COMP170 Discrete Mathematical Tools for Computer Science

Dealing with floors and ceilings in divide-and-conquer recurrences

We have seen that when n is a power of 2.

$$T(n) = \begin{cases} 2T(n/2) + n & \text{if } n \ge 2, \\ 1 & \text{if } n = 1. \end{cases}$$
 (*)

is $n(\log_2 n + 1)$. What happens when n is not a power of 2?

We have seen that when n is a power of 2.

$$T(n) = \begin{cases} 2T(n/2) + n & \text{if } n \ge 2, \\ 1 & \text{if } n = 1. \end{cases}$$
 (*)

is $n(\log_2 n + 1)$. What happens when n is not a power of 2?

Note that, when n is not a power of 2, a D&C recurrence will split n into $\lfloor n/2 \rfloor$ and $\lceil n/2 \rceil$. Eq (*) then becomes

$$T(n) = \begin{cases} T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n & \text{if } n \ge 2, \text{ (**)} \\ 1 & \text{if } n = 1. \end{cases}$$

We have seen that when n is a power of 2.

$$T(n) = \begin{cases} 2T(n/2) + n & \text{if } n \ge 2, \\ 1 & \text{if } n = 1. \end{cases}$$
 (*)

is $n(\log_2 n + 1)$. What happens when n is not a power of 2?

Note that, when n is not a power of 2, a D&C recurrence will split n into $\lfloor n/2 \rfloor$ and $\lceil n/2 \rceil$. Eq (*) then becomes

$$T(n) = \begin{cases} T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n & \text{if } n \ge 2, \text{ (**)} \\ 1 & \text{if } n = 1. \end{cases}$$

When n is a power of 2 then (**) is defined by (*).

Assume the following Theorem (to be proven later):

Theorem 1
If $n_1 \leq n_2$, then $T(n_1) \leq T(n_2)$

Assume the following Theorem (to be proven later):

Theorem 1
If $n_1 \leq n_2$, then $T(n_1) \leq T(n_2)$

Let $m=2^{i+1}$ be the smallest power of $2 \geq n$. Since the interval [n, 2n-1] contains a power of 2 we have m < 2n. So,

$$T(n) \leq T(m)$$

$$= m(1 + \log_2 m)$$

$$\leq 2n(1 + \log_2 2n)$$

$$= 2n(2 + \log_2 n)$$

Assume the following Theorem (to be proven later):

Theorem 1
If $n_1 \leq n_2$, then $T(n_1) \leq T(n_2)$

Let $m=2^{i+1}$ be the smallest power of $2 \geq n$. Since the interval [n, 2n-1] contains a power of 2 we have m < 2n. So,

$$T(n) \leq T(m)$$

$$= m(1 + \log_2 m)$$

$$\leq 2n(1 + \log_2 2n)$$

$$= 2n(2 + \log_2 n)$$

This gives us an *upper bound*.

On the other hand, $m/2 = 2^i \le n < m$. So,

$$T(n) \geq T(\frac{m}{2})$$

$$= \frac{m}{2}(1 + \log_2 \frac{m}{2})$$

$$> \frac{n}{2}(1 + \log_2 \frac{n}{2})$$

$$= \frac{n}{2}\log_2 n$$

On the other hand, $m/2 = 2^i \le n < m$. So,

$$T(n) \geq T(\frac{m}{2})$$

$$= \frac{m}{2}(1 + \log_2 \frac{m}{2})$$

$$> \frac{n}{2}(1 + \log_2 \frac{n}{2})$$

$$= \frac{n}{2}\log_2 n$$

This gives us a *lower bound*.

We have just seen that if T(n) is defined by

$$T(n) = \begin{cases} T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n & \text{if } n \geq 2, \\ 1 & \text{if } n = 1. \end{cases}$$

then (assuming that Theorem 1 is true)

$$\frac{n}{2}\log_2 n \le T(n) \le 2n(2 + \log_2 n)$$

SO

$$T(n) = \Theta(n \log n).$$

We have just seen that if T(n) is defined by

$$T(n) = \begin{cases} T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n & \text{if } n \ge 2, \\ 1 & \text{if } n = 1. \end{cases}$$

then (assuming that Theorem 1 is true)

$$\frac{n}{2}\log_2 n \le T(n) \le 2n(2 + \log_2 n)$$

SO

$$T(n) = \Theta(n \log n).$$

So, getting rid of the condition that n be a power of 2 and adding the floors and ceilings didn't really change much. The approach we have seen can, with a bit more work, be made into a general technique for getting rid of floors and ceilings

It still remains to prove Theorem 1. We will actually prove the *stronger* statement

Theorem 2

For any positive integer n, T(n) < T(n+1)

It still remains to prove Theorem 1. We will actually prove the *stronger* statement

Theorem 2

For any positive integer n, T(n) < T(n+1)

Proof: (by strong induction)

Basis: T(2) = 2 * T(1) + 2 = 4 > T(1).

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

(i) n is even: Then, for some m < n, n = 2m,

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

(i) n is even: Then, for some m < n, n = 2m,

$$T(n) = T(m) + T(m) + 2m$$
 $< T(m) + T(m+1) + (2m+1)$
 $= T(n+1)$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

(i) n is even: Then, for some m < n, n = 2m,

Def of T()

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

(i) n is even: Then, for some m < n, n = 2m,

$$T(n) = T(m) + T(m) + 2m$$
 Def of $T()$
 $< T(m) + T(m+1) + (2m+1)$ induction hyp
 $= T(n+1)$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

(i) n is even: Then, for some m < n, n = 2m,

$$T(n) = T(m) + T(m) + 2m$$
 Def of $T()$
 $< T(m) + T(m+1) + (2m+1)$ induction hyp
 $= T(n+1)$ Def of $T()$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

$$T(n) = T(m) + T(m+1) + (2m+1)$$
 $< T(m+1) + T(m+1) + (2m+2)$
 $= T(n+1)$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

$$T(n) = T(m) + T(m+1) + (2m+1)$$
 Def of $T()$
 $< T(m+1) + T(m+1) + (2m+2)$
 $= T(n+1)$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

$$T(n) = T(m) + T(m+1) + (2m+1)$$
 Def of $T()$ $< T(m+1) + T(m+1) + (2m+2)$ induction hyp $= T(n+1)$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step: There are two possibilities for n:

$$T(n) = T(m) + T(m+1) + (2m+1)$$
 Def of $T()$
 $< T(m+1) + T(m+1) + (2m+2)$ induction hyp
 $= T(n+1)$ Def of $T()$

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step

We just saw that in both cases, n even and n odd, the Hypothesis implies that T(n) < T(n+1). We have therefore proven Theorem 2.

For any positive integer n, T(n) < T(n+1)

Hypothesis: Let n > 2.

Assume that for all m < n, T(m) < T(m+1).

Step

We just saw that in both cases, n even and n odd, the Hypothesis implies that T(n) < T(n+1). We have therefore proven Theorem 2.

We are now finished since this immediately implies (why?)

Theorem 1
If $n_1 \leq n_2$, then $T(n_1) \leq T(n_2)$