
COMP5111 – Fundamentals of Software Testing and Analysis

Random Testing (Feedback Directed)



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Some slides are adapted from https://randoop.github.io/randoop/files/thesis_talk_post.pdf

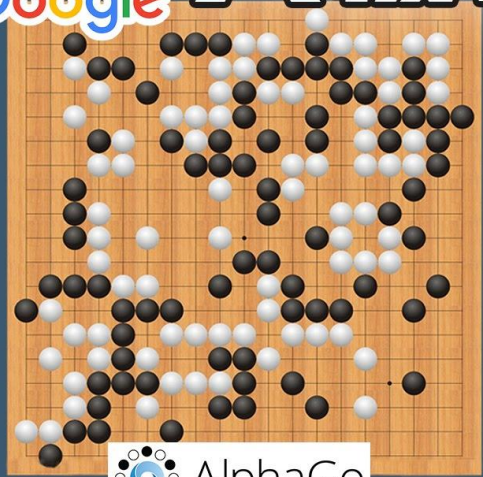
Can someone write
tests for me?



Dream of a developer...



Google 人機世紀之戰



AlphaGo

VS



李世石

3/9 (三) 12:00

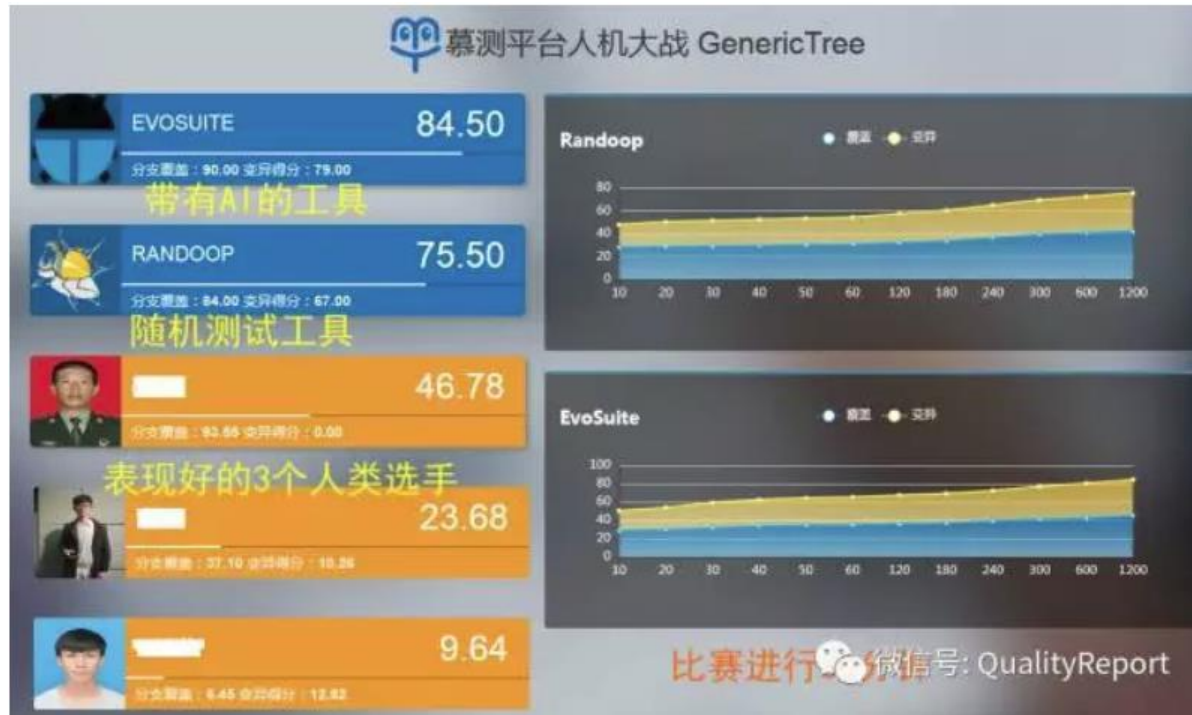
Round 1



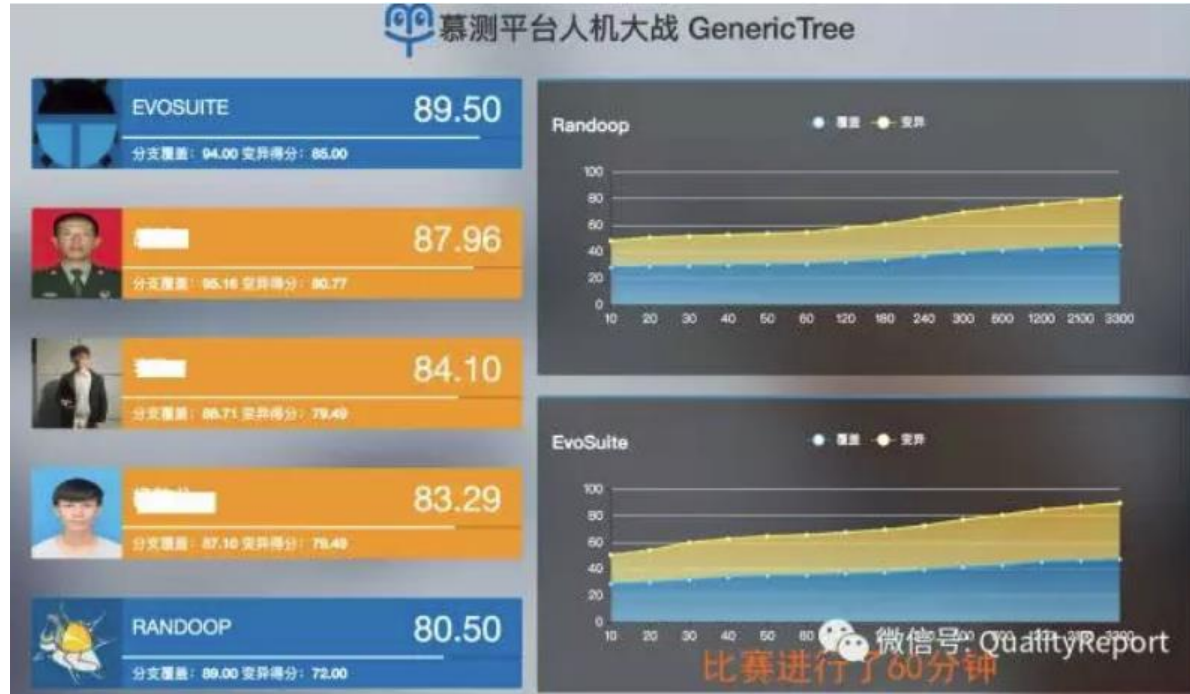
LIVE

全程 中文 直播

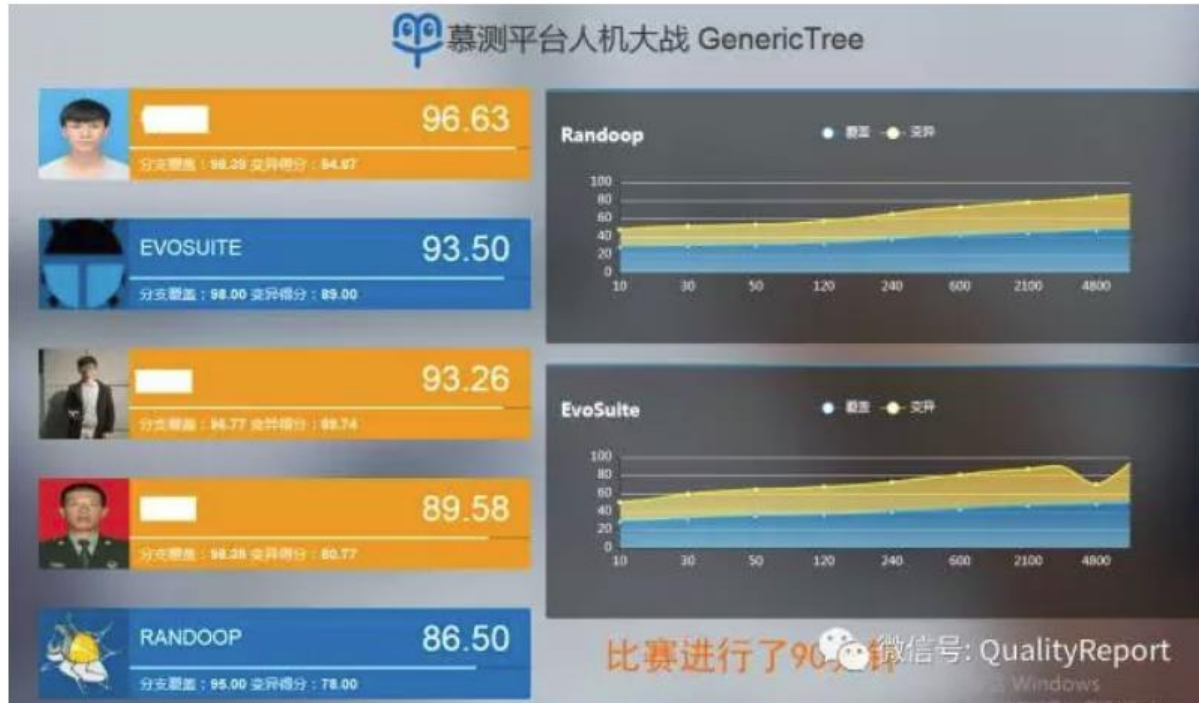
Three selected top testers among a few thousand contest participants vs two test generation algorithms in 2017



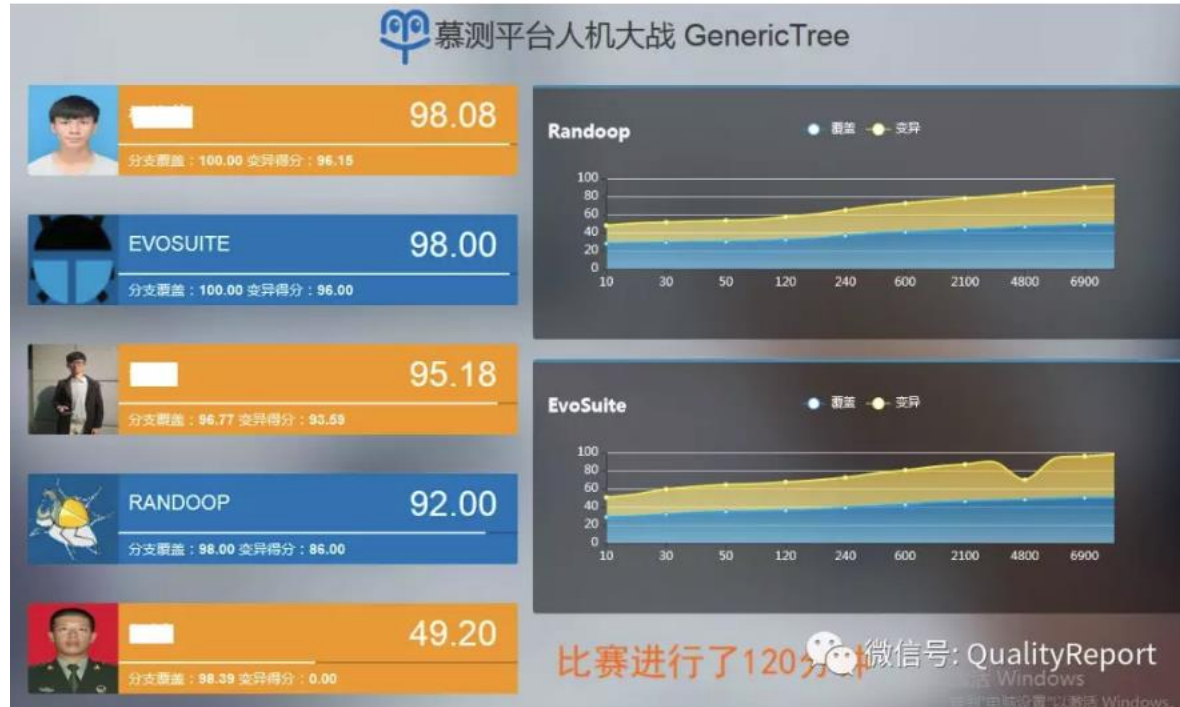
After 60 mins



After 90 mins



After 120 mins



Automatic Software Testing

- Random testing
- Symbolic analysis
- Concolic testing
- Search-based testing

Can unit tests be automatically generated without program spec?

Can generated tests detect real faults?



Random Testing

```
foo (int &x, int &y) {  
    if (x>y) {  
        x = x + y;  
        y = x - y;  
        x = x - y;  
        if (x - y > 0) {  
            assert (false); // bug  
        }  
    }  
}
```

Options:

- Random input data generation
- Random user interaction sequence
- Random data selection from database
- Combinations of all above

1st trial: x = 1321, y = 456;

2nd trial: x = -2908, y = 89;

...

nth trial: ...

Random Testing

- Mentioned first time by Glenford J. Myers in 1979.
- Popularly used by industry as fuzzing tests.



Stories

[Recent](#)[Popular](#)[Search](#)

Developers

Posted by [timothy](#)
from the running-the

Microsoft uncovered more than 1,800 bugs in Office 2010 by running millions of 'fuzzing' tests using idling PCs.

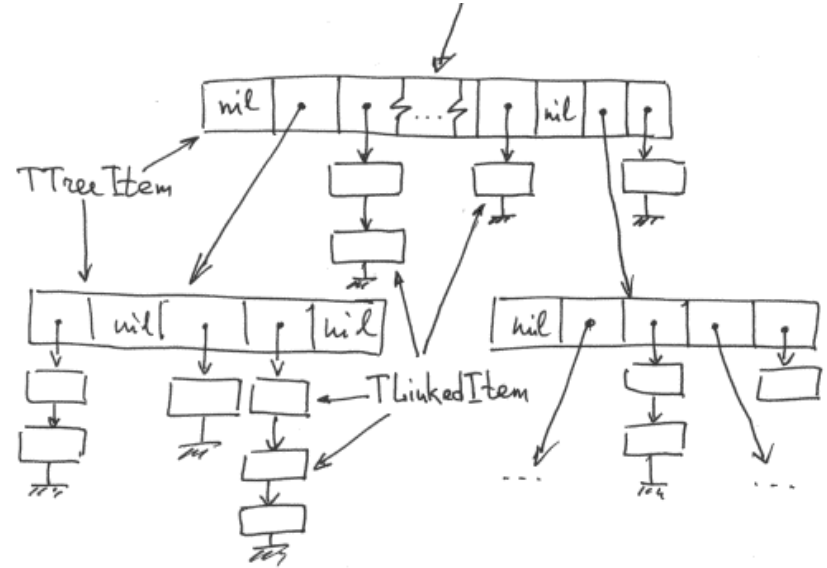
CWmike writes

"Microsoft uncovered more than 1,800 bugs in Office 2010 by tapping into the unused computing horsepower of idling PCs, a company security engineer said on Wednesday. Office developers found the bugs by running millions of 'fuzzing' tests, a practice employed by both software developers and security researchers, that searches for flaws by inserting data into file format parsers to see where programs fail by crashing. 'We found and fixed about 1,800 bugs in Office 2010's code,' said Tom Gallagher, senior security test lead with Microsoft's Trustworthy Computing group, who last week co-hosted a presentation on Microsoft's fuzzing efforts at the CanSecWest security conference. 'While a large number, it's important to note that that doesn't mean we found 1,800 security issues. We also want to fix things that are not security concerns.'"



Random Unit Test Generators


- Challenge 1: Generate complex data structures
- Challenge 2: Avoid generating redundant tests
- Challenge 3: Generate test oracles (i.e., the assert statements)



A Polynomial Library

Dynamic data structure

```
class Poly {  
    List <Mono> elements;  
    Poly() { ... }  
    Poly plus(Mono m) { ... }  
    Poly mult(Poly p) { ... }  
    Poly sum(Poly p) { ... }  
    Poly deriv() { ... }  
    ...  
}
```



```
class Mono {  
    int num, den, exp;  
    Mono(int num, int den, int exp) {  
        ...  
    }  
}
```

$$\frac{num}{den} x^{\text{exp}}$$

How can we generate tests with complex data structures?

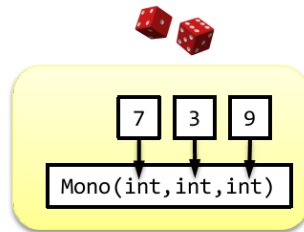
Insight: Complex data structures can be built incrementally from primitive values



Common Generation Strategy

operation	input	output
Mono(int,int,int)	3 ints	a new Mono
Poly()	none	a new Poly
Poly plus(Mono)	a Poly, a Mono	a new Poly

random terms



```
public void test1() {  
    p = new Poly()  
        .mult(new Poly());  
  
    checkInvariant(p);  
}
```

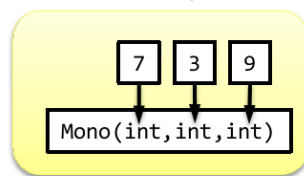
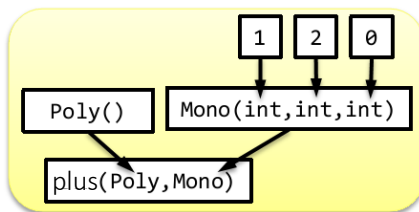
```
public void test2() {  
    p = new Poly()  
        .plus(new Mono(1,2,0));  
  
    checkInvariant(p);  
}
```

```
public void test3() {  
    m = new Mono(7,3,9);  
  
    checkInvariant(m);  
}
```

Common Generation Strategy

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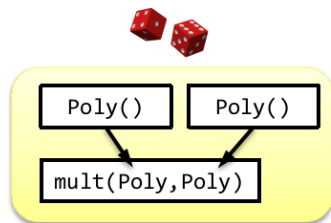
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public void test3() {  
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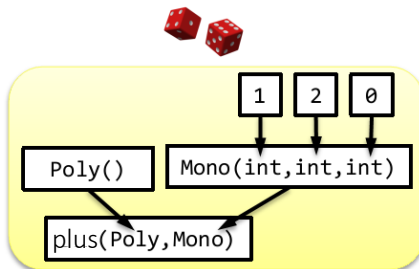
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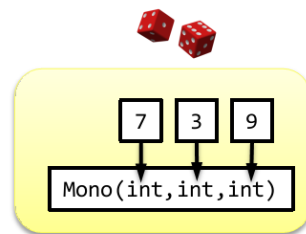
random terms



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}
```



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public void test2() {  
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}
```



```
public void test3() {  
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    checkInvariant(m);  
}
```

How can we build complex data structures mechanically?

Insight: Feed generated data structures back to a repository



Feedback Directed Random Test Generator

- Carlos Pacheco [ICSE 2007, ISSTA 2008]
- Randoop (<https://code.google.com/p/randoop/>)
- Able to reveal unknown faults in widely used libraries

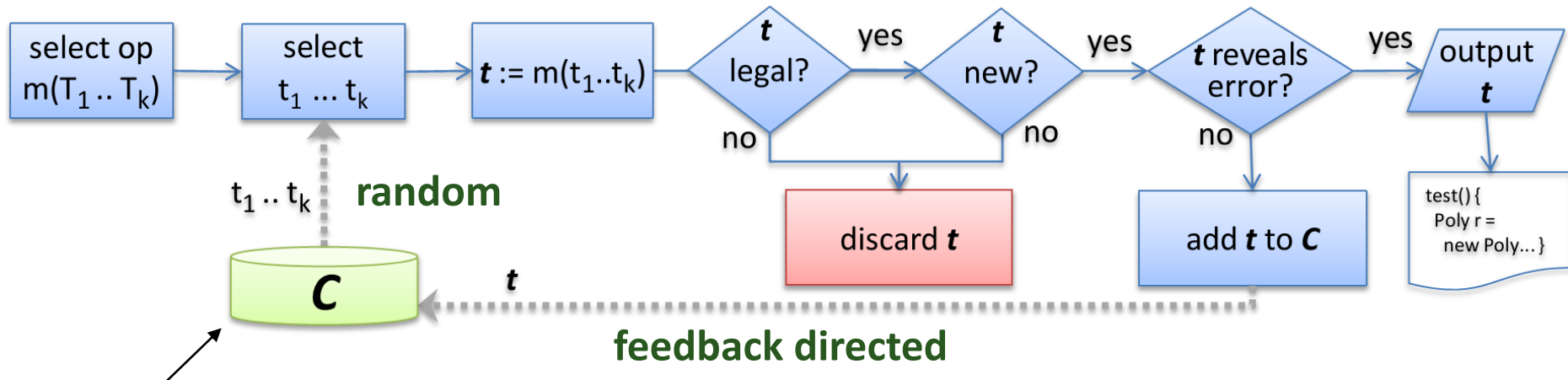
distinct errors revealed (Java)

code base	Randoop	JPF (model checker)	JCrasher (random tester)
Sun JDK (272 classes,43KLOC)	8	0	1
Apache libraries (974 classes, 114KLOC)	6	1	0

distinct errors revealed (.NET)

code base	Randoop	symbolic execution unit test generator
.NET library (1439 classes, 185KLOC)	30	0

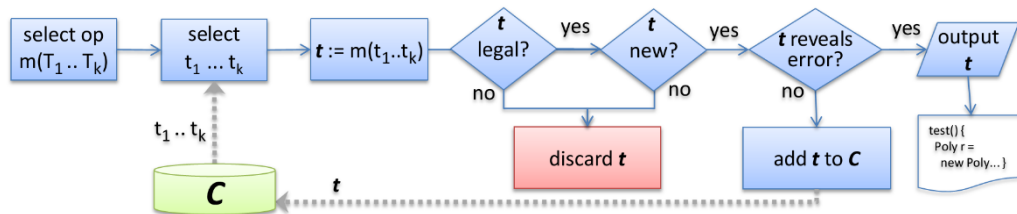
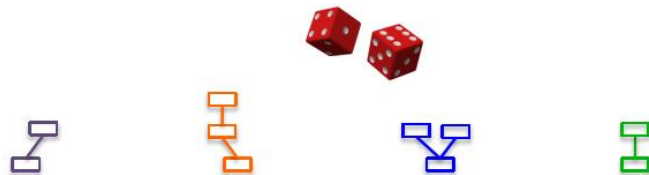
Feedback Directed Random Test Generator



- C is a repository containing possible terms used by the Test Generator.

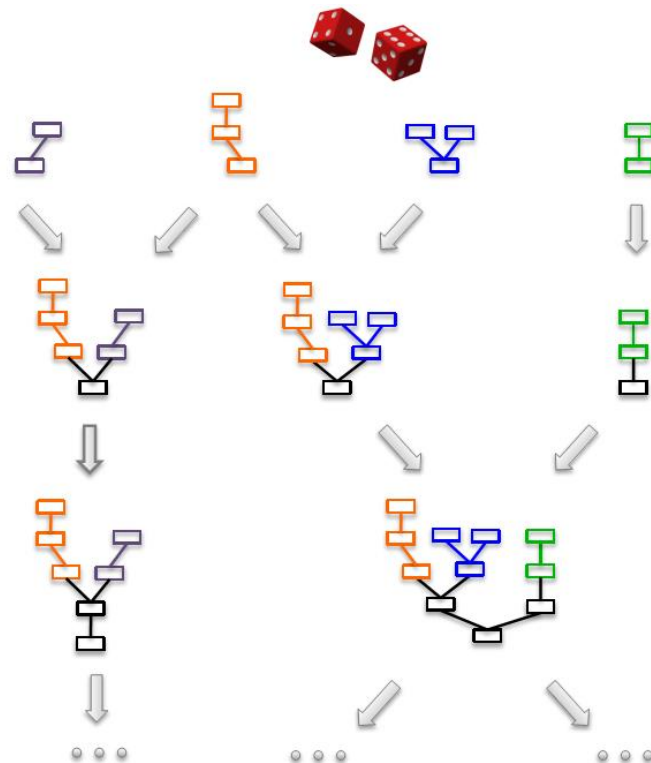
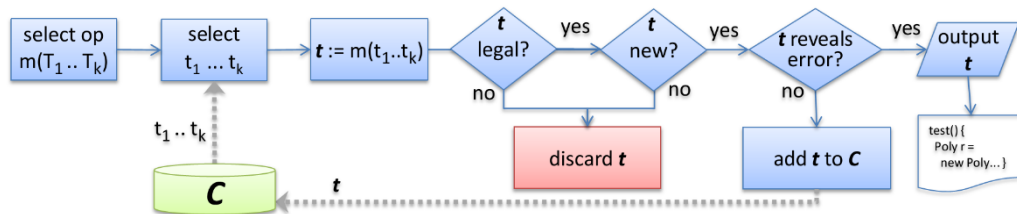
Feedback Directed Random Test Generator

- Generate tests with simple inputs randomly from repository C .

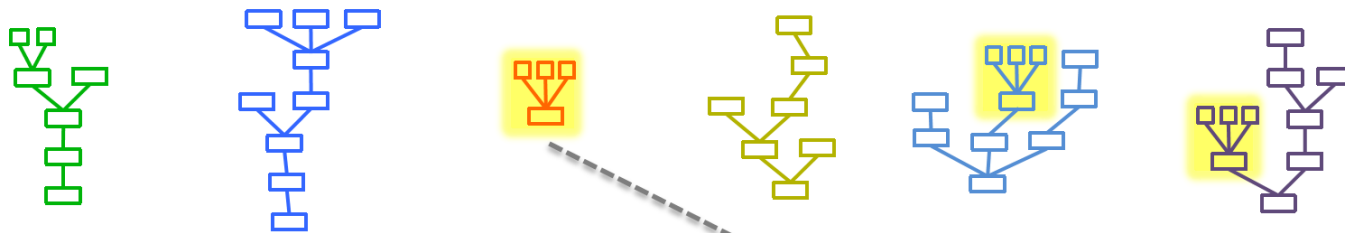


Feedback Directed Random Test Generator

- Generate tests with simple inputs randomly from repository C .
- Build new test inputs incrementally from previous ones.



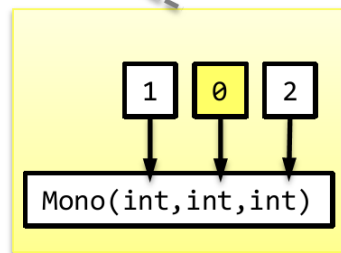
But ...



generates useless inputs

› illegal, repetitive

$$\frac{num}{den} x^{exp}$$



illegal input to Mono

throws IllegalArgumentException

Mono(int num, int den, int exp) { ... }
Expects den \neq 0 and exp \geq 0



Input Space Pruning

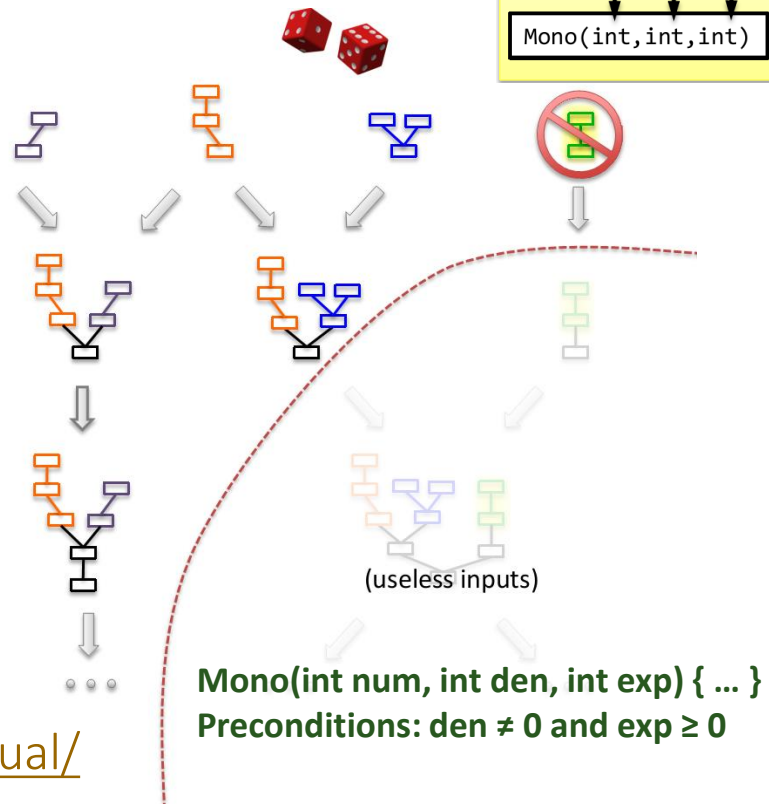
How can we avoid redundant tests?

Insight: Prune the input space with pre-conditions and equivalence



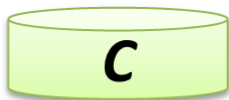
Pruning Input Space

- Executes inputs
- Discards the ones useless for extension
 - ❑ illegal, redundant
- Prune input space
 - ❑ Specify pre-conditions on method parameters
 - ❑ See method pre-conditions at <https://randoop.github.io/randoop/manual/>



Example: Mono(int, int, int)

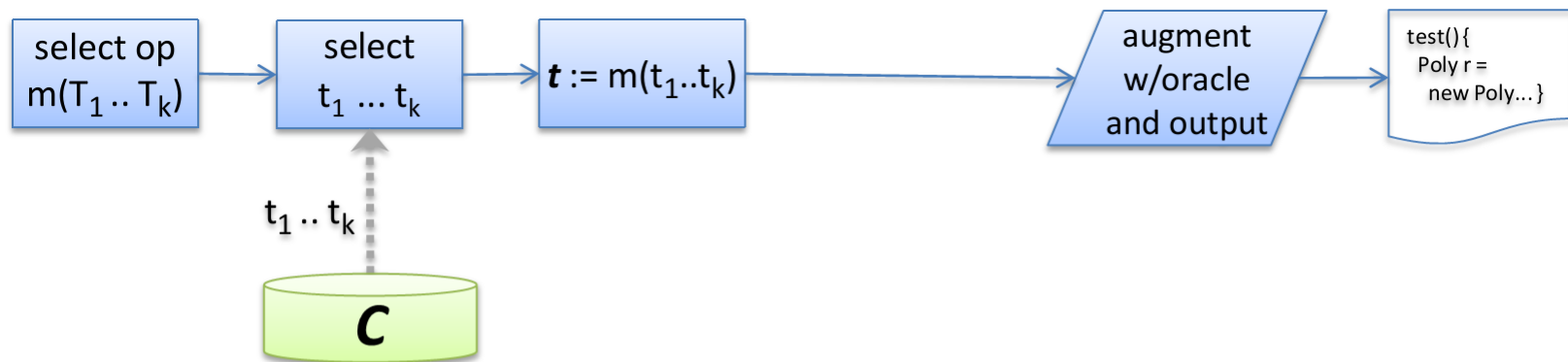
select op
 $m(T_1..T_k)$



component set of terms

$C = \{ \emptyset, 1, 2, \text{null}, \text{false}, \text{etc.} \}$

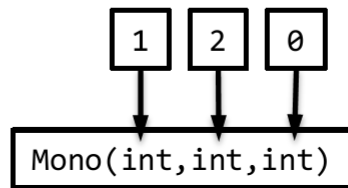
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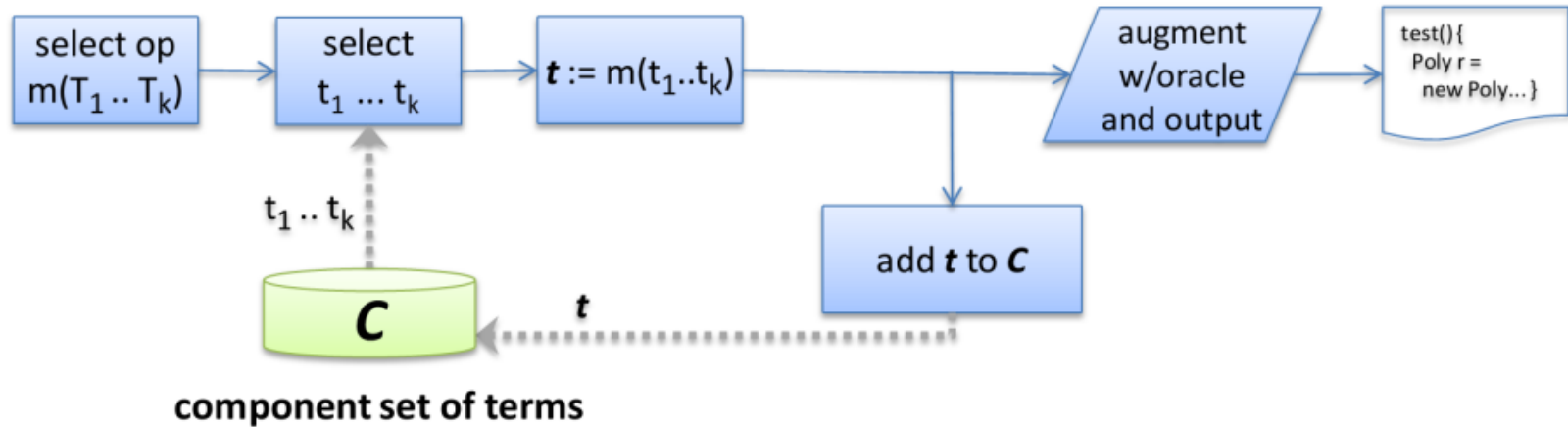
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Example:

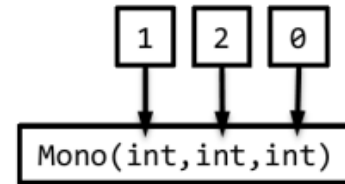


Example: Mono(int, int, int)

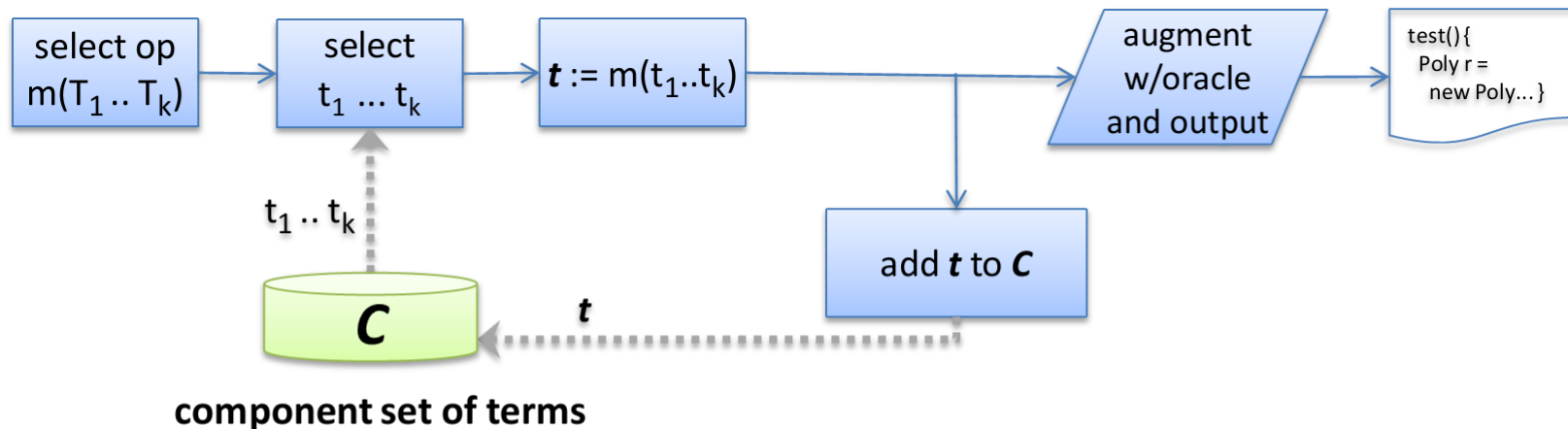


$C = \{ \emptyset, 1, 2, \text{null}, \text{false}, \text{Mono}(1, 2, \emptyset) \}$

Example:



Example: Poly()

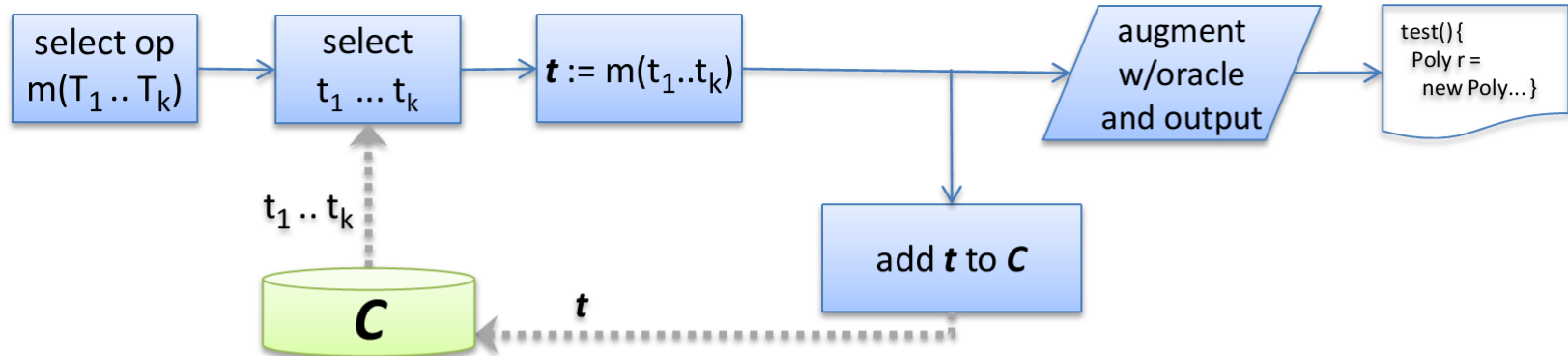


$C = \{\emptyset, 1, 2, \text{null}, \text{false}, \text{Mono}(1,2,\emptyset), \text{Poly}() \}$

Example:

Poly()

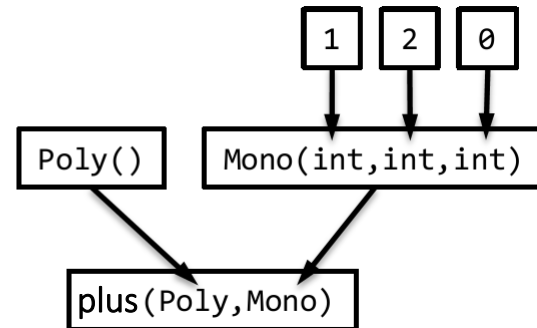
Example: plus(Poly, Mono)



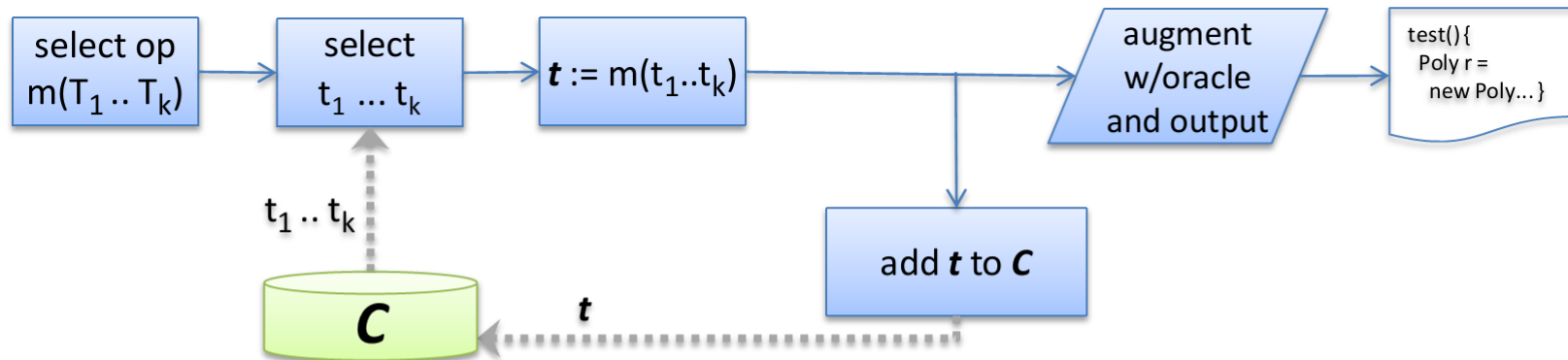
component set of terms

$C = \{ \emptyset, 1, 2, \text{null}, \text{false}, \text{Mono}(1,2,\emptyset), \text{Poly}() \}$

Example:



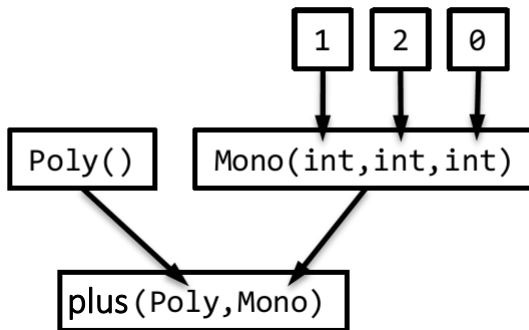
Example: plus(Poly, Mono)



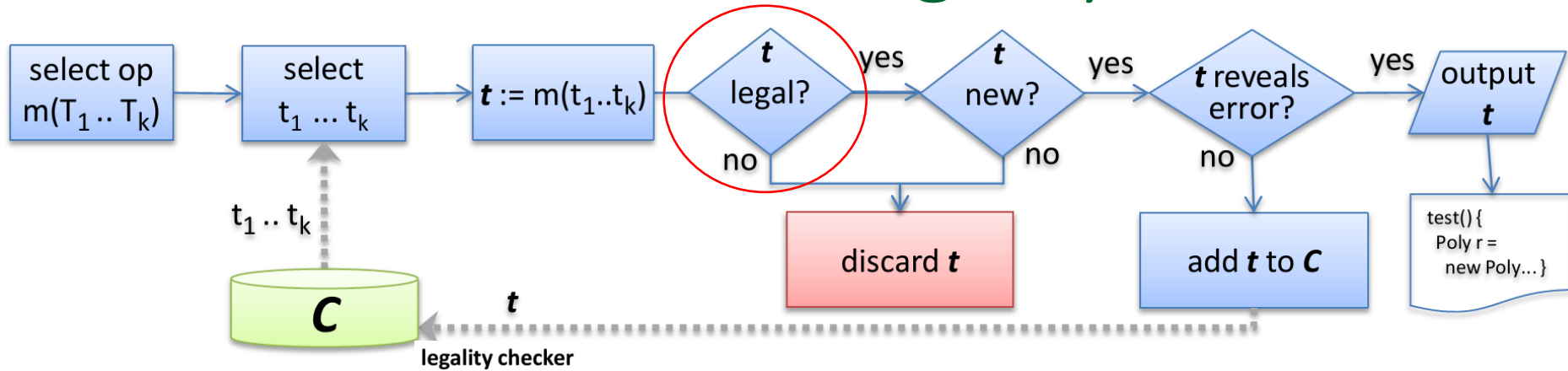
component set of terms

$C = \{ \emptyset, 1, 2, \text{null}, \text{false}, \text{Mono}(1,2,\emptyset), \text{Poly}(), \text{plus}(\text{Poly}(), \text{Mono}(1,2,\emptyset)) \}$

Example:



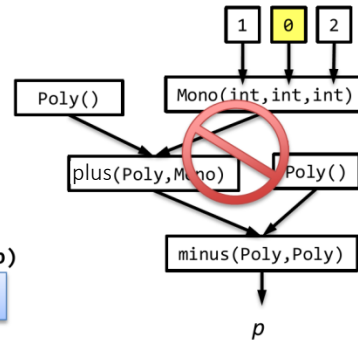
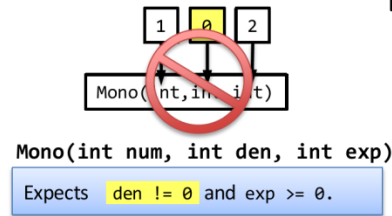
Guided Generator - Legality



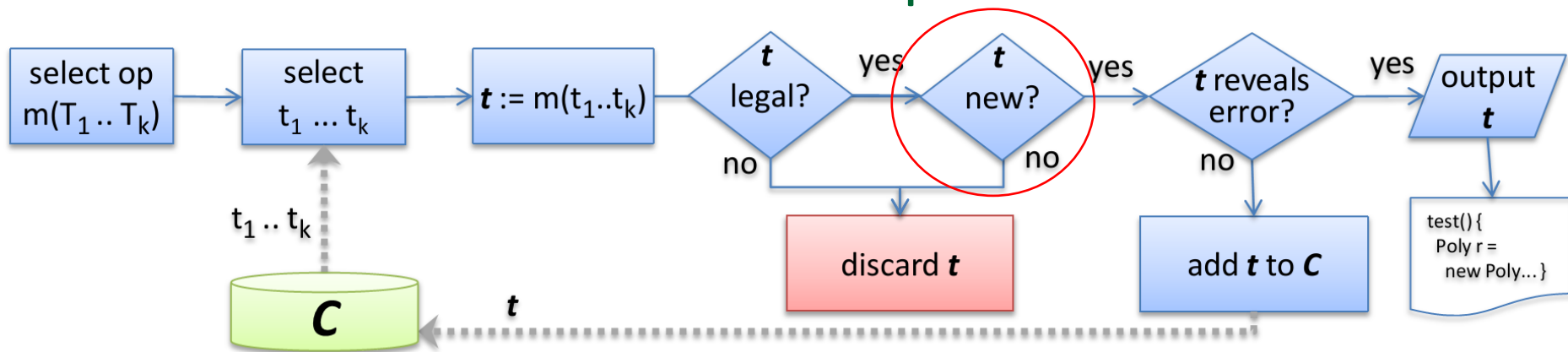
legality checker

- › determines if a term is legal/illegal
- › discard illegal terms

Violate pre-conditions of $m()$



Guided Generator - Equivalence



- Determines if two terms are equivalent
 - e.g., `Mono(1,2,1)` and `Mono(2,4,1)`
- Discard a term if equivalent to one in C
- Implement equivalence as `t.equals(t')`

Applied Randoop to 13 libraries

- › built-in oracles
- › heuristic guidance
- › default time limit
(2 minutes/library)

Outputs one test per violating method

.NET libraries specification:

"no method should throw NPEs, assertion violations, or IllegalMemAccess exception"

	library	LOC	classes	tests output	errors revealed
JDK	java.util	39K	204	20	6
	java.xml	14K	68	12	2
Apache commons project	chain	8K	59	20	0
	collections	61K	402	67	4
	jelly	14K	99	78	0
	logging	4K	9	0	0
	math	21K	111	9	2
	primitives	6K	294	13	0
.NET	mscorlib	185K	1439	19	19
	system.data	196K	648	92	92
	system.security	9K	128	25	25
	system.xml	150K	686	15	15
	web.services	42K	304	41	41
TOTAL		750K	4451	411	206

How can we define test oracles?

Insight: Define generic oracles and regression oracles



Five Built-in Oracles

- Contracts over `Object.equals()`
 - Reflexivity: `o.equals(o) == true`
 - Symmetry: `o1.equals(o2) == o2.equals(o1)`
 - Transitivity: `o1.equals(o2) && o2.equals(o3)` implies `o1.equals(o3)`
 - Equals to null: `o.equals(null) == false`
 - It does not throw an exception

Five Built-in Oracles

- Contracts over `Object.hashCode()`
 - `o1.equals(o2) == true` implies `o1.hashCode() == o2.hashCode()`
 - It does not throw an exception
- Contracts over `Object.toString()`
 - It does not return null
 - It does not throw an exception

Five Built-in Oracles

- Contracts over `Object.clone()`
 - It does not throw an exception, including `CloneNotSupportedException`
 - It does not throw an exception

Five Built-in Oracles

- Contracts over `Comparable.compareTo()` and `Comparator.compare()`
 - Reflexivity: `o.compareTo(o) == 0`
 - Anti-symmetry: `sgn(o1.compareTo(o2)) == -sgn(o2.compareTo(o1))`
 - Transitivity: `o1.compareTo(o2) > 0 && o2.compareTo(o3) > 0` implies `o1.compareTo(o3) > 0`
 - Substitutability of equals: `x.compareTo(y) == 0` implies `sgn(x.compareTo(z)) == sgn(y.compareTo(z))`
 - Consistency with equals(): `x.compareTo(y) == 0` implies `x.equals(y)`
 - It does not throw exception

Regression Test Oracle

- Generate assertion using the current test output

```
public class ClassExampleWithFailure {  
    public static int twice(int x) { return x+x;}  
    public static int foo(int x, int y) {  
        int z = twice(x);  
        if (z == 144 && y > 20) {  
            assert(false); // assert failure  
        }  
        return y*z;  
    }  
}
```

```
@Test  
    public void test022() throws Throwable {  
        ...  
        int i2 = ClassExampleWithFailure.foo(24832, 388);  
        org.junit.Assert.assertTrue(i2 == 19269632);  
    }
```

Evaluation and Industry Adoption

Comparison

JDK

- › 6 methods that create objects violating reflexivity of equality
- › 2 well-formed XML objects cause `hashCode/toString` NPEs

Apache

- › 6 constructors leave fields unset, leading to NPEs

.NET

- › 175 methods throw forbidden exceptions
- › 7 methods that violate reflexivity of `equals`

.NET

- › library hangs given legal sequence of calls

without guidance

none revealed

66% fewer revealed

70% fewer revealed

not revealed

Comparison

- Why is Randoop more effective?
 - Prune useless inputs
 - Generates longer tests
 - Regression oracles

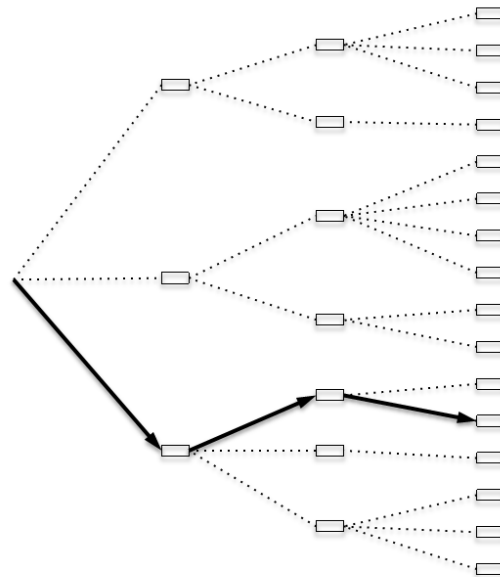
Test Length vs. Test Effectiveness

random testing is more effective when generating **long chains** of operations

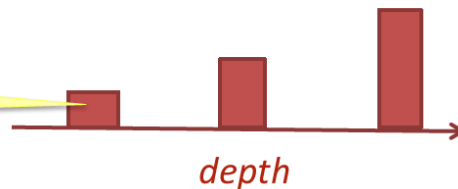
```
m=Mono(1,1,1)
```

```
p=Poly()
```

```
p2=p.add(m)
```



chances of an operation revealing an error



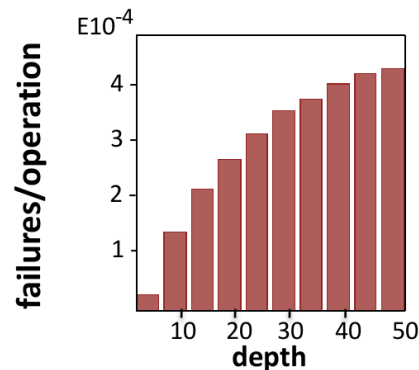
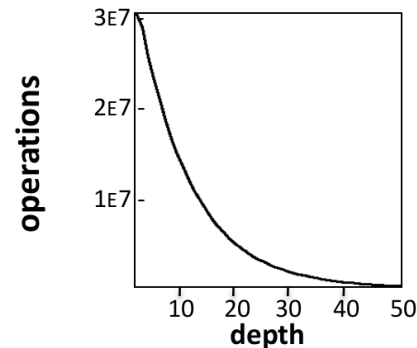
An Experiment

- Pure random testing
 - Start from empty sequence
 - Take random steps
 - Restart if error or exception
- Exercise 10M operations per library
 - Take several days

library	classes	LOC
java.util	204	39K
collections	402	61K
primitives	294	6K
trove	336	87K
jace	164	51K

Results

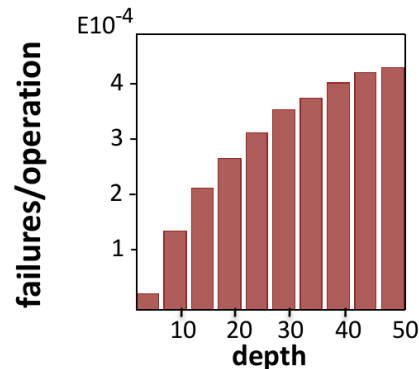
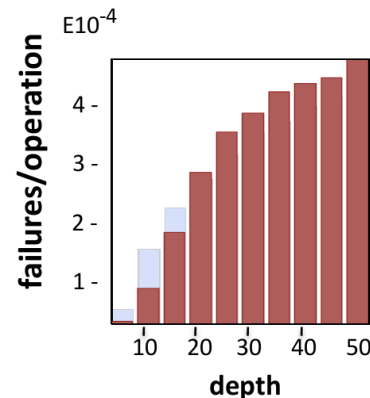
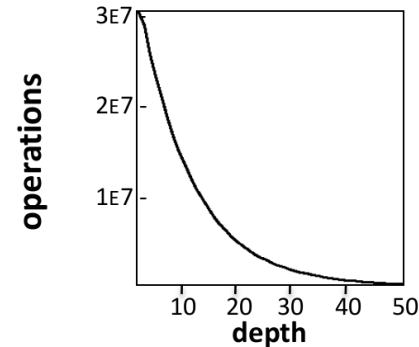
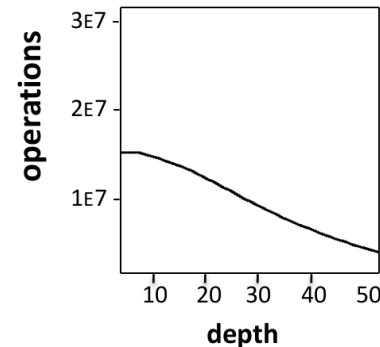
- Fail to create long chains (due to exceptions)
- Failure rate is higher at greater depths
- Ineffective → performs most operations where failure rate is the lowest



pure random

Using Randoop (i.e., Feedback Directed Random)

- More tests with longer chains
- Able to reveal more failures (under the same budget)



Randoop

pure random

Comparison

library	random walk	Randoop only leg.	Randoop	Include also equivalence checking Randoop
java.util	20	21	27	Failures detected
collections	28	37	48	
primitives	16	13	19	
trove	20	27	27	
jace	15	15	26	
TOTAL	99	113	147	

Other findings ...

Case study [ISSTA 2008]

- Microsoft test team
- Randoop (.NET version)
- Applied to highly-tested library
 - Tested over 5 years by 40 engineers

- *Can generated tests detect real bugs?*
- *Is automated test generation cost effective?*



Findings: revealed more errors in 15 hours than the team typically discovers in 1 person-year of effort

Case Study Statistics

■ Facts

- ❑ Human time interacting with Randoop: 15 hours
- ❑ CPU time running Randoop: 150 hours
- ❑ Total distinct method sequences: 4 million
- ❑ New errors revealed: 30

**Interacting with Randoop
Inspecting the resulting tests
Discarding redundant failures**

■ Randoop

- ❑ 30 new errors in 15 hours of human effort
- ❑ 1 new error for ½ hour effort

■ Existing team methods

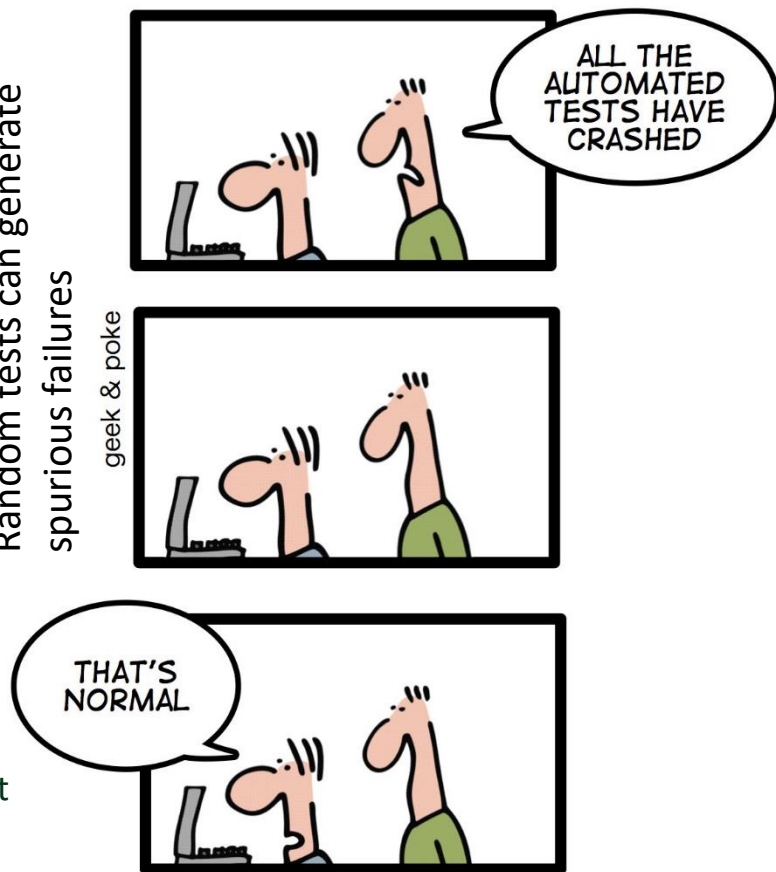
- ❑ 20 new errors per year
- ❑ 1 new error for 100 hours human effort

Limitations

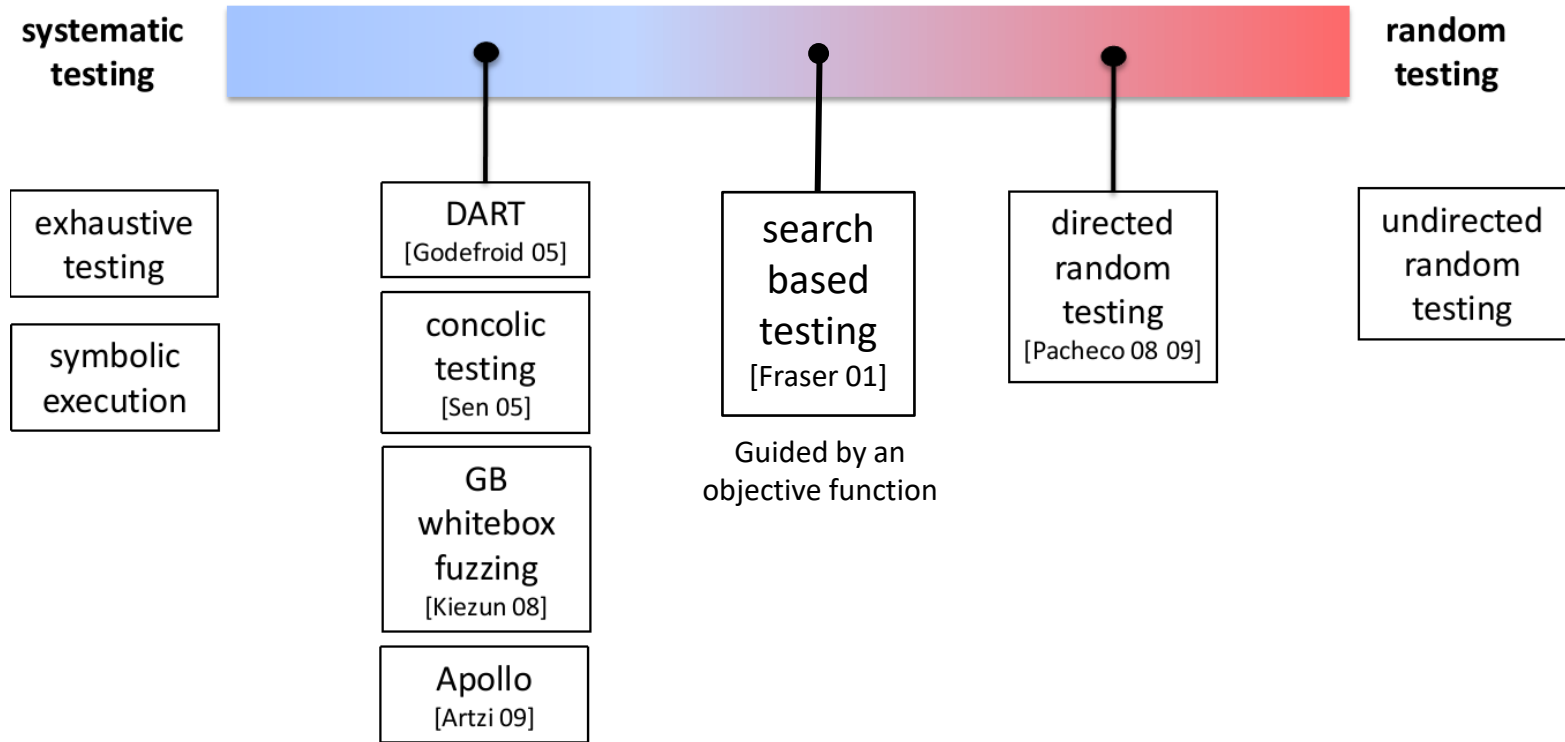
- Can generate spurious failures
 - Unaware of implicit pre-conditions*
- Weak assert statements
- Low coverage ($< 50\%$) for reactive programs
 - Android apps, GUI applications
 - Not driven by coverage

*Mijung Kim, Shing-Chi Cheung, Sunghun Kim. Which Generated Test Failures Are Fault Revealing? Prioritizing Failures Based on Inferred Precondition Violations using PAF. In ESEC/FSE 2018.

Random tests can generate
spurious failures
geek & poke



Spectrum of Testing Techniques



Using Randoop

<https://randoop.github.io/randoop/manual/index.html>

Video: <https://www.youtube.com/watch?v=nPdb-72-EJY>

Further Readings

- Other popular random testing tools
 - Jubula for GUI testing (<http://www.eclipse.org/jubula/>)
 - Monkey & Stocat for Android software testing
(<http://developer.android.com/tools/help/monkey.html>)
(<https://github.com/tingsu/Stocat>)
 - Sapienz for Android random testing (<https://github.com/Rhapsod/sapiens>)
 - Facebook prototype to be replaced by an official release in 2019
- API invariance inference
 - Robillard, M.P.; Bodden, E.; Kawrykow, D.; Mezini, M.; Ratchford, T.,
"Automated API Property Inference Techniques," *IEEE Transactions on Software Engineering*, vol.39, no.5, pp.613-637, May 2013.