

Dynamical Black Holes and Gravitational Waves in Quadratic Gravity

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(work in progress)

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1 Motivation

Einstein’s theory of General Relativity (GR) is built upon diffeomorphism symmetry, manifest in its formulation in terms of the Einstein-Hilbert action

$$S_{\text{GR}} = \frac{1}{16\pi G_N} \int_x \sqrt{\det g} (2\Lambda - R) , \quad (1.1)$$

where G_N and Λ denote the Newton coupling and cosmological constant, respectively. It is a simple theory with only two free parameters and describes all measured gravitational phenomena to date. Apart from simplicity à la Occam’s razor, there is no fundamental principle in classical gravity that would explain the absence of higher-order, diffeomorphism-invariant curvature terms. In fact, as all solar-system dynamics only tests the weak-gravity regime, the existing constraints on higher-order modifications of GR are extremely weak. Submillimeter-tests using pendulums constrain Yukawa-like corrections to the Newtonian potential that arise from higher-derivative terms like $\alpha R_{\mu\nu} R^{\mu\nu}$ and βR^2 . But, the constraints are as weak as $\alpha, \beta < 10^{61}$ [1, 2]. Further, they do not allow to distinguish between the two couplings. [\[TODO: check for up-to-date constraints\]](#)

Here, we will look at the quadratic order in curvature diffeomorphism-invariant invariants. Neglecting the topological Gauss-Bonnet invariant, the most general action of Quadratic Gravity (QG) is given by

$$S_{\text{gravity}} = S_{\text{GR}} + \int_x \sqrt{\det g} (\alpha R_{\mu\nu} R^{\mu\nu} + \beta R^2) . \quad (1.2)$$

Beyond the fact that there is no principle which forbids these terms and the experimental constraints on them are extremely weak, there exist several good theoretical motivations for their presence: All of them bear some relation to the unsolved issue of how to quantize of gravity. The main motivation for this paper is to generate gravitational wave templates from QG, to use experimental data from binary mergers to constraint the QG-couplings α and β , see also [3] for a similar study in $f(R)$ -gravity. Beforehand, we will quickly review the theoretical motivations for this modified theory of gravity.

1.1 Quadratic Gravity from quantization

Field-theoretic attempts to quantize gravity have difficulties retaining renormalizability. Without higher-derivative terms, General Relativity is a non-renormalizable theory. The underlying reason can be associated with the negative canonical dimension of the Newton coupling, i.e., $[G_N] = -2$. The quantization of gravity is not just an academic problem. If G_N was of order one at accessible energy scales, then the effects of delocalized quantum matter would directly imply significant quantum-fluctuations in the associated gravitational field. It is only the smallness of the gravitational force, i.e., $G_N \sim 10^{-38}$ GeV, which hides these effects from experimental observation. In fact, this is one particularly insightful way of obtaining the Planck scale. The dimensionless Newton coupling $g_N(\mu) = G_N \times \mu^2$ scales with a quadratic power-law in the characteristic energy scale μ . Since it is dimensionless couplings which determine field-theoretic cross sections, gravitational effects become important whenever $g_N(\mu) \approx 1$, i.e., at a mass scale of $M_{\text{Planck}} = 10^{19}$ GeV – the Planck scale.

- The most agnostic approach to the quantization of gravity is to look at quantum gravity as an effective field theory (EFT). In correspondence to, for instance, the Standard Model, this assumes that *all* operators allowed by symmetry are present at M_{Planck} . Neglecting the index structure we can schematically denote those (dimensionful) couplings and the corresponding curvature invariants as $C_N \times R^N$. Since the underlying theory is not known, *all* EFT-couplings are assumed to be of order one at the Planck-scale, i.e., $C_N(\mu = M_{\text{Planck}}) \approx 1$. The EFT draws its predictive power from canonical scaling. Since in four dimensions the dimension of curvature is $[R] = 2$, the associated couplings have dimension $[C_N] = 2N - 4$. The corresponding dimensionless couplings $c_N(\mu) = C_N/\mu^{2N-4}$ scale like $c_N \sim \mu^{4-2N}$. Hence they are suppressed by

$$c_N(M_{\text{exp}}) \approx \left(\frac{M_{\text{exp}}}{M_{\text{Planck}}} \right)^{(2N-4)}. \quad (1.3)$$

In an EFT one would thus conclude that all couplings $c_{N>2}$ are suppressed by increasing powers of the enormously large Planck scale. This does not apply for the quadratic couplings $c_{N=2}$, which constitute so-called marginal couplings of the EFT. These are *not* power-law suppressed and are hence expected to be of similar order as at the Planck-scale.

- It was shown by Kellog Stelle in 1976 [4] that QG, opposed to GR, defines a perturbatively renormalizable quantum field theory. However, this theory suffers from negative norm states which spoil a unitary evolution. There are several proposals how these states could be tamed [], all of which retain the presence of non-vanishing quadratic couplings.
- Another motivation for the presence of higher-order curvature terms is given by an embedding of both GR and QG into a non-perturbatively renormalizable quantum field theory of gravity solely defined by diffeomorphism symmetry and a corresponding asymptotically safe fixed point. This theory of Asymptotically Safe Gravity (ASG) was

conjectured by Steven Weinberg in 1976 [5]. It is supported by the mounting evidence around the corresponding Reuter fixed-point [6], cf. [] for reviews. Since the Reuter fixed-point is fully interacting, *all* higher-order couplings will be present at Planckian energies. Below the Planck scale the EFT-description applies and one, again, expects the associated low-energy effective theory to be governed by the four couplings of QG, i.e., G_N , Λ , α and β . It can be added here, that current approximations of the Reuter fixed-point indicate that there are only three so-called relevant couplings. AS restores the predictivity of a quantum field theory of gravity precisely by non-perturbative relations, which express *all* other (irrelevant) couplings in terms of the three relevant ones. Hence, one of the two QG couplings could follow as a prediction from AS, e.g., $\alpha = \alpha(\beta, G_N, \Lambda)$.

1.2 Testing General Relativity

aLIGO/VIRGO has detected gravitational waves (GWs) and these observations extend into the strong-field regime of gravity, where the gravitational field is non-linear and dynamical, precisely where tests of general relativity (GR) are currently lacking. Strong field tests of GR have implications to a large areas in physics and astrophysics. For example, gravitational parity breaking modifies the geometry of spinning black holes (BHs) and the propagation of GWs in these backgrounds. Constrain such a departure from the Kerr geometry of GR in the strong field regime will place constraints on the coupling constants of such theories. [\[TODO: Need references\]](#)

In this work, we are interested in dynamics and stability of BH in QG. In literatures [\[TODO: Need references\]](#), considerable works are done with imposing extra scalar field into the action such that (in the most general form)

$$S \equiv \int d^4s \sqrt{-g} \left\{ \frac{1}{16\pi G_N} R + \alpha_1 f_1(\vartheta) R^2 + \alpha_2 f_2(\vartheta) R_{\mu\nu} R^{\mu\nu} + \alpha_3 f_3(\vartheta) R_{\mu\nu\lambda\sigma} R^{\mu\nu\lambda\sigma} + \alpha_4 f_4(\vartheta) R_{\mu\nu\lambda\sigma} (*R^{\mu\nu\lambda\sigma}) - \frac{\beta}{2} [\nabla_a \vartheta \nabla^a \vartheta + 2V(\vartheta)] + \mathcal{L}_{matter} \right\} \quad (1.4)$$

where g stands for the determinant of the metric $g_{\mu\nu}$. R , $R_{\mu\nu}$, $R_{\mu\nu\lambda\sigma}$, and $*R_{\mu\nu\lambda\sigma}$ are the Ricci scalar, Ricci tensor, Riemann tensor and its dual respectively. ϑ is a dynamical scalar field, $f_i(\vartheta)$ are functionals of this field, (α_i, β) are coupling constants. Coupling to a scalar field ϑ enables to make dynamical theory such as dynamical Chern-Simon (dCS) gravity and Einstein-dilaton-Gauss-Bonnet (EdGB) gravity as examples of QG theories. [\[What is the major difference \(in motivation point of view\) having \(or not having\) dynamical scalar field?\]](#)

2 Mathematical Formulations of the Quadratic Gravity

2.1 Equations of Motion in the Quadratic Gravity

The equations of motion (eom) of QG are given by

$$H_{\mu\nu} = \frac{1}{16\pi G_N} G_{\mu\nu} + E_{\mu\nu} = \frac{1}{2} T_{\mu\nu} , \quad (2.1)$$

where $G_{\mu\nu} = R_{\mu\nu} - 1/2 R g_{\mu\nu}$ is the usual Einstein tensor, which is supplemented by its quadratic-order counter-part

$$\begin{aligned} E_{\mu\nu} = & (\alpha - 2\beta) \nabla_\mu \nabla_\nu R - \alpha \square R_{\mu\nu} - \left(\frac{1}{2} \alpha - 2\beta\right) g_{\mu\nu} \square R + 2\alpha R^{\alpha\beta} R_{\mu\alpha\nu\beta} \\ & - 2\beta R R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} (\alpha R_{\alpha\beta} R^{\alpha\beta} - \beta R^2) . \end{aligned} \quad (2.2)$$

2.2 Hyperbolic formulation of the Equations of Motion and Well-posedness of the System

According to [7] and recently also [8] the eom (2.1) as formulated by use of harmonic coordinates can be written as a well-posed second order system.

[I think we should be really sure about this, but Noakes claims he ‘shows that the higher-derivative gravity equations, when treated as twenty second-order equations (not ten fourth-order equations), have a well-posed initial value problem’]

3 Initial Data

3.1 Single Black Hole

[Put formula for BSSN or GH like Schwarzschild in terms of correct coordinate]

3.2 Binary Black Hole

[Maybe solve elliptic equations or just find puncture like ID]

4 Gravitational Wave Extractions for QG

Here, we calculate the Ψ_4 to extract the gravitational wave information. To do that, we first define tetrad. There are lots of possible ways to do this but we will try to follow the way I know (a way is in `hyperGHSF` code). We define the timelike member of the tetrad to be the normal to our spacelike hypersurfaces. The remaining three are then constructed via a Gram-Schmidt procedure from a set of three independent vectors living on the hypersurfaces. Our demand for them seem to be only that in the asymptotically flat limit, we recover something akin to the usual unit vectors of spherical coordinates. Indeed, we start with a version of them

$$u^a = (0, x, y, z) \quad (4.1)$$

$$v^a = (0, xz, yz, -x^2 - y^2) \quad (4.2)$$

$$w^a = (0, -y, x, 0) \quad (4.3)$$

and then using the 3-metric, γ_{ij} , orthonormalize them with respect to it. In particular, we define new orthonormal spacelike vectors

$${}^{(1)}e^i = \frac{u^i}{||u||} \quad (4.4)$$

$${}^{(2)}e^i = \frac{v^i - \langle {}^{(1)}e|v \rangle {}^{(1)}e^i}{||v - \langle {}^{(1)}e|v \rangle {}^{(1)}e||} \quad (4.5)$$

$${}^{(3)}e^i = \frac{w^i - \langle {}^{(1)}e|w \rangle {}^{(1)}e^i - \langle {}^{(2)}e|w \rangle {}^{(2)}e^i}{||w - \langle {}^{(1)}e|w \rangle {}^{(1)}e - \langle {}^{(2)}e|w \rangle {}^{(2)}e||} \quad (4.6)$$

where we are defining the inner product and the norm as

$$\langle u|v \rangle \equiv \gamma_{ij}u^i v^j \quad (4.7)$$

$$||u|| \equiv \sqrt{\langle u|u \rangle} \quad (4.8)$$

with these, we construct a null tetrad according to

$$l^a = \frac{1}{2}(n^a + {}^{(1)}e^a) \quad (4.9)$$

$$\tilde{n}^a = \frac{1}{2}(n^a - {}^{(1)}e^a) \quad (4.10)$$

$$m^a = \frac{1}{2}({}^{(2)}e^a + i {}^{(3)}e^a) \quad (4.11)$$

$$\bar{m}^a = \frac{1}{2}({}^{(2)}e^a - i {}^{(3)}e^a) \quad (4.12)$$

where, because we are running out of letters, the usual null vector n^a has been written with a tilde to distinguish it from the normal to the foliation. Then we can compute the relevant complex Penrose scalar Ψ_4 such that

$$\Psi_4 = C_{abcd}\tilde{n}^a\bar{m}^b\tilde{n}^c\bar{m}^d \quad (4.13)$$

$$= C_{abcd}\tilde{n}^a\tilde{n}^c \left[\frac{1}{2} \{ {}^{(2)}e^b {}^{(2)}e^d - {}^{(3)}e^b {}^{(3)}e^d \} + i {}^{(2)}e^b {}^{(3)}e^d \right] \quad (4.14)$$

Now we must decompose this with respect to an assumed spacelike hypersurface. As usual, we define the normal to the hypersurface as n_a , the metric on the hypersurface as h_{ij} and the extrinsic curvature as K_{ij} . [Here is subtly. If we do not need to consider additional higher derivatives of curvature into this manner, we may use same procedure as Einstein GR but not sure]

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