

COMP170

Discrete Mathematical Tools for Computer Science

Binomial Coefficients

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Discrete Math for Computer Science

K. Bogart, C. Stein and R.L. Drysdale

Section 1.3, pp. 19-26

1.3 Binomial Coefficients

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- Pascal's Triangle

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- A Proof using the Sum Principle

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- Pascal's Triangle
- A Proof using the Sum Principle
- The Binomial Theorem
- Labeling and Trinomial Coefficients

Some properties of Binomial Coefficients

- $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ is the number of k -element subsets of an n -element set.
- $\binom{n}{0} = 1$ only one set of size 0.
- $\binom{n}{n} = 1$ only one set of size n .
- $\binom{n}{k} = \binom{n}{n-k}$ Obvious from equation. Can you think of a simple bijection that explains this?

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Use Sum Principle

Let P = set of all subsets of $\{1, 2, \dots, n\}$

S_i = set of all i subsets of $\{1, 2, \dots, n\}$

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$$\sum_{i=0}^n \binom{n}{i} = 2^n$$

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$$\Rightarrow |P| = \sum_{i=0}^n |S_i| = \sum_{i=0}^n \binom{n}{i}$$

Some properties of Binomial Coefficients (cont)

$$\sum_{i=0}^n \binom{n}{i} = 2^n$$

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$$\Rightarrow |P| = \sum_{i=0}^n |S_i| = \sum_{i=0}^n \binom{n}{i}$$

Let $L = L_1 L_2 \dots L_n$ be a list of size n from $\{0, 1\}$

If \mathcal{L} = set of all such lists $\Rightarrow |\mathcal{L}| = 2^n$

There is a *bijection* (next page) between \mathcal{L} and P so

$|P| = 2^n$ and we are done.

Let P = set of all subsets of $\{1, 2, \dots, n\}$

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and \mathcal{L} = set of all such lists

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Let $L = L_1 L_2 \dots L_n$ be a list of size n from $\{0, 1\}$
and $\mathcal{L} =$ set of all such lists

Define the following function $f : \mathcal{L} \rightarrow P$

If $L \in \mathcal{L}$ then $f(L)$ is the set $S \subseteq \{1, 2, \dots, n\}$ defined by

$$i \in S \Leftrightarrow L_i = 1$$

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Ex: $n = 5$

$$f(10101) = \{1, 3, 5\}, \quad f(11101) = \{1, 2, 3, 5\}, \quad f(00000) = \emptyset.$$

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Note: L is sometimes called the incidence vector or membership vector associated with L

Example: $n = 4$, $S = \{1, 2, 3, 4\}$

$$P = \left\{ \begin{array}{cccccc} & \{1\} & \{1, 2\} & \{1, 3\} & \{1, 2, 3\} & \{1, 2, 3, 4\} \\ \{\} & \{2\} & \{1, 4\} & \{2, 3\} & \{1, 2, 4\} & \\ & \{3\} & \{2, 4\} & \{3, 4\} & \{1, 3, 4\} & \\ & \{4\} & & & \{2, 3, 4\} & \end{array} \right\}$$

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$$P = \{S_0, S_1, S_2, S_3, S_4\}$$

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$$|S_0| = \binom{4}{0}, |S_1| = \binom{4}{1}, |S_2| = \binom{4}{2}, |S_3| = \binom{4}{3}, |S_4| = \binom{4}{4}$$

Example: $n = 4$, $S = \{1, 2, 3, 4\}$

$$P = \left\{ \begin{array}{l} \{\} \\ \{1\} \\ \{2\} \\ \{3\} \\ \{4\} \\ \{1, 2\} \\ \{1, 3\} \\ \{1, 2, 3\} \\ \{1, 2, 3, 4\} \\ \{1, 4\} \\ \{2, 3\} \\ \{1, 2, 4\} \\ \{2, 4\} \\ \{3, 4\} \\ \{1, 3, 4\} \\ \{2, 3, 4\} \end{array} \right\}$$

$$\begin{aligned} |P| &= |S_0| + |S_1| + |S_2| + |S_3| + |S_4| \\ &= \binom{4}{0} + \binom{4}{1} + \binom{4}{2} + \binom{4}{3} + \binom{4}{4} \\ &= 2^4 = 16 \end{aligned}$$

Binomial Coefficients

Binomial Coefficients

$n \backslash k$	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

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Each row begins with a 1
because $\binom{n}{0} = 1$

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Each row increases at first and then decreases.
(will see why in homework)

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Second half of each row is the reverse of the first half.

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Each row increases at first and then decreases.
(will see why in homework)

Second half of each row is the reverse of the first half.

Sum of items on n^{th} row is 2^n

Pascal's Triangle

Pascal's Triangle

Take the table

$n \backslash k$	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

Pascal's Triangle

Take the table

and shift each row slightly
so that middle element is
in middle

$n \backslash k$	0	1	2	3	4	5	6
0	1						
1	1	1					
2	1	2	1				
3	1	3	3	1			
4	1	4	6	4	1		
5	1	5	10	10	5	1	
6	1	6	15	20	15	6	1

				1				
			1		1			
		1		2		1		
	1	3		3		1		
	1	4		6		4		1
1	5	10		10		5		1
1	6	15	20	15	6		1	

			1			
		1		1		
	1		2		1	
	1	3		3	1	
	1	4	6	4	1	
1	5	10	10	5	1	
1	6	15	20	15	6	1

What is the next row in the table?

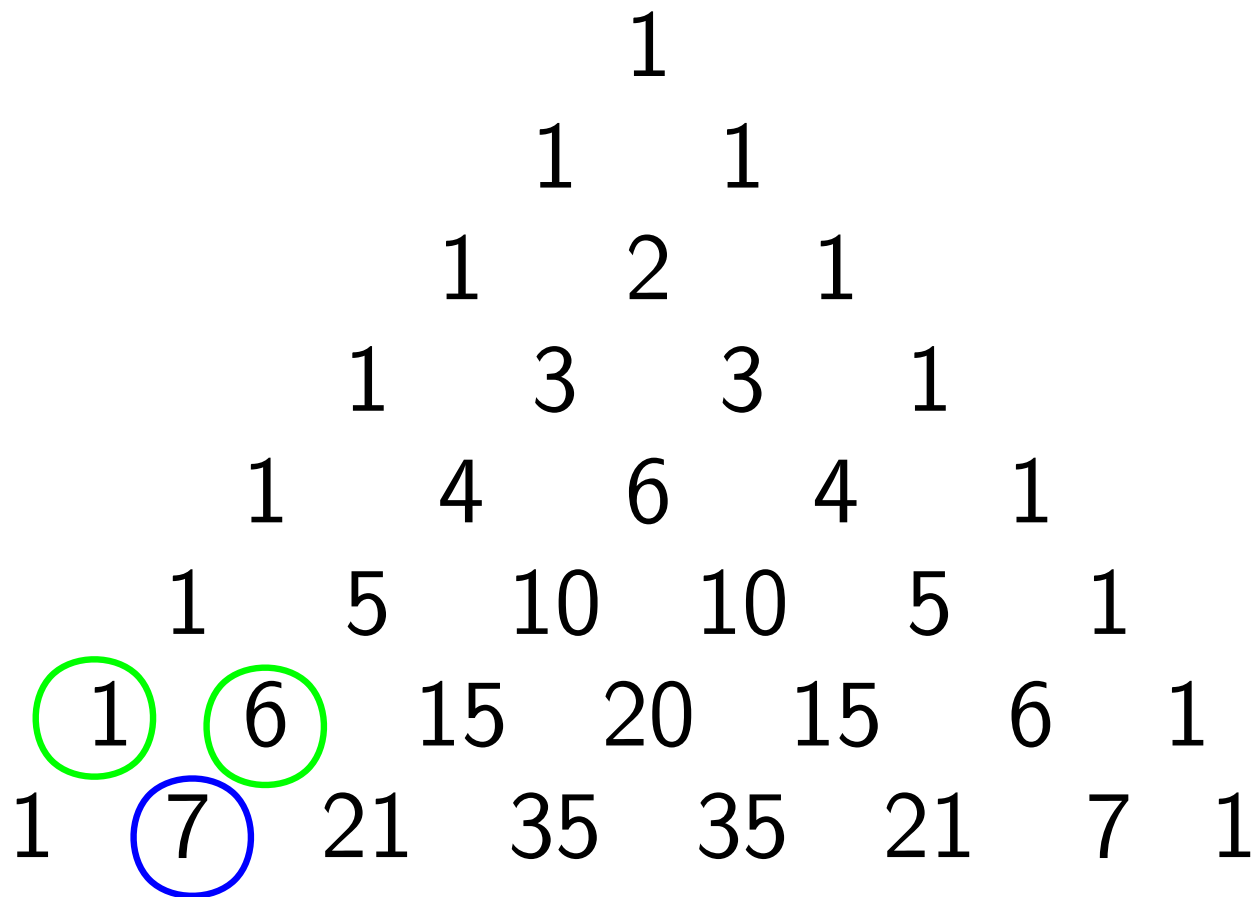
				1				
			1		1			
		1		2		1		
	1		3		3		1	
	1	4		6		4	1	
1	5	10		10		5	1	
1	6	15	20	15	6	1		
1	7	21	35	35	21	7	1	

What is the next row in the table?

				1					
			1		1				
		1		2		1			
	1		3		3		1		
	1	4		6		4	1		
1		5	10		10	5		1	
1	6		15	20		15	6	1	
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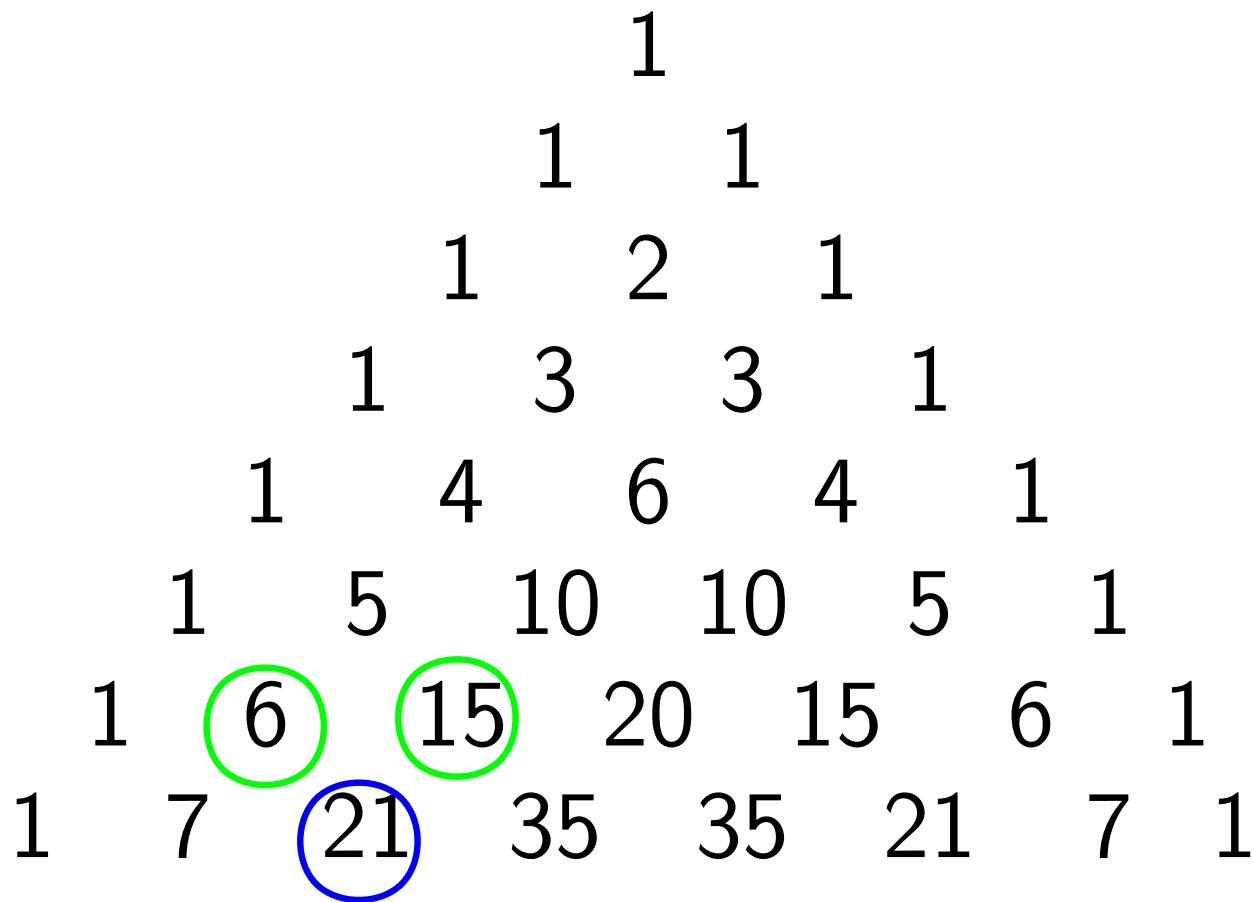
Pascal relationship

Each (non-1) **entry** in Pascal's Triangle is the sum of the two entries directly above it (to left and to right).



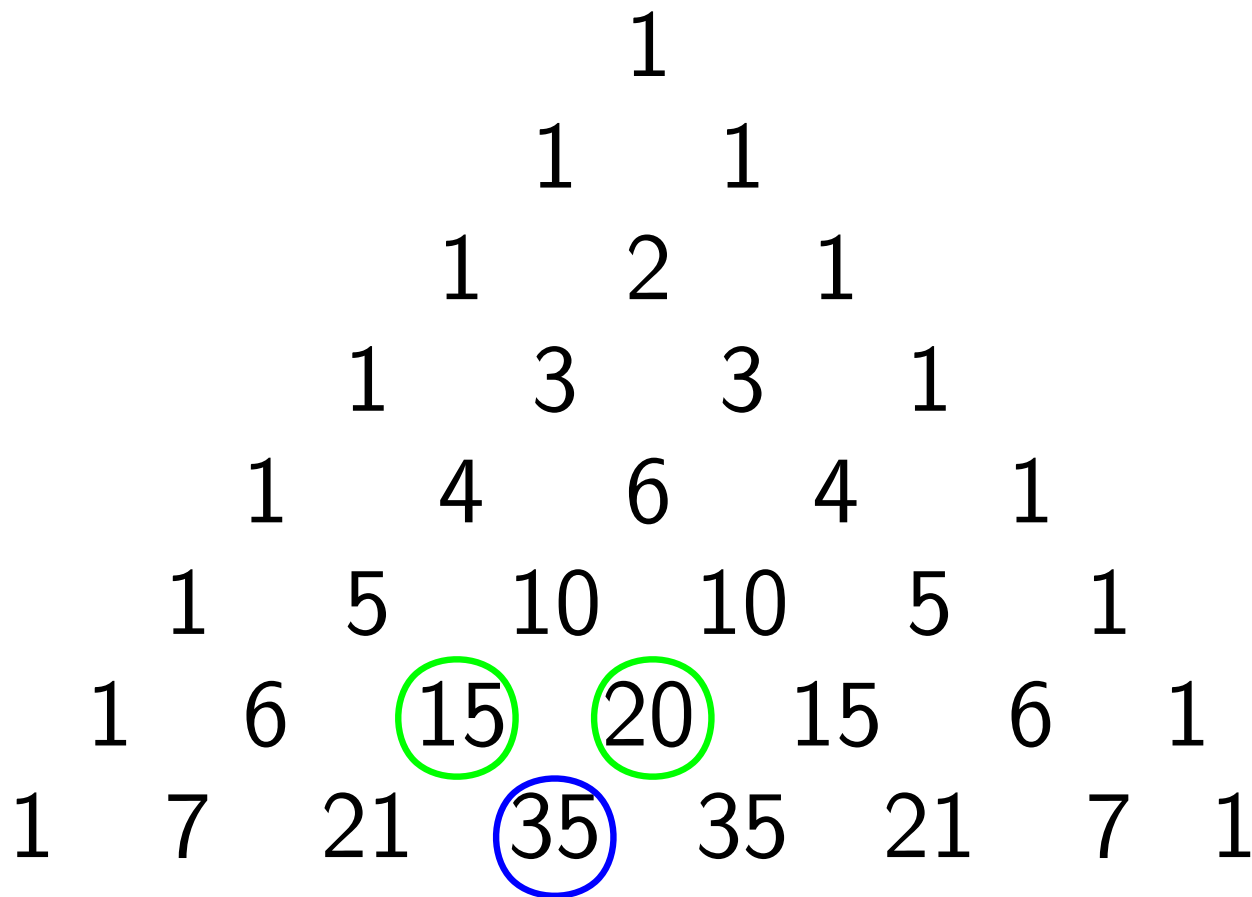
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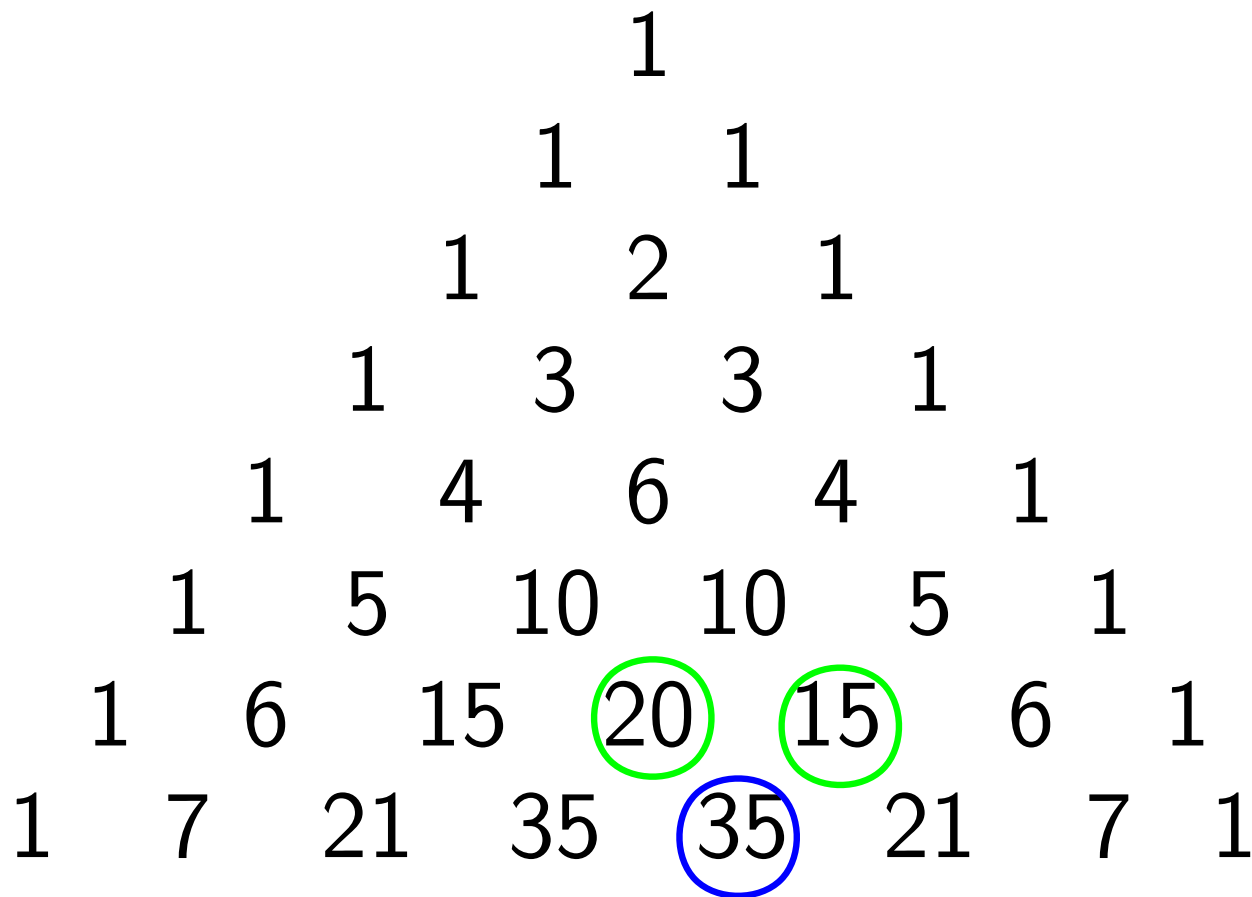
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			1		1			
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	1		3		3		1	
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							1		1												
							1		2		1										
							1		3		3		1								
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							1		5		10		10		5		1				
							1		6		15		20		15		6		1		
							1		7		21		35		35		21		7		1

Pascal relationship

Each (non-1) **entry** in Pascal's Triangle is the sum of the two entries directly above it (to left and to right).

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Pascal's relationship says that, for $0 < k < n$,

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A purely *algebraic* proof (manipulating formulas) is possible.

In discrete mathematics, though, we prefer to derive intuitive explanations. In this case, that would involve interpreting Pascal's relationship as a statement describing *relationships among sets*.

A Proof Using the Sum Principle

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From Theorem 1.2 and

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we know $\binom{n}{k}$ is the number of k -element subsets of an n -element set.

A Proof Using the Sum Principle

From Theorem 1.2 and

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}.$$

we know $\binom{n}{k}$ is the number of k -element subsets of an n -element set.

Therefore, each term (left and right) in

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

represents the number of subsets of a particular size chosen from an appropriately sized set.

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

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Number of k -subsets of an n -element set.

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Number of k -subsets of an n -element set.

Number of $(k-1)$ -subsets of an $(n-1)$ -element set.

$$\binom{n}{k} = \binom{n-1}{k-1} + \boxed{\binom{n-1}{k}}$$

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Try to use sum principle to explain relationship among these three terms.

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

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Example: $n = 5, k = 2$

$$\binom{5}{2} = \binom{4}{1} + \binom{4}{2}.$$

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$$\begin{pmatrix} 5 \\ 2 \end{pmatrix} = \begin{pmatrix} 4 \\ 1 \end{pmatrix} + \begin{pmatrix} 4 \\ 2 \end{pmatrix}.$$

Consider $S = \{A, B, C, D, E\}$.

$$\binom{5}{2} = \binom{4}{1} + \binom{4}{2}.$$

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Set S_1 of 2-subsets of S

$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}.$$

$$\binom{5}{2} = \binom{4}{1} + \binom{4}{2}.$$

Consider $S = \{A, B, C, D, E\}$.

Set S_1 of 2-subsets of S can be partitioned into 2 disjoint parts.

S_2 the 2-subsets that contain E and

S_3 , the set of 2-subsets that do not contain E .

$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}.$$

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Set S_1 of 2-subsets of S can be partitioned into 2 disjoint parts.

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$$S_1 = \{\{A, B\}, \{A, C\}, \{A, D\}, \{A, E\}, \{B, C\}, \{B, D\}, \{B, E\}, \{C, D\}, \{C, E\}, \{D, E\}\}.$$

S_2 is equivalent to choosing 1 item out of $\{A, B, C, D\}$: $|S_2| = \binom{4}{1}$

S_3 chooses 2 items out of $\{A, B, C, D\}$: $|S_3| = \binom{4}{2}$

Sum Principle: $\binom{5}{2} = |S_1| = |S_2| + |S_3| = \binom{4}{1} + \binom{4}{2}$

Theorem 1.3

If n and k are integers satisfying $0 < k < n$, then

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Suppose $S = \{x_1, x_2, \dots, x_n\}$.

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Partition set of k -element subsets of an n -element set into *disjoint union* of two other disjoint sets.

Suppose $S = \{x_1, x_2, \dots, x_n\}$.

Let S_1 be set of all k -element subsets. $|S_1| = \binom{n}{k}$

To apply sum principle, partition S_1 into S_2 and S_3 .

Let S_2 be set of k -element subsets that contain x_n .

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Let S_2 be set of k -element subsets that contain x_n .

Let S_3 be set of k -element subsets that don't contain x_n .

$|S_3| = \binom{n-1}{k}$ since this is just how to choose a k -element subset from a $(n - 1)$ size set

$|S_2| = \binom{n-1}{k-1}$ since this is just how to choose a $(k - 1)$ -element subset from a $(n - 1)$ size set

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$|S_2| = \binom{n-1}{k-1}$ since this is just how to choose a $(k-1)$ -element subset from a $(n-1)$ size set

$$\Rightarrow \binom{n}{k} = |S_1| = |S_2| + |S_3| = \binom{n-1}{k-1} + \binom{n-1}{k}$$

Blaise Pascal

- Born 1623; Died 1662
- French Mathematician
- A Founder of Probability Theory
- Inventor of one of the first (the 2nd?) mechanical calculating machines
- Pascal Programming Language named for him



The Binomial Theorem

$$(x + y) = \binom{1}{0}x + \binom{1}{1}y$$

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$$(x + y)^2 = x^2 + 2xy + y^2 = \binom{2}{0}x^2 + \binom{2}{1}x^1y^1 + \binom{2}{2}y^2$$

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$$(x + y)^2 = x^2 + 2xy + y^2 = \binom{2}{0}x^2 + \binom{2}{1}x^1y^1 + \binom{2}{2}y^2$$

$$\begin{aligned}(x + y)^3 &= x^3 + 3x^2y + 3xy^2 + y^3 \\ &= \binom{3}{0}x^3 + \binom{3}{1}x^2y + \binom{3}{2}xy^2 + \binom{3}{3}y^3\end{aligned}$$

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Theorem 1.4 (Binomial Theorem)

For any integer $n \geq 0$,

$$(x + y)^n = \binom{n}{0}x^n + \binom{n}{1}x^{n-1}y + \binom{n}{2}x^{n-2}y^2 + \dots + \binom{n}{n-1}xy^{n-1} + \binom{n}{n}y^n,$$

The Binomial Theorem

Number of k -element subsets of an n -element set is called a **binomial coefficient** because of its role in the algebraic expansion of a binomial $(x + y)^n$.

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or, in summation notation,

$$(x + y)^n = \sum_{i=0}^n \binom{n}{i} x^{n-i} y^i.$$

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$$\begin{aligned} & (x+y)(x+y)(x+y) \\ &= [x(x+y) + y(x+y)](x+y) \\ &= (xx + yx + xy + yy)(x+y) \\ &= (xx + yx + xy + yy)x + (xx + yx + xy + yy)y \\ &= xxx + xyx + yxx + yyx + xx y + xy y + yx y + yy y. \end{aligned}$$

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Each *monomial* term in the final result is of form $x^{3-i}y^i$ and is the product of – one blue, one red, and one green.
 For each color we can choose either an x or a y .

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Alternatively, can think of the monomial as *lists* where each item of the list is either x or y .

Coefficient of $x^{3-i}y^i$ is

$$\begin{aligned}
 & \# \text{ of lists containing } i \text{ } y\text{'s (and } (3-i) \text{ } x\text{'s)} \\
 &= \binom{3}{i}
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Each monomial is a length- n list of x 's and y 's.

In each list, the i th entry
comes from the i th binomial factor.

A list that becomes $x^{n-k}y^k$ (after applying commutative law) will have a y in k places and an x in the remaining $(n - k)$ places.

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Therefore, the coefficient of $x^{n-k}y^k$, is $\binom{n}{k}$.

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By applying the binomial theorem

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Here's another, simple, algebraic, proof.

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Now set $x = y = 1$. This gives

$$2^n = (1 + 1)^n = \sum_{i=0}^n \binom{n}{i}$$

Labelling and Trinomial Coefficients

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- Show that if we have k_1 labels of one kind, e.g., red, k_2 labels of a second kind, e.g., green, and $k_3 = n - k_1 - k_2$ labels of a third kind, e.g., orange, then there are $\frac{n!}{k_1!k_2!k_3!}$ ways to apply these labels to n objects

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There are $\binom{n}{k}$ ways to choose the items with red labels.
The other $n - k$ items will then get the green labels
So this is just $\binom{n}{k}$

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There are $\binom{n}{k_1}$ ways to choose the red items

There are then $\binom{n-k_1}{k_2}$ ways to choose the green items from the remaining $n - k_1$.

The remaining k_3 items get labelled orange

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Using the *product principle* the total number of labellings is

$$\begin{aligned} \binom{n}{k_1} \binom{n-k_1}{k_2} &= \frac{n!}{k_1!(n-k_1)!} \frac{(n-k_1)!}{(k_2)!(n-k_1-k_2)!} \\ &= \frac{n!}{k_1!k_2!(n-k_1-k_2)!} = \frac{n!}{k_1!k_2!k_3!} \end{aligned}$$

When $k_1 + k_2 + k_3 = n$, we call

$$\frac{n!}{k_1!k_2!k_3!}$$

a *trinomial coefficient* and denote it as

$$\binom{n}{k_1 \ k_2 \ k_3}$$

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Note that this slightly modifies the notation for *binomial coefficients*. If we really wanted the notation to be consistent (which we don't) we could write the binomial coefficient $\binom{n}{k}$ as

$$\binom{n}{k \ (n-k)}$$

We really just saw that the Trinomial Coefficient

$$\binom{n}{k_1 \ k_2 \ k_3}$$

is the number of ways to partition a set of size n into three subsets (where order of the subsets does not count) of sizes k_1 , k_2 and k_3 .

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After opening the parentheses and multiplying,

there will be, in total, $3^4 = 81$ different monomial terms (lists)

Each term, (after rewriting using commutativity),

is in the form $x^{k_1} y^{k_2} z^{k_3}$ where $k_1 + k_2 + k_3 = 4$

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The coefficient of $x^{k_1} y^{k_2} z^{k_3}$ is exactly the number of ways of
writing a list of size 4 with k_1 x 's, k_2 y 's, and k_3 z 's such that
 $k_1 + k_2 + k_3 = 4$, which is

$$\binom{4}{k_1 \ k_2 \ k_3}$$

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$$\binom{n}{k_1 \ k_2 \ k_3}$$