

# COMP170

## Discrete Mathematical Tools for Computer Science

### More Counting

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*Discrete Math for Computer Science*

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*Section 1.2, pp. 9-19*

# 1.2 Counting Lists, Permutations, and Subsets

- Using the Sum and Product Principles
- Lists and Functions
- The Bijection Principle
- $k$ -Element Permutations of a Set
- $k$ -Element Subsets of a Set  
Binomial Coefficients

# Some Simple Examples

- The entry code to our office is 4 characters long where each character is a number between  $\{0 \dots 9\}$ .

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10 possibilities for  $X_1$       10 possibilities for  $X_2$

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Note; This is really the *product principle*

What are the sets being used?

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$$52 \times 52 \times 52 \times 52 \times 52 \times 52 = 52^6$$

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Each  $L_i$  has 26 possibilities and  
each  $D_i$  has 10 possibilities  
so the total number of car plates is

$$26 \times 26 \times 10 \times 10 \times 10 \times 10 = 26^2 \times 10^4 = 6,760,000$$

We have just seen examples of

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If a set  $S$  of lists of length  $m$  has the properties that

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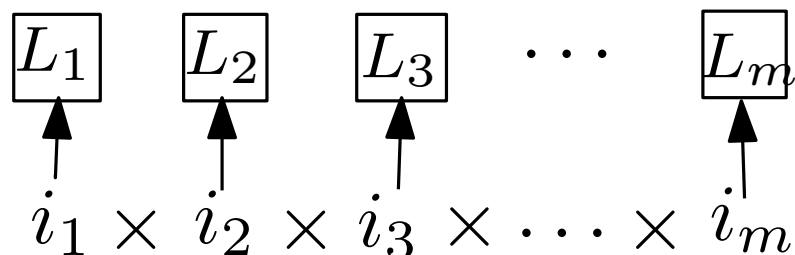
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So *sum principle* might help us.

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$\Rightarrow$  total number of passwords is

$$52^4 + 52^5 + 52^6 + 52^7 + 52^8.$$

# Lists and Functions

We've already seen *lists*.

Informally, a list of  $k$  elements from  $T$  is

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Example: *MORE* and *ROME* are  
two different 4-letter lists from  $T = \{A, B, \dots, Z\}$

$\{M, O, R, E\}$  and  $\{R, O, M, E\}$  are  
the same subset of  $T$ .

# Lists and Functions (cont)

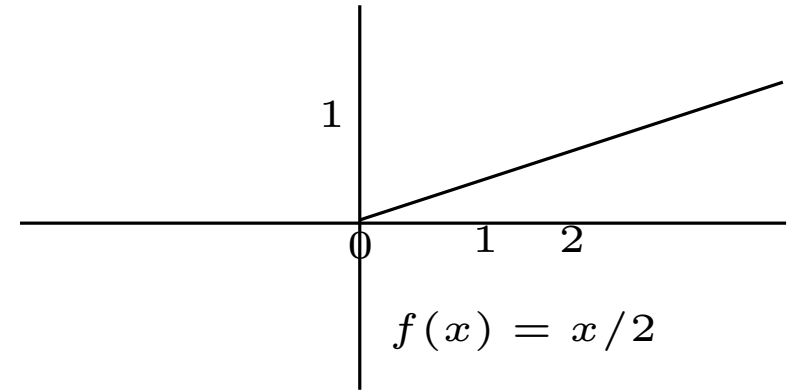
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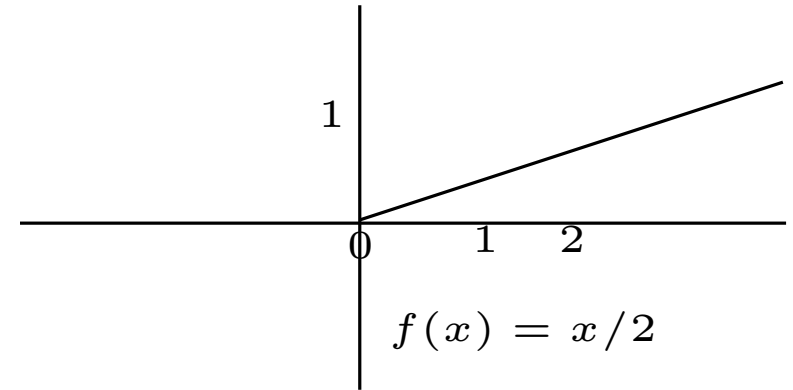
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In continuous math, e.g., basic calculus and algebra, you often have formulas, e.g.,  $f(x) = x/2$  that **describe** the function. In discrete math, where we often deal with finite sets, we can frequently state the full function explicitly: e.g.,

$$f(1) = \text{Sam}, \quad f(2) = \text{Mary}, \quad f(3) = \text{Sarah}$$



# Lists and Functions (cont)

We often write a function from  $S$  to  $T$  as

$$f : S \rightarrow T$$

$S$  is the domain of  $f$ ;  $T$  is the range of  $f$ .

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A  $k$ -element List,  $L = L_1 L_2 \dots L_k$  from set  $T$  can now be defined as a function

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Example: 4-element lists from  $\{A, \dots, Z\}$

*MORE* is the function

$$f(1) = M, f(2) = O, f(3) = R, f(4) = E$$

*ROME* is the function

$$f(1) = R, f(2) = O, f(3) = M, f(4) = E$$

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Set of *all* functions from  $\{1, 2\}$  to  $\{a, b\}$  is just the set of 2-element lists from  $\{a, b\}$  which we already saw, by the product principle, has size  $2 \times 2 = 4$ .

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Thus, by product principle,  
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This is same as number of 3-element lists from a 2-item set, which is  $2 \times 2 \times 2 = 8$ .

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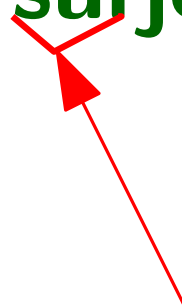
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In French  
*sur* = "on"

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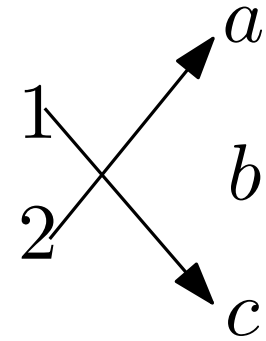


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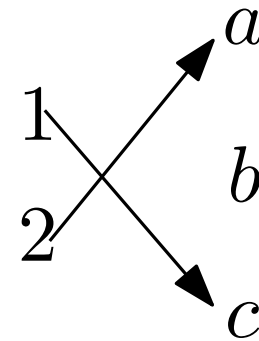
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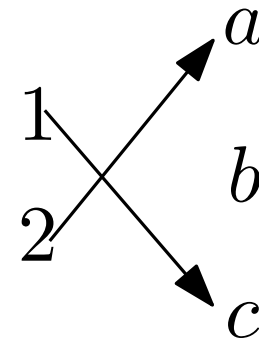


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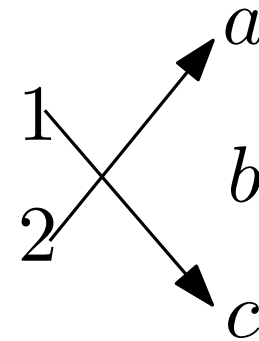
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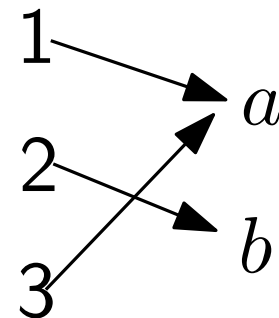
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# Bijections & Permutations

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A bijection from a set onto itself is called a **permutation**

$f : \{a, b, c\} \rightarrow \{1, 2, 3\}$  defined by  
 $f(a) = 3, f(b) = 2, f(c) = 1$   
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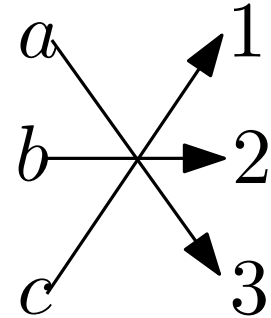
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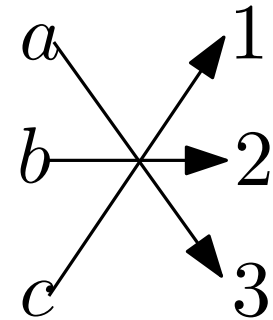
# Bijections & Permutations

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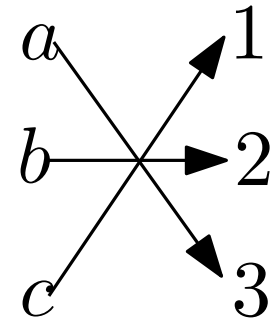
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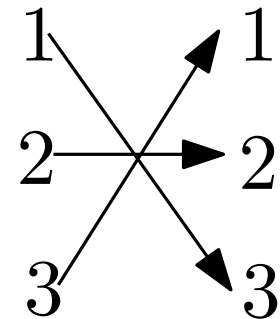
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In a bijection

exactly one arrow

leaves each item on the left

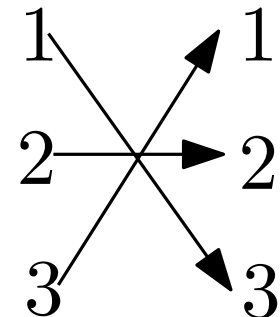
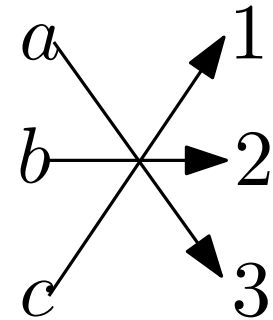
and

exactly one arrow

arrives at each item on the right

so the left and right sides

must have the same size



# The Bijection Principle

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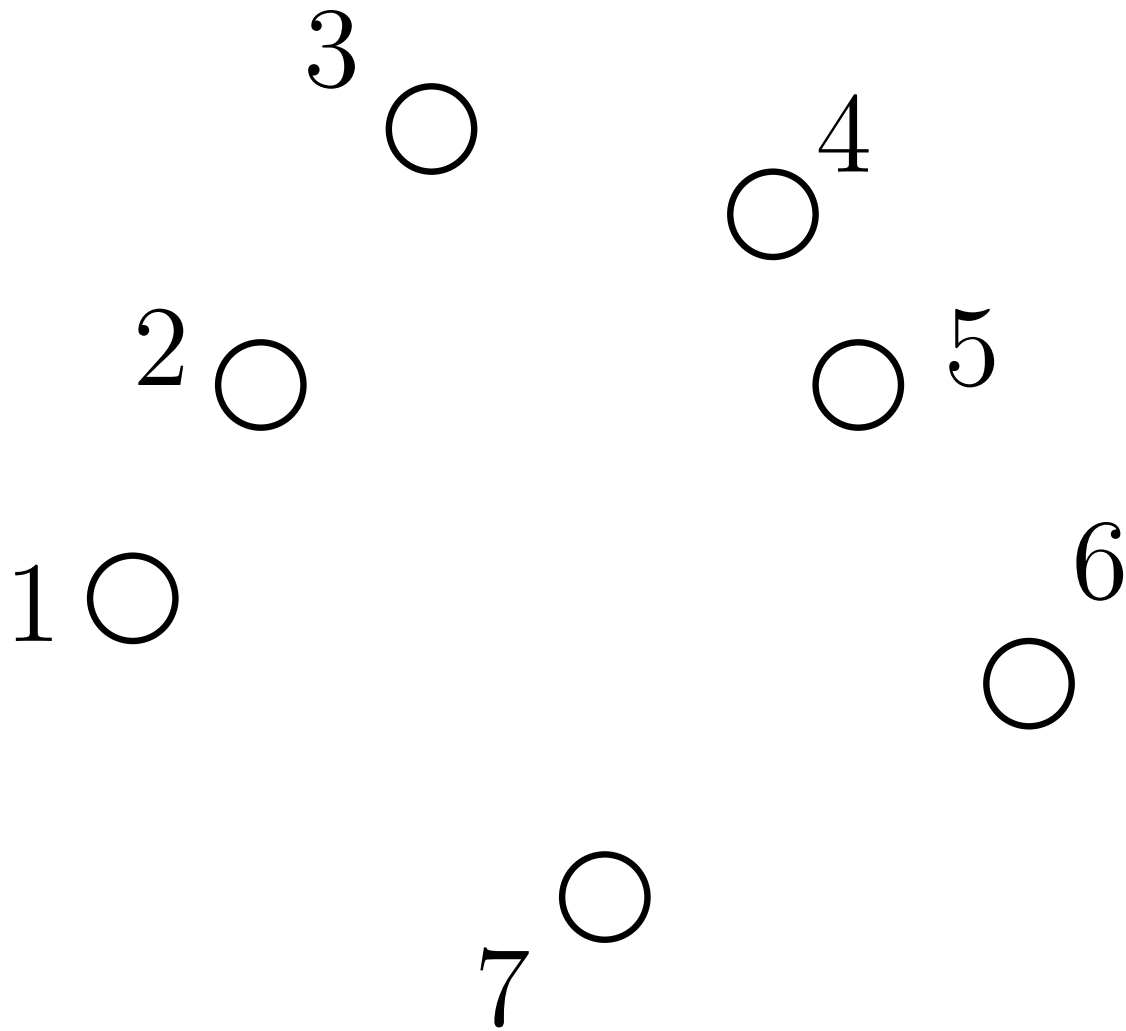
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Among all iterations of line 5 in the pseudocode, what is the total number of times this line checks three points to see if they are collinear?

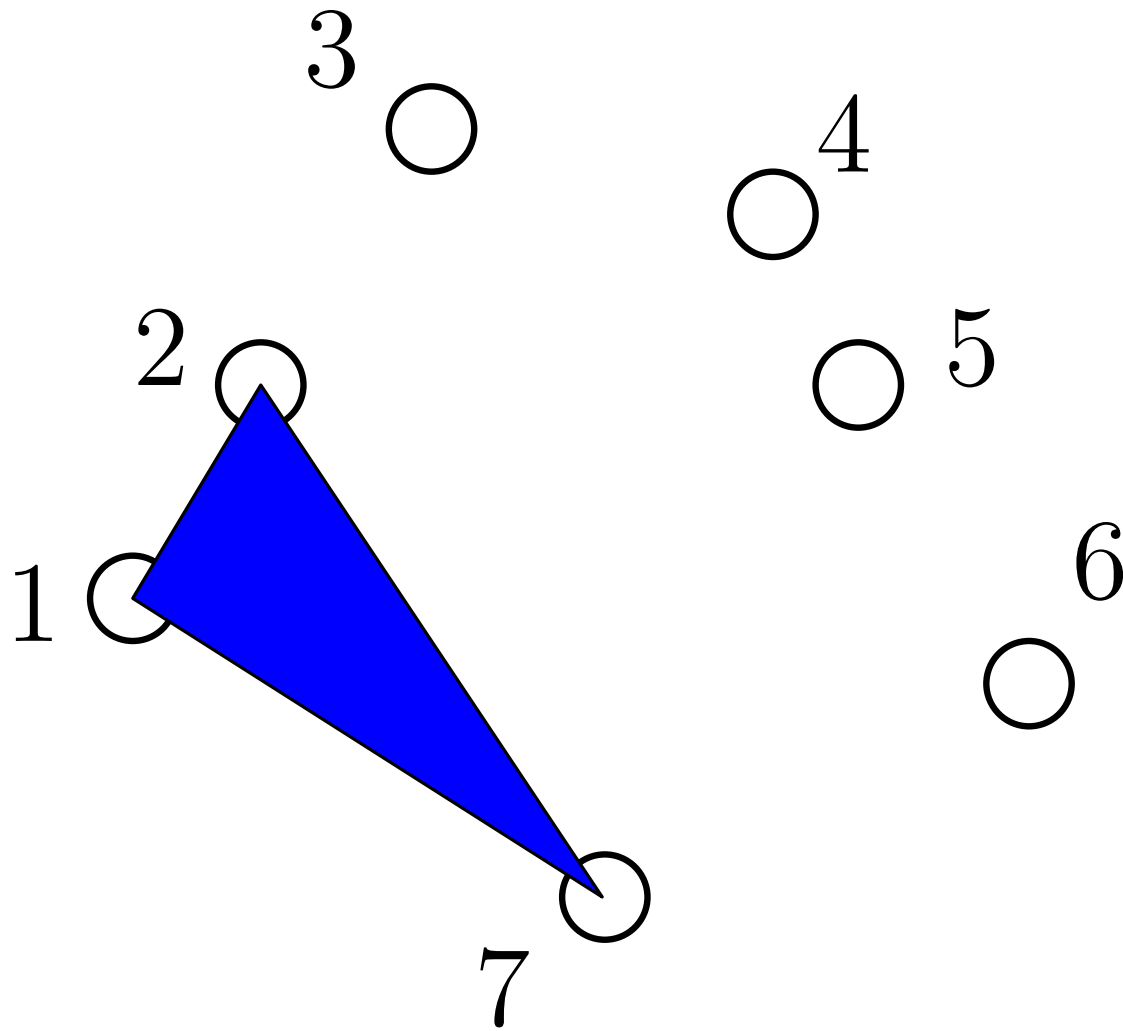
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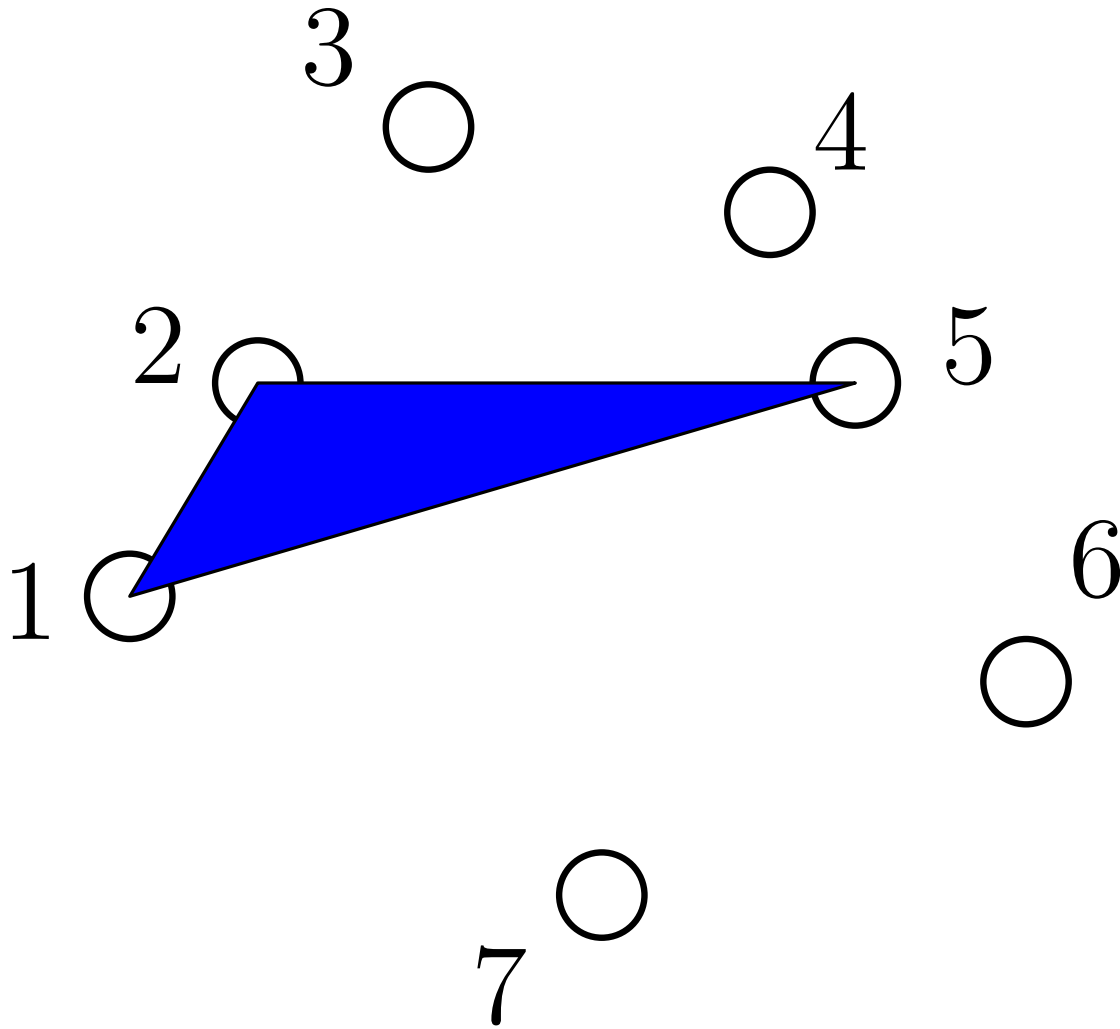


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1 – 2 – 7: yes

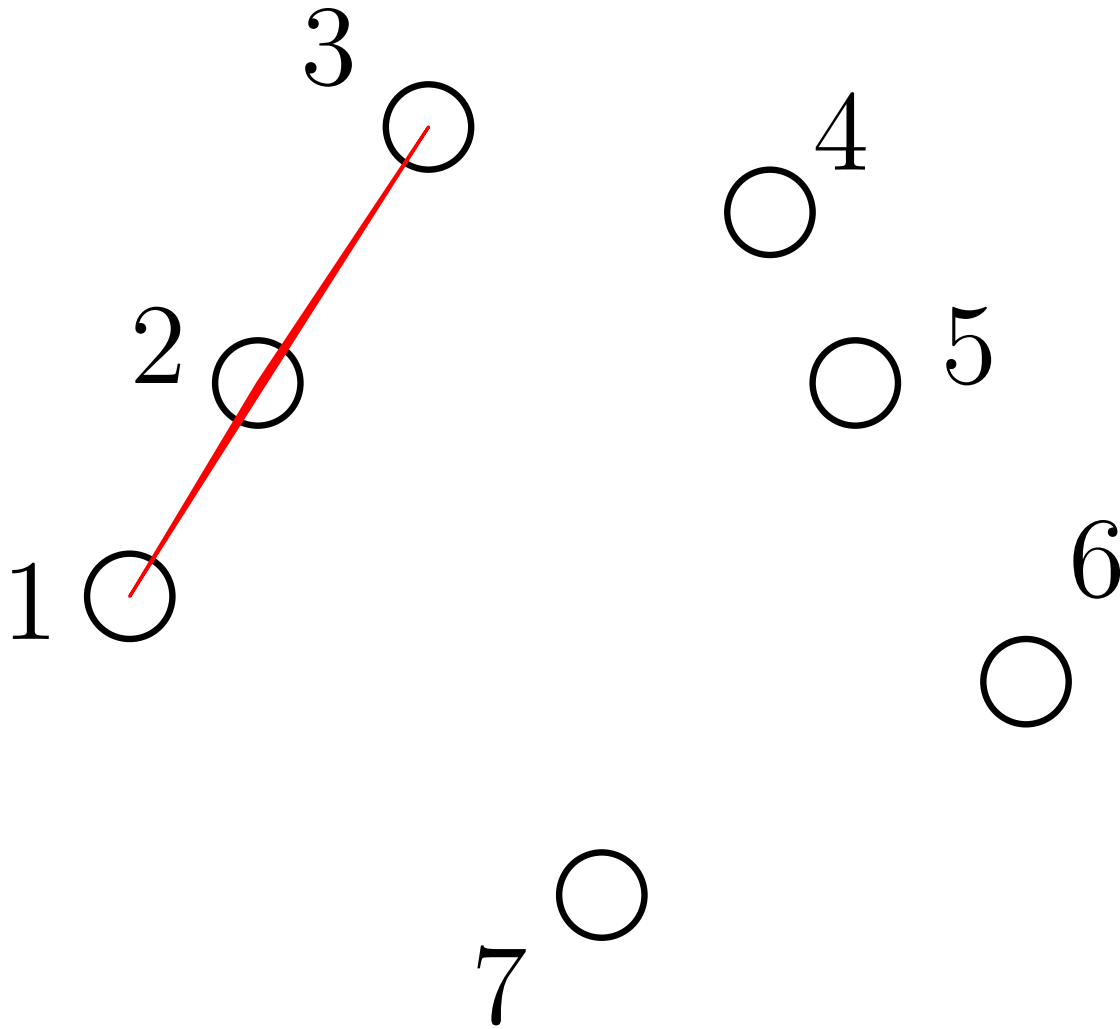
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1 – 2 – 5: yes

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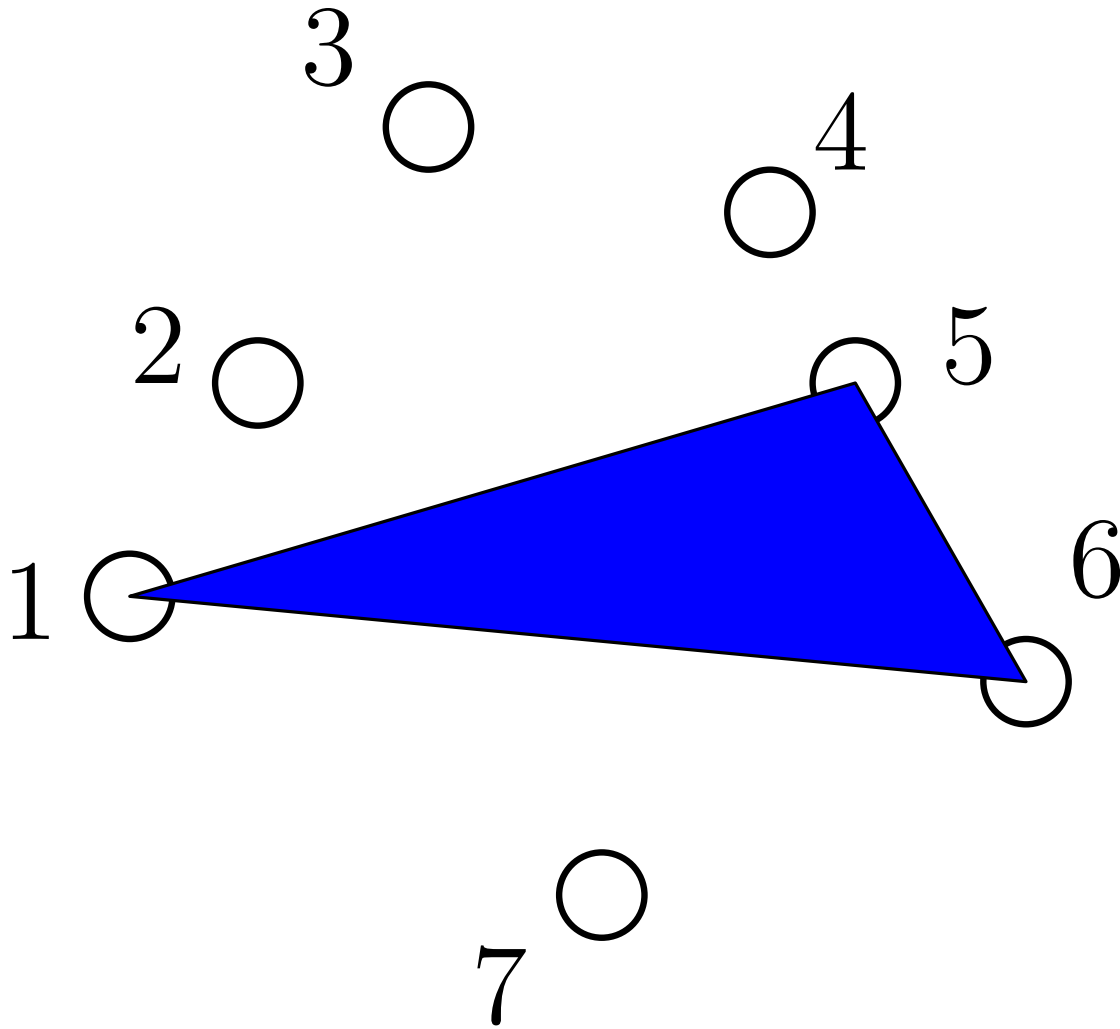


1 – 2 – 7: yes

1 – 2 – 5: yes

1 – 2 – 3: no

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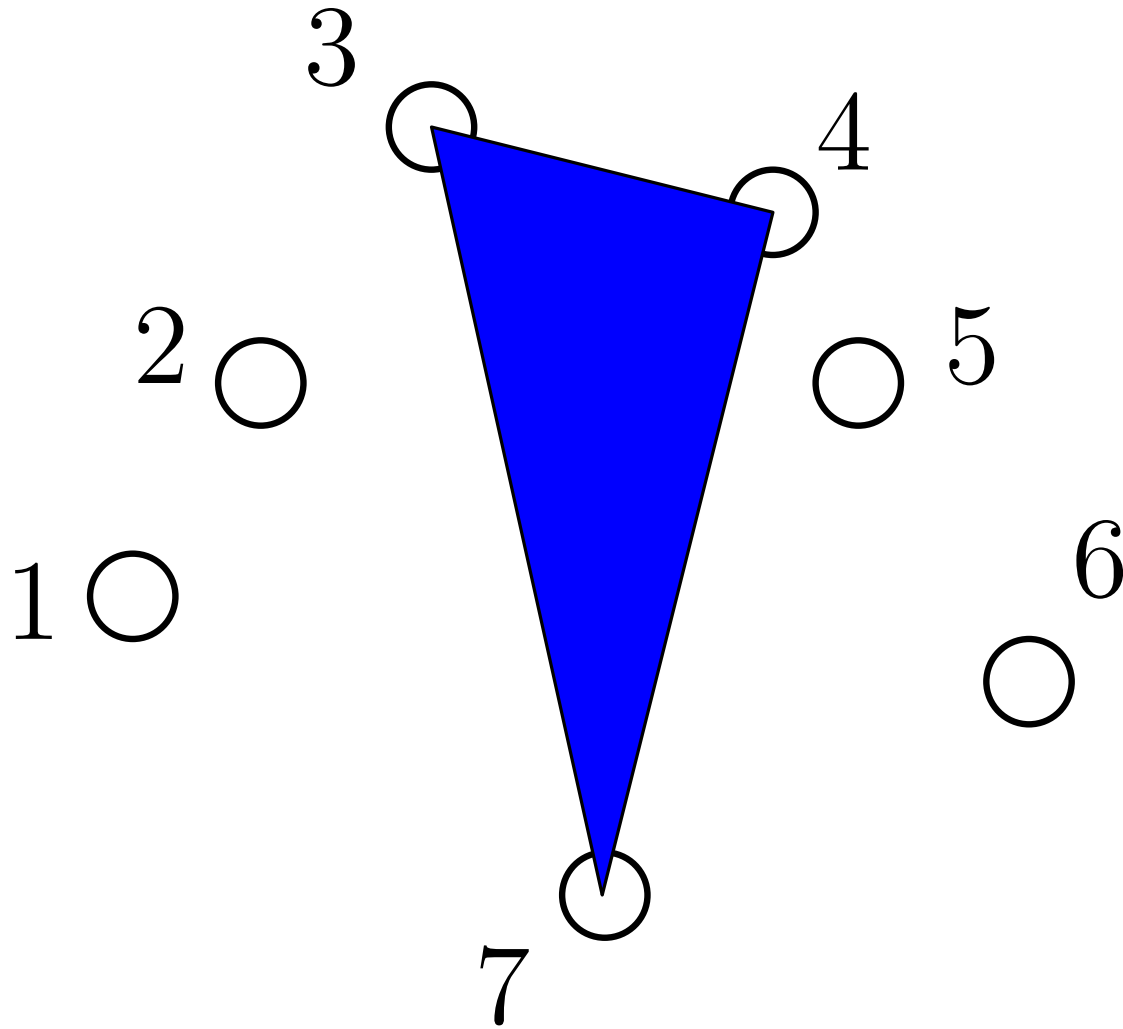
1 — 2 — 7: yes

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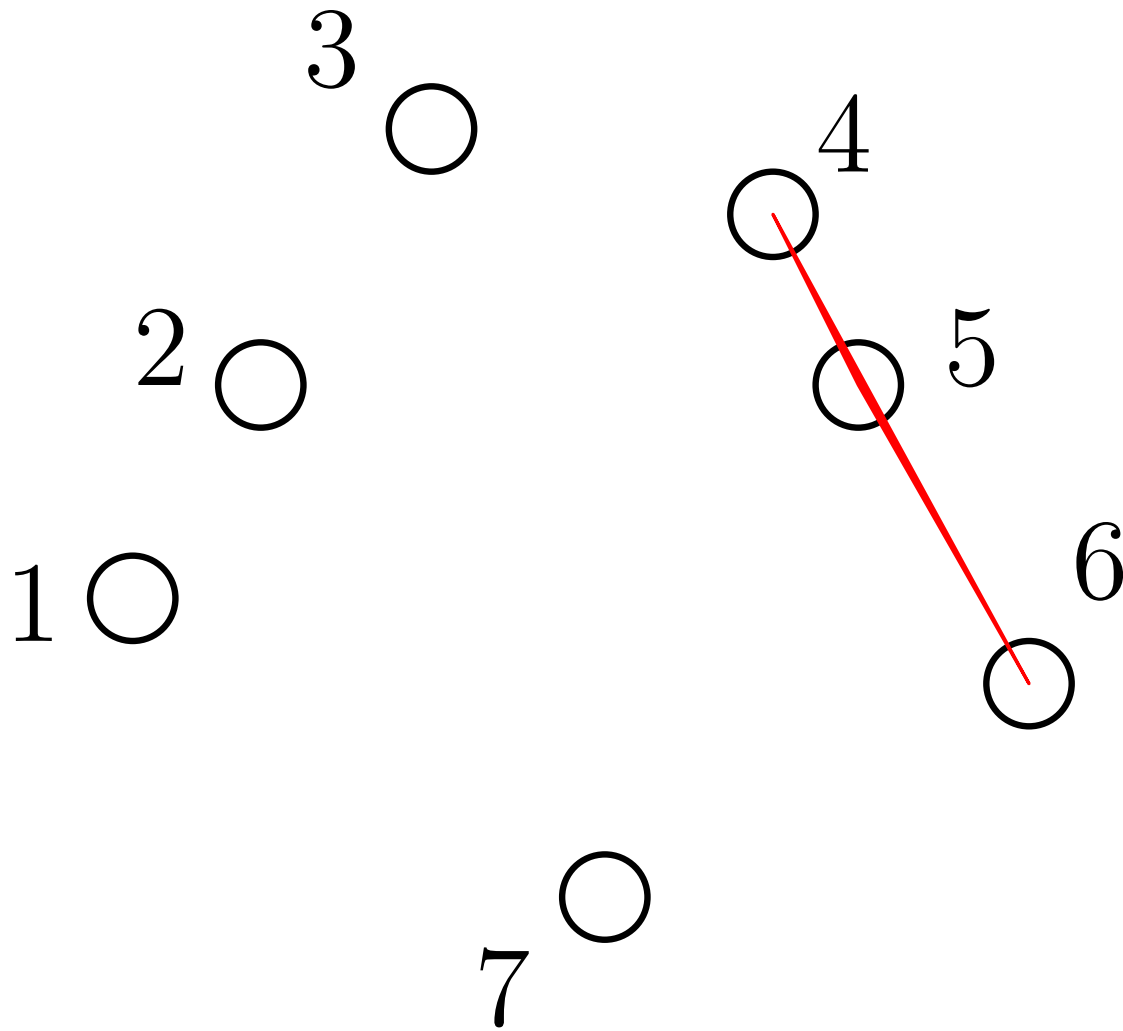
1 — 5 — 6: yes

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3 — 4 — 7: yes

Counting Triangles: 3 points form a triangle if and only if they are not collinear (on a straight line)



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1 — 2 — 5: yes

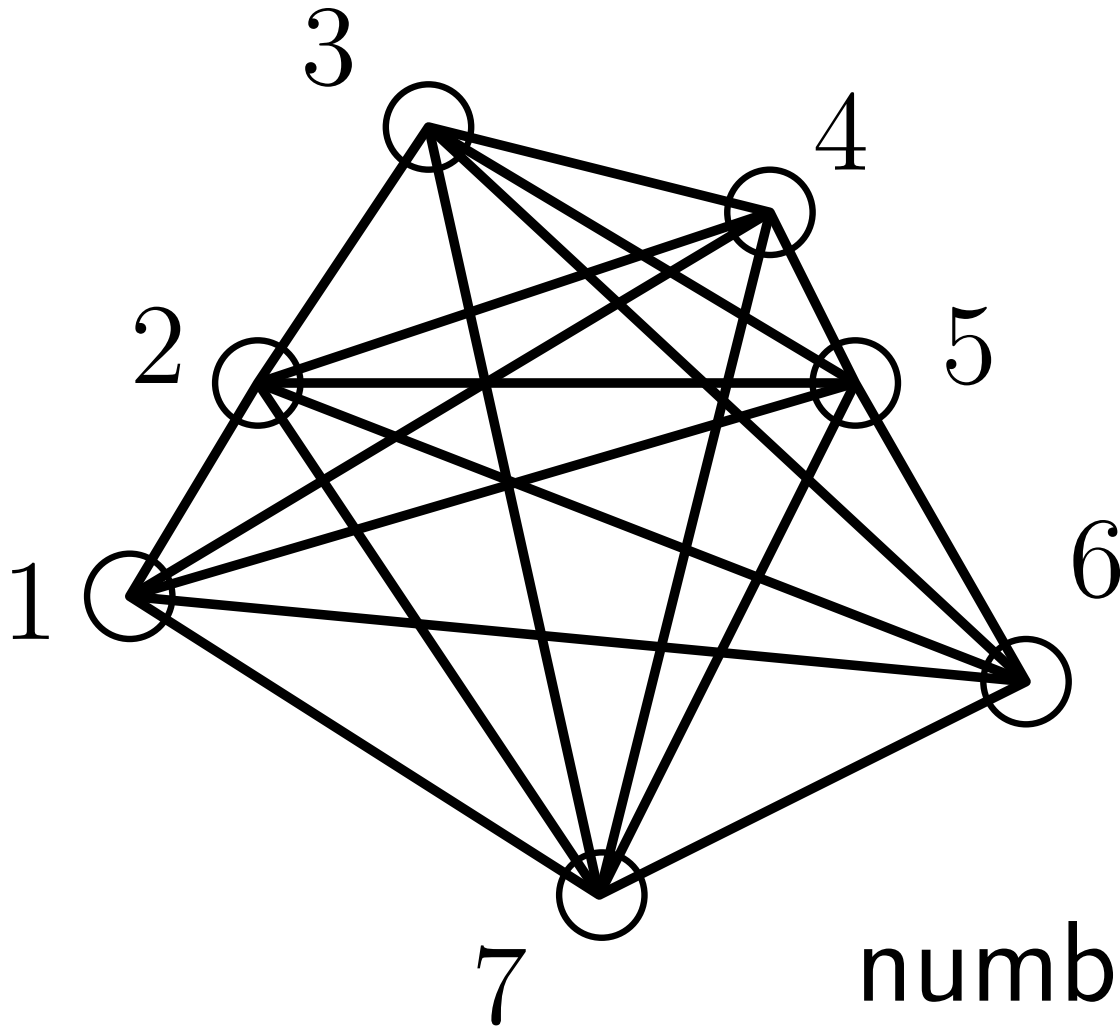
1 — 2 — 3: no

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3 — 4 — 7: yes

4 — 5 — 6: no

Counting Triangles: 3 points form a triangle if and only if they are not collinear (on a straight line)



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number of triangles: 33

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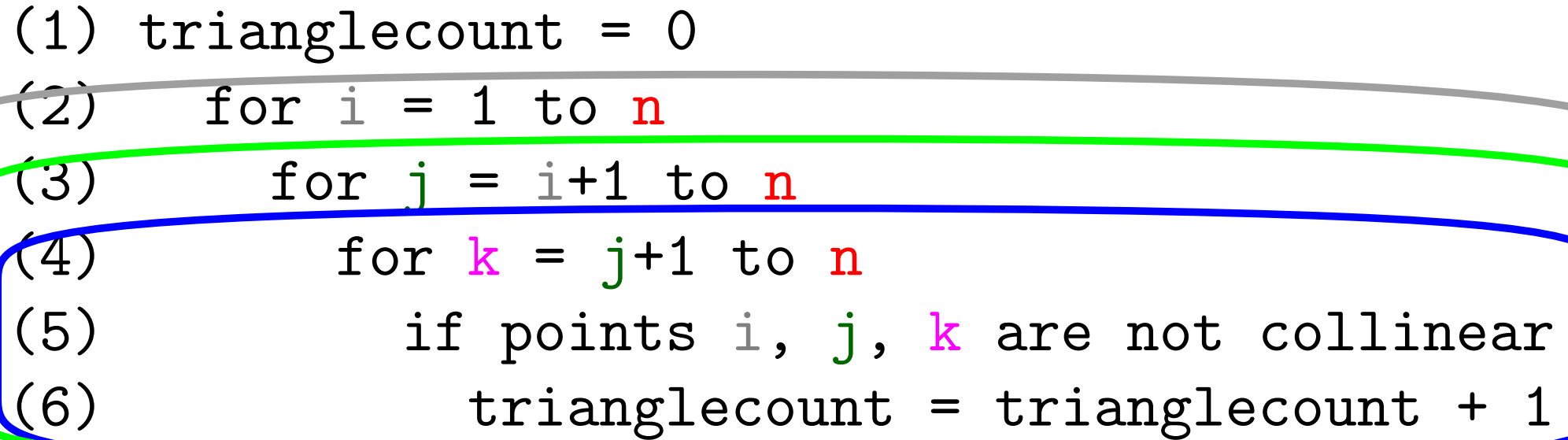
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Thus, compute number of increasing triples!



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- $f$  is a bijection because
- $f$  is **one-to-one**  
if  $(i, j, k) \neq (i', j', k') \Rightarrow f((i, j, k)) \neq f((i', j', k'))$
- $f$  is **onto**  
if  $\gamma$  is a 3-element subset then it can be written as  $\gamma = \{i, j, k\}$   
where  $i < j < k$  so  $f((i, j, k)) = \gamma$ .

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The number of  
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- Currently, we started with the problem of counting the # of increasing triples and changed it to the problem of counting the # of 3-element sets from  $\{1, 2, \dots, n\}$
- We will now see how to count the # of  $k$ -element permutations of  $\{1, 2, \dots, n\}$ . From this we will derive how to count # of  $k$ -element sets.

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- Note that the case of  $k = n$  is special;  
An  $n$ -element permutation of a set  $N$  of size  $|N| = n$  is what we earlier simply called a permutation.

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Ex: When  $n = 4$ , there are  $4 \times 3 \times 2 = 24$   
3 -element permutations of  $\{1, 2, 3, 4\}$

$L = \{123, 124, 132, 134, 142, 143, 213, 214, 231, 234, 241, 243, 312, 314, 321, 324, 341, 342, 412, 413, 421, 423, 431, 432\}.$

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Note: This type of "dictionary" ordering of tuples (assuming that we treat numbers the same as letters) is called a **lexicographic ordering** and is used quite often.

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$$\Rightarrow \frac{n(n-1)(n-2)}{6} = \binom{n}{3}$$

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Thus, by version 2 of product principle, there are  $n(n - 1) \cdots (n - k + 1)$  ways to choose a  $k$ -element permutation.



We just saw that there are

$$n(n-1) \cdots (n-k+1) = \prod_{i=0}^{k-1} (n-i)$$

ways to choose a  $k$ -element permutation.

In the special case of  $k = n$  (a permutation) this reduces to

$$n(n-1) \cdots 3 \cdot 2 \cdot 1 = n!$$

So there are  $n!$  different permutations of a set of size  $n$ .

Handy notation suggested by Donald E. Knuth, is  $n^{\underline{k}}$ , the  $k$ **th falling factorial power** of  $n$ , defined as

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### Theorem 1.1

The #of  $k$ -element permutations of an  $n$ -element set is

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Donald Ervin Knuth (born 1938) is *Professor Emeritus of the Art of Computer Programming* at Stanford University. He is most famous for his 3+-volume set, *The Art of Computer Programming* but he's done many other things, including designing the system, TeX, with which these notes were typeset.



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 The set of all  $k$ -element permutations of  $\{1, 2, \dots, n\}$   
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- Thus, by sum principle,

$$n^k = (\# \text{ of blocks}) \times (\# \text{ in each block}) = \binom{n}{k} k!.$$

## Theorem 1.2

For integers  $n$  and  $k$  with  $0 \leq k \leq n$ , the number of  $k$ -element subsets of an  $n$ -element set is

$$\binom{n}{k} = \frac{n^{\underline{k}}}{k!} = \frac{n!}{k!(n-k)!}.$$

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This will work if we define  $0! = 1$ .

Note: Both cases  $k = 0$  and  $k = n$  use fact that  $0! = 1$ .

# Binomial Coefficients

The number of ways of choosing a  
 $k$ -element subset from a set of size  $n$  is

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}.$$

This term is called a **binomial coefficient**.  
Be aware that there are other, alternative, notations for the same thing, occasionally used, e.g.,  
 $C(n, k)$  or  $nCk$