COMP 170 Discrete Mathematical Tools for CS 2005 Fall Semester – Comments Sept 13, 2005

In the supplementary review session on Tuesday Sept 13, 2005, some students wanted to know the following:

- a) What is the number of surjections (onto functions) from S_m to S_n ?
- b) What is the value of $\sum_{i=0}^{n} i^2$?

Here are the answers. Please note that this material is only being provided to answer some students' questions and will not be on any exam.

a) Unlike the number of one-to-one functions (which is easy, since the number of one-to-one functions is just the number of m-element permutations of $\{1, \ldots n\}$ which is $n^{\underline{m}}$ if $m \leq n$ and 0 if m > n) the number of surjections is a hard problem. Or rather, it is not simple to write it out easily in terms of functions that we know.

In order to answer this question we need to introduce a *new type* of number, S(n,k), called a *Stirling number of the second kind*.

S(n,k) is defined to be the The number of ways of partitioning a set of n elements into m nonempty sets.

The number of surjections is then just

$$m!S(n,m)$$
.

While there is no simple formula for S(n,k), there is quite a lot known about these numbers (they even have their own version of a Pascal triangle type of identity). For more on Stirling numbers of the second kind, please see Chapter 6 of the class reference book (it's on reserve in the library) Graham, $R.\ L.$; Knuth, $D.\ E.$; and Patashnik, O, $Concrete\ Mathematics:\ A\ Foundation\ for\ Computer\ Science$. Alternatively, check out the web site

http://mathworld.wolfram.com/StirlingNumber of the Second Kind.html

(b) Let $F_0(n) = \sum_{i=1}^n 1 = n$; $F_1(n) = \sum_{i=1}^n i = n(n+1)/2$. We want to calculate $F_2(n) = \sum_{i=1}^n i^2$.

The first thing to notice is that

$$n^{3} = \sum_{i=1}^{n} \left[i^{3} - (i-1)^{3} \right]$$
 (1)

(This is what we sometimes call a telescoping sum.)

The next thing to notice is that

$$\sum_{i=1}^{n} \left[i^3 - (i-1)^3 \right] = \sum_{i=1}^{n} \left[3i^2 - 3i + 1 \right]$$
 (2)

$$= 3\sum_{i=1}^{n} i^2 - 3\sum_{i=1}^{n} i + \sum_{i=1}^{n} 1$$
 (3)

$$= 3F_2(n) - 3F_1(n) + F_0(n) \tag{4}$$

$$= 3F_2(n) - 3n(n+1)/2 + n (5)$$

$$= 3F_2(n) - \frac{3n^2 + n}{2} \tag{6}$$

Solving for $F_2(n)$ gives

$$F_2(n) = \frac{1}{3} \left[n^3 + \frac{3n^2 + n}{2} \right] \tag{7}$$

$$= \frac{2n^3 + 3n^2 + n}{6} \tag{8}$$

$$= \frac{n(2n+1)(n+1)}{6} \tag{9}$$

Note that this technique can actually be iterated to find $F_j(n) = \sum_{i=1}^n i^j$. As an example, let $F_3(n) = \sum_{i=1}^n i^3$.

$$n^4 = \sum_{i=1}^n \left[i^4 - (i-1)^4 \right] \tag{10}$$

and

$$\sum_{i=1}^{n} \left[i^4 - (i-1)^4 \right] = \sum_{i=1}^{n} \left[4i^3 - 6i^2 + 4i - 1 \right]$$
 (11)

$$= 4\sum_{i=1}^{n} i^{3} - 6\sum_{i=1}^{n} i^{2} + 4\sum_{i=1}^{n} i - \sum_{i=1}^{n} 1$$
 (12)

$$= 4F_3(n) - 6F_2(n) + 4F_1(n) - F_0(n)$$
(13)

$$= 4F_3(n) - n(2n+1)(n+1) + 2n(n+1) - n$$
 (14)

$$= 4F_3(n) - (2n^3 + n^2) (15)$$

Solving for $F_3(n)$ gives

$$F_3(n) = \frac{1}{4} \left[n^4 + 2n^3 + n^2 \right]$$

$$= \frac{n^2(n+1)^2}{4}$$
(16)

$$= \frac{n^2(n+1)^2}{4} \tag{17}$$