

# Math 761 HW 4

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1. Show that the map

$$\mathbb{R}^n \ni \vec{v} \longmapsto D\vec{v}|_p \in T_p\mathbb{R}^n$$

is surjective, i.e. that every derivation comes from a directional derivative. *Hint:* To find  $\vec{v}$ , apply  $w \in T_p\mathbb{R}^n$  to the coordinate functions. Then for any smooth function  $f$  use Taylor's theorem on  $f$  and the Leibniz property.

*Proof.* We can prove this by showing  $\{\frac{\partial}{\partial x_i}|_p, 1 \leq i \leq n\}$  is a basis of  $T_p\mathbb{R}^n$ . First, they are linear independent. If  $D = \sum_{i=1}^n a_i \frac{\partial}{\partial x_i}|_p = 0$ , then  $D(x_j) = 0, 1 \leq j \leq n \Rightarrow a_i = 0$ . So, they are linear independent. Now let  $D \in T_p\mathbb{R}^n$ , and  $p = (p_1, \dots, p_n)$ . Let  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  be a smooth function. Then we can use Taylor Theorem:  $f = f(p) + \sum_{i=1}^n (x_i - p_i) \int_0^1 \frac{\partial f}{\partial x_i}(p + t(x - p)) dt \Rightarrow D(f) = \sum_{i=1}^n D(x_i - p_i) \int_0^1 \frac{\partial f}{\partial x_i}(p) dt + \sum_{i=1}^n (p_i - p_i) D\left(\int_0^1 \frac{\partial f}{\partial x_i}(p + t(x - p)) dt\right) = \sum_{i=1}^n \frac{\partial}{\partial x_i}|_p(f) D(x_i)$ . Since  $f$  is a random smooth function, we know  $D = \sum_{i=1}^n \frac{\partial}{\partial x_i}|_p D(x_i)$ . So,  $\{\frac{\partial}{\partial x_i}, 1 \leq i \leq n\}$  is a basis of  $T_p\mathbb{R}^n$ , which means this map is surjective.  $\square$

2. Prove Proposition 3.6 from Lee (*Properties of Differentials*). Let  $M, N, P$  be smooth manifolds, let  $F: M \rightarrow N$  and  $G: N \rightarrow P$  be smooth maps, and let  $p \in M$ .

Here we use the definition of Lee's book. We define the  $T_p(M)$  as the collection of linear map  $v: C^\infty(M) \rightarrow \mathbb{R}$  which satisfies  $v(fg) = f(p)v(g) + g(p)v(f)$ ,  $f, g \in C^\infty(M)$ . And we define  $dF_p: T_pM \rightarrow T_{F(p)}N$  by  $dF_p(v)(f) = v(f \circ F)$ ,  $f \in C^\infty(N)$ ,  $v \in T_pM$ .

- (a)  $dF_p: T_pM \rightarrow T_{F(p)}N$  is linear.

*Proof.*  $dF_p(v_1 + v_2)(f) = (v_1 + v_2)(f \circ F) = v_1(f \circ F) + v_2(f \circ F) = dF_p(v_1)(f) + dF_p(v_2)(f)$ ,  $f \in C^\infty(N)$ ,  $v_1, v_2 \in T_pM \Rightarrow dF_p(v_1 + v_2) = dF_p(v_1) + dF_p(v_2)$ .  $dF_p(av)(f) = av(f \circ F) = a \cdot dF_p(v)(f)$ ,  $f \in C^\infty(N)$ ,  $v \in T_pM$ ,  $a \in \mathbb{R} \Rightarrow dF_p(av) = a \cdot dF_p(v)$ . So,  $dF_p: T_pM \rightarrow T_{F(p)}N$  is linear.  $\square$

- (b)  $d(G \circ F)_p = dG_{F(p)} \circ dF_p: T_pM \rightarrow T_{G(F(p))}P$ .

*Proof.*  $d(G \circ F)_p(v)(f) = v(f \circ G \circ F) = v(f' \circ F) = dF_p(v)(f') = dF_p(v)(f \circ G) = dG_{F(p)} \circ dF_p(v)(f)$ ,  $v \in T_p(M)$ ,  $f \in C^\infty(P) \Rightarrow d(G \circ F)_p = dG_{F(p)} \circ dF_p$ .  $\square$

- (c)  $d(\text{Id}_M)_p = \text{Id}_{T_pM}: T_pM \rightarrow T_pM$ .

*Proof.*  $d(\text{Id}_M)_p(v)(f) = v(f \circ \text{Id}_M) = v(f)$ ,  $v \in T_p(M)$ ,  $f \in C^\infty(M) \Rightarrow d(\text{Id}_M)_p = \text{Id}_{T_pM}$ .  $\square$

- (d) If  $F$  is a diffeomorphism, then  $dF_p: T_pM \rightarrow T_{F(p)}N$  is an isomorphism, and

$$(dF_p)^{-1} = d(F^{-1})_{F(p)}.$$

*Proof.*  $dF_p \circ d(F^{-1})_{F(p)} = d(F \circ F^{-1})_{F(p)} = d(\text{Id}_N)_{F(p)} = \text{Id}_{T_{F(p)}N}$ . On the other direction,  $d(F^{-1})_{F(p)} \circ dF_p = d(F^{-1} \circ F)_p = d(\text{Id}_M)_p = \text{Id}_{T_pM}$ . This indicates  $dF_p: T_pM \rightarrow T_{F(p)}N$  is an isomorphism, and  $(dF_p)^{-1} = d(F^{-1})_{F(p)}$ .  $\square$

3. Note that if  $M$  is a smooth manifold and  $U \subset M$  is open, then  $U$  is also a smooth manifold with smooth structure inherited from  $M$  (i.e. charts on  $U$  are given by intersecting  $U$  with charts on  $M$ ). We say  $U$  is an *open submanifold* of  $M$ . Show that for  $p \in U$  there is an isomorphism

$$T_p M \cong T_p U.$$

*Proof.* Let  $\iota : U \rightarrow M$  be the inclusion map. We claim  $d\iota_p$  is the isomorphism  $T_p U \rightarrow T_p M$ . Here we continue to use the definition of Lee.

First, we prove a lemma: Let  $M$  be a smooth manifold,  $p \in M$ , and  $v \in T_p M$ . If  $f, g \in C^\infty(M)$  agree on some neighborhood of  $p$ , then  $vf = vg$ .

*Proof.* Let  $h = f - g$ , so that  $h$  is a smooth function that vanishes in a neighborhood  $U$  of  $p$ . Let  $\psi \in C^\infty(M)$  be a smooth bump function that is identically equal to 1 on the support of  $h$  (This is true, because we can find a closed set  $p \in A \subset U$  (from class), which is disjoint with  $\text{Supp}(h)$ ). Then we can use HW3 problem 5) and is supported in  $M \setminus \{p\}$ . Because  $\psi \equiv 1$  where  $h$  is nonzero, the product  $\psi h$  is identically equal to  $h$ . Since  $h(p) = \psi(p) = 0$ ,  $vh = v(\psi h) = 0$ . By linearity, this implies  $vf = vg$ .  $\square$

Injectivity: suppose  $v \in T_p U$  and  $d\iota_p(v) = 0 \in T_p M$ . Let  $B$  be a neighborhood of  $p$  such that  $\overline{B} \subseteq U$ . If  $f \in C^\infty(U)$  is arbitrary, the extension lemma for smooth functions guarantees that there exists  $\tilde{f} \in C^\infty(M)$  such that  $\tilde{f} \equiv f$  on  $\overline{B}$  (application of partition of unity from class). Then since  $f$  and  $\tilde{f}|_U$  are smooth functions on  $U$  that agree in a neighborhood of  $p$ , the lemma we proved implies  $vf = v(\tilde{f}|_U) = v(\tilde{f} \circ \iota) = d\iota_p(v) \tilde{f} = 0$ . Since this holds for every  $f \in C^\infty(U)$ , it follows that  $v = 0$ , so  $d\iota_p$  is injective.

On the other hand, to prove surjectivity, suppose  $w \in T_p M$  is arbitrary. Define an operator  $v : C^\infty(U) \rightarrow \mathbb{R}$  by setting

$$vf = w\tilde{f},$$

where  $\tilde{f}$  is any smooth function on all of  $M$  that agrees with  $f$  on  $\overline{B}$  (We have proved the existence of  $\tilde{f}$ ). By the lemma we proved,  $vf$  is independent of the choice of  $\tilde{f}$ , so  $v$  is well defined, and it is easy to check that it is a derivation of  $C^\infty(U)$  at  $p$ . For any  $g \in C^\infty(M)$ ,

$$d\iota_p(v)g = v(g \circ \iota) = w(\tilde{g} \circ \iota) = wg,$$

where the last two equalities follow from the facts that  $g \circ \iota$ ,  $\tilde{g} \circ \iota$ , and  $g$  all agree on  $\overline{B}$ . Therefore,  $d\iota_p$  is also surjective.  $\square$

4. Show that for a vector space  $V$  (with its canonical smooth structure) and any point  $p \in V$ , the tangent space  $T_p V$  is canonically isomorphic to  $V$ .

*Proof.* We will construct a map  $\Psi : V \rightarrow T_p V$  and prove that it is a linear isomorphism. This construction will be entirely independent of any choice of basis. We define the map  $\Psi : V \rightarrow T_p V$  as follows: For any  $v \in V$ ,  $\Psi(v)$  is an element of  $T_p V$  (i.e., a derivation) whose action on any smooth function  $f \in C^\infty(V)$  is given by:

$$[\Psi(v)](f) := \left. \frac{d}{dt} \right|_{t=0} f(p + tv)$$

(Noticing that  $f(p + tv)$  is actually a smooth function on  $\mathbb{R}$ ) This definition calculates the directional derivative of the function  $f$  at the point  $p$  in the direction  $v$ . We need to prove that for any  $v \in V$ , the

operator  $\Psi(v)$  we defined satisfies the two conditions for an element of  $T_p V$  (linearity and the Leibniz rule). (i): For any constants  $a, b \in \mathbb{R}$  and functions  $f, g \in C^\infty(V)$ :

$$\begin{aligned} [\Psi(v)](af + bg) &= \left. \frac{d}{dt} \right|_{t=0} (af + bg)(p + tv) \\ &= \left. \frac{d}{dt} \right|_{t=0} (af(p + tv) + bg(p + tv)) \\ &= a \left. \frac{d}{dt} \right|_{t=0} f(p + tv) + b \left. \frac{d}{dt} \right|_{t=0} g(p + tv) \quad (\text{by linearity of the derivative}) \\ &= a[\Psi(v)](f) + b[\Psi(v)](g) \end{aligned}$$

Thus,  $\Psi(v)$  is a linear operator.

(ii):

$$\begin{aligned} [\Psi(v)](f \cdot g) &= \left. \frac{d}{dt} \right|_{t=0} (f \cdot g)(p + tv) = \left. \frac{d}{dt} \right|_{t=0} (f(p + tv) \cdot g(p + tv)) \\ &= \left( \left. \frac{d}{dt} \right|_{t=0} f(p + tv) \right) \cdot g(p + 0) + f(p + 0) \cdot \left( \left. \frac{d}{dt} \right|_{t=0} g(p + tv) \right) \quad (\text{by the product rule}) \\ &= ([\Psi(v)](f)) \cdot g(p) + f(p) \cdot ([\Psi(v)](g)) \end{aligned}$$

The Leibniz rule is also satisfied. Therefore, our defined  $\Psi(v)$  is indeed a tangent vector in  $T_p V$ . Now we must prove that the map  $\Psi$  itself is a linear isomorphism.

- (a) : We need to show that  $\Psi(av_1 + bv_2) = a\Psi(v_1) + b\Psi(v_2)$ . This means they are the same operator, i.e., they have the same effect on any function  $f$ .

$$\begin{aligned} [\Psi(av_1 + bv_2)](f) &= \left. \frac{d}{dt} \right|_{t=0} f(p + t(av_1 + bv_2)) \\ &= \left. \frac{d}{dt} \right|_{t=0} f(p + (at)v_1 + (bt)v_2) \\ &= a \left( \left. \frac{d}{ds} \right|_{s=0} f(p + sv_1) \right) + b \left( \left. \frac{d}{ds} \right|_{s=0} f(p + sv_2) \right) \quad (\text{by the chain rule}) \\ &= a[\Psi(v_1)](f) + b[\Psi(v_2)](f) = [a\Psi(v_1) + b\Psi(v_2)](f) \end{aligned}$$

Since this holds for all  $f$ , we have  $\Psi(av_1 + bv_2) = a\Psi(v_1) + b\Psi(v_2)$ , so  $\Psi$  is linear.

- (b) : To prove injectivity, we show its kernel is  $\{0\}$ . That is, if  $\Psi(v) = 0$  (the zero derivation), then  $v$  must be the zero vector.

$\Psi(v) = 0$  means that for all smooth functions  $f \in C^\infty(V)$ , we have  $[\Psi(v)](f) = 0$ . Let  $\lambda : V \rightarrow \mathbb{R}$  be any linear functional (i.e.,  $\lambda \in V^*$ , the dual space of  $V$ ). Linear functionals are smooth functions. Apply  $\Psi(v)$  to  $f = \lambda$ :

$$[\Psi(v)](\lambda) = \left. \frac{d}{dt} \right|_{t=0} \lambda(p + tv)$$

Because  $\lambda$  is linear,  $\lambda(p + tv) = \lambda(p) + t\lambda(v)$ .

$$[\Psi(v)](\lambda) = \left. \frac{d}{dt} \right|_{t=0} (\lambda(p) + t\lambda(v)) = \lambda(v)$$

If  $\Psi(v) = 0$ , then  $[\Psi(v)](\lambda) = \lambda(v) = 0$ . This must hold for **all** linear functionals  $\lambda \in V^*$ . From a fundamental result in linear algebra, if the image of a vector  $v$  is zero under every linear functional, then the vector itself must be the zero vector. Thus,  $v = 0$ . This proves that  $\Psi$  is injective.

(c) : We already know that  $\dim(T_p V) = \dim(V)$ .  $\Psi$  is a linear map from  $V$  to  $T_p V$ . For two finite-dimensional vector spaces of the same dimension, an injective linear map is necessarily also surjective, and thus is an isomorphism.

In summary,  $\Psi$  is a linear isomorphism. Because we made no choice of basis during this entire construction, this isomorphism is canonical. □

5. Let  $(x, y)$  denote the standard coordinates on  $\mathbb{R}^2$ . Verify that  $(\tilde{x}, \tilde{y})$  are global smooth coordinates on  $\mathbb{R}^2$ , where

$$\tilde{x} = x, \quad \tilde{y} = y + x^3.$$

Let  $p = (1, 0) \in \mathbb{R}^2$  (in standard coordinates), and show that

$$\left. \frac{\partial}{\partial x} \right|_p \neq \left. \frac{\partial}{\partial \tilde{x}} \right|_p.$$

*Proof.* Let  $\psi(x, y) = (x, y - x^3) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ . Then  $\phi \circ \psi = \psi \circ \phi = \text{Id}$ . Also,  $\phi, \psi$  are smooth, because  $x, y + x^3, y - x^3$  are some polynomials of  $x, y$ . So,  $\phi$  is a diffeomorphism of  $\mathbb{R}^2$  and  $\mathbb{R}^2$ , which indicating  $\phi$  is a global smooth coordinates. Let  $f(x, y) = y : \mathbb{R}^2 \rightarrow \mathbb{R}$ . Then  $\left. \frac{\partial}{\partial \tilde{x}} \right|_p(f) = d\psi|_{\phi(p)}\left(\left. \frac{\partial}{\partial x} \right|(f)\right) = \left. \frac{\partial}{\partial x} \right|_{\phi(p)}(f \circ \psi) = \left. \frac{\partial}{\partial x} \right|_{(1,1)}(y - x^3) = -3$ . But  $\left. \frac{\partial}{\partial x} \right|_p(f) = \left. \frac{\partial}{\partial x} \right|_{(1,0)}(y) = 0 \neq \left. \frac{\partial}{\partial \tilde{x}} \right|_p(f) = -3$ . □