# Which QuD?

**Matthew Barros** 

matthew.barros@yale.edu

Hadas Kotek

hadas.kotek@nyu.edu

GLOW 41 in Budapest April 2018

**Sluicing**: clausal ellipsis in a *wh*-question, leaving the *wh*-phrase overt (e.g.Ross 1969; Chung et al. 1995; Merchant 2001)

(1) Mary called someone, but I don't know who.

- Remnant: any wh-phrase left overt in sluicing.
- · Correlate: an indefinite corresponding to the remnant.
- Antecedent, sluice.

**Sluicing**: clausal ellipsis in a *wh*-question, leaving the *wh*-phrase overt (e.g.Ross 1969; Chung et al. 1995; Merchant 2001)

(1)  $[_{CP_A}$  Mary called someone],  $_{EDK}$   $[_{CP_E}$  who  $[_{TP}$   $_{TP}$   $_{TP}$ 

- Remnant: any wh-phrase left overt in sluicing.
- · Correlate: an indefinite corresponding to the remnant.
- Antecedent, sluice.

**Sluicing**: clausal ellipsis in a *wh*-question, leaving the *wh*-phrase overt (e.g.Ross 1969; Chung et al. 1995; Merchant 2001)

(1)  $[_{CP_A}$  Mary called someone],  $_{EDK}$   $[_{CP_E}$  who  $[_{TP}$  Mary called  $_{t}$ ]].

- Remnant: any wh-phrase left overt in sluicing.
- · Correlate: an indefinite corresponding to the remnant
- Antecedent, sluice.

**Sluicing**: clausal ellipsis in a *wh*-question, leaving the *wh*-phrase overt (e.g.Ross 1969; Chung et al. 1995; Merchant 2001)

(1)  $[_{CP_A}$  Mary called someone],  $_{EDK}$   $[_{CP_E}$  who  $[_{TP}$   $_{TP}$   $_{TP}$ 

- Remnant: any wh-phrase left overt in sluicing.
- Correlate: an indefinite corresponding to the remnant.
- · Antecedent, sluice.

**Sluicing**: clausal ellipsis in a *wh*-question, leaving the *wh*-phrase overt (e.g.Ross 1969; Chung et al. 1995; Merchant 2001)

(1)  $[_{CP_A}$  Mary called someone],  $_{EDK}$   $[_{CP_E}$  who  $[_{TP}$   $_{TP}$   $_{TP}$ 

- Remnant: any wh-phrase left overt in sluicing.
- Correlate: an indefinite corresponding to the remnant.
- · Antecedent, sluice.

▶ Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity
   (Chung 2006, 2013; AnderBois 2011; Weir 2014)

▶ Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity (Chung 2006, 2013; AnderBois 2011; Weir 2014)

Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity (Chung 2006, 2013; AnderBois 2011; Weir 2014)

Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- · Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity (Chung 2006, 2013; AnderBois 2011; Weir 2014)

Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity (Chung 2006, 2013; AnderBois 2011; Weir 2014)

Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity (Chung 2006, 2013; AnderBois 2011; Weir 2014)

Today

Ellipsis represents a radical mismatch between PF and LF.

A central question: How is ellipsis licensed?

A consensus: Ellipsis is licensed under identity with an antecedent.

Q: How is identity computed?

- Syntactic identity
- Semantic identity
- Growing consensus: Hybrid accounts
   Semantic identity alongside some degree of syntactic identity (Chung 2006, 2013; AnderBois 2011; Weir 2014)

#### Three kinds of semantic equivalence approaches:

- **1 Ordinary semantic content** (Sag 1976; Williams 1977)
- 2 Focus-semantic content (Rooth 1992; Fox 2000; Romero 1998; Merchant 2001)
- Q-equivalence (equivalence to a question raised by the antecedent) (Ginzburg and Sag 2000; AnderBois 2011; Barros 2014; Weir 2014; Kotek and Barros to appear)

#### Three kinds of semantic equivalence approaches:

- **1 Ordinary semantic content** (Sag 1976; Williams 1977)
- Pocus-semantic content (Rooth 1992; Fox 2000; Romero 1998; Merchant 2001)
- Q-equivalence (equivalence to a question raised by the antecedent) (Ginzburg and Sag 2000; AnderBois 2011; Barros 2014; Weir 2014; Kotek and Barros to appear)

Three kinds of semantic equivalence approaches:

- **1 Ordinary semantic content** (Sag 1976; Williams 1977)
- Pocus-semantic content (Rooth 1992; Fox 2000; Romero 1998; Merchant 2001)
- Q-equivalence (equivalence to a question raised by the antecedent) (Ginzburg and Sag 2000; AnderBois 2011; Barros 2014; Weir 2014; Kotek and Barros to appear)

Three kinds of semantic equivalence approaches:

- **1 Ordinary semantic content** (Sag 1976; Williams 1977)
- Pocus-semantic content (Rooth 1992; Fox 2000; Romero 1998; Merchant 2001)
- Q-equivalence (equivalence to a question raised by the antecedent) (Ginzburg and Sag 2000; AnderBois 2011; Barros 2014; Weir 2014; Kotek and Barros to appear)

# Roadmap

- §1 Background
- §2 Proposal: A focus-theoretic account
- §3 Against Q-equivalence
- §4 e-GIVENness reconsidered
- §5 Beyond sluicing
- §6 Conclusion

# Roadmap

### §1 Background

- · Focus and alternatives
- Modeling questions
- Modeling propositions
- §2 Proposal: A focus-theoretic account
- §3 Against Q-equivalence
- §4 e-GIVENness reconsidered
- **§5** Beyond sluicing
- §6 Conclusion

On focus and alternatives

Consider two examples that differ only in the placement of **focus**:

(2) MARY ran.

(3) Mary RAN.

Focus triggers the computation of **alternatives** which vary in the focused position (Rooth, 1985, 1992, a.o.).

These alternatives correspond to alternatives at the proposition level:

(2') 
$$\begin{cases} \lambda w. & \underline{\text{Mary ran in } w,} \\ \lambda w. & \underline{\text{Abby ran in } w,} \\ \lambda w. & \underline{\text{Betty ran in } w,} \\ \lambda w. & \underline{\text{Cathy ran in } w} \end{cases}$$
 (3') 
$$\begin{cases} \lambda w. & \underline{\text{Mary ran in } w,} \\ \lambda w. & \underline{\text{Mary walk}} \\ \lambda w. & \underline{\text{Mary walk}} \\ \lambda w. & \underline{\text{Mary swark}} \end{cases}$$

#### On focus and alternatives

Consider two examples that differ only in the placement of **focus**:

(2) MARY ran.

(3) Mary RAN.

Focus triggers the computation of **alternatives** which vary in the focused position (Rooth, 1985, 1992, a.o.).

These alternatives correspond to alternatives at the proposition level:

(2') 
$$\begin{cases} \lambda w. & \underline{\text{Mary ran in } w,} \\ \lambda w. & \underline{\text{Abby ran in } w,} \\ \lambda w. & \underline{\text{Betty ran in } w,} \\ \lambda w. & \underline{\text{Cathy ran in } w} \end{cases}$$

(3') 
$$\begin{cases} \lambda w. \text{ Mary } \underline{\text{ran in } w,} \\ \lambda w. \text{ Mary } \underline{\text{jumped in } w,} \\ \lambda w. \text{ Mary } \underline{\text{walked in } w,} \\ \lambda w. \text{ Mary } \underline{\text{swam in } w} \end{cases}$$

#### On focus and alternatives

Consider two examples that differ only in the placement of focus:

(2) MARY ran.

(3) Mary RAN.

Focus triggers the computation of **alternatives** which vary in the focused position (Rooth, 1985, 1992, a.o.).

These alternatives correspond to alternatives at the proposition level:

(2') 
$$\begin{cases} \lambda w. & \underline{\mathsf{Mary}} \text{ ran in } w, \\ \lambda w. & \underline{\mathsf{Abby}} \text{ ran in } w, \\ \lambda w. & \underline{\mathsf{Betty}} \text{ ran in } w, \\ \lambda w. & \underline{\mathsf{Cathy}} \text{ ran in } w \end{cases}$$

$$(3') \begin{cases} \lambda w. & \underline{\mathsf{Mary}} \underline{\mathsf{ran}} \text{ in } w, \\ \lambda w. & \underline{\mathsf{Mary}} \underline{\mathsf{yumped}} \text{ in } w, \\ \lambda w. & \underline{\mathsf{Mary}} \underline{\mathsf{walked}} \text{ in } w, \\ \lambda w. & \underline{\mathsf{Mary}} \underline{\mathsf{swam}} \text{ in } w \end{cases}$$

#### On focus and alternatives

Each sentence will now have an *ordinary value*  $\llbracket \cdot \rrbracket^o$  and a *focus-semantic value*  $\llbracket \cdot \rrbracket^f$  (Rooth, 1985, a.o.). For our simple example (2):

(4) a. 
$$[Mary_F ran]^o = \lambda w$$
. Mary ran in  $w$  proposition b.  $[Mary_F ran]^f = \begin{cases} \lambda w$ . Mary ran in  $w$ ,  $\lambda w$ . Abby ran in  $w$ ,  $\lambda w$ . Betty ran in  $w$ ,  $\lambda w$ . Cathy ran in  $w$ 

#### **Modeling questions**

## Sluicing involves questions:

(1) Mary called someone, but I don't know who; Mary called t<sub>i</sub>.

We adopt the view that **questions denote sets of propositions** that are possible answers to the question (Hamblin 1973; Karttunen 1977):

- (5) a. Who did Mary call?
  - b. { Mary called Abby, Mary called Betty, Mary called Cathy
  - c.  $\lambda p. \exists x (p = \lambda w. Mary called x in w)$
- ► Here, the source of the alternatives is the *wh*-word (e.g. Hamblin 1973; Ramchand 1997; Kratzer and Shimoyama 2002; Beck 2006; Cable 2010; Kotek 2014).

#### **Modeling questions**

## Sluicing involves questions:

(1) Mary called someone, but I don't know who; Mary called t<sub>i</sub>.

We adopt the view that questions denote sets of propositions that are possible answers to the question (Hamblin 1973; Karttunen 1977):

- (5) a. Who did Mary call?
  - b.  $\left\{ \text{ Mary called } \underline{\text{Abby}}, \text{ Mary called } \underline{\text{Betty}}, \text{ Mary called } \underline{\text{Cathy}} \ \right\}$
  - c.  $\lambda p.\exists x(p = \lambda w. Mary called x in w)$
- ► Here, the source of the alternatives is the wh-word (e.g. Hamblin 1973; Ramchand 1997; Kratzer and Shimoyama 2002; Beck 2006; Cable 2010; Kotek 2014).

#### **Modeling questions**

## Sluicing involves questions:

(1) Mary called someone, but I don't know who; Mary called t<sub>i</sub>.

We adopt the view that questions denote sets of propositions that are possible answers to the question (Hamblin 1973; Karttunen 1977):

- (5) a. <u>Who</u> did Mary call?
  - b.  $\left\{ \text{ Mary called } \underline{\text{Abby}}, \text{ Mary called } \underline{\text{Betty}}, \text{ Mary called } \underline{\text{Cathy}} \ \right\}$
  - c.  $\lambda p.\exists x(p = \lambda w. Mary called x in w)$
- ► Here, the source of the alternatives is the *wh*-word (e.g. Hamblin 1973; Ramchand 1997; Kratzer and Shimoyama 2002; Beck 2006; Cable 2010; Kotek 2014).

## **Propositions** are sets of worlds that satisfy certain truth conditions:

(6)  $[Mary ran]^o = \lambda w$ . Mary ran in w  $\rightarrow$  the collection of all of the worlds in which Mary ran.

We can define a **union operation** over propositions: U

#### **Modeling propositions**

**Propositions** are **sets of worlds** that satisfy certain truth conditions:

(6)  $[Mary ran]^o = \lambda w$ . Mary ran in w  $\rightarrow$  the collection of all of the worlds in which Mary ran.

We can define a union operation over propositions: U

# **Brief summary**

- Sentences have ordinary and focus semantic values.
- A focus semantic value is a set of propositions.
- A question also denotes a set of propositions.
- A proposition is a set of worlds that satisfy certain truth-conditions.
- We can define operations on these sets, such as  $\cup.$

# Roadmap

- §1 Background
- §2 Proposal: A focus-theoretic account
  - · Simple cases
  - Sprouting
- §3 Against Q-equivalence
- §4 e-GIVENness reconsidered
- §5 Beyond sluicing
- §6 Conclusion

# **Proposal**

## (8) Proposal:

Sluicing may apply in CP<sub>E</sub> provided

- a.  $CP_E$  has a salient antecedent,  $CP_A$ , and
- b. the set of worlds used to construct the alternatives in  $[\![CP_E]\!]^f \leftrightarrow$  the set of worlds used to construct the alternatives in  $[\![CP_A]\!]^f$ .
- For our purposes today, amounts to the following:  $\cup \llbracket \mathsf{CP}_A \rrbracket^f \leftrightarrow \cup \llbracket \mathsf{CP}_E \rrbracket^f$

In other words, sluicing is possible provided the **antecedent and** sluice have the same focus-theoretic propositional content.

## **Proposal**

#### simple sluices

Let's begin by looking at a simple example with an indefinite correlate:

- (9)  $[CP_A]$  Mary called someone ], BIDK  $[CP_E]$  who  $[CP_A]$  who  $[CP_A]$  (= 1)
- Condition (a) of our proposal is met: CP<sub>F</sub> has a salient antecedent CP<sub>A</sub>.
  - Sluiced clause  $CP_E$ : who<sub>i</sub> Mary called  $t_i$
  - Antecedent clause CP<sub>A</sub>: Mary called someone

#### simple sluices

- ► Condition (b) of our proposal is also met:  $\bigcup \llbracket \mathsf{CP}_A \rrbracket^f \leftrightarrow \bigcup \llbracket \mathsf{CP}_E \rrbracket^f$
- (9)  $[_{CP_A}$  Mary called someone ], BIDK  $[_{CP_E}$  who Mary called ].
  - a.  $[[c_{P_E}]]^f = \lambda p. \exists x (p = \lambda w. Mary called x in w)$
  - b.  $\bigcup [[CP_{\varepsilon}] \text{ Who } Mary \text{ called}]]^f = \lambda w. \exists x (Mary \text{ called } x \text{ in } w)$
  - c.  $\bigcup [[CP_A] \text{ Mary called someone}]]^t = \lambda w. \exists x (Mary called x in w)$
  - d.  $(9b) \leftrightarrow (9c)$

#### simple sluices

- ▶ Condition (b) of our proposal is also met:  $\cup \llbracket \mathsf{CP}_A \rrbracket^f \leftrightarrow \cup \llbracket \mathsf{CP}_E \rrbracket^f$
- (9)  $[_{CP_A}$  Mary called someone ], BIDK  $[_{CP_E}$  who Mary called ].
  - a.  $[[c_{P_E}]]^f = \lambda p. \exists x (p = \lambda w. Mary called x in w)$
  - b.  $\bigcup [[CP_E] \text{ Who } \frac{\text{Mary called}}{\text{Mary called}}]]^f = \lambda w. \exists x (Mary called x in w)$
  - c.  $\bigcup [[CP_A \text{ Mary called someone}]]^f = \lambda w. \exists x (Mary called x in w)$
  - d.  $(9b) \leftrightarrow (9c)$

- ▶ Condition (b) of our proposal is also met:  $\bigcup \llbracket \mathsf{CP}_A \rrbracket^f \leftrightarrow \bigcup \llbracket \mathsf{CP}_E \rrbracket^f$
- (9)  $[CP_A]$  Mary called someone ], BIDK  $[CP_E]$  who  $[CP_A]$  who
  - a.  $[[c_{P_E}]]^f = \lambda p. \exists x (p = \lambda w. Mary called x in w)$
  - b.  $\bigcup [[CP_E] \text{ Who } \frac{\text{Mary called}}{\text{Mary called}}]]^f = \lambda w. \exists x (Mary called x in w)$
  - c.  $\bigcup [[CP_A \text{ Mary called someone}]]^f = \lambda w. \exists x (Mary called x in w)$
  - d.  $(9b) \leftrightarrow (9c)$

## **Proposal**

#### **Sprouting**

**Sprouting:** When the remnant lacks an explicit linguistic correlate (Chung et al. 1995, a.o.).

(10) Jack ate, but I don't know what.

(11) Jack left, but I don't know 

when with whom in which car why how where to ...

### **Adjunct sprouting**

- ▶ Our proposal licenses adjunct sprouting:
- (12)  $[CP_A]$  Jack left  $[CP_E]$ , BIDK  $[CP_E]$  when  $\frac{1}{2}$  Jack left  $[CP_E]$ .
  - a.  $[When \frac{Jack \, left}{Jack \, left}]^f = \lambda p. \exists t (p = \lambda w. \, Jack \, left \, at \, time \, t \, in \, w)$
  - b.  $\bigcup$  [When Jack left]  $^f = \lambda w. \exists t (Jack left at time t in w)$
  - c.  $\bigcup [Jack \, left]^f = \lambda w$ . Jack left in w
  - d.  $(12b) \leftrightarrow (12c)$

The trick: If Jack left in w, then Jack left at a certain time *t* in w

### **Adjunct sprouting**

- ▶ Our proposal licenses adjunct sprouting:
- (12)  $[CP_A]$  Jack left  $[CP_A]$ , BIDK  $[CP_A]$  when  $\frac{1}{2}$  Jack left  $[CP_A]$ .
  - a.  $[When Jack left]^f = \lambda p. \exists t(p = \lambda w. Jack left at time t in w)$
  - b.  $\bigcup$  [When Jack left]  $^f = \lambda w. \exists t (Jack left at time t in w)$
  - c.  $\bigcup$  [Jack left]  $f = \lambda w$ . Jack left in w
  - d.  $(12b) \leftrightarrow (12c)$

The trick: If Jack left in w, then Jack left at a certain time *t* in w

### **Adjunct sprouting**

- ▶ Our proposal licenses adjunct sprouting:
- (12)  $[CP_A]$  Jack left  $[CP_E]$ , BIDK  $[CP_E]$  when  $\frac{1}{2}$  Jack left  $[CP_E]$ .
  - a. [When Jack left]  $f = \lambda p$ .  $\exists t (p = \lambda w. Jack left at time t in w)$
  - b.  $\bigcup$  [When Jack left]  $f = \lambda w. \exists t (Jack left at time t in w)$
  - c.  $\bigcup$  [Jack left]  $f = \lambda w$ . Jack left in w
  - d.  $(12b) \leftrightarrow (12c)$

The trick: If Jack left in w, then Jack left at a certain time t in w.

### **Argument sprouting**

- ▶ Our proposal also licenses argument sprouting:
- (13)  $[CP_A]$  Jack ate ], BIDK  $[CP_E]$  what  $\frac{1}{2}$  what  $\frac{1}{2}$  ack ate ].
  - a.  $[what Jack ate]^f = \lambda p. \exists x (p = \lambda w. Jack ate x in w)$
  - b.  $\bigcup$  [what Jack ate]  $^f = \lambda w. \exists x (Jack \ ate \ x \ in \ w)$
  - c.  $\bigcup$  [Jack ate]  $^f = \lambda w$ . Jack ate in w
  - d.  $(13b) \leftrightarrow (13c)$

The trick: If Jack ate in w, then Jack ate a certain thing x in w.

### **Argument sprouting**

- ▶ Our proposal also licenses argument sprouting:
- (13)  $[CP_A]$  Jack ate ], BIDK  $[CP_E]$  what  $\frac{1}{2}$  what  $\frac{1}{2}$  ate ].
  - a.  $[what Jack ate]^f = \lambda p. \exists x (p = \lambda w. Jack ate x in w)$
  - b.  $\bigcup$  [what  $\exists x \in \mathbb{Z}^f = \lambda w . \exists x (\exists x \in x \text{ in } w)$
  - c.  $\bigcup$  [Jack ate]  $^f = \lambda w$ . Jack ate in w
  - d.  $(13b) \leftrightarrow (13c)$

The trick: If Jack ate in w, then Jack ate a certain thing x in w,

### **Argument sprouting**

- ▶ Our proposal also licenses argument sprouting:
- (13)  $[CP_A]$  Jack ate ], BIDK  $[CP_E]$  what  $\frac{1}{2}$  what  $\frac{1}{2}$  ate ].
  - a.  $[what Jack ate]^f = \lambda p. \exists x (p = \lambda w. Jack ate x in w)$
  - b.  $\bigcup$  [what Jack ate]  $^f = \lambda w. \exists x (Jack \ ate \ x \ in \ w)$
  - c.  $\bigcup$  [Jack ate]  $^f = \lambda w$ . Jack ate in w
  - d.  $(13b) \leftrightarrow (13c)$

The trick: If Jack ate in w, then Jack ate a certain thing x in w.

**Summary** 

► A focus-based account

Sluicing is possible provided the **antecedent and sluice have the same focus-theoretic propositional content**.

## Roadmap

- §1 Background
- §2 Proposal: A focus-theoretic account
- §3 Against Q-equivalence
  - Background: Q-equivalence approaches
  - Sprouting
  - · Non-issue antecedents
  - The answer ban
  - · Antecedent sharing
- §4 e-GIVENness reconsidered
- §5 Beyond sluicing
- §6 Conclusion

**Background: Q-equivalence approaches** 

**The intuition:** antecedents with expressions like indefinites and disjunctions implicitly raise questions as to which alternative holds.

- (14) Someone left → Who left?
- (15) Abby or Betty left → Which one left?

Sluicing is possible when the sluice is equivalent to the question raised by the antecedent (Ginzburg and Sag 2000; AnderBois 2011; Barros 2014; Weir 2014; Kotek and Barros to appear).

**Background: Q-equivalence approaches** 

### Q: How do we determine precisely what question is raised?

- ► AnderBois 2011: the question raised by the antecedent is its Inquisitive-Semantic inquisitive denotation (called an *issue*)
- Algorithmic approaches: heuristically arrive at a Question under Discussion (QuD), in the sense of Roberts 1996/2012 (Büring 2003; Barros 2012, 2014).

### (16) The algorithm in Barros 2014:

- a. Replace the indefinite/disjunction with the corresponding *wh*-phrase.
- b. Front the wh-phrase.
- c. The result is the QuD raised by the antecedent.

**Sprouting** 

Sprouting is famously flexible.

For Q-equivalence approaches, different *issues* or *QuDs* must be available for the antecedent to license ellipsis in each case.

- (17) a. Jack met someone, BIDK { who/when }.
  - b. Jack left, BIDK { when/how/in which car/why/where to,  $\dots$  }
- ➤ To what extent is the antecedent responsible for raising any particular issue/QuD at all?

Our answer: It is, in fact, the sluice that is responsible for determining the relevant issue.

**Sprouting** 

Sprouting is famously flexible.

For Q-equivalence approaches, different *issues* or *QuDs* must be available for the antecedent to license ellipsis in each case.

- (17) a. Jack met someone, BIDK { who/when }.
  - b. Jack left, BIDK { when/how/in which car/why/where to,  $\dots$  }
- ➤ To what extent is the antecedent responsible for raising any particular issue/QuD at all?

Our answer: It is, in fact, the sluice that is responsible for determining the relevant issue.

**Sprouting** 

Sprouting is famously flexible.

For Q-equivalence approaches, different *issues* or *QuDs* must be available for the antecedent to license ellipsis in each case.

- (17) a. Jack met someone, BIDK { who/when }.
  - b. Jack left, BIDK { when/how/in which car/why/where to,  $\dots$  }
- ➤ To what extent is the antecedent responsible for raising any particular issue/QuD at all?

Our answer: It is, in fact, the sluice that is responsible for determining the relevant issue.

#### Non-issue antecedents

- 1 Explicit *non*-issues can be sluiced/sprouted.
- (18) Someone, anyone, needs to make sure the plants get watered daily, it doesn't matter {who, when}.
- (19) There's going to be another faculty meeting, but no one cares what about. (Lucas Champollion p.c.)

Issues/QuDs are discourse moves, accepted by conversational participants, who have agreed to collaboratively address the issue. But,

- In (18), does the antecedent really raise a who question?
- In (19), we have to accommodate that the antecedent raises a *what about* issue —i.e., that *what about* matters, despite our explicit denial

#### Non-issue antecedents

- 1 Explicit *non*-issues can be sluiced/sprouted.
- (18) Someone, anyone, needs to make sure the plants get watered daily, it doesn't matter {who, when}.
- (19) There's going to be another faculty meeting, but no one cares what about. (Lucas Champollion p.c.)

Issues/QuDs are discourse moves, accepted by conversational participants, who have agreed to collaboratively address the issue. But,

- In (18), does the antecedent really raise a who question?
- In (19), we have to accommodate that the antecedent raises a *what about* issue —i.e., that *what about* matters, despite our explicit denial

#### Non-issue antecedents

- 1 Explicit *non*-issues can be sluiced/sprouted.
- (18) Someone, anyone, needs to make sure the plants get watered daily, it doesn't matter {who, when}.
- (19) There's going to be another faculty meeting, but no one cares what about. (Lucas Champollion p.c.)

Issues/QuDs are discourse moves, accepted by conversational participants, who have agreed to collaboratively address the issue. But,

- In (18), does the antecedent really raise a who question?
- In (19), we have to accommodate that the antecedent raises a what about issue —i.e., that what about matters, despite our explicit denial.

The Answer Ban

- 2 The answer ban: Sluicing antecedents cannot address, or even partially address the issue raised by the sluice (Barker 2013).
- (20) \* Chris knows that Jack left, but Sally doesn't know who left.

Barros 2013 claims that the answer ban follows from Q-equivalence:

- · QuDs/Issues only obtain when they are unanswered.
- The sluice in (20) simply lacks an antecedent QuD/Issue.
- This correctly rules sluicing out.

#### The Answer Ban

- **The answer ban:** Sluicing antecedents cannot address, or even partially address the issue raised by the sluice (Barker 2013).
- (20) \* Chris knows that Jack left, but Sally doesn't know who left.

Barros 2013 claims that the answer ban follows from Q-equivalence:

- QuDs/Issues only obtain when they are unanswered.
- The sluice in (20) simply lacks an antecedent QuD/Issue.
- This correctly rules sluicing out.

The Answer Ban

However, the Answer Ban is stated as a constraint on *antecedents*, while QuDs/Issues are *discourse objects* — an ontological problem.

- ▶ Moreover, contrary to the predictions of Q-equivalence approaches, it is possible to sluice an "answered question":
- (21) Bill left at 5 PM, so we know both that he left, and when he left.
- (22) Bill left at 5 PM, so we know both *that* someone left at 5 PM, and who left at 5 PM.

Under Barros's 2013 reasoning, it is unclear why it matters whether it's the antecedent or the context that answers the sluice's question.

The Answer Ban

However, the Answer Ban is stated as a constraint on *antecedents*, while QuDs/Issues are *discourse objects* — an ontological problem.

- ► Moreover, contrary to the predictions of Q-equivalence approaches, it is possible to sluice an "answered question":
- (21) Bill left at 5 PM, so we know both that he left, and when he left.
- (22) Bill left at 5 PM, so we know both *that* someone left at 5 PM, and who left at 5 PM.

Under Barros's 2013 reasoning, it is unclear why it matters whether it's the antecedent or the context that answers the sluice's question.

The Answer Ban

However, the Answer Ban is stated as a constraint on *antecedents*, while QuDs/Issues are *discourse objects* — an ontological problem.

- ► Moreover, contrary to the predictions of Q-equivalence approaches, it is possible to sluice an "answered question":
- (21) Bill left at 5 PM, so we know both that he left, and when he left.
- (22) Bill left at 5 PM, so we know both *that* someone left at 5 PM, and who left at 5 PM.

Under Barros's 2013 reasoning, it is unclear why it matters whether it's the antecedent or the context that answers the sluice's question.

#### The Answer Ban

- ▶ Under our approach, the Answer Ban follows from the fact that  $\cup$  [antecedent]  $^f \neq \cup$  [sluice]  $^f$  whenever the antecedent answers the sluice.
- In (22) the sluice and antecedent are equivalent in our terms:
  - (22) Bill left at 5 PM, so we know both [ $_{CP_A}$  that someone left at 5 PM], and [ $_{CP_F}$  who left at 5 PM].

#### **Antecedent sharing**

- 3 Cases that we dub **Antecedent Sharing** raise further challenges.
- (24) Jack met someone, BIDK who he met, or when he met them.

Q-equivalence accounts undergenerate:

- Such cases require that antecedents be associated with multiple issues simultaneously (one for each sluice).
- Current proposals don't allow for more than one question/issue at a time — since it's the antecedent that must raise the question/issue.

#### **Antecedent sharing**

- 3 Cases that we dub **Antecedent Sharing** raise further challenges.
  - (24) Jack met someone, BIDK who he met, or when he met them.

### Q-equivalence accounts undergenerate:

- Such cases require that antecedents be associated with multiple issues simultaneously (one for each sluice).
- Current proposals don't allow for more than one question/issue at a time — since it's the antecedent that must raise the question/issue.

## Which QuD?

#### **Antecedent sharing**

- ► Under our approach, antecedent sharing is no different than any other case of sluicing/sprouting.
- (24) Jack met someone, BIDK who he met, or when he met them.
  - a.  $\bigcup [ Jack met someone ] ^f = \lambda w. \exists x (Jack met x in w)$
  - b.  $\bigcup$  [who Jack met]]  $f = \lambda w$ .  $\exists x (Jack met x in w)$

Equivalence holds, given that meeting x in w necessitates meeting x at time t in w (cf 12).

Interim summary

- ► This challenges Q-equivalence on principled explanatory grounds.
  - Q-equivalence approaches attribute ellipsis licensing to QuDs/Issues raised by the antecedent. But...
    - In sprouting, the question is intuitively accommodated posthoc, once the sprout is uttered.
    - · Non-issue antecedents can license sluicing.
    - Resolved questions can license sluicing (the answer ban).
    - A singe antecedent can license multiple sluices (antecedent sharing).
  - ...It is the **sluice** that guides the choice of issue.

We shouldn't place the burden of raising the issue on the antecedent, contra the very foundation of Q-equivalence approaches.

Interim summary

- ► This challenges Q-equivalence on principled explanatory grounds.
- Q-equivalence approaches attribute ellipsis licensing to QuDs/Issues raised by the antecedent. But...
  - In sprouting, the question is intuitively accommodated posthoc, once the sprout is uttered.
  - · Non-issue antecedents can license sluicing.
  - Resolved questions can license sluicing (the answer ban).
  - A singe antecedent can license multiple sluices (antecedent sharing).
- ...It is the sluice that guides the choice of issue.

We shouldn't place the burden of raising the issue on the antecedent, contra the very foundation of Q-equivalence approaches.

## Roadmap

- §1 Background
- §2 Proposal: A focus-theoretic account
- §3 Against Q-equivalence
- §4 e-GIVENness reconsidered
- §5 Beyond sluicing
- §6 Conclusion

Our approach, like Merchant's 2001 influential proposal, is a focus-theoretic one.

- We consider whether a return to Merchant's proposal is warranted...
- ...and conclude that this is not possible.

- (25) Merchant's 2001 focus condition on ellipsis: A constituent,  $XP_E$  may be elided iff it is e-GIVEN.
- (26) A constituent,  $XP_E$  counts as e-GIVEN iff  $XP_E$  has a salient antecedent,  $XP_A$ , and, modulo  $\exists$ -type shifting,
  - a.  $XP_A$  entails F-clo( $XP_E$ ), and
  - b.  $XP_E$  entails F-clo( $XP_A$ )
- (27) F-clo(XP) is the result of replacing focused parts of XP with existentially bound variables of the same type as XP.

### An illustration of e-GIVENness at work:

- (28)  $[_{TP_A}$  Someone left ], but I don't know who  $[_{TP_E}$  left ].
  - a. F-clo(TP<sub>E</sub>) =  $\lambda w. \exists x (x \ left \ in \ w)$
  - b. F-clo(TP<sub>A</sub>) =  $\lambda w$ .  $\exists x (x left in w)$
  - c.  $TP_A \models F\text{-}clo(TP_E)$
  - d.  $TP_E \models F\text{-clo}(TP_A)$
  - ightarrow e-GIVENness is met, sluicing correctly predicted to be possible

- ➤ Taking the union of the Roothian focus-semantic value of some XP comes very close to Merchant's appeal to Existential Focus Closure.
  - (See Weir 2014 for this observation with Fragment Answers.)
- (29) a.  $\bigcup$  [Who left?]  $^f = \lambda w. \exists x (x left in w)$ 
  - b. F-clo(Who left?) =  $\lambda w. \exists x (x \text{ left in } w)$

For the most part, e-GIVENness will achieve what our account has so far, unlike of Q-equivalence approaches.

However, e-GIVENness falls short for sluices with quantified correlates.

- ➤ Taking the union of the Roothian focus-semantic value of some XP comes very close to Merchant's appeal to Existential Focus Closure.
  - (See Weir 2014 for this observation with Fragment Answers.)
- (29) a.  $\bigcup$  [Who left?]  $^f = \lambda w. \exists x (x left in w)$ 
  - b. F-clo(Who left?) =  $\lambda w. \exists x (x \text{ left in } w)$

For the most part, e-GIVENness will achieve what our account has so far, unlike of Q-equivalence approaches.

However, e-GIVENness falls short for sluices with quantified correlates

- ➤ Taking the union of the Roothian focus-semantic value of some XP comes very close to Merchant's appeal to Existential Focus Closure.
  - (See Weir 2014 for this observation with Fragment Answers.)
- (29) a.  $\bigcup$  [Who left?]  $^f = \lambda w. \exists x (x left in w)$ 
  - b. F-clo(Who left?) =  $\lambda w. \exists x (x \text{ left in } w)$

For the most part, e-GIVENness will achieve what our account has so far, unlike of Q-equivalence approaches.

However, e-GIVENness falls short for sluices with quantified correlates.

**Multiple sluicing** (sluicing with more than one remnant), may involve quantified NPs as correlates (Lasnik 2011; Kotek and Barros to appear).

(30) Everyone was dancing with someone, but I can't recall who with whom.

The sluiced issue here is, intuitively, a "pair-list" question, seeking which pairs of individuals were dancing together.

e-GIVENness is not met, however.

- (30) [ $_{TP_A}$  Everyone was dancing with someone], but I can't recall who [ $_{TP_E}$  was dancing] with whom.
  - a.  $TP_A = F\text{-clo}(TP_A) = \forall x (person(x) \rightarrow \exists y (person(y) \land dancing\text{-}with(x, y)))$
  - b.  $TP_E = F\text{-clo}(TP_E) = \exists x \exists y (person(x) \land person(y) \land dancing\text{-with}(x, y))$
  - c.  $TP_A \models F\text{-clo}(TP_E)$ , but
  - d.  $TP_E \not\models F\text{-clo}(TP_A)$
  - ightarrow e-GIVENness is not met, sluicing incorrectly predicted to be impossible.

### e-GIVENness reconsidered

This extends beyond multiple sluicing, to sluices with unambiguously quantificational correlates:

- (31) She read most of the books, but we don't know which ones she read.
  - a.  $TP_A$  entails F-clo( $TP_E$ ) (there are books that Sally read), but
  - b. but  $TP_E$  does not entail F-clo( $TP_A$ ).
  - $\rightarrow \;$  e-GIVENness is not met, sluicing incorrectly predicted to be impossible.

### e-GIVENness reconsidered

- ▶ Under our approach the multiple sluicing facts and those with quantified correlates are predicted.
  - We adopt the approach to pair-list Questions in Dayal 1996.
  - Pair-list Qs denote a set of exhaustive pairings of individuals in the domain. In a toy model with 4 individuals:
- (30) Everyone was dancing with someone, but I can't recall who was dancing with whom.
- (32) [Who was dancing with whom]  $^{o}$  =  $\left\{ \begin{array}{l} a \text{ and } b \text{ danced and } c \text{ and } d \text{ danced,} \\ a \text{ and } c \text{ danced and } b \text{ and } d \text{ danced,} \\ a \text{ and } d \text{ danced and } b \text{ and } c \text{ danced} \end{array} \right\}$

Each alternative is a graph of the "dance with" relation.

### e-GIVENness reconsidered

The union of the multiple sluice meaning, then, is the proposition "everyone danced with someone":

(33) 
$$\bigcup \left\{ \begin{array}{l} a \text{ and } b \text{ danced and } c \text{ and } d \text{ danced, } a \text{ and } c \text{ danced and} \\ b \text{ and } d \text{ danced, } a \text{ and } d \text{ danced and } b \text{ and } c \text{ danced} \end{array} \right\}$$

- This is the set of worlds where a, b, c, and d danced with someone.
- This is equivalent to  $\cup \llbracket \text{Everyone danced with someone} \rrbracket^f.$

## Roadmap

- §1 Background
- §2 Proposal: A focus-theoretic account
- §3 Against Q-equivalence
- §4 e-GIVENness reconsidered
- §5 Beyond sluicing
- §6 Conclusion

Q-equivalence approaches imply a conceptually unattractive conclusion about identity in ellipsis:

 VP ellipsis and NP ellipsis are subject to independent semantic equivalence conditions on licensing than sluicing (Chung et al. 1995, 2010; AnderBois 2011).

On the other hand, e-GIVENness in Merchant 2001 had broad empirical coverage deriving VP, NP, and TP ellipsis.

▶ We show how to extend our proposal to achieve similar coverage, and in fact improve on e-GIVENness.

Q-equivalence approaches imply a conceptually unattractive conclusion about identity in ellipsis:

 VP ellipsis and NP ellipsis are subject to independent semantic equivalence conditions on licensing than sluicing (Chung et al. 1995, 2010; AnderBois 2011).

On the other hand, e-GIVENness in Merchant 2001 had broad empirical coverage deriving VP, NP, and TP ellipsis.

► We show how to extend our proposal to achieve similar coverage, and in fact improve on e-GIVENness.

Hartman 2009 points out a set of cases where, for VP ellipsis, e-GIVENness overpredicts identity when *relational opposites* are involved.

- (34) \* Mary will  $[_{VP_A}$  beat someone at chess, and John will  $[_{VP_E}$  lose to someone at chess] (too).
  - a.  $VP_A = F\text{-clo}(VP_A) = \exists x, y(x \text{ will beat } y \text{ at chess})$
  - b.  $VP_E = F\text{-clo}(VP_E) = \exists x, y(x \text{ will lose to } y \text{ at chess})$
  - $\rightarrow \;$  e-GIVENness is met, sluicing incorrectly predicted to be possible.

Hartman appeals to *semantic equivalence* to prevent these cases. (See Hartman 2009 for details.)

- $VP_A = \lambda x$ . x won at chess
- $VP_E = \lambda x. x lost at chess$
- $VP_A \neq VP_E$

▶ In an important way, our proposal is in this spirit.

By making reference to the propositional content of the focus semantic values of antecedent and sluice, we come close to Hartman's intuition.

Our approach can be generalized to cover VPE in the same way as Hartman's proposal.

(35) Our Proposal Generalized Beyond Sluicing  $XP_E$  may be elided provided it has a salient antecedent,  $XP_A$ , and  $\bigcup [XP_E]^f = \bigcup [XP_A]^f$ .

- (36) a.  $\bigcup [[v_{P_E} \text{ lost at chess}]]^f = \bigcup \{ \lambda x. x \text{ lost at chess } \} = \lambda x. x \text{ lost at chess}$ 
  - b.  $\bigcup [[v_{P_E} \text{ won at chess}]]^f = \bigcup \{ \lambda x. x \text{ won at chess} \} = \lambda x. x \text{ won at chess}$

Since these are not equivalent, our generalized condition achieves Hartman's goal just the same.

➤ This proposal achieves the same coverage as e-GIVENness — and improves on it by dealing with relational opposites, by virtue of making reference to non-propositional content.

- (36) a.  $\bigcup [[[VP_{\varepsilon} \text{ lost at chess}]]]^f = \bigcup \{ \lambda x. x \text{ lost at chess } \} = \lambda x. x \text{ lost at chess}$ 
  - b.  $\bigcup [[v_{P_E} \text{ won at chess}]]^f = \bigcup \{\lambda x. x \text{ won at chess}\} = \lambda x. x \text{ won at chess}$

Since these are not equivalent, our generalized condition achieves Hartman's goal just the same.

➤ This proposal achieves the same coverage as e-GIVENness — and improves on it by dealing with relational opposites, by virtue of making reference to non-propositional content.

## Our Proposal in a Broader Context

### ▶ Can we go even further?

**Observation:** Hartman 2009's problem goes beyond VP-ellipsis, and also affects deaccenting.

\* Mary will beat someone at chess, and John will lose to someone at chess

We conclude that this points to a **unified condition for ellipsis and deaccenting**, along the lines of Fox 2000.

## Our Proposal in a Broader Context

Can we go even further?

**Observation:** Hartman 2009's problem goes beyond VP-ellipsis, and also affects deaccenting.

(37) \* Mary will beat someone at chess, and John will lose to someone at chess.

We conclude that this points to a unified condition for ellipsis and deaccenting, along the lines of Fox 2000.

## Our Proposal in a Broader Context

Can we go even further?

**Observation:** Hartman 2009's problem goes beyond VP-ellipsis, and also affects deaccenting.

(37) \* Mary will beat someone at chess, and John will lose to someone at chess.

We conclude that this points to a **unified condition for ellipsis and deaccenting**, along the lines of Fox 2000.

## Roadmap

- §1 Background
- §2 Proposal: A focus-theoretic account
- §3 Against Q-equivalence
- §4 e-GIVENness reconsidered
- **§5** Beyond sluicing
- §6 Conclusion

- ▶ Ellipsis is a radical mismatch between PF and LF. How is it licensed?
- ① The propositional content of the focus semantic value of the antecedent must be equivalent to that of the sluice:  $\bigcup \mathbb{CP}_A \mathbb{I}^f \leftrightarrow \bigcup \mathbb{CP}_E \mathbb{I}^f$ .
- 2 This proposal accounts for simple cases of sluicing, and also for:
  - sprouting

the answer bar

non-issue antecedents

- antecedent sharing
- 3 Challenges for Q-equivalence approaches and for e-GIVENness.
  - antecedents shouldn't be responsible for raising issues
  - sluicing with quantified correlates; relational opposites
- 4 Generalizing beyond sluicing:
  - VP ellipsis
  - (Ongoing work: deaccenting)

- ▶ Ellipsis is a radical mismatch between PF and LF. How is it licensed?
- **1** The propositional content of the focus semantic value of the antecedent must be equivalent to that of the sluice:  $\bigcup [CP_A]^f \leftrightarrow \bigcup [CP_E]^f$ .
- This proposal accounts for simple cases of sluicing, and also for:
  - sprouting

the answer bar

non-issue antecedents

- · antecedent sharing
- 3 Challenges for Q-equivalence approaches and for e-GIVENness.
  - antecedents shouldn't be responsible for raising issues
  - sluicing with quantified correlates; relational opposites
- 4 Generalizing beyond sluicing:
  - VP ellipsis
  - (Ongoing work: deaccenting)

- Ellipsis is a radical mismatch between PF and LF. How is it licensed?
- **1** The propositional content of the focus semantic value of the antecedent must be equivalent to that of the sluice:  $\bigcup [CP_A]^f \leftrightarrow \bigcup [CP_E]^f$ .
- 2 This proposal accounts for simple cases of sluicing, and also for:
  - sprouting

the answer ban

non-issue antecedents

- antecedent sharing
- 3 Challenges for Q-equivalence approaches and for e-GIVENness.
  - antecedents shouldn't be responsible for raising issues
  - sluicing with quantified correlates; relational opposites
- 4 Generalizing beyond sluicing:
  - VP ellipsis
  - (Ongoing work: deaccenting)

- Ellipsis is a radical mismatch between PF and LF. How is it licensed?
- **1** The propositional content of the focus semantic value of the antecedent must be equivalent to that of the sluice:  $\bigcup [CP_A]^f \leftrightarrow \bigcup [CP_E]^f$ .
- This proposal accounts for simple cases of sluicing, and also for:
  - sprouting

the answer ban

· non-issue antecedents

- · antecedent sharing
- 3 Challenges for Q-equivalence approaches and for e-GIVENness.
  - antecedents shouldn't be responsible for raising issues
  - sluicing with quantified correlates; relational opposites
- 4 Generalizing beyond sluicing:
  - VP ellipsis
  - (Ongoing work: deaccenting)

- Ellipsis is a radical mismatch between PF and LF. How is it licensed?
- **1** The propositional content of the focus semantic value of the antecedent must be equivalent to that of the sluice:  $\bigcup [CP_A]^f \leftrightarrow \bigcup [CP_E]^f$ .
- This proposal accounts for simple cases of sluicing, and also for:
  - sprouting

the answer ban

· non-issue antecedents

- antecedent sharing
- 3 Challenges for Q-equivalence approaches and for e-GIVENness.
  - antecedents shouldn't be responsible for raising issues
  - sluicing with quantified correlates; relational opposites
- 4 Generalizing beyond sluicing:
  - VP ellipsis
  - (Ongoing work: deaccenting)

## Thank you!

# Thank you! Questions?

For helpful comments and suggestions we would like to thank Scott AnderBois, Lucas Champollion, Masha Esipova, Bob Frank, Paloma Jeretic, Jason Merchant, Anna Szabolsci, as well as audiences at Brown University, New York University, Yale University, and George Mason University.

### References I

- AnderBois, Scott. 2011. Issues and alternatives. Doctoral Dissertation, UC Santa Cruz.
- Barker, Chris. 2013. Scopability and sluicing. *Linguistics and Philosophy* 36:187—223.
- Barros, Matthew. 2012. Short sources and pseudosluicing: a non-repair approach to island sensitivity in contrastive TP ellipsis. In *Proceedings of CLS 48*, 61—75. Chicago Linguistic Society.
- Barros, Matthew. 2013. Harmonic sluicing: Which remnant/correlate pairs work and why. In *Proceedings of SALT 23*, 295–315.
- Barros, Matthew. 2014. Sluicing and identity in ellipsis. Doctoral Dissertation, Rutgers University.
- Beck, Sigrid. 2006. Intervention effects follow from focus interpretation. *Natural Language Semantics* 14:1–56.

## References II

- Büring, Daniel. 2003. On D-trees, beans, and B-accents. *Linguistics and Philosophy* 26:511–545.
- Cable, Seth. 2010. *The grammar of Q: Q-particles, wh-movement, and pied-piping*. Oxford, UK: Oxford University Press.
- Chung, Sandra. 2006. Sluicing and the lexicon: The point of no return. In *BLS 31:* general session and parasession on prosodic variation and change, ed. Cover and Kim, 73–91.
- Chung, Sandra. 2013. Syntactic identity in sluicing: How much and why. *Linguistic Inquiry* 44:1–44.
- Chung, Sandra, William Ladusaw, and James McCloskey. 1995. Sluicing and logical form. *Natural Language Semantics* 3:239–282.
- Chung, Sandra, William Ladusaw, and James McCloskey. 2010. Sluicing: between structure and inference. In *Representing language: Essays in honor of Judith Aissen*, ed. Rodrigo Gútierrez Bravo, Line Mikkelsen, and Eric Potsdam, 31–50. Santa Cruz: University of California, Santa Cruz: Linguistic Research Center.

## References III

- Comorovski, Ileana. 1996. *Interrogative phrases and the syntax-semantics interface*. Kluwer Academic Publishers.
- Dayal, Veneeta. 1996. Locality in wh quantification: Questions and relative clauses in hindi. Kluwer Academic Publishers.
- Fox, Danny. 2000. *Economy and semantic interpretation*. Cambridge, Mass.: MIT Press.
- Ginzburg, Jonathan, and Ivan Sag. 2000. *Interrogative investigations: The form, meaning and use of english interrogatives*. CLSI publications.
- Hamblin, C. L. 1973. Questions in montague english. *Foundations of Language* 10:41–53.
- Hartman, Jeremy. 2009. When e-GIVENness over-predicts identity. Handout presented at the Fourth Brussels Conference on Generative Linguistics (BCGL 4), Ellipsis Workshop. Hogeschool-Universiteit Brussel.

### **References IV**

- Heim, Irene. 1983. On the projection problem for presuppositions. In *Proceedings of WCCFL 2*, ed. M. Barlow, D. Flickinger, and M. Wescoat, 114–125. Stanford University.
- Horn, Laurence. 1972. On the semantic properties of logical operators in english. Doctoral Dissertation, UCLA.
- Kadmon, Nirit. 2001. Formal pragmatics: semantics, pragmatics, presupposition, and focus. Blackwell Publishers.
- Karttunen, Lauri. 1974. Presuppositions and linguistic context. *Theoretical Linguistics* 1:181–194.
- Karttunen, Lauri. 1977. Syntax and semantics of questions. *Linguistics and Philosophy* 1:3–44.
- Karttunen, Lauri, and Stanley Peters. 1976. What indirect questions conventionally implicate. In *Papers from the twelfth Regional Meeting of the Chicago Linguistic Society*. Chicago, Illinois.

### References V

- Kotek, Hadas. 2014. Composing questions. Doctoral Dissertation, Massachusetts Institute of Technology.
- Kotek, Hadas, and Matthew Barros. to appear. Multiple sluicing, scope, and superiority: consequences for ellipsis identity. *Linguistic Inquiry*.
- Kratzer, A., and J. Shimoyama. 2002. Indeterminate pronouns: The view from japanese. In *The Proceedings of hte Third Tokyo Conference on Psycholinguistics*, ed. Y. Otsu, 1–25.
- Lasnik, Howard. 2011. Multiple sluicing in english? Ms. University of Maryland.
- Lewis, David. 1979. Scorekeeping in a language game. *Journal of Philosophical Logic* 8:339–359.
- Merchant, Jason. 2001. *The syntax of silence: Sluicing, islands, and the theory of ellipsis*. Oxford: Oxford University Press.
- Ramchand, Gillian. 1997. Questions, polarity and alternative semantics. In *Proceedings of NELS 27*, 383–396.

### References VI

- Roberts, Craige. 1996. *Information structure: Towards an integrated theory of formal pragmatics*, volume 49 of *OSU Working Papers in Linguistics*. OSU: The Ohio State University Department of Linguistics.
- Roberts, Craige. 2012. Information structure in discourse: towards an integrated formal theory of pragmatics. *Semantics and Pragmatics* 5:1–69.
- Romero, Maribel. 1998. Focus and reconstruction effects in wh-phrases. Doctoral Dissertation, University of Massachusetts Amherst.
- Rooth, Mats. 1985. Association with focus. Doctoral Dissertation, UMASS, Amherst, Amherst, MA.
- Rooth, Mats. 1992. A theory of focus interpretation. *Natural Language Semantics* 1:75–116.
- Ross, John. 1969. Guess who? In *Papers from the 5th regional meeting of the Chicago Linguistic Society*, ed. R. Binnick, A. Davison, G. Green, and J. Morgan, 252–286. Chicago: Chicago Linguistic Society.

### **References VII**

- Sag, Ivan. 1976. A logical theory of verb phrase deletion. In *Papers from the Twelfth Regional Meeting of the Chicago Linguistic Society*, 533–547. Chicago: CLS.
- Stalnaker, Robert. 1974. Pragmatic pressupositions. In *Semantics and philosophy*, ed. M. Munitz and P. Unger, 197–214. New York, NY: New York University Press.
- Weir, Andrew. 2014. Fragments and clausal ellipsis. Doctoral Dissertation, University of Massachusetts Amherst.
- Williams, Edwin. 1977. Discourse and logical form. *Linguistic Inquiry* 8:101–139.

A problem: Sprouting with more contentful remnants not predicted.

- (38)  $[CP_A]$  Jack ordered ], BIDK  $[CP_E]$  which entrée Jack ordered ].
  - a.  $[which entrée Jack ordered]^f = \lambda p. \exists x (entrée(x) \& p = \lambda w. Jack ordered x in w)$
  - b.  $\bigcup [which entrée Jack ordered]^f$ =  $\lambda w. \exists x (\text{ENTREE}(x) \& Jack ordered x in w)$
  - c.  $\bigcup$  [Jack ordered]  $^f = \lambda w. \exists x (Jack ordered x in w)$
  - d. (38b) *↔* (38c)
- (39) The same is true for adjuncts:

Jack left, but I don't know in which car.

A solution: Global Accommodation (Heim 1983)

(40) I am sorry I am late. My bike has a flat.

If at time t something is said that requires presupposition P to be acceptable, and if P is not presupposed just before t, then—ceteris paribus and within certain limits—presupposition P comes into existence at t (Lewis 1979:340)

(41) Globality Principle (Heim 1983)Global accommodation is preferred to local accommodation

#### A solution: Global Accommodation (Heim 1983)

- Wh-questions come with an existential presupposition (Horn 1972; Karttunen and Peters 1976; Comorovski 1996; Dayal 1996).
  - *B* is a presupposition of *S* iff *S* can be felicitously uttered only in contexts that entail *B* (Kadmon 2001).
- (42) Jack left, but I don't know in which car <del>Jack left</del>. ps(in which car <del>Jack left</del>) = Jack left in some car.
- 2 Following the Karttunen 1974-Stalnaker 1974-Heim 1983 theory of presupposition projection:
- (43) Globally accommodated presupposition of (42) If Jack left, then he left in some car.

#### A solution: Global Accommodation (Heim 1983)

- Wh-questions come with an existential presupposition (Horn 1972; Karttunen and Peters 1976; Comorovski 1996; Dayal 1996).
  - *B* is a presupposition of *S* iff *S* can be felicitously uttered only in contexts that entail *B* (Kadmon 2001).
- (42) Jack left, but I don't know in which car <del>Jack left</del>. ps(in which car <del>Jack left</del>) = Jack left in some car.
- 2 Following the Karttunen 1974-Stalnaker 1974-Heim 1983 theory of presupposition projection:
- (43) Globally accommodated presupposition of (42) If Jack left, then he left in some car.

### A solution: Global Accommodation (Heim 1983)

- Wh-questions come with an existential presupposition (Horn 1972; Karttunen and Peters 1976; Comorovski 1996; Dayal 1996).
  - *B* is a presupposition of *S* iff *S* can be felicitously uttered only in contexts that entail *B* (Kadmon 2001).
- (42) Jack left, but I don't know in which car <del>Jack left</del>. ps(in which car <del>Jack left</del>) = Jack left in some car.
- 2 Following the Karttunen 1974-Stalnaker 1974-Heim 1983 theory of presupposition projection:
- (43) Globally accommodated presupposition of (42): If Jack left, then he left in some car.

For Jack left, but I don't know in which car, (42), we get:

- (43) Globally accommodated presupposition of (42): If Jack left, then he left in some car.
- (44)  $U[CP_E]^f$ :

  a.  $[Jack left]^f = \{ \lambda w. \exists x (CAR(x) \& Jack left in x in w) \}$ 
  - $\text{b. } \cup \llbracket \mathsf{Jack} \ \mathsf{left} \rrbracket^f = \lambda w. \exists x (\mathsf{car}(x) \ \& \ \mathit{Jack} \ \mathsf{left} \ \mathsf{in} \ x \ \mathsf{in} \ w)$
- (45)  $\bigcup [CP_A]^f : \bigcup [in which car <del>Jack left</del>]^f = \lambda w. \exists x (car(x) & Jack left in x in w)$
- ▶ Once we take accommodation into account,  $\cup \llbracket \mathsf{CP}_A \rrbracket^f \leftrightarrow \cup \llbracket \mathsf{CP}_E \rrbracket^f$ .

## Alternative computation

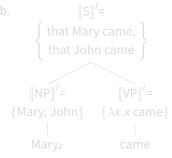
Sentences are interpreted in a multi-dimentional system: Each node has an *ordinary value*  $\llbracket \cdot \rrbracket^{\circ}$  and a *focus-semantic value*  $\llbracket \cdot \rrbracket^{f}$  (Rooth, 1985, a.o.).

The focus-semantic value is the set of alternatives for a node.

Nodes compose through pointwise Function Application.

(46) Ordinary and alternative values for "[Mary]<sub>F</sub> came":



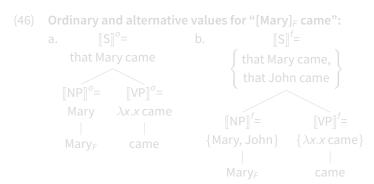


## **Alternative computation**

Sentences are interpreted in a multi-dimentional system: Each node has an *ordinary value*  $\llbracket \cdot \rrbracket^{\circ}$  and a *focus-semantic value*  $\llbracket \cdot \rrbracket^{f}$  (Rooth, 1985, a.o.).

The focus-semantic value is the set of alternatives for a node.

Nodes compose through pointwise Function Application



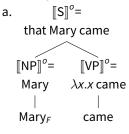
#### **Alternative computation**

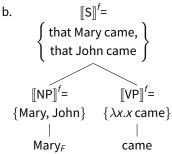
Sentences are interpreted in a multi-dimentional system: Each node has an *ordinary value*  $\llbracket \cdot \rrbracket^{\circ}$  and a *focus-semantic value*  $\llbracket \cdot \rrbracket^{f}$  (Rooth, 1985, a.o.).

The focus-semantic value is the set of alternatives for a node.

Nodes compose through **pointwise Function Application**.

#### (46) Ordinary and alternative values for "[Mary]<sub>F</sub> came":





## Alternative computation

Operators such as **only** operate on alternative values:

(47)**Only**  $[Mary]_F$  came. that John didn't come  $\sim$  Mary came Only {Mary, John}  $\{\lambda x.x \text{ came }\}$ 

 $Mary_F$ 

Q-equivalence approaches

#### AnderBois's 2011 Inquisitive Semantics:

(48) Atomic Formulas

$$[\![R^n(\mathbf{X}_1,...,\mathbf{X}_n)]\!]^{M,g,w} = \mathsf{alt}\{p \subseteq \mathbf{W} | \forall w' \in p[\langle [\![\mathbf{X}_1]\!]^{M,g,w'},..., [\![\mathbf{X}_n]\!]^{M,g,w'}\rangle \in [\![R^n]\!]^{M,g,w'}]\}$$

(49) Alternative Closure

$$\mathsf{ALT}\wp = \{ \, \mathsf{p} \in \wp \mid \neg \exists \mathsf{q} \in \wp : \mathsf{p} \subset \mathsf{q} \, \}$$

(50) Existential Quantification

$$[\![\exists x\phi]\!]^{M,g,w} = \mathsf{ALT}\{p \subseteq \mathsf{W} | \exists d \in \mathsf{D}_e[\exists q \in [\![\phi]\!]]^{M,g^{[\mathsf{x}/d]},w}[p \subseteq q\ ]\!]\}$$

#### Which QuD?

#### **Background: Q-equivalence approaches**

AnderBois's (2011) implementation within Inquisitive Semantics: In a toy model with two individuals, Abby and Betty:

- The inquisitive denotation of the antecedent in (51a) is the set of alternative propositions in (51b).
- The classical denotation/informative contribution of the antecedent in (51a) is  $\exists x(x | left)$ .
- (51) a. Someone left.b. { Abby left, Betty left }

## Which QuD?

**Background: Q-equivalence approaches** 

**Wh-questions** (sluices or otherwise) are treated just the same as existentially quantified statements with indefinites.

So Who left has the same inquisitive value as Someone left.

► As a result, sluicing is predicted to be licensed with indefinite correlates.

Q-equivalence approaches

(52) Inquisitive Mutual Entailment Condition on Sluicing (AnderBois 2010 et seq.)

Given a structure:  $CP_{\it E}$   $C^0_{[+Q]}$   $TP_{\it E}$ 

 $TP_E$  can be elided only if there is some salient antecedent  $CP_A$  such that:

- a.  $CP_E \models CP_A$ , and
- b.  $CP_A \models CP_E$
- (53) **Inquisitive Entailment** (AnderBois 2010: pg. 7)  $\phi$  entails  $\psi$  iff  $\forall p \in \llbracket \phi \rrbracket : \exists q \in \llbracket \psi \rrbracket : p \subseteq q$

Q-equivalence approaches

So inquisitive mutual entailment is easily met with simple sluices:

(54) 
$$[CP_A]$$
 Someone left  $]$ , but I don't know  $[CP_E]$  who left  $]$ .

The inquisitive denotations of  $CP_A$  and  $CP_E$  are equivalent.

So it is given that each alternative in  $CP_A$  will find some alternative in  $CP_E$  it entails, and vice versa.

Q-equivalence approaches

**Sprouting** poses a challenge, since it can lack a correlate in the antecedent (implicit or otherwise).

Q: How is an inquisitive denotation achieved for an antecedent that lacks a correlate?

To render sprouting antecedents inquisitive, AnderBois 2011 appeals to implicit  $\exists$ -quantification over events. The issue such antecedents raise = 'Which event, e<sub>n</sub>, is an event of Jack leaving?'

- (56) a. Jack left, but I don't know in which car he left
  - b.  $[Jack left] = \exists e(LEAVING(e)\&AGENT(Jack,e))$

Q-equivalence approaches

This alone will not achieve inquisitive equivalence. The *event*-issue raised by the antecedent is too fine-grained to be equivalent to the issue raised by the sluiced question.

- (57) a. [Jack left] <sup>Inq</sup> =
   { λw.e<sub>1</sub> is an event of Jack leaving in w, ...,
   λw.e<sub>n</sub> is an event of Jack leaving in w }
   b. [in which car <del>Jack left</del>] <sup>Inq</sup> =
   [ λw. lack left in the Toyota in w
  - $\{ \lambda w. Jack \ left \ in \ the \ Toyota \ in \ w, \\ \lambda w. Jack \ left \ in \ the \ Hyundai \ in \ w, \ldots \}$

Q-equivalence approaches

To fix this, AnderBois 2011 introduces the notion of issue bridging.

This is an accommodation mechanism: Issue bridging sorts events according to cars Jack may have left in, and excludes events where he left by other means.

- (58) a.  $[Jack left]^{lnq} = \{e_1 e_5 \text{ are events of Jack leaving in the Toyota,}\}$ 
  - $e_{100}$ – $e_{115}$  are events of Jack in the Hyundai, ...}
  - b.  $[In which car \frac{Jack \, left}{In}]^{lnq} = \{ Jack \, left \, in \, the \, Toyota, \, Jack \, left \, in \, the \, Hyundai, \, \ldots \}$

The antecedent counts as sufficiently similar to the sluice, if its alternatives co-vary with the alternatives in the sluice.

# **Beyond sluicing**

- Hartman 2009 showed that e-GIVENness overpredicts identity
- e-GIVENness was a modification of Schwarzschild's theory of focus and deaccenting:
- (59) Simplified Schwarzschildian theory: deaccenting  $VP_E$  requires that
  - a.  $VP_E$  have a salient antecedent  $VP_A$ , such that:
  - b.  $VP_A$  entails F-clo( $VP_E$ ) (modulo  $\exists$ -closure). (I.e., that  $VP_E$  be GIVEN by  $VP_A$ )

# **Beyond sluicing**

That is, deaccenting is **uni**-directional entailment.

- (59) Simplified Schwarzschildian theory: deaccenting  $VP_E$  requires that
  - a.  $VP_E$  have a salient antecedent  $VP_A$ , such that:
  - b.  $VP_A$  entails F-clo( $VP_E$ ) (modulo  $\exists$ -closure). (I.e., that  $VP_E$  be GIVEN by  $VP_A$ )
  - e-GIVENness, as a stronger condition on ellipsis, just makes it bi-directional entailment. (VP<sub>E</sub> must also entail F-clo(VP<sub>A</sub>).)

 What Hartman 2009 missed, however, was that Schwarzschild's theory also overpredicts deaccenting.

(60) \* John will beat someone at chess, and Mary will lose to someone at chess.

Just as e-GIVENness overpredicts ellipsis to be OK, so does GIVENness overpredict deaccenting to be OK (automatically).

• We assume this points to a unified condition.

However, deaccenting is famously looser than ellipsis when it comes to parallelism

- (61) (Merchant 2001)
  - a. Jack was reading a magazine, and Sally was reading too.
  - b. \* ...and Sally was <del>reading</del> too.

This motivated Rooth 1992 and many others following to adopt the following as theorems for conditions on redundancy reduction:

- Conditions on ellipsis are stronger than conditions on deaccenting.
- If you can elide XP<sub>E</sub>, you can necessarily deaccent XP<sub>E</sub>, but not vice versa.

Here, we follow Fox 2000 in assuming that there is a single condition governing both ellipsis and deaccenting.

- In Fox's theory, (61): overt deaccented material that lacks a semantically identical antecedent serves as a trigger for the accommodation of such an antecedent.
- (61) a. Jack was reading a magazine, and Sally was reading too.
  - b. \* ...and Sally was reading too.
  - Elided material cannot serve as a trigger.

- With respect to our (60), Fox's proposal should license accommodation of an identical antecedent, but this fails.
- We assume this is because of general constraints on accommodation.
- (60) \* John will beat someone at chess, and Mary will lose to someone at chess.

- Rooth 1992/Fox 2000 treats examples like (61)/(62) as accommodation: cases of implicational bridging.
- (61) a. Jack was reading a magazine, and Sally was reading too.
  - b. \* ...and Sally was reading too.
- (62) First, Sally called Bill a republican, then HE<sub>F</sub> insulted HER<sub>F</sub>.
  - The accommodation to make these cases work is:
    - Reading a magazine = reading (for (61a))
    - Calling someone republican = insulting someone (for (62))

- In terms of Rooth's theory 1992, implicational bridging provides an  $XP'_A$ : [ $Jack_F$  was reading], which is  $\in [XP_D]^f$  (Fox 2000)
- (61) a.  $[\chi_{P_A}]$  Jack was reading a magazine, and  $[\chi_{P_E}]$  Sally was reading too.
- (62) First, Sally called Bill a republican, then  $HE_F$  insulted  $HER_F$ .

In our (60), however, implicational bridging seems to require the following infelicitous accommodation:

- (60) \* John<sub>F</sub> will beat someone at chess, and Mary<sub>F</sub> will lose to someone at chess.
- (63) #Beating someone at chess = losing to someone at chess

We assume that there are general constraints on accommodation that prevent this from going through.

In terms of our proposal, neither ellipsis nor accommodation are
possible in examples like (60), and we see the true (accommodation
free) colors of the (single) semantic condition on redundancy
reduction more generally.

- We suggest, then, that our theory can be seen (modulo accommodation), as a general (1-level) condition on redundancy reduction in general (ellipsis and deaccenting).
- In Rooth's theory, F-marking introduces his  $\sim$  operator, which induces his parallelism condition.
- Perhaps our parallelism condition could supplant his.
- We leave demonstrating this to future work.