

# Midterm 1

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## 1 Quantum mechanics for many-particle systems

Note: Link to codes and the diagrams are placed at the end of the document.

a)

We start with the helium atom and define our single particle Hilbert space to consist of the single-particle orbits  $1s$ ,  $2s$  and  $3s$ , with their corresponding spin degeneracies.

Ansatz for the ground state  $|c\rangle = |\Phi_0\rangle$  in second quantization:

$$|c\rangle = a_{1s,\uparrow}^\dagger a_{1s,\downarrow}^\dagger |0\rangle$$

| $n$ | $m_s$          |              |
|-----|----------------|--------------|
| 1   | $+\frac{1}{2}$ | $\uparrow$   |
| 1   | $-\frac{1}{2}$ | $\downarrow$ |
| 2   | $+\frac{1}{2}$ | $\uparrow$   |
| 2   | $-\frac{1}{2}$ | $\downarrow$ |
| 3   | $+\frac{1}{2}$ | $\uparrow$   |
| 3   | $-\frac{1}{2}$ | $\downarrow$ |

Now we need to construct all possible one-particle-one-hole excitations from the ground state,  $|\Phi_i^a\rangle$ .  $i$  are levels below the Fermi level and  $a$  refers to particle states.

$$\begin{aligned} |\Phi_{1s\sigma}^{2s\sigma}\rangle &= a_{2s\sigma}^\dagger a_{1s\sigma} |\Phi_0\rangle \\ |\Phi_{1s\sigma}^{3s\sigma}\rangle &= a_{3s\sigma}^\dagger a_{1s\sigma} |\Phi_0\rangle \end{aligned}$$

Where  $\sigma$  refers to the spin of the electron  $\sigma \in \{\uparrow, \downarrow\} = \{+\frac{1}{2}, -\frac{1}{2}\}$ .  
And for the two-particle-two-hole excitations,  $|\Phi_{ij}^{ab}\rangle$ :

$$\begin{aligned} |\Phi_{1s\sigma 1s-\sigma}^{2s\sigma 2s-\sigma}\rangle &= a_{2s\sigma}^\dagger a_{2s-\sigma}^\dagger a_{1s\sigma} a_{1s-\sigma} |\Phi_0\rangle \\ |\Phi_{1s\sigma 1s-\sigma}^{3s\sigma 3s-\sigma}\rangle &= a_{3s\sigma}^\dagger a_{3s-\sigma}^\dagger a_{1s\sigma} a_{1s-\sigma} |\Phi_0\rangle \end{aligned}$$

With the same values for  $\sigma$  as above, and keeping the Pauli principle in mind.

b)

The general form of the second-quantized Hamiltonian for a system with two-body interactions is:

$$\hat{H} = \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle a_{\alpha}^{\dagger} a_{\beta} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \frac{1}{r} | \gamma\delta \rangle_{AS} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta}$$

Applying the Hamiltonian to the ground state  $|\Phi_0\rangle$ :

$$E[\Phi_0] = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle$$

$$E[\Phi_0] = \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle \Phi_0 | a_{\alpha}^{\dagger} a_{\beta} | \Phi_0 \rangle + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \frac{1}{r} | \gamma\delta \rangle_{AS} \langle \Phi_0 | a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta} | \Phi_0 \rangle$$

We can take the one body term first:

$$\begin{aligned} \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle \Phi_0 | a_{\alpha}^{\dagger} a_{\beta} | \Phi_0 \rangle &= \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle c | a_{\alpha}^{\dagger} a_{\beta} | 0 \rangle \\ &= \sum_{ij} \langle i | \hat{h}_0 | j \rangle \delta_{ij} = \sum_i \langle i | \hat{h}_0 | i \rangle \end{aligned}$$

Which we got from contracting the creation and annihilation operators.  
For the two body term:

$$\begin{aligned} \langle \Phi_0 | \hat{H}_I | \Phi_0 \rangle &= \frac{1}{4} \sum_{ij} \langle \alpha\beta | V | \gamma\delta \rangle_{AS} \langle c | a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta} | c \rangle \\ &= \frac{1}{4} \sum_{ij} \langle \alpha\beta | V | \gamma\delta \rangle_{AS} \langle 0 | a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger} | 0 \rangle \end{aligned}$$

Now we can take a look at the contractions:

$$\begin{aligned} \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\beta} \delta_{i\alpha}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma j} \delta_{\delta i}} | 0 \rangle &= \delta_{j\beta} \delta_{i\alpha} \delta_{\gamma j} \delta_{\delta i} \\ \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\beta} \delta_{i\alpha}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma i} \delta_{\delta j}} | 0 \rangle &= -\delta_{j\beta} \delta_{i\alpha} \delta_{\gamma i} \delta_{\delta j} \\ \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\alpha} \delta_{i\beta}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma i} \delta_{\delta j}} | 0 \rangle &= \delta_{j\alpha} \delta_{i\beta} \delta_{\gamma i} \delta_{\delta j} \\ \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\alpha} \delta_{i\beta}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma i} \delta_{\delta j}} | 0 \rangle &= -\delta_{j\alpha} \delta_{i\beta} \delta_{\gamma i} \delta_{\delta j} \end{aligned}$$

In chronological order, we get the terms:

$$\langle ij | \hat{V} | ij \rangle_{AS}, -\langle ij | \hat{V} | ji \rangle_{AS}, \langle ji | \hat{V} | ji \rangle_{AS}, -\langle ji | \hat{V} | ij \rangle_{AS}$$

And since  $\langle ij | \hat{V} | ij \rangle = -\langle ji | \hat{V} | ji \rangle$ , we can simplify the expression to:

$$\langle c | \hat{H}_I | c \rangle = \frac{1}{2} \sum_{ij} \langle ij | V | ij \rangle_{AS} = \frac{1}{2} \sum_{ij} \langle ij | \frac{1}{r_{ij}} | ij \rangle - \langle ij | \frac{1}{r_{ij}} | ji \rangle$$

And so the full energy of the system is:

$$E[\Phi_0] = \sum_i \langle i | \hat{h}_0 | i \rangle + \frac{1}{2} \sum_{ij} [\langle ij | V | ij \rangle - \langle ij | V | ji \rangle]$$

The energy of from the one-body term is:

$$\langle \Phi_0 | \hat{H}_0 | \Phi_0 \rangle = \sum_i \langle i | \hat{h}_0 | i \rangle = 2 \left( -\frac{Z^2}{2} \right) = -Z^2$$

The energy from the two-body term is:

$$\langle \Phi_0 | \hat{H}_I | \Phi_0 \rangle = \frac{1}{2} \sum_{ij} [\langle ij | V | ij \rangle - \langle ij | V | ji \rangle] = 2 * \frac{1}{2} * \frac{5Z}{8} = \frac{5Z}{8}$$

The helium atom has  $Z = 2$ , and so the total energy of the system is:

$$E[\Phi_0] = -4 + \frac{5}{4} = -\frac{11}{4} = 74.8eV$$

c)

For this exercise, we are going to explore the one-particle-one-hole excitations  $\langle c | \hat{H} | \Phi_i^a \rangle$  and  $\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle$ .

For the more complicated systems, we can split the Hamiltonian:

$$\hat{H} = \mathcal{E}_0^{ref} + \hat{F}_N + \hat{V}_N$$

Where  $\mathcal{E}_0^{ref}$  is the reference energy, or the ground state energy of the system,  $\hat{F}_N$  is the normal-ordered one-body part of the Hamiltonian and  $\hat{V}_N$  is the normal-ordered two-body part of the Hamiltonian.

$$\hat{F}_N = \sum_{pq} \langle p | \hat{f} | q \rangle a_p^\dagger a_q, \quad \langle p | \hat{f} | q \rangle = \langle p | \hat{h}_0 | q \rangle \sum_i \langle pi | \hat{V} | qi \rangle_{AS}$$

$$\hat{V}_N = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle a_p^\dagger a_q^\dagger a_s a_r$$

Now we can start with  $\langle c | \hat{H} | \Phi_i^a \rangle$ :

$$\langle c | \mathcal{E}_0^{ref} | \Phi_i^a \rangle = 0$$

$$\langle c | \hat{F}_N | \Phi_i^a \rangle = \sum_{pq} \langle p | \hat{f} | q \rangle \langle c | a_p^\dagger a_q | \Phi_i^a \rangle$$

Here we can take a look at the contractions:

$$\langle c | a_p^\dagger a_q a_a^\dagger a_i | c \rangle$$

$$\langle 0 | \overline{a_p a_q a_a^\dagger a_i^\dagger} | 0 \rangle = \delta_{pi} \delta_{qa}$$

Then we get:

$$\langle c | \hat{F}_N | \Phi_i^a \rangle = \langle i | \hat{f} | a \rangle = \langle i | \hat{h}_0 | a \rangle + \sum_j \langle ij | \hat{V} | aj \rangle$$

For the two-body term:

$$\langle c | \hat{V}_N | \Phi_i^a \rangle = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle \langle c | a_p^\dagger a_q^\dagger a_s a_r | \Phi_i^a \rangle = 0$$



d)

The ansatz for the ground state for the beryllium atom is:

$$|c\rangle = a_{1s,\uparrow}^\dagger a_{1s,\downarrow}^\dagger a_{2s,\uparrow}^\dagger a_{2s,\downarrow}^\dagger |0\rangle$$

The energy i calculated numerically came down to:  $-13.716$  in atomic units, or  $-373.23$  eV. Which is more accurate than what i got for the helium atom.

e)

We aim to minimize the totalen ergy of the system with respect t the coefficients  $C_{p\alpha}$ , while ensuring that the Hartree Fock orbitals remain orthonormal.

The energy functional is:

$$E[C_{p\alpha}] = \sum_{\alpha\beta} C_{p\alpha}^* \langle \alpha | h | \beta \rangle C_{p\beta} + \frac{1}{2} \sum_{\alpha\beta\gamma\delta} C_{p\alpha}^* C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta}$$

Where  $\langle \alpha | h | \beta \rangle$  represents the one-body Hamiltonian matrix elements and  $\langle \alpha\beta | V | \gamma\delta \rangle_{AS}$  represents the two-body interaction matrix elements.

We will proceed by minimizing  $E[C_{p\alpha}]$  with respect to the coefficients  $C_{p\alpha}$ , while keeping the orbitals orthonormal.

$$\frac{\partial}{\partial C_{p\alpha}^*} \left( E - \sum_p \epsilon_p \left( \sum_{\alpha} C_{p\alpha}^* C_{p\alpha} - 1 \right) \right) = 0$$

The term  $\sum_p \epsilon_p (\sum_{\alpha} C_{p\alpha}^* C_{p\alpha} - 1)$  is the constraint that the orbitals are orthonormal, where  $\epsilon_p$  is the Lagrange multiplier.

We can now take the derivative and start with the one-body term:

$$\frac{\partial}{\partial C_{p\alpha}^*} \sum_{\alpha\gamma} C_{p\alpha}^* h_{\alpha\gamma} C_{p\gamma} = h_{\alpha\gamma} C_{p\gamma}$$

For the two-body term:

$$\begin{aligned} \frac{\partial}{\partial C_{p\alpha}^*} \left( \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \sum_{pq} C_{p\alpha}^* C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta} \right) \\ = \sum_{\alpha\beta\gamma\delta} \sum_q C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta} \end{aligned}$$

The orthonormality constraint:

$$\frac{\partial}{\partial C_{p\alpha}^*} \sum_p \epsilon_p \left( \sum_{\alpha} C_{p\alpha}^* C_{p\alpha} - 1 \right) = \epsilon_p C_{p\alpha}$$

Combining the three terms:

$$\sum_{\gamma} h_{\alpha\gamma} C_{p\gamma} + \sum_q \sum_{\beta\gamma\delta} C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta} = \epsilon_p C_{p\alpha}$$

We can now define the Hartree Fock matrix elements:

$$h_{\alpha\gamma}^{HF} = \langle \alpha | h | \gamma \rangle + \sum_q \sum_{\beta\delta} C_{q\beta}^* C_{q\delta} \langle \alpha\beta | V | \gamma\delta \rangle_{AS}$$

And the Hartree Fock equation:

$$h_{\alpha\gamma}^{HF} C_{p\gamma} = \epsilon_p C_{p\alpha}$$

And lastly, in the second quantized form, we can define the Hartree Fock operator  $\hat{F}$ :

$$\hat{F} = \sum_{\alpha\gamma} h_{\alpha\gamma}^{HF} a_{\alpha}^{\dagger} a_{\gamma}$$

f)

| Atom      | Single particle energy | New energy |
|-----------|------------------------|------------|
| helium    | -0.7832                | -2.8991    |
| beryllium | -3.9506                | -14.4998   |

Table 1: Hartree Fock energies for the beryllium atom

For the helium atom, the new energy was slightly impro using the hartree fck method, with -2.8991 slighlty more accurate than the previous  $-2.75$  atomic units. For beryllium, the new energy  $-14.4998$  is also more accurate than the previous  $-13.716$  atomic units.

g)

The diagonalization with the new Hartree-Fock potential yields new eigenvectors and eigenvalues. This process is continued till for example

$$\frac{\sum_p \epsilon_i - \epsilon_{i+n}}{m} < \lambda$$

Where  $\lambda$  is some number smaller than a given tolerance (1e-8).

For helium:

After 15 iterations I obtained  $-2.831$  in atomic units

For beryllium:

After 16 iterations I obtained  $-14.508$  in atomic units

These results were obtained with a tolerance of 1e-12.

For the helium atom we found the best results when diagonalising the hamiltonian directly, but when moving on to just slightly heavier atoms (beryllium) we saw that the iterative scheme payed off.

## Diagrams and github link)

<https://github.com/hishemok/FYS4480/tree/main/Documents/GitHub/Fys4480/Midterm1>