

CS 367 - Introduction to Data Structures

Thursday, September 3, 2015

Instructor

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Today

Waitlisted? More info next week...

Course Intro

- Topics
- Course Information
- Course Work

Java Primitives vs. References Review

Next Time

Read: *Introduction*, start *Lists*

Collections

- Bag Intro
- Abstract Data Types
- designing the Bag ADT - Java interfaces
- using the Bag ADT

Characteristics of Good & Reusable Software

Implementing the Bag ADT

- casting when using Object
- using Java generics for generality

Topics

Survey of Abstract Data Types (ADTs) and Data Structures (DS)

Linear → Hierarchical → Graphical
Position oriented → Value oriented.

Introduction to Algorithms

ADT OPERATIONS
TRAVERSING
SEARCHING / SORTING
HASHING

Introduction to Complexity

TIME / SPACE
BIG "O" NOTATION
COMPARE / CONTRAST Algo's / CODE

Review of and Build on Java Concepts from CS 302

PRIMITIVES vs. REFERENCES
Exceptions
Interface
ITERATORS
JAVA Collections FRAMEWORK

* We assume that you are proficient at object-oriented programming in Java. If you have not learned object-oriented programming, you should complete CS 302 first. If you have learned object-oriented programming in a language like C++, you should focus time in the next two weeks to learn Java or consider taking or sitting in CS 302.

Course Information Sources

Course Website

- <http://pages.cs.wisc.edu/~cs367-1/>
- readings, outlines, homeworks, programs, announcements, policies, etc...

Online Readings (no textbook)

- cover page of lecture outline has reminders of what to be reading
- some things in readings won't be covered in lecture
- some things in lecture aren't in the notes

Lecture & Outlines

- do assigned online readings before lecture
- print and bring outlines to lecture
- readings/outlines posted on the course syllabus page
- outlines are usually posted the morning of or late the night before lecture
- fill in outlines with your notes during lecture

Piazza

- search and ask/answer questions about
 - homeworks and programs
 - course content and logistics
 - exams

Learn@UW

- access to exam and other scores, solutions to homeworks, exam sample questions

CS Account

- access to lab computers with Eclipse and Java
- linux lab computers in 1350 CS
- same user name/password as your 302 account (new? must activate your account)
- access to "in" folder to submit your coursework
- access to homework and program grade reports with scores and feedback

Course Work

Exams (55%)

- Midterm 1 (17%): Tuesday, October 20th, 5:00 pm to 7:00 pm
- Midterm 2 (17%): Tuesday, November 24th, 5:00 pm to 7:00 pm
- Final (21%): Tuesday, December 23rd, 7:45 AM to 9:45 AM

Notify us next week if you have a conflict with any of these exams.
I'll say more about this next lecture.

Programming Assignments (25%)

- 5 programs, 5% each
- about two weeks to complete each
- topics needed are typically covered before a program is assigned
- pair programming is allowed Except FIR PROGRAM PI
- submitted electronically into your "in" handin directory
- accepted late only if Homework PDF
- extenuating circumstances are beyond your control
- you notify me at least 3 days prior to due date
- the work can be completed in a few extra days

Homework Assignments (20%)

- 10 homeworks, 2% each
- about one week to complete each
- topics needed are often covered during the week the homework is assigned
- no collaboration is allowed
- submitted electronically into your "n" handin directory
- not accepted late

Primitive vs. Reference Types: Assignment

Primitives

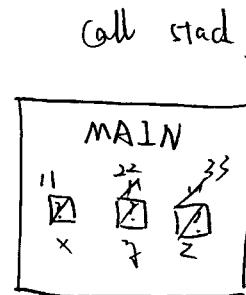
```
int x, y, z;  
x = 11;  
y = x;  
z = x;  
z = 33;  
y = 22;
```

→ What does each variable contain after the code above executes?

- A.) x has 11
- B.) x has
- C.) x has

y has 22
y has
y has

z has 33
z has
z has



References

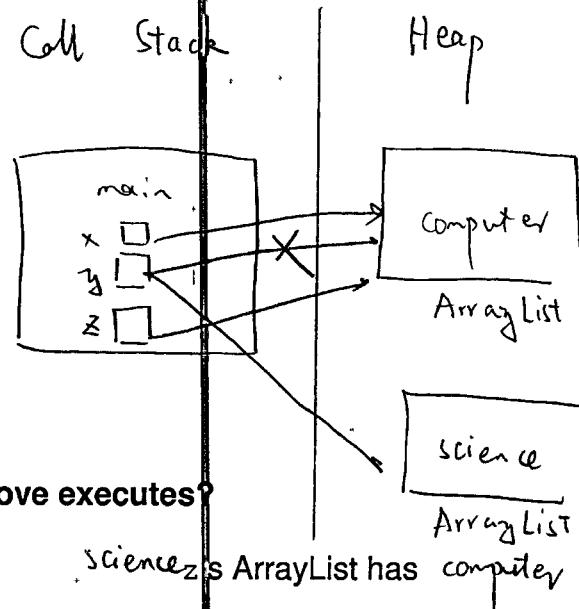
```
ArrayList<String> x, y, z;  
x = new ArrayList<String>();  
y = x;  
z = x;  
y = new ArrayList<String>();  
z.add("Computer");  
y.add("Science");
```

→ What does each ArrayList contain after the code above executes?

- A.) x's ArrayList has computer
- B.) x's ArrayList has
- C.) x's ArrayList has

y's ArrayList has ..
y's ArrayList has
y's ArrayList has

science's ArrayList has computer
z's ArrayList has
z's ArrayList has



→ What do x, y and z contain?

Address Reference

Primitive vs. Reference Types: Parameter Passing

Primitives

Given:

```
void mod1(int x) {  
    x = 42;  
}
```

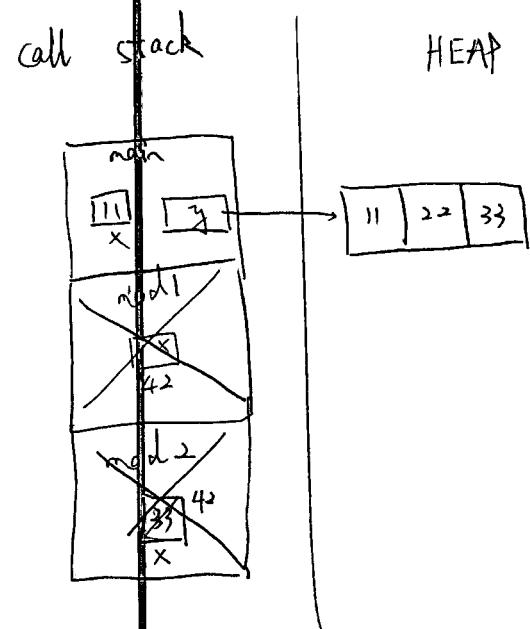
Execute code in main:

```
int x = 11;  
int[] y = {11, 22, 33};  
mod1(x);  
mod1(y[2]);
```

→ What does variable x and array y in main contain after the code above executes?

- A.) x has 11 y's array has 11 22 33.
- B.) x has
C.) x has
y's array has
y's array has

→ What happens if we call mod1(y) in main?



Primitive vs. Reference Types: Parameter Passing

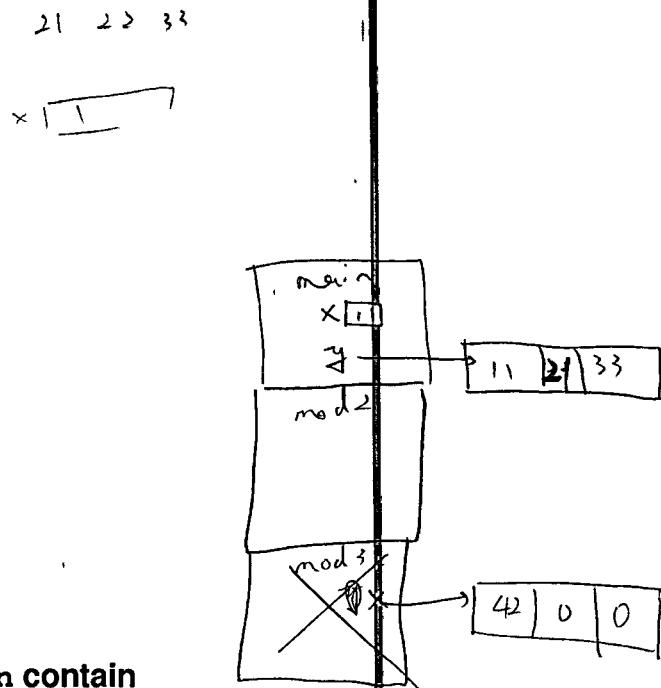
References

Given:

```
void mod2(int[] x) {  
    x[0] = 21;  
}  
  
void mod3(int[] x) {  
    x = new int[x.length];  
    x[0] = 42;  
}
```

Execute code in main:

```
int x = 11;  
int[] y = {11, 22, 33};  
mod2(y);  
mod3(y);
```



→ What does variable **x** and array **y** in **main** contain after the code above executes?

- A.) x has y's array has
- B.) x has y's array has
- C.) x has y's array has

→ What happens if we call **mod2 (x)** in **main**?

Abstract:

Programmer's Memory Model for Java

Call Stack

ACTIVATION RECORDS FOR EACH CALLED METHOD
Contain Local VARS (Param VARS)
"BIRTH" AT DECLARATION
"DEATH" WHEN FALLS OUT OF Scope

Heap

ARRAYS & objects
NEED A REFERENCE, VAR, TO ACCESS THEM.
"BIRTH" : AT new ALLOCATION.
"BIRTH" : WHEN NO LONGER REFERENCE
(GARBAGE COLLECTOR)

Static Data

LITERALS
CLASS VARS (static) :
ALLOCATION DOESN'T CHANGE
BIRTH AT PROGRAM'S EXECUTION START
DEATH AT ... END

CS 367 - Introduction to Data Structures

Tuesday, September 8, 2015

Course Website - <http://pages.cs.wisc.edu/~cs367-1/>

Signup for Piazza

Waitlisted? Currently just a few seats available. Signup on the sheet passed out during lecture.

Last Time

Course Intro

- Topics
- Course Information
- Course Work

Java Primitives vs. References Review

Today

Finish Java Primitives vs. References Review (from last time)

Collections

- Bag Intro ✓
- Abstract Data Types & Data Structures ✓
- designing the Bag ADT - Java interfaces ✓
- using the Bag ADT ✓

Characteristics of Good & Reusable Software

Implementing the Bag ADT using Java Objects

Next Time

Read: continue *Lists*

Implementing the Bag ADT

- casting when using Object
- using Java generics for generality

List ADT

- coding the ListADT as a Java interface
- using lists via the ListADT

Collections

→ What is a *collection*?

A GROUP OF ITEMS GATHERED INTO A CONTAINER

ITEMS (DATA) - INDIVIDUAL members of the collection

Simple - numbers (primitives)

composite - student RECORD, ALBUM

(REFERENCES TO objects)

CONTAINER (DATA) STRUCTURE - STRUCTURE used to store
the collection.

→ What operations can you do on a collection? Which are the most fundamental?

ADD / INSERT

REMOVE / DELETE

LOOKUP / SEARCH / GET

Example: Bags

Concept

GENERAL CONTAINER

- IT CAN STORE ANY TYPE OF ITEM
- IT CAN STORE DIFFERENT TYPES of ITEMS IN THE SAME BAG.

DUPPLICATES ARE OKAY.

UNORDERED

UNORDERED

CONTAINER

CONTAINER

- THERE'S NO EXPLICIT INTERNAL STRUCTURE

Operations

void add(item)

Item remove()

boolean isEmpty()

- ADD & REMOVE ARE FAST
(REMOVE A RANDOM ITEM)
- LOOK UP is SLOW

Problems

→ What problems might occur when doing Bag operations?

REMOVE WHEN BAG IS EMPTY

ADD WHEN BAG IS FULL.

WELL EXPAND THE CAPACITY

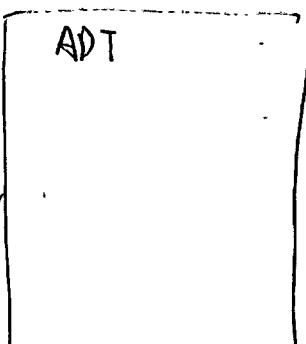
ADTs - Abstract Data Types

1970s

WHY
APPLICATIONS

LIKELY TO HAVE MANY
USES / USES IF YOUR
ADT IS general.

WHAT



HOW
IMPLEMENTATIONS

CODE THAT SAYS

- HOW THE COLLECTION IS STRUCTURED
- HOW THE OPERATIONS WORK

ArrayList
LinkedList

ADTs vs. Data Structures

Abstract Data Type (ADT)

SPECIFIES WHAT you can do.

JAVA DOCS - CONCEPTUAL DESCRIPTION

- List of operations

JAVA INTERFACE

is used to code the ADT IN JAVA

Data Structure (DS)

A CONSTRUCT WITHIN THE IMPLEMENTING CLASS

THAT'S USED & STORE THE COLLECTION OF ITEMS.

E.G. ARRAY CHAIN OF NODES

Example 1: Using a Bag ADT

→ Write a code fragment

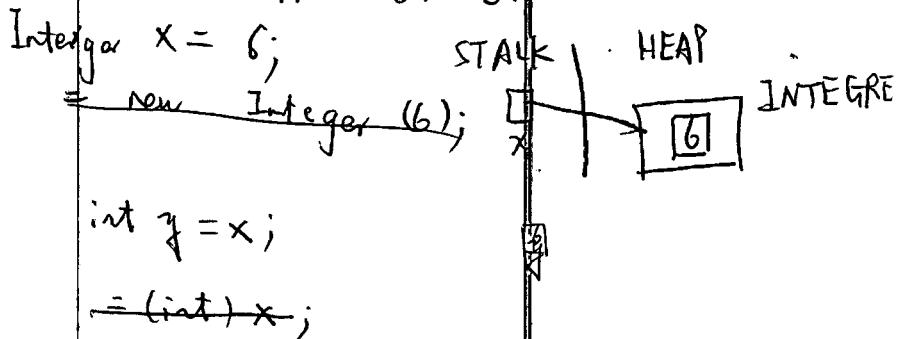
to put the numbers 0 through 99 into a BagADT named bag.

```
BagADT bag = new ...; //assume the bag has been instantiated for you
```

```
For (int i=0; i<100; i++)  
    bag.add(i);
```

Java Autoboxing:

JAVA AUTOMATICALLY CONVERTS BETWEEN PRIMITIVES AND THEIR WRAPPER CLASSES:



Designing the Bag ADT

Conceptual Description

The BAG ADT Array List contain various bags
you can add items
R
i

Public Interface

ADP
REMORE
Is Empty

Coding Issues

```
public class ArrayList {  
    public bag {  
        ;  
        public Is not add {  
            ;  
        }  
        public REMORE {  
            ;  
        }  
    }  
}
```

Example 2: Using a Bag ADT

→ Complete the printBag method

so that it prints the contents of the parameter bag.

Challenge: Implement your printBag method so that it doesn't change the bag's contents.

```
public static void printBag(BagADT bag) {
    if (bag.isEmpty())
        System.out.println("Bag is empty");
    else {
        for (int i = 0; i < bag.size(); i++)
            System.out.print(bag.get(i));
    }
}
```

```
BagADT tmp = new ArrayBag();
while (!tmp.isEmpty()) {
    Object item = tmp.remove();
    System.out.println(item);
    tmp.add(item);
}
while (!tmp.isEmpty())
    bag.add(tmp.remove());
```

What makes software good?

- ① WORKS
- ② EASY TO USE / MODIFY
- ③ REASONABLY EFFICIENT

What makes code reusable?

- ① Documentation
- ② modularity
methods
classes

Interfaces
- ③ GENERALITY

The Bag ADT and Java Objects

```
import java.util.*;  
  
public interface BagADT {  
  
    void add(Object item);  
    Object remove() throws NoSuchElementException;  
    boolean isEmpty();  
}
```

ABSTRACT
METHODS

→ Why are we using the Object class in our BagADT interface?

FOR GENERALITY

- IN JAVA AN Object REF CAN refer to
any ~~a~~ Java object.

* BAG CAN STORE ANY TYPE of Object

* A SINGLE BAG CAN STORE DIFFERENT
TYPES of Objects

Implementing BagADT Using an Array of Object References

```
public class ArrayBag implements Bag ADT {
    //instance variables
    private int N;      private Object[] items;      is s
    private           private int numItem;
    //constructor
    public Bag() {
        first = null;
        N = 0;
    }
    public ArrayBag() {
        items = new Object[100];
        numItems = 0;
    }
    //BagADT methods
    // Empty. public boolean isEmpty() {
    //     return numItem == 0;
    // }
    public void add (Object item) {
        // APP ITEM TO FIRST FREE ELEMENT;
    }
    // could add other methods specific to the array implementation
}
```

CS 367 Announcements

Thursday, September 10, 2015

Course Website - <http://pages.cs.wisc.edu/~cs367-1/>

Signup for Piazza

Waitlisted? Some invitations to register were emailed yesterday. A few more likely.

Exam Conflict? Information on how to report your conflict provided next lecture.

Program 1 assigned this morning (Thursday).

Last Time

Collections

- Bag Intro
- Abstract Data Types
- designing the Bag ADT - Java interfaces
- using the Bag ADT

Characteristics of Good & Reusable Software

Implementing the Bag ADT using Java Objects

Today

Implementing the Bag ADT

- casting when using Object
- using Java generics for generality

List ADT

- coding the ListADT as a Java interface
- using lists via the ListADT

Next Time

Read: continue *Lists*

Lists

- implementing the ListADT using an array (SimpleArrayList)

Java API Lists

Iterators

- concept
- iterators and the Java API
- using iterators

Recall the Bag ADT

1. Bag ADT Design & Interface (the What):

A Bag is a general unordered container of items where duplicates are allowed.

```
javadoc  
import java.util.*;  
  
public interface BagADT {  
    void add(Object item);  
    Object remove() throws NoSuchElementException;  
    boolean isEmpty();  
}
```

} What you
can do.

→ Why were we using the Object class in our BagADT interface?

GENERALITY BAG can store any type as well as
mixed types.

2. Bag ADT Implementation (the How):

```
public class ArrayBag implements BagADT {  
  
    private Object[] items;  
    private int numItems;  
  
    public ArrayBag() {  
        items = new Object[100];  
        numItems = 0;  
    }  
  
    void add(Object item) { ... }  
    Object remove() throws NoSuchElementException { ... }  
    boolean isEmpty() { return numItems == 0; }  
}
```

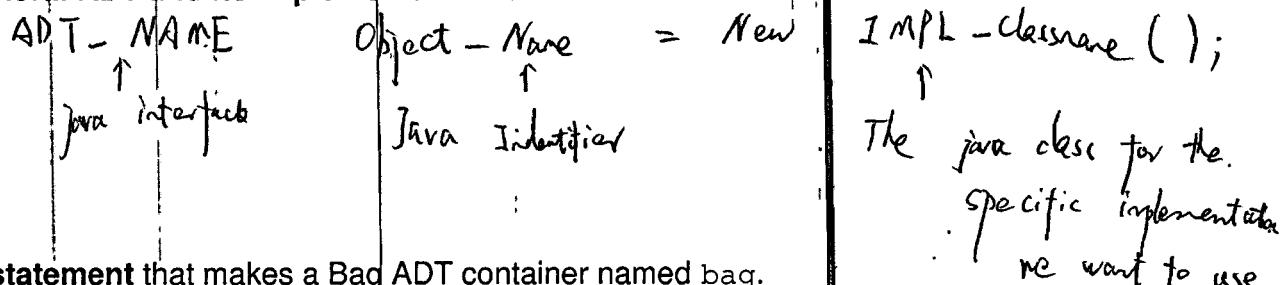
] How is data structural,

] How operations
work.

3. Bag ADT Use (the Why)...

Use - BagADT and Casting

Using a general ADT and its implementation to instantiate a container:



→ Write a statement that makes a Bag ADT container named bag.

```
Bag ADT bag = new bag();
```

```
BagADT bag = new ArrayBag();
```

→ Assume Die is a class representing dice and has a zero parameter constructor.

Write a code fragment that adds 6 dice to bag.

```
public class Bag {
  public Die[] d { ... }
  void add(Die d1);
  ...
}
```

```
for (int i = 0; i < 6; i++) {
  bag.add(new Die());
}
```

Up casting
specific Die → general Object

Java up casts

→ Assume the bag has had items added to it. Why doesn't the following code compile?

```
while (!bag.isEmpty()) {
  Die myDie = bag.remove();
  myDie.roll();
}
```

ERROR

Remove return type object.
But type Die is needed

Down casting

General - specific
Object Die.

Not Done Automatically

```
Object obj = bag.remove();
if (obj instanceof Die) {
  Die myDie = (Die) obj;
  myDie.roll();
}
```

Java Generics - A Better Way to Make a General Bag ADT

What changes are needed to make the interface below generic?

```
import java.util.*;  
public interface BagADT <E> {  
    void add(Object item);  
    Object remove() throws NoSuchElementException;  
    boolean isEmpty();  
}
```

1. Specify one or more "Type params"
 - List after class/interface name.
 - put them in $\langle \rangle$
2. Replace occurrences of specific type with the type param.

Use - Generic Bag ADT

How do we use a generic interface and its generic implementation to make a container?

ADT - Name <Item. TYPE> Object - name = new IMPL. class
Specifying the item type and restricting THE container Name?
to only type type. - No Down Casting is needed! <ITEMTYPE>()

→ Write a code fragment to make one generic Bag ADT container
storing String objects and another one storing Die objects.

```
Bag ADT <String> bag1 = new Arraybag();  
Bag ADT <Die> bag2 = new Arraybag();
```

→ Write a statement to add "cs367" into the appropriate container.

```
bag1.add("cs367");
```

→ Can we make a single generic Bag ADT container
that can store both String and Die objects at the same time?

can.
Bag ADT <Object> mixedBag = Arraybag <Object>();
down-casting

But now down casting is needed

Implementation - Generic BagADT

What changes are needed to make the implementation below generic?

```
public class ArrayBag implements BagADT<E> {
    private Object[] items;
    private int numItems;

    public ArrayBag() {
        items = new Object[100];      Doesn't work.
        numItems = 0;
    }

    boolean isEmpty(){ return numItems == 0; }

    void add(E item) { ... }

    E remove() throws NoSuchElementException { ... }
}
```

1. Specify ONE or more type params which typically matches those used by ADT.
2. REPLACE THE occurrences of the specific type with the type params.
- * 3. Be CAREFUL WITH CONSTRUCTORS THAT ALLOCATE generic ARRAY (e.g. Object) You must make an array with some existing class and cast to an array of the type param.

~~Notes~~ Design - List ADT

Concept GENERAL CONTAINER THAT ALLOWS DUPLICATES
 continuous collection
 Position oriented with zero-based indexing
 EXPANDS capacity
 maintains Relative ordering

Operations

- add item at end of list
- add item at specified position
- get item at specified position
- remove item at specified position
- check if list contains a specified item
- get size of list (number of items it contains)
- check if list is empty

Issues

Null item – detect then signal with `IllegalArgumentException`

Bad position – detect then signal with `IndexOutOfBoundsException` ✓

Empty list – handle as a bad position

Interface - Generic ListADT

- Interface

```
/**  
 * A List is a general container storing a contiguous collection  
 * of items, that is position-oriented using zero-based indexing  
 * and where duplicates are allowed.  
 */
```

```
public interface ListADT <E> {
```

```
/**  
 * Add item to the end of the List.  
 */
```

```
* @param item the item to add  
* @throws IllegalArgumentException if item is null  
*/
```

```
void add(E item);
```

What

```
/**  
 * Add item at position pos in the List, moving the items  
 * originally in positions pos through size()-1 one place  
 * to the right to make room.  
 */
```

```
* @param pos the position at which to add the item  
* @param item the item to add  
* @throws IllegalArgumentException if item is null  
* @throws IndexOutOfBoundsException if pos is less than 0  
* or greater than size()  
*/
```

```
void add(int pos, E item);
```

```
/**  
 * Return true iff item is in the List (i.e., there is an  
 * item x in the List such that x.equals(item))  
 */
```

```
* @param item the item to check  
* @return true if item is in the List, false otherwise  
*/
```

```
boolean contains(E item);
```

Interface - Generic ListADT (cont.)

```
/**  
 * Return the number of items in the List.  
 *  
 * @return the number of items in the List  
 */  
int size();  
  
/**  
 * Return true iff the List is empty.  
 *  
 * @return true if the List is empty, false otherwise  
 */  
boolean isEmpty();  
  
/**  
 * Return the item at position pos in the List.  
 *  
 * @param pos the position of the item to return  
 * @return the item at position pos  
 * @throws IndexOutOfBoundsException if pos is less than 0  
 * or greater than or equal to size()  
 */  
E get(int pos);  
  
/**  
 * Remove and return the item at position pos in the List,  
 * moving the items originally in positions pos+1 through  
 * size() one place to the left to fill in the gap.  
 *  
 * @param pos the position at which to remove the item  
 * @return the item at position pos  
 * @throws IndexOutOfBoundsException if pos is less than 0  
 * or greater than or equal to size()  
 */  
E remove(int pos);  
}
```

Use - ListADT

→ Assume myList is a ListADT. What does the following code fragment do in general?

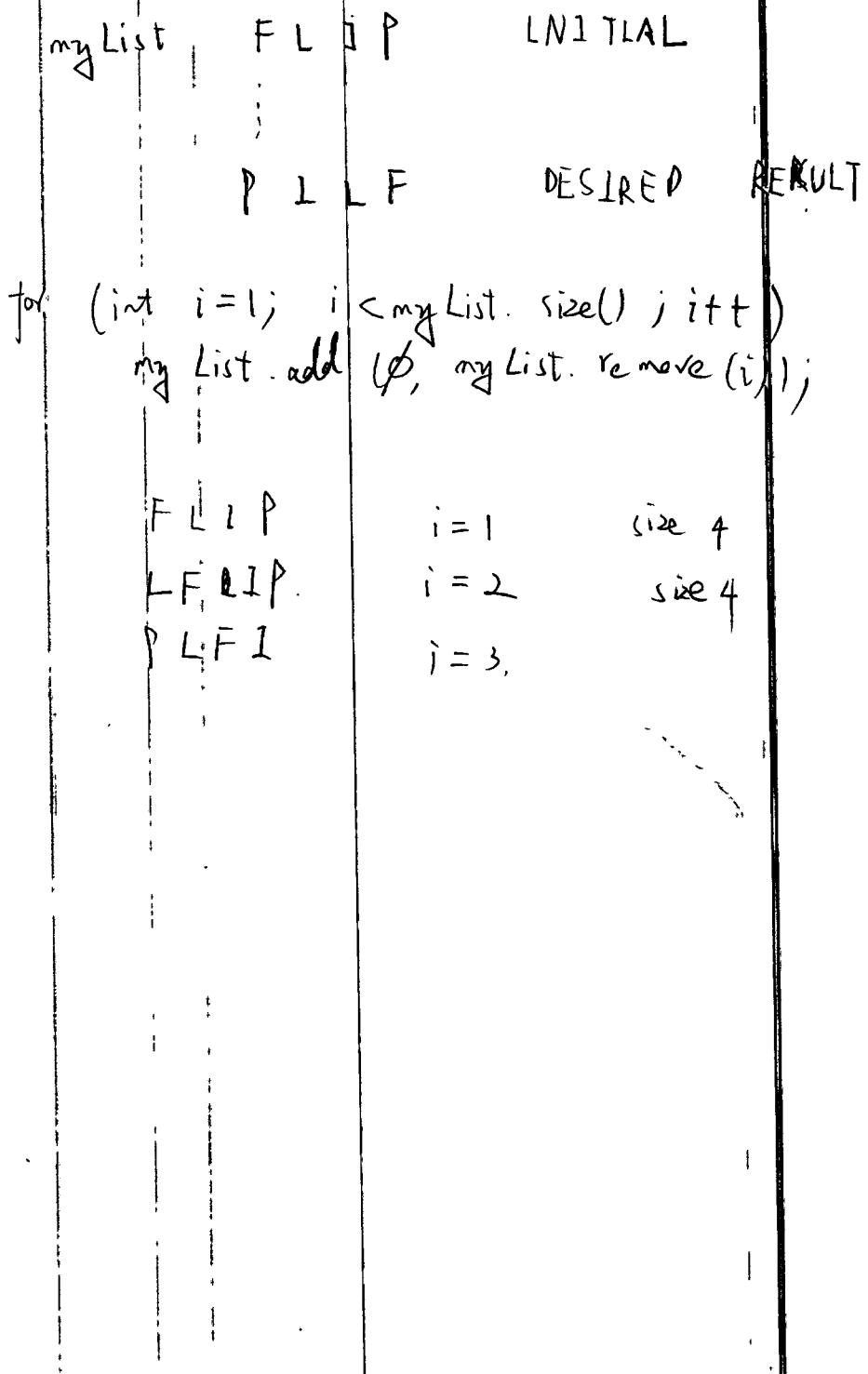
```
for (int i = 0; i < myList.size(); i++) {  
    myList.remove(i);  
}
```

REASONING CAN BE FAULTY
TRACING CODE IS MORE RELIABLE!

| | | | |
|--------|-----------|-----|--------|
| myList | a b c d e | i 0 | size 5 |
| | b c d e | i 1 | 4 |
| | b d e | i 2 | |

Use - ListADT

→ Assume myList is a ListADT. Write a code fragment to reverse the contents of myList without using any additional ListADTs or other data structures (e.g., array).



CS 367 Announcements

Tuesday, September 15, 2015

Homework h1 due 10 pm Friday, September 18th
Program p1 due 10 pm Sunday, September 27th (get started now!)

→ No HANDIN DIRS YE

We'll discuss assignment submission Thursday.

Assignment questions? Post on Piazza or see a TA during lab consulting hours.

~ 1266 CS

Post a *private* note on Piazza in the "econflicts" folder by this Friday, 9/18, if you have a conflict with any of our exam dates. Specify which exam and an explanation:

- course/exam – include course name/number, time, instructor name and email
- VISA – include the accommodation(s) requested
- other – include concise explanation

Email skrentny@cs.wisc.edu by this Friday, 9/18, if you:

- Have a VISA from the McBurney Disability Resource Center.
Email with subject "CS 367 VISA" and request an appointment.
- Participate in religious observances that may interfere with course requirements.
Email with subject "CS 367 Religious Observance" and include the date and explanation.

Last Time

Course Topics

Implementing the Bag ADT

- casting when using Object
- using Java generics for generality

List ADT Intro

Today

List ADT

- coding the ListADT as a Java interface (from last lecture)
- using lists via the ListADT (from last lecture)
- implementing the ListADT using an array (SimpleArrayList)

Java API Lists

Iterators Intro

Next Time

Read: finish *Lists*, start *Exceptions*

Iterators

- iterators and the Java API
- using iterators
- options for implementing iterators
- making a class iterable

Exceptions Review

- throwing
- catching/handling

Recall the List ADT

Concept

A List is a general, position-oriented container with zero-based indexing that stores a contiguous collection of items where duplicates are allowed and maintains relative ordering.

Operations

```
void add(E item);  
void add(int pos, E item);  
E get(int pos);  
E remove(int pos);  
boolean contains(E item);  
int size();  
boolean isEmpty();
```

~~(4)~~ AT END
~~(5)~~ AT POSITION

(6) Resizes

Issues

Null item – detect then signal with `IllegalArgumentException`
Bad position – detect then signal with `IndexOutOfBoundsException`
Empty list – handle as a bad position

Implementation - ListADT using a Generic Array

```
public class SimpleArrayList<E> implements ListADT<E> {  
    private E[] items;          //the items in the List  
    private int numItems;       //the # of items in the List  
  
    public SimpleArrayList() {  
        Simple ArrayList<E> items  
        ArrayList<E> = <E>[ ]  
    }  
  
    //*** required ListADT methods ***  
  
    public void add(E item) { ... }  
    public void add(int pos, E item) { ... }  
    public E remove(int pos) { ... }  
    public E get (int pos) { ... }  
  
    public boolean contains (E item) { ... }  
    public int size() { ... }  
    public boolean isEmpty() { ... }  
  
    //*** additional optional array list methods ***  
}
```

How Does
is
STRUCTURE

items = new E[10];
numItems = 0; [new Object[10]]

How operation
works
TODAY

READING

Implementing contains

→ Complete the method below so that it returns true iff the given item is in the list.

```
public boolean contains(E item) {  
    for (int i = 0; i < size(); i++) {  
        E temp = items[i];  
        if (temp.equals(item))  
            return true;  
    }  
    return false;  
}  
if (item == null) throw new  
    Illegal Argument  
for (int i = 0; i < numItems; i++) {  
    if (items[i].equals(item))  
        return true;  
}  
return false;
```

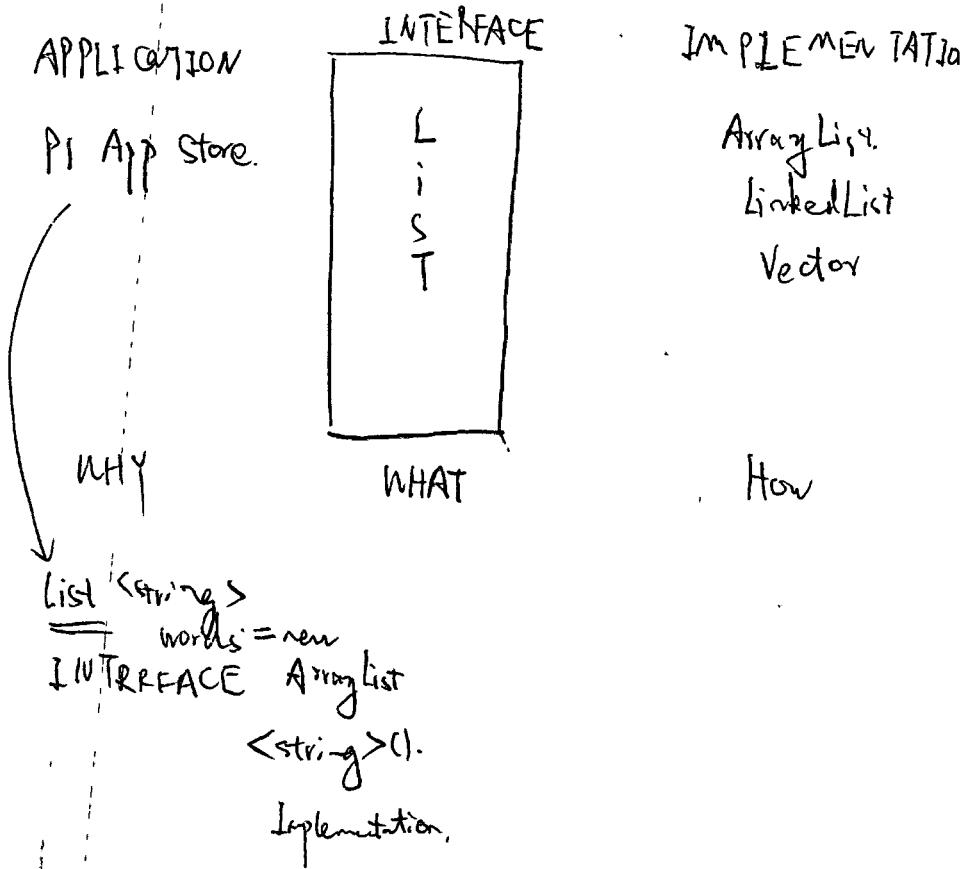
Except
()

Implementing add at end

→ What problem might occur with the following implementation:

```
public void add(E item) {  
    items[numItems] = item;  
    numItems++;  
}  
if (item == null)  
    throw new IllegalArgumentException();  
if (items.length == numItems)  
    expandArray(); ← Readings
```

Java API Lists.

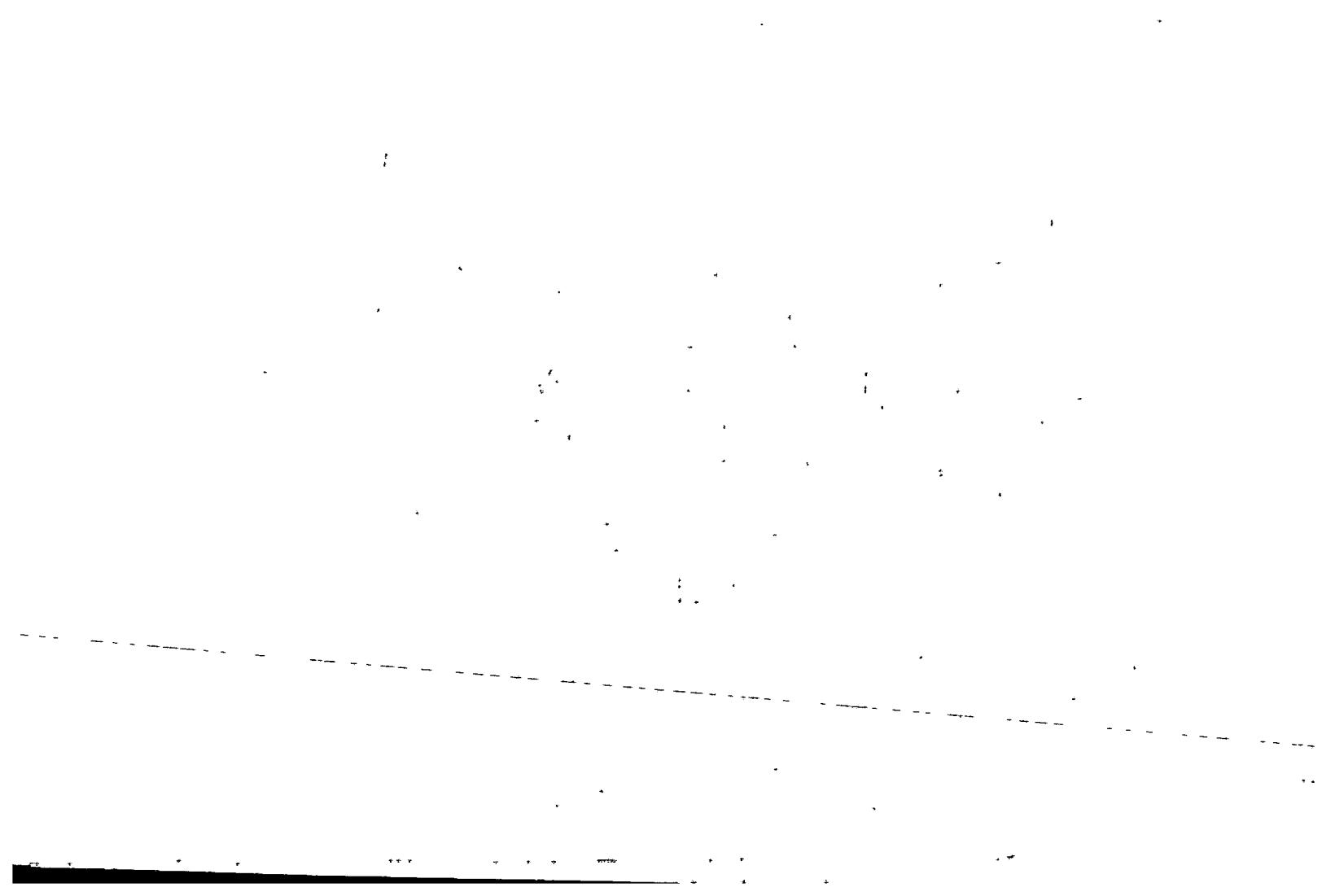


Design - Iterators

What are they?

- Objects used to step through A collection of Items
- An abstraction of A pointer
 - stores its position in a particular collection
 - position can change
 - item at position can be accessed.
- It's EXTERNAL / separate from its container concept
 - Has its own interface & implementation
 - user can instantiate & use iterators as many class

- ① Get iterator from Container class storing a particular Collection of Items to access the Items in the Collection.
- ② Use Iterator to access the collection we desire to step through.
- ③ Container class Operations that makes and returns An iterator.
- ④ Iterator class Operations that determine If Has ANOTHER ITEM.
 - Access ITEM AT THE CURRENT position THEN ADVANCES THE ITERATOR TO NEXT POSITION.



CS 367 Announcements Thursday, September 17, 2015

Homework h1 due by 10 pm tomorrow, September 18th

- make sure your file is a pdf
- make sure you use the name h1.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Homework h2 assigned late tomorrow

Program p1 due 10 pm Sunday, September 27th (get started now!)

Assignment questions? Post on Piazza or see a TA during lab consulting hours.

By tomorrow, 9/18:

- Post a private note on Piazza in the "econflicts" folder if you have an exam conflict.
- Email skrentny@cs.wisc.edu if you have a VISA or participate in religious observances that may interfere with course requirements.

Last Time

List ADT

- coding the ListADT as a Java interface
- using lists via the ListADT
- implementing the ListADT using an array (SimpleArrayList)

Java API Lists

Iterators Intro

Today

Iterators

- designing iterators (from last time)
- coding iterator interfaces and the Java API
- using iterators
- making a class iterable
- options for implementing iterators

Submitting homeworks

Next Time

Read: *Exceptions*

Exceptions Review

- throwing
- handling
- execution
- practice with exception handling
- throws and checked vs. unchecked
- defining

Interfaces - Iterators in Java API

Iterable<T> Interface in java.lang

(1) specifies the operation to get an iterator to step through a collection:
generic types

- Iterator<T> iterator()

- RETURNS AN ITERATOR : Pointing TO THE FIRST ITEM IN THE COLLECTION.

(OR "END MARK" IF THE Container is empty.)

- THIS METHOD is implemented in The container class.

Iterator<E> Interface in java.util

(2) specifies the operations that iterators can do:

- boolean hasNext()

RETURN TRUE IF ITERATOR is pointing at An item

- E next()

RETURN A REF TO THE CURRENT ITEM AND

- void remove() //optional"

ADVANCE THE ITERATOR TO NEXT

How Do we make An optional operation position.

THESE

METHODS ARE IMPLEMENTED IN THE ITERATOR

Making Array Bags Iterable

Approach In Readings - Modify the BagADT Interface

```
import java.util.*;
public interface BagADT<E> {
    void add(E item);
    E remove() throws NoSuchElementException;
    boolean isEmpty();
    Iterator<E> iterator();
```

IN JAVA THERE'S A SEPERATE INTERFACE NAMED Iterable.

Also Modify the ArrayBag Class

```
import java.util.*;
public class ArrayBag<E> implements BagADT<E>, Iterable<E> {
    // *** Data members (fields) ***
    private E[] items;
    private int numItems;
    private static final int INIT_SIZE = 100;

    //*** required BagADT methods ***
    public void add(E item) { ... }
    public E remove() throws NoSuchElementException { ... }
    public boolean isEmpty() { ... }
```

```
public Iterator<E> iterator() {
```

```
    return new ArrayBagIterator();
```

we need to implement

How would we do this using Java's approach?

need
to pass
arguments
to properly
initialize
iterator

CS 367 (F15): L5 - 3

INDIRECT use
this.
DIRECT use.

Use - Iterators

Suppose words is a SimpleArrayList<String> that implements the Iterable Interface.
→ Write a code fragment that gets an iterator, named itr, from words.

① Iterator <String> itr
= words.iterator();

Suppose words is a SimpleArrayList<String> and itr is an iterator for words.
→ Write a code fragment that uses itr to print each item in words.

② while (!itr.hasNext()); {
System.out.println(itr.next()); }
... " "

→ Next write a code fragment that uses itr to print the length of each item in words.

while (!itr.hasNext());
itr = words.iterator(); System.out.println(itr.next().length());
// Start again;

* These basic iterators have
one-TIME use to go
through the items in the
container, you'll need to ask for
another iterator.

Use - Iterators

Assume SimpleArrayList<String> implements the Iterable Interface.

→ Challenge: Complete the method using iterators to determine list contains duplicates.

```
public boolean hasDups(SimpleArrayList<String> list) {  
    Iterator<String> currItr = list.iterator();  
  
    POST  
    Saturday  
    Afternoon  
    or PIAZZA  
    A solution  
    Remove Sunday  
    at MIDNIGHT.  
  
    while (currItr. hasNext()) {  
        String currStr = currItr. next();  
        Iterator<String> dupItr =  
            list. iterator();  
        while (currStr. equals (dupItr.  
            next())) {  
            if (currStr. equals (dupItr.  
                next ())) {  
                return true;  
            }  
        }  
    }  
    return false;  
}
```

Implementation - Options for Iterator Classes

Indirect Access

- ITERATOR USES THE CONTAINER'S OPERATIONS TO ACCESS THE ITEMS IN A collection.
- Container class constructs the iterator by passing A Ref. To itself (this)
 - * Indirect ACCESS is safer

Direct Access

- Only works if the container class has sufficient operations so that iterator can throw the use ^{use} then to stop the collection.
- Iterator ~~→ goes right to container's private instance vars to access the items in the collection.~~

- Container class constructs the iterator by pass
 - * The instail vars needed by the iterator For Direct Access.
 - * DIRECT ACCESS is FASTER!

Implementation - Indirect Access SimpleArrayListIterator Class

```
import java.util.*;
public class SimpleArrayListIterator<E> implements Iterator<E>
{
    private SimpleArrayList<E> myList;
    private int curPos;

    public SimpleArrayListIterator( SimpleArrayList<E> list )
    {
        myList = list;
        curPos = 0;
    }

    public boolean hasNext()
    {
        return curPos < myList.size();
    }

    public E next()
    {
        if (curPos >= myList.size())
            throw new NoSuchElementException();
        E result = myList.get(curPos);
        curPos++;
        return result;
    }

    public void remove()
    {
        throw new UnsupportedOperationException();
    }
}
```

Implementation - Direct Access ArrayBagIterator Class

```
import java.util.*;
public class ArrayBagIterator<E> implements Iterator<E> {

    private E[] myItems;
    private int myNumItems;
    private int currPos;
    public ArrayBagIterator( E[] items, int numItems ) {
        myItems = items;
        myNumItems = numItems;
        currPos = 0;
    }
    public boolean hasNext() {
        return currPos < myNumItems;
    }
    public E next() {
        if( currPos >= myNumItems ) throw new NoSuchElementException();
        E result = myItems[currPos];
        currPos++;
        return result;
    }
    public void remove() {
        throw new UnsupportedOperationException();
    }
}
```

→ Could we code this as an indirect access iterator instead?

No. Array bags don't provide size to
it means to get items.

CS 367 Announcements

Tuesday, September 22, 2015

Homework h3 due 10 pm Friday, September 25th
Program p1 due 10 pm Sunday, September 27th (time is running out!)

We'll discuss program submission Thursday.

Assignment questions? Post on Piazza or see a TA during lab consulting hours.

Last Time

Iterators

- coding iterator interfaces and the Java API
- using iterators
- making a class iterable
- options for implementing iterators

Today

Iterators

- options for implementing iterators (from last time)

Exceptions Review

- throwing
- handling
- execution
- practice

Next Time

Read: finish *Exceptions*, start *Linked Lists*

Exceptions Review

- throws and checked vs. unchecked
- defining

Chains of Linked Nodes

- Listnode class
- practice

Compiling & Submitting Programs

Exception Throwing – Signaling a Problem

WHEN a problem is detected we (or RTE) can signal a problem has occurred by throwing AN Exception.

* when an exception is thrown, NORMAL EXECUTION ENDS, AND SWITCH TO EXCEPTION HANDLING EXECUTION;

Java Syntax

```
throw exceptionObject;
```

Example

```
// could ADD to Array Bag's remove()  
if (numItems <= 0) ← DETECT  
    throw new EmptyBagException(); ← signal
```

Exception Handling – Resolving a Problem

TRY - CATCH STMT:

- ① try core that might be problematic,
- ② catch exceptions to handle particular problems.

Java Syntax

```
try {  
    // try block  
    code that might cause an exception to be thrown  
}  
catch (ExceptionType1 identifier1) {  
    // catch block  
    code to handle exception type 1  
}  
catch (ExceptionType2 identifier2) {  
    // catch block  
    code to handle exception type 2  
}  
... more catch blocks  
finally {  
    // finally block - optional  
    code always executed when try block is entered  
}
```

Exception

HANDLER

optional
catch

MULTIPLE
CATCHES

ARE OKAY
optional

Example

```
// main() code for ArrayBag Tester  
try {  
    for(int i=0; i<1000; i++)  
        bag1.remove(1);  
}
```

```
catch (EmptyBagException e)  
{  
    System.out.println("remove resulted in an  
    Empty Bag Exception");  
}
```

Exception Execution

Normal Execution

- Start: top of main()
- Execute: **NORMAL CODE, CODE IN TRY BLOCKS, CODE IN ANY FINALLY BLOCKS whose TRY WAS ENTERED.**
- Skip: **CODE IN CATCH BLOCKS.**
- Switch to Exception Handling Execution
WHEN AN EXCEPTION is thrown.

Exception Handling Execution

- Skip: **NORMAL CODE INCLUDING ANY REMAINING CODE IN TRY BLOCKS THAT WERE ENTERED.**
- Execute: **DO CODE IN FINALLY BLOCKS whose try was entered.**

ELSE TERMINATE
Switch back to Normal Execution
THE method WHEN THE EXCEPTION is caught.
and throw the exception Locally

Searching for a Matching Catch
To its caller. IF THE CODE CAUSING THE EXCEPTION IS
IN A TRY BLOCK THEN CHECK ITS

CALL STACK **2. Remotely** **CATCHES FOR A MATCH**
IF AN EXCEPTION IS RETURNED FROM A METHOD AND
THEN CHECK ITS CATCH FOR A MATCH **THROW THE EXCEPTION TO ITS CALLER;**
CHECKING FOR A MATCH DONE FROM TRY

a. Match Found To Bottom of CATCHES.

- Switch Back to normal execution.

- execute code in the CATCH BLOCK AND CORRESPONDING FINALLY BLOCK (IF ANY)

b. No Match Found

- CONTINUE EXECUTION After That, try -

- Execute code in corresponding FINALLY BLOCK (if Any)

* **IF MAIN() - TERMINATE THE METHODS AND Throw the.**

throws an exception to its caller.

Exception to RTE, THEN THE RTE TERMINATE THE PROGRAM [CRASH!] AND DISPLAY AN Exception message.



RTE

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ExceptionTester Example

```
public class ExceptionTester {  
  
    public static void main(String[] args) {  
        System.out.print("main[");  
        try {  
            methodA(); System.out.print("after A,");  
            methodE(); System.out.print("after E,");  
        } catch (RedException exc) {  
            System.out.print("main-red,");  
        } catch (GreenException exc) {  
            System.out.print("main-green,");  
        } finally {  
            System.out.print("main-finally,");  
        }  
        System.out.println("]main");  
    }  
  
    private static void methodA() {  
        System.out.print("\nA[");  
        try {  
            methodB();  
            System.out.print("after B,");  
        } catch (BlueException exc) {  
            System.out.print("A-blue,");  
        }  
        System.out.println("]A");  
    }  
  
    private static void methodB() {  
        System.out.print("\nB[");  
        methodC();  
        System.out.print("after C,");  
        try {  
            methodD();  
            System.out.print("after D,");  
        } catch (YellowException exc) {  
            System.out.print("B-yellow,");  
            throw new GreenException();  
        } catch (RedException exc) {  
            System.out.print("B-red,");  
        } finally {  
            System.out.print("B-finally,");  
        }  
        System.out.println("]B");  
    }  
}
```

— ASSUME
No
output

What is Output When:

1. no exception is thrown

```
main[  
A[  
B[ after C, after D, B - finally ] B  
, after B, ] A  
, after A, after E, main - finally ] main
```

2. methodE throws a YellowException?

```
main[  
A[  
B[ after C, after D, B - finally, ] B  
, after B, ] A  
, after A, main - finally, CRASH!
```

3. methodC throws a GreenException?

```
main[  
A[  
B[
```

4. methodD throws a GreenException?

```
main[  
A[  
B[
```

What is Output When:

5. methodC throws a RedException?

```
main[  
A[  
B[
```

6. methodD throws a RedException?

```
main[  
A[  
B[
```

7. methodD throws a YellowException?

```
main[  
A[  
B[
```

after C, B-yellow, B-finally, main -green, main -finally]

8. methodD throws a OrangeException?

```
main[  
A[  
B[
```

What is Output When:

9. methodC throws a YellowException?

```
main[  
A[  
B[
```

10. methodC throws a BlueException?

```
main[  
A[  
B[
```

11. methodE throws a RedException?

```
main[  
A[  
B[
```

throws clause – Passing the Buck

Checked vs. Unchecked

unchecked: For progs that can & should be avoided with careful programming

checked: Eg: Nullpointer Exception, IndexOutofBounds Exception
checked: For progs that cannot be avoided with careful programming

Java Syntax compiler checks that programmer is aware

```
... methodName(parameter list) -> Looking for 1 or 2
    throws ExceptionType1, ExceptionType2, ... {
        ...
    }                                catch block
                                    @throws clause
```

Example

```
public static void main(String[] args) throws IOException { ... }
```

Defining a New Exception Class

Checked

```
public class MyException extends Exception {  
    /EMPTY  
}
```

Unchecked

```
public class MyException extends RuntimeException {  
    /EMPTY  
}
```

Example

```
public class EmptyBagException extends Exception {  
  
    public EmptyBagException() {  
        super();  
    }  
  
    public EmptyBagException(String msg) {  
        super(msg);  
    }  
}
```

if (...)

throw new EmptyBagException ("remove caused
this exception");

CS 367 Announcements

Thursday, September 24, 2015

Homework h2 due by 10 pm tomorrow, September 25th

- make sure your file is a pdf but not pdf scan of written work or pdf of a screen shot
- make sure you use the name h2.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Homework h3 assigned Saturday

Program p1 due 10 pm Sunday, September 27th

- submit java files to your in directory
- make sure to name your source files as specified in the submission section
- verify that you've submitted the correct files (ls, more, javac, java)

Program p2 assigned in the next day or 2, pair programming on p2 is allowed

Assignment questions? Post on Piazza or see a TA during lab consulting hours.

Last Time

Iterators

- options for implementing iterators (from last time)

Exceptions Review

- throwing
- handling
- execution
- practice

Today

Exceptions Review

- practice (from last time)
- throws and checked vs. unchecked
- defining

Chains of Linked Nodes

- Listnode class
- practice

Compiling & Submitting Programs

Next Time

Read: continue *Linked Lists*

Chains of Linked Nodes

- practice

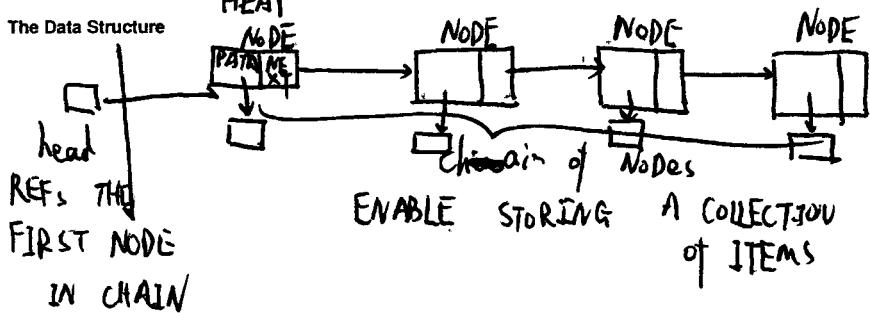
Java Visibility Modifiers

LinkedList Class

LinkedListIterator Class

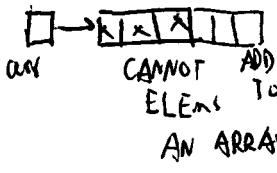
~~LN~~ means it
is
the last
node

Chain of Linked Nodes Data Structure



Empty chain. head

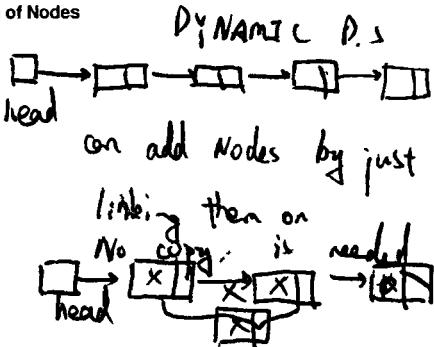
Array vs.



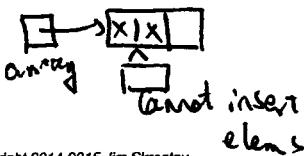
STATIC. O.S

INSTEAD we make a new LARGER ARRAY AND COPY

Chain of Nodes



CAN INSERT NEW NODES just link it in
no shifting needed



INSTEAD you must shift items over to make space

Implement ADTs using a chain of NODES AS THE DATA STRUCTURE RATHER THAN AN ARRAY

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CS 367 (F15): L7 - 2

Listnode Class

```
class Listnode<E> {

    private E data;
    private Listnode<E> next;

    public Listnode(E d) {
        this(d, null);
    }

    public Listnode(E d, Listnode<E> n) {
        data = d;
        next = n;
    }

    public E getData() { return data; }
    public Listnode<E> getNext() { return next; }

    public void setData(E d) { data = d; }
    public void setNext(Listnode<E> n) { next = n; }
}
```

Self data structure

Practice: Using Listnodes

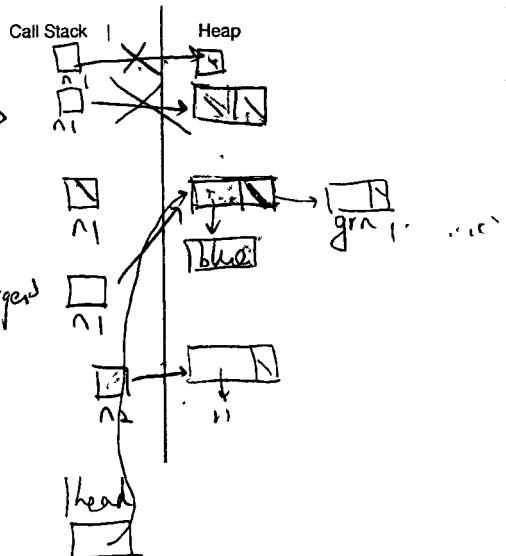
→ Draw a memory diagram corresponding to the given code:

assume code is in main()

Listnode<String> n1 = null;

n1 = new Listnode<String> ("blue")

Listnode<Integer> n2
= new Listnode<Integer>
(11);



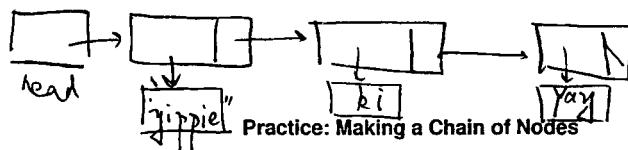
→ Write the code that results in:

Listnode<String> head = n1;

head.setNext(new Listnode<String>("green"));

head=null;

head



Practice: Making a Chain of Nodes

→ Create a chain of Listnodes containing the Strings "yippie", "ki", and "yay" (in that order) in as few statements as you can.

- `head = new Listnode<String> ("yippie");`
- `head.setNext(new Listnode<String> ("ki"));`
- `head.setNext(new Listnode<String> ("yay"));`

`1 Stmt` { `Listnode<String> head =`
`new Listnode<String> ("yippie"),`
`new Listnode<String> ("ki"),`
`new Listnode<String> ("yay")))`

* THIS APPROACH DOESN'T WORK WELL WITH
 LONG CHAINS, it's better to implement
 A List ADT CLASS AND ADD THE NODE
 ONE-BY-ONE

Practice: Traversing a Chain of Nodes

Assume head points to the first node in a chain of `ListNodes` containing `Strings`.

→ Write a code fragment that counts the number of strings in the chain of nodes.



```
ListNode head = new ListNode<String>();
if (head.next != null) count++;
while (newList != null) {
    newList++;
}
```

```
int count = 0;
while (head != null) {
    count++;
    head = head.getNext();
}
```

* When traversing a chain of nodes use ANOTHER REFERENCE variable. so you don't mess up head and lose the chain

```
ListNode<String> curr = head;
while (curr != null) {
    count++;
    curr = curr.getNext();
}
```



Practice: Adding a Node at the Chain's End

Assume `head` points to the first node in a chain of `Listnodes` containing `Strings`.

→ Write a code fragment that adds a node containing "rear" to the end of the chain of nodes.
You may assume the chain has at least one item.

```
ListNode<String> tmp = new ListAnode<String>("rear");
tmp.setNext(head);
head.setNext(tmp);
```

① Traverse To node `at head [END] of chain`

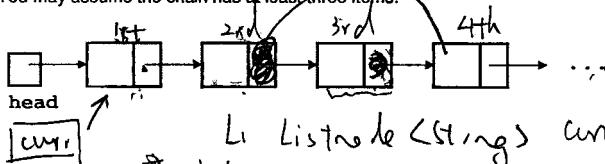
```
Listnode<String> curr = head;
while (curr.getNext() != null) {
    curr = curr.getNext();
}
curr.setNext(new Listnode<String>("rear"));
```

Practice: Removing a Node from a Chain

Assume `head` points to the first node in a chain of `Listnodes` containing `Strings`.

→ Write a code fragment that removes the third item from the chain of nodes.

You may assume the chain has at least three items.



head. getNext()
setNext()

Li Listnode <String> curr = head
for (int i=0; i<2; i++)
{ curr = curr.getNext()
curr.setNext(curr.getNext().getNext())
} (curr.getNext().getNext())
get messy with long chains and it doesn't generalize!

→ How would you generalize your code so it removes the N th item from the chain of nodes?

- ① use a curr reference to while ($tmp.getNext() = n$)
traverse to $(n-1)$ node $tmp = tmp.getNext()$,
- ② set the next field of the $n-1$ node to $tmp.setNext(n.getNext())$,
be the value in the next field of the n th node.

Practice: Challenge Question

Assume `head` points to the first node in a chain of `Listnodes` containing `Strings`.

→ Write a code fragment that reverses the order of the nodes in the chain.

Command Line Java Development

Download

Like Pone
Submit to in h1
But can also
download to your

pwd prints working directory
ls list directory contents
cd changes directory
private directory

Edit

Vim
emacs.

cat .java
more java
→ Vim, java

Compile

javac *.java

Run

java Main class Name

NOTE Don't include ".class"

Submit

cp To copy

cp <source> <destination>

ls ! \$

CS 367 Announcements Tuesday, September 29, 2015

Homework h3 due 10 pm Friday, October 2nd
Program p2 due 10 pm Friday, October 23rd

Assignment questions? Post on Piazza or see a TA during lab consulting hours.

Last Time

Exceptions Review

- practice (from last time)
- throws and checked vs. unchecked
- defining

Chains of Linked Nodes

- Listnode class
- Compiling & Submitting Programs

Today

Chains of Linked Nodes

- practice (from last time)

Java Visibility Modifiers

LinkedList Class

Next Time

Read: continue *Linked Lists*

LinkedListIterator Class

Linked List Variations

- tail reference
- header node
- double linking
- circular linking

Java Visibility Modifiers

public public class ArrayList<E>

private private Object[] items

protected protected String name

package class Listnode<E>

LinkedList - Implementing ListADT using a Chain of Nodes

```
public class LinkedList<E> implements ListADT<E> {  
  
    private Listnode<E> head;  
    private int numItems;  
  
    public LinkedList() {  
        head = null;  
        numItems = 0.0;  
    }  
  
    public void add(E item) {
```

LinkedList (cont.)

```
public class LinkedList<E> implements ListADT<E> {  
  
    private Listnode<E> head;  
    private int numItems;  
  
    public LinkedList() { ... }  
    public void add(E item) { ... }  
  
    public E get(int pos) {
```

CS 367 Announcements
Thursday, October 1, 2015

Homework h3 due by 10 pm tomorrow, October 2nd

- make sure your file is a pdf but not pdf scan of written work or pdf of a screen shot
- make sure you use the name h3.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Homework h4 assigned Sunday

Program p2 due 10 pm Friday, October 23rd

Last Time

Chains of Linked Nodes

- practice

Today

Java Visibility Modifiers (repeats)

LinkedList Class (repeats)

LinkedListIterator Class

Linked List Variations

- tail reference
- header node
- double linking
- circular linking

Next Time

Read: finish *Linked Lists*, start *Complexity*

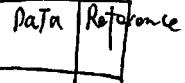
LinkedList Variations (finish)

Complexity

- Intro
- big-O notation
- analyzing algorithms practice
- analyzing Java code
- analyzing Java code practice

Recall Chain of Linked Nodes Data Structure

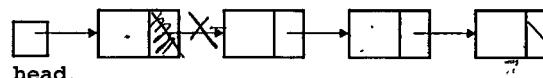
listnode



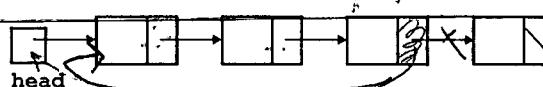
Listnode class

```
class Listnode<E> {
    private E data;
    private Listnode<E> next;
    public Listnode(E d) { ... }
    public Listnode(E d, Listnode<E> n) { ... }
    public E getData() { return data; }
    public Listnode<E> getNext() { return next; }
    public void setData(E d) { data = d; }
    public void setNext(Listnode<E> n) { next = n; }
}
```

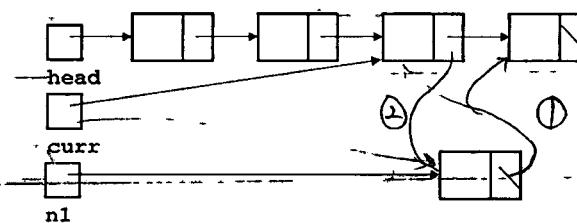
→ Show how the memory diagrams change as a result of executing the code beneath each:



head.setNext(head.getNext().getNext().getNext().getNext());



head.getNext().getNext().setNext(head);



n1.setNext(curr.getNext()); curr.setNext(n1);

Java Visibility Modifiers

```
public  public class ArrayList<E>
```

Accessible to any code

```
private private Object[] items
```

Accessible only to code within
the same scope (E.G.,
protected String name)

```
protected protected String name
```

Accessible only to code within
the same class or any
package class Listnode<E>
MEANS package access of that
accessible only to code within class's
The same package
(FOLDER, DIRECTORY)

GOAL: HIDE D.S. FROM OTHERS

STEPS: ① CREATE A FOLDER linkedList

② PUT IN IT!

Listnode.java

LinkedList.java

LinkedListIterator.java

CS 367 (F15): L9 - 3

]. Package

Public

③ User must:

import linked list *

LinkedList - Implementing ListADT using a Chain of Nodes

```
public class LinkedList<E> implements ListADT<E> {
```

```
private Listnode<E> head;
private int numItems;
```

Access works if
Listnode & LinkedList
in the same package

```
public LinkedList() {
    head = null;
    numItems = 0;
```

```
public void add(E item) { // AT END
    // CHECK for invalid item
    if (item == null) throw new IllegalArgumentException();
    // CREATE A NEW LISTNODE
    Listnode<E> newNode = new Listnode<E>(item);
    // SPECIAL CASE
    if (head == null) { head = newNode; }
    else {
        // GENERAL CASE: NOT EMPTY
        Listnode<E> curr = head;
        while (curr.getNext() != null)
            curr = curr.getNext();
```

```
// LINK IN NEW NODE
curr.setNext(newNode);
// COUNT THE NEW ITEM
numItems++
```

LinkedList (cont.)

```

public class LinkedList<E> implements ListADT<E> {

    private Listnode<E> head;
    private int numItems;

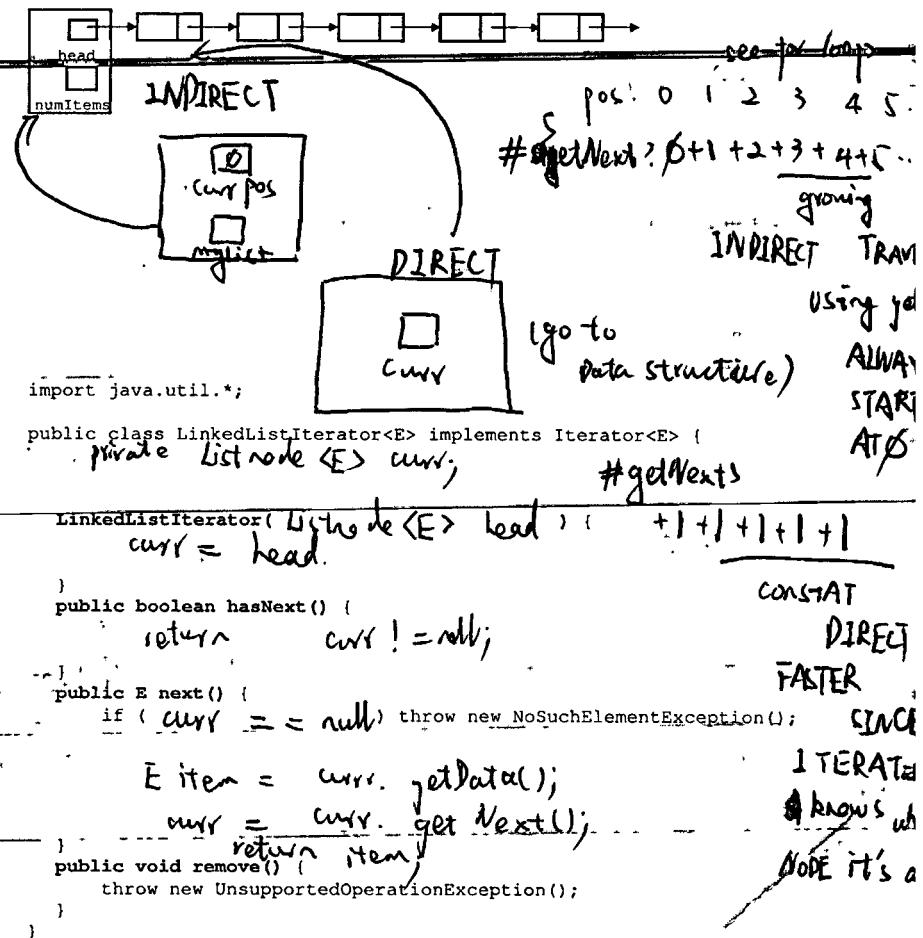
    public LinkedList() { ... }
    public void add(E item) { ... }

    public E get(int pos) {
        // CHECK FOR AN INVALID POSITION
        if (pos < 0 || pos >= numItems)
            throw new Index OutOfBoundsException();
        // TRAVERSE TO POSITION
        Listnode<E> curr = head;
        for (int i = 0; i < pos; i++)
            curr = curr.getNext();
        // RETURN DATA IN curr
        RETURN curr.getData();
    }
}

```

Implementing LinkedListIterator

→ Should an indirect or a direct iterator implementation be used with a LinkedList?



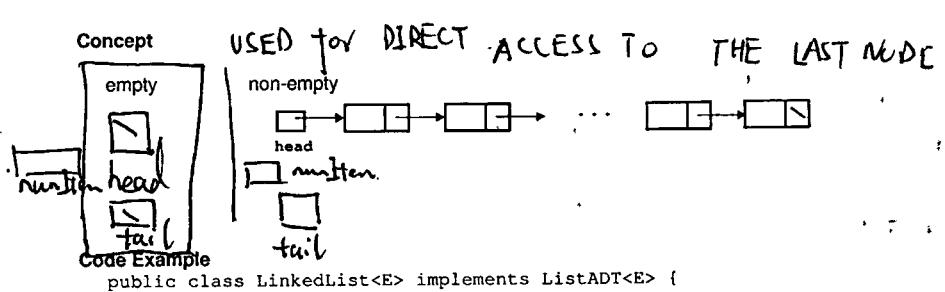
Making LinkedList Iterable

```
public class LinkedList<E> implements ListADT<E>, Iterable<E> {
    private Listnode<E> head;
    private int numItems;

    public LinkedList() { ... }
    public void add(E item) { ... }
    public E get(int pos) { ... }

    public Iterator<E> iterator() {
        return new LinkedListIterator(head);
    }
}
```

Tail Reference



```
    private Listnode<E> head;
    private Listnode<E> tail;
    private int numItems;

    public LinkedList() {
        head = null;
        tail = null;
        numItems = 0;
    }

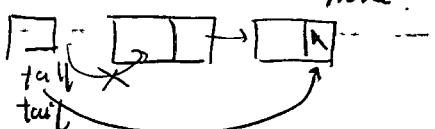
    public void add(E item) {
        if (item == null) throw new IllegalArgumentException();
    }
```

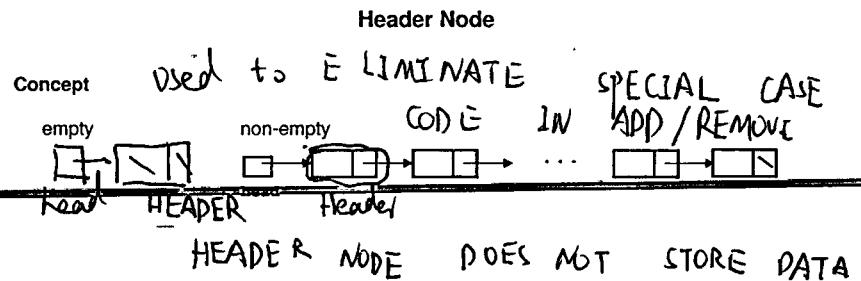
```
    Listnode<E> newnode = new Listnode<E>(item);
    //Special Case: empty list
    if (head == null) {
        head = newnode;
        tail = newnode;
    }
    //General Case: non-empty list
    else {
        Listnode<E> curr = head;
        while (curr.getNext() != null)
            curr = curr.getNext();
        curr.setNext(newnode);
    }
    numItems++;
}
```

* must make sure tail is properly updated.
at needs in other APP/REMOVE operations;



tail.setNext(newNode);
tail = newNode





Code Example

```

public class LinkedList<E> implements ListADT<E> {

    private Listnode<E> head;
    private int numItems;

    public LinkedList() {
        head = null; new Listnode<E>(null); // Make header node
        numItems = 0;
    }

    public void add(E item) {
        if (item == null) throw new IllegalArgumentException();
        Listnode<E> newnode = new Listnode<E>(item);

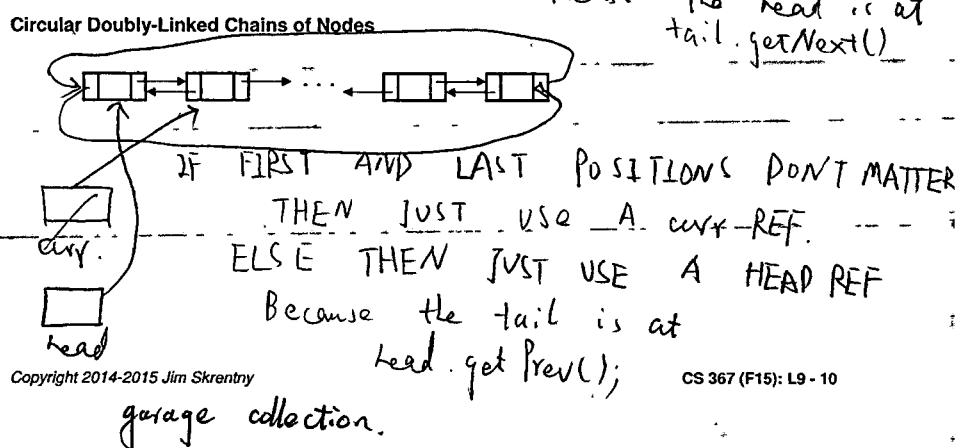
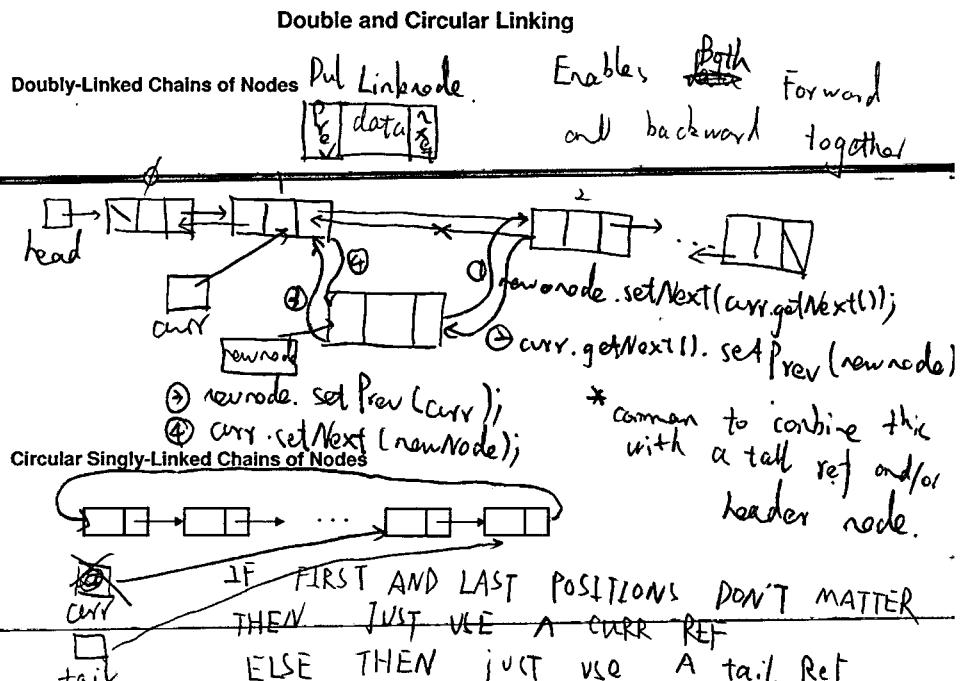
        // Special Case: empty list
        if (head == null) {
            head = newnode;
        }
        // General Case: non-empty list
        else {
            Listnode<E> curr = head;
            while (curr.getNext() != null)
                curr = curr.getNext();
            curr.setNext(newnode);
        }
        numItems++;
    }
}

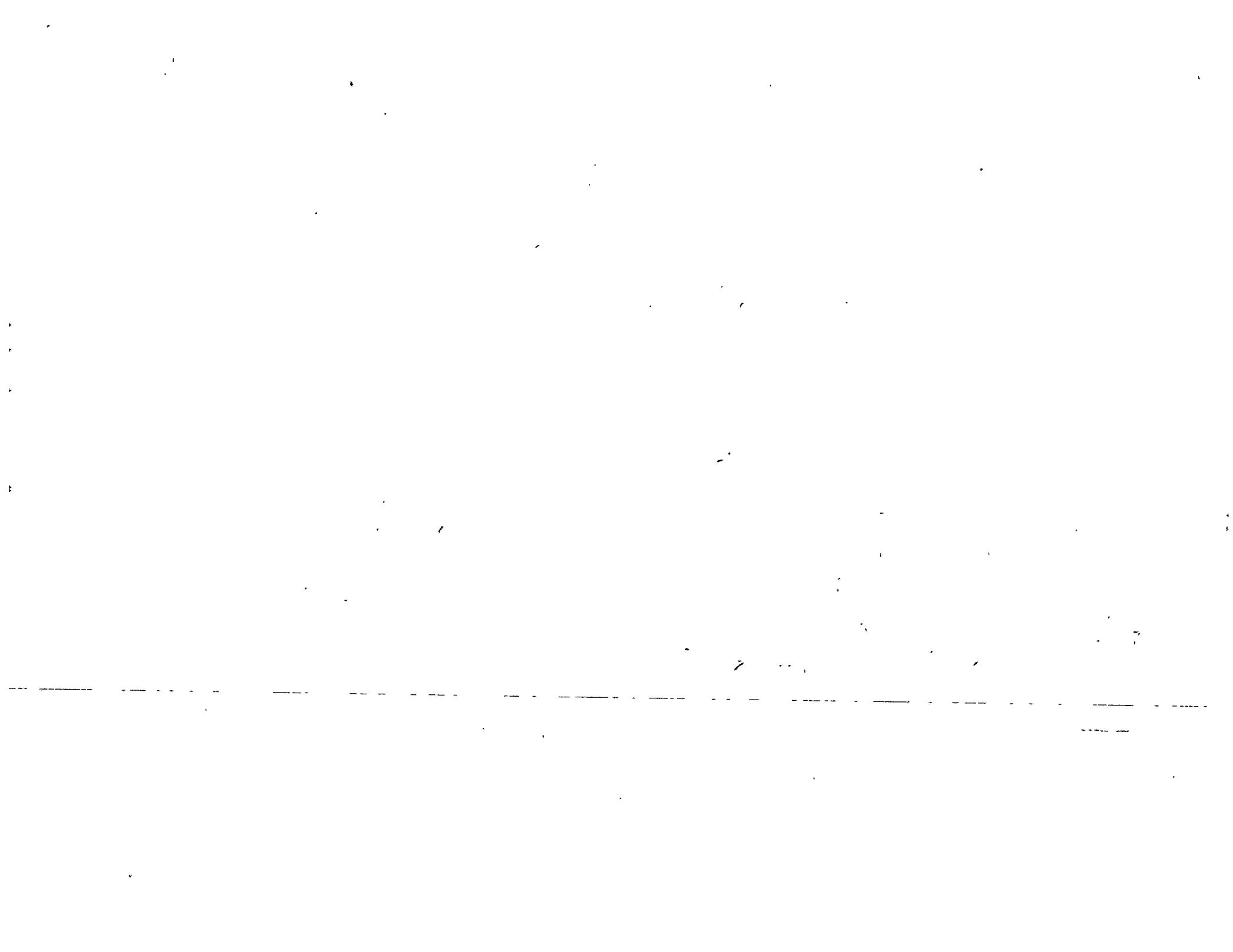
```

} Works for all cases

* MAKE SURE YOUR OPERATIONS
(e.g. get, contains, skip, cover the border case)

* THIS IS comment combined WITH
tail REFERENCE





CS 367 Announcements
Tuesday, October 6, 2015

Homework h4 due 10 pm Friday, October 9th

Program p2 due 10 pm Friday, October 23rd

Last Time

- Java Visibility Modifiers (repeats)
- LinkedList Class (repeats)
- LinkIterator Class
- Linked List Variations
 - tail reference
 - header node

Today

- Linked List Variations
 - double linking
 - circular linking
- Complexity
 - concept
 - 'big-O' notation
 - analyzing algorithms practice
 - analyzing Java code
 - analyzing Java code practice

Next Time

- Read: finish Complexity
- Complexity
 - significance of scaling
 - complexity caveats
 - Comparing ArrayList vs LinkedList
 - shadow array - improving array resizing

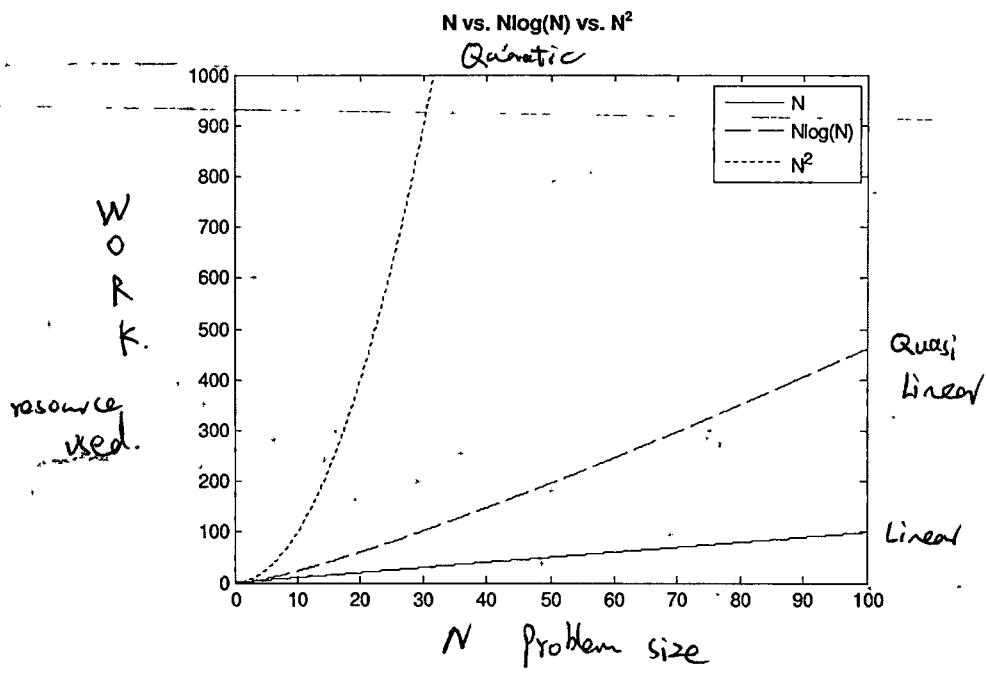
Analyzing Algorithm Efficiency

Complexity

- Used to compare DIFF ALGO's / code THAT solved THE same prob.
- CHARACTERIZED HOW THE USE of RESOURCES SCALES AS THE PROBLEM SIZE grows.
 - Resources < TIME is basic ops/ dominant space, is memory ops
- Problem size - THE ASPECT of THE problem when ops
- If problem size doubles and the # of operations:
 - STAY the same \Rightarrow constant TIME complexity
 - Affects the amount of resource used.
- double \Rightarrow LINEAR T.C.
- Quadruple \Rightarrow Quadratic T.C.

* Complexity classifications

- A FIRST - ORDER ANALYSIS. (where we focus on the most significant differences FIRST)



Complexity analysis is NOT concerned ~~about~~ about particular problem sizes.

- is concerned with how the work/resources used CHANGES AS THE problem size grows.

* Complexity does affect performance
But not vice versa

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CS 367 (F15): L10 - 3

Big-O Notation

Concept characterize functions by their growth rates
Growth rate funcs: I, $\log N$, N , $N \log N$, N^2 , N^3 , N^c , constant complexity $O(1)$
linear complexity $O(N)$
quadratic complexity $O(N^2)$ > Read as "order of..." $N, N!$ High

Simplifying Equations

$$\text{TIME EQN } T(N) = AN^2 + BN + C$$

(1) Prop Low order TERMS
(2) Drop multiplicative constants on HIGH

Formal Definition

A FUNC $T(N) \leftarrow$ ACTUAL RESOURCE consumption
is $O(F(N))$ growth rate functions

IF FOR SOME CONSTANT C AND SOME NUMBER N_0 such that
 $T(N) \leq C * F(N)$ for all $N \geq N_0$

$$T(N) = N+2 \quad | \quad F(N) = N \quad | \quad \text{CHOOSE } C=2, N_0=1 \text{ OK}$$

| | | | | | |
|---|---|---|---|---|----|
| 1 | 3 | 1 | 2 | 2 | 2 |
| 2 | 4 | 2 | 3 | 3 | 4 |
| 3 | 5 | 3 | 4 | 4 | 6 |
| 4 | 6 | 4 | 5 | 5 | 8 |
| 5 | 7 | 5 | 6 | 6 | 10 |
| 6 | 8 | 6 | 7 | 7 | - |

Prob size must be LARGE enough.

* choose ORF F THAT is LARGEST.

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CS 367 (F15): L10 - 4

Number Guessing Game

Picker picks a number between 1 and N

Repeat until number is guessed:

Guesser guesses a number

Picker answers "correct", "higher", or "lower"

problem size:
dominant operation:

N for the upper bound
Guesser - characterized the work/time
so we'll count it)

→ What is the complexity of each algorithm below that the guesser uses to decide the sequence of numbers to give as guesses?

Algorithm 1:
guess = 1
repeat
 If guess incorrect, increment guess by 1
until correct

BEST CASE:
(Secret N , if always 1)
Guesser $\Rightarrow O(1)$

* W/ ANALYZING You (Secret # is Always N)
WANT to DETERMINE IF THERE ARE N Guesses
in circumstances THAT BEST / AVG / $\Rightarrow O(N)$
WORST CASES.

Algorithm 2:
guess = $N/2$
step = $N/4$
repeat
 If guess is too large, decrease guess by step
 If guess is too small, increase guess by step
 step = step/2 (alternate rounding up/down)
until correct

E.g.: $N=100$ SECRET is 100

Guesser: 50 Step 25 75 88 94 97 99 100
 13↑ 80↓ 3 2↑ ↓

Best Case:

$$N = 50 \rightarrow O(1) \log_2 N \Rightarrow O(\log N)$$

Worst Case

$$N = 100$$

Complexity of Java Code

Basic operations

$O(1)$ anything that isn't a control statement or a method call

Sequence of statements

| | MEAN | SUM |
|-------------|-------------------------|------------------------|
| statement1; | $O(1)$ | $O(N^2)$ |
| statement2; | $O(1)$ | $O(1)$ |
| ... | | : |
| statementk; | $+ O(1)$ | $O(N)$ |
| | $\approx O(k \times 1)$ | $O(N^2 + k + ... + 1)$ |
| | $= O(1)$ | $= O(N)$ |

Left with high order term.

If-else N_{cond}
 if (cond) {
 //if sequence of statements N_{IF}
 }
 else {
 //else sequence of statements N_{ELSE}
 }
 $O(N_{\text{cond}}) + O(\max(N_{\text{IF}}, N_{\text{ELSE}}))$ worst case
 $= O(\max(N_{\text{cond}}, N_{\text{IF}}, N_{\text{ELSE}}))$ worst case

Complexity of Java Code (cont.)

Basic loops Loop typically mean multiply
→ What is the problem size based on? j .

```
for (i = 0; i < j; i++) { }  
//sequence of statements  
}  $\times O(N_{\text{STATS}})$ 
```

Nested loops → What is the problem size based on? N, M

```
for (i = 0; i < N; i++) {  
    for (j = 0; j < M; j++) { }  
    //sequence of statements  
}
```

$O(N \times M \times N_{\text{STATS}}) = \text{IF } N=M$

then $O(N^2 \times N_{\text{STATS}})$

IF $N=1$

Loops with nested method calls (assume problem size based on N)

```
for (i = 0; i < N; i++) {  
    f1(i); //assume O(1)  
}
```

$$= O(N \times 1) = O(N)$$

```
for (i = 0; i < N; i++) {  
    f2(i); //assume O(N)  
}
```

$$= O(N \times O(N)) = O(N^2)$$

```
for (i = 0; i < N; i++) {  
    f3(i); //assume O(i)  
}
```

unroll loop

$$\begin{aligned} 0 & \\ 1 & \sum_{i=0}^{N-1} f_3(i) = 0 + 1 + 2 + \dots + (N-1) \\ 2 & \\ 3 & \\ \vdots & \\ N-1 & \\ & = \frac{N}{2}(N-1) = \frac{N^2 - N}{2} \\ & = O(N^2) \end{aligned}$$

CS 367 (F15): L10 - 7

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Practice - Complexity of Java Code

method1

→ What is the problem size based on? $A.length = N$

```
public void method1(int[] A) {  
    for (int i = 0; i < A.length - 1; i++)  
        method2(A, i);  
}
```

$O(N)$ w. case,

$O(N^2)$

method2

$B.length = N$ $s = i$
if $B[i] > B[i+1]$ $O(1)$ $O(1) > O(1)$ Assume. Worst case
 $s = i$. Then $O(N)$

```
public void method2(int[] B, int s) {  
    for (int i = s; i < B.length - 1; i++)  
        if (B[i] > B[i+1])  
            method3(B, i, i+1);  
}
```

method3

$C.length = N$ $x = i$ $y = i+1$
 $O(1)$

```
public void method3(int[] C, int x, int y) {  
    int temp = C[x];  
    C[x] = C[y];  
    C[y] = temp;  
}
```

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CS 367 (F15): L10 - 8

Practice - Complexity of Java Code

method4

→ What is the problem size based on?

Q.

```
public void method4(int Q) {  
    int sum = 0; R = 1000;
```

```
    for (int i = Q; i >= 1; i--)  
        for (int j = 0; j < R; j++)
```

```
            sum += j;
```

}

Q R
 $O(QR)$

$O(N)$

method5

→ What is the problem size based on?

0

```
public void method5(int X) {  
    int tmp, arr[];
```

```
    arr = new int[X];  
    for (int i = 0; i < X; i++)
```

$O(X)$

```
        arr[i] = X - i;  
  
    for (int i = 0; i < X - 1; i++)  
        for (int j = i; j < X - 2; j++)
```

X-1

 if (arr[j] > arr[j+1])

X-2

 tmp = arr[j];

 arr[j] = arr[j+1];

 arr[j+1] = tmp;

$O(1)$

$O(N^2)$

$O(1)$

$O(N^2)$

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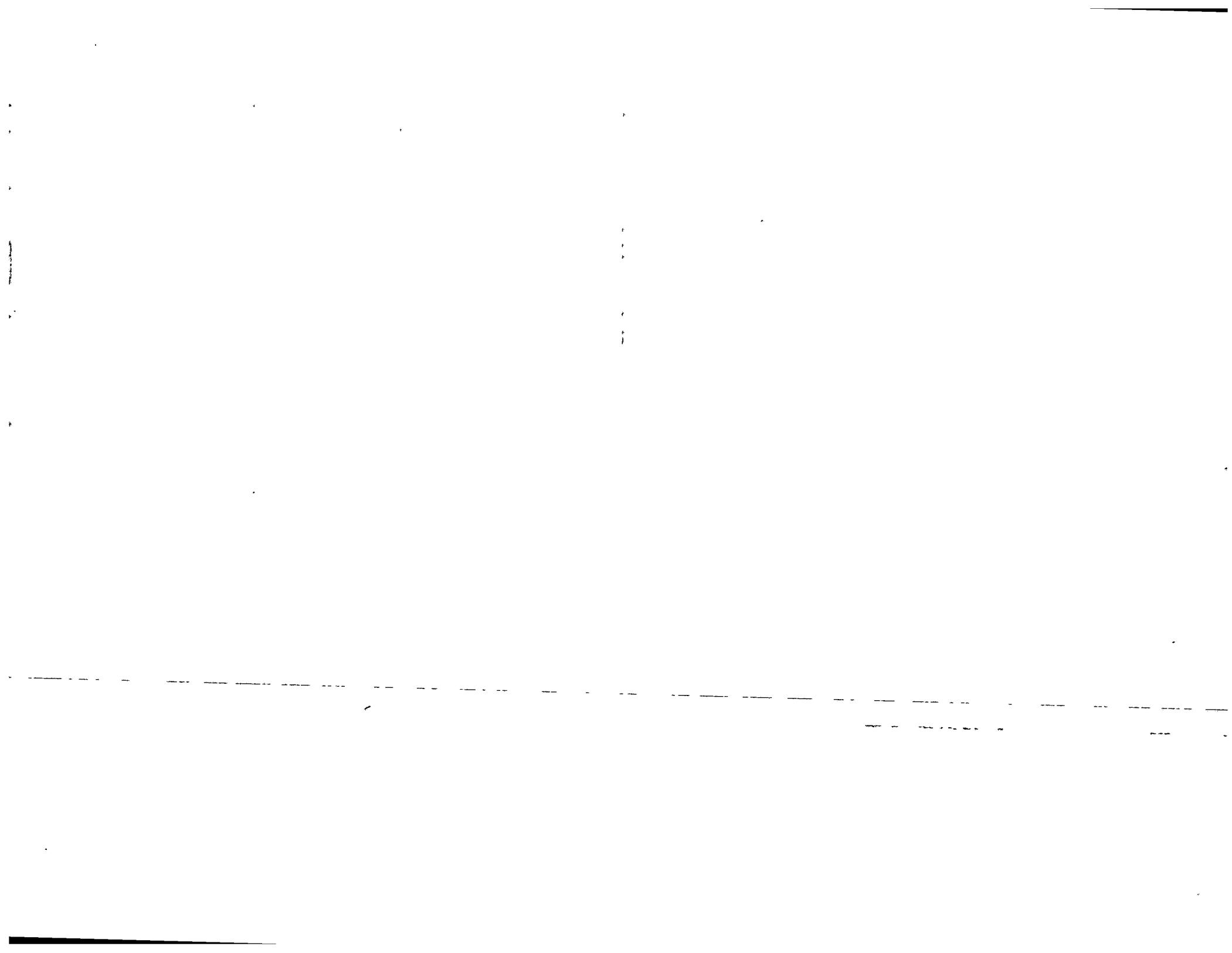
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CS 367 Announcements Thursday, October 8, 2015

Homework h4 due by 10 pm tomorrow, October 9th

- make sure your file is a pdf but not pdf scan of written work or pdf of a screen shot
- make sure you use the name h4.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Homework h5 assigned Sunday

Program p2 due 10 pm Friday, October 23rd

Last Time

Linked List Variations

- double linking
- circular linking

Complexity

- concept
- big-O notation

analyzing algorithms practice

Today

Complexity

- analyzing Java code (from last time)
- analyzing Java code practice (from last time)

• significance of scaling

• complexity caveats

Comparing ArrayList vs LinkedList

- shadow array - improving array resizing

Next Time

Read: *Stacks and Queues*

Stack ADT

- concept
- array implementations

• chain of nodes implementations

Queue ADT

- concept
- chain of nodes implementations

• array implementations

• circular array

Returning N Papers to N Students

problem size (N) =
dominant operation =

→ What is the complexity of each algorithm below?

Algorithm 1:
call out each name,
have student come forward & pick up

best-case:

> Can These be distinguished?
No!

$O(N)$

Algorithm 2:
hand pile to first student,
student linearly searches through papers & takes hers/his,
pass pile to next student who does likewise

best-case: PAPER are ordered same as students
worst-case: Opposite $\rightarrow O(N^2)$

Algorithm 3:
sort the papers alphabetically,
hand pile to first student who does binary search,
pass to next student who does likewise

The Significance of Scaling

| N | $N \log(N)$ | N^2 | 2^N | $N!$ |
|------|-------------|-----------|-----------------------|------------------------|
| 2 | 2.0 | 4 | 4 | 2 |
| 4 | 8.0 | 16 | 16 | 24 |
| 6 | 15.5 | 36 | 64 | 720 |
| 8 | 24.0 | 64 | 256 | 40,320 |
| 10 | 33.2 | 100 | 1024 | 3,475,800 |
| 15 | 58.6 | 225 | 32,768 | 1.3×10^{12} |
| 20 | 86.4 | 400 | 1,048,560 | 2.44×10^{18} |
| 100 | 664.4 | 10,000 | 1.3×10^{30} | 9.3×10^{157} |
| 1000 | 9965.8 | 1,000,000 | 1.1×10^{301} | 4.0×10^{2567} |

factorial.

Complexity Caveats

Small Problem Size

IF THE PROBLEM SIZE is small, complexity is NOT A good basis for choosing algo's.

Same Complexity

- Now consider complexities of different resources.
- Consider the multiplicative constants and lower order terms.

Comparing ListADT Implementations

Space Requirements Dominant unit is one memory reference.

→ Problem size N is? Number of items

array: EACH element refs data.
 $O(\text{length of the array})$ memory used is not necessarily proportional to number of items because we don't have to expand frequency.
 $O(2N) \Rightarrow O(N)$

Singly-Linked List:

data+next

Circular Singly-Linked List:

Same

Doubly-Linked List:

prev + data+next $O(3N) \Rightarrow O(N)$

Circular Doubly-Linked List:

Same.

memory used is proportional to number of items.

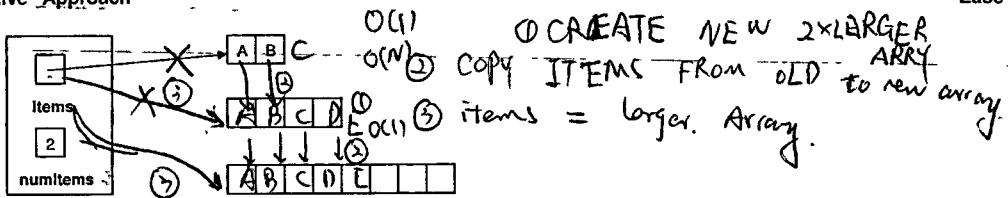
Comparing ListADT Implementations

Time Requirements
Problem size N is number of items

| | construct or | add (E) | add (int,E) | contains (E) | size | empty | get (int) | remove (int) |
|--------------------------|--------------|---------------------------|-------------------------|----------------|------------|------------|---------------|------------------------|
| Array | $O(1)$ | W.C. $O(N)$ Expand | W.C. $O(N)$ SHIFT | W.C. $O(N)$ | $O(1)$ | $O(1)$ | $O(1)$ | W.C $O(N)$ SHIFT |
| Singly-Linked List (SLL) | | $O(1)$ tail ref | W.C. $O(N)$ | W.C. $O(N)$ | numHead | null | W.C $O(N)$ | W.C $O(N)$ |
| Circular SLL | | $O(1)$ with only tail. | Traverse $O(N)$ | linear search | using head | using head | Traverse | Traversing $O(N)$ |
| Doubly-LL | | $O(1)$ with tail | Traverse $O(N)$ | linear | | | Traverse | Traversing $O(N)$ |
| CircularD LL | $O(1)$ | $O(1)$ with only head | W.C. $O(N)$ | W.C $O(N)$ | $O(1)$ | $O(1)$ | $O(N)$ | $O(N)$ |

Shadow Array – Improving Array Resizing

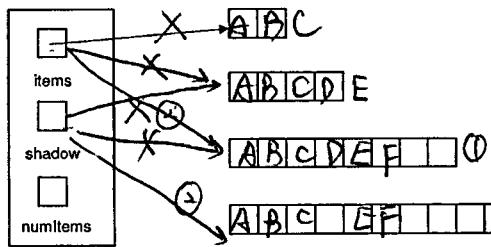
"Naive" Approach



EXPAND:

O(1) CREATE NEW 2xLARGER ARRAY
 O(N) ② COPY ITEMS FROM OLD to new array.
 O(1) ③ Items = larger array.

"Shadow Array" Improvement



ADD: add new item to items

and show arrays copy one item if shadow if needed

EXPAND O(1) CREATE A NEW 2x LARGER ARRAY

O(1) ② items == shadow.

O(1) ① shadow = larger array

New expand is O(1) because,

the cost of copying is spread
across multiple ADPS.

Comparing ListADT Implementations

Ease of Implementation

ALL EASY D.S

Array: SHIFTING EXPANDING SHIFTING

Singly-Linked List:

Circular Singly-Linked List:

Doubly-Linked List:

Circular Doubly-Linked List:

GETTING THE LINKS RIGHT CAN BE A CHALLENGE.
 * PICTURES TO CONFIRM YOUR CODE WORKS AS EXPECTED

CS 367 Announcements

Tuesday, October 13, 2015

Midterm Exam 1

- Tuesday, October 20, 5:00 pm
- Lec 1: B10 Ingraham Hall
- Lec 2: 6210 Social Sciences Building
- ~~HW1 Required~~
- See posted exam information

Homework h5 due 10 pm Friday, October 16th

Program p2 due 10 pm Friday, October 23rd

Last Time

Complexity

- analyzing Java code (from last time)
- analyzing Java code practice (from last time)
- significance of scaling
- complexity caveats

Today

Comparing ArrayList vs LinkedList (from last time)

- shadow array (from last time)

Stack ADT

- concept
- array implementations
- chain of nodes implementations

Queue ADT

- concept
- chain of nodes implementations
- array implementations

Next Time

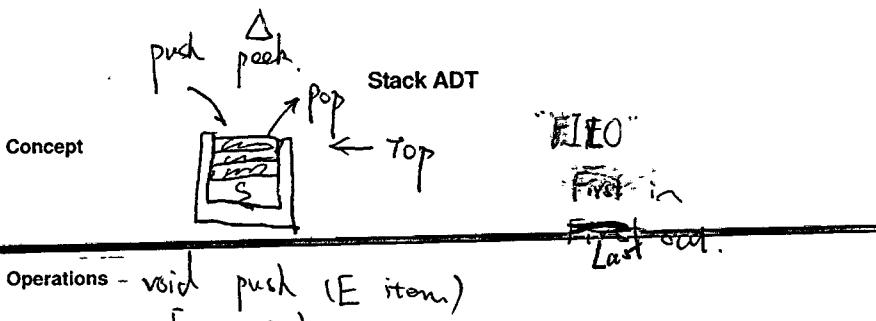
Read: start-Recursion (topic not on exam 1)

Queue ADT

- circular array

Recursion

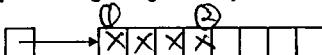
- call stack tracing
- recursion vs. iteration
- concept



Operations - void push (E item)
E pop()
E peek()
boolean isEmpty

throws EmptyStackException

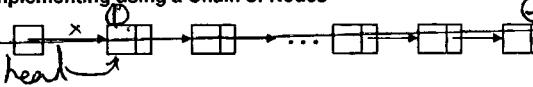
Implementing using an Array



→ Where should the top be located in the array? ②

- ① PUSH O(N) SHIFT POP O(N) SHIFT
② PUSH O(1) POP O(1)

Implementing using a Chain of Nodes



→ Where should the top be located in the chain of nodes?

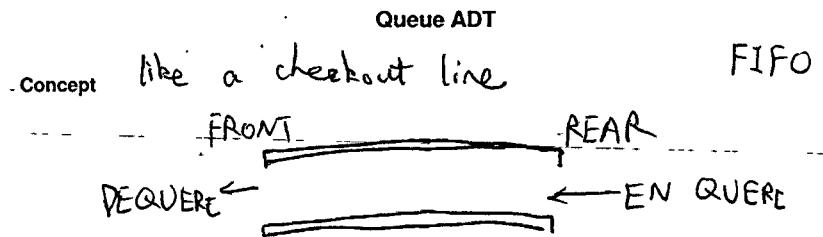
- ① PUSH O(1) POP O(1)
② PUSH O(N) TRAVERSAL POP O(N) TRAVERSAL

Complexities

ARRAY - all ops O(1) when top is at rear and using al shor.

chain of nodes - all ops O(1) when top is at head.

* WHEN CHOOSING APPLYING P.S.
THE OPERATIONS that matters most
are those that change the collection.



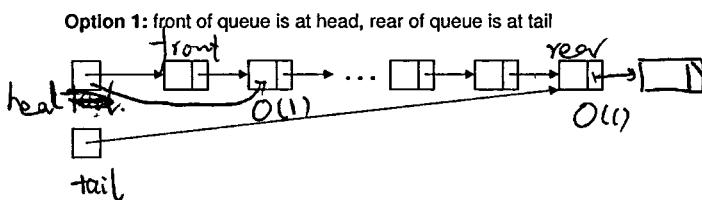
Operations

- void enqueue (E item)
- ↳ dequeue () throws EmptyQueueException
- boolean isEmpty ()

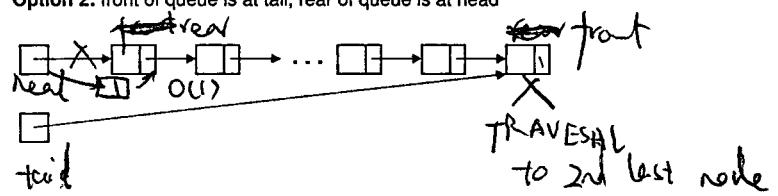
Implementing a using a Chain of Nodes

→ Is one option better than the other?

①



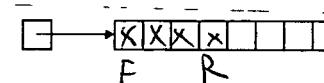
Option 2: front of queue is at tail, rear of queue is at head



Implementing a Queue ADT using an Array

Assume a shadow array is used so that expand is O(1).

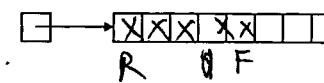
Option 1: front of queue is at Index 0, rear of queue is at Index N-1



ENQUEUE O(1)

DEQUEUE O(1) shift

Option 2: front of queue is at Index N-1, rear of queue is at index 0

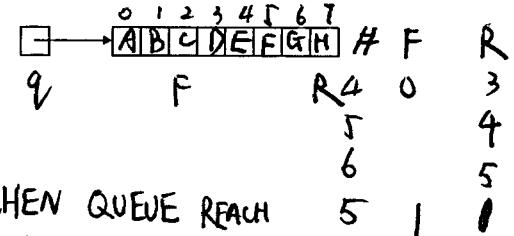


DEQUEUE O(1)

ENQUEUE O(N) shift

PROBLEM: FIXING either front or rear to index 0 requires one of op's to do

Option 3: front of queue is at First used, rear of queue is at last used shifting



ENQ:

INC R

q[R] = item
numItems++

WHEN QUEUE REACH

THE END OF THE

ARRAY.

We'll wrap around

and reuse any

free elems at the

front of the array.

DEQ:

DEQ.

DEQ.

DEQ.

DEQ.

DEQ.

DEQ.

DEQ.

TMP = q[F]

INC F

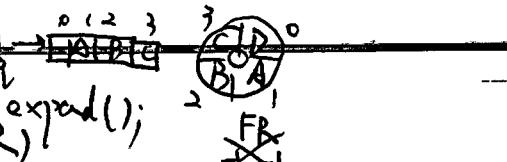
run Item --

return TMP

```
int incrementIndex (int i) {
    if (i == q.length - 1)
        return q.length - 1
    } return i + 1;
```

Implementing a Queue ADT using Circular Array

Concept REVERSE THE FRO ELEMS AT THE

THE ARRAY 

enqueue(item)

if (numItems == q.length) expand();
 R = incrementIndex(R);
 q[R] = item;
 numItem++;

dequeue()

if (numItems == 0) throw new EmptyQueueException();
 TMP = q[F];
 F = incrementIndex(F);
 numItem--;

return TMP;

expand()

| | |
|---------|--------|
| 0 + 2 | R F |
| C P A B | AB C D |

RF
unrolling

3 2 DEQ
2 3 DEQ
1 0 DEQ
0 1 DEQ

System.arraycopy(q, F, newq, 0, q.length - F);

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CS 367 (F15): L12 - 5

System.arraycopy(q, 0, newq, 0, q.length - F, F);

Variations from reading that is simpler

$$F = 0$$

$$R = numItem - 1$$

CS 367 Announcements
Thursday, October 15, 2015

Midterm Exam 1

- Tuesday, October 20, 5:00 pm
- Lec 1: B10 Ingraham Hall
- Lec 2: 6210 Social Sciences Building
- UW ID required
- See posted exam information

Homework h5 due by 10 pm tomorrow, October 16th

- make sure your file is a **pdf** but not pdf scan of written work or pdf of a screen shot
- make sure you use the name **h5.pdf**
- submit to your **in** handin directory
- remember homeworks are to be done **individually**
- remember that late work is not accepted

Program p2 due 10 pm Friday, October 23rd

Last Time

Comparing ArrayList vs LinkedList

- shadow array
- Stack ADT
- concept
- array implementations
- chain-of-nodes-implementations

Queue ADT

- concept
- chain of nodes implementations
- array implementations

Today

Queue ADT

- array implementations (from last time)

Récursion

- call stack tracing
- recursion vs. iteration
- concept

Next Time

Exam mechanics

Sample questions solution

Displaying a Singly-Linked Chain of Nodes

Method Call:

```
print(head);
```

Iterative Implementation:

```
void print(Listnode<String> curr) {
    while (curr != null) {
        System.out.println(curr.getData());
        curr = curr.getNext();
    }
}
```

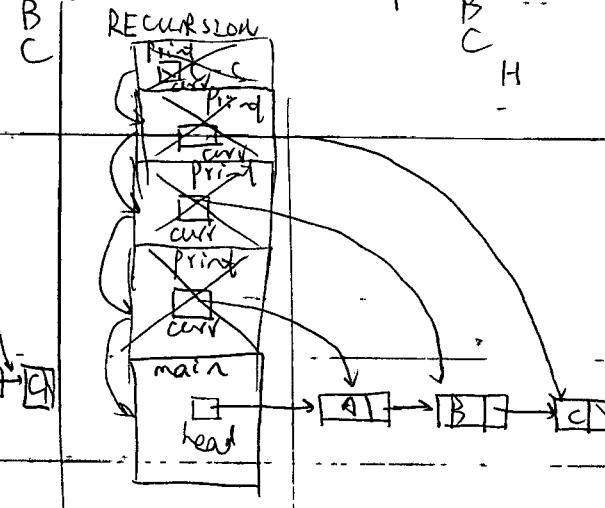
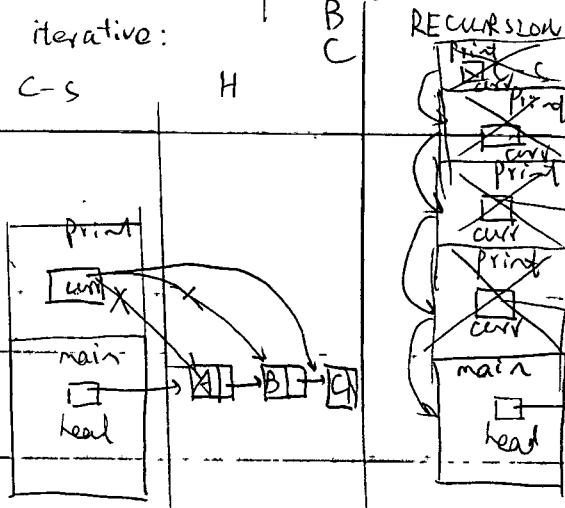
Recursive Implementation:

```
void print(Listnode<String> curr) {
    if (curr == null) return; base case
    System.out.println(curr.getData()); recursive case
    print(curr.getNext());
}
```

How do these work?

output: A
 iterative:
 C - S H B
 C

output: A
 B
 C
 H



Both REPEAT ONE-MORE STMTS
UNTIL some condition is met

Recursion vs. Iteration

Recursion is like iteration:

| Iteration | Recursion |
|------------------------|----------------|
| loop testing condition | base case. |
| loop body | recursive case |

Recursion is NOT like iteration:

- Each loop iteration uses the same Action record parameters & local variables
each recursive call uses a new A.R. params & local variables.
- A loop with a bad stopping condition
A recursive method with a bad base case results in "infinite" recursion.

Actual results in a program crashing due to call stack overflow

Rules for Recursion

1. every recursive method must have at least one base case (explicit or implicit)
2. Every recursive call must make progress towards a base case.

Recursion

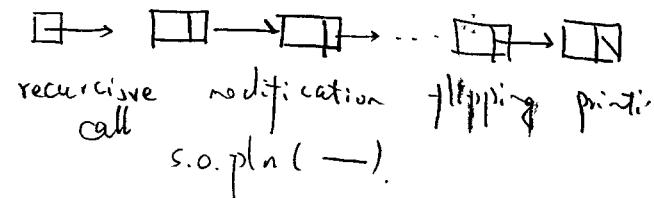
What is it?

- is a divide & conquer strategy for solving problems that divides a problem into smaller & smaller problems of the same kind until the solution is obvious or known
- A method is recursive if it calls itself either direct (as in `print()`) or indirect.
METHOD A → METHOD B → METHOD C

Why use it?

- Not used because it's faster
Not used because less memory is needed
Because it can often solve complex problems in a simple and concise manner.

How do you write the code to display a singly-linked chain of nodes in reverse order?



Factorials: n!

Consider the factorial of n (assume $n \geq 0$):

$$n! = n \times (n-1) \times (n-2) \times (n-3) \times \dots \times 2 \times 1$$
$$6! = 6 \times 5 \times 4 \times 3 \times 2 \times 1 = 720$$

$$6 \times 5!$$

$$5 \times 4!$$

Method Call:
factorial(6);

Iterative Implementation:

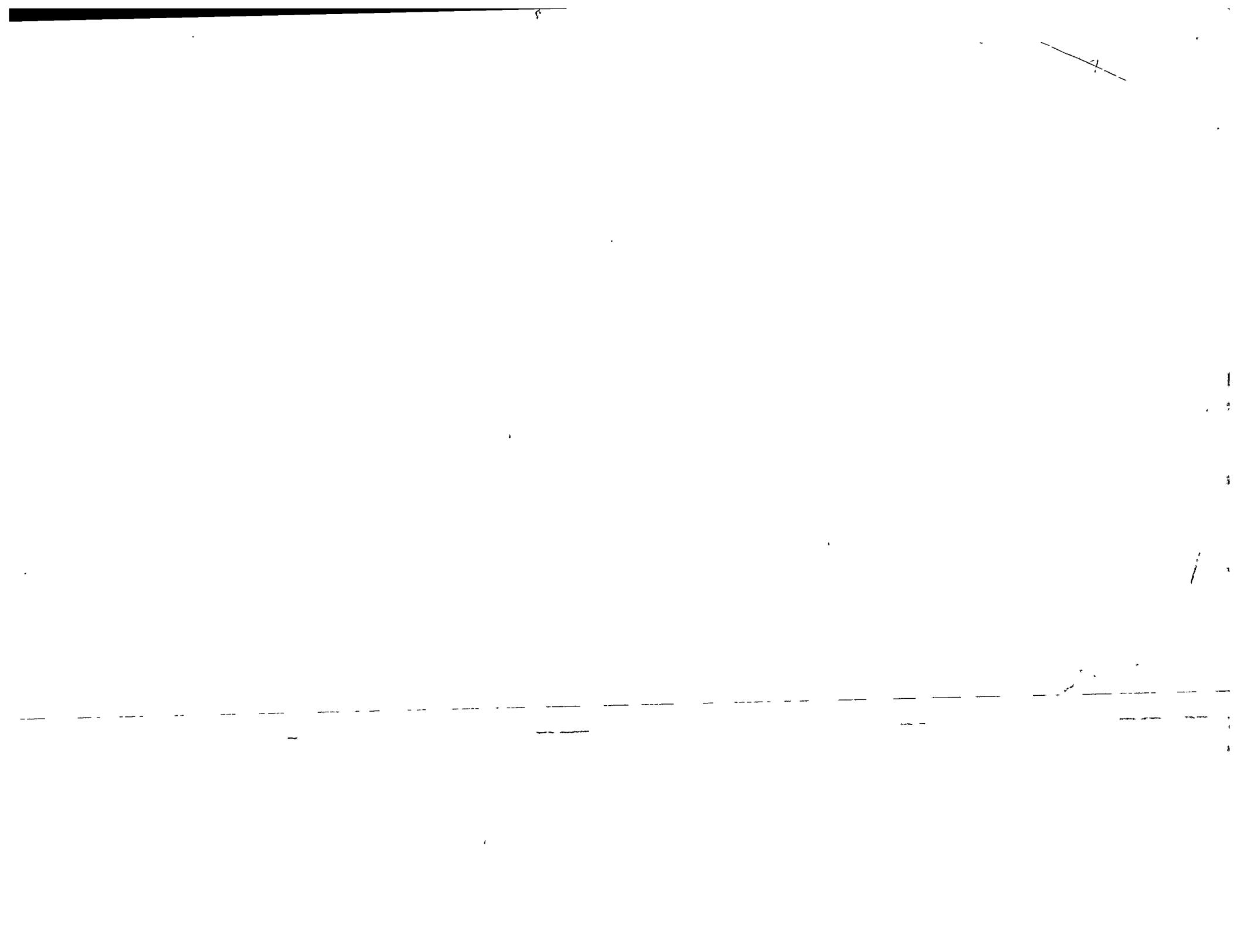
```
int factorial(int n) {
    int result = 1;
    for (; n > 1; n--)
        result = result * n;
    return result;
}
```

Recursive Definition: $n! = n \times (n-1)!$

$$\text{if } n \geq 0$$
$$n = 0.$$

→ Complete the Recursive Implementation:

```
int factorial(int n) {
```



CS 367 Announcements Thursday, October 22, 2015

Program p2 due 10 pm tomorrow, Friday, October 23rd

- submit java files to your in directory
- make sure to name your source files as specified in the submission section
- do not submit as a project/package/folder
- verify that you've submitted the correct files (ls, more, javac, java)
- partners? only ONE submits source but BOTH submit README.txt

Program p3 assigned

Last Time

- exam mechanics
- sample questions

Today

Recursion

- writing recursive code
- practice writing recursive code
- complexity of recursive methods
- practice analyzing complexity

Next Time

Read: finish *Recursion, Search*

Recursion

- more practice writing/analyzing

- execution tree tracing

Searching

Exams Returned

This evening
Assigned Monday

Recall Recursion

Recursion solves a problem by breaking it down into

smaller and smaller problems of the Same kind.

until the problem is so small that it has a known/obvious solution

→ Why use recursion?

simpler/concise code.

→ How do you tell that a method is recursive?

Calls itself directly

Rules:

1. Every recursive method must have at least one base case (implicit or explicit).
2. Every recursive method call must make progress towards a base case.

Constructing Recursive Code

→ Write a recursive method that computes n^m
that is, it computes double n raised to an int power m?

recursive definition:

$$\begin{aligned} n^m &= n \times n^{m-1} && \text{IF } m > \emptyset \\ &= 1 && \text{IF } m = \emptyset \\ &= 1/n^m && \text{IF } m < \emptyset \end{aligned}$$

recursive implementation:

```
double power (double n, int m) {
    if (m == 0) return 1;
    if (m > 0) return n * power (n, m-1);
    return 1/power (n, -m);
}
```

Key Questions:

- How can you solve the problem in terms of smaller problems of the same kind.
- What instances of the problem can serve as base cases?
- How does the problem size decrease with each recursive call?
- As the prob size decrease will a base case be reached?

$$n! = n \times (n-1)!$$

Practice – ListADT

→ Write a recursive method that displays the values in a (non-null) list of strings.

- To display A List, Print item in curr position, And Then display remaining List.
- A List with no (remaining) items displays nothing
- As curr advances down the list, The remaining List decrease in size by 1
- Eventually there will be no remaining List

void display(ListADT<String> list) {

destructive if (list.isEmpty()) return;

S.O.P (list.remove (0));

display (list);

void display (ListADT<String> list, int curr)

Explicit → if (curr >= list.size ()) return;

base S.O.P (list.get (curr));

display (list, curr+1);

Implicit → void display (....)

base if (curr < list.size) {

S.O.P (...);

display (....);

Practice – Array

→ Write a recursive method that counts the number of even values in an (non-null) array filled with integers.

1. In count even, check if current element's value is even.
if so, add 1 to count even of the remaining array otherwise Add 0.
2. An Array with No (REMAINING) ELEM has 0 even steps

3. As the curr element advances the remaining array decreases in size by one.
4. Eventually there will be No remaining array

```
int evenCount(int[] array) {
    int curr = 0; // curr to be continued.
    if (curr >= array.length) return 0;
    if ((array[curr] % 2 == 0))
```

```
        count++;
        evenCount (array, curr+1);
```

```
}
```

```
return evenCount (array, 0);
```

```
int evenCount (int[] array, int curr) {
    if (curr >= array.length) return 0;
```

companion method: if (array[curr] % 2 == 0)

```
    return 1 + evenCount (array, curr+1);
```

```
}
```

```
return 0 + evenCount (array, curr+1);
```

* sometimes A companion method is needed
to allow add'l params to be passed.
in the recursive method.

Analyzing Complexity of Recursive Methods

Options:

1. Informal Reasoning
2. Recurrence equations

need to determine what aspect of the problem controls the problem size.

1. write equations

base case(s) form: $T(\frac{\text{prob size of the base}}{\text{case}}) = \text{GRF}$

recursive case form:

$T(N) = \text{GRF for work of rec case excluding rec.calls} + T(\frac{\text{prob size of the rec call}}{\text{base case}})$

2. expand the equations in a table

- Look for a pattern between N & $T(N)$

- Guess a solution based on the pattern

3. Verify the guessed soln by substituting it back into the recurrence EQN.

4. Do complexity simplification on the solution.

Practice – Complexity of Recursive evenCount

Problem size N is

1. Equations

Number of elements in the arrays

$$T(0) = 1$$

$$T(N) = 1 + T(N-1)$$

2. Table

| N | $T(N)$ |
|----------|-------------------------------------|
| 0 | 1 |
| 1 | $1 + T(0) = 1 + 1 = 2$ |
| 2 | $1 + T(2-1) = 1 + T(1) = 3$ |
| 3 | $1 + T(3) = 1 + T(2+1) = 1 + 3 = 4$ |
| \vdots | |
| k | $k+1$ |

3. Verify

$$T(N) = 1 + T(N-1)$$

$$\text{Solv. } +$$

$$\begin{aligned} 1 + & \quad ? \\ 1 + 1 & = 1 + (N-1) + 1 \\ N+1 & = 1 + N-1 = N+1 \end{aligned}$$

4. Complexity

$$O(N+1) = O(N)$$

Towers of Hanoi

Algorithm

solveTowers(count, src, dest, spare) {

IF (count==1) Move disk from SRC to dest
 ELSE solveTowers (count-1, SRC, SPARE, dest)
 solveTowers (1, SRC, dest, spare)
 solveTowers (count-1, spare, dest, SRC)

Complexity

Problem size N is Number of disks

1. Equations

$$T(1) = 1$$

$$T(N) = 1 + T(N-1) + \cancel{T(1)} + T(N-1)$$

2. Table

| N | $T(N)$ |
|----------|-----------------------------|
| 1 | $1 + 2 \times (T(N-1)) = 3$ |
| 2 | $1 + 2 \times (2) = 7$ |
| 3 | $1 + 2 \times (3) = 15$ |
| \vdots | |
| k | $2^k - 1$ |

3 Verify

$$T(N) = 1 + 2 T(N-1)$$

$$2^{N-1} = 1 + 2(2^{N-1}-1) = 1 + 2^N - 2$$

4. Complexity

$$O(2^N)$$

CS 367 Announcements
Tuesday, October 27, 2015

Homework h6 due 10 pm, Friday, October 30th

Program p3 due 10 pm, Sunday, November 8th

Last Time

Recursion

- practice writing recursive code
- complexity of recursive methods
- practice analyzing complexity

Today

Recursion

- more practice writing/analyzing recursion
- execution tree tracing

Searching

Exams Returned

Next Time

Read: *Trees*

Categorizing ADTs

Tree Terms

General Trees

- implementing
- determining tree height

Binary Trees

- implementing

Tree Traversals

Practice – Strings

→ Write a recursive method that determines if a string is a palindrome.

Examples:

- eye
- mom
- radar
- racecar
- Rise to vote, sir!
- Never odd or even!
- A nut for a jar of tuna.
- Campus Motto: Bottoms up, Mac.
- Ed, I saw Harpo Marx ram Oprah W aside!
- Doc note: I dissent. A fast never prevents a fatness. I diet on cod.

Assumptions: non-null input string, all spaces and punctuation removed, all lower-case

Useful string methods:

- char charAt(int index)
- int length()
- String substring(int begin, int one_past_last)

if $\text{charAt}(0) == \text{charAt}(\text{length} - 1)$
 $\text{curr} >=$

if ($\text{charAt}(0) == \text{charAt}(\text{length} - 1)$)
 $\text{curr} >=$

substring($\text{curr} + 1$, length)

① A string is a palindrome if the first and last characters ARE THE SAME AND THE REMAINING STRING IS A PALINDROME

② AN EMPTY STRING OR A STRING ONE CHARACTERS ARE BOTH PALINDROME

③ boolean isPal(String s) {
if ($s.length() == 0$ || $s.length() == 1$) return true;

return $s.charAt(0) == s.charAt(s.length() - 1)$;
OR isPal(s.substring(1, s.length() - 1));

Analyzing Recursive isPalindrome

Problem size N is

length of the string

1. Equations

$$T(0) = 1$$

$$T(1) = 1$$

$$T(N) = N$$

$$T(N) = 1 + T(N-2)$$

2. Table

| N | T(N) |
|---|---|
| 0 | 1 |
| 1 | 1 |
| 2 | 2 |
| 3 | 2 |
| 4 | 3 |
| 5 | 3 |
| 6 | 4 |
| 7 | 4 |
| K | $\frac{K}{2} + 1$ if even $\frac{K+1}{2}$ if odd |

3. Verify

$$T(N) = 1 + T(N-2) \quad (N) = 1 + T(N-2)$$

$$(N+1) = K/2 + 1 + T(N-1) = 1 + T(N-1)$$

$$\begin{aligned} N/2 + 1 &= 1 + \frac{N-1}{2} + 1 = 1 + T(1) = 1 + \\ &= \frac{N-2}{2} + 2 = \frac{N+2}{2} = \frac{N}{2} + 1 \end{aligned}$$

4. Complexity

$$O(\frac{N}{2} + 1) = O(N)$$

Picking Lottery Numbers

What are your odds of winning the lottery? It depends on the number of possible combinations given how many numbers you have to pick and over what range:

Supercash - choose 5 out of 39 numbers (range 1-39)

Megabucks - choose 6 out of 49 numbers (range 1-49)

order doesn't matter
duplicates aren't allowed.

N Choose K: How many combinations of K things can you make from N things?

Recursive Definition:

$$\textcircled{1} \quad c(n,k) = \frac{\text{count of combination includes FAV } \#}{\text{count of combination excludes FAV } \#}$$

$$\textcircled{2} \quad c(n, k) = 1 \text{ IF } k = n$$

$$0 \text{ IF } k = 0.$$

$$0 \text{ IF } k > n.$$

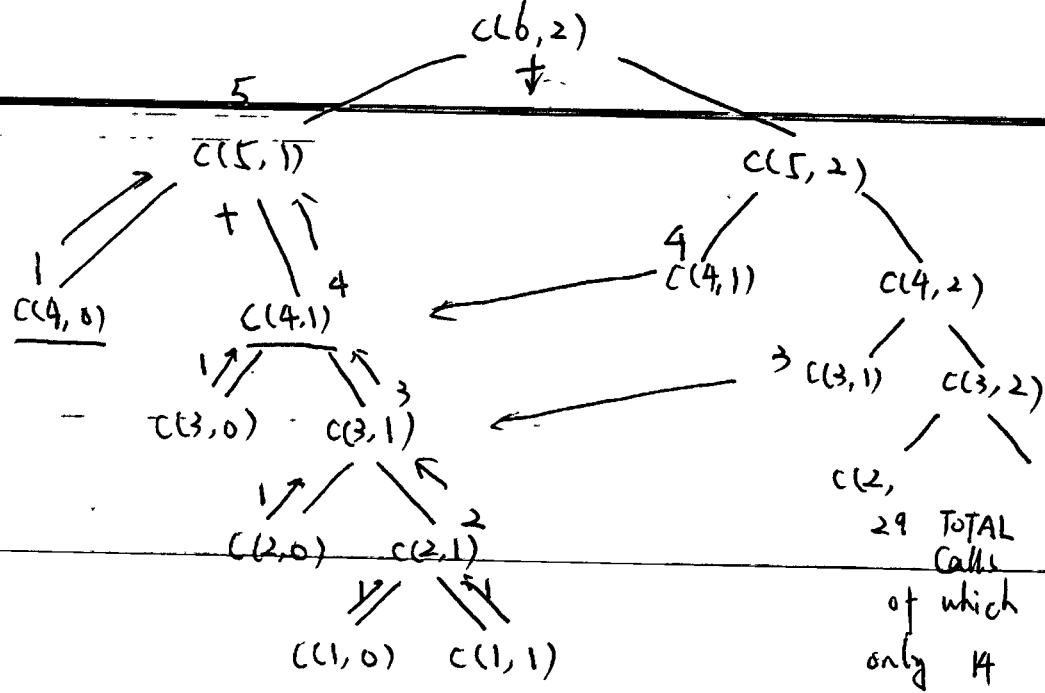
\textcircled{3} range n is always decreasing by 1
pick k is either staying same or decreasing by 1
\textcircled{4} $c(n-1, k-1)$ reaches $k=0$
→ implement the $c(n,k)$ method. $c(n-1, k)$ reaches $n=k$

```
int c(int n, int k) {
    if (k == n || k == 0) return 1;
    if (k > n) return 0;
    return c(n-1, k-1) + c(n-1, k);
}

so 
$$n! / k!(n-k)!$$

```

Execution Tree Tracing of $c(n,k)$



- * used to diagram the execution of recursive code that does ~~multiple~~ recursive calls in recursive case!

- * CAN REVEAL INEFFICIENCIES in your ALGO, that can then be addressed in a number of ways

for example, add base case $c(n, k) = n$ if $k=1$

Searching

N is size of the list

Linear Search:

$O(N)$

Linear search

Search one by one.
use on unsorted list

```

IF (pos >= L.size()) RETURN false;
IF (x EQUALS L.get(pos)) RETURN TRUE
RETURN LINSEARCH(L, pos+1, x)
  
```

Binary Search:

DIVIDE & CONQUER

REQUIRES A SORTED LIST

BINSEARCH(L, FIRST, LAST, x)

IF (FIRST > LAST) RETURN FALSE

$O(\log N)$ CENTER = ($\text{first} + \text{last}$) / 2

by half IF (x EQUALS L.get(CENTER))

RETURN TRUE;

IF ($x < L.get(CENTER)$)

RETURN BINSEARCH(L, FIRST, CENTER-1, x)

ELSE

RETURN BINSEARCH(L, FIRST, CENTER, LAST, x)

CS 367 Announcements
Thursday, October 29, 2015

Homework h6 due by 10 pm tomorrow, October 30th

- make sure your file is a pdf but not pdf scan of written work or pdf of a screen shot
- make sure you use the name h6.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Program p3 due 10 pm, Sunday, November 8th

Last Time

Recursion

- more practice writing/analyzing recursion
- execution tree tracing

Searching

Exams Returned

Today

Categorizing ADTs Part 1

Tree Terms

General Trees

- implementing

- determining tree height

Binary Trees

- implementing

Tree Traversals

Next Time

Read: start Binary Search Trees

Categorizing ADTs Part 2

Comparable Interface

Binary Search Tree (BST)

- BSTnodes

- BST class

- implementing print

CS Options/Courses

Categorizing ADTs Part 1

BASED ON THEIR LAY OUT

- LINEAR



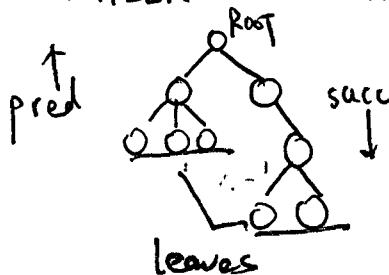
NEXT-PREV RELATIONSHIP

1 pred

predecessor
successor

except for first
except for last

- HIERARCHICAL

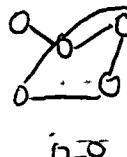


parent / child relationship

1. pred except for root

1. or more successors except for leaves

- GRAPHICAL

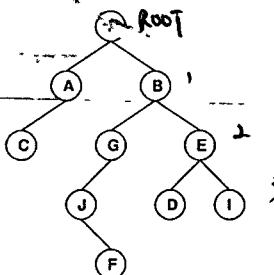


PAIRWISE RELATIONSHIP

0 or more pred's

0 or more succ's

Tree Terminology



1. Which is the root? H
2. How many leaves are there? 4
3. How many nodes are in the right branch/subtree of B? 3
4. Which is the parent of G? B
5. How many children does E have (degree of E)? 2
6. Which is the sibling of E? G
7. How many descendants does B have? 6
8. What are the ancestors of C? A, H
9. What is the length of the path from B to D? 3 number of items in the path
10. What is the height of the tree? 5
11. What is the depth/level of J? 4

General Tree

Each node can have an arbitrarily number of children

The Tree Node Class:

```
class Treenode<T> {
    private T data;
    private ListADT<Treenode<T>> children;
    ...
    get Data
    get Children
}
```

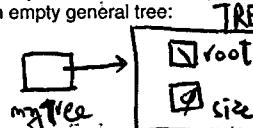
→ Draw a picture of the memory layout of a Treenode
(assume an ArrayList is used for the ListADT):

The Tree Class:

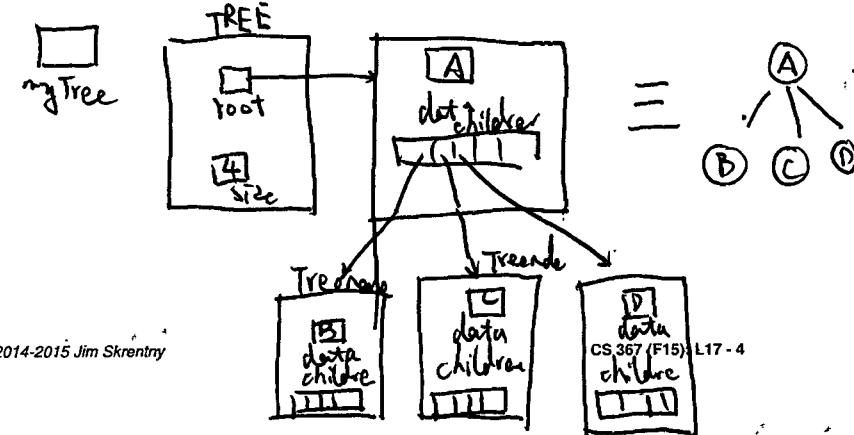
```
public class Tree<T> {
    private Treenode<T> root;
    private int size;

    public Tree() {
        root = null;
        size = 0;
    }
    ...
}
```

→ Draw a picture of the memory layout
of an empty general tree:



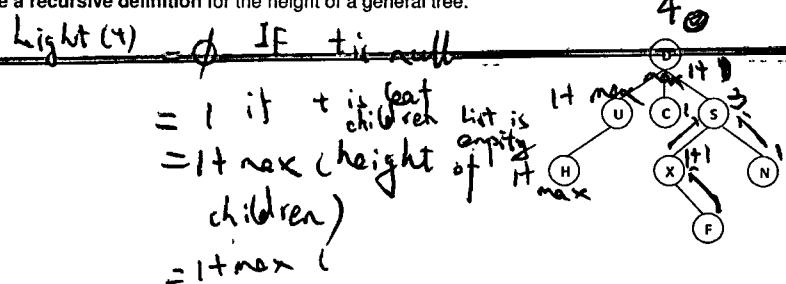
→ Draw a picture of the memory layout
of a general tree with a root node having 3 children:



Determining Height of a General Tree

Recall the height of a tree is the length of a path from the root to the deepest leaf.

→ Write a recursive definition for the height of a general tree.



→ Complete the recursive height method based on the recursive definition.
Assume the method is added to a Tree class having a root instance variable.

```
public int height() { return height(root); }

private int height (TreeNode<T> t) {
    if (t == null) return 0;
    if (t.getChildren().isEmpty()) return 1;
    int maxHT = 0;
    Iterator<TreeNode<T>> itr = t.getChildren();
    while (itr.hasNext()) {
        int childHT = height (itr.next());
        if (childHT > maxHT) maxHT = childHT;
    }
    return 1 + maxHT;
}
```

EACH NODE HAS AT MOST 2 CHILDREN

Binary Tree

```
class BinaryTreeNode<T> {
    T data;
    private BinaryTreeNode<T> leftChild;
    private BinaryTreeNode<T> rightChild;

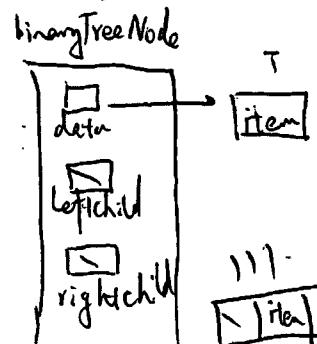
    public BinaryTreeNode(T info) {
        data = info;
        leftChild = null;
        rightChild = null;
    }
    ...
}
```

→ Draw a picture of the memory layout of a BinaryTreeNode:

The Tree Class:

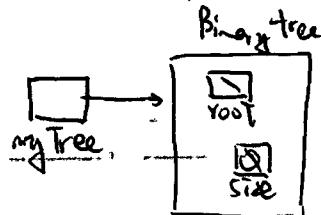
```
public class BinaryTree<T> {
    private BinaryTreeNode<T> root;
    private int size;

    public BinaryTree() {
        root = null;
        size = 0;
    }
    ...
}
```

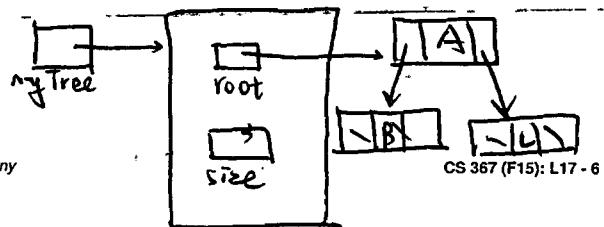


111
item

→ Draw a picture of the memory layout of an empty binary tree:



→ Draw a picture of the memory layout of a binary tree with a root node having 2 children:



Tree Traversals

Goal: visit every node in the tree exactly once

visit means to do something with the node data
 traversing means step through the list of children from left to right

V = visit

C = traverse children

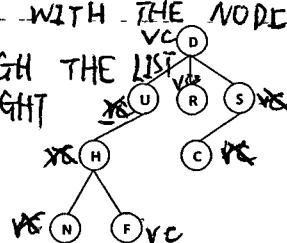
L = traverse left child

R = traverse right child

Level-order D U R S H C N F

TOP TO BOTTOM

LEFT TO RIGHT ON EACH LEVEL



General Tree

Binary Tree

Pre-order

V C
D U H N F R S C

VLR

Post-order

C V
N F H U R D C S D

LVR

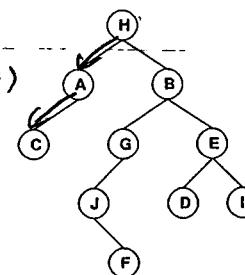
In-order

NOT POSSIBLE

* USE TREE DIAGRAM
 FOR AN EXECUTION
 TREE TRACE

Practice - Tree Traversals

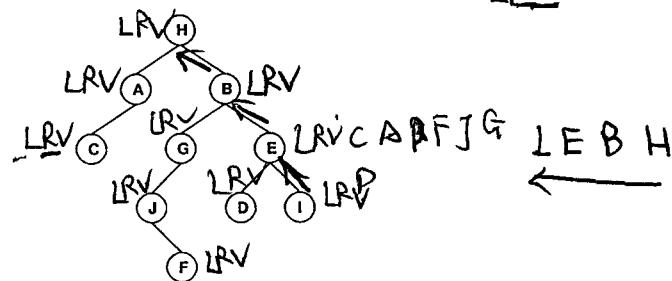
→ List the nodes using a pre-order traversal.



VLR

H A C

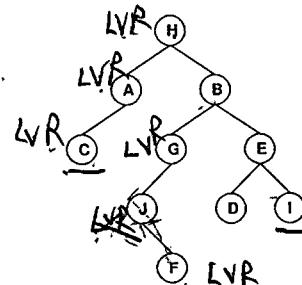
→ List the nodes using a post-order traversal.



LRV

LE B H

→ List the nodes using an in-order traversal.



FARTHEST ON LEFT

CA H J FG B DE I

FARTHEST ON
RIGHT

CS 367 Announcements
Tuesday, November 3, 2015

Homework h7 due 10 pm, Friday, November 6th

Program p3 due 10 pm, Sunday, November 8th

Last Time

Categorizing ADTs Part 1

Tree Terms

General Trees

- implementing

determining tree height

Binary Trees

- implementing

Today

Finish Traversals (last lecture)

Categorizing ADTs Part 2

Comparable Interface

Binary Search Tree (BST)

- BSTnodes

- BST class

- implementing print

CS Options/Courses

Next Time

Read: finish *Binary Search Trees*

Binary Search Tree (BST)

- implementing lookup, insert, delete
- complexities of BST methods

Balanced Search Trees

Classifying Binary Trees

Categorizing ADTs Part 2

BASED ON HOW OPERATIONS ARE DONE
POSITION ORIENTED? OPERATIONS OCCUR
~~AT A SPECIFIED POSITION IN THE ADT~~

List ADT, Stack ADT, Queue ADT

VALUE ORIENTED: operations occur
at a position in the ADT THAT'S
Based on A KEY VALUE IN THE ITEM.
SortedList ADT, Map ADT

Comparable Interface

* USE TO DETERMINE THE RELATIVE ORDERING OF ITEMS

- In `java.lang` package.

- Specifies one method.

```
public int compareTo(T other)
```

- USE. a. `compareTo(b)`

RETURN \emptyset IF $a \equiv b$ (ARE THE SAME)

$<\emptyset$ IF $a < b$ (comes before b)

$>\emptyset$ IF $a > b$ (comes after)

- Implementation should be compatible with `equals()`

a. `compareTo(b) == \emptyset`

THEN $a.equals(b) == \text{TRUE}$

Except when

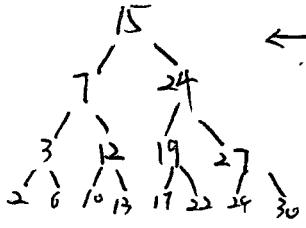
a. `compareTo(null)` THROWS `NullPointerException`

$\Rightarrow a.equals(null) == \text{TRUE}$

Binary Search Tree (BST)

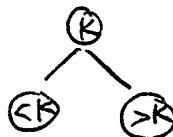
- VALUE ORIENTED
- DUPLICATE KEYS ARE NOT ALLOWED
- FAST LOOKUP, INSERT, REMOVE OPERATIONS
- combine speed of BINARY SEARCH OF SORTED VALUES WITH THE SPEED OF LINKING & UNLINKING CHAIN OF NODES ON AN ARRAY

Example



THIS IS IDEAL SHAPE FOR BST STORING THESE VALS

Ordering Constraint



BST

- REQUIRES
- (1) BINARY NODES
- (2)

FOR EACH NODE N
HAVING A KEY VALUE K

- $L < K$

FOR every key L
in the left subtree of K

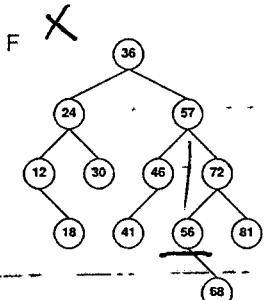
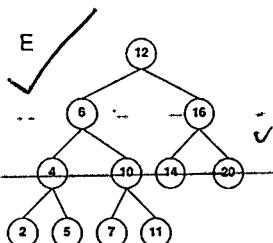
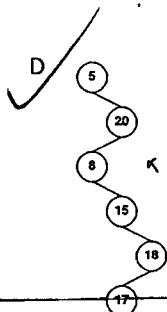
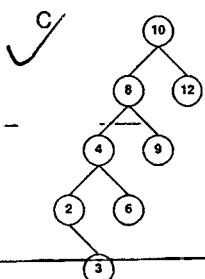
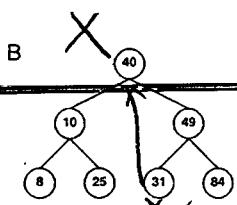
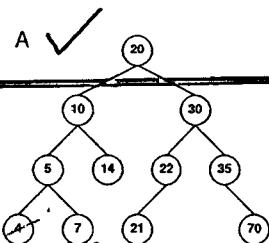
$\rightarrow R > K$

for every key R
in the right subtree of K

Practice - Identifying Binary Search Trees

BSTnodes

→ Identify which trees below are valid BSTs.



SEE READINGS FOR MODIFICATIONS TO BSTnode

→ Draw a picture of the memory layout of a Treenode.

```

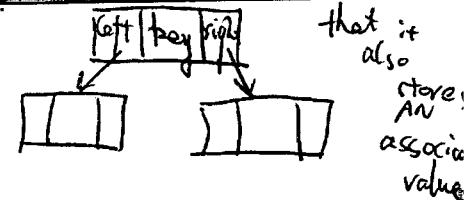
class BSTnode<K> {
    PACKAGE
    private K key;
    private BSTnode<K> left, right;

    public BSTnode(K key, BSTnode<K> left, BSTnode<K> right) {
        this.key = key;
        this.left = left;
        this.right = right;
    }

    public K getKey() { return key; }
    public BSTnode<K> getLeft() { return left; }
    public BSTnode<K> getRight() { return right; }

    public void setKey(K newK) { key = newK; }
    public void setLeft(BSTnode<K> newL) { left = newL; }
    public void setRight(BSTnode<K> newR) { right = newR; }
}

```



```

import java.io.*;
BST Class
public class BST<K extends Comparable<K>> {
    private BSTNode<K> root;
    public BST() { root = null; }

    public void insert(K key)
        throws DuplicateException {
        root = insert(root, key);
    }

    public void delete(K key) {
        root = delete(root, key);
    }

    public boolean lookup(K key) {
        return lookup(root, key);
    }

    public void print(PrintStream p) {
        print(root, p);
    }

    //add helpers ...
    PRIVATE Comparison methods THAT RECURSIVELY
    DO THE OPERATION
}

```

restricts K type
to only Comparable
classes.

Implementing print

→ Write a recursive definition to print a binary tree.
n... references tree node

```

graph TD
    37((37)) --- 10((10))
    37 --- 49((49))
    10 --- 8((8))
    10 --- 25((25))
    49 --- 41((41))
    49 --- 84((84))

```

if n is null, return] BASE case

print(n's left subtree) L] REC case

output n's key value) V] CASE

print(n's right subtree) R]

8 25 37 41 49 84

→ Complete the recursive print method based on the recursive definition.

```

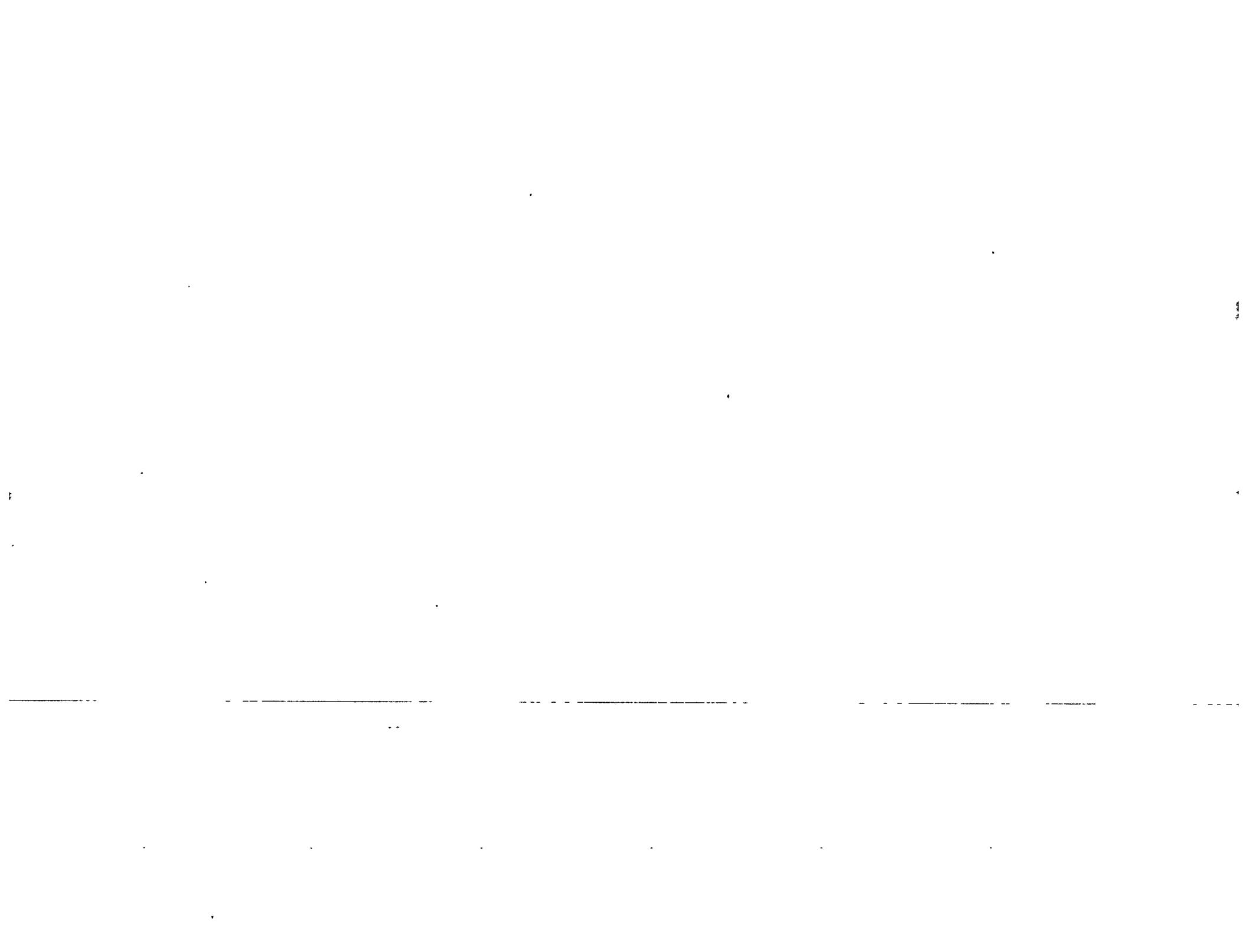
public void print(PrintStream p) {
    print(root, p);
}

private void print(BSTNode<K> n, PrintStream p) {
    if (n == null) return;
    print(n.getLeft(), p);
    p.println(n.getKey());
    print(n.getRight());
}

```

8 25 10 37 49 41 84

| CS Options | CS Courses |
|--|---|
| CS Certificate | |
| 6 Courses | |
| <ul style="list-style-type: none"> • Programming – CS 302 • Data Structures – CS 367 • 2 Courses >=400 level • 2 Other CS Courses | <ul style="list-style-type: none"> • CS 240 Introduction to Discrete Mathematics • (CS 252) Introduction to Computer Engineering (prereq for CS 352) • CS 352 Digital Systems Fundamentals • CS 354 Machine Organization and Basic Systems (prereq for many group B) • (CS 368) Learning a New Programming Language (C++ for CS 537) |
| CS Major | |
| Basic CS | |
| <ul style="list-style-type: none"> • Discrete Math – CS 240 • Programming + Data Structures – CS 302, CS 367 • Basic Systems – (CS 252), CS 352, CS 354 | <ul style="list-style-type: none"> • CS 407 Foundations of Mobile Systems (spring, popular) • CS 540 Introduction to Artificial Intelligence • CS 570 Human Computer Interaction (spring) |
| Math | |
| <ul style="list-style-type: none"> • Calculus – MA 221, MA 222 • 2 Beyond Calc – MA 331/431 (probability), MA 340 (linear algebra) | <ul style="list-style-type: none"> • CS 412 Introduction to Numerical Methods – MA 222 + MA 234 or CS 240 • CS 435 Introduction to Cryptography – MA 320 or MA 340 • CS 525 Linear Programming Methods – MA 320 or MA 340 or MA 443 • CS 533 Image Processing – MA 320 or MA 340 (fall) • CS 559 Computer Graphics – MA 320 or MA 340 • CS 576 Introduction to Bioinformatics – MA 222 (fall) • CS 577 Introduction to Algorithms – CS 240 |
| Group A Theory | |
| <ul style="list-style-type: none"> • Algorithms – CS 577 | |
| Group B Hardware/Software | |
| <ul style="list-style-type: none"> • OS – CS 537 | |
| Group C Applications | |
| <ul style="list-style-type: none"> • AI – CS 540 | |
| Group D Electives | |
| <ul style="list-style-type: none"> • 2 CS Courses >=400 level | |
| CS Double Major | |
| <ul style="list-style-type: none"> • Must complete major requirements • Easy for Computer Engineering Majors | |



CS 367 Announcements
Thursday, November 5, 2015

Homework h7 due by 10 pm tomorrow, November 6th

- make sure your file is a pdf but not pdf scan of written work or pdf of a screen shot
- make sure you use the name h6.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Homework h8 assigned 11/10

Program p3 due 10 pm tomorrow, Sunday, November 8th

- submit java files to your in directory
- make sure to name your source files as specified in the submission section
- do not submit as a project/package/folder
- verify that you've submitted the correct files (ls, more, javac, java)
- partners? only ONE submits source but BOTH submit README.txt

Program p4 assigned Monday 11/11

Last Time

- Finish Traversals
- Categorizing ADTs Part 2
- Comparable Interface
- Binary Search Tree (BST)
 - BSTnodes
 - BST class
 - implementing print
- CS Options/Courses

Today

- Binary Search Tree (BST)
- implementing print (from last time)
- Binary Search Tree (BST)
- implementing lookup, insert, delete
- complexities of BST methods

Balanced Search Trees

Next Time

- Read: Red Black Trees
- Classifying Binary Trees
- Red Black Trees
 - tree properties
 - print, lookup
 - insert
 - cascaded fixing

Implementing lookup

Pseudo-Code Algorithm

```
private boolean lookup(BSTnode<K> n, K key) {
```

If n is null return false // not found

If n 's key value equals key return true
 //found

If $\text{key} < n$'s key return lookup (n 's left subtree's key);
else
 return .. lookup (n 's right subtree's key);

This recursive approach to searching down the tree is used in

INSERT & DELETE

* Lookup could be implemented using A loop

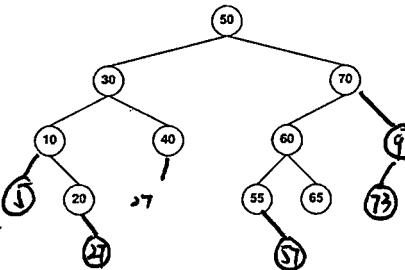
Implementing insert

High-Level Algorithm

```
private BSTnode<K> insert(BSTnode<K> n, K key)
    throws DuplicateException {
```

SEARCH DOWN TREE
BST
IF n's key EQUALS key throw DuplicateException
WHEN WE GET TO THE END of THE
where lookup would expect to find key
will insert A NEW LEAF NODE
CONTAINING key

Practice - Inserting into a BST



→ Insert 5, 27, 90, 73, 57 into the tree above.

→ What can you conclude about the shape of a BST
when values are inserted in sorted order?

13, 17, 22, 45, 97



→ Will you get that shape only if values are inserted in sorted order?

NO * THE SHAPE OF A BST DEPENDS ON THE
11, 55, 22, 99, SEQUENCE OF INSERTS AND DELETES
33

Implementing delete

High-Level Algorithm

```
private BSTnode<K> delete(BSTnode<K> n, K key) {
```

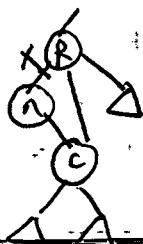
SEARCH DOWN THE TREE AS DONE IN LOOKUP
IF n is null RETURN null //NOT FOUND

IF n's key EQUALS KEY,
CASE 1: n HAS NO CHILDREN



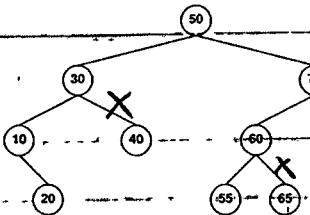
DELETE n by setting the appropriate child of n's PARENT p to null

case 2: n has 1 child

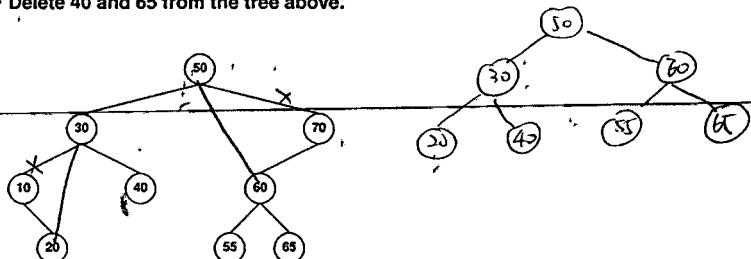


DELETE n by setting the appropriate child of n's PARENT p To n's child c

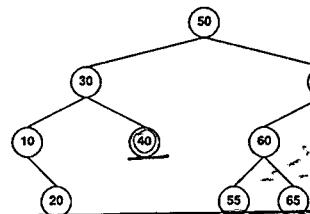
Practice - Deleting from a BST



→ Delete 40 and 65 from the tree above.



→ Delete 10 and 70 from the tree above and redraw the tree.



→ How do you delete 50 or 30 from the tree above?

Implementing delete (cont.)

Case 3: n has 2 children

NOT so easy because PARENT / ROOT CAN'T

HOLD ONTO BOTH CHILD SUBTREES

SOLN: FIND A REPLACEMENT VALUE v in
either n 's left or right subtrees.

- Copy v into n 's key
- Recursively DELETE v in n 's subtree.

2. Replacements work in order predecessor

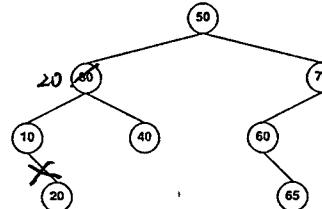
- Largest value in left subtree

- Step into left subtree then step
as far right as possible

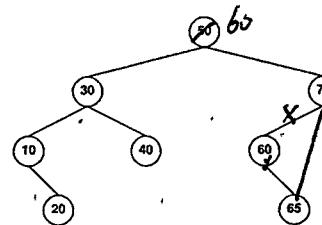
IN ORDER SUCCESSOR

- Smallest value in right subtree
- Step into right subtree then step as
far left as possible

Practice - Deleting from a BST



→ Delete 30 from the tree above using the IN ORDER PREDECESSOR



→ Delete 50 from the tree above using the IN ORDER SUCCESSOR

- * DOESN'T MATTER WHICH is used
- * DELETING THE NODE WHERE THE REPLACEMENT

Complexities of BST Methods

Problem size: N = Number of nodes = number of keys
print: $O(N)$

lookup:



insert:



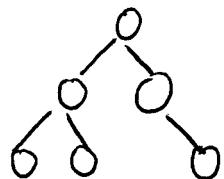
delete:



WHERE H is THE
BST's HEIGHT

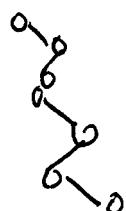
$O(\log N)$

Good balanced shape
"BUCHY"



$O(N)$

BAD LINEAR SHAPE
"STACKY"



Balanced Search Trees

Goal: KEEP HEIGHT $O(\log N)$ so lookup, insert, delete, are fast $O(\log N)$

Idea: MAKE INSERT AND DELETE RESTURCTURE THE TREE WHEN its shape goes out of balance - detect imbalance - Fix imbalance

AVL

HEIGHT BALANCED

KEEP A BALANCE VALUE IN EACH NODE $-1, 0, +1$

DETECT: WHEN BALANCE VALUE ± 2

FIX: ONE TECH

~~sp~~ $11^0 \ 11^{+1} \ 44^0$

CALLED

11^{+2}

ROTATION

44^+

$11^0 \ 33^0$

44^{+1}

$11^0 \ 33^{+2}$

44^{+2}

$11^0 \ 33^{+1}$

44^{+1}

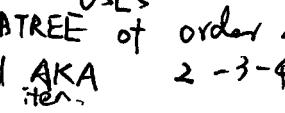
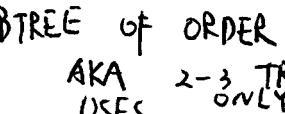
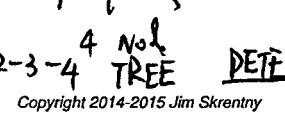
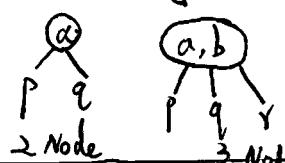
$11^0 \ 33^{+2}$

44^{+1}

$11^0 \ 33^{+1}$

44^0

BTrees (cs (6+))
Relax Binary Tree Structure



FOR 2-3-4 TREE DETECT: USING

INSET, LOOK UP.

BTREE OF ORDER 3 4 NODES

AKA 2-3 TREE USES ONLY 2 NODES

BTREE of order 4 2-3-4 TREE USES 2, 3 & 4 NODES

AKA 2-3-4 TREE USES 2, 3 & 4 NODES

FIX. SPLIT a, b, c 4 NODES SPLIT
Now THESE NODES CAN GROW TO ACCOMMODATE The inserted item

TO 3 NODES TO ACCOMMODATE The inserted item

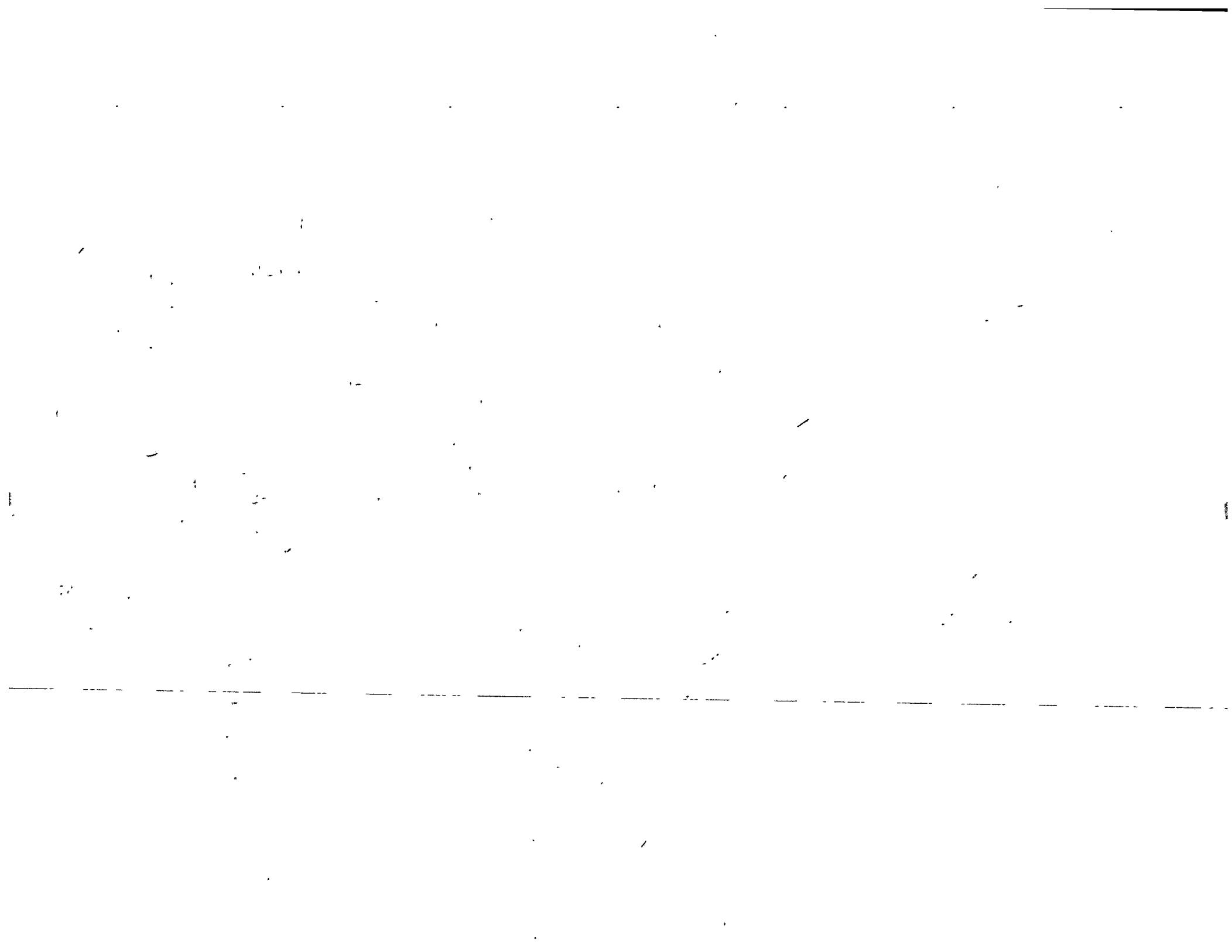
Copyright 2014-2015 Jim Skrentny

BTREE OF ORDER 3 4 NODES

AKA 2-3 TREE USES ONLY 2 NODES

BTREE of order 4 2-3-4 TREE USES 2, 3 & 4 NODES

AKA 2-3-4 TREE USES 2, 3 & 4 NODES



CS 367 Announcements
Tuesday, November 10, 2015

Homework h8 due 10 pm, Friday, November 13th

Program p4 due 10 pm, Sunday, November 29th

Last Time

Binary Search Tree (BST)

- implementing print
- implementing lookup, insert, delete
- complexities of BST methods

Balanced Search Trees

Today

Balanced Search Trees (from last time)

Classifying Binary Trees

Red-Black Trees

- tree properties
- print, lookup
- insert

Next Time

Read: Priority Queues

Red-Black Trees

- cascaded fixing
- complexity

Priority Queue ADT

- concept
- operations
- implementation options
- Heap Data Structure

$$N+1 = 2^H$$

$$H = \log_2(N+1)$$

$$H = \log_2(N+1)$$

HEIGHT is H

Nodes $2^H - 1$

$N = 2^H - 1$

Classifying Binary Trees

Full "No MISSING NODES" ALL LEAVES ARE AT THE SAME DEPTH

ALL NON-leaves (INTERABLE) NODES
MUST HAVE 2 CHILDREN

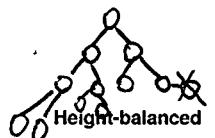
0 0 0
C

Complete (PRIORITY QUEUES)

FULL TO DEPTH H-1

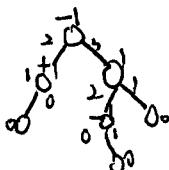
DEPTH H is filled with nodes from left to right

FULL TREE IS COMPLETE



FOR EACH NODE THE DIFFERENCE in height of its left & right subtree is at most 1

FULL & complete trees are also height balanced!



Balanced (RED-BLACK)

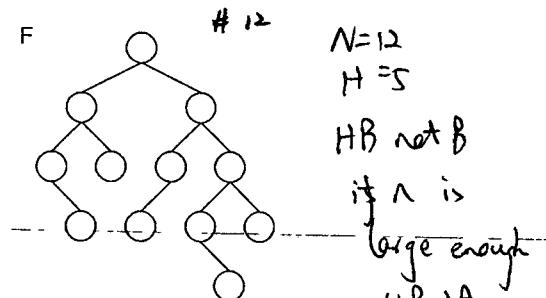
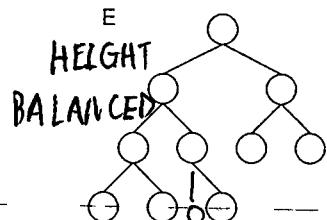
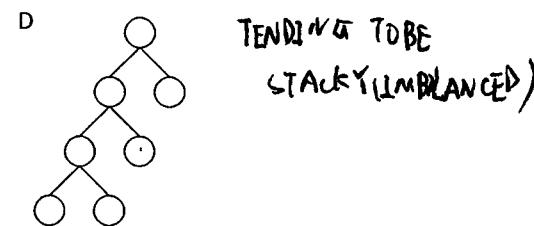
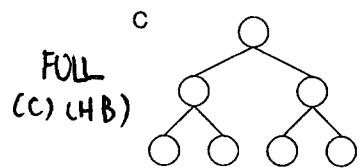
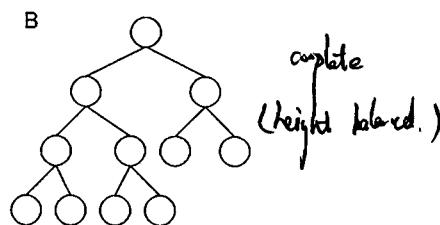
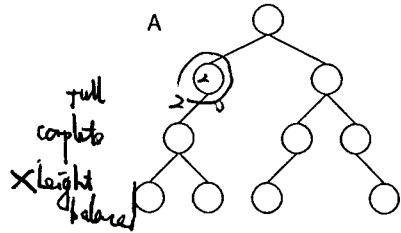
A TREE HAS HEIGHT O(log n)

where n is the # of nodes

FULL, Complete, HEIGHT, BALANCED
ARE ALL Balanced.

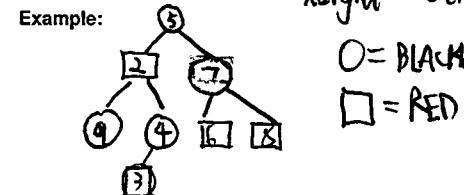
Practice - Classifying Binary Trees

→ Identify which trees below are full, complete and/or height balanced.



Red-Black Trees (RBT)

RBT: BST THAT's modified to keep A balanced shape
height $O(\log N)$



Red-Black Tree Properties

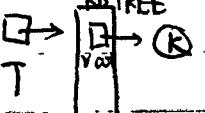
- root property ROOT NODE MUST BE BLACK
- red property RED NODES MUST HAVE BLACK CHILDREN
- black property EVERY PATH FROM THE ROOT TO A LEAF HAS THE SAME NUMBER OF BLACK NODES

Red-Black Tree Operations

- print > same as BST
- lookup > ① lookup ② insert
- insert > ③ rebalance similar to BST
- delete

Inserting into a Red-Black Tree

Goal: insert key value K into red-black tree T
and Maintain RBT Properties

If T is Empty ADD A BLACK LEAVE NODE CONTAINING K

 & EXCEPT FOR ROOT ALL NEW NODES ADDED AS RED LEAF NODES

If T is Non-Empty
 • step down tree as done for BST
 • add a leaf node containing K as done for BST, and COLOR IT RED!
 • RECTIFY RBT PROPERTIES if NEEDED

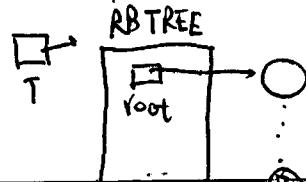
→ Which of the properties might be violated as a result of inserting a red-leaf node?

root property A NON-EMPTY TREE ALREADY has a black root

black property ADDING A RED NODE DOESN'T AFFECT

red property **KEY** ADDING A RED NODE WILL VIOLATE THE
 Property
 * USE parent is RED

Non-Empty Case 1: K's parent P is black



NO RPV SO NO FIX IS NEEDED

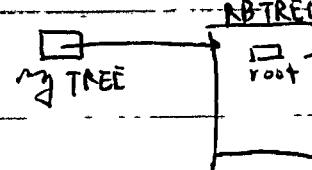
INSERT IS DONE!

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CS 367 (F15): L20 - 5

Non-Empty Case 2

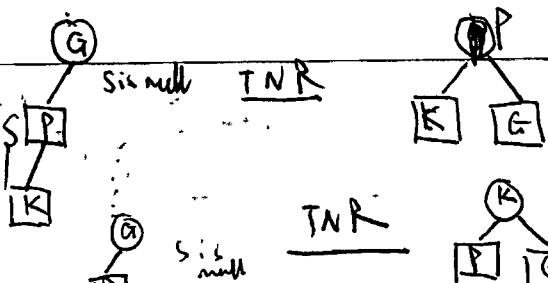
Non-Empty Case 2: K's parent P is red



Fixing an RBT

Tri-Node Restructuring is done if P's sibling S is null

Detect RPV which require a fix

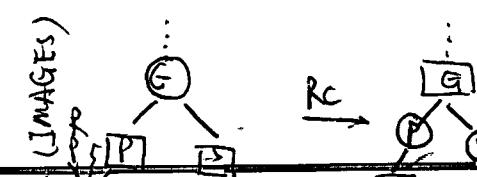


+ 2 MIRROR IMAGES

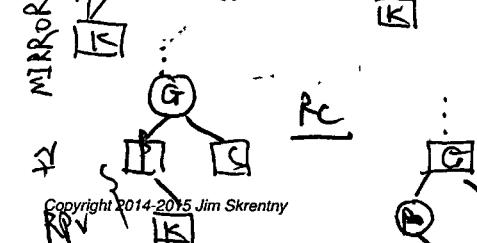
① MIDDLE VALUE BECOMES BLACK PARENT

② SMALLEST VALUE BECOMES RED LEFT CHILD

③ LARGEST VALUE BECOMES RED RIGHT CHILD



RPV
Recoloring is done if P's sibling S is red



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CS 367 (F15): L20 - 6

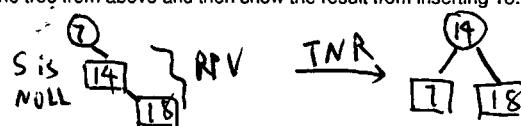
① CHANGE P & S TO BLACK
 ② IF G is ROOT, DONE INSERTING
 OTHERWISE CHANGE G TO RED

Practice

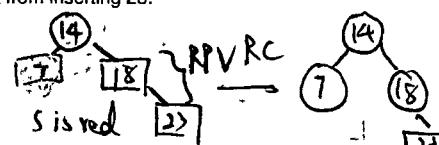
→ 1. Starting with an empty RBT, show the RBT that results from inserting 7 and 14.



→ 2. Redraw the tree from above and then show the result from inserting 18.

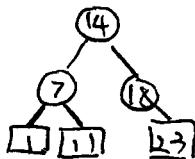


→ 3. Redraw the tree from above and then show the result from inserting 23.

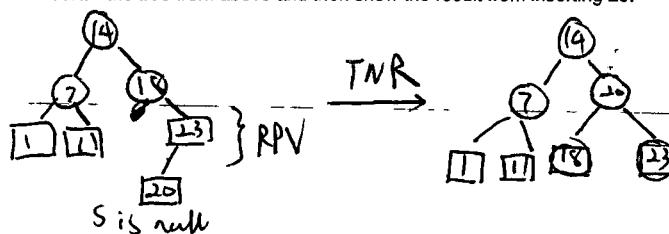


→ 4. Redraw the tree from above and then show the result from inserting 1 and 11.

Some inserts require No FIXING
G is Root so No color change

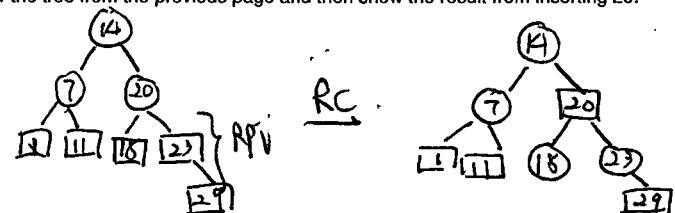


→ 5. Redraw the tree from above and then show the result from inserting 20.



More Practice!

→ 6. Redraw the tree from the previous page and then show the result from inserting 29.



→ 7. Insert the same list of values into an empty BST: 7, 14, 18, 23, 1, 11, 20, 29

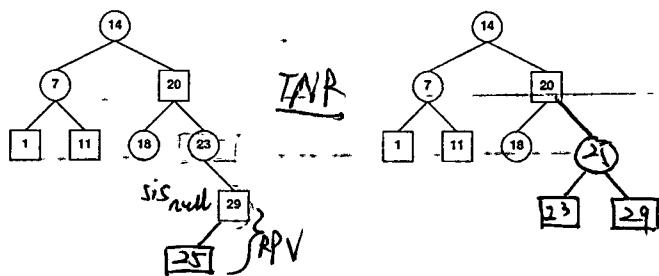


→ What does this demonstrate about the differences between a BST and RBT?

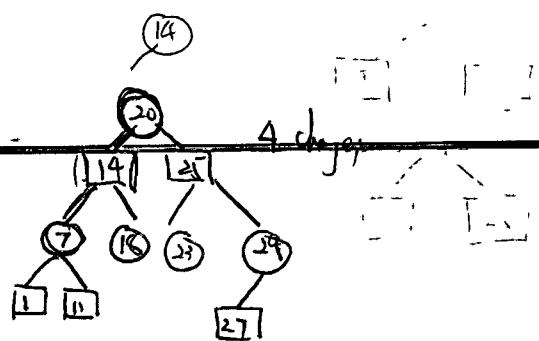
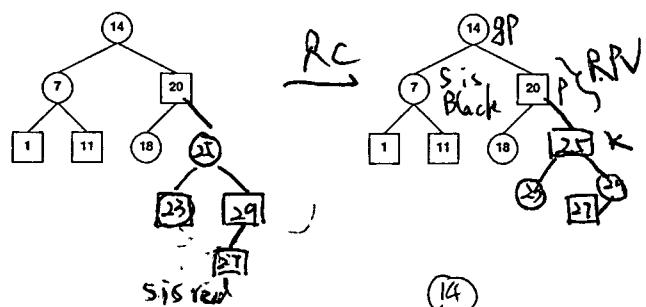
RBT maintains balance

More Practice?

→ 8. Show the result from inserting 25 in the RBT below.



→ 9. Redraw the tree from above and then show the result from inserting 27.



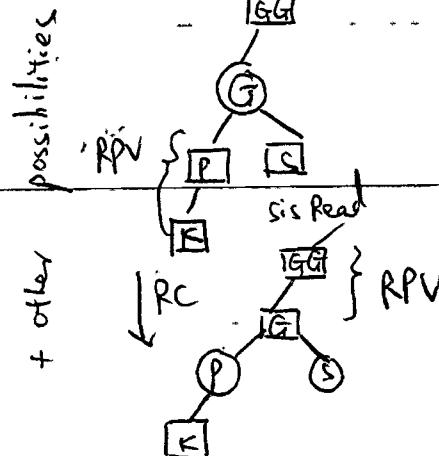
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CS 367 (F15): L20 - 9

cascading FIXES
FIXING RBT UPDATED

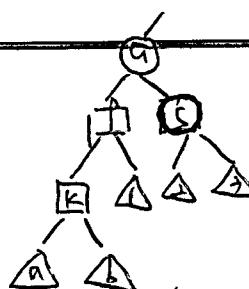
REcolouring is done if P's sibling is Red

1. CHANGE P & S to Black
2. If G is Root Done!
- otherwise change G to red.
if GG is black Done!

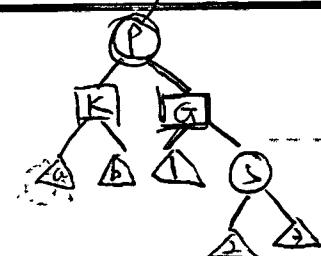


RPV G & GG which
will fix recursively.
Starting at G

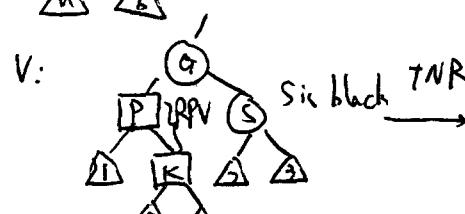
TRI-NODE Restructuring is done if P's sibling
S is null or Black



TNR



2 links
2 colors
Done!



change
4 links
2 colors
DONE!

$O(\log N)$
 $O(\sqrt{\log N})$

$O(\log N)$ $O(\log N)$
① + ②
Overall

BoA to root is $O(\log N)$
in W.C. recording cascades
② faste RBT properties
Guarantee Height of RBT
in W.C. is $O(\log N)$

insert ① insert red ~~leave~~ node

But W.C. $O(\log N)$ ~~is~~ have RBT
lookup same code as BST
RBT complexity same as BST $O(N)$

CS 367 Announcements
Thursday, November 12, 2015

Homework.h8 due 10 pm, Friday, November 13th

Program p4 due 10 pm, Sunday, November 29th

Last Time

- Balanced Search Trees
- Classifying Binary Trees
- Red-Black Trees
 - tree properties
 - print, lookup
 - insert

Today

Red-Black Trees (from last time)

- cascaded fixing
- complexity
- Priority Queue ADT
 - concept
 - operations
 - implementation options
- Heap Data Structure

Next Time

- Read: start Hashing
- Heap Data Structure
- insert
 - removeMax
 - Hashing
 - terminology
 - designing a good hash function

Priority Queue ADT

Priorities

used to store items ranked by their importance

- each item stores a number for its priority
- Duplicate PRIORITIES ARE ALLOWED
- HIGHEST PRIORITY can be either the smallest or the largest number.

Concept

P.Q. is AN ADT where items are removed in order of their priorities.

goal:

FAST ACCESS O(1) TO HIGHEST PRIORITY

Operations

- void insert (Comparable item)
- Comparable get Max()
- Comparable remove Max()

Options for Implementing a Priority Queue ADT

| data structure | insert | removeMax |
|--------------------------|--|---|
| unordered array | $O(1)$ AT REAR w/ shadow | $O(N)$ W.C. OR LINEAR SEARCH $O(N)$ SHIFT |
| ordered array | $O(N)$ W.C. " $O(\log N)$ SEARCH $+ O(N)$ SHIFT | $O(1)$ MAX PRIORITY AT REAR of ARRAY |
| unordered chain of nodes | $O(1)$ INSERT @ HEAD | $O(N)$ W.C LINEAR SEARCH |
| ordered chain of nodes | $O(N)$ W.C " $O(N)$ linear search $+ O(1)$ Linking | $O(1)$ MAX PRIORITY @ HEAD |
| <u>HEAP</u> | $O(\log N)$ | $O(\log N)$ |

Implementing a Priority Queue ADT using a Heap

Heap

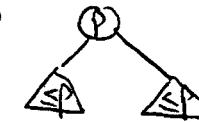
min heap
max heap

smallest value is highest priority
largest value is -----.

Shape Constraint

- ① FULL FROM ROOT TO END LAST LEVEL
- ② LAST LEVEL FILLED FROM LEFT TO RIGHT

Ordering Constraint (max)



For every node i
N's priority P is
 \geq the priorities
of N's
descendants

Implementing Heaps

Max Heap Example:

| | | | | | | | | | | |
|--|----|----|----|----|----|----|----|----|----|---|
| | 56 | 42 | 37 | 38 | 14 | 12 | 26 | 29 | 16 | 8 |
|--|----|----|----|----|----|----|----|----|----|---|

→ Draw the corresponding binary tree:

CS 367 Announcements
Tuesday, November 17, 2015

Midterm Exam 2

- Tuesday, November 24th, 5:00 pm
- Exam information posted
- Sample questions on Learn@UW
- UW IDs are required

Homework h9 due 10 pm, Friday, November 20th

Program p4 due 10 pm, Sunday, November 29th

Last Time

Red-Black Trees

- cascaded fixing
- complexity

Priority Queue ADT

- concept

- operations

- implementation options

Heap Data Structure

Today

Heap Data Structure

- insert

- removeMax

Hashing

- terminology

- designing a good hash function

Next Time

Read: finish Hashing

Hashing

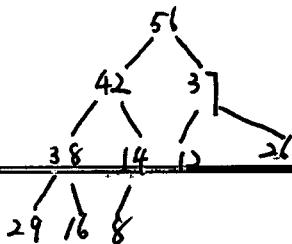
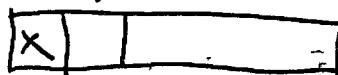
- choosing table size
- expanding a hash table
- handling collisions

h4, h5, h6 graded

Implementing Heaps
Root is at index 1 (Not USING ELEM)

@ Index (0)

For Each Node N and Index i
N's LEFT CHILD is at index
N's RIGHT CHILD is at index
Max Heap Example:



$2 \times i$
 $2 \times i + 1$
N's parent
is at
 $i/2$ INT DIV

Inserting into a Max Heap

Algorithm

① PUT new Item in Next tree element [O(1)]

② RESTORE HEAP ordering constraint

REHEAPIFY by SWAPPING new item with its smaller parent.

Given the following max heap:

| | | | | | | | | | | | | | |
|--|----|----|----|----|----|----|----|----|----|----|----|----|--|
| | 64 | 52 | 35 | 46 | 27 | 15 | 34 | 12 | 23 | 14 | 26 | 17 | |
|--|----|----|----|----|----|----|----|----|----|----|----|----|--|

O(log(n))

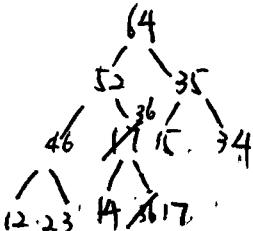
→ Show the heap after inserting 36:

| | | | | | | | | | | | | | |
|--|----|----|----|----|----|----|----|----|----|----|----|----|----|
| | 64 | 52 | 35 | 46 | 36 | 27 | 34 | 12 | 13 | 14 | 17 | 57 | 15 |
|--|----|----|----|----|----|----|----|----|----|----|----|----|----|

O(log(n))

→ Show the heap after inserting 57:

| | | | | | | | | | | | | | |
|--|----|----|----|----|----|----|----|----|----|----|----|----|--|
| | 64 | 52 | 57 | 46 | 36 | 35 | 34 | 12 | 13 | 14 | 17 | 15 | |
|--|----|----|----|----|----|----|----|----|----|----|----|----|--|



Inserting into a Max Heap (cont.)

PriorityQueue Class Instance Variables:

```
private Comparable[] items;
private int nextLoc;
```

Pseudo-code

```

public void insert(Comparable data) {
    // O(1)
    if (data == null) throw Exception.

    // 1. IF (ARRAY IS FULL) EXPAND → O(1)
    items[nextLoc] = data;
    nextLoc++;

    // 2. int child = nextLoc - 1;
    boolean done = false;
    while (!done) {
        int parent = child / 2;
        if (parent == 0) done = true;
        else if (items[child].compareTo(items[parent]) <= 0)
            done = true;
        else { swap child AND parent items
            child = parent;
        }
    }
}
```

$O(1)$

$O(\log N)$

$O(N)$

Removing from a Max Heap

Algorithm

- $O(1)$ REMOVE ROOT ITEM BY replacing it with the last item in the array.
- $O(\log N)$ RESTORE THE HEAP ORDERING down with largest child reheapify.

Heap after adding 36 and 57:

| | | | | | | | |
|----|----|----|----|----|----|----|---------------|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| X | 52 | 59 | 46 | 36 | 38 | 34 | 12 23 14 17 X |
| 15 | 18 | 15 | 57 | 35 | | | |

→ What will the heap look like after doing a removeMax?

| | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|----|
| 57 | 52 | 35 | 46 | 36 | 15 | 34 | 12 | 23 | 14 | 17 |
|----|----|----|----|----|----|----|----|----|----|----|

→ What will the heap look like after doing another removeMax?

| | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|----|--|
| 52 | 46 | 35 | 23 | 36 | 15 | 34 | 12 | 17 | 14 | |
| 35 | 17 | 15 | | | | | | | | |

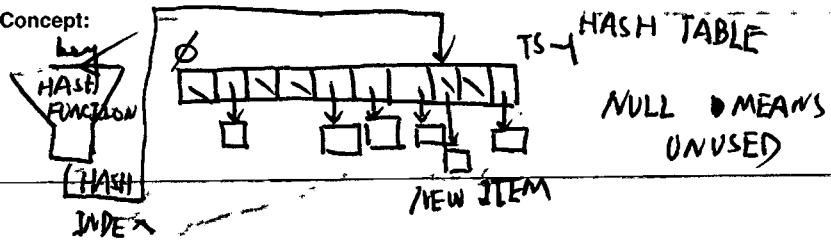
Complexity

Hashing

Goal: DO FASTER THAN $O(\log n)$ TIME complexity
For lookup, insert, remove on a value oriented collection.

TRY TO ACHIEVE $O(1)$ FOR THESE ops

Concept:



hash table ARRAY THAT STORES THE collection of items

table size (TS) LENGTH of the array

load factor (LF) = NUMBER of ITEMS / TS

key INFO IN ITEM THAT GOES IN THE TABLE used to compute the hash index

hash function CONVERTS THE KEY INTO A HASH INDEX

Ideal Hashing

Assume

- store 150 students records
 - table is an array of student records
 - null is sentinel value meaning element is unused
 - key is student id number in format: zipcode + 3 digit number
- 53706000, 53706001, 53706002, ... 53706148, 53706149

→ What would be a good hash function to use on the id number?

```
int hash(K key) {
    return key - 53700000;
```

Perfect Hash Function:

```
void insert(K key, D data) {table[hash(key)] = data; }
D lookup(K key) {return table[hash(key)]; }
void delete(K key) {table[hash(key)] = null; }
```

The UW uses 10 digit ID numbers: 9012345789 9012345432 9023456789

→ Is a perfect hash function possible for these id numbers?

Yes, use the trivial hash function hash index

is the ID number. But this requires a

Collision:

when THE HASH FUNCTION RETURN THE SAME HASH INDEX for
Key Issues: two different keys

- DESIGNING THE HASH FUNCTION
- CHOOSING THE TABLE SIZE
- HANDLING COLLISIONS

Designing a Hash Function

Good Hash Functions:

- ① MUST BE DETERMINISTIC
- KEY MUST ALWAYS GENERATE SAME HASH INDEX.
- ② Achieve uniformity
- ③ Keys ARE distributed evenly Across TABLE
- ④ FAST/EASY To Compute. use only PARTS of key THAT distinguish items from each other
- ⑤ MINIMIZE collisions

Java Hash Function Steps:

1. GENERATE A HASH-CODE item.hashCode();
; Converts ITEM's key INTO AN INTEGER;
2. Compress hash code into a valid hash index for the table. Hashcode $\% TS$
 O_{TS-1}
* Beware of negatives Abs. (hash code)
 $\%$ is slow
Do BIT SHIFT TO DIVIDE By Power of TWO
and to use TS that is a power of two

CS 367 Announcements
Thursday, November 19, 2015

Midterm-Exam 2

- Tuesday, November 24th, 5:00 pm
- Lec 1: B10 Ingraham Hall
- Lec 2: 6210 Social Sciences Building
- Exam information posted
- Sample questions on Learn@UW
- UW IDs are required

Homework h9 due 10 pm, tomorrow, November 20th

Program p4 due 10 pm, Sunday, November 29th

Last Time

Heap Data Structure

- insert
- removeMax

Hashing

- terminology
- designing a good hash function

Today

Hashing

- designing a good hash function (from last time)
- choosing table size
- expanding a hash table
- handling collisions

Next Time

- exam 2 Q&A

} Not on Exam 2

Techniques for Generating Hash Codes

Extraction

Breaking key into parts and then using just these parts that distinguish ITEM

Weighting

EMPH ASIZING some parts over others

Folding

combining parts of - using addition &
Bit wise ops
(E.g exclusive OR)

Handling Non-Integer Keys

Strings

$$C_0 * 31^{N-1} + C_1 * 31^{N-2} + \dots + C_{N-2} * 31^1 + C_{N-1} * 31^0$$

C_i is ASCII value FOR chart At Pos i
in A string of length N

For fixed sized string PREcompute values of
 31^i

For variable sized string

$$((C_0 * 31) + C_1) * 31 + C_2) * 31 \dots$$

complexity: O(1)
Doubles WRT size of the collection.

O(N) WRT THE length of the string.

64 bit IEEE FLOATING POINT

↓
32 bit unsigned Integer

IDEA: EXTRACT UPPER AND LOWER 32 Bits together using Java's BITWISE "Exclusive-

| A | B | $A \wedge B$ |
|---|---|--------------|
| 0 | 0 | 0 |
| 0 | 1 | 0 |
| 1 | 0 | 1 |
| 1 | 1 | 0 |

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E.g.: 8 BIT Double → 4 Bit Integer
0 1 1 0 | 1 0 1 1

Ans 367 (F15): L23 - 3

PATTERN FOR key SHIFT TO RIGHT BY 4 BITS

1 1 0 |
XOR

Java long bits = Double.doubleToLongBits(key);
Hash code result

Choosing the Table Size

Table Size and Collisions

Assume 100 items with random keys in the range 0 – 9999 are being stored in a hashtable.
Also assume the hash function is simply %tablesize.

→ How likely would a collision occur if the table had 10000 elements? 1000? 100? Collision
AS LOAD FACTOR INCREASES SO DO COLLISIONS.
CHOOSE A TABLE SIZE THAT LEAVES SOME FREE
SPACE (LOAD FACTOR .7 TO .8)

Table Size and Distribution

Assume 50 items are stored in a hashtable.
Also assume the hashCode function returns multiples of some value x.
For example, if x = 20 then hashCode returns 20, 40, 60, 80, 100, ...

→ How likely would a collision occur if the table had 60 elements? 50? 37?

THIS CAN RESULT IN POOR DISTRIBUTION
ONLY M BUCKETS WILL BE USED

M=TS / GREATER COMMON FACTOR
(X, TS)

$$M = 60/20 \quad S = 50\%$$

$$37 = 37/1$$

TO AVOID THIS PROBLEM, USE A PRIME TABLE SIZE
BACKUP: PICK TABLE SIZE THAT IS NOT EVENLY
DIVISIBLE BY $2 \cdot 1^q$
Ans 367 (F15): L23 - 4

0 1 1 0 1 1 0 1

int hashCode = (int)(bits ^ (bits >> 32))

Resizing the Hash Table

RESIZE WHEN TABLE GETS "TOO FULL" IF $\geq \pi$

- NAIVE APPROACH (1) make new table twice as BIG
Doesn't work since TS

Steps of resizing affects the hash index.

1. "double" table size to NEAREST PRIME, BACK UP:
 $(2 * \text{OLD TS}) + 1$

ALLOCATE NEW TABLE

2. REHASH ITEMS FROM THE OLD TABLE INTO THE NEW TABLE

Complexity

cost by $O(N)$ where N is size of collection.

| TS | hash(key) | hash(index) |
|----|-----------|-------------|
| 19 | 63%19 | 6 |
| 37 | 63%37 | 26 |

IF possible,

carefully select

the initial

TS to avoid

refreshing

Collision Handling using Open Addressing

ELEMENT

EACH ELEMENT IN THE TABLE STORE ONLY ONE

IF collision search for an unused elsewhere in the table

IDEA: use "A + PROBE SEQUENCE" (PS) with wrap-around search making sure that look up insert & delete use same PS.

Linear Probing

STEP size is 1
PS: $H_k, H_{k+1}, H_{k+2} \dots$ where $H_k = \text{hash}(key)$

RESULTS IN CLUSTERS of used elements which index

| | | | | | | | | | | | |
|-----|-----|-----|-----|-----|---|-----|-----|-----|-----|-----|-----|
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | key |
| 440 | 166 | 266 | 124 | 263 | | 337 | 359 | 351 | 166 | 359 | 263 |

↓

hash(key) = key % TS

Drop the efficiency DUE to long PS

Quadratic Probing

BETTER PS: $H_k, H_{k+1}, H_{k+2^2}, H_{k+3^2} \dots$

BETTER since it reduces clusters

Double Hashing

ps: $H_k, H_k + 1 \times \text{step}, H_k + 2 \times \text{step}, \dots$

$H_k + 3 \times \text{step}$

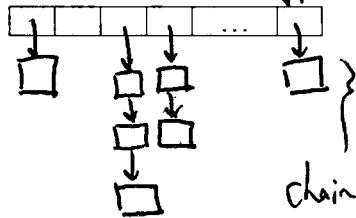
where $\text{step} = \text{hash}_2(\text{key})$

PREFERRED FOR OPEN ADDRESSING

EACH ELEMENT CAN STORE MORE THAN ONE ITEM
- IT'S A Bucket

if collision: just throw collided item

"Chained" Buckets Typically leave Buckets unsorted.



+ EASY TO IMPLEMENT
+ Buckets are dynamically sized (Grow/ Shrink As needed)
listnodes Insert O(1) AT ITEM

chain of

listnodes

AT HEAD

Array Buckets Typically A Bucket size of 3 works well for lookup/ Delete are O(1) are Tree Buckets SEARCH TREE: For reasonable Ts Overly complicated given A FEW items AND Good Hash FUNC. ARE O(N) FOR W.C.

Java API Support for Hashing

hashCode method

- method of Object class
- returns an int
- default hash code is BAD - computed from object's memory address

Guidelines for overriding hashCode:

* ~~REMEMBER~~ REMEMBER THAT IT MUST BE DETERMINISTIC
* IF Your item class overrides equals() then it should also override hashCode().

Hashtable<K, V> and HashMap<K, V> class

- in java.util package
- implement Map<K, V> interface
- K TYPE PARAM FOR THE KEY (such as name)
V TYPE PARAM FOR THE ASSOCIATED VALUE (such as city)
- operations:
 - ✓ get(K key) Lookup
 - ✓ put(K key, V value) INSERT
 - ✓ boolean remove(K key) ✓ remove(K key, V value)
- constructors allow you to set initial capacity (default = 16 for HashMap, 11 for Hashtable)
load factor (default = 0.75)
- handles collisions with chained buckets
- HashMap only: ALLOWS null for BOTH KEYS & VALUES
- Hashtable only: is synchronized.

TreeMap vs HashMap

| | TreeMap | HashMap |
|--|--------------------------|--|
| underlying P.S | RBT | HASHTABLE WITH CHAINED BUCKETS |
| Complexity of Basic ops | $O(\log N)$ | $O(1)$ AVG CASE $O(N)$ Worst case |
| ITERATING OVER THE KEYS | ASCENDING ORDER | NO PARTICULAR ORDER |
| complexity of iterating over values | $O(N)$ TREE TRAVERSAL | $O(TS + N)$ W.C $T \approx 1$ TS N Diagram: A horizontal bar divided into segments labeled T and S. An arrow points from the left end of the bar to the label TS. From the right end, an arrow points down to a vertical stack of boxes labeled N. The top box in the stack has an arrow pointing down to another box. |
| IF HASHING IS SO FAST why don't always USE IT FOR STORING A KEY VALUE ORIENTED COLLECT]on. | | |



CS 367 Announcements
Tuesday, December 1, 2015

Program p5 due 10 pm, Tuesday December 15th

Last Time

- exam mechanics
- sample questions

Today

Finish Hashing (prior lecture)
ADTs/Data Structures Revisited
Graphs

- terminology
- implementation issues
- edge representations
- traversals

Return Exam 2

Next Time

Read: continue Graphs

- traversals
- applications of BFS/DFS
- more terminology
- topological ordering

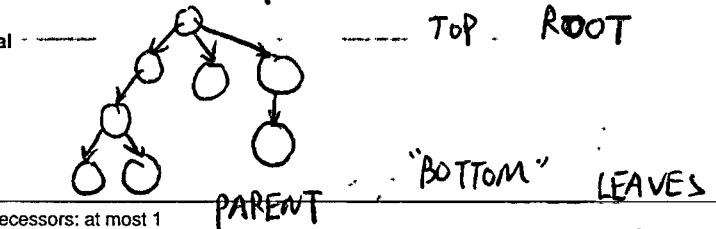
ADTs/Data Structures

Linear



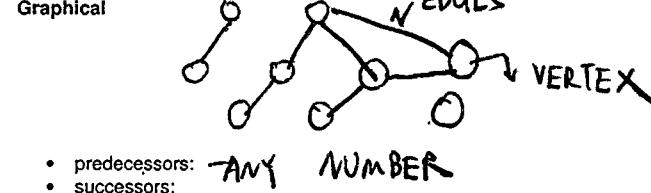
Hierarchical

- predecessors: at most 1
- successors: at most 1



Graphical

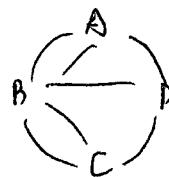
- predecessors: at most 1
- successors: 0 or more - general tree, at most two - binary tree



* REPRESENT PAIRWISE RELATIONSHIPS /
BETWEEN ITEMS IN THE COLLECTION PROCESSES

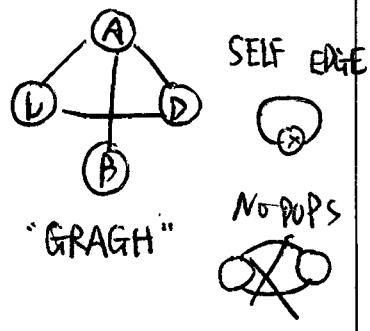
EDGE INDICATES SOME RELATIONSHIP EXISTS.
• NO CLEAR FIRST/LAST TOP/BOTTOM
SO WE NEED TO SPECIFY WHERE TO START/
STOP

AND BE ABLE TO ACCESS ANY PARTICULAR
VERTEX EFFICIENTLY



Graph Terminology

UNDIRECTED



DEGREE: NUMBER of EDGES FOR A SPECIFIED VERTEX

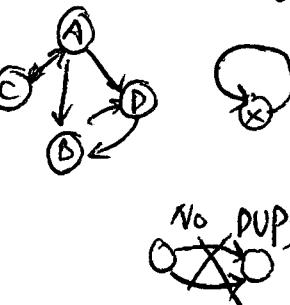
PATH: SEQUENCE OF CONNECTED VERTEXES.
C, A, B ACYCLIC
C, A, D, C CYCLIC

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SOURCE TARGET
A → B

DIRECTED



IN DEGREE: NUMBER of incoming edges

OUT DEGREE: NUMBER of outgoing edges

degree: indegree + out degree

PATH: SEQUENCE OF VERTEXES IN THE DIRECTION OF THEIR CONNECTIONS
A → D → B acyclic.
D → B → D cyclic.

MUST HAVE EXACTLY \emptyset OR 2 VERTEXES OF OPP DEGREE

Implementing Graphs

Graph ADT Ops

CONSTRUCTOR (INITIALLY EMPTY)
INSERT VERTEX, INSERT EDGE (BETWEEN EXISTING VERTEXES)
DELETE VERTEX (& ITS EDGES), DELETE EDGE.
LOOKUP VERTEX (MIGHT RETURN ASSOC. DATA)
LOOKUP EDGE, is Empty, DEGREE, SIZE, ORDER + OTHERS.

Graph Class

```
public class graph < T > {
    private List<GraphNode< T >> nodes;
        ↳ ArrayList, LinkedList
    MAP → TreeMap, HashMap (PUP ok)
    SET → TreeSet, HashSet (NO Pups)
```

GraphNode Class

```
class GraphNode < T > {
    private T data;
```

How DO WE STORE EDGES?

CS 367 (F15): L25 - 3

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CS 367 (F15): L25 - 4

Both:
ORDRED: NUMBER OF VERTEXES
SIZE: NUMBER OF EDGES

Using Edge Representations

→ Write the code to be added to a Graph class that computes the degree of a given node in an undirected graph.

1. Adjacency list:

```
public int degree( Graphnode<T> n) {
    return n.getEdges().size();
}
```

2. Adjacency matrix:

```
public int degree( Graphnode<T> n) {
    int i = map n's key to its AM INDEX.
    int degree = 0;
    for (int s = 0; s < #Nodes; s++)
        if (edges[i][s]) degree++;
    return degree;
}
```

Comparison of Edge Representations

Ease of implementation

Both EASY AM REQUIRES MAPPING
KEY → INDEX

Space (memory)

| | |
|----|--|
| AM | $O(N^2)$ |
| AL | $O(N)$ AVG CASE SPARSE GRAPH $O(N^2)$ WORST CASE COMPLETE GRAPH |

Time (complexity of ops)

DEPENDS ON THE OPERATIONS

node's degree?

| | |
|----|--------|
| AM | $O(N)$ |
| AL | $O(1)$ |

edge exist between two given nodes?

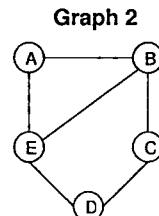
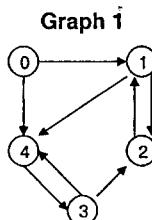
| | | |
|----|------------------|--------|
| AM | CHECK AM[A][B] | $O(1)$ |
| AL | SEARCH of $O(N)$ | W.C |

Representing Edges

Adjacency Matrix

STORES EDGES IN A 2D ARRAY
 $i \rightarrow j \equiv (i, j) \equiv AM[i][j]$
 NEED TO MAP NODE's KEY TO ITS AM INDEX
 ADD TO GRAPH class
 private boolean [][] edges;

Given the following graphs:



→ Show the adjacency matrix representation of the edges for each of the graphs:

Graph 1 TARGET TO

SOURCE FROM

| | 0 | 1 | 2 | 3 | 4 |
|---|---|---|---|---|---|
| 0 | T | | | | T |
| 1 | | T | T | T | |
| 2 | G | T | | | |
| 3 | | T | T | | |
| 4 | | | T | | |

Graph 2

| | A | B | C | D | E |
|---|---|---|---|---|---|
| A | T | | | | T |
| B | T | T | | | T |
| C | | T | T | | T |
| D | | T | T | T | |
| E | T | T | T | | |

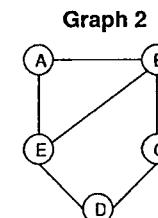
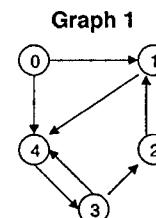
UNDIRECTED MATRIX
mirror image.

Representing Edges

Adjacency Lists

- Stores a list of successor in each Graphnode
- Add to Graphnode class
`private List<Graphnode<T>> edges;`

Given the following graphs:



→ Show an adjacency list representation of the edges for each of the graphs:

| Graph 1 | | Graph 2 | |
|---------|------|---------|---------|
| 0: | 1, 4 | A: | B, E |
| 1: | 2, 4 | B: | A, C, E |
| 2: | 1 | C: | B, D |
| 3: | 2, 4 | D: | C, E |
| 4: | 3 | E: | A, B, D |

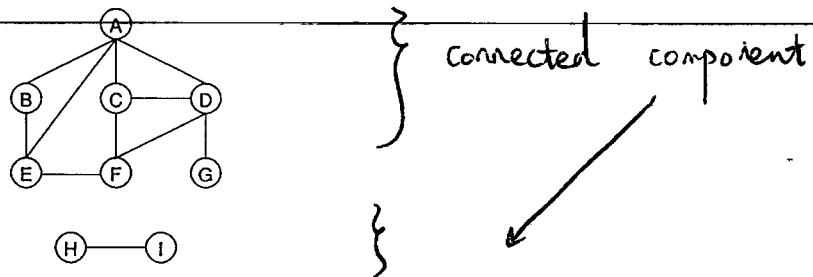
Searches and Traversals

Search LOOK THROUGH A COLLECTION STOPPING AT THE FIRST ITEM THAT MATCHES THE SEARCH

CRITERIA

Traversal VISIT EACH ITEM IN THE COLLECTION EXACTLY ONCE.

GRAPH : SPECIFY START VERTEX
AND VISIT THOSE VERTEXES THAT ARE
REACHABLE.



→ What is the length of the longest path starting at A?

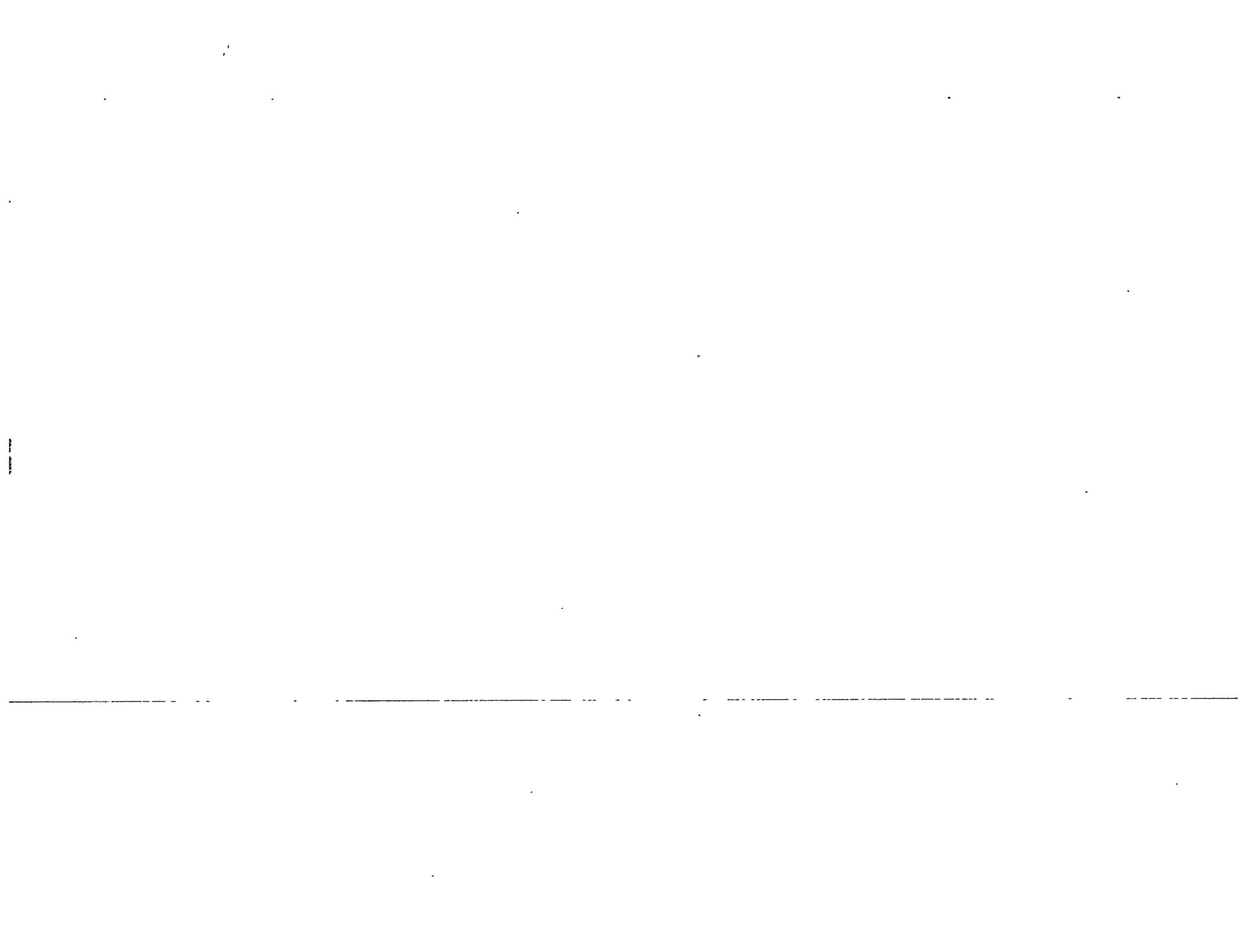
VISITING EACH VERTEXES AT MOST ONCE.

PROB. WHAT DO YOU DO TO AVOID CIRCLE?

SOLN: MARK VERTEXES AS THEY'RE VISITED

* 367 CONVENTION

PICK UNVISITED SUCCESSORS IN INCREASING
NUMERIC ORDER OR ALPHABETIC ORDER.



CS 367 Announcements
Thursday, December 3, 2015

Homework h10 assigned 12/6

Program p5 due 10 pm, Tuesday, December 15th

Last Time

- FInish Hashing
- ADTs/Data Structures Revisited
- Graphs
- terminology
 - implementation issues
 - edge representations
- Return Exam 2

Today

- Graphs
- traversals
- applications of BFS/DFS
- more terminology

Next Time

- Read: finish Graphs, start Sorting
- topological ordering
- Dijkstra's algorithm
- Sorting Intro
- Basic Sorts
 - bubble sort
 - insertion sort
 - selection sort

Depth-First Search (DFS)

- ASSUME ALL VERTEXES INITIALLY MARKED "UNVISITED"

• RELIES ON A STACK, WE'LL USE THE CALL STACK WITH RECURSION

Algorithm

DFS(v)

MARK v AS "VISITED"

FOR EACH UNVISITED SUCCESSOR s THAT IS

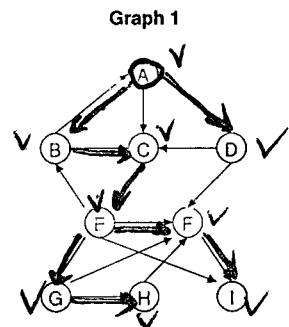
ADJACENT TO v

DFS(s)

↓
361 CONVENTION

* EQUIVALENT TO PRE ORDER TRAVERSAL
ON TREES.

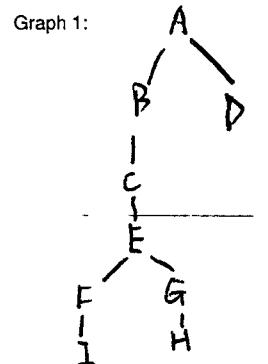
DFS Practice



→ Give the order that vertexes are visited for depth-first search (DFS) starting at A



→ Give the DFS spanning tree starting at A



Graph 2:



Breadth-First Search (BFS)

- ASSUME ALL VERTEXES INITIALLY MARKED "UNVISITED".
- RELIES ON A QUEUE.

Algorithm

$BFS(v)$

$q = \text{new Queue}();$
MARK v AS VISITED

$q.\text{enqueue}(v)$
while ($\neg q.\text{is Empty}()$)
 $c = q.\text{dequeue}()$

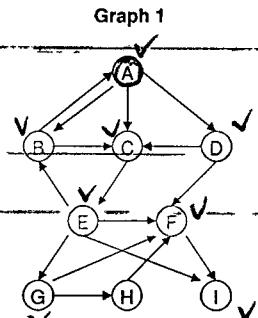
FOR EACH UNVISITED SUCCESSOR
 s ADJACENT TO c ,

MARK s AS VISITED

$q.\text{enqueue}(s)$.

* EQUIVALENT TO A LEVEL ORDER TRAVERSAL
ON TREES

BFS Practice

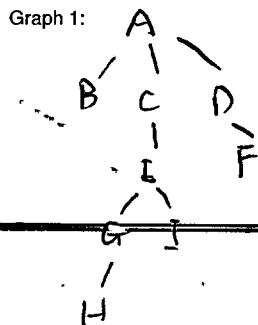


→ Give the order that vertexes are visited for breadth-first search (BFS) starting at A.

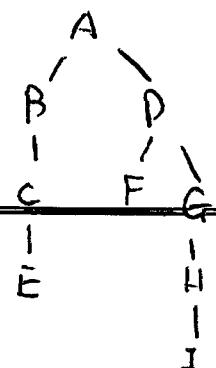
Graph 1: FRONT REAR
A → B → C → D → E → F → G → H → I

Graph 2:
A B D C F G E H I

Give the BFS spanning tree starting at A.



Graph 2:



- Applications of DFS/BFS
- IS THE GRAPH CONNECTED? STARTING AT A GIVEN VERTEX?
 - WHAT VERTEXES ARE REACHABLE FROM START? VERTEX X?

Path Detection

- IS THERE A PATH FROM START TO X?

- WHAT IS THE PATH FROM START TO X?

IDEA: DO BFS / DFS MODIFIED TO KEEP A PREDECESSOR LIST THAT IS USED TO RECONSTRUCT THE PATH (TODAY)

- WHAT IS THE SHORTEST PATH FROM START

TO X? IDEA: UNWEIGHTED GRAPH: BFS WILL DETERMINE THE FFWEST EDGES

IDEA: WEIGHTED GRAPH: Dijkstra's algo will

- IS THERE A CYCLE FROM START TO START

DETERMINES THE PATH WITH LOWEST TOTAL EDGE WEIGHTS

IDEA: DO DFS/BFS MODIFIED TO DETECT IF START IS REACHABLE FROM SOME OTHER VERTEX IN GRAPH. (EXCLUDING SIMPLE CIRCLES)

- BACK AND FORTH'S IN A → B → A, B, C → A



WE WANT A LOOP (3 OR MORE VERTEXES)

- IS THERE A CYCLE ANYWHERE IN THE GRAPH?

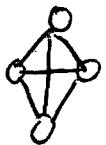
SEE READING FOR INFO ON HOW TO USE 3 MARKS. (ADD AN "IN PROGRESS" MARK)

More Graph Terminology

WEIGHED GRAPH: EDGE ARE ASSIGNED
A VALUE REPRESENTING A COST, TIME,
DISTANCE, FORCE, ...

NETWORK: WEIGHED DIGRAPH
WHERE EDGE WEIGHTS ARE NON-NEGATIVE

complete: GRAPH: AN EDGE EXISTS BETWEEN
EVERY PAIR OF VERTICES.



$$N = \# \text{ of vertices}$$
$$\text{EDGES} = \frac{N(N-1)}{2}$$



$$\# \text{ EDGES} = N(N-1)$$

CONNECTED GRAPH:

UNDIRECTED: A PATH EXISTS BETWEEN EVERY
PAIR OF VERTICES

DIRECTED: WEAKLY: A PATH EXISTS BETWEEN EVERY PAIR OF VERTICES
STRONGLY:

regardless of
~~edge~~ EDGE DIRECTIONS.
RESPECTING EDGE DIRECTIONS.

TREE DIRECTED ACYCLIC GRAPH

CS 367 Announcements
Tuesday, December 8, 2015

Homework h10 due 10 pm, Friday, December 11th

Program p5 due 10 pm, Tuesday, December 15th

Last Time

- Graphs
- more terminology
- traversals
- applications of BFS/DFS

Today

Graphs

- applications of BFS/DFS (from last time)
- topological ordering
- Dijkstra's algorithm

Sorting Intro

- Basic Sorts
- bubble sort
- insertion sort
- selection sort

Next Time

Read: continue Sorting

Finish Basic Sorts

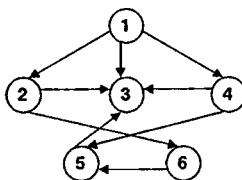
Better Sorts

- heap sort
- merge sort
- quick sort

Topological Ordering
" SORTING
NUMBERING

IDEA: COME UP WITH A LIST OF VERTEXES SUCH THAT EACH VERTEX IN THE LIST COMES BEFORE ITS SUCCESSORS.

→ Arrange the vertices below in a list such that when the edges are added none of the arrows point to the left:



NOT VALID

1 2 4 6 5 3 is VALID

Topological Ordering Algorithm

Iterative Algorithm (READING USE RECURSION, WE'LL USE A STACK)

NUM = NUMBER OF VERTEX ES IN GRAPH

ST = NEW STACK

MARK ALL VERTEX ES AS UNVISITED

FOR EACH VERTEX WITH NO PREDECESSORS

 MARK V AS VISITED

 ST. PUSH(V)

WHILE (! ST. ISEMPTY ())

 V = ST. PEAK()

 IF ALL SUCCESSORS V MARKED

 GIVE V value of NUM

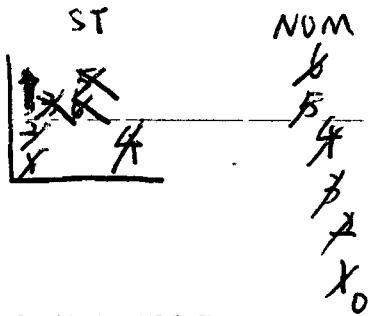
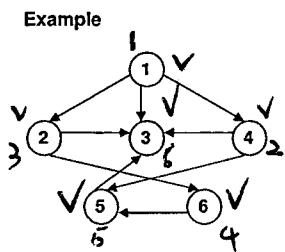
 NUM --

 ELSE

 SELECT ONE OF V's UNVISITED SUCCESSORS

 MARK V AS VISITED

 ST. PUSH(V)



USE 367

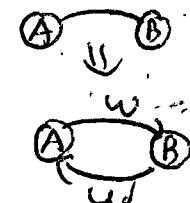
CONNECTION
VISITED

```
for each vertex v
    initialize v's visited mark to false
    initialize v's total weight (tw) to infinity
    initialize v's predecessor to null
    set start_vertex's total weight (tw) to 0
```

```
create new priority queue pq
put (0, start_vertex) on pq
```

```
while !pq.isEmpty()
    (v's tw, v) = pq.removeMin()
    set v's visited mark to true
```

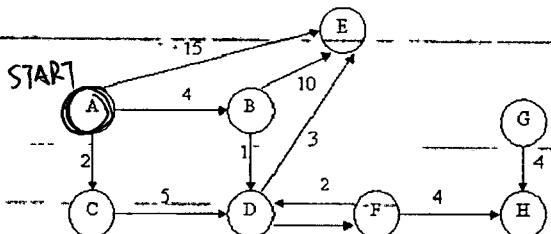
```
for each unvisited successor s adjacent to v
    if s's total weight can be reduced
        update s's total weight to: v's tw + edge weight from v to s
        change s's predecessor to: v
        put (s's tw, s) on pq (or just update s's tw if already on pq)
```



Dijkstra's Algorithm

- FINDS SHORTEST PATH (SMALLEST TOTAL EDGE WEIGHTS) IN A NETWORK.
- EDGE WEIGHTS MUST BE NON-NEGATIVE CAN BE UNBOUNDED
- MUST SPECIFY A SIMPLE START VERTEX.
- CAN BE USED WITH AN UNDIRECTED GRAPH BY CONVERTING ~~UNDIRECTED~~ EDGES

Dijkstra's Practice



| Iteration | Priority Queue |
|-----------|---------------------------|
| 0 | <u>A</u> |
| 1 | <u>B</u> , C, 4, D, 15, E |
| 2 | 4, B, D, 7, 10, E |
| 3 | <u>E</u> , D, 14, F |
| 4 | 5, F, <u>8</u> , E |
| 5 | 8, E, <u>H</u> |
| 6 | 9, H |
| 7 | |

UNDERLINED MEANS ADDED DURING THAT ITERATION

Reconstruct shortest path from A to F

TRICK: START AT DESTINATION

F D B A

COST 5

PATH A, B, D, F

Sorting

Problem ARRANGE A CONNECTION of items into some prescribed order. we'll do increasing numbering order

Solution Comparison sort

compares pairs of items to determine their relative ordering

in java w/ Comparable item with compareTo() we'll use < > =

Best comparison sorts are $O(N \log N)$

Worst case time complexity where N is number of items. 2 Dominant ops < comparison - delete move (swap)

In-Place Sorts

just use one array containing the collection to store & sort

Basic In-Place Comparison Sorts

IDEA: ARRAY is divided into sorted & unsorted parts.

Each pass through array moves one item from unsorted to sorted.

Sorting the entire array requires at most $N-1$ passes.

Bubble Sort

Idea Each pass through the unsorted part "Bubbles" the next smallest item to the back of the sorted part.

Pseudocode

```
int passes = A.length-1;
boolean swapDone = true;
for (int i = 0; i < passes & swapDone; i++) { ← pass
    swapDone = false;
    for (int j = A.length-1; j > i; j--) {
        if (A[j] < A[j-1]) {
            swap(A[j], A[j-1]);
            swapDone = true;
        }
    }
}
```

]
Bubble sort next smallest through unsorted

Analysis MODIFIED code

| kind of array | best case | worst case |
|---------------|-----------|------------|
| SORTED | REVERSED | SORTED |

comparisons

$O(N)$

$O(N^2)$

swaps

\emptyset

$O(N^2)$

total

$O(N)$

$O(N^2) \Rightarrow O(N^2)$

Insertion Sort

Idea START WITH FIRST ITEM IN SORTED EACH PASS INSERT NEXT UNSORTED ITEM INTO SORTED ITEM
FINDING THE INSERT LOCATION
NAIVE: LINEAR INSERTION
BETTER: BINARY INSERTION

Pseudocode (linear insertion)

```
for (int i = 1; i < A.length; i++) {
    int temp = A[i];
    int j;
    for (j = i-1; j >= 0 && A[j] > temp; j--)
        A[j+1] = A[j];
    A[j+1] = temp;
}
```

]
INSERTS

Analysis

NAIVE

| kind of array | best case | worst case |
|---------------|-----------|------------|
| SORTED | $O(N)$ | $O(N^2)$ |

comparisons

SORTED

| kind of array | best case | worst case |
|----------------|-----------|------------|
| REVERSE SORTED | $O(N^2)$ | $O(N^2)$ |

shifts

\emptyset
(NOT COUNTING ASSIGN TO TEMP)
 $O(N)$

total

$O(N^2) \Rightarrow O(N^2)$

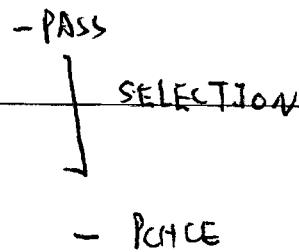
Selection Sort

Idea

- START THE ENTIRE UNSORTED
- EACH PASS SELECT SMALLEST FROM UNSORTED AND SWAP IT WITH THE FIRST UNSORTED.

Pseudocode

```
int passes = A.length-1;
for (int i = 0; i < passes; i++) {
    int minIndex = i;
    for (int j = i+1; j < A.length; j++) {
        if (A[j] < A[minIndex])
            minIndex = j;
    }
    swap(A[minIndex], A[i]);
}
```



Analysis

kind of array

best case

worst case

SORT

NOT REVERSE SORTED
 $N, 1, 2, 3 \dots N-1$

comparisons

$O(N^3)$

$O(N^2)$

swaps

\emptyset

$O(N)$

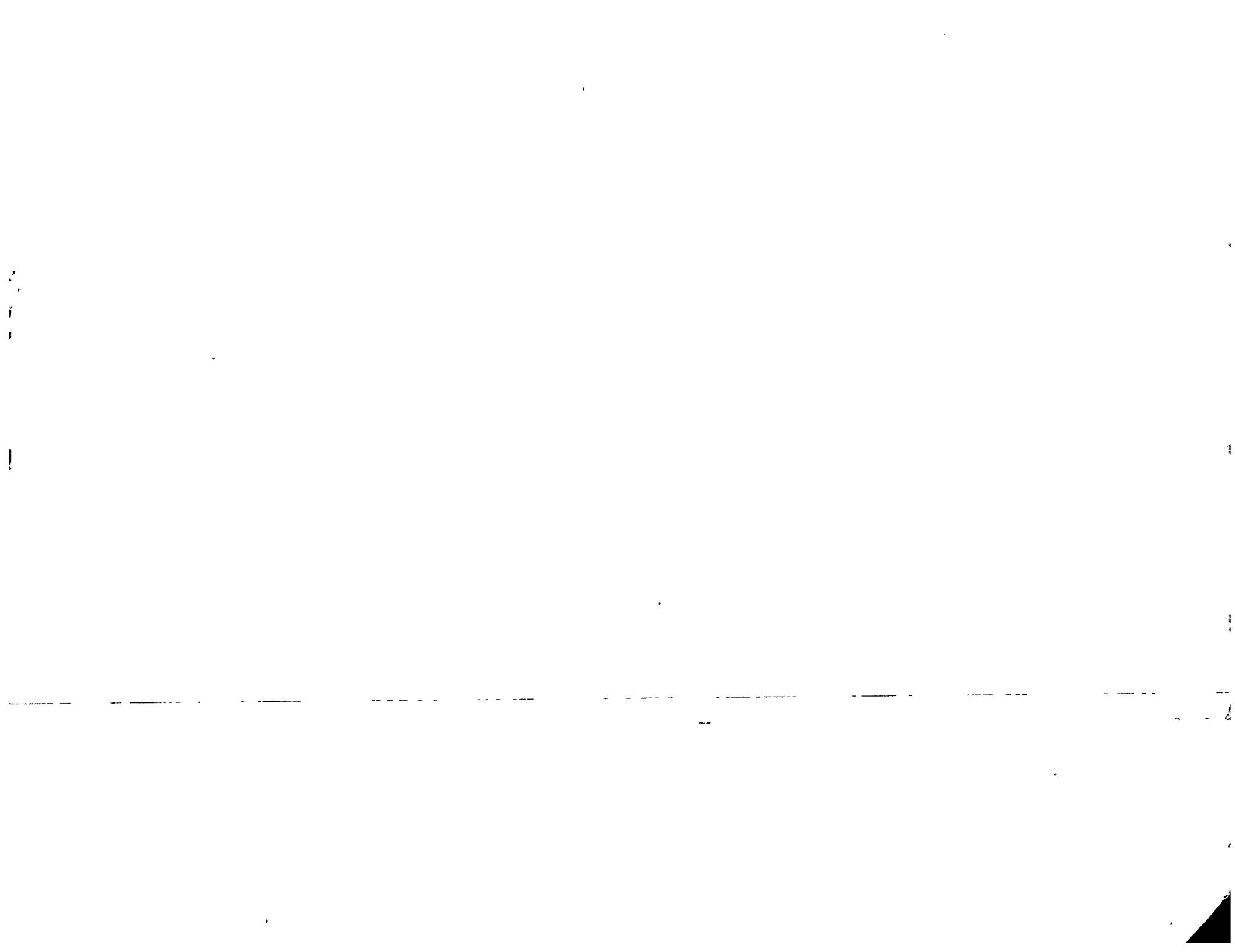
(EXCLUDE SELF SWAPS)

$O(N^2)$

$O(N^2)$

total

$O(N^2)$



CS 367 Announcements
Thursday, December 10, 2015

Final Exam

- Wednesday, December 23rd, 7:45 to 9:45 am (morning)
- Exam information posted
- Sample questions on Learn@UW
- UW IDs are required

Homework h10 due by 10 pm tomorrow, Friday, December 11th

- make sure your file is a.pdf but not pdf.scan.of written.work or pdf.of a screen shot
- make sure you use the name h10.pdf
- submit to your in handin directory
- remember homeworks are to be done individually
- remember that late work is not accepted

Program p5 due 10 pm, Tuesday, December 15th

Last Time

- Graphs
- applications of BFS/DFS
 - topological ordering
 - Dijkstra's algorithm

Today

- Sorting Intro
Basic Sorts
- bubble sort
 - insertion sort
 - selection sort
- Better Sorts
- heap sort
 - merge sort
 - quick sort

Next Time

- Read: finish Sorting
Finish Better Sorts
Stable Sorts
Sorting in Java

Heap Sort

Idea (NAIVE) ① INSERT EACH ITEM FROM ORIGINAL VECTOR
ARRAY INTO A MINHEAP

② REMOVING EACH ITEM FROM MINHEAP FILLING THE
original Array from left to right.

Analysis

TIME

$$\Theta(N \times O(\log N)) = O(N \log N)$$

$$\textcircled{1} N \times O(\log N) = \underline{O(N \log N)}$$

SPACE

NAIVE: REQUIRE

$2N$ memory

(ORG. ARRAY &
HEAP ARRAY)

$$O(2 \log N)$$

$$\boxed{O(N \log N)}
always$$

BETTER (IN PLACE) too much memory

N MEMORY

How: use Maxheap

① REHEAPIFY FOR $N-1$ ITEMS

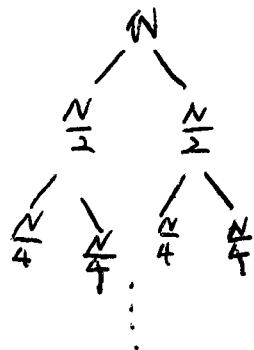
② REMOVE MAX FILLING ARRYS
FROM RIGHT TO LEFT

Merge Sort

Idea DIVIDE & CONQUER RECURSIVE ALGO

- ① DIVIDE THE ARRAY IN HALF AND RECURSIVELY CALL MERGE SORT ON EACH HALF
- ② MERGE THE TWO SORTED LISTS HALVES INTO 1

Analysis



BASE CASE:
SUBARRAY HAVE 1 ITEM WHICH ARE SORTED
SO THEN MERGE

SPACE: NAIVE: REQUIRE $2N$ memory
BUT IN PLACE ALGO NEEDING N memory
only $O(N) + O(\log N)$
 $\Rightarrow O(N \log N)$

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① EACH LEVEL * # OF LEVELS (H)

| | |
|-------------------|--------|
| 1 * N | $O(N)$ |
| 2 * $\frac{N}{2}$ | $O(N)$ |
| 4 * $\frac{N}{4}$ | $O(N)$ |
| ; | ; |
| $O(N)$ | |

Idea DIVIDE & CONQUER RECURSIVE ALGO IN-PLACE ALGO N memory

- ① Select a value from Array (called the pivot) AND PARTITION THE ARRAY INTO:

Analysis

② RECURSIVELY CALL BEST PARTITION @ EACH LEVEL ON LEFT & - RIGHT PARTS

| | | |
|-------------|-----|-------------|
| $\leq P$ | P | $\geq P$ |
| LEFT PART | | RIGHT PART |
| QUICKSORT | | on LEFT & - |
| RIGHT PARTS | | |

$1 * N O(N)$

$2 * \frac{N}{2} = O(N)$

$4 * \frac{N}{4} = O(N)$

\vdots

$O(N) * O(\log N)$

BEST $\Rightarrow O(N \log N)$
CS 367 (F15): L28-4
(AVG, BST)

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CS 367 (F15): L28-3

WORST CASE

Quick Sort (cont.)

Choosing a Good Pivot

BAD - PIVOT IS A[FIRST]

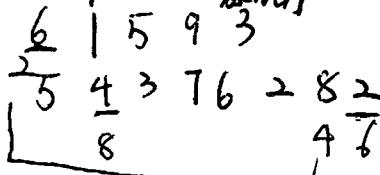
IF ARRAY IS SORTED / REVERSE SORTED

THEN THE PIVOT WILL BE SMALLEST OR
LARGEST VALUE RESULTING IN

EVERYTHING GOING INTO 1 PART.

Good: "Median of 3"

Partitioning the Array
INITIAL PARTITIONING PICK THE MIDDLE VALUE OF



PARTITION THESE VALUES

2 1 5 9 3 5 8 3 7 6 2 4 6

SMALLEST

PIVOT

SELECTION

PIVOT LARGEST

PARTITIONING

UNKNOWN

VALUES

LEFT(L) INCL

TO VALUE > P

OR TILL CROSSES

R
RIGHT (R) PELS

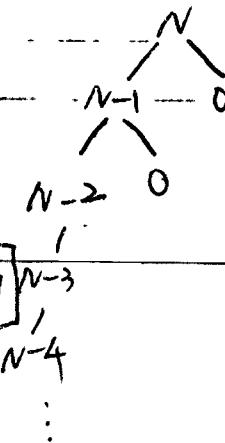
TO VALUE < P
OR TILL CROSSES

BAD PIVOT PARTITION RESULTS IN ONLY ONE PART AS

IN: $\boxed{1 \leq P}$

OR

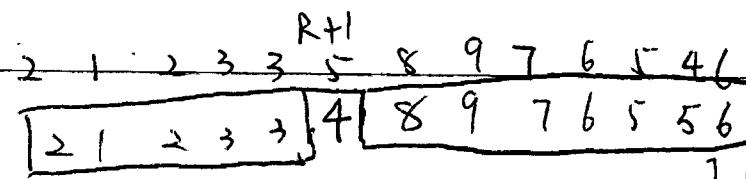
$\boxed{P \Rightarrow P}$



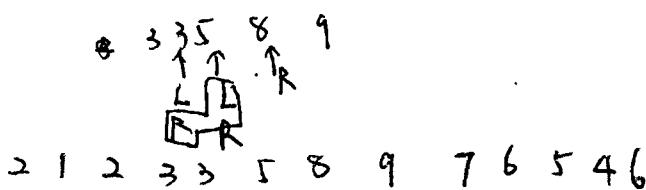
$N + (N-1) + (N-2) + \dots$

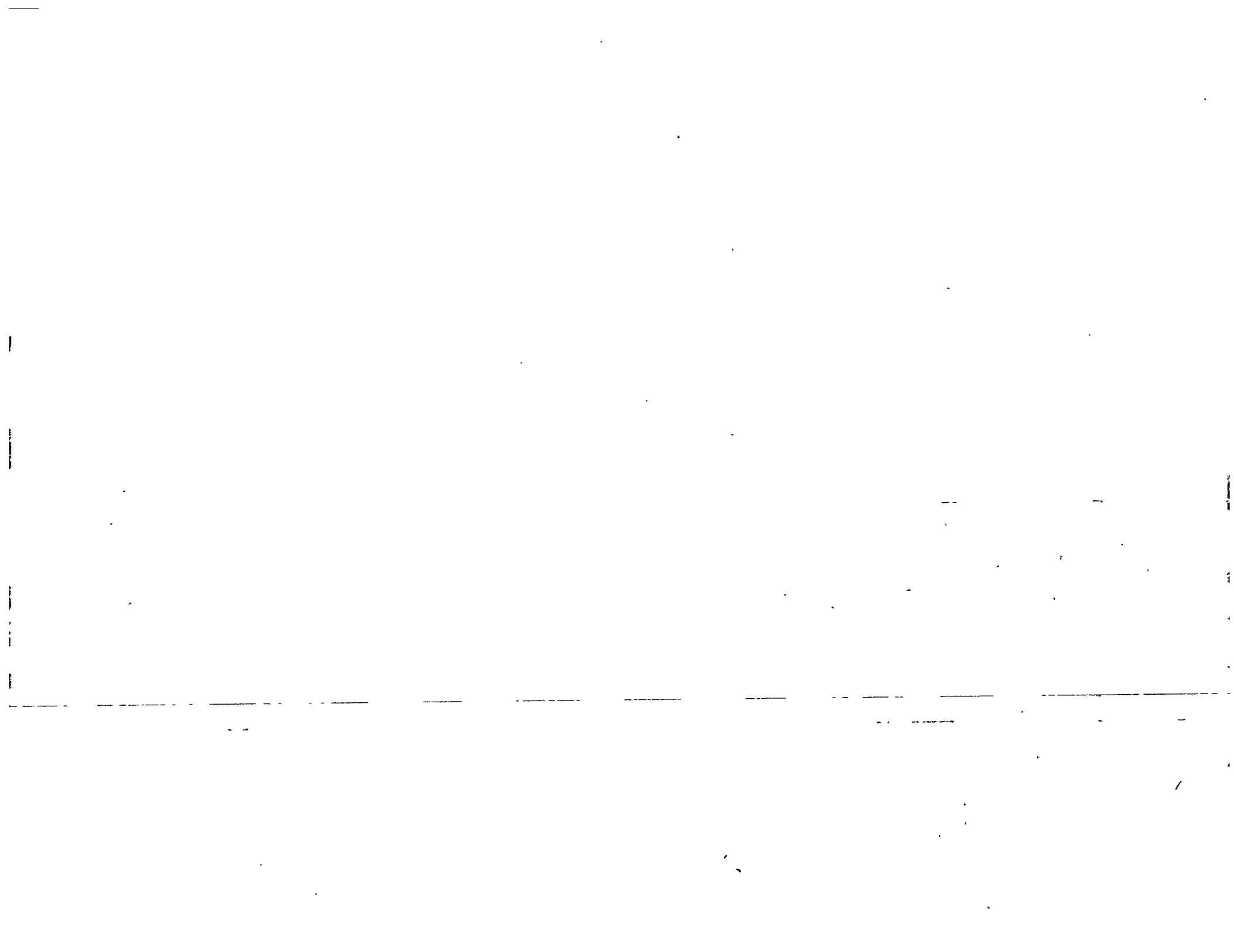
$O(N^2)$

(W.C.)



PIVOT
PLACEMENT





CS 367 Announcements
Tuesday, December 14, 2015

Final-Exam

- Wednesday, December 23rd, 7:45 to 9:45 am (morning)
- Lec 1: B10 Ingraham Hall
- Lec 2: 145 Birge Hall
- UW ID REQUIRED
- Bring #2 pencils
- Exam information posted
- Sample questions on Learn@UW

SOLUTION

12/21

Program p5 due 10 pm, Tonight, December 15th

Verify your scores are correctly entered on Learn@UW

Last Time

Sorting Intro

Basic Sorts

- bubble sort
- insertion sort
- selection sort

Better Sorts

- heap sort
- merge sort
- quick sort

Today

Finish Better Sorts (from last lecture)

Stable Sorts

Sorting in Java

Course Overview Sheets

Final Exam Info

Evaluations – Skrentny, CS 367, lecture

REVIEW OLD EXAMS

Stable Sorts

→ What do you notice about the sorting of the following three lists of names?

UNSORTED

| |
|----------------|
| Jane Jetson |
| Elroy Jetson |
| Homer Simpson |
| Marge Simpson |
| Stewie Griffin |
| Judy Jetson |
| George Jetson |
| Barney Rubble |

SORTED BY FIRST NAME

| |
|----------------|
| Barney Rubble |
| Elroy Jetson |
| George Jetson |
| Homer Simpson |
| Jane Jetson |
| Judy Jetson |
| George Jetson |
| Barney Rubble |
| Marge Simpson |
| Stewie Griffin |

STABLE SORTED BY LAST NAME

| |
|----------------|
| Stewie Griffin |
| Elroy Jetson |
| George Jetson |
| Jane Jetson |
| Judy Jetson |
| Barney Rubble |
| Homer Simpson |
| Marge Simpson |

* USE ON COMPOSITE ITEMS
(HAVE MULTIPLE KEYS)

• STABLE SORTS PRESERVE THE RELATIVE ORDERING FOR DUPLICATE KEYS.

STABLE
BUBBLE
INSERTION
MERGE

UNSTABLE
SELECTION
HEAP

• QUICKSORT? ↗

Sorting in Java API

In `java.util`

`Collections.sort(List)`

USES A MODIFIED MERGE SORT.

SINCE OBJECTS IN THE COLLECTION ARE LIKELY TO
BE COMPOSITES.

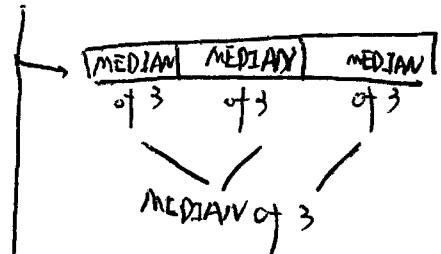
`Arrays.sort(array_to_sort)`

OVERLOADED

IF ARRAY OF REFERENCES

IF ARRAY OF PRIMITIVES

TUNED QUICK SORT



IF SUB ARRAY IS SMALL

THEN INSERTION SORT
IS USED.

Abstract Data Types (ADTs) and Data Structures (DS)

ADT L1P4
DS L6P6

Layout of Collection

- Linear

List

array, SimpleArrayList, shadow array
chain of nodes, Listnode, SimpleLinkedList
tail, header, doubly linked, circularly

linked
Stack
Queue
Deque

circular array

- hierarchical

general tree, Treenode
binary tree, BinaryTreenode
binary search tree, BSTnode
balanced search tree
red-black tree
heap

PriorityQueue

- graphical

Graph

Graphnode
adjacency matrix
adjacency list

Orientation of Operations

- position oriented - operations occur at a specified position
list, stack (top), queue (front/rear), deque ("double ended")
- value oriented - operations occur at position determined by item's key value

Map

sorted list
search trees
hash table

- hybrid?
PriorityQueue

heap
hash table

| Algorithms | | | | Complexity |
|--|----------------------|----------------------------|----------------|---|
| Operations on ADTs/data structures | | | | Complexity |
| insert, lookup, remove | | | | $1, \log N, N, N \log N, N^2, N^3, 2^N, N!$ |
| Recursion | | | | time: abstract, dominant ops |
| vs. iteration rules, guiding questions call stack trace execution tree trace | | | | space: memory worst/average/best-case |
| Traversing | | | | big-O |
| list tree graph | level DFS (stack) | pre/in/post BFS (queue) | spanning trees | Determining Complexity |
| Searching | | | | informal constant linear quadratic |
| linear $O(N)$ binary $O(\log N)$ | | | | code loops method calls |
| Hashing | | | | time equation simplify |
| hash function: hash code (extracting, weighting, folding) \rightarrow hash index (compressing) table size: prime size, load factor, rehashing collisions: open addressing, buckets | | | | recurrence equations base $T(1) =$ recursive $T(N) = \dots + T(\dots)$ equations \rightarrow table, guess solution \rightarrow verify \rightarrow complexity |
| Graphs | | | | |
| topological ordering Dijkstra's (priority queue) | | | | |
| Sorting | | | | Caveats |
| basic $O(N^2)$: bubble, insertion, selection better $O(N \log N)$: heap, merge, quick stable sorts | | | | small problem size same complexity |

Java Concepts

Primitives vs. References

Command-line Arguments

Exceptions

```
throw  
try/catch/finally  
throws (checked vs unchecked)  
defining
```

Programming for Generality

```
Object  
generics
```

Interfaces

```
Comparable, compareTo  
ADTs
```

Iterators

```
Iterable: iterator()  
Iterator: hasNext(), next()
```

```
indirect  
direct
```

Package Visibility

Java Collections Framework

```
Iterable<T>, Iterator<E>  
List<T>: ArrayList<T>, LinkedList<T>  
Vector<E>, Stack<E>  
Hashtable<K,V>  
Map<K,V>: TreeMap<K,V>, HashMap<K,V>  
Set<E>: TreeSet<E>, HashSet<E>
```

Computer Sciences 367

Midterm Exam 1, 17%

Tuesday 10/20, 2015

PRINT last name (family): Jiang first (given) Han

CIRCLE lecture: Lecture 1 (1-2:15) Lecture 2 (2:30-3:45)

I agree that:

- I'll keep my answers covered during the exam so they may not be viewed by other students.
- I won't use any notes, devices of any kind, or the help from other students.
- I won't copy any part of this exam or share it with any students that haven't taken the exam.

SIGN: Han Jiang

Violation of this agreement results in automatic course failure, which is reported to the Dean's Office.

- (1) SCAN IN your UW student ID and TAKE a scantron form.
- (2) On the identification side of the scantron form using a #2 pencil:
WRITE your name and fill in the bubbles.
WRITE your UW student ID number and fill in the bubbles.
WRITE your lecture number under "A" in the *Special Codes* section and fill in the bubble.
WRITE exam version 1 under "B" in the *Special Codes* section and fill in the bubble.
- (3) Fill in the information at the top of this page.
- (4) Turn off and put away ALL electronic devices before the exam begins.
- (5) Check that there is a total of 9 pages in this exam.
- (6) When done, SCAN OUT your UW student ID and TURN IN your exam and scantron form.

This exam is intended to take 90 minutes, but we'll give you 2 hours. Answer questions by filling in your choice using a #2 pencil on the scantron form. Only answers on your scantron form matter. Marks in this exam don't count. Unless otherwise specified, assume the classes, ADTs and interfaces are those discussed in lecture and in the readings. We can't provide hints but if you need an exam question clarified or feel that there is an error, please bring this to our attention. If needed, corrections are written on the board.

| Parts | Number of Questions | Question Format | Possible Points |
|-------|---------------------|-----------------|-----------------|
| I | 21 | Dual Choice | 21 |
| II | 15 | Multiple Choice | 45 |
| Total | | | 66 |

Part I Dual Choice [21 questions, 1 point each, 21 total points]

For questions 1 through 21, choose your answer after reading both choices.
Mark the corresponding letter on your answer sheet.

- 1.) What is a benefit of declaring myList in this manner:

A B `ListADT<String> myList = new SimpleArrayList<String>();`

- A. Any class implementing the ListADT can be substituted for SimpleArrayList.
- B. Any methods implemented by SimpleArrayList can be used by myList.

- B 2.) An ADT specifies:

- A. How something is structured and how its operations are implemented.
- B. What something is and what operations it can do.

- B 3.) A Stack ADT has a A.) FIFO B.) LIFO behavior.

- B 4.) If each iteration of an algorithm reduces the problem size by one half
then the time complexity of that algorithm is A.) linear. B.) logarithmic.

For the next 2 questions, consider the following try-catch statement shell:

```
try {
    //LOCATION 1
} catch (SomeException e) {
    //LOCATION 2
} finally {
    //LOCATION 3
}
```

- A 5.) If any exception is thrown at LOCATION 1
then the code at LOCATION 3 A.) does execute. B.) doesn't execute.

- A 6.) If code at LOCATION 2 executes
then the code at LOCATION 3 A.) does execute. B.) doesn't execute.

- A B 7.) Java's package access is used to hide:

- A. An ArrayList's array data structure.
- B. A LinkedList's Listnode data structure.

- B 8.) Which one describes what an Iterator's next() method does?

- A. It advances to the next item and then returns a reference to that item.
- B. It returns a reference to the current item and then advances to the next item.

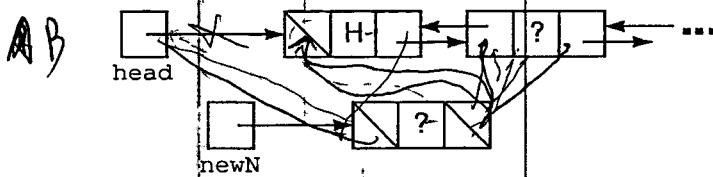
- A 9.) Java generics require the type of item that is stored in a collection to be specified when:

- A. the generic class is used.
- B. the generic class is implemented.

- A 10.) Which one of the following is correct?

- A. A collection class can be Iterable.
- B. An iterator class can be Iterable.

- 11.) If the node labelled H is a header node, which one correctly links the node referenced by newN at the front of the list (i.e., at position 0)?



- A. `newN.setNext(head);
head = newN;
head.getNext().setPrev(newN);`
- B. `newN.setNext(head.getNext());
head.setNext(newN);
head.getNext().getNext().setPrev(newN);
newN.setPrev(head);`

For the next 3 questions, you are given the following time equations for three methods:

method1 is $T(N) = 3N^2 - 7$ $O(N^2)$

method2 is $T(N) = N^2 - 5N$ $O(N^2)$

method3 is $T(N) = 30N + 11$ $O(N)$

For each of the following, Mark A for if the statement is true and B if it is false.

A 12.) method1 has quadratic time complexity.

B 13.) method3 is always the fastest method.

A 14.) The time complexity of method1 followed by method2 followed by method3 is $O(N^2)$.

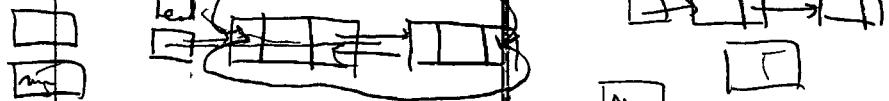
A 15.) A shadow array improves the worst-case time complexity for SimpleArrayList add operations by spreading the cost of copying required to expand the array across multiple add operations. Mark A for true or B for false.

B 16.) If ListADT is a Java interface then the following A.) is B.) isn't valid Java code:

`ListADT<String> myList = new ListADT<String>();`

A 17.) With only a head reference to a circular doubly-linked chain of N nodes, accessing the tail is:

- A. $O(1)$ B. $O(N)$



For the next 3 questions, consider implementing an indirect access reverse iterator, which steps backwards through the items in a ListADT, i.e., from the last item to the first. What is the best you can achieve for the prev method's worst-case time complexity given ListADT implementations listed below?

A 18.) An ArrayList that uses an array

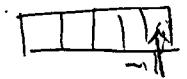
- A.) $O(1)$ B.) $O(N)$

B 19.) A LinkedList that uses a singly-linked chain of nodes

- A.) $O(1)$ B.) $O(N)$

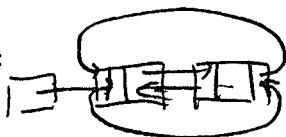
B 20.) A LinkedList that uses a circular, doubly-linked chain of nodes

- A.) $O(1)$ B.) $O(N)$



B 21.) Implementing a Stack ADT with an array would best be done by putting the top of the stack at:

- A.) index 0 B.) index $N-1$ where N is the number of items in the stack





Part II Multiple Choice [15 questions, 3 points each, 45 total points]

For questions 22 through 36, choose the one **best** answer after reading all of the choices.
Mark the corresponding letter on your answer sheet.

- 22.) Consider the following code fragment using a `Stack<E>` S and a `Queue<E>` Q:

```
Queue<String> Q = new Queue<String>();
for (int i = 0; i < 4; i++) {
    Q.enqueue(S.pop());
}
while (!Q.empty()) {
    S.push(Q.dequeue());
}
```

$i=0$ $\leftarrow \text{top}$

$j \leftarrow \text{cat}$

$S \leftarrow \begin{matrix} \text{toy} \\ \text{jim} \\ \text{cat} \\ \text{bob} \\ \text{mop} \end{matrix}$

Assume `S` initially contains the Strings (listed from top to bottom): "toy" "jim" "cat" "bob" "mop". What would `Stack S` contain after the code fragment executes?

A
B

- A. "bob" "cat" "jim" "toy" "mop"
- B. "bob" "jim" "cat" "toy" "mop"
- C. "mop" "jim" "cat" "bob" "toy"
- D. "mop" "toy" "jim" "cat" "bob"
- E. "toy" "jim" "cat" "mop"

$i=1 \leftarrow \text{top} \quad \text{C, b.}$

$i=2 \quad \text{T, C}$

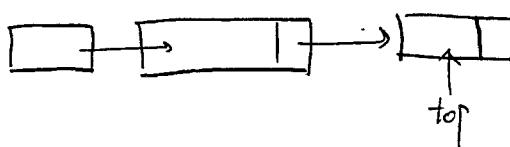
$i=3 \quad \text{T, m}$

$i=4 \quad \text{T, m}$

- 23.) Assume `System.out.println` is $O(1)$. What is the worst-case complexity of the following code fragment assuming the size of the list is N items:

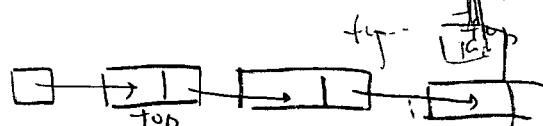
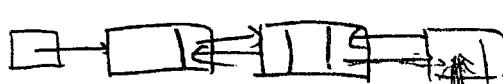
```
if (list.contains(x))  $\Theta(N)$ 
    System.out.println(x);  $O(1)$ 
else
    System.out.println("not found");  $O(1)$ 
```

list.



- 24.) Consider the following proposed designs for implementing a stack (assume non-circular chains of nodes):

- D. I. A singly-linked chain of nodes without a last-node reference and the top of the stack is at the rear.
II. A doubly-linked chain of nodes without a last-node reference, and the top of the stack is at the rear.
III. A singly-linked chain of nodes without a last-node reference, and the top of the stack is at the front.



For stacks with a large number of items, which one of the following statements is false?

- A. Design II uses the most memory. ✓
- B. Design III uses the least memory and has the fastest push and pop methods. ✗ ✓
- C. Adding a last-node reference to design I would make its push method much faster. ✓
- D. Adding a last-node reference to design I would make its pop method much faster. ✗
- E. Adding a last-node reference to design II would make its pop method much faster. ✓

The questions on this page are based on the following code that is *partially* shown below:

```

public class Exceptions {
    public static void main(String[] args) {
        try {
            //LINE A - see questions below
            methodX();
        } catch (FileNotFoundException e) {
            System.out.print("Error 1,");
        } catch (IndexOutOfBoundsException e) {
            System.out.print("Error 2,");
        }
        System.out.print("main done,");
    }

    public static void methodX() {
        //LINE B - see questions below
        try {
            methodY();
        } catch (IndexOutOfBoundsException e) {
            System.out.print("Error 3,");
        }
        System.out.print("methodX done,");
    }

    public static void methodY() {
        try {
            //LINE C - see questions below
        } catch (ArithmaticException e) {
            System.out.print("Error 4,");
        }
        System.out.print("methodY done,");
    }
}

```

Index out of Bounds Exception
method Y X
Arith File
Error 4 Method Y done
method X done.

25.) What is output if only **LINE B** is replaced with code that throws an `IndexOutOfBoundsException`?

- A
- A. Error 2, main done,
 - B. Error 3, methodX done, main done,
 - C. methodX done, Error 2, main done,
 - D. methodY done, Error 3, methodX done, main done,
 - E. The program crashes and message about the exception is displayed.

D 26.) What is output if only **LINE C** is replaced with code that throws an `ArithmetcException`?

- A. Error 4,
- B. Error 4, methodX done, main done,
- C. methodY done, methodX done, main done,
- D. Error 4, methodY done, methodX done, main done,
- E. The program crashes and message about the exception is displayed.

C 27.) If **LINE C** is replaced with code that throws the *checked exception*, `FileNotFoundException`, which methods would require a `throws` clause be added to their method header for this exception?

- A. only main
- B. only methodY
- C. only methodY and methodX
- D. only methodY and main
- E. All three methods - methodY, methodX and main

28.) Assume the following code is in the same class:

```
private List myList;

//adds the items in list L to myList
public void addList( List L ) {
    for (Iterator itr = L.iterator(); itr.hasNext(); ) {
        myList.add(0, itr.next());
    }
}
```

E If myList contains {A, B, C} and L contains (X, Y, Z), which one of the following shows, in the correct order, what myList contains after addList is called.

- A. A, B, C, X, Y, Z
- B. A, B, C, Z, Y, X
- C. X, A, Y, B, Z, C
- D. X, Y, Z, A, B, C
- E. Z, Y, X, A, B, C

X Y X A B C

A 29.) Assume for the question above, that the iterator constructor, hasNext and next methods all run in constant time. If myList is a LinkedList containing N items, and L contains M items, what is the time complexity of method addList?

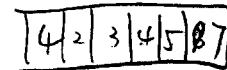
- A. O(M)
- B. O(N)
- C. O(N + M)
- D. O(N * M)
- E. O(max(N, M))



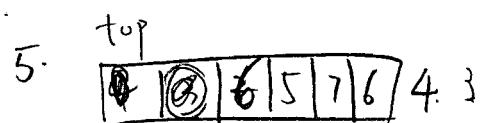
$O(1)$, $O(1)$, $O(M)$

30.) Consider the following method:

```
public static boolean mystery (Queue<Object> Q, Object ob) {
    boolean result = false;
    for (int i = Q.size(); i > 0; i--) {
        Object tmp = Q.dequeue();
        if (!result && tmp.equals(ob)) {
            result = true;
        }
        Q.enqueue(tmp);
    }
    return result;
}
```



6



Which one of the following best describes what mystery does?

- A. It returns true iff the queue does not contain ob but it does not restore the queue to its original state.
- B. It returns true iff the queue contains at least one occurrence of ob and it restores the queue to its original state.
- C. It returns true iff the queue contains at least one occurrence of ob but it does not restore the queue to its original state.
- D. It returns true iff the queue contains more than one occurrence of ob and it restores the queue to its original state.
- E. It returns true iff the queue contains more than one occurrence of ob but it does not restore the queue to its original state.

4 5 7 6 4 3 6

result true

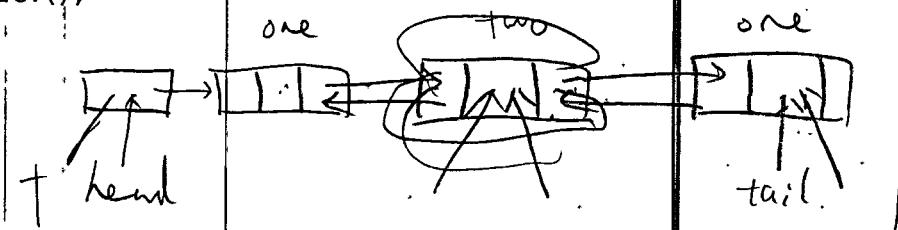
3 7 6 4 3 6

6 4 3 5

4 3 6 5 7 6

- 31.) Assume a class has instance variables `head` and `tail` referencing a doubly-linked chain of nodes. Also assume the chain will have an odd number of nodes. Consider adding a method that determines if the data in the chain of nodes are the same forwards as backwards. For example, the chain of three items: "go", "badgers", "go" would be true, but "bucky", "badgers", "go" would not. The chain "one", "two", "three", "two", "one" would be true, but "one", "two", "three", "six", "one" would not. Which one of the following implementations of the method is the best choice for setting `mirrored` to true iff the chain is as described above?

- A. boolean mirrored = false;
 while (`head` != `tail`) {
 if (!`head.getData()`.equals(`tail.getData()`)) mirrored = true;
 `head` = `head.getNext()`;
~~E~~ `tail` = `tail.getPrev()`;
 } .
- B. boolean mirrored = true;
 while (`head` != `tail`) {
 if (!`head.getData()`.equals(`tail.getData()`)) mirrored = false;
 `head` = `head.getNext()`;
 `tail` = `tail.getPrev()`;
 }
- C. boolean mirrored = true;
 while (`head` != `tail` && mirrored) {
 if (!`head.getData()`.equals(`tail.getData()`)) mirrored = false;
 `head` = `head.getNext()`;
 `tail` = `tail.getPrev()`;
 }
- D. boolean mirrored = false;
~~DblListnode<String>~~ f = `head`;
~~DblListnode<String>~~ b = `tail`;
 while (f != b) {
 if (f.getData().equals(b.getData())) mirrored = true;
 f = f.getNext();
 b = b.getPrev();
 }
- E. boolean mirrored = true;
~~DblListnode<String>~~ f = `head`;
~~DblListnode<String>~~ b = `tail`;
 while (f != b && mirrored) {
 if (!f.getData().equals(b.getData())) mirrored = false;
 f = f.getNext();
 b = b.getPrev();
 }



- 32.) Suppose you are using a **circular array** to implement a Queue. After enqueueing in the following order "A", "B", "C", and "D", the array looks like:

| 0 | 1 | 2 | 3 | 4 | 5 |
|-----|-----|-----|-----|---|---|
| "A" | "B" | "C" | "D" | E | F |

Which of the sequences of Queue operations below would result in the following array:

| 0 | 1 | 2 | 3 | 4 | 5 |
|-----|-----|-----|-----|-----|-----|
| "G" | "H" | "C" | "D" | "E" | "F" |

Sequence 1:

enqueue "E"
dequeue
enqueue "F"
dequeue
enqueue "G"
enqueue "H"

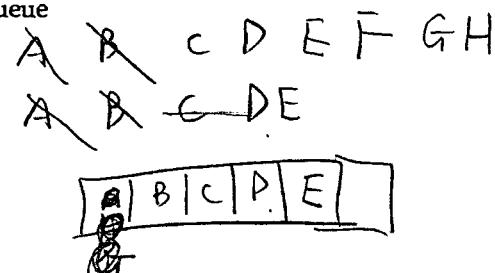
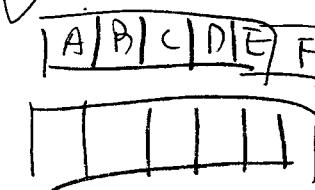
Sequence 2:

dequeue
dequeue
enqueue "E"
enqueue "F"
enqueue "G"
enqueue "H"

Sequence 3:

enqueue "E"
enqueue "F"
enqueue "G"
enqueue "H"
dequeue
dequeue

- A. Sequence 1 only
B. Sequence 2 only
C. Sequences 1 and 2 only
D. Sequences 2 and 3 only.
E. Sequences 1, 2 and 3



- 33.) Consider the following partial implementations of two classes:

```
public class AList<E> {
    private ANode<E> items;
    public AList<E> () {
        items = new ANode<E>(null);
    }
    ...
}

public class ANode<E> {
    private E data;
    private ANode<E> next, prev;
    public ANode (E item) {
        data = item;
        next = null;
        prev = null;
    }
    ...
}
```

Which one best describes the data structure being used by the class AList?

- A. a singly-linked chain of nodes with a header node
B. a doubly-linked chain of nodes with a header node
C. a basic doubly-linked chain of nodes (i.e., without any additional features)
D. a singly-linked chain of nodes with a header node and a reference to the last node
E. a doubly-linked chain of nodes with a header node and a reference to the last node

- 34.) Consider the following code fragment that is to be used in a method of a `LinkedList` class where `items` references the header node.

```
Listnode tmp = items.getNext(); //skip past the header node
while (!tmp.getData().equals(ob)) {
    tmp = tmp.getNext();
}
```

Assume this code fragment has already executed on a chain of `Listnodes`. Which one of the following statements is false?

- A. If `items` references a chain of `Listnodes` that does not contain `ob`, then the code fragment results in a `NullPointerException` being thrown.
- B. If `items` references a singly-linked chain of `Listnodes` that contains `ob`, then `tmp` can be used to link in constant time a new node after the one containing `ob`.
- C. If `items` references a doubly-linked chain of `Listnodes` that contains `ob`, then `tmp` can be used to link in constant time a new node before the one containing `ob`.
- D. If `items` references a singly-linked chain of `Listnodes` that contains `ob`, then `tmp` can be used to unlink in constant time the node containing `ob`.
- E. If `items` references a doubly-linked chain of `Listnodes` that contains `ob`, then `tmp` can be used to unlink in constant time the node containing `ob`.

- 35.) Consider the three code segments below, each of which sets `ArrayList L` to contain just the item initially in position zero. Which of the following code fragments are $O(N)$, where N is the size of `ArrayList L`?

Fragment I

```
Object ob = L.get(0); O(1) A
L = new ArrayList<Object>();
L.add(ob);
```

- A. Fragment II only
- B. Fragment III only
- C. Fragments I and II
- D. Fragments II and III
- E. Fragments I, II and III

Fragment II

```
N/4 M int p = L.size() - 1;
while (p > 0) {
    L.remove(1);
    p--;
}
```

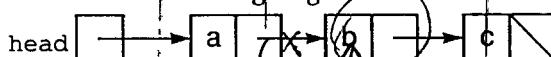
~~N~~

Fragment III

```
N/4 M int p = L.size() - 1;
while (p > 0) {
    L.remove(p);
    p--;
}
```

~~P = 3 =~~

- 36.) Consider the following diagram of a chain of `Listnodes`:

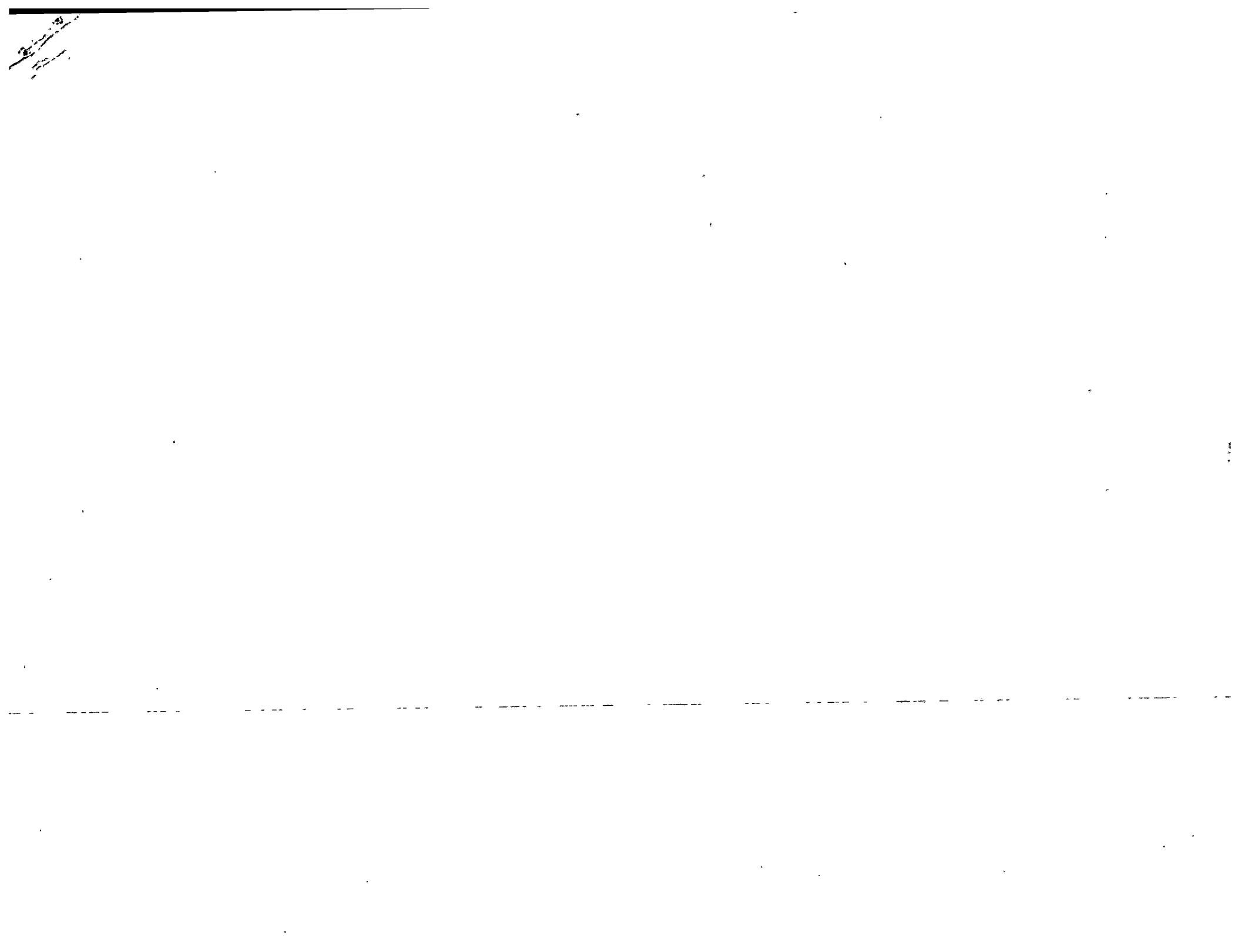


If the following code was executed:

```
head.setNext(new Listnode("d", head.getNext()));
```

Which one of the following best diagrams the resulting chain of `Listnodes`?

- A. head → a → b → d → c
- B. head → a → b → d → d
- C. head → a → d → b → c
- D. head → a → d → c → b
- E. head → a → d → c → d



October 26, 2015

FILE: cp601

The Office of Testing and Evaluation Services
Room 373, 1025 W Johnson ST Madison, WI 53706 (608)262-5863
Section = 2
INDIVIDUALIZED LISTING OF CHOSEN VS. CORRECT RESPONSE

Job #: cp601

Customer #: 266

JIANG HAN

Special Codes: 21

Student ID: 9070414850 Your Total Score: 63.00 Maximum Possible Score: 66.00
Subscores: #1: 18.00 #2: 45.00

ITEM: KEYS: YOURS:

| | | |
|----|---|---|
| 1 | A | B |
| 2 | B | |
| 3 | B | |
| 4 | B | |
| 5 | A | |
| 6 | A | |
| 7 | B | A |
| 8 | B | |
| 9 | A | |
| 10 | A | |
| 11 | B | A |
| 12 | A | |
| 13 | B | |
| 14 | A | |
| 15 | A | |
| 16 | B | |
| 17 | A | |
| 18 | A | |
| 19 | B | |
| 20 | B | |
| 21 | B | |
| 22 | A | |
| 23 | C | |
| 24 | D | |
| 25 | A | |
| 26 | D | |
| 27 | C | |
| 28 | E | |
| 29 | A | |
| 30 | B | |
| 31 | E | |
| 32 | C | |
| 33 | B | |
| 34 | D | |
| 35 | B | |
| 36 | C | |



Computer Sciences 367

Midterm Exam 2, 17%

Tuesday 11/24, 2015

PRINT last name (family): JIANG first (given): HAN

CIRCLE lecture: Lecture 1 (1-2:15) Lecture 2 (2:30-3:45)

I agree that:

- I'll keep my answers covered during the exam so they may not be viewed by other students.
- I won't use any notes, devices of any kind, or the help from other students.
- I won't copy any part of this exam or share it with any students that haven't taken the exam.

SIGN: HAN JIANG

Violation of this agreement results in automatic course failure, which is reported to the Dean's Office.

- (1) SCAN IN your UW student ID and TAKE a scantron form.
- (2) In the identification area on the scantron form using a #2 pencil:
WRITE your name and fill in the bubbles.
WRITE your UW student ID number and fill in the bubbles.
WRITE your lecture number under "A" in the *Special Codes* section and fill in the bubble.
WRITE exam version 2 under "B" in the *Special Codes* section and fill in the bubble.
- (3) Fill in the information at the top of this page.
- (4) Turn off and put away ALL electronic devices before the exam begins.
- (5) Check that there is a total of 9 pages in this exam.
- (6) When done, SCAN OUT your UW student ID and TURN IN your exam and scantron form.

This exam is intended to take 90 minutes, but we'll give you 2 hours. Answer questions by filling in your choice using a #2 pencil on the scantron form. Only answers on your scantron form matter. Marks in this exam don't count. Unless otherwise specified, assume the classes, ADTs and interfaces are those discussed in lecture and in the readings. We can't provide hints but if you need an exam question clarified or feel that there is an error, please bring this to our attention. If needed, corrections are written on the board.

| Parts | Number of Questions | Question Format | Possible Points |
|-------|---------------------|-----------------|-----------------|
| I | 21 | Dual Choice | 21 |
| II | 15 | Multiple Choice | 45 |
| Total | | | 66 |

Part I Dual Choice [21 questions, 1 point each, 21 total points]

For questions 1 through 21, choose your answer after reading both choices.
Mark the corresponding letter on your answer sheet.

- A) A binary search tree having N nodes has a *height* in the range of $\log_2(N+1)$ to N inclusive.
Mark A for true and B for false.

- A) 2.) The main flaw of a binary search tree is:

- A. the shape of the tree depends on the sequence of inserts and deletes.
B. the height of the tree depends on the number of children of the nodes to be deleted.

- B) 3.) If an insert into a red-black tree results in a *recoloring* then

- A. no additional fixing to rebalance the tree is required.
B. it may be possible for additional fixing to be required to rebalance the tree.

- A) 4.) If the same values are inserted into red-black trees but in different orders it is possible for the resulting trees to be identical. Mark A for true and B for false.

- A) 5.) The root property of a red-black tree requires that the root node must
A. be black.
B. have black children.

- A) 6.) When inserting a new node into a red-black tree, its parent's A.) sibling. B.) color determines how to rebalance the tree.

The next 3 questions are based on the following methods, which are added to a SimpleLinkedList class:

```
public int sumList() { return sumList(root); }
private int sumList(Listnode<E> curr) {
    if (curr == null) return 0;
    return curr.getData() + sumList(curr.getNext());
}
```

- A) 7.) This code illustrates using A.) a companion method. B.) *indirect* recursion.

- A) 8.) The private sumList method above has an A.) *explicit* B.) *implicit* base case.

- B) 9.) The equation corresponding to the base case in the private sumList method above is:

- A. $T(1) = 0$
B. $T(0) = 1$

- A) 10.) The tree partially shown on the right (parent of 55 and nodes connect to that parent are not shown) would not be a valid red-black tree (where circles are black nodes and squares are red) because it would violate the:

- A. black property.
B. red property.

11.) If the same sequence of values is inserted into a binary search tree and also a red-black tree, then an inorder traversal on both trees visits the values in
 A.) a different B.) the same order.

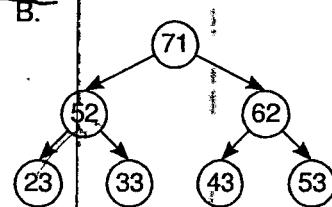
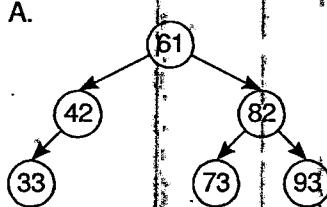
12.) When deleting a node in a binary search tree, its
 A.) inorder predecessor B.) inorder successor
 will not have a right child.

13.) An AVL tree requires rebalancing if the balance value for any node becomes
 A.) -1 B.) +2

14.) It is faster to find the second largest value of a collection with no duplicates if it is stored in:

- A. a red-black tree implemented using a hierarchy of tree nodes.
- B. a priority queue implemented using a max-heap.

15.) Which one of the following is a complete binary tree:



16.) When the item at the top of a heap is removed the last item in the array is used as a replacement to maintain the heap's
 A.) ordering constraint. B.) shape constraint.

17.) If all interior (non-leaf) nodes have 2 children then all leaf nodes in that tree must be at the same level.
 Mark A for true and B for false.

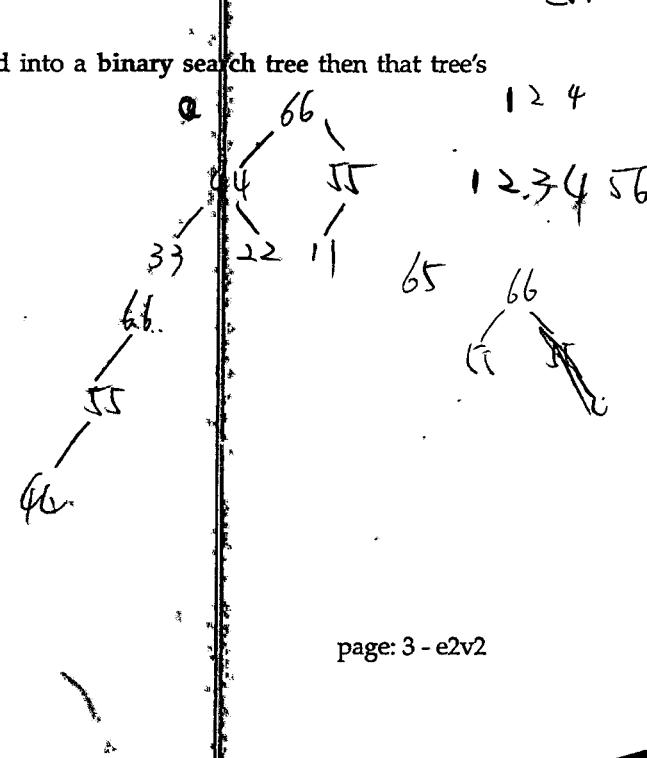
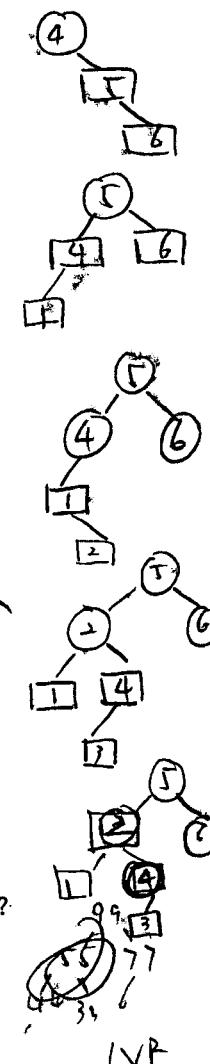
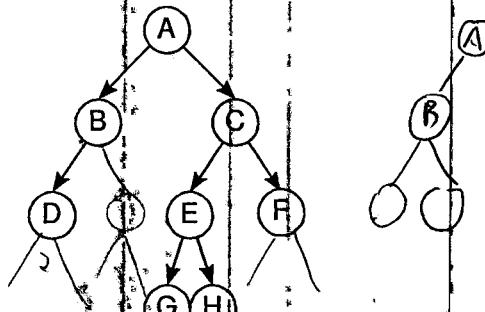
18.) Which one of the following lists of values in an array (starting at index 1) could represent a max heap?

- A. 99, 88, 44, 77, 33, 11, 66, 55
- B. 99, 55, 77, 44, 33, 66, 11, 33

19.) A recursive method that doesn't make progress toward a base case will result in
 A.) infinite execution. B.) a program crash.

20.) If N values are removed from a priority queue and inserted into a binary search tree then that tree's height will be
 A.) $O(\log N)$ B.) $O(N)$

21.) The binary tree below A.) is B.) is not height balanced.



Part II Multiple Choice [15 questions, 3 points each, 45 total points]

For questions 22 through 36, choose the one **best** answer after reading all of the choices.
Mark the corresponding letter on your answer sheet.

22.) Consider the following *incomplete* method:

```
D C public static boolean checkMinHeap( ArrayList<Comparable> al ) {
    for (int i = al.size()-1; CONDITION1; i--) {
        Comparable c = al.get(i);
        Comparable p = al.get(EXPRESSION);
        if (CONDITION2) return false;
    }
    return true;
}
```

1 2 3 **P/C**

4 5 6

Which one of the following replacements for CONDITION1, EXPRESSION and CONDITION2 could be used to complete the method so that it returns true if and only if the ArrayList is organized as a min-heap?

CONDITION1

- A. $i > 0$
- B. $i > 0$
- C. $i > 1$
- D. $i > 1$
- E. $i > 1$

EXPRESSION

- $i/2$
- $i/2 + 1$
- $i/2$
- $i/2 + 1$
- $i/2$

CONDITION2

- $c.compareTo(p) > 0$
- $c.compareTo(p) > 0$
- $c.compareTo(p) < 0$
- $c.compareTo(p) < 0$
- $c.compareTo(p) > 0$

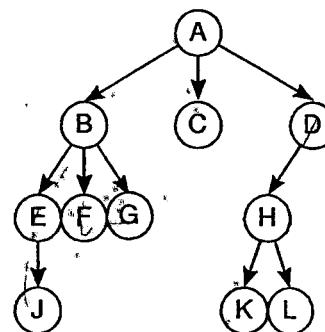
0 1 2 3 4 5
1 2 3 4 5
5/

23.) Consider the general tree on the right with character labels. Which one of the following lists the order that nodes are visited for *preorder* traversal?

B

VLR

- A. A, B, C, D, E, F, G, H, J, K, L
- B. A, B, E, J, F, G, C, D, H, K, L
- C. A, B, E, J, F, G, C, K, L, H, D
- D. J, E, F, G, B, C, K, L, H, D, A
- E. L, K, H, D, C, G, F, J, E, B, A



= 2

24.) Suppose that the recurrence equations for a recursive method are:

D

$$T(1) = 1$$

$$T(N) = N + T(N - 1)$$

$$T(0) = \text{[redacted}]$$

$$T(1) = 1 + T(0) = 1$$

$$+ N - 1$$

Which one of the following is the complexity in big-O notation for the method?

- A. $O(\log N)$
- B. $O(N)$
- C. $O(2N - 1)$
- D. $O(N^2)$
- E. $O(2^N)$

$$\frac{N(N+1)}{2}$$

$$\frac{(N+1)N}{2}$$

$$T(0) = 0$$

$$T(1) = 1$$

$$T(2) = 2 + 1 = 3$$

$$T(3) = 3 + T(2) = 6$$

$$T(4) = 4 + T(3) = 10$$

$$T(5) = 5 + 10 = 15$$

$$T(6) = 6 + 15 = 21$$

$$T(7) = 7 + 21 = 28$$

$$\frac{N(N+1)}{2}$$

$$\frac{N(N+1)}{2}$$

$$\frac{N^2}{2} - 1$$

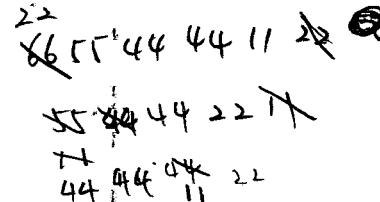
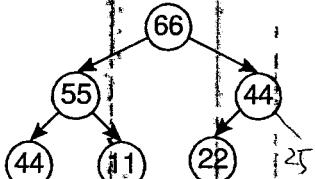
$$\frac{N^2}{2} - 1$$

$$\frac{N(N+1)}{2}$$

25.) Consider inserting N integers in increasing order into both a min heap (MH) and a binary search tree (BST). Which one of the following statements about the resulting trees is true?

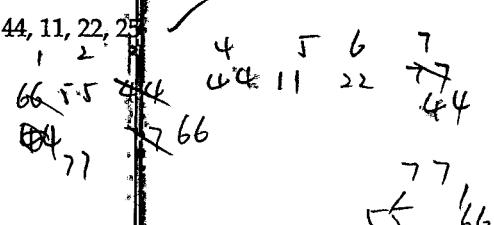
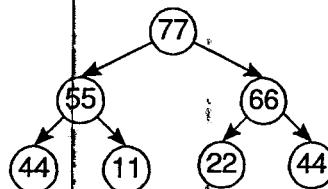
- A. Both trees will have the same height.
- B. Finding the largest value will take constant time for both trees.
- C. Finding the smallest value will take constant time for both trees.
- D. The MH and the BST will both have a bad shape (few branches).
- E. The MH and the BST will both have a good shape (lots of branches).

26.) Consider the following max-heap that stores integers:

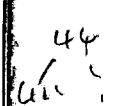
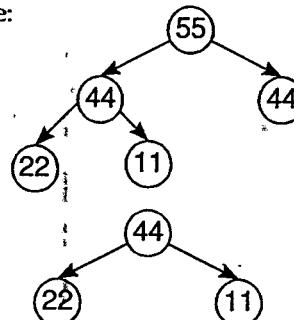


Which one of the following statements about operations on this max-heap is false?

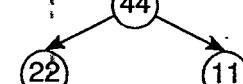
- A. Inserting 25 results in an array of integers in this order: 66, 55, 44, 44, 11, 22, 25
- B. Inserting 77 results in this tree:



- C. A single remove operation results in an array of integers in this order: 55, 44, 44, 22, 11
- D. A single remove operation results in this tree:



- E. Two remove operations result in this tree:

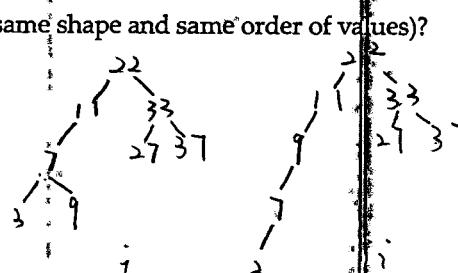


27.) If the following lists of values were each inserted into an empty binary search tree:

- i. 22, 11, 7, 33, 9, 37, 3, 27
- ii. 22, 11, 33, 37, 27, 9, 7, 3
- iii. 22, 33, 27, 11, 7, 9, 37, 3

Which would result in exactly the same tree (i.e., same shape and same order of values)?

- A. none of the resulting trees are the same
- B. i and ii result in the same tree
- C. i and iii result in the same tree
- D. ii and iii result in the same tree
- E. all of the resulting trees are the same



28.) Consider the following *incomplete* implementation of a recursive method that operates on a general tree:

```
B
public static <T> int mostKids ( Treenode<T> n ) {
    if (CONDITION) return 0;
    int most = n.getKids().size();
    Iterator<Treenode<T>> itr = n.getKids().iterator();
    while (itr.hasNext()) {
        int tmp = mostKids(itr.next());
        if (tmp OPERATOR most) most = tmp;
    }
    return RETURN;
}
```

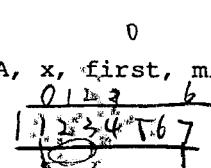
\rightarrow

Which one of the following replacements for CONDITION, OPERATOR and RETURN can be used to correctly complete this method so that it returns the number of children for the node in the tree that has the most children, and 0 if the tree is empty?

| CONDITION | OPERATOR | RETURN |
|--------------------------|----------|------------|
| A. $n == 0$ | \geq | $most + 1$ |
| B. $n == null$ | $>$ | $most$ |
| C. $n == null$ | $<$ | $most + 1$ |
| D. $n.getKids() == null$ | $>$ | $most + 1$ |
| E. $n.getKids() == null$ | $<$ | $most$ |

29.) Consider the following *incorrect* implementation for binary search on an array of Comparable objects:

```
D
public int binSearch (Comparable[] A, Comparable x, int first, int last)
{
    int mid = (first + last) / 2;
    if (x.compareTo(A[mid]) == 0) return mid; ✓
    if (x.compareTo(A[mid]) < 0) return binSearch(A, x, first, mid-1);
    return binSearch(A, x, mid+1, last);
}
```



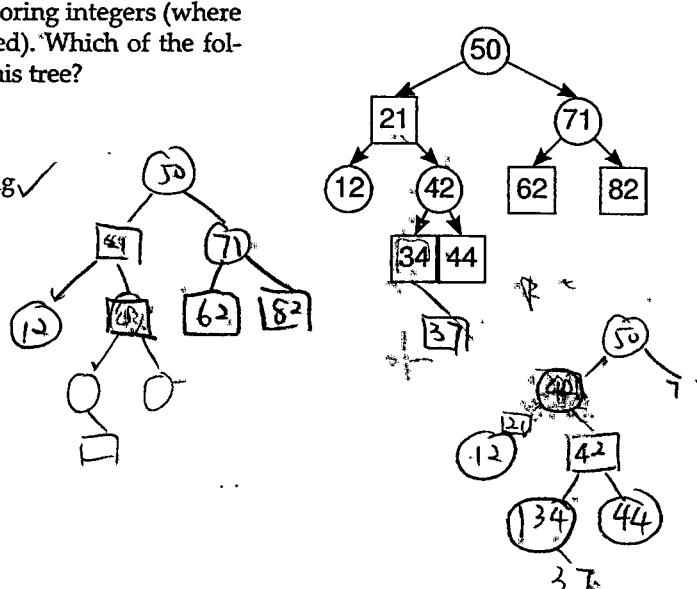
Which one of the following identifies what is wrong with this code?

- A. The recursive cases skip the item at index mid.
- B. The calculation for mid returns a result of type double. X
- C. The recursive cases are swapped so that the wrong half of the array is searched. X
- D. The recursive code is missing the base case for when the search item isn't found.
- E. The last recursive case requires a test to determine if x comes after the A[mid].

E 30.) Consider the red-black tree on the right storing integers (where circles are black nodes and squares are red). Which of the following occur(s) when 37 is inserted into this tree?

- i. initially a red leaf node is added ✓
- ii. the tree is fixed by recoloring ✓
- iii. the tree is fixed by tri-node restructuring ✓

- A. i only
- B. ii only
- C. i and ii only
- D. ii and iii only
- E. i, ii, and iii



31.) Consider the following interesting recursive definition:

Function:

$$\text{ackermann}(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ \text{ackermann}(m - 1, 1) & \text{if } n = 0 \\ \text{ackermann}(m - 1, \text{ackermann}(m, n - 1)) & \text{otherwise} \end{cases}$$

Return Value:

Which statement below is false?

- A. $\text{ackermann}(0, 1)$ returns 2 ✓
- B. $\text{ackermann}(0, 3)$ returns 4 ✓
- C. $\text{ackermann}(1, 0)$ returns 2 ✓
- D. $\text{ackermann}(1, 1)$ returns 3 ✓
- E. $\text{ackermann}(2, 0)$ returns 3

Condition:

if $m = 0$

if $n = 0$

otherwise

$$\text{ack}(0, \text{ack}(1, 0)) \quad \text{ack}(0, \text{ack}(1, 1))$$

$$\text{ack}(0, 2)$$

$$\text{ack}(0, 3)$$

$$\text{ackermann}(1, 1)$$

$$\text{ack}(0, (1, 0))$$

$$\text{ack}(0, 1)$$

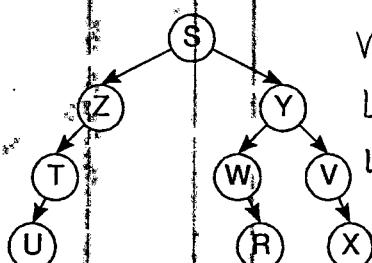
$$\text{ack}(0, \text{ack}(1, 0))$$

$$\text{ack}(1, \text{ack}(2, 1))$$

$$\text{ack}(2, -1)$$

$$\text{ack}(1, \text{ack}(2, -2))$$

32.) Consider the following binary tree with character labels:



VLR pre \$ Z T U Y W R V X

LVR in U T Z S W R Y V X

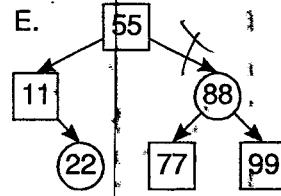
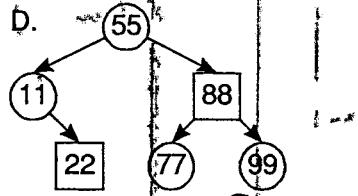
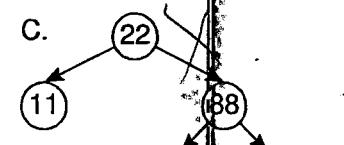
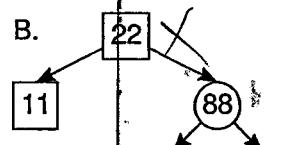
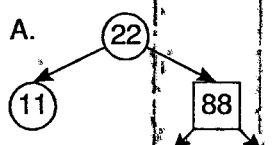
LRV post V T Z Q R W X V Y S

level S Z Y T W V U R X

C Which one of the following lists is not one of the four orderly traversals (i.e., pre/in/post/level order)?

- A. S, Z, Y, T, W, V, U, R, X ✓
- B. S, Z, T, U, Y, W, R, V, X ✓
- C. U, T, Z, S, R, W, X, V, Y
- D. U, T, Z, S, W, R, Y, V, X ✓
- E. U, T, Z, R, W, X, V, Y, S ✓

A 33.) Which one shows the correct red-black tree (where circles are black nodes and squares are red) that results from inserting in this order 11, 99, 22, 88, 77, 55?



34.) Assume general trees are implemented using a Treenode class that includes the following:

```

private int data;
private List<Treenode> children;
private int getData() { return data; }
private List<Treenode> getChildren() { return children; }

```

and that the following class is used to represent general trees and it contains two mystery methods:

```

public class Tree {
    private Treenode root;

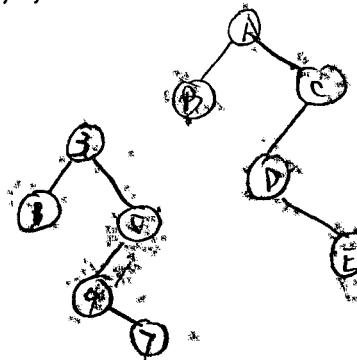
    public Treenode mystery() { return mystery(root); }

    private Treenode mystery( Treenode n ) {

        if (n.getChildren().size() == 0) return n;

        Treenode nd = n;
        Iterator itr = n.getChildren().iterator();
        while (itr.hasNext()) {
            Treenode t = mystery(itr.next());
            if (nd.getData() < t.getData()) nd = t;
        }
        return nd;
    }
}

```



Which of the following *best* describes what the method `mystery` does assuming the tree is not empty?

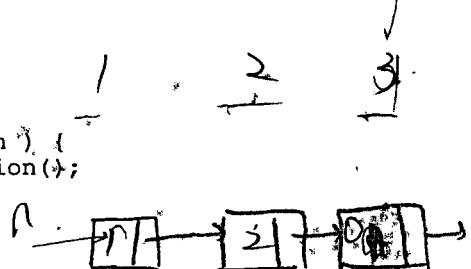
- A. Returns the node with the most children.
- B. Returns the node at the deepest level in the tree.
- C. Returns the node with the most leaves in the tree.
- D. Returns the node with the smallest data value in the tree.
- E. Returns the node with the largest data value in the tree.

35.) Consider the following method:

```

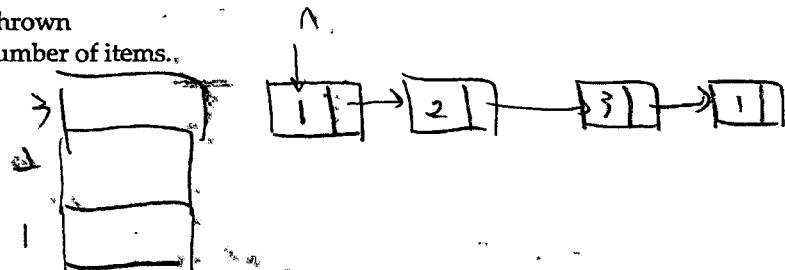
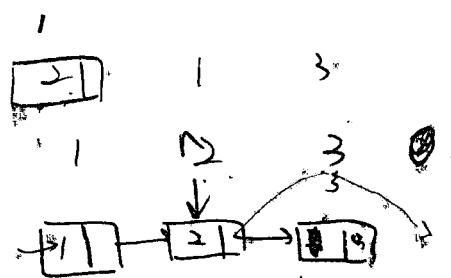
A public static boolean mystery( Listnode<Integer> n ) {
    if (n == null) throw new IllegalArgumentException();
    if (n.getNext() == null) return true;
    if (n.getData() < n.getNext().getData())
        return mystery(n.getNext());
    else return false;
}

```

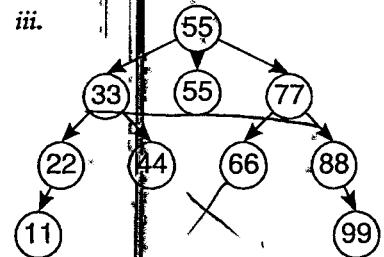
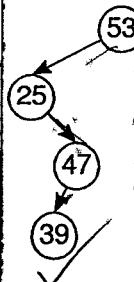
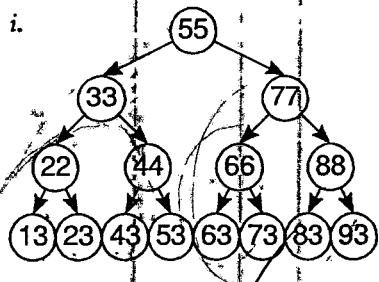


Which one of the following *best* describes what `mystery` does?

- A. It returns true iff the items in a chain of nodes are in increasing order, and it correctly checks all of the items.
- B. It returns true iff the items in a chain of nodes are in increasing order, but there is a bug since it doesn't correctly check the last item.
- C. It returns true iff the items in a chain of nodes are in decreasing order, and it correctly checks all of the items.
- D. It returns true iff the items in a chain of nodes are in decreasing order, but there is a bug since it doesn't correctly check the last item.
- E. It results in a `NullPointerException` being thrown but only if the chain of nodes has an odd number of items..

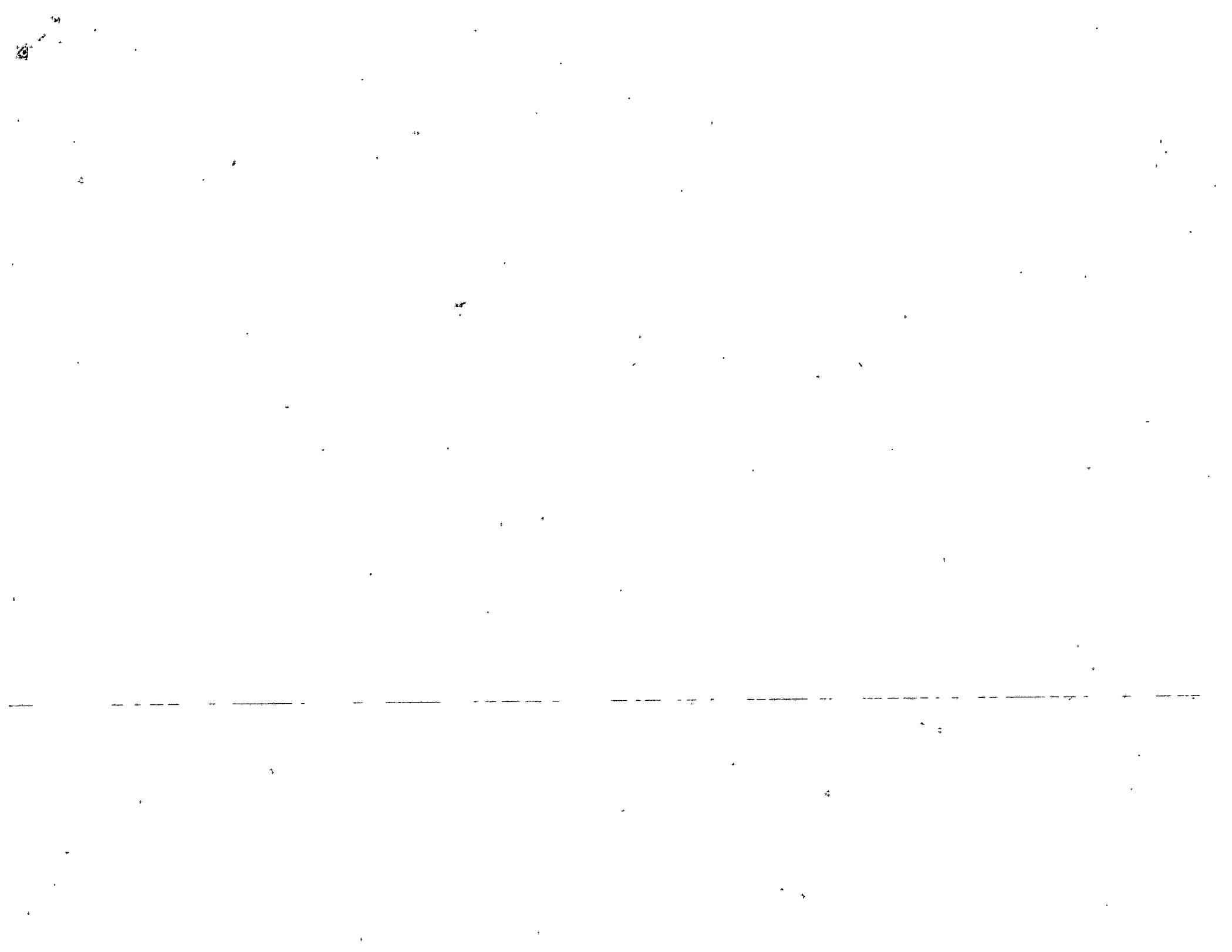


36.) Which of the following represents a binary search tree?



- A. i only
- B. i and ii only
- C. i and iii only
- D. ii and iii only
- E. i, ii and iii

B



November 25, 2015
FILE: cp154

The Office of Testing and Evaluation Services
Room 373, 1025 W Johnson ST Madison, WI 53706 (608)262-5863
Section = 2
INDIVIDUALIZED LISTING OF CHOSEN VS. CORRECT RESPONSE

Job #: cp154
Customer #: 266

JIANG HAN Special Codes: 22

Student ID: 9070414850 Your Total Score: 63.00 Maximum Possible Score: 66.00
Subscores: #1: 18.00 #2: 45.00

ITEM: KEYS: YOURS:

1 A

2 A

3 B

4 A

B

5 A

6 A

7 A

8 A

B

9 B

10 A

11 B

12 A

13 B

14 B

15 B

16 B

17 B A.

18 B

19 B

20 B

21 A

22 C

23 B

24 D

25 C

26 E

27 C

28 B

29 D

30 E

31 D

32 C

33 A

34 E

35 A

36 B