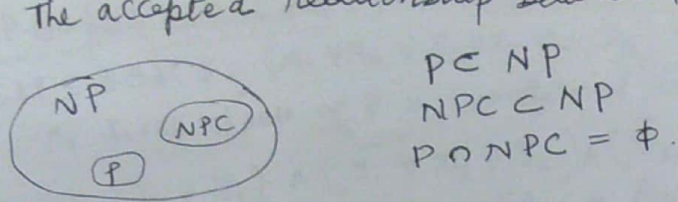
Instance: A finite set A,  $\forall a \in A$  a size  $s(a) \in \mathbb{Z}^+$  and two integers  $\geq 0$ ,  $B \leq \sum_{a \in A} s(a)$  and  $K \leq 2^{|A|}$ .

Question: Do K distinct subsets of A  $\exists$  s.t. for such a subset  $A' \sum_{a \in A'} s(a) \leq B$ .

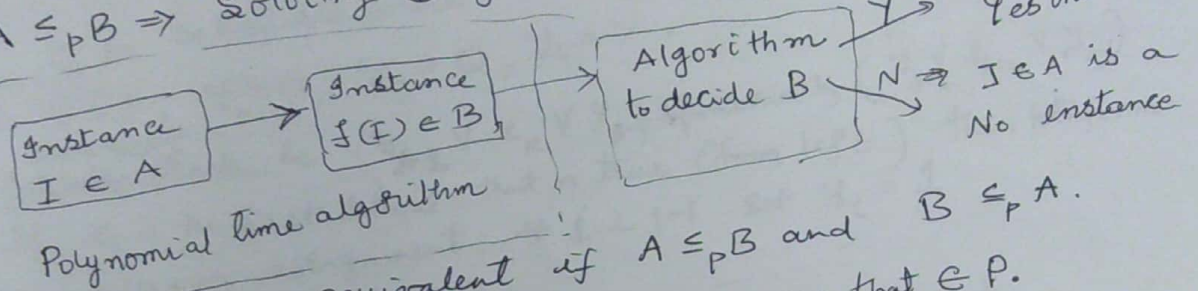
The NP-Complete problem PARTITION  $\leq_P K^{\text{th}}$  Largest Subset. However, the solution set of  $K^{\text{th}}$  Largest Subset is not bounded by a polynomial of input size  $\Rightarrow K^{\text{th}}$  Largest Subset  $\notin$  NP.

Partition: Instance: A finite set A and a size  $s(a) \in \mathbb{Z}^+ \forall a \in A$ .  
Question:  $\exists A' \subseteq A$  s.t.  $\sum_{a \in A'} s(a) = \sum_{a \in A - A'} s(a)$



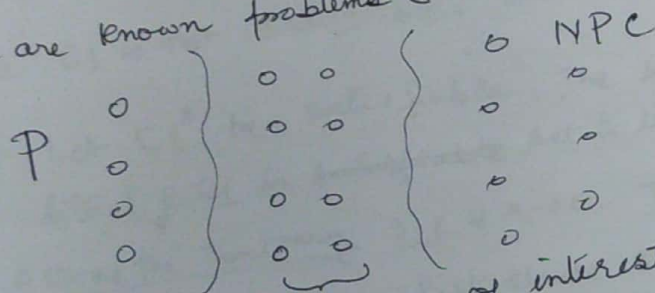


\*  $A \leq_p B \Rightarrow$  Solving B yields solution to A.



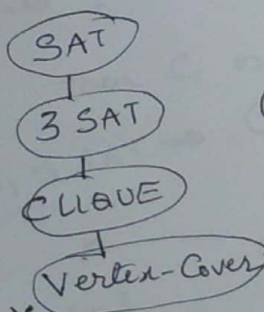
\* A and B are equivalent if  $A \leq_p B$  and  $B \leq_p A$ .

There are known problems  $\in NPC$  and likewise that  $\in P$ .



Problems of interest that do not have polynomial algorithms and they are not shown to be in NPC.

The chain of reductions of NPComplete problems.



Reductions are transitive.  
 $(SAT \leq_p 3SAT) \wedge (3SAT \leq_p CLIQUE) \Rightarrow SAT \leq_p CLIQUE$

NP-Complete: A problem X is NPcomplete if any  $Y \in NP$  reduces to X i.e.  $\forall Y \in NP$   
 $Y \leq_p X$ .



# NP-Complete reductions.

①  $SAT \leq 3 SAT$ .  $f = (x_1 \vee x_2 \vee x_3 \vee x_4 \vee \neg x_5) \wedge (x_2 \vee \bar{x}_3 \vee x_4 \vee \bar{x}_5) \wedge$   
 The first clause of  $F$  is transformed into  $c_1 \in F$  is now  $c_1^* \in F^* =$   
 $(x_1 \vee x_2 \vee y_1) \wedge (\bar{y}_1 \vee x_3 \vee y_2) \wedge (\bar{y}_2 \vee x_4 \vee y_3) \wedge (\bar{y}_3 \vee x_5 \vee y_4)$   
 If  $F$  has 'n' literals then  $F^*$  has  $2n$  literals.

Let  $C_1$  be satisfiable where  $x_3 = 1$  and  $x_2 = x_4 = x_5 = 0$ .  
 $\Rightarrow y_1 = 1, y_2 = 0, y_3 = 0, y_4 = x$ .  
 Let a SAT clause be  $(\bar{y}_j \vee x_k \vee y_{j-1})$  preceded by  $(\bar{y}_{j-2} \vee x_{k-1} \vee y_{j-1})$

If  $x_k$  is the first literal that is true (from left) then perform the following assignment:  $\forall i \leq j-1$  set  $y_i = 1$   
 $\forall i \geq j+1$  set  $y_i = 0$ .

Now  $C_1$  is not satisfiable. Set  $y_1 = 0$ .  $\forall i \geq 1$   $y_i = x$ .

Let  $C_1^*$  be satisfiable. Then we will show that  $\geq 1$  literal  $\in C_1$  is satisfiable set to true.

Assume the contrary  $\forall i \neq x_i = 0$ . Then  $y_1 = 1$   
 $y_2 = 1$   $\therefore$  The last clause will be  $(\bar{y}_p \vee x_p \vee x_{p-1})$   
 where  $y_p = 1, x_p = x_{p-1} = 0$ .  $\therefore$  It evaluates to zero  
 $(\leftrightarrow) \therefore \geq 1$  literals must be true.

$\Leftarrow C_1^*$  is not satisfiable. Then  $C_1$  is also not satisfiable  
 By contraposition  $C_1$  is SAT  $\Rightarrow C_1^*$  is SAT.

No. of literals	4	5	6	...	K	$x_i$
No. of $y_i$	1	2	3	...	K-3	$\bar{y}_{i-2}$
$y_i \neq \bar{y}_i$					$\frac{2(K-3)}{2}$	$y_{i-1}$
						$\wedge (\bar{y}_{i-2} \vee x_i \vee y_{i-1})$

Reduction is clearly polynomial in fact  $O(n)$  time.  $I \rightarrow f(I)$ .