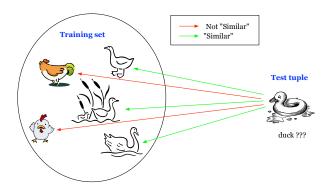


### Basic Idea



"If it walks like a duck, quacks like a duck, and looks like a duck, then it is probably a duck!"

### kNN Classification

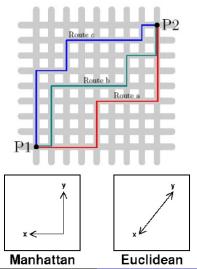
- every tuple in the training set is described by n numeric attributes
  - each tuple can be perceived as a point in a *n*-dimensional space
- two tuples  $\mathbf{x}_1$  and  $\mathbf{x}_2$  are "close" or "near" or "similar" if they have a "small" distance based on a distance metric
- the most popular metric is the Euclidean distance  $(\ell_2\text{-distance})$ 
  - $\mathbf{x}_1 = (x_{11}, x_{12}, \dots, x_{1n})$  and  $\mathbf{x}_2 = (x_{21}, x_{22}, \dots, x_{2n})$
  - Euclidean distance between  $\mathbf{x}_1$  and  $\mathbf{x}_2$  is

$$dist(\mathbf{x}_1, \mathbf{x}_2) = \sqrt{\sum_{i=1}^{n} (x_{1i} - x_{2i})^2}$$

### Distance Metrics

Other distances can also be used

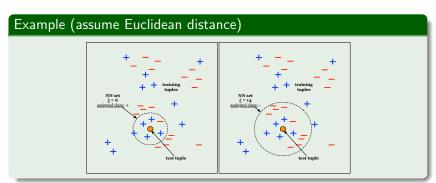
• e.g.,  $\ell_1$ -distance: (Manhattan distance)  $\sum_{i=1}^{n} |x_{1i} - x_{2i}|$ 



# k-Nearest-Neighbor (kNN) Classifier

#### Given an unknown tuple

- search for its *k* nearest training tuples ("neighbors") based on a pre-defined distance metric
- assign the unknown tuple to the majority class of its k nearest neighbor set



## Data Preprocessing

- distance metric is affected by the ranges of the attribute values
- large ranges (e.g., income) may outweigh small ranges (e.g., age) in the distance computation
- perform data normalization on the training set, such that all values fall within range [0,1]
  - e.g., transform a value  $v \in [min_A, max_A]$  of an attribute A to

$$v' = \frac{v - min_A}{max_A - min_A}$$
 (min-max normalization)

### What about categorical data?

- suppose that we measure the Euclidean distance between  $\mathbf{x}_1$  and  $\mathbf{x}_2$
- need to calculate  $x_{1i} x_{2i}$  on a categorical attribute  $A_i$
- assign "0" if the values are identical, and "1" otherwise

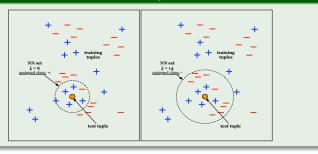
## Curse of Dimensionality

- when dimensionality increases, data becomes increasingly sparse
- ullet density and distance between points o less meaningful
- as a result, a nearest neighbor query may become non-meaningful
- in particular, distance between neighbors could be dominated by irrelevant attributes
  - → dimension reduction
  - use weights to attribute higher importance to some attributes (so far we have implied that all attributes are assigned an equal weight)
  - e.g.,  $dist(\mathbf{x}_1, \mathbf{x}_2) = \sqrt{\sum_{i=1}^n \beta_i (x_{1i} x_{2i})^2}$

### Notes

• *k* is a user-defined parameter which affects the accuracy of the classifier

### Example (assume Euclidean distance)



- $k \text{ too small} \rightarrow \text{the classifier becomes sensitive to noise}$
- k too large → the neighborhood may "mix" points from different classes
- can tune k by cross-validation

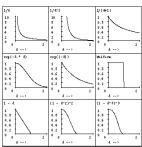
#### How?

### Notes...

 on prediction, assign the unknown tuple to the majority class of its k nearest neighbor set



- can also take into account its distance from its neighbors
  - idea: give greater weights to closer neighbors
  - e.g., weight the contribution of each of the k neighbors according to their distance to the query  $x_q$ :  $w = \frac{1}{d(x_0, x_k)^2}$



the precise choice of kernel shape usually does not matter

## Lazy Learning

decision trees, naive Bayes classifiers (and many other classifiers)

- build a model as soon as the training set is available (before seeing the test examples)
- eager learning

#### kNN classifier

- just store all training examples
- lazy learning

	eager	lazy
different new instances	estimates based on	estimates based on
	the same function	different functions
approximation to	global	local
the target function		
computation on training	a lot	little
computation on testing	little	a lot