## 目录

书	一部	分 E	numeration						
-	Bas	ic Enu	meration: the twelvefold way						
	1.1	(1,1)(2	(2,2)(3,2)(4,2) are trivial						
	1.2	(1,2) lo	ower factorial						
	1.3	(2,3) k	-composition						
	1.4	(2,1) n	nultiset						
	1.5	(3,3)(1	,3)(3,1) Stirling number of the second kind						
	1.6	(4,3)(4	4,1) partion of a number						
	gen	generating functions							
	2.1	genera	tingfunctionology						
	2.2	proper	ties						
		2.2.1	manipulation						
		2.2.2	expanding						
	2.3	applica	ation: Catelan number						
		2.3.1	examples						
		2.3.2	recursive form						
		2.3.3	closed form						
	Pri	Principle of Inclusion-Exclusion (PIE)							
	3.1	princip	ble						
	3.2	applica	ation						
		3.2.1	The number of derangements						
		3.2.2	Permutations with restricted positions						
		3.2.3	Problème des ménages						
	Pól	Pólya's theory of counting							
今	二部	分 E	xistence						
	Exis	stence	by counting						
	The Pigeonhole Principle								
	6.1	Inevita	able divisors						
	6.2	Monot	onic subsequences						
	6.3		let's approximation						

7	The	probabilistic method	<b>10</b>				
	7.1	principles and paradigms	10				
		7.1.1 lower bound of Ramsey number $R(k,k)$	10				
		7.1.2 Hamiltonian paths on tournament	10				
		7.1.3 lower bound of independent sets	10				
		7.1.4 Coloring large girth graph	11				
	7.2	Lovász Local Lemma	11				
第	三部	分 Extremal Combinatorics	11				
8	Ext	remal graph theory	11				
	8.1	Mantel's Theorem	11				
	8.2	Forbidden Cliques: Turán's Theorem	12				
	8.3	Forbidden cycles	14				
	8.4	the fundamental theorem of extremal graph theory: The ErdsStone theorem	14				
9	Ext	Extremal set theory					
	9.1	Sunflower Lemma	14				
	9.2	The ErdsKoRado Theorem	15				
	9.3	antichain	15				
10	Ma	tching Theory	<b>15</b>				
	10.1	Hall's Theorem	15				
	10.2	König-Egerváry theorem	16				
	10.3	Dilworth's Theorem	16				

## 第一部分 Enumeration

## 1 Basic Enumeration: the twelvefold way

balls per bin:	unrestricted	≤ 1	≥ 1
n distinct balls, m distinct bins	$m^n$	$(m)_n$	$m! {n \brace m}$
n identical balls, m distinct bins	$\binom{m}{n}$	$\binom{m}{n}$	$\binom{n-1}{m-1}$
n distinct balls, m identical bins	$\sum_{k=1}^{m} \begin{Bmatrix} n \\ k \end{Bmatrix}$	$\begin{cases} 1 & \text{if } n \le m \\ 0 & \text{if } n > m \end{cases}$	$\binom{n}{m}$
n identical balls, m identical bins	$\sum_{k=1}^{m} p_k(n)$	$\begin{cases} 1 & \text{if } n \le m \\ 0 & \text{if } n > m \end{cases}$	$p_m(n)$

columns are also expressed as: arbitrary, injection(one-to-one), surjetion(onto).

scenario for row 2: m 个海盗分赃 n 个金币

scenario for row 3: n 个海盗坐上 m 个快艇

scenario for row 4: n 个金币装上 m 个快艇

## 1.1 (1,1)(2,2)(3,2)(4,2) are trivial

(recall) Binomial Therom: (x is used as a formal variable)

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$$

Multinomial Therom:

$$(x_1 + x_2 + \dots + x_k)^n = \sum_{m_1 + \dots + m_k = n} {n \choose m_1, \dots, m_k} x_1^{m_1} x_2^{m_2} \cdots x_k^{m_k}$$

multinomial coefficient: assign n distinct balls to k distinct bins with the i-th bin receiving  $m_i$  balls

$$\binom{n}{m_1, \dots, m_k} = \frac{n!}{m_1! m_2! \cdots m_k!}$$

## 1.2 (1,2) lower factorial

n-permutation  $\pi \in [m]^n$  of distinct elements:  $(m)_n = m(m-1)\cdots(m-n+1) = \frac{m!}{(m-n)!}$ 

## 1.3 (2,3) k-composition

The solutions to  $x_1 + x_2 + \cdots + x_k = n$  in positive integers (k-composition of n):  $\binom{n-1}{k-1}$ . 证明用插板法

The solutions to  $x_1 + x_2 + \cdots + x_k = n$  in nonnegative integers (weak k-composition of n):  $\binom{n+k-1}{k-1}$ . 证明: 先给每个区补一个 1

The solutions to  $x_1 + x_2 + \cdots + x_k \leq n$  in nonnegative integers:  $\binom{n+k}{k}$ . 证明: 补上一个  $x_{k+1} = n - \sum_{i=1}^k x_i \geq 0$  转化成为 weak k-composition

## 1.4 (2,1) multiset

 $\binom{n}{k}$  is the number of k-combinations of an n-set with repetitions.  $\binom{n}{k} = \binom{n+k-1}{k}$  证明: k-multiset on  $S = \{x_1, x_2, \dots, x_n\}, m(x_1) + m(x_2) + \dots + m(x_n) = k \quad m(x_i) \geq 0$ 

## 1.5 (3,3)(1,3)(3,1) Stirling number of the second kind

 ${n \brace k}$  the number of k-partitions of an n-set. It's hard to give a determinant. recursive form:  ${n \brack k} = k {n-1 \brack k} + {n-1 \brack k-1}$  证明: 分 k 份,第 n 个插入任意一份 + 第 n 个单独一份 Bell number:  $B_n = \sum_{k=1}^n {n \brack k}$  the total number of partitions of an n-set.

By PIE, we can obtain:  $\binom{n}{m} = \frac{1}{m!} \sum_{k=1}^{m} (-1)^{m-k} \binom{m}{k} k^n$  证明: 把坏事件  $A_i$  定义为 f :  $[n] \to [m] \setminus \{i\}, \quad i \in [m]$ 

## $1.6 \quad (4,3)(4,1)$ partion of a number

 $p_k(n)$  number of partitions of n into k parts.  $\begin{cases} x_1+x_2+\cdots+x_k=n \\ x_1\geq x_2\geq \cdots \geq x_k\geq 1 \end{cases}$  recursive form:  $p_k(n)=p_{k-1}(n-1)+p_k(n-k)$  证明:  $x_k=1$  和  $x_k>1$   $p_k(n)\sim \frac{n^{k-1}}{k!(k-1)!},\ p_k(n)=\sum_{i=1}^k p_i(n-k)$ 

## 2 generating functions

应对的问题:根据递归式求闭合式。核心:利用了形式化变量 x 作为桥梁。

## 2.1 generatingfunctionology

- 1. Recurrence: 根据问题定义写出递归式  $\alpha_n = c_1 \alpha_{n-1} + c_2 \alpha_{n-2} + \cdots$
- 2. Manipulation: 把  $G(x) = \sum_{n>0} \alpha_n x^n$  带入递归式,并整理成 G(x) = f(x)G(x) + g(x) 的形式

3. Solving(expanding): 把 G(x)=g(x)/(1-f(x)) 右边展开成  $G(x)=\sum_{n\geq 0}\beta(n)x^n$  的形式, $\beta(n)$  即是  $\alpha_n$  的闭合式

## 2.2 properties

#### 2.2.1 manipulation

$$G(x) = \sum_{n\geq 0} g_n x^n$$
,  $F(x) = \sum_{n\geq 0} f_n x^n$ 

shift: 
$$x^k G(x) = \sum_{n>k>0} g_{n-k} x^n$$

addition: 
$$F(x) + G(x) = \sum_{n \ge 0} (f_n + g_n) x^n$$

convolution:  $F(x)G(x) = \sum_{n\geq 0} \sum_{k=0}^{n} f_k g_{n-k} x^n$  (shift is a special case of convolution)

differentiation:  $G'(x) = \sum_{n\geq 0} (n+1)g_{n+1}x^n$ 

从左到右很自然, 然而应用的重点在于从右凑到左。

## 2.2.2 expanding

Taylor's expansion:

$$G(x) = \sum_{n>0} \frac{G^{(n)}(0)}{n!} x^n$$

Geometric sequence:

$$\frac{a}{1 - bx} = a \sum_{n > 0} (bx)^n$$

Newton's formula: (其中  $\alpha$  可以是分数、负数)

$$(1+x)^{\alpha} = \sum_{n\geq 0} {\alpha \choose n} x^n$$
$${\alpha \choose n} = \frac{\alpha(\alpha-1)(\alpha-2)\cdots(\alpha-n+1)}{n!}$$

## 2.3 application: Catelan number

#### 2.3.1 examples

(Although the following are all equivalent, most of these examples are hard to be converted to another...give up trying and have a good day  $\hat{}$ 

- the number of expressions containing n pairs of parentheses which are correctly matched;
- $\bullet$  the number of different ways n + 1 factors can be completely parenthesized
- the number of full binary trees with n + 1 leaves

- the number of monotonic paths along the edges of a grid with n Œ n square cells, which do not pass above the diagonal
- $\bullet$  the number of stack-sortable permutations of  $\{1, ..., n\}$

• ...

#### 2.3.2 recursive form

 $C_0 = 1$ , for  $n \ge 1$ ,

$$C_n = \sum_{k=0}^{n-1} C_k C_{n-1-k}$$

It can be directly derived from the "full binary trees with n + 1 leaves" example by recursively removing the root.

#### 2.3.3 closed form

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

which can be proved by generating function method.

## 3 Principle of Inclusion-Exclusion (PIE)

## 3.1 principle

Let  $A_1, A_2, \ldots, A_n$  be a family of subsets of U. Then the number of elements of U which lie in none of the subsets  $A_i$  is

$$\sum_{I \subseteq \{1,...,n\}} (-1)^{|I|} |A_I|, \quad A_I = \bigcap_{i \in I} A_i$$

with the convention that  $A_{\emptyset} = U$ .

定义出坏事件  $A_i$ ,即可计算出坏事件一个都不发生的种类数。通常相同数量坏事件的交集大小 $|A_I|$  也相同,使得上式容易计算。

## 3.2 application

## 3.2.1 The number of derangements

The number of derangements  $\approx \frac{n!}{e}$  证明: 坏事件  $A_i$  为 the set of permutations with fixed point i

### 3.2.2 Permutations with restricted positions



(Interpreted in chess game)

B: a set of marked positions in an  $[n] \times [n]$  chess board.

 $N_0$ : the number of ways of placing n non-attacking rooks on the chess board such that none of these rooks lie in B.

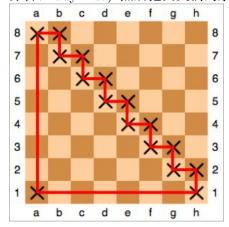
 $r_k$ : number of ways of placing k non-attacking rooks on B

$$N_0 = \sum_{k=0}^{n} (-1)^k r_k (n-k)!$$

## 3.2.3 Problème des ménages

圆桌上坐 n 对夫妻, 男女交替坐, 夫妻不能挨着坐, 有几种排座方法?

分析: lady first, 然后把丈夫们的禁忌位置画在棋盘上, 计算出  $r_k$  就完事了。



Lemma 1: The number of ways of choosing k non-consecutive objects from a collection of m objects arranged in a line is  $\binom{m-k+1}{k}$ . 证明: 先取 k 个出来插到 m-k+1 个缝隙中

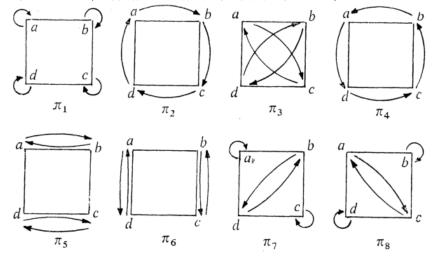
Lemma 2: The number of ways of choosing k non-consecutive objects from a collection of m objects arranged in a circle is  $\frac{m}{m-k}\binom{m-k}{k}$ . 证明:双重计数,A:选出环上 k 个不相邻的涂成红色,剩下挑出一个涂成蓝色;B: 挑一个涂成蓝色,拔下来,环就变成线了,根据 Lemma 1 从剩下的 m-1 个中选 k 个涂红色

$$r_k = \frac{2n}{2n-k} \binom{2n-k}{k}$$

## 4 Pólya's theory of counting

应对的问题:存在由对称形成的等价类的情况下应该如何计数?

可爱的栗子: (给含有如下对称的正方形顶点涂黑白色)



Pólya 三部曲:

## • 定义对称构成的群 G

可以写出所有群元素 (如栗子里那样),也根据生成元来定义。如转动  $r(i) = (i+1) \mod n$ ,反 转  $\rho(i) = n - i - 1$  等等。注意一个生成元可以生成不止一个群元素。接下来求出 |G| ,栗子里 |G| = 8

## • 写出 cycle index $P_G$

什么是 cycle? 对于排列 (变换)  $\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 5 & 1 & 2 & 4 \end{pmatrix}$ , 经过 cycle decomposition 可以得到两个 cycle: (13)(254)。栗子里箭头形成的环就是 cycle。

通过形式化生成元 x 写出 cycle 的 structure representation  $x_{\text{cycle } \text{ h} \land \text{kg}}^{\text{cycle } \text{ h} \land \text{b}}$ 。栗子里的八个 structure representation 就是  $x_1^4, x_4^1, x_2^2, x_4^1, x_2^2, x_2^2, x_1^2 x_2^1, x_1^2 x_2^1$ 。

$$P_G = \frac{1}{|G|} \sum$$
 (cycle structure representation)。栗子里  $P_G = \frac{1}{8}(x_1^4 + 2x_4^1 + 3x_2^2 + 2x_1^2x_2^1)$ 

## • 得到 pattern inventory

把  $x_i = \sum_{c \in colors} c^i$  带入  $P_G$ ,展开以后的多项式系数就是想要的结果。比如栗子里是涂成黑白两色,那么  $P_G = \frac{1}{8}((b+w)^4 + 2(b^4+w^4) + 3(b^2+w^2)^2 + 2(b+w)^2(b^2+w^2)) = b^4 + b^3w + 2b^2w^2 + bw^3 + w^4$ ,涂两黑两白有两种涂法,其余都只有一种。

## 第二部分 Existence

## 5 Existence by counting

Shannon's circuit lower bound: 在 n 个输入下  $\frac{2^n}{3n}$  个逻辑门能组合出的不同的电路数小于总的布尔函数数量  $2^n$ , 因此存在一个布尔函数由这么多个门实现不了。

handshaking lemma:  $\sum_{v \in V} d(v) = 2|E|$ 

Sperner's lemma: 思想: 和是偶数,则存在偶数个奇数

## 6 The Pigeonhole Principle

定义萝卜和坑,如果萝卜比坑多,

- 1. 存在一个坑至少俩萝卜;
- 2. 一个萝卜一个坑, 存在一个萝卜在给定的坑外

## 6.1 Inevitable divisors

For any subset  $S \subseteq \{1, 2, ..., 2n\}$  of size |S| > n, there are two numbers  $a, b \in S$  such that a|b. 萝卜: 每个数

坑:  $C_m = \{2^k m \mid k \geq 0, 2^k m \leq 2n\}$ , m 为奇数,显然落在同一个坑里的数能整除,对 2n 里的 n 个奇数就有 n 个不同的坑。

如果选出来萝卜数 |S| > n,那么存在俩萝卜落在一个坑里。

## 6.2 Monotonic subsequences

A sequence of more than mn different real numbers must contain either an increasing subsequence of length m + 1, or a decreasing subsequence of length n + 1.

intuition: the length of both the longest increasing subsequence and the longest decreasing subsequence cannot be small simultaneously.

萝卜:  $(a_1, a_2, \ldots, a_N)$  the original sequence of N > mn distinct real numbers

 $x_i$ : the length of the longest increasing subsequence ending at  $a_i$ ;

 $y_i$ : the length of the longest decreasing subsequence starting at  $a_i$ .

坑:  $(x_i, y_i)$ 

证明 "一个萝卜一个坑":  $(x_i, y_i) \neq (x_j, y_j)$  whenever  $i \neq j$ 

N > mn, 说明存在一个萝卜在坑外

## 6.3 Dirichlet's approximation

Let x be an irrational number. For any natural number n, there is a rational number  $\frac{p}{q}$  such that  $1 \le q \le n$  and  $\left| x - \frac{p}{q} \right| < \frac{1}{nq}$ .

Let $\{x\} = x - \lfloor x \rfloor \in [0, 1)$  denote the fractional part of the real number x.

萝卜: n+1 个数  $\{kx\}$ ,  $k=1,2,\ldots,n+1$ 

坑: n 个区间  $(0, \frac{1}{n}), (\frac{1}{n}, \frac{2}{n}), \dots, (\frac{n-1}{n}, 1)$ , x is irrational, so  $\{kx\}$  cannot coincide with any endpoint of the above intervals

there exist  $1 \le a < b \le n+1$ , such that  $|\{bx\} - \{ax\}| < \frac{1}{n}$ . Therefore  $|(b-a)x - (\lfloor bx \rfloor - \lfloor ax \rfloor)| \le \frac{1}{n}$ . Let q = b - a and  $p = \lfloor bx \rfloor - \lfloor ax \rfloor$ . We have  $|qx - p| < \frac{1}{n}$  and  $1 \le q \le n$ .

## 7 The probabilistic method

## 7.1 principles and paradigms

## 7.1.1 lower bound of Ramsey number R(k, k)

Ramsey number R(k, l): smallest integer n that in any 2-coloring  $K_n$  by red and blue, there is a red  $K_k$  or a blue  $K_l$ 

If  $\binom{n}{k} \cdot 2^{1-\binom{k}{2}} < 1$  then it is possible to color the edges of  $K_n$  with two colors so that there is no monochromatic  $K_k$  subgraph.

概率定义: uniform random coloring of edges in  $K_n$ 

坏事件: 出现单色  $K_k$  子图

 $\Pr($ 出现某一个坏事件 $) = 2 \cdot 2^{-\binom{k}{2}}$ 

by union bound,  $\Pr(至少一个坏事件发生) \leq \binom{n}{k} \cdot \Pr(出现某一个坏事件) = \binom{n}{k} \cdot 2^{1-\binom{k}{2}} < 1$  因此存在一种涂色使得坏事件一个都不发生。

#### 7.1.2 Hamiltonian paths on tournament

There is a tournament on n players with at least  $n!2^{-(n-1)}$ Hamiltonian paths.

概率定义: uniform random tournament

指示变量  $X_{\pi}$ : 顺着某个排列  $\pi$  存在一条哈密尔顿回路

 $E(X_{\pi}) = 2^{-(n-1)}, X = \sum X_{\pi}, E[X] = n! 2^{-(n-1)}$  (by linearity of expectation)

因此存在一个竞赛图有不少于期望的哈密尔顿回路。

#### 7.1.3 lower bound of independent sets

Let G(V, E) be a graph on n vertices with m edges. Then G has an independent set with at least  $\frac{n^2}{4m}$  vertices.

S: sample vertices with probability p. 指示变量  $X_v$ : 点 v 是否被选进 S

$$E(|S|) = E(X) = E(\sum_{v \in V} X_v) = np$$

坏事件  $Y_{uv}$ : 一条边的两个顶点 u 和 v 都被选进了 S.  $E(Y_{uv}) = p^2$ ,  $E(Y) = \sum E(Y_{uv}) = mp^2$ 

S 里有一条边就删掉一个点,剩下的肯定是独立集, $E(X-Y)=np-mp^2\geq \frac{n^2}{4m}$ 

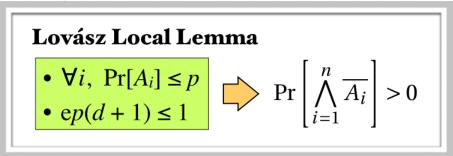
因此存在一个独立集包含比期望多的点

### 7.1.4 Coloring large girth graph

skipped.

#### 7.2 Lovász Local Lemma

events:  $A_1, A_2, \ldots, A_n$  d: maximum degree of the dependency graph



解读:每个坏事件概率不太大,并且坏事件不太相关,那么它们可能一个都不发生

• another lower bound of Ramsey number R(k, k)

 $R(k,k) \ge Ck2^{k/2}$  for some constant C>0.

概率定义: uniform random coloring of edges in  $K_n$ 

坏事件  $A_s$ : S forms a monochromatic  $K_k$ .  $\Pr[A_S] = 2^{1-\binom{k}{2}} = p$ 

分析相关性:  $A_s$  and  $A_T$  are dependent  $\Leftrightarrow |S \cap T| \geq 2$ .  $d \leq {k \choose 2} {n \choose k-2}$ 

 $n = Ck2^{k/2}, ep(d+1) \le 1$ 

#### 第三部分 **Extremal Combinatorics**

#### 8 Extremal graph theory

#### Mantel's Theorem 8.1

If G(V, E) has |V| = n and is triangle-free, then  $|E| \leq \frac{n^2}{4}$ . Extremal graph: complete balanced bipartite graph  $K_{\frac{n}{2},\frac{n}{2}}$ 

• 证明 1: induction + pigeonhole principle

equivalent form:  $\operatorname{Any} G(V,E)$  with |V|=n and  $|E|>\frac{n^2}{4}$  must have a triangle. basis:  $n\leq 3$ , trivial. induction: 选出中间有边的两个点,剩下 n-2 个点要么已经有三角形,要么 必然和这俩形成三角形

• 证明 2: Cauchy-Schwarz inequality

intuition: For any edge  $uv \in E$ , no vertex can be a neighbor of both u and v  $\rightarrow$  for any edge  $uv \in E$ ,  $d_u + d_v \le n$ .  $\rightarrow \sum_{uv \in E} (d_u + d_v) \le n|E|$ .  $n|E| \ge \sum_{uv \in E} (d_u + d_v) = \sum_{v \in V} d_v^2 (d_v$ 被加了 $d_v$ 次),

$$\geq \frac{\left(\sum_{v \in V} d_v\right)^2}{n} (\text{Cauchy-Schwarz:} \left|\sum_{i=1}^n x_i \bar{y}_i\right|^2 \leq \sum_{j=1}^n |x_j|^2 \sum_{k=1}^n |y_k|^2.)$$

$$= \frac{4|E|^2}{n} (\text{Euler's equality:} \ \sum_{v \in V} d_v = 2|E|)$$

• 证明 3: inequality of the arithmetic and geometric mean

Let A be the largest independent set in G and let  $\alpha = |A|$ , and take  $B = V \setminus A$  and let  $\beta = |B|$  lemma 1: triangle-free  $\Rightarrow$ all v's neighbors form an independent set  $\Rightarrow d(v) \leq \alpha$ 

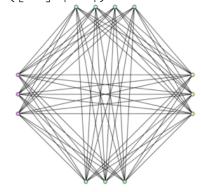
lemma 2: A's an independent set  $\Rightarrow$  all edges have at least one endpoint in  $B \Rightarrow |E| \leq \sum_{v \in B} d_v$  by the inequality of the arithmetic and geometric mean,  $E \leq \sum_{v \in B} d_v \leq \alpha \beta \leq (\frac{\alpha + \beta}{2})^2 = \frac{n^2}{4}$ 

## 8.2 Forbidden Cliques: Turán's Theorem

(generalization of the Mantel's Theorem, if triangle is seen as 3-clique)

Let G(V, E) be a graph with |V| = n. If G has no r-clique,  $r \ge 2$ , then  $|E| \le \frac{r-2}{2(r-1)}n^2$ .

Extremal graph: Turán graph T(n,r-1), a complete multipartite graph  $K_{n_1,n_2,\dots,n_{r-1}}$  with  $n_i \in \left\{ \left| \frac{n}{r-1} \right|, \left\lceil \frac{n}{r-1} \right\rceil \right\}$  for every i



## • 证明 1: induction

intuition: If the number of edges is maximized, G has (r-1)-cliques.

A: a (r-1)-clique.  $B=V\setminus A$ . obviously |A|=r-1, |B|=n-r+1

$$|E| = |E(A)| + |E(B)| + |E(A, B)|$$

$$|E(A)| = \binom{r-1}{2}$$

 $|E(B)| \leq \frac{r-2}{2(r-1)}(n-r-1)|$  (induction hypothesis)

 $|E(A,B)| \le (|A|-1)|B| = (r-2)(n-r+1)$  (avoid r-cliques while maximizing the number of edges)

$$|E| \le \frac{r-2}{2(r-1)}n^2.$$

• 证明 2: weight shifting

给每个顶点一个非负的归一化权重  $w_v \geq 0, \sum_{v \in V} w_v = 1$ 

给图打个分:  $S = \sum_{uv \in E} w_u w_v$ 

不管边的关系直接平均分配权重  $w_v = \frac{1}{n}$ , 得分  $S = \sum_{uv \in E} w_u w_v = \frac{|E|}{n^2}$ .

这个得分不一定是最大的,注意到得分也可以写成  $S = \frac{1}{2} \sum_{u \in V} w_u W_u$ , $W_u$  为 u 邻居的总权重,那么对于两个不相邻的点,把权重从 W 小的完全转移到 W 大的得分就会提高,W 相等转移完了得

分也不变,所以最终权重会富集到一个 clique 上,由于 G 最多只有 (r-1)clique,因此最高可能的得分  $S \leq \binom{r-1}{2} \frac{1}{(r-1)^2} = \frac{r-2}{2(r-1)}$ . 因此  $\frac{|E|}{n^2} \leq \frac{r-2}{2(r-1)}$ 

• 证明 3: the probabilistic method + Cauchy-Schwarz inequality

lemma:  $\omega(G) \geq \sum_{v \in V} \frac{1}{n-d_v}$ .  $(\omega(G))$ : the number of vertices in a largest clique

概率定义: random permutation of vertices in V

构建 clique S: 从随机排列中一个个抽取,如果能和 S 中已有的点组成 clique 那么就放进 S

指示变量  $X_v$ : 被选进 S 为 1, 否则为 0

 $E[X_v] = \Pr[v \in S] \ge \frac{1}{n-d_v}$  如果点排在所有非邻居之前,那么肯定会被选进 S

 $E[|S|] = \sum_{v \in V} E[X_v] \ge \sum_{v \in V} \frac{1}{n-d_v}$ . 那么最大的  $|S| = \omega(G)$  肯定不小于期望,引理得证。

by Cauchy-Schwarz inequality:

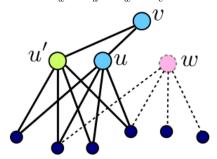
$$n^2 = \left(\sum_{v \in V} \sqrt{n - d_v} \cdot \frac{1}{\sqrt{n - d_v}}\right)^2 \le \sum_{v \in V} (n - d_v) \sum_{v \in V} \frac{1}{n - d_v} \le \omega(G) \sum_{v \in V} (n - d_v) \le (r - 1)(n^2 - 2|E|)$$

• 证明 4: vertex duplication

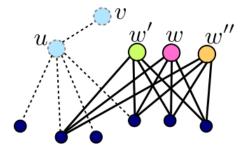
lemma: If G is  $K_r$ -free with maximum edges,



Case I.  $d_w < d_u$  or  $d_w < d_v$ . Without loss of generality, suppose that d(w) < d(u)



duplicate u, delete w, still  $K_r$ -free,  $|E'| = |E| + d_u - d_w > |E|$ Case.II  $d_w \ge d_u \wedge d_w \ge d_v$ 



delete u,v, duplicate w twice, still  $K_r$ -free,  $|E'| = |E| + 2d_w - (d_u + d_v - 1) > |E|$  the lemma is proved.

 $u \sim v$  形成了等价关系 (传递性 + 自反性 + 对称性,传递性可以通过引理说明: u,w 之间没边, w,v 之间没边, u,v 之间肯定就没边),等价关系就可以把 V 划分成为若干个等价类,满足类内不相邻,类外都相邻。因此当边最多的时候,必然形成 Turán graph。

## 8.3 Forbidden cycles

(generalization of the Mantel's Theorem, if triangle is seen as 3-cycle)

Let G(V, E) be a graph on n vertices. If  $girthg(G) \ge 5$  then  $|E| \le \frac{1}{2}n\sqrt{n-1}$ .

即既没有三角形也没有四边形。

选取一个中心点 u,  $v_1, v_2, \ldots, v_d$  是 u 的邻居,  $S_1, S_2, \ldots, S_d$  是邻居的邻居的集合 (不包括 u)

没有三角形  $\Rightarrow v_1, v_2, \dots, v_d$  彼此不是邻居  $\Leftrightarrow S_i \cap \{u, v_1, v_2, \dots, v_d\} = \emptyset$ 

没有四边形  $\Rightarrow v_1, v_2, \dots, v_d$  没有共同的邻居  $\Leftrightarrow S_i \cap S_j = \emptyset$ 

即上面提到的东西都没有重叠,由  $\{u, v_1, v_2, \dots, v_d\} \cup S_1 \cup S_2 \cup \dots \cup S_d \subseteq V$  可以得到

$$(d+1) + |S_1| + |S_2| + \dots + |S_d| = (d+1) + (d(v_1) - 1) + (d(v_2) - 1) + \dots + (d(v_d) - 1) \le n \implies \sum_{v: v \sim u} d(v) = (d(v))^2 \le n - 1$$

by Cauchy-Schwarz inequality:

$$n(n-1) \ge \sum_{v \in V} d(v)^2 \ge \frac{\left(\sum_{v \in V} d(v)\right)}{n} = \frac{4|E|^2}{n}$$

# 8.4 the fundamental theorem of extremal graph theory: The ErdsStone theorem

$$ex(n, K_s^r) = \left(\frac{r-2}{2(r-1)} + o(1)\right)n^2$$

corollary:

$$\lim_{n \to \infty} \frac{\operatorname{ex}(n, H)}{\binom{n}{2}} = \frac{\chi(H) - 2}{\chi(H) - 1}$$

ex(n, H): the largest number of edges that a graph  $G \not\supseteq H$  on n vertices can have

 $K_s^r$ : the complete r-partite graph with s vertices in each class

 $\chi(G)$ : the chromatic number of G, the smallest number of colors that one can use to color the vertices so that no adjacent vertices have the same color.

## 9 Extremal set theory

#### 9.1 Sunflower Lemma

A set family  $\mathcal{F} \subseteq 2^X$  is a sunflower of size r with a core  $C \subseteq X$  if  $\forall S, T \in \mathcal{F}$  that  $S \neq T, S \cap T = C$ . (注意核心也可以是空集)

Sunflower Lemma: Let  $\mathcal{F} \subseteq {X \choose k}$ . If  $|\mathcal{F}| > k!(r-1)^k$ , then  $\mathcal{F}$  contains a sunflower of size r.

注意到这个界和 X 无关, 即 k 元集多到一定程度的时候, 必然包含一定规模的太阳花。

证明: induction on k,核心技巧:把k元集降成k-1元集

选出 set family  $\mathcal{G}\subseteq\mathcal{F}$ ,包含最多的 disjoint set。如果  $|\mathcal{G}|\geq r$ ,那么已经组成了空核心的 r-太阳花。

如果  $|\mathcal{G}| \leq r$ , 把里面所有点组合成集合  $Y = \bigcup_{S \in \mathcal{G}} S$ , 用反证法容易得到 Y 和  $\mathcal{F}$  中所有集合相交。

我们想在 Y 中找到一个比较"流行"的点  $y^*$ ,包含它的集合数不比平均水平差,根据 Fpigeonhole principle 我们知道肯定存在这样的一个点。

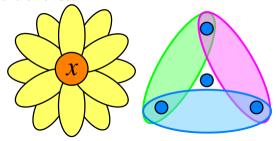
平均水平:  $\frac{|\mathcal{F}|}{|Y|} > \frac{k!(r-1)^k}{k(r-1)} = (k-1)!(r-1)^{k-1}$  (分子是前提条件,分母可以根据 Y 的定义得到) 把包含这个点的集合都去掉这个点,得到包含 k-1 元集的 set family  $\mathcal{H} = \{S \setminus \{y^*\} \mid S \in \mathcal{F} \wedge y^* \in S\}$ ,集合数量不低于平均水平。

平均水平正好长得跟归纳假设一样,所以根据归纳假设, $\mathcal{H}$  中包含一个 r-太阳花。把公共点  $y^*$  加回去即可得到 k 元集中的 r-太阳花

## 9.2 The ErdsKoRado Theorem

Let  $\mathcal{F} \subseteq {X \choose k}$  where |X| = n and  $n \ge 2k$ . If  $\mathcal{F}$  is intersecting, then  $|\mathcal{F}| \le {n-1 \choose k-1}$ . (intersecting: for any  $S, T \in \mathcal{F}, S \cap T \ne \emptyset$ )

extremal set:



## 9.3 antichain

• Sperner's theorem

Let  $\mathcal{F} \subseteq 2^X$  where |X| = n. If  $\mathcal{F}$  is an antichain, then  $|\mathcal{F}| \le \binom{n}{\lfloor n/2 \rfloor}$ .

• The LYM inequality (stronger)

$$\sum_{S \in \mathcal{F}} \frac{1}{\binom{n}{|S|}} \le 1$$

## 10 Matching Theory

## 10.1 Hall's Theorem

A bipartite graph G(U, V, E) has a matching of U if and only if  $|N(S)| \ge |S|$  for all  $S \subseteq U$ . (N(S)): set of vertices that are adjacent to one of the vertices in S.)

## 10.2 König-Egerváry theorem

In a bipartite graph, the size of a maximum matching equals the size of a minimum vertex cover

matching:  $M \subseteq E$  , no  $e_1, e_2 \in M$  share a vertex

vertex cover:  $C \subseteq V$ , all  $e \in E$  adjacent to some  $v \in C$ 

matrix form: For any 0-1 matrix, the maximum number of independent 1's equals the minimum number of rows and columns required to cover all the 1's.

## 10.3 Dilworth's Theorem

Size of the largest antichain in the poset P = size of the smallest partition of P into chains.

poset: partially ordered set

chain: all pairs of elements in chain are comparable

antichain: all pairs of elements in antichain are incomparable.