# Various\_architectures\_on\_MNIST\_data

## April 3, 2019

```
In [0]: %matplotlib inline
        import warnings
        warnings.filterwarnings('ignore')
        from keras.utils import np_utils
        from keras.datasets import mnist
        from keras.initializers import RandomNormal
        # Model
        from keras.models import Sequential
        # Layers
        from keras.layers import Dense
        from keras.layers.normalization import BatchNormalization
        from keras.layers import Dropout
        import seaborn as sns
        import matplotlib.pyplot as plt
        import pandas as pd
        import prettytable as pt
In [5]: # MNIST Data Fetching and Preprocessing
        (X_train, y_train), (X_test, y_test) = mnist.load_data()
        # Convert 28*28 image into 784 size 1D tensor
        X train = X train.reshape(X_train.shape[0], X_train.shape[1] * X_train.shape[2])
       X_test = X_test.reshape(X_test.shape[0], X_test.shape[1] * X_test.shape[2])
        # Convert labels into one-hot encoded vectors
       y_train = np_utils.to_categorical(y_train, 10)
       y_test = np_utils.to_categorical(y_test, 10)
        # Normalize the input data using simple min max normalization
```

```
X_train = X_train / 255
       X_{\text{test}} = X_{\text{test}} / 255
Downloading data from https://s3.amazonaws.com/img-datasets/mnist.npz
In [0]: def plot_dynamic(x, vy, ty, ax, fig, colors=['b']):
           ax.plot(x, vy, 'r', label='Validation Loss')
           ax.plot(x, ty, 'b', label='Train Loss')
           plt.legend()
           plt.grid()
           fig.canvas.draw()
In [0]: # Plotting Training/Validation Loss
       def plot_loss(history):
           fig, ax = plt.subplots(1, 1)
           ax.set_xlabel("Epochs")
           ax.set_ylabel("Softmax Cross Entropy Loss")
           x = list(range(1, n_epochs+1))
           vy = history.history['val_loss']
           ty = history.history['loss']
           plot_dynamic(x, vy, ty, ax, fig)
In [0]: '''
        def plot_weight_distribution(weights):
           h1 = weights[0].flatten().reshape(-1, 1)
           h2 = weights[2].flatten().reshape(-1, 1)
           out = weights[4].flatten().reshape(-1, 1)
           fig = plt.figure()
           plt.title("Training Weights Distribution")
           plt.subplot(1, 3, 1)
           plt.title("Trained Weights")
           ax = sns.violinplot(y=h1, color='b')
           plt.xlabel("Layer 1")
           plt.subplot(1, 3, 2)
           plt.title("Trained Weights")
           ax = sns.violinplot(y=h2, color='r')
           plt.xlabel("Layer 2")
           plt.subplot(1, 3, 3)
           plt.title("Trained Weights")
           ax = sns.violinplot(y=out, color='g')
           plt.xlabel('Out Layer')
```

```
plt.show()
        # Plot function for weight distribution
        def plot_weight_distribution(weights, hidden_layers=None):
            colors = ['b', 'r', 'g', 'y', 'm']
            fig = plt.figure()
            plt.title("Training Weights Distribution")
            for i in range(0, hidden_layers+1):
                layer_weights = weights[i*2].flatten().reshape(-1, 1)
                plt.subplot(1, hidden_layers+1, i+1)
                plt.title("Trained Weights")
                ax = sns.violinplot(y=layer_weights, color=colors[i%5])
                if i == hidden_layers:
                    plt.xlabel("Out Layer")
                else:
                    plt.xlabel("Layer {}".format(i+1))
            plt.show()
In [0]: # Helper to print results in tabular format
        def print_results(data):
            result = pt.PrettyTable(hrules=pt.ALL,
                               vrules=pt.ALL, padding_width=5)
            result.field_names = list(data.columns)
            for i in range(0, data.shape[0]):
                result.add_row(data.iloc[i])
                #result.align["Vectorizer"] = "l"
            print(result)
In [0]: results = pd.DataFrame(columns=['Hidden Layers', 'Train Loss',
                                         'Train Accuracy(%)',
                                         'Test Loss', 'Test Accuracy(%)'])
In [0]: # Model Parameters
        input_dim = 784
        output_dim = 10
        batch_size = 100
        n_{epochs} = 20
  MLP (2-hidden layers) + Adam Optimizer + ReLU activations
In [56]: # Model Architecture
         # Hidden layer 1 --> 256
```

```
# Since we are using ReLU activation,
       # we will use He-initialization.
       model = Sequential()
       # Hidden Layer 1
       model.add(Dense(256, input_shape=(input_dim,), activation='relu',
                     kernel_initializer=RandomNormal(mean=0.0, stddev=0.055,
                                                 seed=None)))
        # Hidden Layer 2
       model.add(Dense(64, activation='relu',
                     kernel_initializer=RandomNormal(mean=0.0, stddev=0.088,
                                                 seed=None)))
       # Output SoftMax Layer
       model.add(Dense(output dim, activation='softmax'))
        # Defining optimizer, loss function and evaluation metric
       model.compile(optimizer='adam', loss='categorical_crossentropy',
                   metrics=['accuracy'])
       model.summary()
Layer (type) Output Shape Param
                                              Param #
______
dense_33 (Dense)
                       (None, 256)
                                               200960
dense_34 (Dense) (None, 64)
                                              16448
                (None, 10)
dense 35 (Dense)
                                              650
______
Total params: 218,058
Trainable params: 218,058
Non-trainable params: 0
In [57]: history = model.fit(X_train, y_train, batch_size=batch_size, epochs=n_epochs,
                         verbose=0, validation data=(X test, y test))
       # Test Loss and Accuracy
       score = model.evaluate(X_test, y_test, verbose=0)
```

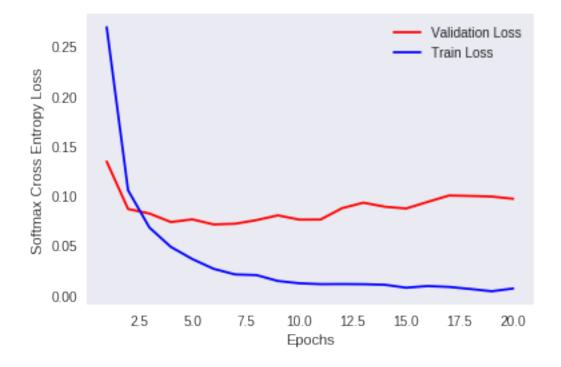
# Hidden layer 2 --> 64

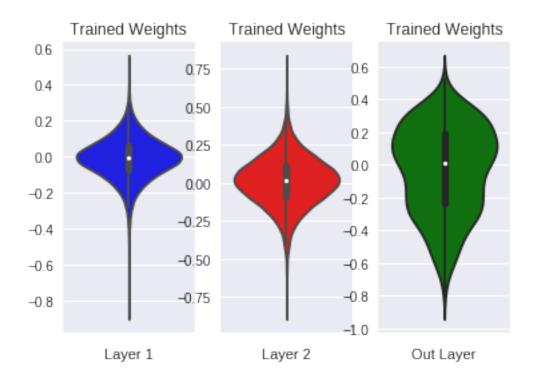
```
print("Test Loss : ", score[0])
print("Test Accuracy : ", score[1])
```

Test Loss: 0.09670611362971668

Test Accuracy: 0.9787

In [67]: plot\_loss(history)





## MLP (2-hidden layers) + Adam Optimizer + ReLU activations + BatchNorm + Dropout

```
# Hidden Layer 2
      model.add(Dense(64, activation='relu',
                   kernel_initializer=RandomNormal(mean=0.0, stddev=0.088,
                                           seed=None)))
      model.add(BatchNormalization())
      model.add(Dropout(0.3))
      # Output SoftMax Layer
      model.add(Dense(output_dim, activation='softmax'))
       # Defining optimizer, loss function and evaluation metric
      model.compile(optimizer='adam', loss='categorical_crossentropy',
                 metrics=['accuracy'])
      model.summary()
                     Output Shape
Layer (type)
______
                    (None, 256)
dense 36 (Dense)
                                          200960
_____
batch normalization 13 (Batc (None, 256)
                                         1024
dropout_13 (Dropout) (None, 256)
dense_37 (Dense) (None, 64)
                                         16448
batch_normalization_14 (Batc (None, 64)
                                         256
dropout_14 (Dropout) (None, 64)
dense_38 (Dense) (None, 10)
______
Total params: 219,338
Trainable params: 218,698
Non-trainable params: 640
-----
In [70]: history = model.fit(X_train, y_train, batch_size=batch_size, epochs=n_epochs,
                      verbose=0, validation_data=(X_test, y_test))
      # Test Loss and Accuracy
```

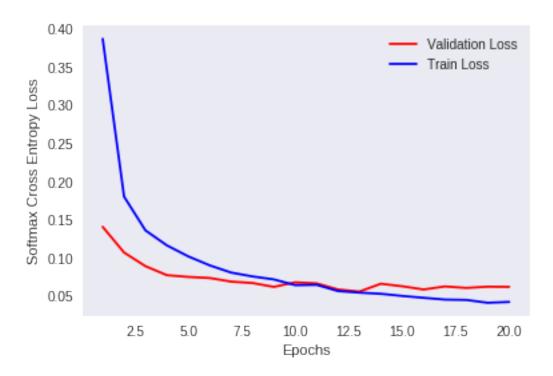
model.add(Dropout(0.3))

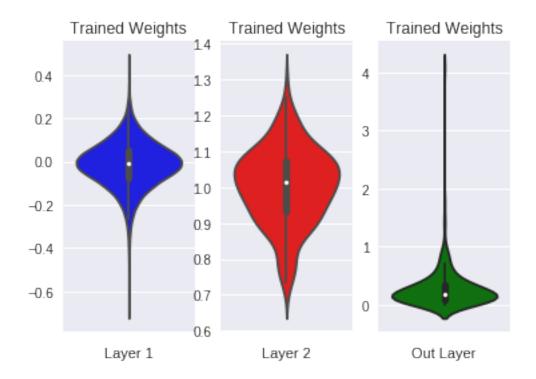
```
score = model.evaluate(X_test, y_test, verbose=0)
print("Test Loss : ", score[0])
print("Test Accuracy : ", score[1])
```

Test Loss: 0.0620771082616382

Test Accuracy: 0.9812

In [72]: plot\_loss(history)
 weights = model.get\_weights()
 plot\_weight\_distribution(weights, hidden\_layers=2)

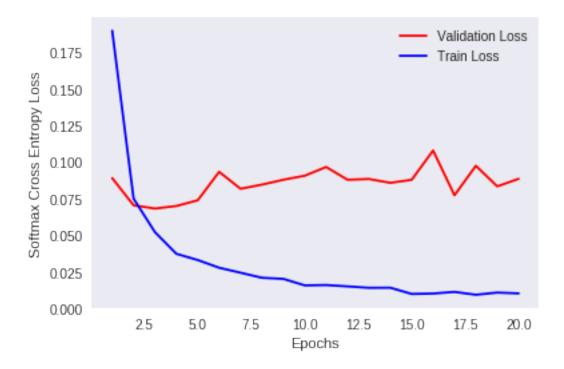




## MLP (3-hidden layers) + Adam Optimizer + ReLU activations

```
kernel_initializer=RandomNormal(mean=0.0, stddev=0.044,
                                            seed=None)))
       # Hidden Layer 3
      model.add(Dense(256, activation='relu',
                   kernel_initializer=RandomNormal(mean=0.0, stddev=0.062,
                                            seed=None)))
       # Output SoftMax Layer
      model.add(Dense(output_dim, activation='softmax'))
       # Defining optimizer, loss function and evaluation metric
      model.compile(optimizer='adam', loss='categorical_crossentropy',
                 metrics=['accuracy'])
      model.summary()
Layer (type)
                     Output Shape
                                          Param #
______
                     (None, 1024)
dense_39 (Dense)
dense_40 (Dense) (None, 512)
                                         524800
    _____
                      (None, 256)
dense_41 (Dense)
                                          131328
-----
dense_42 (Dense) (None, 10)
                                          2570
_____
Total params: 1,462,538
Trainable params: 1,462,538
Non-trainable params: 0
-----
In [74]: history = model.fit(X_train, y_train, batch_size=batch_size, epochs=n_epochs,
                      verbose=0, validation_data=(X_test, y_test))
       # Test Loss and Accuracy
       score = model.evaluate(X_test, y_test, verbose=0)
      print("Test Loss : ", score[0])
      print("Test Accuracy : ", score[1])
Test Loss: 0.08847803688376907
Test Accuracy: 0.9837
In [0]: train_acc = round(history.history['acc'][len(history.history['acc'])-1]*100, 2)
      train_loss = round(history.history['loss'][len(history.history['loss'])-1], 6)
```

In [76]: plot\_loss(history)





## MLP (3-hidden layers) + Adam Optimizer + ReLU activations + BatchNorm + Dropout

```
In [78]: # Model Architecture

# Hidden layer 1 --> 1024

# Batch Normalization Layer
# Dropout layer with dropout_rate = 0.5

# Hidden layer 2 --> 512

# Batch Normalization Layer
# Dropout layer with dropout_rate = 0.5

# Hidden layer 3 --> 256

# Batch Normalization Layer
# Dropout layer with dropout_rate = 0.5

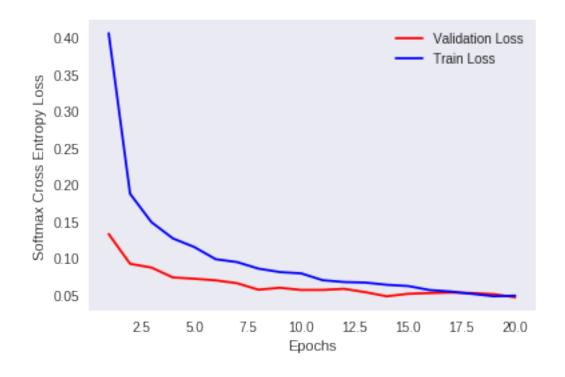
model = Sequential()

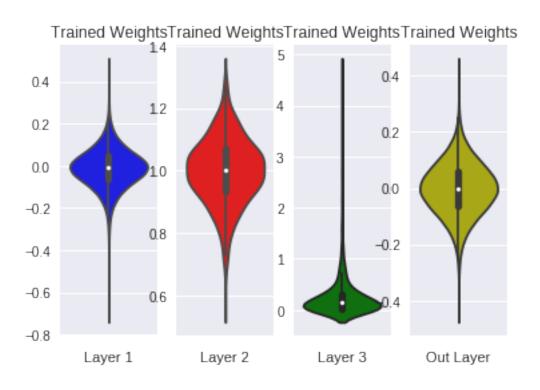
# Hidden Layer 1
model.add(Dense(1024, input_shape=(input_dim,), activation='relu', kernel_initializer=RandomNormal(mean=0.0, stddev=0.050,
```

```
model.add(BatchNormalization())
       model.add(Dropout(0.5))
       # Hidden Layer 2
       model.add(Dense(512, activation='relu',
                   kernel_initializer=RandomNormal(mean=0.0, stddev=0.044,
                                            seed=None)))
       model.add(BatchNormalization())
       model.add(Dropout(0.5))
       # Hidden Layer 3
       model.add(Dense(256, activation='relu',
                   kernel_initializer=RandomNormal(mean=0.0, stddev=0.062,
                                             seed=None)))
       model.add(BatchNormalization())
       model.add(Dropout(0.5))
       # Output SoftMax Layer
       model.add(Dense(output_dim, activation='softmax'))
       # Defining optimizer, loss function and evaluation metric
       model.compile(optimizer='adam', loss='categorical_crossentropy',
                  metrics=['accuracy'])
       model.summary()
Layer (type) Output Shape Param #
_____
                     (None, 1024)
dense_43 (Dense)
._____
batch_normalization_15 (Batc (None, 1024)
dropout_15 (Dropout) (None, 1024)
_____
dense 44 (Dense) (None, 512)
                                           524800
batch_normalization_16 (Batc (None, 512)
                                           2048
dropout_16 (Dropout) (None, 512)
dense_45 (Dense) (None, 256)
                                          131328
```

seed=None)))

```
batch_normalization_17 (Batc (None, 256)
                                              1024
   -----
                       (None, 256)
dropout_17 (Dropout)
dense 46 (Dense) (None, 10) 2570
______
Total params: 1,469,706
Trainable params: 1,466,122
Non-trainable params: 3,584
In [79]: history = model.fit(X_train, y_train, batch_size=batch_size, epochs=n_epochs,
                         verbose=0, validation_data=(X_test, y_test))
       # Test Loss and Accuracy
       score = model.evaluate(X_test, y_test, verbose=0)
       print("Test Loss : ", score[0])
       print("Test Accuracy : ", score[1])
Test Loss: 0.046505835577205286
Test Accuracy: 0.9859
In [0]: train_acc = round(history.history['acc'][len(history.history['acc'])-1]*100, 2)
      train loss = round(history.history['loss'][len(history.history['loss'])-1], 6)
      test loss = round(score[0], 6)
      test_acc = round(score[1]*100, 2)
      results.loc[results.shape[0]] = [3, train_loss,
                                   train_acc, test_loss, test_acc]
In [81]: plot_loss(history)
       weights = model.get_weights()
       plot_weight_distribution(weights, hidden_layers=3)
```





MLP (5-hidden layers) + Adam Optimizer + ReLU activations

```
In [82]: # Model Architecture
         # Hidden layer 1 --> 2048
         # Hidden layer 2 --> 1024
         # Hidden layer 3 --> 256
         # Hidden layer 4 --> 128
         # Hidden layer 5 --> 64
         # Since we are using ReLU activation,
         # we will use He-initialization.
         model = Sequential()
         # Hidden Layer 1
         model.add(Dense(2048, input_shape=(input_dim,), activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.050,
                                                          seed=None)))
         # Hidden Layer 2
         model.add(Dense(1024, activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.031,
                                                          seed=None)))
         # Hidden Layer 3
         model.add(Dense(256, activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.044,
                                                          seed=None)))
         # Hidden Layer 4
         model.add(Dense(128, activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.088,
                                                          seed=None)))
         # Hidden Layer 5
         model.add(Dense(64, activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.125,
                                                          seed=None)))
         # Output SoftMax Layer
         model.add(Dense(output_dim, activation='softmax'))
```

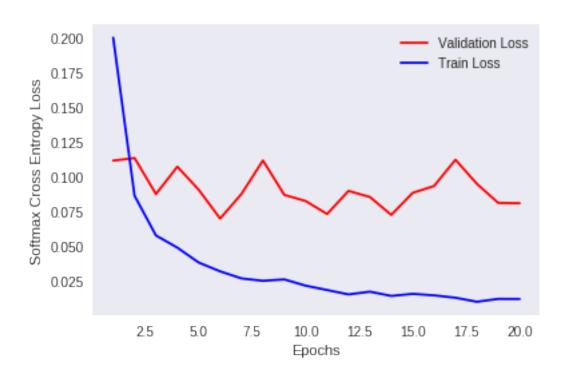
```
model.summary()
Layer (type) Output Shape Param #
______
                    (None, 2048)
dense 47 (Dense)
______
dense_48 (Dense)
                   (None, 1024)
                                      2098176
______
dense_49 (Dense) (None, 256)
                                      262400
              (None, 128)
dense_50 (Dense)
                                      32896
             (None, 64)
dense_51 (Dense)
                                      8256
dense_52 (Dense) (None, 10) 650
_____
Total params: 4,010,058
Trainable params: 4,010,058
Non-trainable params: 0
_____
In [83]: history = model.fit(X_train, y_train, batch_size=batch_size, epochs=n_epochs,
                    verbose=0, validation_data=(X_test, y_test))
      # Test Loss and Accuracy
      score = model.evaluate(X_test, y_test, verbose=0)
      print("Test Loss : ", score[0])
      print("Test Accuracy : ", score[1])
Test Loss: 0.0808706013785868
Test Accuracy: 0.9853
In [0]: train_acc = round(history.history['acc'][len(history.history['acc'])-1]*100, 2)
     train_loss = round(history.history['loss'][len(history.history['loss'])-1], 6)
     test loss = round(score[0], 6)
     test_acc = round(score[1]*100, 2)
     results.loc[results.shape[0]] = [5, train_loss,
                             train_acc, test_loss, test_acc]
```

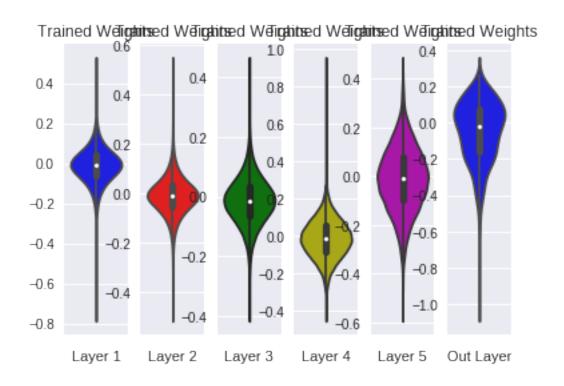
# Defining optimizer, loss function and evaluation metric

metrics=['accuracy'])

model.compile(optimizer='adam', loss='categorical\_crossentropy',

In [85]: plot\_loss(history)
 weights = model.get\_weights()
 plot\_weight\_distribution(weights, hidden\_layers=5)





## MLP (5-hidden layers) + Adam Optimizer + ReLU activations + BatchNorm + Dropout

```
In [86]: # Model Architecture
         # Hidden layer 1 --> 2048
         # Batch Normalization Layer
         # Dropout layer with dropout_rate = 0.5
         # Hidden layer 2 --> 1024
         # Batch Normalization Layer
         # Dropout layer with dropout_rate = 0.5
         # Hidden layer 3 --> 256
         # Batch Normalization Layer
         # Dropout layer with dropout_rate = 0.5
         # Hidden layer 4 --> 128
         # Batch Normalization Layer
         # Dropout layer with dropout rate = 0.5
         # Hidden layer 5 --> 64
         # Batch Normalization Layer
         # Dropout layer with dropout_rate = 0.5
         # Since we are using ReLU activation,
         # we will use He-initialization.
         model = Sequential()
         # Hidden Layer 1
         model.add(Dense(2048, input_shape=(input_dim,), activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.050,
                                                          seed=None)))
         model.add(BatchNormalization())
         model.add(Dropout(0.5))
         # Hidden Layer 2
         model.add(Dense(1024, activation='relu',
                         kernel_initializer=RandomNormal(mean=0.0, stddev=0.031,
                                                          seed=None)))
```

```
model.add(Dropout(0.5))
       # Hidden Layer 3
       model.add(Dense(256, activation='relu',
                     kernel initializer=RandomNormal(mean=0.0, stddev=0.044,
                                                 seed=None)))
       model.add(BatchNormalization())
       model.add(Dropout(0.5))
       # Hidden Layer 4
       model.add(Dense(128, activation='relu',
                     kernel_initializer=RandomNormal(mean=0.0, stddev=0.088,
                                                 seed=None)))
       model.add(BatchNormalization())
       model.add(Dropout(0.5))
       # Hidden Layer 5
       model.add(Dense(64, activation='relu',
                     kernel_initializer=RandomNormal(mean=0.0, stddev=0.125,
                                                seed=None)))
       model.add(BatchNormalization())
       model.add(Dropout(0.5))
       # Output SoftMax Layer
       model.add(Dense(output_dim, activation='softmax'))
       # Defining optimizer, loss function and evaluation metric
       model.compile(optimizer='adam', loss='categorical_crossentropy',
                   metrics=['accuracy'])
       model.summary()
                Output Shape
                                              Param #
Layer (type)
______
                       (None, 2048)
dense 53 (Dense)
_____
batch_normalization_18 (Batc (None, 2048)
                                             8192
dropout_18 (Dropout) (None, 2048)
dense_54 (Dense) (None, 1024) 2098176
```

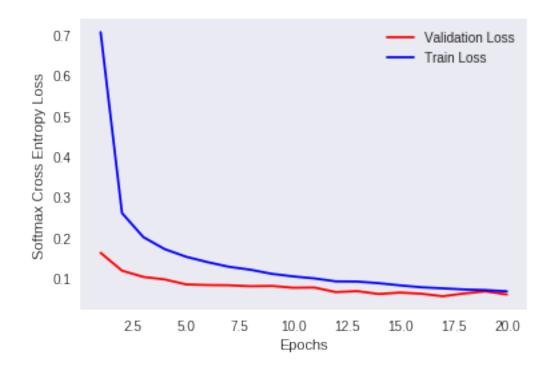
model.add(BatchNormalization())

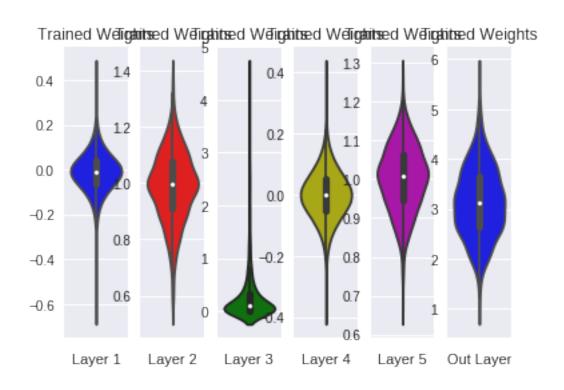
```
batch_normalization_19 (Batc (None, 1024)
                                                  4096
dropout_19 (Dropout) (None, 1024)
dense 55 (Dense)
                  (None, 256)
                                                  262400
batch_normalization_20 (Batc (None, 256)
                                                  1024
dropout_20 (Dropout) (None, 256)
dense_56 (Dense) (None, 128)
                                                   32896
batch_normalization_21 (Batc (None, 128)
                                                  512
dropout_21 (Dropout) (None, 128)
dense_57 (Dense)
                           (None, 64)
                                                   8256
batch normalization 22 (Batc (None, 64)
                                                   256
dropout_22 (Dropout) (None, 64)
dense_58 (Dense) (None, 10)
                                                   650
Total params: 4,024,138
Trainable params: 4,017,098
Non-trainable params: 7,040
In [87]: history = model.fit(X_train, y_train, batch_size=batch_size, epochs=n_epochs,
                           verbose=0, validation_data=(X_test, y_test))
        # Test Loss and Accuracy
        score = model.evaluate(X_test, y_test, verbose=0)
        print("Test Loss : ", score[0])
        print("Test Accuracy : ", score[1])
        train acc = round(history.history['acc'][len(history.history['acc'])-1]*100, 2)
        train_loss = round(history.history['loss'][len(history.history['loss'])-1], 6)
        test loss = round(score[0], 6)
        test_acc = round(score[1]*100, 2)
        results.loc[results.shape[0]] = [5, train_loss,
                                       train_acc, test_loss, test_acc]
        plot_loss(history)
        weights = model.get_weights()
```

## plot\_weight\_distribution(weights, hidden\_layers=5)

Test Loss: 0.058819297602958974

Test Accuracy: 0.9842





## 1 Results

In [89]: print\_results(results)

			LL
Hidden Layers	Train Loss	Train Accuracy(%)	Test Loss
2.0	0.006622	99.76	0.096706
2.0	0.042406	98.63	0.062077
3.0	0.010192	99.73	0.088478
3.0	0.048634	98.45	0.046506
5.0	0.012197	99.7	0.080871
5.0	0.066537	98.3	0.058819
T	- <del>-</del>		r

#### **Observations**

Tried 3 different MLP architectures on the MNIST data.

### Common Steps taken for each model:

a.) First we define the model architecture. We start by defining a Sequential model using Sequential() function call since data flows sequentially in the network starting from first layer to the end of the output layer. Then we specify the number of layers, number of neurons in each layer, activation function for each layer and weight initialization scheme for each layer etc. using model.add() function.

In models where we use Batch Normalization (to avoid covariate shift(input distribution changes)), and Dropout(to add regularization to avoid overfitting), we add a layer for each using model.add(). For Dropout layer we specify the dropout rate(randomly drop this percentage of connections) as well.

- b.) After specifying the layers, we configure the parameters such as loss function, optimizer and evaluation metric using model.compile() function.
  - c.) model.summary() gives an overview of the synthesized model.
- d.) Then we use model.fit() to train the model on training data. This function also takes the batch\_size and number of epochs for which the model is run. Validation data is provided as well and at the end of the run we can check the history object returned by model.fit() to evaluate the train and validation loss/accuracy. This data is used to print train/validation losses against the number of epochs.
  - e. ) model.evaluate() function is then used to run the model on test data. This function returns the test loss and test accuracy.

f.) model.get\_weights() return the weights & biases for the different layers of the network and it is used to plot the pdf's for trained weights at each layer to do a sanity check on weights. This helps in visualizing if the weights are becoming too small or too large which can lead to vanishing gradients or exploding gradients problem respectively.

We used ReLU activation units across layers in all architectures and hence the weights were initialized using He-Normal-initialization. So initial weights for neural units in hidden layers were randomly sampled from distribution with mean 0 and standard deviation of sqrt(2 / (fan\_in + 1)) where fan\_in is incoming connections at each neural unit. Since this is a fully connected network, all neural units in same hidden layer had same fan\_in value.

Adam optimizer was used for adaptive learning rate. Categorical cross entropy was used as a loss metric. Accuracy was used as a performance metric.

All models had a softmax layer at the end with 10 neural units since this is a 10-class classification problem.

Models were run for 20 epochs and batch\_size was 100 for each iteration.

## Configuration used for models:

## Model 1:

Model 2:

```
Input --> Hidden Layer 1 (256 neurons) --> Hidden Layer 2 (64 neurons) --> softmax layer(10 ne
```

```
Input --> Hidden Layer 1 (256 neurons) --> Batch Normalization Layer --> Dropout Layer(drop_ra
```

#### Model 3:

```
Input --> Hidden Layer 1 (1024 neurons) --> Hidden Layer 2 (512 neurons) --> Hidden Layer 3 (5
```

### Model 4:

```
Input --> Hidden Layer 1 (1024 neurons) --> Batch Normalization Layer --> Dropout Layer(drop_re
```

#### Model 5:

```
Input --> Hidden Layer 1 (2048 neurons) --> Hidden Layer 2 (1024 neurons) --> Hidden Layer 3 (1024 neurons) --> Hidden Layer 3 (1024 neurons) --> softmax layer(10 neurons)
```

#### Model 6:

```
Input --> Hidden Layer 1 (2048 neurons) --> Batch Normalization Layer --> Dropout Layer(drop_re
```

As we increase the number of hidden layers and number of neural units in each layer, the number of parameters to train increase as well. This leads to higher training times and can result in overfitting as well. Hence we use aggressive dropout rate of 0.5 along with batch normalization which enables using higher learning rates.

By increasing the neural units along with hidden layers, we don't gain much in accuracy. e.g. Model with 3 hidden layers along with BatchNormalization/Dropout layers gives best accuracy of 98.59% among all models. Also the training loss closely follows the test loss.

Weight distribution indicates that weights remain close to a median value of 0 in most cases.