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# COMP5111 – Fundamentals of Software Analysis

## Overview of Software Testing

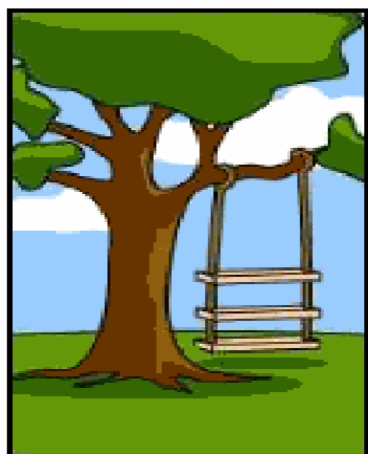
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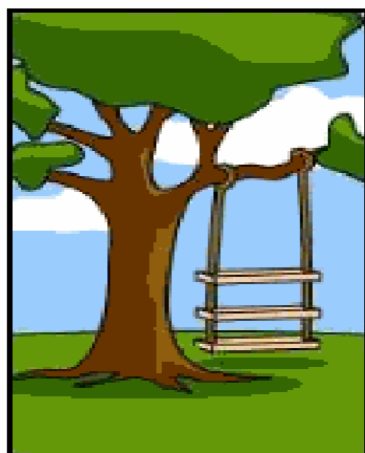
Shing-Chi Cheung

Computer Science & Engineering

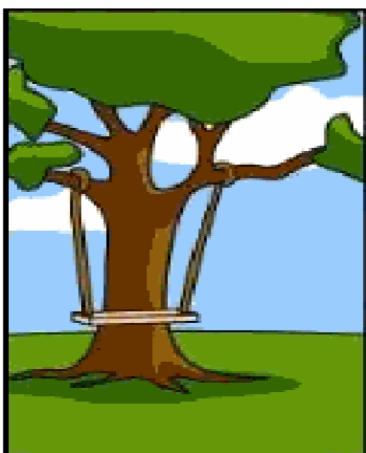
HKUST



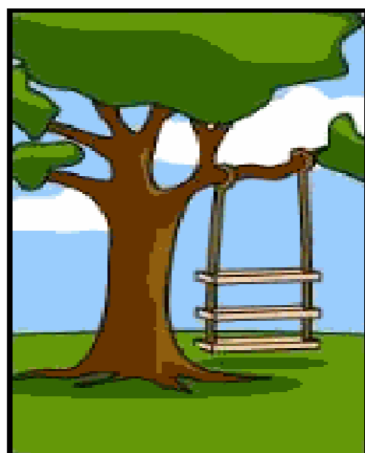
How the customer  
explained it



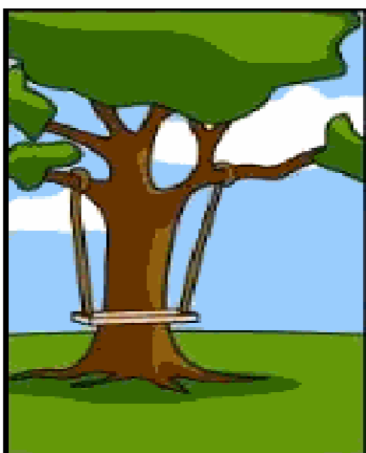
How the customer explained it



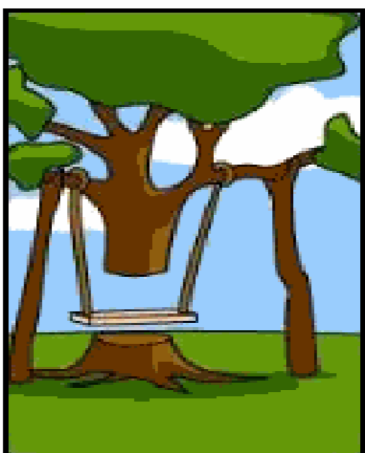
How the Project Leader understood it



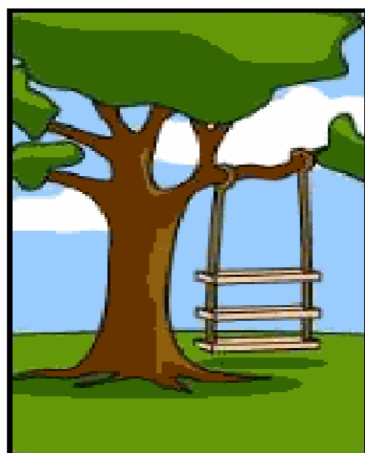
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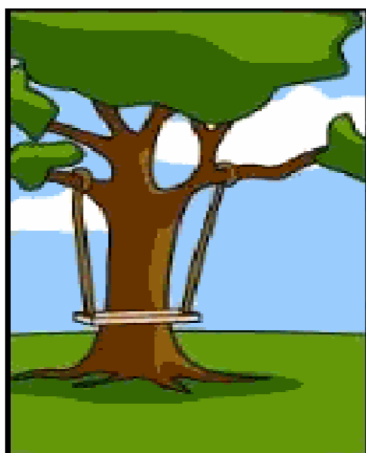
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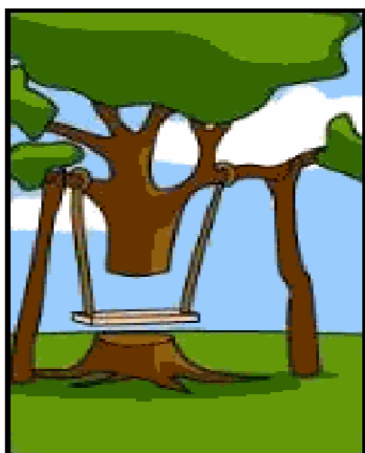
How the Analyst designed it



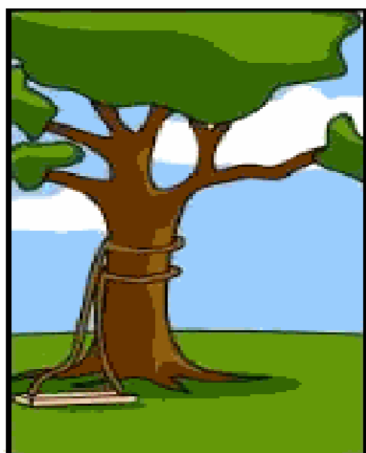
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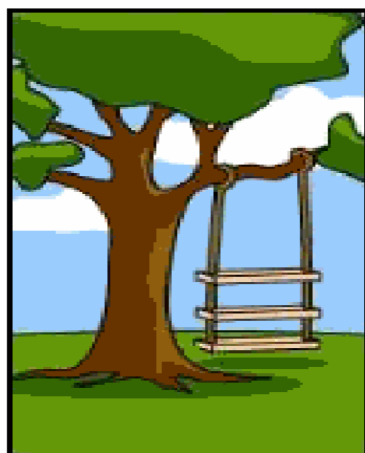
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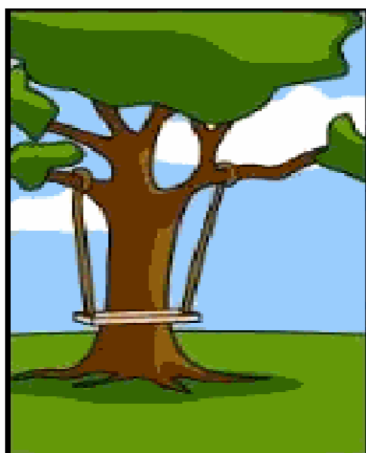
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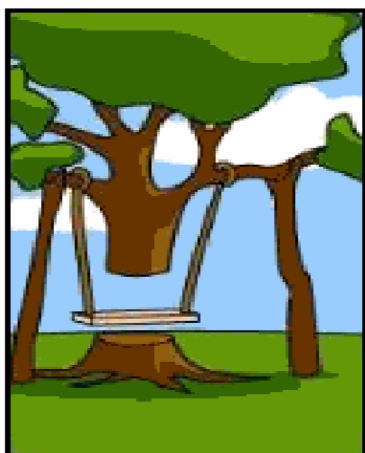
How the Programmer wrote it



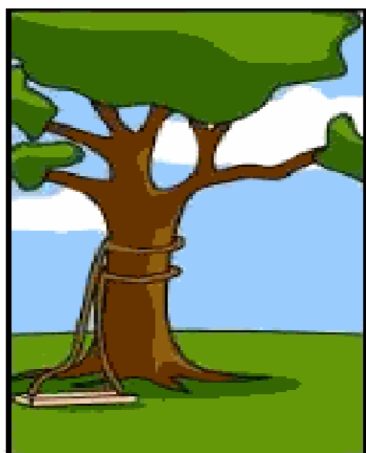
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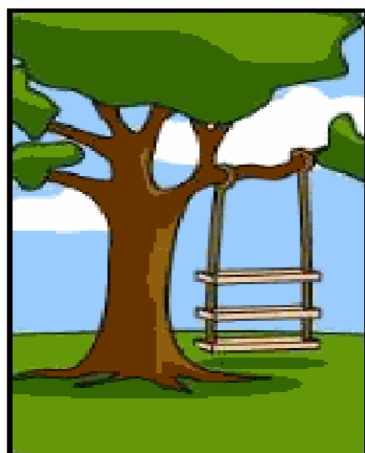
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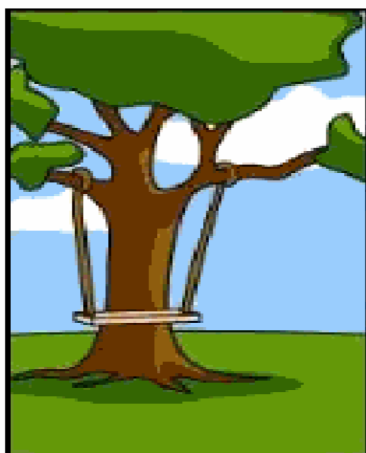
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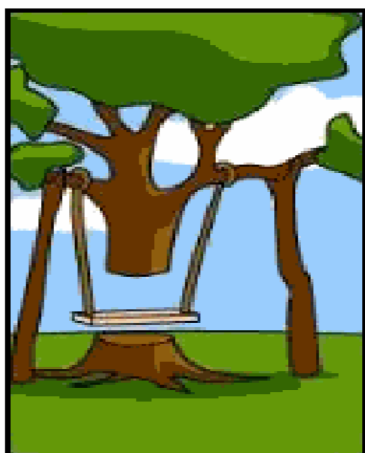
How the Business Consultant described it



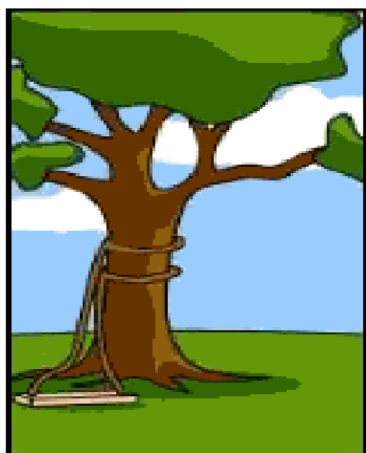
How the customer explained it



How the Project Leader understood it



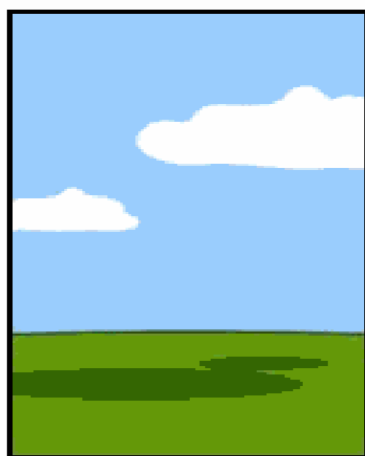
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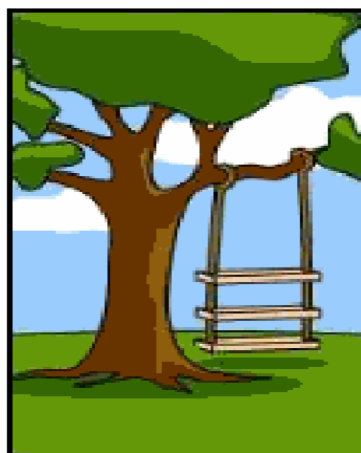
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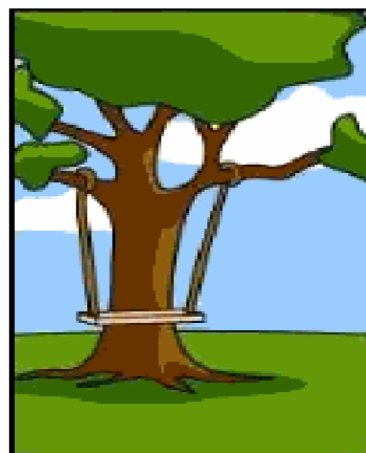
How the Business Consultant described it



How the project was documented



How the customer explained it



How the Project Leader understood it



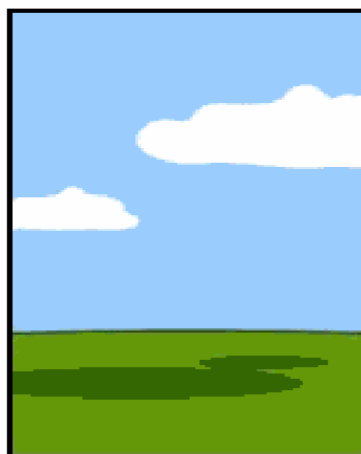
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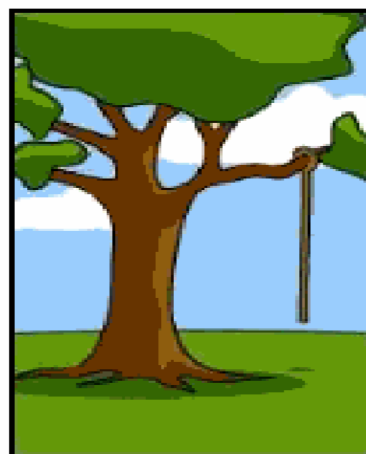
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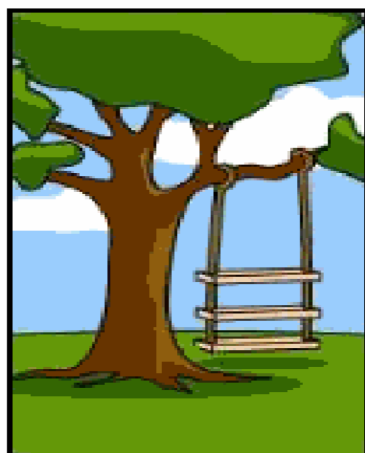


How the project was documented

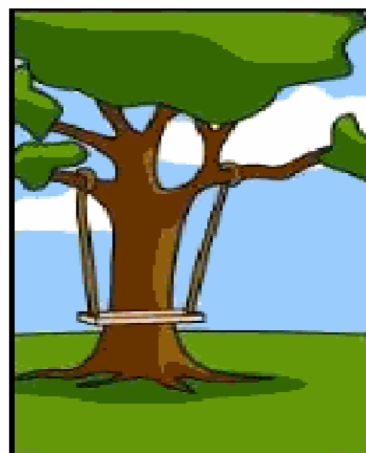


What operations installed





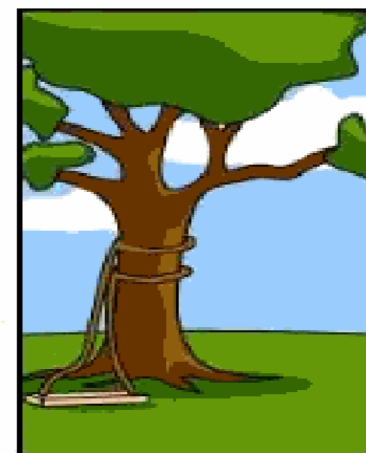
How the customer explained it



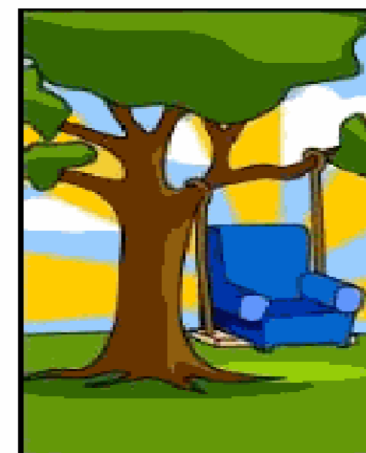
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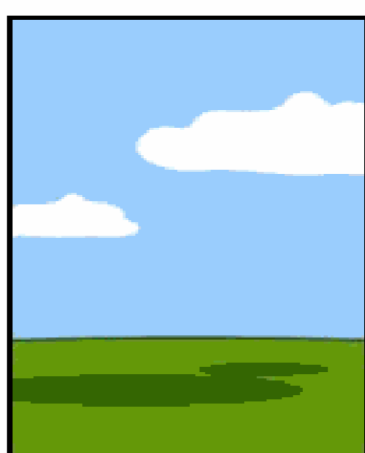
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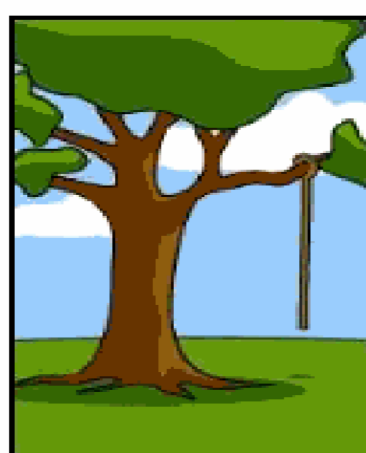
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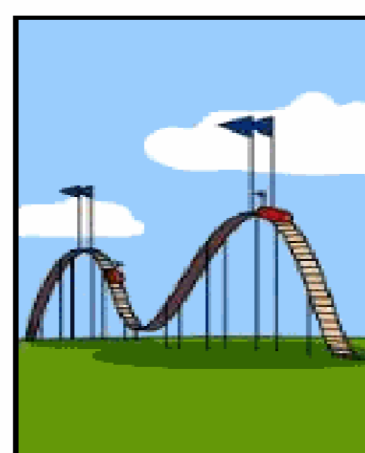
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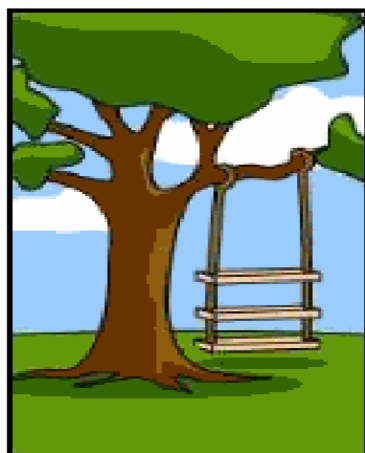
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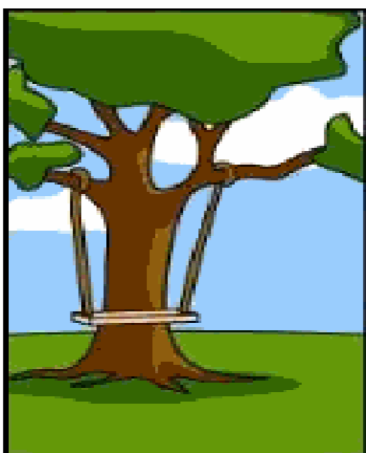
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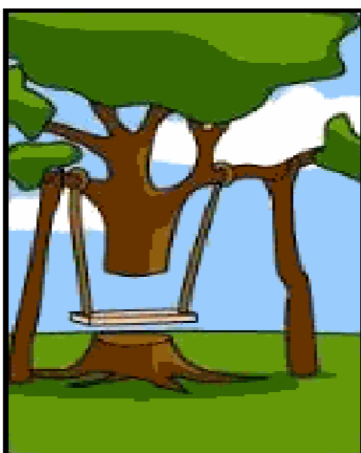
How the customer was billed



How the customer explained it



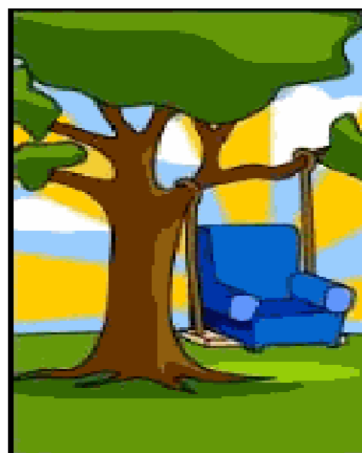
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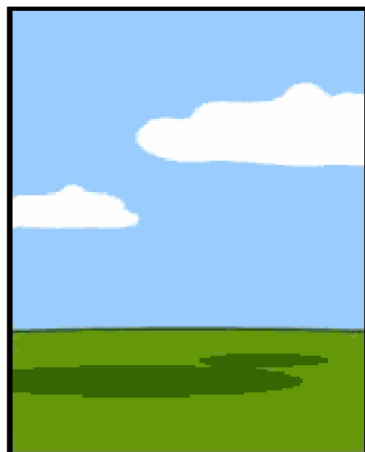
How the Analyst designed it



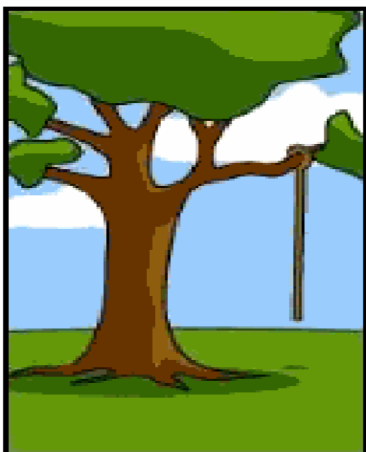
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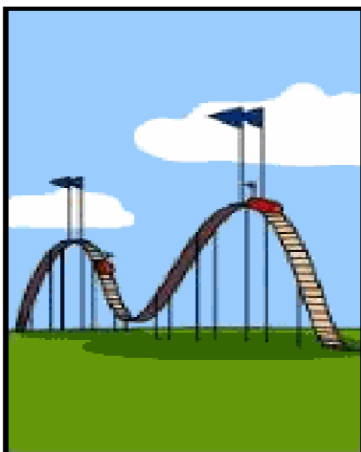
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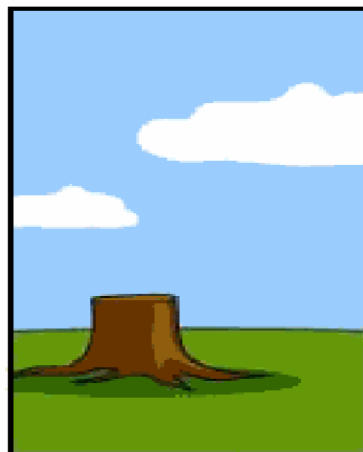
How the project was documented



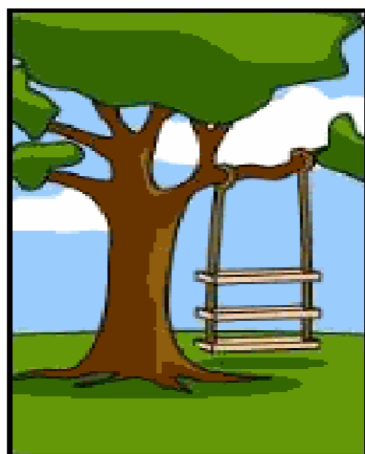
What operations installed



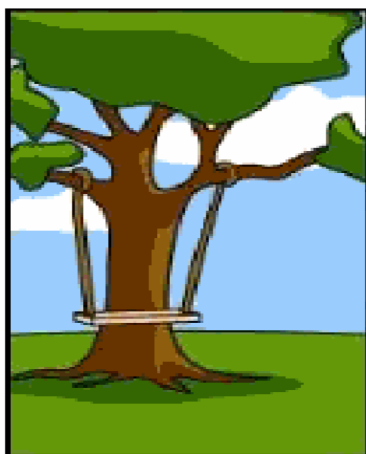
How the customer was billed



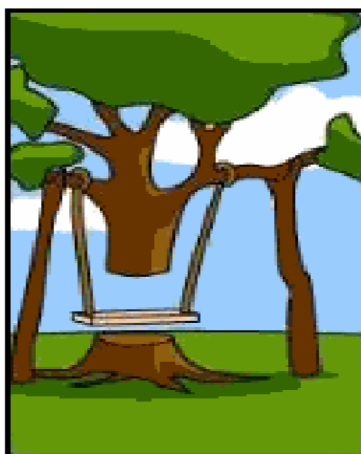
How it was supported



How the customer explained it



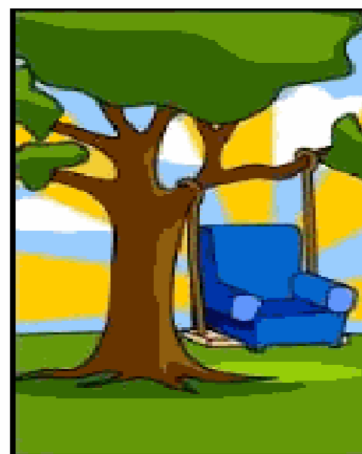
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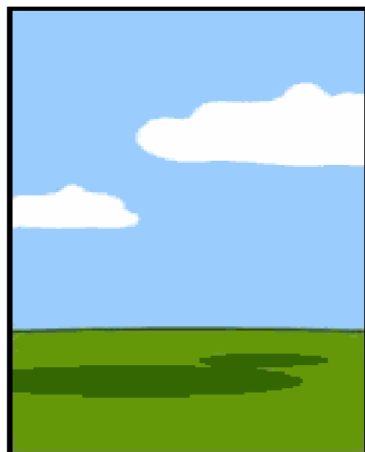
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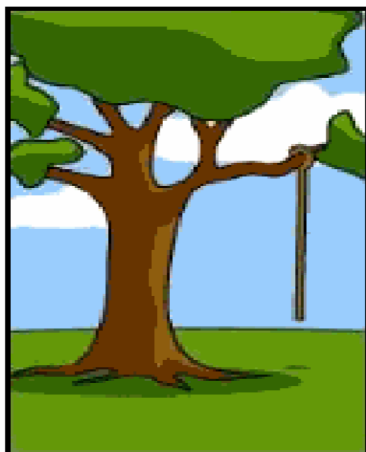
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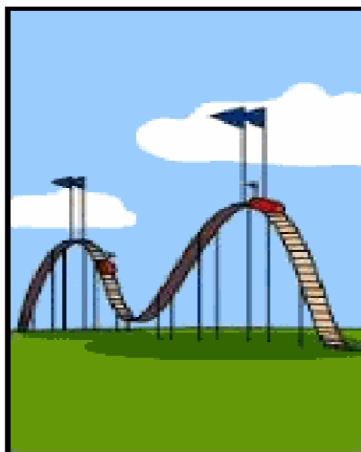
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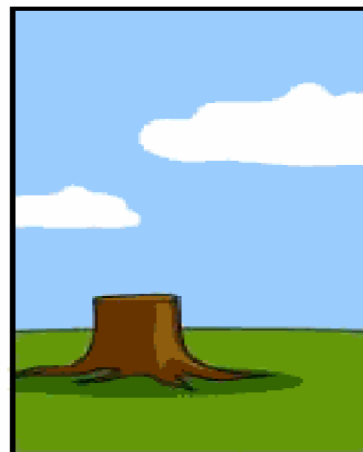
How the project was documented



What operations installed



How the customer was billed



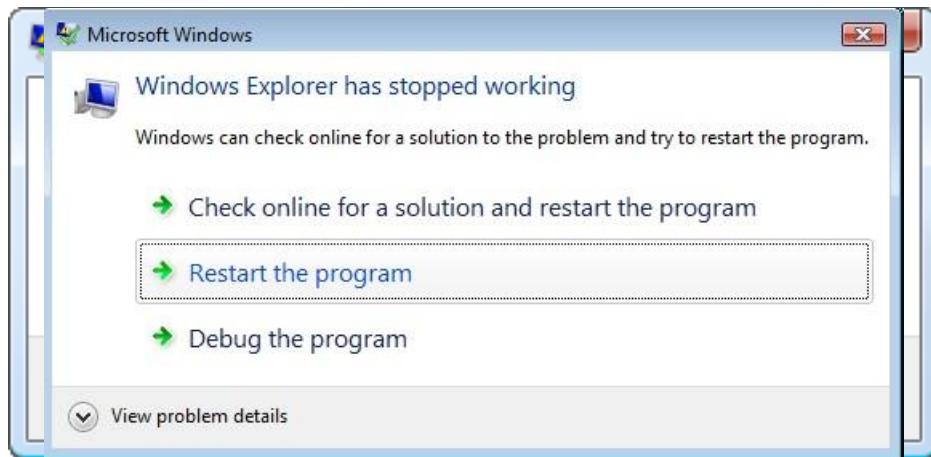
How it was supported



What the customer really needed

# What is users' experience

- Sounds familiar when using Windows sometimes?



What? You want to test my code! Why? We haven't got time anyway.

OK, maybe you were right about testing. A nasty bug likely exists and customers are complaining.

Testers! You must work harder! Longer! Faster!



1960s - 1980s  
Constraint



1990s  
Need



2000+  
Asset

# Facts in Google as at 2011

- Principles
  - Quality must be owned by engineering
  - Test must be part of engineering
- 15,000 developers run 100+ million tests per day.
- 20+ code changes/minute
- 30K code commits per day (one every 3 seconds)

# Software Testing - Facts



**expensive**

**30-90% of development effort**

Cost of inadequate testing on US economy (billions)	
developers	\$21.2
users	\$38.3
<b>TOTAL</b>	<b>\$59.5</b>

*source: NIST 2002*

# Recall: Lessons Learnt with FindBugs

- Static analysis, at best, might catch 5-10% of software quality problems
- Need to understand which types of bugs matter to your project
- Example: public static final field pointing to an array where anyone can change the content of the array
  - It is likely a big concern if your program run with other untrusted code in the same VM
  - Otherwise, it is likely a minor concern



# Recall: Lessons Learnt with FindBugs

## ■ High false positives

- ❑ Only a small portion of warnings are real; most of them are false alarms.
- ❑ Allow users to enable/disable the rules individually.
- ❑ Focus on the new ones not found in the baseline

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**difficult**

**complex software**

› many behaviors to test

**large input spaces**

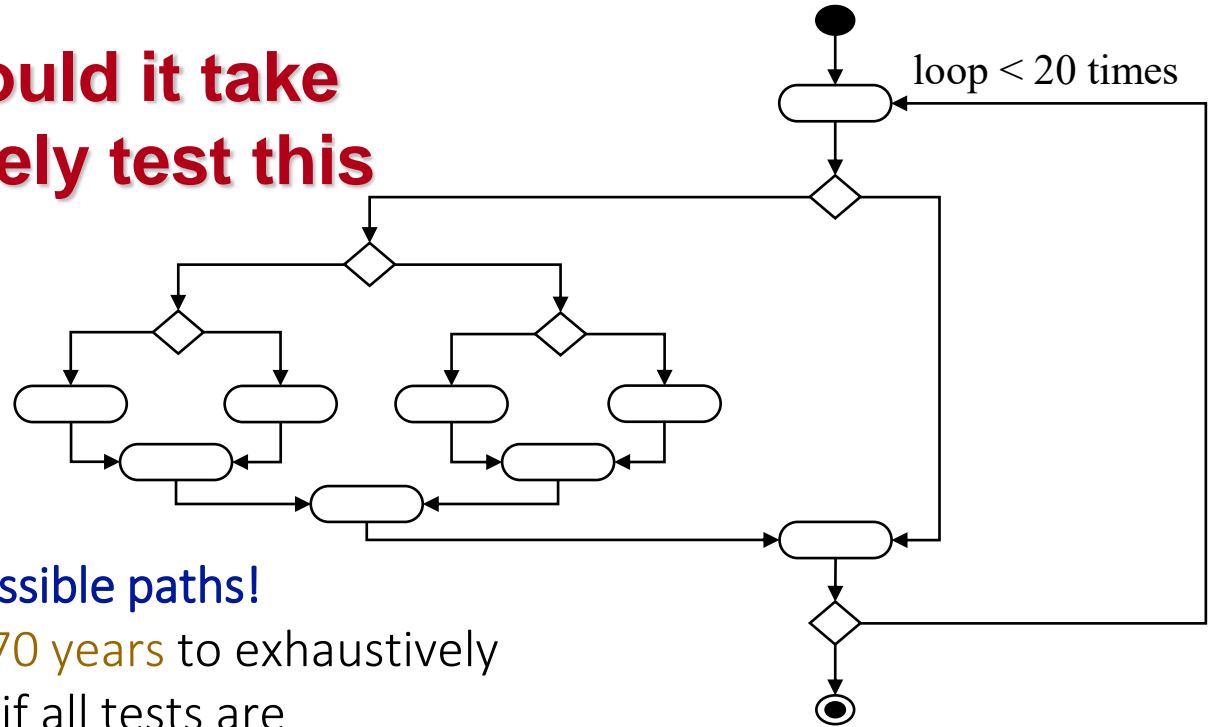
› selecting subset is hard

**done mostly by hand**

› at Microsoft, ½ of engineers

# WHY is Testing Difficult?

**How long would it take to exhaustively test this program?**

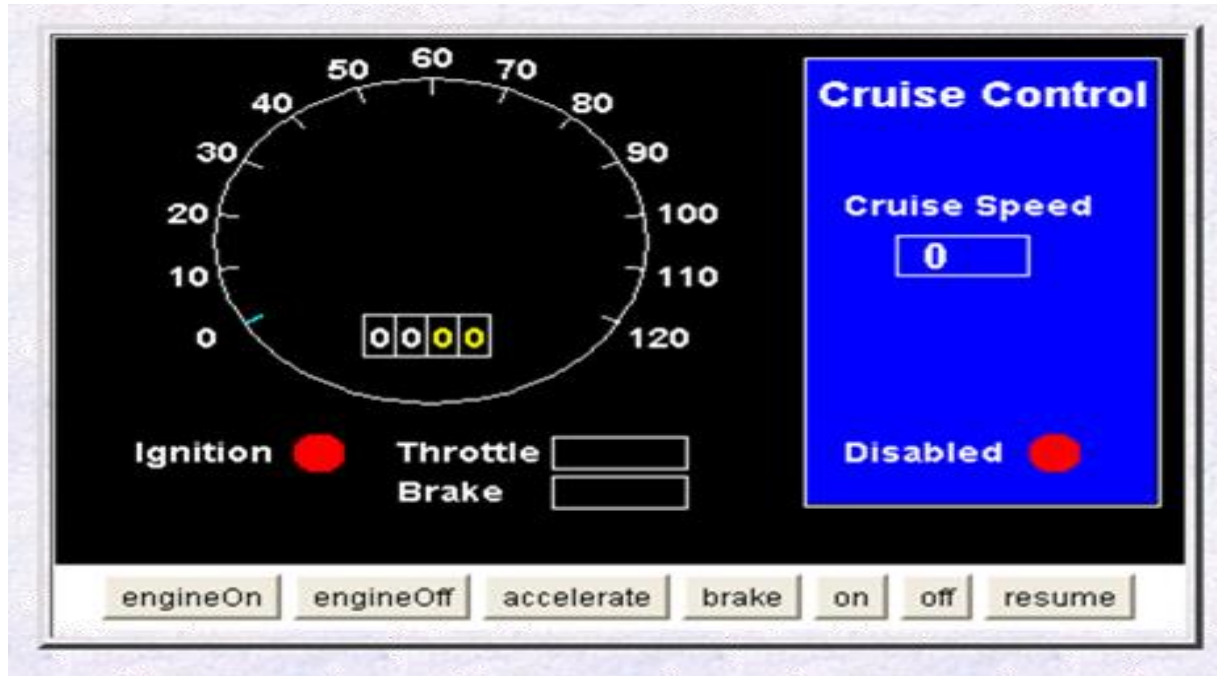


➔ There are  $10^{14}$  possible paths!  
It would take 3,170 years to exhaustively test the program if all tests are automated and each test requires 1 ms!!

# Recall: Facts of real projects

- Eclipse is known for its high reliability
- Defect density of Eclipse 3.0
  - ❑ Scariest defects: 30 per million LOC
  - ❑ Scary: 160 per million LOC
  - ❑ Troubling: 480 per million LOC
  - ❑ Of concern: 6,000 per million LOC

# Here! Try it out Yourself!



<http://www.cse.ust.hk/~scc/CruiseWithBugs.zip>

# Software Testing - Facts



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**difficult**

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› selecting subset is hard

**done mostly by hand**

› at Microsoft, ½ of engineers



**goal: automation**

**automate test case creation**

› a principal testing activity

› a significant portion of cost

# Understanding Basic Terminologies

# What is Testing?

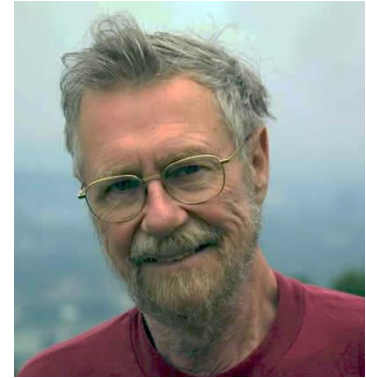
**Testing** is the activity of finding out whether a piece of code (a method, class, or program) produces the intended behavior.

taken from “Objects First with Java”



## Edsger Dijkstra (1930-2002):

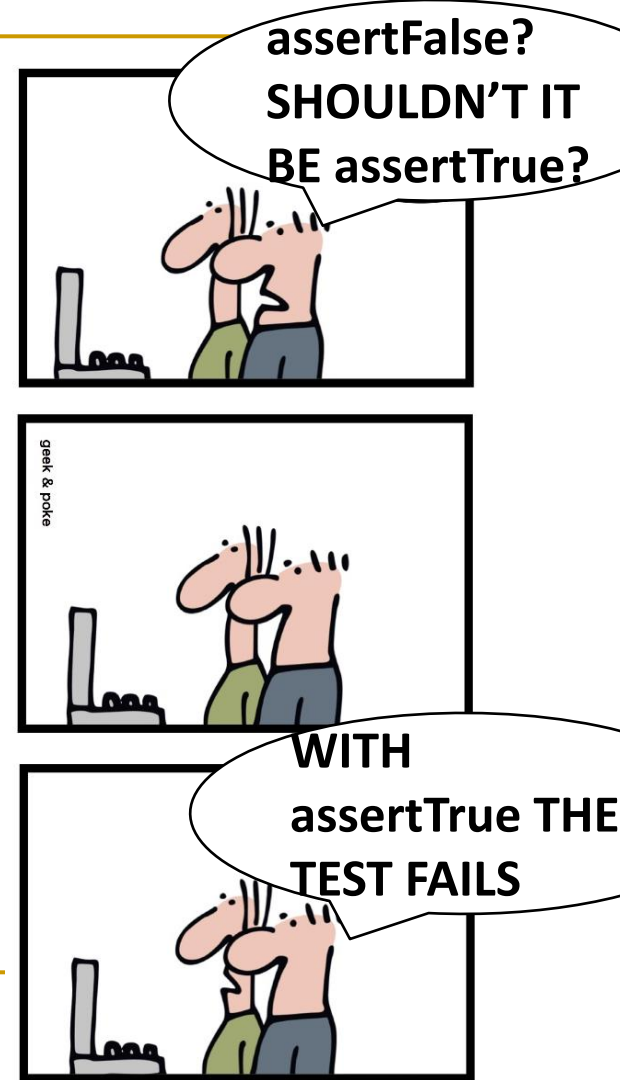
Program testing can be used to show the presence of bugs, but never to show their absence *unless* ...



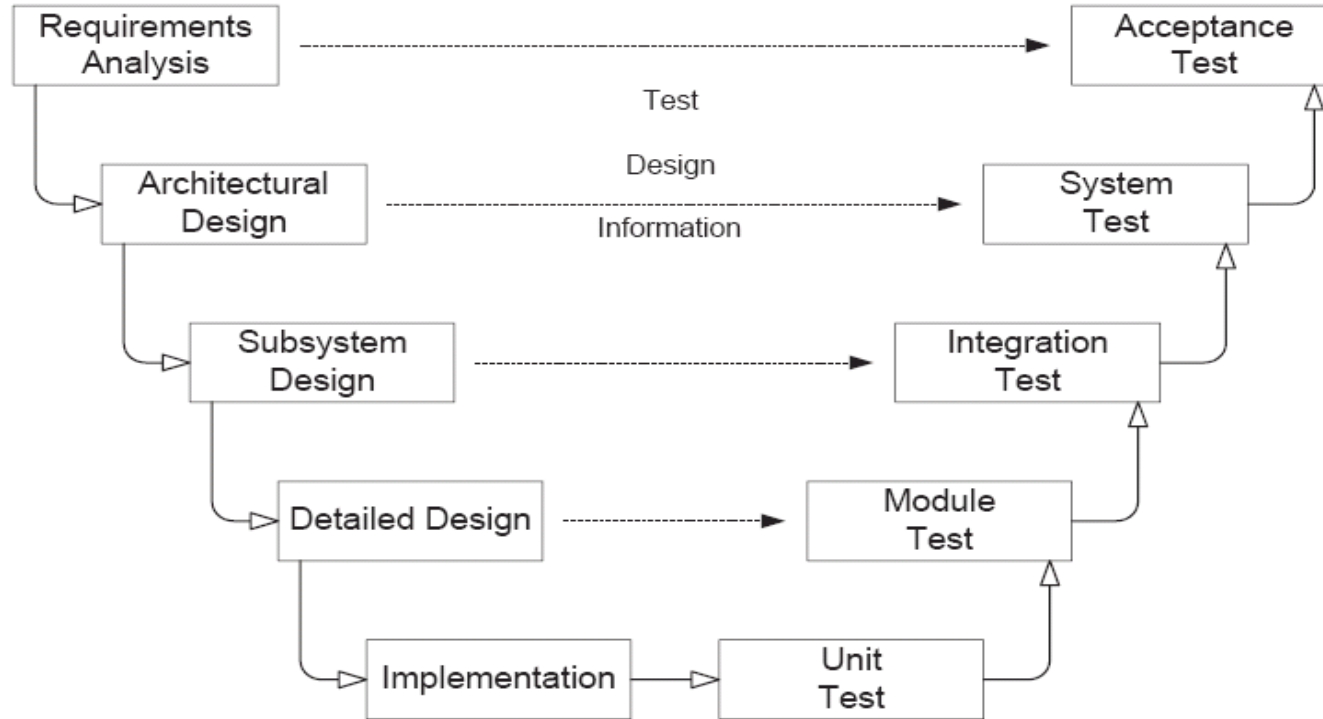
*Received the ACM Turing Award at the age of 42  
- the most prestigious computer science award*

Tests should be designed to reveal execution failures

- not execution successes
- must be augmented with proper assertions



# Software development activities and testing levels



# Recall: Three Analysis Approaches

- Static Analysis: Validate without executing the program.
  - This include software inspections.
- Dynamic Analysis: Validate by executing the program with real inputs
  - Testing
- Repository Mining: Validate by the patterns/knowledge mined from various project repositories and forums

# Software Faults, Errors & Failures

- Software Fault : A static defect in the software

**Software faults are coding mistakes and hard to eliminate**

- Software Error : An incorrect internal state that is the manifestation of some fault
- Software Failure : Observable incorrect behavior with respect to the requirements or other description of the expected behavior

# Illustrative Example

```
public static int numZero (int[] x) {  
    // Effects: if x == null throw NullPointerException  
    // else return the number of occurrences of 0 in x  
    int count = 0;  
    for (int i = 1; i < x.length; i++) {  
        if (x[i] == 0) {  
            count++;  
        }  
    }  
    return count;  
}
```

# Illustrative Example – Software Fault

```
public static int numZero (int[] x) {  
    // Effects: if x == null throw NullPointerException  
    // else return the number of occurrences of 0 in x  
    int count = 0;  
    for (int i = 1; i < x.length; i++) {  
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# Illustrative Example – Software Error

```
public static int numZero (int[] x) {  
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    for (int i = 1; i < x.length; i++) {  
        if (x[i] == 0) {  
            count++;  
        }  
    }  
    return count;  
}
```

*numZero([2, 7, 0])*

*Any failure occur?*

*Any error occur?*



# Illustrative Example – Software Error

```
public static int numZero (int[] x) {  
    // Effects: if x == null throw NullPointerException  
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        if (x[i] == 0) {  
            count++;  
        }  
    }  
}
```

*numZero([2, 7, 0])*

*Any failure occur?*

*Any error occur?*

**State error occurs at the first iteration where the state is (x=[2,7,0], count=0, i=1, PC=if). The correct state should be (x=[2,7,0], count=0, i=0, PC=if).**

# Illustrative Example – Software Error

```
public static int numZero (int[] x) {  
    // Effects: if x == null throw NullPointerException  
    // else return the number of occurrences of 0 in x  
    int count = 0;  
    for (int i = 1; i < x.length; i++) {  
        if (x[i] == 0) {  
            count++;  
        }  
    }  
    return count;  
}
```

*numZero([0, 7, 2])*

*Any failure occur?*

*Any error occur?*

Can you think of a program example and a test case that triggers a fault but causes no error and failure?

```
int square (int x) {  
    int result = x + x;  
    return result;  
}
```

*Test case: square(2)*

***Coincidental correctness*** occurs when a test triggers a fault but outputs a correct result.

# Testing & Debugging

- Testing : Finding inputs that cause the software to fail
- Debugging : The process of finding a fault given a failure

# Fault & Failure Model

## Three conditions necessary for a failure to occur

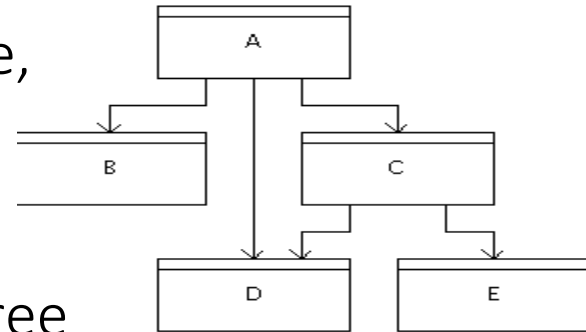
1. Reachability : The location or locations in the program that contain the fault must be reached
2. Infection : The state of the program must be incorrect
3. Propagation : The infected state must propagate to cause some output of the program to be incorrect

# Test Case (=Test Case Values + Expected Results)

- Test Case Values (a.k.a. **test inputs**) : The input values necessary to complete the intended program execution of a test case.
- Expected Results : The result that will be produced when executing the test if the program satisfies its intended behavior.
- Test Set (or **test suite**) : A set of test cases.
- Test Script : A test case that is prepared in a form for automatic execution.

# Top-Down and Bottom-Up Testing

- Top-Down Testing : Test the main procedure, then go down through procedures it calls
- Bottom-Up Testing : Test the leaves in the tree (procedures that make no calls) and move up to the root.
  - Each procedure is not tested until all of its children have been tested



# White-box and Black-box Testing

- Black-box testing : Deriving tests from external descriptions of the software, including specifications, requirements, and design
- White-box testing : Deriving tests from the source code internals of the software, specifically including branches, individual conditions, and statements



# A federal agency says an overreliance on Tesla's Autopilot contributed to a fatal crash

The National Transportation Safety Board met on Tuesday to determine the cause of May's fatal Tesla crash.

BY JOHANA BHUIYAN | @JMB00YAH | SEP 12, 2017, 11:57AM EDT



The National Transportation Safety Board said that its investigation into a fatal Tesla crash showed that the limitations of the company's automated driver system, Autopilot, played a role in the May 2016 collision.

# References

- How Google tests software? (a video by Google Engineering Director)
  - <https://vimeo.com/47959189>
- Inheritance, polymorphism and testing (a video from Google Tech Talk)
  - <http://www.youtube.com/watch?v=4F72VULWFvc&feature=relmfu>
- Your tests aren't flaky (a video from Google Tech Talk)
  - <https://www.youtube.com/watch?v=hmk1h40shaE>