

# Tutorial 8

Computer Language Processing and Compiler Design  
(COMP 4901U)

November 8, 2021

## Exercise (1): Introduction to Subtyping

Consider a simple programming language with the following types and terms:

$$\begin{aligned} T &::= \text{Real} \mid \text{Pos} \mid \text{Neg} \\ t &::= c \mid t + t \mid t * t \mid t/t \end{aligned}$$

**Real** is the type of real numbers, while **Pos** is the type of strictly positive real numbers and **Neg** is the type of strictly negative real numbers.

Interestingly, some terms can be assigned multiple types. For instance, 14 has types **Real** and **Pos**, while  $-2$  has types **Real** and **Neg**. The constant 0, on the other hand, only has type **Real**.

### Question 1

Write down some typing rules for the terms of this language, trying to *preserve information* about positivity and negativity and making sure that your type system *prohibits division by zero*.

Note that we may be tempted to define rules for all combinations of **Real**+**Pos**, **Real** + **Neg**, **Pos** + **Real**, and **Neg** + **Real**. But in the simplistic type system considered in this exercise, this is in fact unnecessary. Indeed, we can prove (by induction on typing derivations) that for any term  $t$ , if  $t : \text{Pos}$  or  $t : \text{Neg}$  can be derived, then so can  $t : \text{Real}$ . Now, this property would not hold in a more complicated system where, for example, we would have lambda expressions of the form  $(x : T) \Rightarrow t$  along with the usual VAR typing rule; in this case, we would need to add the combinatorial rules mentioned above.

## Question 2

In your type system, what are the types, if any, of the following terms? Write down a derivation for each possible type.

- a.  $1 + 1$
- b.  $-2 * 4$
- c.  $-1 * (2 + -1)$
- d.  $7 / (18 + -1)$

## Question 3

We now introduce a new relation, written  $T <: T$ , which we call the *subtyping* relation. The judgment  $T_1 <: T_2$  can be read as “ $T_1$  is a subtype of  $T_2$ ”. When  $T_1 <: T_2$ , any terms of type  $T_1$  can safely be used in contexts where terms of type  $T_2$  are expected.

List all pairs of two types of our language which can be made part of this subtyping relation.

## Question 4

Our goal is now to write a new typing rule, usually called the *subsumption rule*, which bridges the gap between the subtyping and typing relations. This rule should state that if a term has type  $T_1$  and if  $T_1$  is a subtype of  $T_2$ , then the term also has type  $T_2$ .

- a. Write down that rule formally.
- b. Now that we have this rule, can some of the previously-defined typing rules be removed as redundant or simplified? Which ones?

## Question 5

Let us now expand our language to add a primitive “power” function to it:

$$t ::= \dots \mid t^{\wedge} t$$

along with the following typing rule:

$$\text{Pow} \quad \frac{t_1 : \text{Real} \quad t_2 : \text{Real}}{t_1 \wedge t_2 : \text{Real}}$$

Write a typing derivation for the following expression:  $(7/2) \wedge 3$

Can you think of better typing rules for power expressions?

## Question 6

Are there multiple valid typing derivations that assign the same type to the above expression?

## Exercise (2): Type Inference

Consider the following type system for a minimal language with anonymous functions and applications:

$$\begin{aligned} t &::= x \mid x \Rightarrow t \mid t \ t \\ T &::= T \rightarrow T \mid \alpha \\ \Gamma &::= \varepsilon \mid \Gamma \cdot (x : T) \end{aligned}$$

$$\begin{array}{ccc} \text{VAR} & \text{LAM} & \text{APP} \\ \frac{(x : T) \in \Gamma}{\Gamma \vdash x : T} & \frac{\Gamma \cdot (x : S) \vdash t : T}{\Gamma \vdash x \Rightarrow t : S \rightarrow T} & \frac{\Gamma \vdash t_1 : S \rightarrow T \quad \Gamma \vdash t_2 : S}{\Gamma \vdash t_1 \ t_2 : T} \end{array}$$

As usual, application has a priority over anonymous function literals, so that, for example,  $x \Rightarrow (y \Rightarrow y) \ x$  denotes  $x \Rightarrow ((y \Rightarrow y) \ x)$ .

## Question 1

For each of the following expressions, determine the result of type inference via unification. That is, state whether a most general (i.e., *principal*) type scheme of the form  $\forall \bar{\alpha}. T$  can be inferred, and if so, write it out. For example, for  $(x \Rightarrow x)$  the answer is **yes**, and its most general type scheme is  $\forall \alpha. \alpha \rightarrow \alpha$ .

- a.  $(x \Rightarrow (y \Rightarrow y) \ x)$

- b.  $(f \Rightarrow x \Rightarrow f (f x))$
- c.  $f \Rightarrow x \Rightarrow (g \Rightarrow f (g x)) x$
- d.  $f \Rightarrow g \Rightarrow x \Rightarrow f x (g x)$
- e.  $f \Rightarrow g \Rightarrow x \Rightarrow g (f x)$

## Question 2

To prove that a type scheme  $\forall \bar{\alpha}. S$  “*is at least as general as*” (i.e., *subsumes*) another type scheme  $\forall \bar{\beta}. T$ , we need to find an instantiation  $\rho$  of the  $\bar{\alpha}$  variables such that  $\rho(S) = T$ , where  $\rho(S)$  represents the type  $S$  after substituting all  $\bar{\alpha}$  variables with some other types.<sup>1</sup>

Given:

$$\begin{aligned}\sigma_1 &= \forall \alpha_1, \alpha_2. (\alpha_1 \rightarrow \alpha_2) \rightarrow \alpha_1 \rightarrow \alpha_2 \\ \sigma_2 &= \forall \beta_1. (\beta_1 \rightarrow \beta_1) \rightarrow \beta_1 \rightarrow \beta_1\end{aligned}$$

- a. Prove that  $\sigma_1$  subsumes  $\sigma_2$ .
- b. Prove that that  $\sigma_2$  *does not* subsume  $\sigma_1$ .
- c. Prove that  $\sigma_1$  is a *principal type scheme* for the term  $x \Rightarrow y \Rightarrow x y$ ; that is, prove that any other type scheme that can be assigned to this term is subsumed by  $\sigma_1$ .

## Question 3

Imagine we modify our type system so that polymorphic type schemes are now treated as proper types, which can appear in any position a type  $T$  can appear. That is, we extend the language as follows:

$$T ::= T \rightarrow T \mid \alpha \mid \forall \alpha. T$$

$$\begin{array}{c} \dots \quad \frac{\text{GEN} \quad \Gamma \vdash t : T \quad \alpha \text{ does not occur in } \Gamma}{\Gamma \vdash t : \forall \alpha. T} \quad \frac{\text{INST} \quad t : \forall \alpha. T}{t : T[\alpha \mapsto S]} \end{array}$$

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<sup>1</sup>For simplicity, in this definition we assume that the variables that are quantified in each type do not occur in the other type. Given any two arbitrary types, this can always be ensured through appropriate renaming.

where  $T[\alpha \mapsto S]$  denotes the substitution, in  $T$ , of all *free* occurrences of  $\alpha$  by  $S$ . That is, we specifically do not substitute occurrences that are bound in  $T$  by a  $\forall$ . For instance,  $((\forall\alpha. \alpha) \rightarrow \alpha)[\alpha \mapsto S] = (\forall\alpha. \alpha) \rightarrow S$ .

In this system, we can derive that  $x \Rightarrow y \Rightarrow x\ y$  has both type  $T_1 = (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \beta$  and type  $T_2 = (\forall\alpha. \alpha \rightarrow \alpha) \rightarrow \beta \rightarrow \beta$  — notice that type variables are allowed to be unbound, which is notably the case of  $\beta$  in  $T_2$ .

- (1) Provide derivations corresponding to the above  $T_1$  and  $T_2$  typings.
- (2) Is either  $T_1$  or  $T_2$  a principal type of the term? If not, can you find one?
- (3) Provide at least one type derivation for each of the following terms:
  - a.  $x \Rightarrow x\ x$
  - b.  $x \Rightarrow y \Rightarrow z \Rightarrow f \Rightarrow f\ (x\ y)\ (x\ z)$
  - c.  $x \Rightarrow x\ x\ \dots\ x$  whereby  $x$  is applied an arbitrary number of times; try to come up with a finite type of *constant size*, and provide an informal algorithm to construct the corresponding typing derivation.

### Question 4 (hard)

Can you come up with an algorithm to infer a valid typing derivation given a term in our extended language? How about an algorithm to decide whether a term is well-typed, without having to provide any derivations?