

Midterm 1

Hishem Kløvnes

October 20, 2024

1 Quantum mechanics for many-particle systems

a)

We start with the helium atom and define our single particle Hilbert space to consist of the single-particle orbits $1s$, $2s$ and $3s$, with their corresponding spin degeneracies.

Ansatz for the ground state $|c\rangle = |\Phi_0\rangle$ in second quantization:

$$|c\rangle = a_{1s,\uparrow}^\dagger a_{1s,\downarrow}^\dagger |0\rangle$$

n	m_s	
1	$+\frac{1}{2}$	\uparrow
1	$-\frac{1}{2}$	\downarrow
2	$+\frac{1}{2}$	\uparrow
2	$-\frac{1}{2}$	\downarrow
3	$+\frac{1}{2}$	\uparrow
3	$-\frac{1}{2}$	\downarrow

Now we need to construct all possible one-particle-one-hole excitations from the ground state, $|\Phi_i^a\rangle$. i are levels below the Fermi level and a refers to particle states.

$$|\Phi_{1s\sigma}^{2s\sigma}\rangle = a_{2s\sigma}^\dagger a_{1s\sigma} |\Phi_0\rangle$$

$$|\Phi_{1s\sigma}^{3s\sigma}\rangle = a_{3s\sigma}^\dagger a_{1s\sigma} |\Phi_0\rangle$$

Where σ refers to the spin of the electron $\sigma \in \{\uparrow, \downarrow\} = \{+\frac{1}{2}, -\frac{1}{2}\}$.

And for the two-particle-two-hole excitations, $|\Phi_{ij}^{ab}\rangle$:

$$|\Phi_{1s\sigma 1s-\sigma}^{2s\sigma 2s-\sigma}\rangle = a_{2s\sigma}^\dagger a_{2s-\sigma}^\dagger a_{1s\sigma} a_{1s-\sigma} |\Phi_0\rangle$$

$$|\Phi_{1s\sigma 1s-\sigma}^{3s\sigma 3s-\sigma}\rangle = a_{3s\sigma}^\dagger a_{3s-\sigma}^\dagger a_{1s\sigma} a_{1s-\sigma} |\Phi_0\rangle$$

With the same values for σ as above, and keeping the Pauli principle in mind.

b)

The general form of the second-quantized Hamiltonian for a system with two-body interactions is:

$$\hat{H} = \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle a_{\alpha}^{\dagger} a_{\beta} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \frac{1}{r} | \gamma\delta \rangle_{AS} a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta}$$

Applying the Hamiltonian to the ground state $|\Phi_0\rangle$:

$$E[\Phi_0] = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle$$

$$E[\Phi_0] = \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle \Phi_0 | a_{\alpha}^{\dagger} a_{\beta} | \Phi_0 \rangle + \frac{1}{4} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta | \frac{1}{r} | \gamma\delta \rangle_{AS} \langle \Phi_0 | a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta} | \Phi_0 \rangle$$

We can take the one body term first:

$$\begin{aligned} \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle \Phi_0 | a_{\alpha}^{\dagger} a_{\beta} | \Phi_0 \rangle &= \sum_{\alpha\beta} \langle \alpha | \hat{h}_0 | \beta \rangle \langle c | a_{\alpha}^{\dagger} a_{\beta} | 0 \rangle \\ &= \sum_{ij} \langle i | \hat{h}_0 | j \rangle \delta_{ij} = \sum_i \langle i | \hat{h}_0 | i \rangle \end{aligned}$$

Which we got from contracting the creation and annihilation operators.
For the two body term:

$$\begin{aligned} \langle \Phi_0 | \hat{H}_I | \Phi_0 \rangle &= \frac{1}{4} \sum_{ij} \langle \alpha\beta | V | \gamma\delta \rangle_{AS} \langle c | a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta} | c \rangle \\ &= \frac{1}{4} \sum_{ij} \langle \alpha\beta | V | \gamma\delta \rangle_{AS} \langle 0 | a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger} a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger} | 0 \rangle \end{aligned}$$

Now we can take a look at the contractions:

$$\begin{aligned} \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\beta} \delta_{i\alpha}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma j} \delta_{\delta i}} | 0 \rangle &= \delta_{j\beta} \delta_{i\alpha} \delta_{\gamma j} \delta_{\delta i} \\ \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\beta} \delta_{i\alpha}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma i} \delta_{\delta j}} | 0 \rangle &= -\delta_{j\beta} \delta_{i\alpha} \delta_{\gamma i} \delta_{\delta j} \\ \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\alpha} \delta_{i\beta}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma i} \delta_{\delta j}} | 0 \rangle &= \delta_{j\alpha} \delta_{i\beta} \delta_{\gamma i} \delta_{\delta j} \\ \langle 0 | \overbrace{a_j a_i a_{\alpha}^{\dagger} a_{\beta}^{\dagger}}^{\delta_{j\alpha} \delta_{i\beta}} \overbrace{a_{\gamma} a_{\delta} a_i^{\dagger} a_j^{\dagger}}^{\delta_{\gamma i} \delta_{\delta j}} | 0 \rangle &= -\delta_{j\alpha} \delta_{i\beta} \delta_{\gamma i} \delta_{\delta j} \end{aligned}$$

In chronological order, we get the terms:

$$\langle ij | \hat{V} | ij \rangle_{AS}, -\langle ij | \hat{V} | ji \rangle_{AS}, \langle ji | \hat{V} | ji \rangle_{AS}, -\langle ji | \hat{V} | ij \rangle_{AS}$$

And since $\langle ij | \hat{V} | ij \rangle = -\langle ji | \hat{V} | ji \rangle$, we can simplify the expression to:

$$\langle c | \hat{H}_I | c \rangle = \frac{1}{2} \sum_{ij} \langle ij | V | ij \rangle_{AS} = \frac{1}{2} \sum_{ij} \langle ij | \frac{1}{r_{ij}} | ij \rangle - \langle ij | \frac{1}{r_{ij}} | ji \rangle$$

And so the full energy of the system is:

$$E[\Phi_0] = \sum_i \langle i | \hat{h}_0 | i \rangle + \frac{1}{2} \sum_{ij} [\langle ij | V | ij \rangle - \langle ij | V | ji \rangle]$$

The energy of from the one-body term is:

$$\langle \Phi_0 | \hat{H}_0 | \Phi_0 \rangle = \sum_i \langle i | \hat{h}_0 | i \rangle = 2 \left(-\frac{Z^2}{2} \right) = -Z^2$$

The energy from the two-body term is:

$$\langle \Phi_0 | \hat{H}_I | \Phi_0 \rangle = \frac{1}{2} \sum_{ij} [\langle ij | V | ij \rangle - \langle ij | V | ji \rangle] = 2 * \frac{1}{2} * \frac{5Z}{8} = \frac{5Z}{8}$$

c)

For this exercise, we are going to explore the one-particle-one-hole excitations $\langle c | \hat{H} | \Phi_i^a \rangle$ and $\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle$.

For the more complicated systems, we can split the Hamiltonian:

$$\hat{H} = \mathcal{E}_0^{ref} + \hat{F}_N + \hat{V}_N$$

Where \mathcal{E}_0^{ref} is the reference energy, or the ground state energy of the system, \hat{F}_N is the normal-ordered one-body part of the Hamiltonian and \hat{V}_N is the normal-ordered two-body part of the Hamiltonian.

$$\hat{F}_N = \sum_{pq} \langle p | \hat{f} | q \rangle a_p^\dagger a_q, \quad \langle p | \hat{f} | q \rangle = \langle p | \hat{h}_0 | q \rangle \sum_i \langle pi | \hat{V} | qi \rangle_{AS}$$

$$\hat{V}_N = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle a_p^\dagger a_q^\dagger a_s a_r$$

Now we can start with $\langle c | \hat{H} | \Phi_i^a \rangle$:

$$\langle c | \mathcal{E}_0^{ref} | \Phi_i^a \rangle = 0$$

$$\langle c | \hat{F}_N | \Phi_i^a \rangle = \sum_{pq} \langle p | \hat{f} | q \rangle \langle c | a_p^\dagger a_q | \Phi_i^a \rangle$$

Here we can take a look at the contractions:

$$\begin{aligned} \langle c | a_p^\dagger a_q a_a^\dagger a_i | c \rangle \\ \langle 0 | \overline{a_p a_q a_a^\dagger a_i^\dagger} | 0 \rangle = \delta_{pi} \delta_{qa} \end{aligned}$$

Then we get:

$$\langle c | \hat{F}_N | \Phi_i^a \rangle = \langle i | \hat{f} | a \rangle = \langle i | \hat{h}_0 | a \rangle + \sum_j \langle ij | \hat{V} | aj \rangle$$

For the two-body term:

$$\langle c | \hat{V}_N | \Phi_i^a \rangle = \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle \langle c | a_p^\dagger a_q^\dagger a_s a_r | \Phi_i^a \rangle = 0$$

This is because to perform contractions, we would have to contract within the normal ordered operator, which would result in zero.

Therefor, the total expression for $\langle c | \hat{H} | \Phi_i^a \rangle$ is:

$$\langle c | \hat{H} | \Phi_i^a \rangle = \langle i | \hat{h}_0 | a \rangle + \sum_j \langle ij | \hat{V} | aj \rangle$$

Now we can move on to $\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle$:

$$\begin{aligned}\langle \Phi_i^a | \mathcal{E}_0^{ref} | \Phi_j^b \rangle &= \mathcal{E}_0^{ref} \delta_{ij} \delta_{ab} \\ \langle \Phi_i^a | \hat{F}_N | \Phi_j^b \rangle &= \sum_{pq} \langle p | \hat{f} | q \rangle \langle \Phi_i^a | a_p^\dagger a_q | \Phi_j^b \rangle \\ \langle \Phi_i^a | a_p^\dagger a_q | \Phi_j^b \rangle &= \langle c | a_i^\dagger a_a a_p^\dagger a_q a_b^\dagger a_j | c \rangle \\ \langle c | \overline{a_i^\dagger a_a a_p^\dagger a_q a_b^\dagger a_j} | c \rangle &= \delta_{ij} \delta_{ap} \delta_{qb} \\ \langle c | \overline{a_i^\dagger a_a a_p^\dagger a_q a_b^\dagger a_j} | c \rangle &= -\delta_{iq} \delta_{ab} \delta_{pj}\end{aligned}$$

Then we get:

$$\langle \Phi_i^a | \hat{F}_N | \Phi_j^b \rangle = \langle a | \hat{f} | b \rangle \delta_{ij} - \langle j | \hat{f} | i \rangle \delta_{ab}$$

And lastly for the two-body term:

$$\begin{aligned}\langle \Phi_i^a | \hat{V}_N | \Phi_j^b \rangle &= \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle \langle \Phi_i^a | a_p^\dagger a_q^\dagger a_s a_r | \Phi_j^b \rangle \\ \langle \Phi_i^a | a_p^\dagger a_q^\dagger a_s a_r | \Phi_j^b \rangle &= \langle c | a_i^\dagger a_a a_p^\dagger a_q^\dagger a_s a_r a_b^\dagger a_j | c \rangle\end{aligned}$$

Now we can take a look at the contractions again:

$$\begin{aligned}\langle c | \overline{a_i^\dagger a_a a_p^\dagger a_q^\dagger a_s a_r a_b^\dagger a_j} | c \rangle &= -\delta_{ap} \delta_{is} \delta_{qj} \delta_{br} \\ \langle c | \overline{a_i^\dagger a_a a_p^\dagger a_q^\dagger a_s a_r a_b^\dagger a_j} | c \rangle &= \delta_{ap} \delta_{ir} \delta_{qj} \delta_{bs} \\ \langle c | \overline{a_i^\dagger a_a a_p^\dagger a_q^\dagger a_s a_r a_b^\dagger a_j} | c \rangle &= \delta_{is} \delta_{aq} \delta_{pj} \delta_{br} \\ \langle c | \overline{a_i^\dagger a_a a_p^\dagger a_q^\dagger a_s a_r a_b^\dagger a_j} | c \rangle &= -\delta_{ir} \delta_{aq} \delta_{pj} \delta_{bs}\end{aligned}$$

From this we get:

$$\begin{aligned}\langle \Phi_i^a | \hat{V}_N | \Phi_j^b \rangle &= \frac{1}{4} \sum_{pqrs} \langle pq | \hat{v} | rs \rangle [-\delta_{ap} \delta_{is} \delta_{qj} \delta_{br} + \delta_{ap} \delta_{ir} \delta_{qj} \delta_{bs} + \delta_{is} \delta_{aq} \delta_{pj} \delta_{br} - \delta_{ir} \delta_{aq} \delta_{pj} \delta_{bs}] \\ &= \langle aj | \hat{V} | ib \rangle_{AS}\end{aligned}$$

And so the total expression for $\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle$ is:

$$\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle = \mathcal{E}_0^{ref} \delta_{ij} \delta_{ab} + \langle a | \hat{f} | b \rangle \delta_{ij} - \langle j | \hat{f} | i \rangle \delta_{ab} + \langle aj | \hat{V} | ib \rangle_{AS}$$

d)

The ansatz for the ground state for the beryllium atom is:

$$|c\rangle = a_{1s,\uparrow}^\dagger a_{1s,\downarrow}^\dagger a_{2s,\uparrow}^\dagger a_{2s,\downarrow}^\dagger |0\rangle$$

e)

We aim to minimize the total energy of the system with respect to the coefficients $C_{p\alpha}$, while ensuring that the Hartree Fock orbitals remain orthonormal.

The energy functional is:

$$E[C_{p\alpha}] = \sum_{\alpha\beta} C_{p\alpha}^* \langle \alpha | h | \beta \rangle C_{p\beta} + \frac{1}{2} \sum_{\alpha\beta\gamma\delta} C_{p\alpha}^* C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta}$$

Where $\langle \alpha | h | \beta \rangle$ represents the one-body Hamiltonian matrix elements and $\langle \alpha\beta | V | \gamma\delta \rangle_{AS}$ represents the two-body interaction matrix elements.

We will proceed by minimizing $E[C_{p\alpha}]$ with respect to the coefficients $C_{p\alpha}$, while keeping the orbitals orthonormal.

$$\frac{\partial}{\partial C_{p\alpha}^*} \left(E - \sum_p \epsilon_p \left(\sum_{\alpha} C_{p\alpha}^* C_{p\alpha} - 1 \right) \right) = 0$$

The term $\sum_p \epsilon_p (\sum_{\alpha} C_{p\alpha}^* C_{p\alpha} - 1)$ is the constraint that the orbitals are orthonormal, where ϵ_p is the Lagrange multiplier.

We can now take the derivative and start with the one-body term:

$$\frac{\partial}{\partial C_{p\alpha}^*} \sum_{\alpha\gamma} C_{p\alpha}^* h_{\alpha\gamma} C_{p\gamma} = h_{\alpha\gamma} C_{p\gamma}$$

For the two-body term:

$$\begin{aligned} \frac{\partial}{\partial C_{p\alpha}^*} \left(\frac{1}{2} \sum_{\alpha\beta\gamma\delta} \sum_{pq} C_{p\alpha}^* C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta} \right) \\ = \sum_{\alpha\beta\gamma\delta} \sum_q C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta} \end{aligned}$$

The orthonormality constraint:

$$\frac{\partial}{\partial C_{p\alpha}^*} \sum_p \epsilon_p \left(\sum_{\alpha} C_{p\alpha}^* C_{p\alpha} - 1 \right) = \epsilon_p C_{p\alpha}$$

Combining the three terms:

$$\sum_{\gamma} h_{\alpha\gamma} C_{p\gamma} + \sum_q \sum_{\beta\delta} C_{q\beta}^* \langle \alpha\beta | V | \gamma\delta \rangle_{AS} C_{q\gamma} C_{p\delta} = \epsilon_p C_{p\alpha}$$

We can now define the Hartree Fock matrix elements:

$$h_{\alpha\gamma}^{HF} = \langle \alpha | h | \gamma \rangle + \sum_q \sum_{\beta\delta} C_{q\beta}^* C_{q\delta} \langle \alpha\beta | V | \gamma\delta \rangle_{AS}$$

And the Hartree Fock equation:

$$h_{\alpha\gamma}^{HF} C_{p\gamma} = \epsilon_p C_{p\alpha}$$

And lastly, in the second quantized form, we can define the Hartree Fock operator \hat{F} :

$$\hat{F} = \sum_{\alpha\gamma} h_{\alpha\gamma}^{HF} a_{\alpha}^{\dagger} a_{\gamma}$$

f)

g)