# exercise\_3

November 14, 2017

# 1 DATA20001 Deep Learning - Exercise 3

# Due Tuesday November 21, before 12:00 PM (noon)

In this second computer exercise we are going to work with images and convolutional neural networks, or CNNs. The entire exercise will be done using Keras.

# 1.1 Exercise 3.1. A simple CNN (2 points)

We'll start by showing you step by step how to create a simple CNN in Keras. At some points you'll have to fill some code yourself. You can refer to the Keras documentation to find the right commands.

First, let's load all the needed libraries.

Using TensorFlow backend.

#### 1.1.1 Dataset

A key part of machine learning is always handling and preprocessing the dataset. In this exercise we've made your life easier by having already prepared a dataset and split it into training and testing parts.

Run the following command to download the dataset. The first time you run this it will take while as it's pulling the data down over the network.

```
In [2]: (x_train, y_train), (x_test, y_test) = exer3_dataset.load_data()
```

Let's see how the data is formatted by printing the dimensionalities of the variables (tensors).

Above you can see we have 60000 samples of 28x28 images in x\_train. The third dimension of the images is just 1 as there is just a single grayscale value. The test set is formatted in the same way, except we have just 10000 samples.

The class labels are stored in y\_train. Let's print the first 10 values just to see what they are...

```
In [4]: print(y_train[:10])
[9 0 0 3 0 2 7 2 5 5]
```

These are the correct classes for each image. These actually refer to different types of clothing. Let's define the mapping from class indices to human-understandable labels as a Python dictionary. We have 10 classes, i.e., 10 categories of images to classify.

So, according to this the first image is of class 9, which is an "Ankle boot". Let's look at the first image.

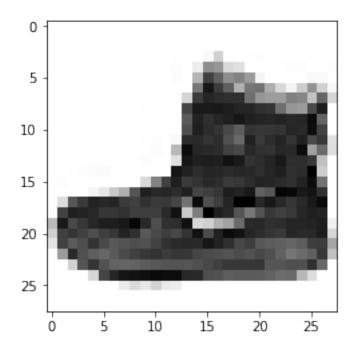
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                                        0]
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 188 154 191 210 204 209 222 228 225
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 168 219 221 215 217 223 223 224 229 29]
[ 75 204 212 204 193 205 211 225 216 185 197 206 198 213 240 195 227 245
 239 223 218 212 209 222 220 221 230 67]
[ 48 203 183 194 213 197 185 190 194 192 202 214 219 221 220 236 225 216
 199 206 186 181 177 172 181 205 206 115]
 [ 0 122 219 193 179 171 183 196 204 210 213 207 211 210 200 196 194 191
 195 191 198 192 176 156 167 177 210 92]
 [ 0 0 74 189 212 191 175 172 175 181 185 188 189 188 193 198 204 209
 210 210 211 188 188 194 192 216 170 0]
```

```
66 200 222 237 239 242 246 243 244 221 220 193 191 179
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```

That's pretty hard to decipher. Let's instead draw it as an image, interpreting each number as a grayscale value.

```
In [7]: plt.imshow(img0, cmap='Greys', interpolation='none')
```

Out[7]: <matplotlib.image.AxesImage at 0x7efd88556518>



I suppose that's an ankle boot...

Typically we use so called one-hot encoding for the class labels in neural networks. That is instead of having a single value which can have one of 10 label values (e.g. 0, ..., 9), we have 10 values which can each be 1 or 0 depending on if that class is present.

Then for the output we typically expect something that looks like a probability distribution over these 10 classes, i.e., each neuron has a value between 0 and 1 indicating the probability of that class being present. For example if the tenth (last) neuron is 0.8, then we have 80% probability of the image containing an ankle boot. (The sum over all classes should also be 1.0 in order for it be a probability distribution.)

Here we'll call a utility function to transform the class labels into a one-hot encoding format.

```
In [8]: print("Old format", y_train[:5])
       y_train_cat = np_utils.to_categorical(y_train, num_classes)
       y_test_cat = np_utils.to_categorical(y_test, num_classes)
       print("One-hot encoding\n", y_train_cat[:5,])
Old format [9 0 0 3 0]
One-hot encoding
 [[ 0. 0. 0. 0.
                   0.
                      0. 0. 0. 0. 1.]
 [1. 0. 0. 0. 0. 0. 0. 0. 0.
          0.
                  0.
                     0.
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          0.
              1.
                  0.
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                                 0. 0.]]
```

You can take a look at the output above. For example for the first image, which has label 9, the tenth value is 1, the rest are zero.

Let's display the first example image of each class just for fun.

```
In [9]: for l in range(10):
    idx = np.argwhere(y_train==1)[0]

plt.subplot(2, 5, 1+1)

img = x_train[idx,:,:].reshape(28,28)

plt.imshow(img, cmap='Greys', interpolation='none')
plt.title(labels[1])
plt.axis('off')

T-shirt/top Trouser Pullover Dress Coat

Sandal Shirt Sneaker Bag Ankle boot
```

Finally, we normalize the images to be in the range 0.0 to 1.0 instead of 0 to 255.

#### 1.1.2 Create the network

OK, let's create a simple CNN that learns to detect these classes.

Below you need to fill in the neural network layers, which are (in order):

- One 2D convolutional layer with kernel size 3x3 and 32 output filters/features
- ReLU activation
- Max pooling (2D) of size 2x2
- Fully-connected (dense) layer to 10 output units (for the 10 classes)
- Finally softmax activation to get a probability-like output.

**Hint:** For the first layer you'll need to specify the shape of the input tensor manually by giving this parameter: input\_shape=(28, 28, 1).

Before the dense layer we need a Flatten() layer. This is a special layer in Keras that transforms the 2D output into 1D. The 2D convolution works with neurons in 2D, but the dense layer works in 1D.

```
In [11]: # Initialize model
      model = Sequential()
       # Add layers here
      model.add(Conv2D(filters=32, kernel_size=(3, 3), input_shape=(28, 28, 1)))
      model.add(Activation("relu"))
      model.add(MaxPooling2D((2,2)))
      model.add(Flatten())
      model.add(Dense(units=10))
      model.add(Activation("softmax"))
       # Let's use categorical crossentry and sqd optmizer
       model.compile(loss='categorical_crossentropy',
                 optimizer='sgd',
                 metrics=['accuracy'])
      print(model.summary())
Layer (type)
                      Output Shape
                                          Param #
______
conv2d_1 (Conv2D)
                     (None, 26, 26, 32)
______
activation_1 (Activation) (None, 26, 26, 32) 0
max_pooling2d_1 (MaxPooling2 (None, 13, 13, 32)
-----
```

flatten\_1 (Flatten) (None, 5408)

## 1.1.3 Training

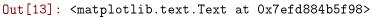
Now let's train it for 10 epochs. This takes roughly 5 minutes on a CPU.

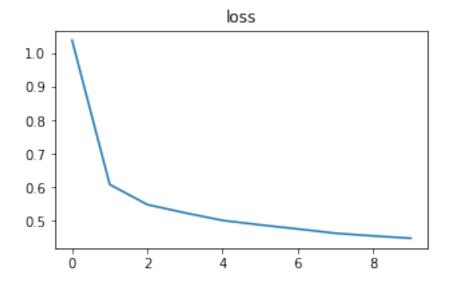
We use a batch size of 128, which means that the weight updates are calculated for 128 inputs at a time.

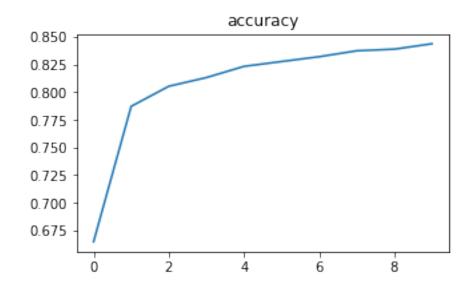
```
Epoch 1/10
Epoch 2/10
Epoch 3/10
60000/60000 [============= ] - 12s - loss: 0.5487 - acc: 0.8053
Epoch 4/10
60000/60000 [============= ] - 12s - loss: 0.5243 - acc: 0.8132
Epoch 5/10
Epoch 6/10
Epoch 7/10
Epoch 8/10
60000/60000 [============ ] - 12s - loss: 0.4634 - acc: 0.8374
Epoch 9/10
Epoch 10/10
```

```
CPU times: user 5min 55s, sys: 1min 21s, total: 7min 16s Wall time: 2min 6s
```

Let's plot how the loss and accuracy have changed over the training time.







#### 1.1.4 Inference

Next, let's how well the model can generalize to data it hasn't seen before, i.e., the test data. Recall from your basic machine learning that this is really the crucial part: it's trivial to learn to perfectly model the training set (you can just memorize each example), the hard part is to learn something general about the classes. So let's try to predict the labels of the test dataset, and compare to the correct labels.

You should get roughly 84% above if you have done exactly the same steps. The real result can vary a lot on the random initialisation as we run only 10 epochs here.

# 1.1.5 Visualise the weights

An interesting thing is to visualise the learned weights for the convolutional layer. We have 32 kernels of size 3x3, we can just plot them as images, mapping the weight values to grayscale.

```
In [15]: # Weights for the first convolutional layer
    w0=model.get_weights()[0][:,:,0,:]

# Normalize to range 0.0 - 1.0
    w0-=np.min(w0)
    w0/=np.max(w0)

for r in range(4):
    for c in range(8):
        n=r*8+c
        plt.subplot(4, 8, n+1)
        plt.imshow(w0[:,:,n], interpolation='none')
        plt.axis('off')
        plt.gray()
    plt.show()
```



They might be a bit hard to interpret, but it seems they have learned to detect various corners and edges.

# 1.2 Exercise 3.2. Make a better CNN (4 points)

Make a network that performs better than the very simple one above. For your convenience we have copied the essential code from the previous exercise to the cells below. If you just did the previous exercise you don't need to rerun the first cell.

Your task is to do at least five (5) reparameterizations for the previous exercise's network and compare the results. At least one of them should have a 5% improvement in the test set result (generalization). Each reparameterization should change a different aspect in the network, while the rest of the parameters are the same as in 3.1. Print out all of the plots and results for each setup into the notebook you return, and analyze and discuss the results briefly in the last cell in the bottom.

You probably need to make a few more cells below, and copy-paste the model code (at least five times).

Example parameters to try to change:

- number of layers or neurons
- activation functions
- epochs
- batch sizes
- optimizer, see Keras' documentation on optimizers
- max-pooling on/off on certain layers

Notice that changing the final layer's softmax activation plus the categorical\_crossentropy loss requires some consideration. Don't do it unless you have a good plan.

```
In [16]: %matplotlib inline
         from keras.models import Sequential
         from keras.layers import *
         from keras.optimizers import *
         from keras.layers.convolutional import Conv2D
         import exer3_dataset
         from keras.utils import np_utils
         import matplotlib.pyplot as plt
         # Load the dataset
         (x_train, y_train), (x_test, y_test) = exer3_dataset.load_data()
         # Normalize
         x train = x train/255
         x_test = x_test/255
         num_classes = 10
         y_train_cat = np_utils.to_categorical(y_train, num_classes)
         y_test_cat = np_utils.to_categorical(y_test, num_classes)
1.2.1 Variant 1
Change the number of layers and neurons
In [17]: np.random.seed(123)
         model = Sequential()
         # Add model here
         model.add(Conv2D(32, (3, 3), input_shape=(28, 28, 1)))
         model.add(Activation("relu"))
         model.add(MaxPooling2D((2,2)))
         model.add(Conv2D(64, (3, 3)))
         model.add(Flatten())
         model.add(Dense(256)) #new layer
         model.add(Dense(64)) #new layer
         model.add(Dense(10))
```

# You can also try different optimizers below
model.compile(loss='categorical\_crossentropy',

model.add(Activation("softmax"))

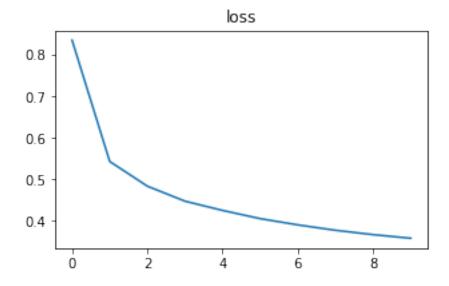
```
______
             (None, 26, 26, 32)
                         320
conv2d_2 (Conv2D)
activation_3 (Activation) (None, 26, 26, 32)
max_pooling2d_2 (MaxPooling2 (None, 13, 13, 32)
conv2d_3 (Conv2D)
             (None, 11, 11, 64)
______
flatten_2 (Flatten)
             (None, 7744)
______
dense_2 (Dense)
             (None, 256)
                         1982720
______
dense 3 (Dense)
             (None, 64)
                         16448
_____
             (None, 10)
dense 4 (Dense)
                         650
______
activation_4 (Activation) (None, 10)
______
Total params: 2,018,634
Trainable params: 2,018,634
Non-trainable params: 0
______
None
In [18]: %%time
    # Training
    epochs = 10
    history = model.fit(x_train,
             y_train_cat,
             epochs=epochs,
             batch_size=128,
             verbose=1)
Epoch 1/10
Epoch 2/10
60000/60000 [============ ] - 44s - loss: 0.5427 - acc: 0.8026
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
```

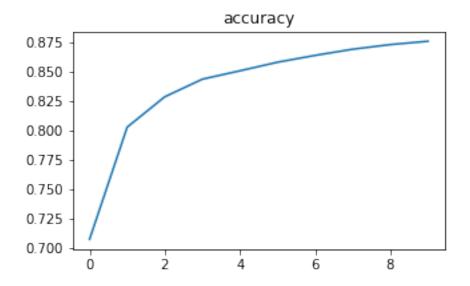
# In [19]: # Plot loss and accuracy in training

```
plt.figure(figsize=(5,3))
plt.plot(history.epoch, history.history['loss'])
plt.title('loss')

plt.figure(figsize=(5,3))
plt.plot(history.epoch, history.history['acc'])
plt.title('accuracy')
```

Out[19]: <matplotlib.text.Text at 0x7efd848fce10>



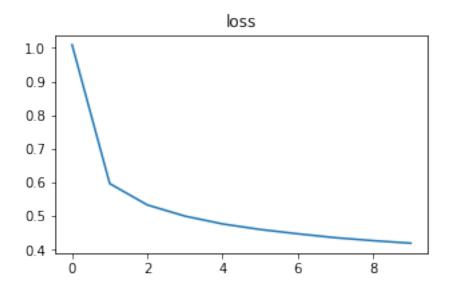


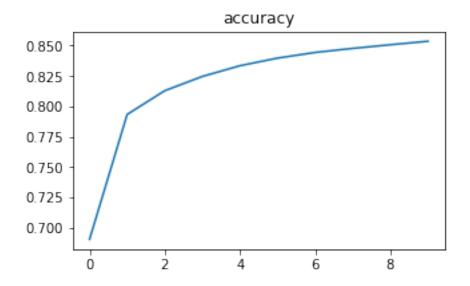
## 1.2.2 Variant 2

Change the activation function

```
In [21]: np.random.seed(123)
         model = Sequential()
         # Add model here
         model.add(Conv2D(32, (3, 3), input_shape=(28, 28, 1)))
         model.add(Activation("tanh")) #replace relu with sigmoid
         model.add(MaxPooling2D((2,2)))
         model.add(Flatten())
         model.add(Dense(10))
         model.add(Activation("softmax"))
         # You can also try different optimizers below
         model.compile(loss='categorical_crossentropy',
                       optimizer='sgd',
                       metrics=['accuracy'])
         print(model.summary())
Layer (type)
                             Output Shape
                                                       Param #
```

```
______
                       320
conv2d_4 (Conv2D)
           (None, 26, 26, 32)
activation_5 (Activation) (None, 26, 26, 32)
max_pooling2d_3 (MaxPooling2 (None, 13, 13, 32)
flatten_3 (Flatten)
           (None, 5408)
_____
dense_5 (Dense)
           (None, 10)
                      54090
_____
activation_6 (Activation) (None, 10)
______
Total params: 54,410
Trainable params: 54,410
Non-trainable params: 0
______
None
In [22]: %%time
   # Training
   epochs = 10
   history = model.fit(x_train,
            y_train_cat,
            epochs=epochs,
            batch_size=128,
            verbose=1)
Epoch 1/10
Epoch 2/10
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
Epoch 7/10
60000/60000 [============ ] - 18s - loss: 0.4468 - acc: 0.8444
Epoch 8/10
Epoch 9/10
```





## 1.2.3 Variant 3

Change the number of epoch

```
In [25]: np.random.seed(123)
         model = Sequential()
         # Add model here
         model.add(Conv2D(32, (3, 3), input_shape=(28, 28, 1)))
         model.add(Activation("relu"))
         model.add(MaxPooling2D((2,2)))
         model.add(Flatten())
         model.add(Dense(10))
         model.add(Activation("softmax"))
         # You can also try different optimizers below
         model.compile(loss='categorical_crossentropy',
                       optimizer='sgd',
                       metrics=['accuracy'])
         print(model.summary())
Layer (type)
                             Output Shape
                                                       Param #
```

```
______
                     320
conv2d_5 (Conv2D)
          (None, 26, 26, 32)
activation_7 (Activation) (None, 26, 26, 32)
max_pooling2d_4 (MaxPooling2 (None, 13, 13, 32)
flatten_4 (Flatten)
           (None, 5408)
_____
dense_6 (Dense)
           (None, 10)
                     54090
_____
activation_8 (Activation) (None, 10)
______
Total params: 54,410
Trainable params: 54,410
Non-trainable params: 0
______
None
In [26]: %%time
   # Training
   epochs = 40 #run with 40 epochs instead of 10
   history = model.fit(x_train,
           y_train_cat,
           epochs=epochs,
           batch_size=128,
           verbose=1)
Epoch 1/40
Epoch 2/40
Epoch 3/40
Epoch 4/40
Epoch 5/40
Epoch 6/40
Epoch 7/40
Epoch 8/40
Epoch 9/40
```

```
Epoch 10/40
Epoch 11/40
Epoch 12/40
Epoch 13/40
Epoch 14/40
Epoch 15/40
Epoch 16/40
60000/60000 [============= ] - 15s - loss: 0.4088 - acc: 0.8579
Epoch 17/40
60000/60000 [============ ] - 12s - loss: 0.4036 - acc: 0.8586
Epoch 18/40
Epoch 19/40
Epoch 20/40
Epoch 21/40
Epoch 22/40
Epoch 23/40
Epoch 24/40
Epoch 25/40
Epoch 26/40
Epoch 27/40
Epoch 28/40
Epoch 29/40
Epoch 30/40
60000/60000 [============ ] - 13s - loss: 0.3547 - acc: 0.8776
Epoch 31/40
Epoch 32/40
60000/60000 [============= ] - 13s - loss: 0.3501 - acc: 0.8790
Epoch 33/40
```

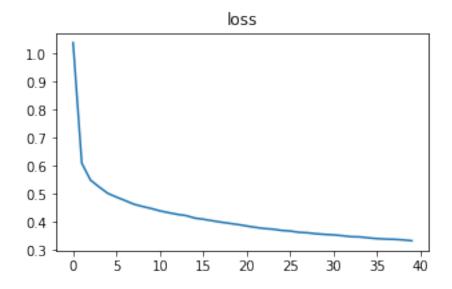
```
Epoch 34/40
Epoch 35/40
Epoch 36/40
Epoch 37/40
Epoch 38/40
60000/60000 [============] - 12s - loss: 0.3370 - acc: 0.8828
Epoch 39/40
Epoch 40/40
60000/60000 [============ ] - 12s - loss: 0.3319 - acc: 0.8853
CPU times: user 23min 50s, sys: 5min 3s, total: 28min 53s
Wall time: 9min 56s
```

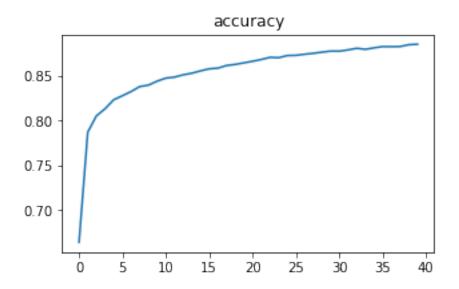
### In [27]: # Plot loss and accuracy in training

```
plt.figure(figsize=(5,3))
plt.plot(history.epoch,history.history['loss'])
plt.title('loss')

plt.figure(figsize=(5,3))
plt.plot(history.epoch,history.history['acc'])
plt.title('accuracy')
```

Out[27]: <matplotlib.text.Text at 0x7efd843a4710>



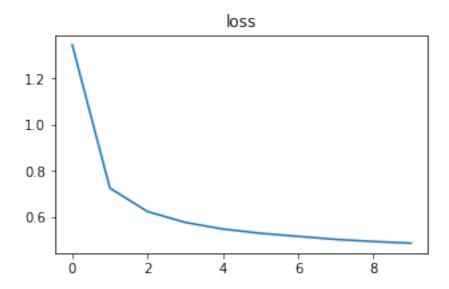


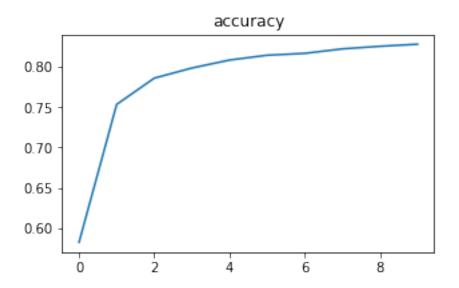
## 1.2.4 Variant 4

Change the batch size

```
In [29]: np.random.seed(123)
         model = Sequential()
         # Add model here
         model.add(Conv2D(32, (3, 3), input_shape=(28, 28, 1)))
         model.add(Activation("relu"))
         model.add(MaxPooling2D((2,2)))
         model.add(Flatten())
         model.add(Dense(10))
         model.add(Activation("softmax"))
         # You can also try different optimizers below
         model.compile(loss='categorical_crossentropy',
                       optimizer='sgd',
                       metrics=['accuracy'])
         print(model.summary())
Layer (type)
                             Output Shape
                                                       Param #
```

```
______
                     320
conv2d_6 (Conv2D)
          (None, 26, 26, 32)
activation_9 (Activation) (None, 26, 26, 32)
max_pooling2d_5 (MaxPooling2 (None, 13, 13, 32)
flatten_5 (Flatten)
           (None, 5408)
_____
dense_7 (Dense)
           (None, 10)
                     54090
_____
activation_10 (Activation) (None, 10)
______
Total params: 54,410
Trainable params: 54,410
Non-trainable params: 0
______
None
In [30]: %%time
   # Training
   epochs = 10
   history = model.fit(x_train,
           y_train_cat,
           epochs=epochs,
           batch_size=256, #use 256 as batch size instead of 128
           verbose=1)
Epoch 1/10
Epoch 2/10
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
Epoch 7/10
Epoch 8/10
Epoch 9/10
```



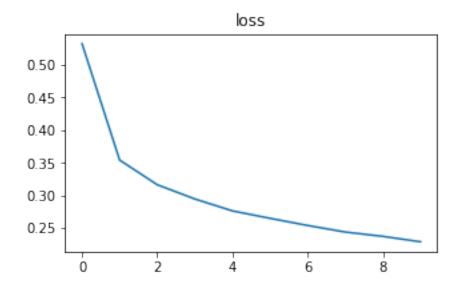


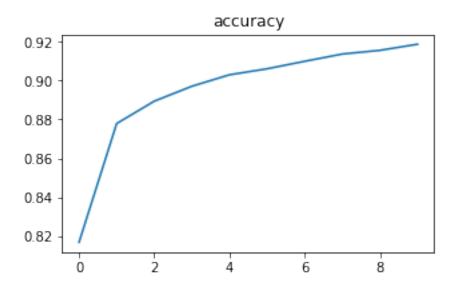
## 1.2.5 Variant 5

Change the type of optimizer

```
In [33]: np.random.seed(123)
         model = Sequential()
         # Add model here
         model.add(Conv2D(32, (3, 3), input_shape=(28, 28, 1)))
         model.add(Activation("relu"))
         model.add(MaxPooling2D((2,2)))
         model.add(Flatten())
         model.add(Dense(10))
         model.add(Activation("softmax"))
         # You can also try different optimizers below
         model.compile(loss='categorical_crossentropy',
                       optimizer="adam", #use adam instead of sgd
                       metrics=['accuracy'])
         print(model.summary())
Layer (type)
                             Output Shape
                                                       Param #
```

```
______
                   320
conv2d_7 (Conv2D)
         (None, 26, 26, 32)
activation_11 (Activation) (None, 26, 26, 32)
max_pooling2d_6 (MaxPooling2 (None, 13, 13, 32)
______
flatten_6 (Flatten)
         (None, 5408)
_____
dense_8 (Dense)
         (None, 10)
                  54090
_____
activation_12 (Activation) (None, 10)
______
Total params: 54,410
Trainable params: 54,410
Non-trainable params: 0
______
None
In [34]: %%time
   # Training
   epochs = 10
   history = model.fit(x_train,
          y_train_cat,
          epochs=epochs,
          batch_size=128,
          verbose=1)
Epoch 1/10
Epoch 2/10
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
Epoch 7/10
Epoch 8/10
Epoch 9/10
```





# 1.2.6 Fully-changed Variant

I changed only one aspect of the network in the previous 5 variants. And the variant with "adam" has already met what is requested. In this variant, I would like to change more than one aspect.

```
In [37]: np.random.seed(123)
    model = Sequential()

# Add model here
    model.add(Conv2D(32,(3, 3), input_shape=(28,28,1),activation="relu"))
    model.add(Conv2D(64, (3, 3), activation='relu'))
    model.add(MaxPooling2D((2, 2)))
    model.add(Dropout(0.25))
    model.add(BatchNormalization())
    model.add(Flatten())
    model.add(Dropout(0.4))
    model.add(Dropout(0.4))
    model.add(BatchNormalization())
    model.add(Dense(10,activation="softmax"))

# You can also try different optimizers below
    model.compile(loss='categorical_crossentropy',
```

# 

Layer (type)	Output		Param #
conv2d_8 (Conv2D)	(None,	26, 26, 32)	320
conv2d_9 (Conv2D)	(None,	24, 24, 64)	18496
max_pooling2d_7 (MaxPooling2			
dropout_1 (Dropout)	(None,	12, 12, 64)	0
batch_normalization_1 (Batch	(None,	12, 12, 64)	
flatten_7 (Flatten)			0
dense_9 (Dense)	(None,	256)	2359552
dropout_2 (Dropout)	(None,		0
batch_normalization_2 (Batch	(None,		1024
dense_10 (Dense)	(None,	10)	2570
Total params: 2,382,218 Trainable params: 2,381,578 Non-trainable params: 640			
None			
In [38]: %%time			
<pre># Training epochs = 25</pre>			
history = model.fit			
	-	=epochs, size=128,	
Epoch 1/25		_	
60000/60000 [=================================	=====:	======] - 125s -	loss: 0.406

Epoch 2/25

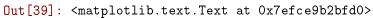
```
Epoch 3/25
Epoch 4/25
Epoch 5/25
Epoch 6/25
Epoch 7/25
Epoch 8/25
Epoch 9/25
Epoch 10/25
Epoch 11/25
Epoch 12/25
Epoch 13/25
Epoch 14/25
Epoch 15/25
Epoch 16/25
Epoch 17/25
Epoch 18/25
Epoch 19/25
Epoch 20/25
Epoch 21/25
Epoch 22/25
Epoch 23/25
Epoch 24/25
Epoch 25/25
CPU times: user 3h 10min 36s, sys: 19min 54s, total: 3h 30min 31s
```

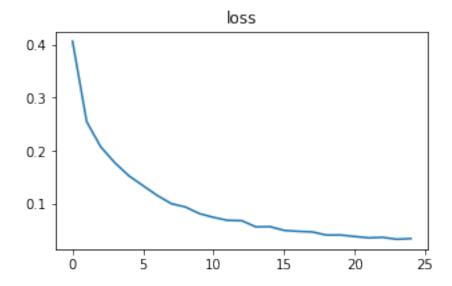
```
Wall time: 1h 3min 47s
```

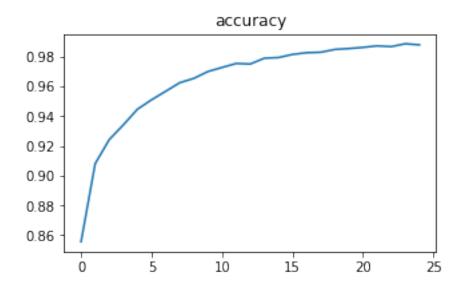
In [39]: # Plot loss and accuracy in training

 plt.figure(figsize=(5,3))
 plt.plot(history.epoch,history.history['loss'])
 plt.title('loss')

 plt.figure(figsize=(5,3))
 plt.plot(history.epoch,history.history['acc'])
 plt.title('accuracy')







#### 1.2.7 Discussion

I have only changed one aspect of the network in the first five variants, while almost everything has been changed in the last one. One of the first 5 variants, the one with optimizer changed, has met the requirement, which is to have a 5% improvement. All the accuracy is listed below:

Variant	Accuracy
Original	83.7%
Number of Layers and Neurons	86.9%
Activation	84.5%
Number of Epochs	87.2%
Batch Size	82.1%
Optimizer	89.8%
Fully-changed	93.2%

If we refer to that only one aspect of the original network is changed, most of the accuracy of the first 5 variants are better than the accuracy of the original one. As what is illustrated in the 1st variant, a network with more complicated structure may be better than a simpler one, but it is also rather noticeable that the complicated one will surely take more time to train. For the 2nd variant, it has a small improvement. But it is not as good as others. So, in practice, it is quite reasonable that we need to adjust almost every aspect of the network to achieve a better result. For the 3rd variant, the fact that the variant with more epochs will have a higher accuracy is quite obvious since weights are more close to optima if compared to the original one. For the 4th variant, the result actually is worse than the original one. I have tried with different values, such as 64, 256,512 and 1024. None of them can lead to a better result. I suppose more trials are needed. For the 5th variant, it seems that "adam" optimizer outperform the "sgd" optimizer. Overall, we can see that the accuracy can be improved by adjusting the parameter of different aspects. However, it seems to be difficult to achieve over 90% accuracy with only one aspect changeable.

To achieve a better accuracy, I have changed the almost everything of the network in the last variant. Additionally, I use Dropout layer and BatchNormalization layer to improve the overall accuracy and speed up the computation. As what has shown in the first 5 variants, I increase the number of epochs and change the optimizer to "adam" as well. However, because of the complicated topological structures and the number of epochs, this variant took significantly longer time than others.