

Exercise of Chapter 3

Written by Hsin-Jung, Wu.

My mail is hsinjungwu@gmail.com

1. Which of the following sets are compact? Which are connected?

(a) $\{(x_1, x_2) \in \mathbb{R}^2 \mid |x_1| \leq 1\}$

Solution: Not compact but connected.

(b) $\{x \in \mathbb{R}^n \mid \|x\| \leq 10\}$

Solution: Both compact and connected.

(c) $\{x \in \mathbb{R}^n \mid 1 \leq \|x\| \leq 2\}$

Solution: Both compact and connected.

(d) $\mathbb{Z} = \{\text{integers in } \mathbb{R}\}$

Solution: Neither compact nor connected.

(e) A finite set in \mathbb{R}

Solution: Compact but not connected.

(f) $\{x \in \mathbb{R}^n \mid \|x\| = 1\}$ (distinguish between the cases $n = 1$ and $n \geq 2$)

Solution: Compact. If $n = 1$ it is not connected, but if $n = 2$ it is connected.

(g) Perimeter of the unit square in \mathbb{R}^2

Solution: Both compact and connected.

(h) The boundary of a bounded set in \mathbb{R}

Solution: Compact but not connected.

(i) The rationals in $[0, 1]$

Solution: Neither compact nor connected.

(j) A closed set in $[0, 1]$

Solution: Connected, but it cannot be determined. Since $[0.2, 0.5]$ is connected, but $(0.2, 0.5) \cap [0, 1]$ is not connected.

It is easy to check, then I omit it. □

2. Prove that a set $A \subset \mathbb{R}^n$ is not connected iff we can write $A \subset F_1 \cup F_2$, where F_1, F_2 are closed, $A \cap F_1 \cap F_2 = \emptyset$, $F_1 \cap A \neq \emptyset$, $F_2 \cap A \neq \emptyset$.

Solution: (\Rightarrow) Since A is not connected, there are two disjoint nonempty open sets U_1 and U_2 such that $A \subset U_1 \cup U_2$. Choose $F_1 = \text{cl}(U_1)$, $F_2 = \text{cl}(U_2)$, then $A \subset F_1 \cup F_2$. It is clearly to see that $A \cap F_1 \neq \emptyset$, $A \cap F_2 \neq \emptyset$. For $x \in A \cap F_1 \cap F_2$, since $x \in A \subset U_1 \cup U_2$, may assume $x \in U_1$. Thus there is an $\varepsilon > 0$ such that $D(x, \varepsilon) \subset U_1$, and we know $x \notin U_2$, so $x \in \text{acc}(U_2)$. Choose ε above, then $D(x, \varepsilon) \cap U_1 \cap U_2 \neq \emptyset$, a contradiction.

(\Leftarrow) Choose an open set $O_i = \bigcup D(x, 1)$ for $x \in F_i$. Since $F_i \cap A \subset O_i \cap A$ then $O_i \cap A \neq \emptyset$. It is easy to see that $A \subset O_1 \cup O_2$. Claim $A \cap O_1 \cap O_2$ is empty. Given $y \in A \cap O_1$, since $A \subset F_1 \cup F_2$, then $y \in F_1$ or F_2 . If $y \in F_1$, then $y \notin F_2$. Otherwise $y \in A \cap F_1 \cap F_2$. So $y \notin O_2$. Similarly if $y \in F_2$, so $A \cap O_1 \cap O_2 = \emptyset$. □

3. Prove that in \mathbb{R}^n , a bounded infinite set A has an accumulation point.

Solution: $\text{cl}(A)$ is bounded, since A is bounded. Since $\text{cl}(A)$ is closed, then it is compact. By *Section 3.1 Exercise 1*, there must have an accumulation point of A . □

4. Show that a set A is bounded iff there is a constant M such that $d(x, y) \leq M$ for all $x, y \in A$. Give a plausible definition of the diameter of a set and reformulate your result.

Solution: Suppose A is bounded, pick $z \in A$, $d(z, y) < M$ for all $y \in A$. Then for all $x, y \in A$, choose $N = 2M$, $d(x, y) \leq d(x, z) + d(z, y) \leq 2M = N$. On

the other hand it is trivial.

The diameter of the set is the $\sup\{d(x, y) \mid x, y \in A\}$. A set A is bounded iff the diameter of A is finite. \square

5. Show that the following set are not compact, by exhibiting an open cover with no finite subcover.

(a) $\{x \in \mathbb{R}^n \mid \|x\| < 1\}$

Solution: Consider U_n be $1/(n+1)(n+2) < \|x\| < 1/n$.

(b) \mathbb{Z} , the integers in \mathbb{R}

Solution: Choose

$$U_n = D(k, 1/2) \begin{cases} \text{if } n = 2k \text{ for } k \in \mathbb{N} \cup \{0\} \\ \text{if } n = -2k - 1 \text{ for } k \in -\mathbb{N} \end{cases}$$

\square

6. Suppose that F_k is a sequence of compact nonempty sets satisfying the nested set property such that $\text{diameter}\{F_k\} \rightarrow 0$ as $k \rightarrow \infty$. Show that there is exactly one point in $\bigcap\{F_k\}$. (By definition, $\text{diameter}(F_k) = \sup\{d(x, y) \mid x, y \in F_k\}$).

Solution: By nested property, there is at least one point in $\bigcap\{F_k\}$. If x_1 and x_2 in $\bigcap\{F_k\}$, then

$$0 \leq d(x_1, x_2) \leq \sup\{d(x, y) \mid x, y \in F_k\} = \text{diameter}(F_k)$$

Let $k \rightarrow \infty$, then we have $0 \leq d(x_1, x_2) \leq 0$. So $x_1 = x_2$. \square

7. Let x_k be a sequence in \mathbb{R}^n that converges to x and let $A_k = \{x_k, x_{k+1}, \dots\}$.

Show that $\{x\} = \bigcap_{k=1}^{\infty} \text{cl}(A_k)$. Is this true in *any* metric space?

Solution: It is easy to see $\text{cl}(A_k) = \{x\} \cup A_k$. Hence $x \in \bigcap \text{cl}(A_k)$. Suppose $y \neq x \in \bigcap \text{cl}(A_k)$, then $y \in \text{cl}(A_k)$ for all k . But $y \neq x$, then $y \in A_k$ for all k . So $y \in \bigcap A_k$, a contradiction. (Since $\bigcap A_k = \emptyset$.)

Yes. It is true. \square

8. Let $A \subset \mathbb{R}^n$ be compact and let x_k be a Cauchy sequence in \mathbb{R}^n with $x_k \in A$. Show that x_k converges to a point in A .

Solution: Since x_k is a Cauchy sequence, then may assume $x_k \rightarrow x$, where $x \in \mathbb{R}^n$. Since A is compact, then A must be closed. By **proposition 2.7.6i**, we have $x \in A$. \square

9. Determine (by proof or counterexample) the truth or satisfy of the following statements:

- (a) (A is compact in \mathbb{R}^n) \Rightarrow ($\mathbb{R}^n \setminus A$ is connected).

Solution: False; $A = \{x \in \mathbb{R}^n \mid 1 \leq \|x\| \leq 2\}$ is compact, but $\mathbb{R}^n \setminus A$ is not connected.

- (b) (A is connected in \mathbb{R}^n) \Rightarrow ($\mathbb{R}^n \setminus A$ is connected).

Solution: False; same example as in **a**.

- (c) (A is connected in \mathbb{R}^n) \Rightarrow (A is open or closed).

Solution: False $]a, b]$ is connected but is neither open nor closed

- (d) ($A = \{x \in \mathbb{R}^n \mid \|x\| \leq 1\}$) \Rightarrow ($\mathbb{R}^n \setminus A$ is connected).

Solution: False for $n = 1$, true for $n \geq 2$.

It is easy to check, then I omit it. \square

10. A metric space M is said to be **locally path-connected** if each point in M has a neighborhood U such that U is a path-connected. (This terminology differs somewhat from that of some topology books.) Show that (M is connected and locally path-connected) \iff (M is path-connected).

Solution: (\Leftarrow) Trivial.

(\Rightarrow) Given $x_0, y \in M$, if $y \in U_{x_0}$, then done. If $y \notin U_{x_0}$, choose $x_1 \in U_{x_0}$, check $y \in U_{x_1}$ or not. Repeat this progress, if $y \in U_{x_i}$ for some i , then done. Otherwise, $y \notin \bigcup U_{x_i}$. It is easy to see that $\bigcup U_{x_i}$ for $y \notin \bigcup U_{x_i}$. Then $M \subset$

$(\bigcup U_{x_i}) \cup (\bigcup U_y)$. Since $M \cap (\bigcup U_{x_i})$ is not empty, and $M \cap (\bigcup U_y)$ is not empty. then $M \cap (\bigcup U_{x_i}) \cap (\bigcup U_y)$ is empty. Then M is not connected, a contradiction. \square

11. (a) Prove that if A is connected in a metric space M and $A \subset B \subset \text{cl}(A)$.

Solution: If B is disconnected, let open sets U and V separate B . Choose $x \in B \cap U$, claim $A \cap U$ is not empty. If $x \in A$, then $x \in A \cap U$. Otherwise x is an accumulation point of A . Since U is open, there exists $\varepsilon > 0$, such that $D(x, \varepsilon) \subset U$. But there must be some $x_n \in A$, such that $x_n \in D(x, \varepsilon)$. So $x_n \in A \cap U$. Hence $A \cap U$ is nonempty. Similarly $A \cap V$ is not empty. $A \cap U \cap V \subset B \cap U \cap V = \emptyset$, then $A \cap U \cap V = \emptyset$. So open sets U and V separate A , a contradiction.

(b) Not solved.

(c) Not solved.

(d) Not solved.

(e) Not solved.

\square

12. Let S be a set of real numbers that is nonempty and bounded above. Let $-S = \{x \in \mathbb{R} \mid -x \in S\}$. Prove that

(a) $-S$ is bounded below.

(b) $\sup S = -\inf(-S)$.

Solution: It is easy, then I omit it. \square

13. Let M be a complete metric space and F_n be a collection of closed nonempty subsets (not necessarily compact) of M such that $F_{n+1} \subset F_n$ and diameter $(F_n) \rightarrow 0$. Prove that $\bigcap_{n=1}^{\infty} F_n$ consists of a single point; compare Exercise 6.

Solution: Pick $x_n \in F_n$, claim x_n is a Cauchy sequence. Given $\varepsilon > 0$, there is an integer $N > 0$ such that $\text{diam}(F_n) < \varepsilon$ whenever $n \geq N$, then $|x_k - x_m| \leq \text{diam}(F_N) < \varepsilon$, for $k, m \geq N$. Since x_k and $x_m \in F_N$, and M is complete, may say $x_n \rightarrow x$. Let $S_n = \{x_i \mid i \geq n\} \cup \{x\}$, it is clearly that S_n is compact. Then $x \in \bigcap S_n \subset \bigcap F_n$. Let $y \in \bigcap F_n$, and $0 \leq d(x, y) \leq \text{diam}(F_n)$. Let $n \rightarrow \infty$, we have $d(x, y) = 0$. Hence $x = y$. \square

14. (a) A point $x \in A \subset M$ is said to be **isolated** in the set A if there is a neighborhood U of x such that $U \cap A = \{x\}$. Show that this is equivalent to saying that there is an $\varepsilon > 0$ such that for all $y \in A$, $y \neq x$, we have $d(x, y) > \varepsilon$.

Solution: (\Leftarrow) Since $U \cap A = \{x\}$, there there is an $\varepsilon > 0$ such that $D(x, 2\varepsilon) \subset U$, so $D(x, 2\varepsilon) \cap A = \{x\}$. Hence for all $y \neq x \in A$, $d(y, x) \geq 2\varepsilon > \varepsilon$.

(\Rightarrow) Suppose there is an $\varepsilon > 0$ such that $d(x, y) > \varepsilon$ for $y \neq x$. Then choose $U = D(x, \varepsilon)$, so $U \cap A = \{x\}$.

- (b) A set is called **discrete** if all its points are isolated. Give some examples. Show that a discrete set is compact iff it is finite.

Solution: Examples: \mathbb{Z} ; \mathbb{N} .

(\Leftarrow) Let $A = \{x_1, \dots, x_n\}$ be a finite set, for all cover $\{U_i\}$ of A . we can choose subcover $\{U_{i_j} \mid 1 \leq j \leq n\}$ covers A , where U_{i_j} contains x_j . Hence A is compact.

(\Rightarrow) Since A is compact, choose cover $\{U_x\}$ of A , where $U_x \cap A = \{x\}$ for all $x \in A$. Then we can find finite subcovers $\{U_{x_i}\}$ such that $A \subset \bigcup U_{x_i}$. Hence $\bigcup \{x_i\} \subset A \subset \left(\bigcup \{U_{x_i}\} \right) \cap A = \bigcup \{x_i\}$ then A is finite. \square

15. Let $K_1 \subset M_1$ and $K_2 \subset M_2$ be path-connected (respectively, connected, com-

pact). Show that $K \times K_2$ is path-connected (respectively, connected, compact) in $M_1 \times M_2$.

Solution: It is not difficult, then I omit it. \square

16. If $x_k \rightarrow x$ in a normed space, prove that $\|x_k\| \rightarrow \|x\|$. Is the converse true? Use this to prove that $\{x \in \mathbb{R}^n \mid \|x\| < 1\}$ is closed, using sequences.

Solution: Since $x_k \rightarrow x$, then for each $\varepsilon > 0$, there is an integer $N > 0$ such that $\|x_k - x\| < \varepsilon$, for $k \geq N$.

$$\left| \|x_k\| - \|x\| \right| \leq \|x_k - x\| < \varepsilon$$

then $\lim_{k \rightarrow \infty} \|x_k\| = \|x\|$.

Yes. Since $\|x_k\| \rightarrow \|x\|$, then

$$\lim_{k \rightarrow \infty} \|x_k\| \leq \lim_{k \rightarrow \infty} \|x_k - x\| + \lim_{k \rightarrow \infty} \|x\|.$$

So $\|x\| \leq \lim_{k \rightarrow \infty} \|x_k - x\| + \|x\|$, hence $\lim_{k \rightarrow \infty} \|x_k - x\| = 0$

The next question is not difficult, then I omit it. \square

17. *Solution:* Not solved. \square

18. Let $F_n \subset \mathbb{R}^n$ be defined by $F_n = \{x \mid x \geq 0 \text{ and } 2 - 1/n \leq x^2 \leq 2 + 1/n\}$. Show that $\bigcap_{n=1}^{\infty} F_n \neq \emptyset$. Use this to show the existence of $\sqrt{2}$.

Solution: It is easy, then I omit it. \square

19. Let $V_n \subset M$ be open sets such that $\text{cl}(V_n)$ is compact, $V_n \neq \emptyset$, and $\text{cl}(V_n) \subset V_{n-1}$. Prove $\bigcap_{n=1}^{\infty} V_n \neq \emptyset$.

Solution: You may consider $\text{cl}(V_{n-1}) \supset V_{n-1} \supset \text{cl}(V_n)$. \square

20. Prove that a compact subset of a metric space must be closed as follows: Let x be in the complement of A . For each $y \in A$, choose disjoint neighborhoods U_y of y and V_y of x . Consider the open cover $\{U_y\}$ of A to show the complement of A is open.

Solution: Since A is compact, there exists finite subcover $\{U_{y_k}\}$ covers A . Since $\left(\bigcap\{V_{y_k}\}\right)$ is open and $\left(\bigcap\{V_{y_k}\}\right) \cap \left(\bigcup\{U_{y_k}\}\right)$ is empty, then $\left(\bigcap\{V_{y_k}\}\right) \subset A^c$. Hence A^c is open, then A is closed. \square

21. (a) Prove: a set $A \subset M$ is connected iff \emptyset and A are the only subsets of A that are open and closed relative to A . (A set $U \subset A$ is called **open relative to** A if $U = V \cap A$ for some open set $V \subset M$; "closed relative to A " is defined similarly.)

- (b) Prove that \emptyset and \mathbb{R}^n are the only subsets of \mathbb{R}^n that are both open and closed.

Solution: It is not hard to proof, then I omit it. \square

22. Find two subsets $A, B \subset \mathbb{R}^2$ and a point $x_0 \in \mathbb{R}^2$ such that $A \cup B$ is not connected but $A \cup B \cup \{x_0\}$ is connected.

Solution: Choose $A = \{(x, 0) | x > 0\}$, $B = \{(x, 0) | x < 0\}$, $\{x\} = \{(0, 0)\}$ \square

23. Let \mathbb{Q} denote the rationals in \mathbb{R} . Show that both \mathbb{Q} and the irrationals $\mathbb{R} \setminus \mathbb{Q}$ are not connected.

Solution: You may consider \mathbb{Q} is dense in \mathbb{R} . \square

24. Prove that a set $A \subset M$ is not connected if we can write A as the disjoint union of two set B and C such that $B \cap A \neq \emptyset$, $C \cap A \neq \emptyset$, and neither of the set B or C has a point of accumulation belonging to the other set.

Solution: (\Rightarrow) Since A is disconnected, choose B and C be disjoint open sets separate A . W.l.o.g. given $x \in B$, there is an $\varepsilon > 0$, such that $D(x, \varepsilon) \subset B$, but $D(x, \varepsilon) \cap C = \emptyset$. If $x \in C$. So x is not an accumulation point of C .

(\Leftarrow) Choose $V = \bigcup D(x, d(x, C)/2)$ for $x \in B$, $U = \bigcup D(x, d(x, B)/2)$ for $x \in C$. It is clearly that $d(x, C) > 0$ for $x \in B$, since x is not an accumulation point of C . Then U, V both open, $U \cup V \supset B \cup C \supset A$, $U \cap A \supset B \cap A$ is

nonempty, $V \cap A$ is also nonempty. And it is easy to see that $U \cap V$ is empty.

□

25. Prove that there is a sequence of distinct integers $n_1, n_2, \dots \rightarrow \infty$ such that

$\lim_{k \rightarrow \infty} \sin n_k$ exists.

Solution: Look *Exercise (3)*. □

26. Show that the completeness property of \mathbb{R} maybe replaced by the Nested Interval Property. If $\{F_n\}$ is a sequence of closed bounded intervals in \mathbb{R} such that $F_{n+1} \subset F_n$ for all $n = 1, 2, 3, \dots$, then there is at least one point in $\bigcap F_n$.

Solution: Choose $x_i = \inf(F_i)$, since F_i is bounded and closed interval in \mathbb{R} , then $x_i \in F_i$. So $\{x_i\}$ is monotone increasing sequence. By completeness of \mathbb{R} , $x_i \rightarrow x$ for some $x \in \mathbb{R}$. And $x \in F_n$ for all n , since x is an accumulation point of $S_n = \{x_i | i \geq n\} \subset F_n$ and F_n is closed, then $x \in F_n$ for all n . Hence $\bigcap F_n$ is nonempty. □

27. Let $A \subset \mathbb{R}$ be a bounded set. Show that A is closed iff for every sequence $x_n \in A$, $\limsup x_n \in A$ and $\liminf x_n \in A$.

Solution: It is not hard, then I omit it. □

28. Let $A \subset M$ be connected and contain more than one point. Show that every point of A is an accumulation point of A .

Solution: If x is not an accumulation point of A , choose $\{x\}$ and $A \setminus \{x\}$. Use *Exercise (26)*, where $\{x\} = B$, $A \setminus \{x\} = C$. □

29. Let $A = \{(x, y) \in \mathbb{R}^2 \mid x^4 + y^4 = 1\}$. Show that A is compact. Is it connected?

Solution: It is easy, then I omit it. □

30. Let U_k be a sequence of open bounded sets in \mathbb{R}^n . Prove or disprove:

(a) $\bigcup_{k=1}^{\infty} U_k$ is open.

- (b) $\bigcap_{k=1}^{\infty} U_k$ is open.
- (c) $\bigcap_{k=1}^{\infty} (\mathbb{R}^n \setminus U_k)$ is closed.
- (d) $\bigcap_{k=1}^{\infty} (\mathbb{R}^n \setminus U_k)$ is compact.

Solution: It is easy, then I omit it. □

31. Suppose $A \subset \mathbb{R}^n$ is not compact. Show that there exists a sequence $F_1 \supset F_2 \supset F_3 \cdots$ of closed sets such that $F_k \cap A \neq \emptyset$ for all k and

$$\left(\bigcap_{k=1}^{\infty} F_k \right) \cap A = \emptyset$$

Solution: If A is not closed, then choose $x \in \text{cl}(A) \setminus A$, there exists $x_k \rightarrow x$ where $x_k \in A$ for all k . Choose $F_n = \{x_i | i \geq n\} \cup \{x\}$, then $F_n \supset F_{n+1}$ and $F_n \cap A$ is not empty for all n . But $\left(\bigcap_{n=1}^{\infty} F_n \right) \cap A$ is empty.

If A is closed but not bounded, Choose $F_n = \{\|x\| \geq n\}$, then $F_n \supset F_{n+1}$ and $F_n \cap A$ is not empty for all n . Since if $F_n \cap A$ is empty for some n , then A is bounded. And it is clearly that $\left(\bigcap_{n=1}^{\infty} F_n \right) \cap A$ is empty. □

32. Let x_n be a sequence in \mathbb{R}^3 such that $\|x_{n+1} - x_n\| \leq 1/(n^2 + n)$, $n \geq 1$. Show that x_n converges.

Solution: It is easy, then I omit it. □

33. *Solution:* Not Solved. □

34. *Solution:* Not Solved. □

35. Let $a \in \mathbb{R}$ and define the sequence a_1, a_2, \dots in \mathbb{R} by $a_1 = a$, and $a_n = a_{n-1}^2 - a_{n-1} + 1$ if $n > 1$. For what $a \in \mathbb{R}$ is the sequence

(a) Monotone?

(b) Bounded?

(c) Convergent?

Compute the limits in the cases of convergence.

Solution: It is easy, then I omit it. \square

36. Let $A \subset \mathbb{R}^n$ be uncountable. Prove that A has an accumulation point.

Solution: If A is bounded, then by *Exercise (3)*, we complete the proof. If A is unbounded, let $A_n = \{x \in A \mid \|x\| \leq n\}$. Since A is uncountable then there must be some A_k infinite but A_{k-1} is finite. By *Exercise (3)* again, A_k must have an accumulation point x . So x is the accumulation point of A . \square

37. Let $A, B \subset M$ with A compact, B closed, and $A \cap B = \emptyset$.

(a) Show that there is an $\varepsilon > 0$ such that $d(x, y) > \varepsilon$ for all $x \in A$ and $y \in B$,

(b) Is **a** true if A, B are merely closed?

Solution: You may look the *Appendix C*. \square

38. Show that $A \subset M$ is not connected iff there exist two *disjoint* open sets U, V such that $U \cap A \neq \emptyset$, $V \cap A \neq \emptyset$, and $A \subset U \cup V$.

Solution: Look *Exercise (24)* \square

39. Let $F_1 = [0, 1/3] \cup [2/3, 1]$ be obtained from $[0, 1]$ by removing the middle third. Repeat, obtaining

$$F_2 = [0, 1/9] \cup [2/9, 1/3] \cup [2/3, 7/9] \cup [8/9, 1]$$

In general, F_n is a union of intervals and F_{n+1} is obtained by removing the middle third of these intervals. Let $C = \bigcap_{n=1}^{\infty} F_n$, the **Cantor set**. Prove:

(a) C is compact.

(b) C has infinitely many points [Hint: look at the endpoints of F_n].

(c) $\text{int}(C) = \emptyset$.

(d) C is **perfect**; that is, it is closed with no isolated.

(e) Show that C is **totally disconnect**; that is, if $x, y \in C$ and $x \neq y$ then $x \in U$ and $y \in V$ where U and V are open sets that disconnected C .

Solution: You may look **p.41 ~ p.42, Principle of Mathematical Analysis, 3rd, Rudin.** □

40. *Solution:* Not solved. □