Shapley Coalitions for Prosumers in NRG-X-Change Network

Prosumer Synthesized Data from EIA.gov

The data gathered is from EIA.gov. The data was then used to synthesize typical prosumer consumption and generation over the course of 12 months. Volatitlity in the usage and generation was added as a normal distribution with a given variance to simulate real world conditions. The data is pulled from a local file and then sorted by timestamp. The fields are grouped by id and by time. Grouping by time allows for settlement calculations to occur at each time interval.

```
In [115...
        import pandas as pd
        import numpy as np
        # Data Gathering
        # Data gathering and synthesization has been done in a seperate module.
        # import the external data gathering set. Pull data from a dataset
        # of randomly insantiated prosumer, synthesized from EIA.gov data trends
        import p0 data gather as p0
        # Set path of dataset file containing all parameterized values
        data set path = 'data/prosumer N10 all 20210305 1129.csv'
        # Set initial conditions for prosumers dataset
        dem mean = 1100
        gen mean = 1300
        # Set number of prosumers, an array of N[] values for multiple experiments
        number of prosumers = [2,3,4,5,6,7,8,9,10]
        # Trials [] holds session data for each N itteration of prosumers
        trials = []
        for N in number of prosumers:
           # Call the data as a query with the instantiated values
           prosumers_n = p0.get_data(path=data_set_path,query=f'id > 0 & id<={N} & demand_std == {dem mean*0.20} & generation s
           # Data Wrangling : Split data by time 't'
           # Wrangle data into monthly timesteps so that each time step
           # could be processed individually as a market payment for each prosumer
           prosumers n t = [pd.DataFrame(y) for x, y in prosumers n.groupby('time', as index=False)]
           trials.append({"N":N, "prosumers n":prosumers n, "prosumers n t":prosumers n t})
```

What is NRG-X-Change? "In this paper we propose NRG-X-Change — anovel mechanism for trading of locally produced re-newable energy that does not rely on an energy mar-ket or matching of orders ahead of time. In our modellocally produced energy is continuously fed into the the the trade of tr

Modeling a Prosumer Market

We will leverage an NRGX-Change based market of prosumers on an micro-grid. Any excess energy that is not consumed by the micro-grid is not considered at this time. The goal of each prosumer would be to offset the demand of the micro-grid. In most cases the total demand would need to be supplemented by the larger Grid at some retail electricity price. The network will use the payout and consumption functions for NRGX-Change as each prosumer generates every month.

The prosumers (P) are indicated on the diagram as generators of electricity. In this scenario solar PV is shown as a generation source. The Distribution Service Operator (DSO) is in charge of consuming excess Net Energy that is generated by the prosumer and it is not consumed by the prosumer. A consumer (C) is the term for a residence that has to consumer more energy that it can produce. It will need to pay for electricity and the DSO would charge it at some price. The NRG-X-Change function will charge the consumer and pay the prosumer for electricity consumed or generated.

prosumer_network_model

Prosumer Payment Function

The NRG-X-Change as described by the authors performs a dynamic payment to prosumers that are capable of meeting the demand of the micro-grid. The micro-grid is made of prosumers and consumers. As the load demand spikes the pricing for net generation also spikes to meet the demand. When there is too much generation on the grid the pricing drops encouraging prosumers to generate less and consumer to consume more. The payout function g(.), utilizes a normalization component in the denominator to account for over or under generation distributing the payout along the curve. The payment is at its highest when generation meets the total demand and at its lowest as generation starts to saturate the market because of low demand.

$$g(x,t_p,t_c) = rac{x^n * q_{t_p=t_c}}{e^{rac{(t_p-t_c)^2}{a}}}$$

```
In [116...
```

```
import math
# NRGXChange Payment g(.) Function
def g(price,p,tp,tc,a,n):
    x = p
    q = (0.01*price)
```

```
try:
    #print(f"n{n},tp{tp},tc{tc},a{a},q{q}")
    pay = abs((pow(x,n)*q)/math.exp(pow((tp-tc),2)/a))
except OverflowError:
    pay = float('inf')
return pay
```

Consumer Charge/Cost Function

Where x, is the net energy of the prosumer. q, is the maximum price allowed. t_p , is the total produced energy of all prosumers. t_c is the total consumption of all the prosumers. a, is a scaling constant to adjust the pay out. Similarly lets consider the cost of energy for consumers to purchase based on pricing set by the h(.) function. In tandem these incentives are non-linear because of the distribution curve. The shape of that curve can be adjusted to the size of the network and the volatility of the network.

$$h(y,t_p,t_c) = rac{y*r_{t_c>>t_p}*t_c}{t_c+t_p}$$

Where y is the withdrawn energy, and $r_{t_c>>t_p}$ is the maximum cost of energy delivered by the utility when the energy supply by prosumers is low. Again, t_p is the total production and t_c is the total consumption of the prosumers in the network. The minimum payment by the utility in the historical payment prices would indicate the minimum amount willing to charge customers for energy in order to cover the cost of delivering the energy. We will use the minimum price in our list for r.

```
In [117...
# NRGXChange Charge h(.) Function
def h(price,c,tp,tc):
    y = c
    r = (0.01*price)
    try:
        cost = (y*r*tc)/(tc+tp)
    except OverflowError:
        cost = float('inf')
    return cost
```

Apply Payments and Charges to Prosumers Using NRG-X-Change

The scalar for the nrg payment would need to be self-tracking. A sweep of possible a values was done to track when any of the value goes past the "inf" value.

```
tc = t['consumption'].sum()
                tp = abs(t['net energy']).sum()
                t['nrg\ v'] = t['net\ energy'].apply(lambda\ x:\ g(price=max\ price,p=abs(x),tc=tc,tp=tp,n=n,a=a) if abs(x) > 0 else
                t['prosumer\ debit'] = t['consumption'] \cdot apply(lambda\ x: -(h(price=min\ price,c=x,tc=tc,tp=tp))\ if\ abs(x) > 0\ else
                t['prosumer revenue'] = t['nrg v'] + t['prosumer debit']
            return df by t
In [120...
         def apply nrg payments(trials, n=1):
             for trial in trials:
                # Apply NRG-X change payments for each time 't'
                prosumers n = trial['prosumers n']
                prosumers n t =trial['prosumers n t']
                # Set historical pricing limits for NRG
                max price = prosumers n['price'].max()
                min price = prosumers n['price'].min()
                # Applying payments to each record
                prev std = 0
                a scaled = 0
                # Scaling the 'a' until payments are no longer sensitive
                for a in np.arange(start=10000,stop=(10000*1000),step=10000):
                    prosumers n t = get nrg payments(prosumers n t, max price, min price, a=a, n=n)
                    df = pd.concat(prosumers n t)
                    std = df['nrg v'].std()
                    pct c = ((std - prev std)/std)
                    prev std = std
                    if pct c < 0.001:
                        a scaled = a
                        break
                # store the scaled a value for this trail given N proumers
                trial['a scaled'] = a scaled
             return trials
```

Shapley Value Method

Review of Game Theory and Shapley Value

def get nrg payments(df by t,max price,min price,a=0,n=1):

for t in df by t:

The game is in terms of a **characteristic function**, which specfies for every group of players the total payoff that the members of S can by signing an greement among themselves; this payoff is available for distribution among the members of the group. A coalitional game with

transferable payoff is a pair < N, v> where $N=\{1,\ldots,n\}$ is the set of players and for every subset S of I (called a coalition) $v(S)\in\mathbb{R}$ is the total payoff that is available for division among members of S (called the worth of S). We assume that the larger the coalition the larger the payoff (this property is called superadditivity).

An agreement amongst players is a list (x_1, x_1, \ldots, x_n) where x_1 , is the proposed payoff to individual i. Shapley value is interpreted in terms of **expected marginal contribution**. It is calculated by considering all the possible orders of arrival of the players into a room and giving each player his marginal contribution.

In [121... # Shapley Value Python Logic # Authored by Susobhan Ghosh # https://github.com/susobhang70 # Committed on 02/01/2020 from itertools import combinations import bisect #Create Combinatorial from List def power set(List): PS = [list(j) for i in range(len(List)) for j in combinations(List, i+1)] #Calculate Shapley from Characteristic Value list def get shapley(n,v): tempList = list([i for i in range(n)]) N = power set(tempList) shapley_values = [] for i in range(n): shapley = 0for j in N: if i not in j: cmod = len(j)Cui = j[:]bisect.insort left(Cui,i) l = N.index(j)k = N.index(Cui) temp = float(float(v[k]) - float(v[l])) *\ float(math.factorial(cmod) * math.factorial(n - cmod - 1)) / float(math.factorial(n)) shapley += temp cmod = 0Cui = [i] k = N.index(Cui) temp = float(v[k]) * float(math.factorial(cmod) * math.factorial(n - cmod - 1)) / float(math.factorial(n)) shapley += temp shapley values.append(shapley) return shapley values

```
# Calcualate the coalitional Pay out at each time step of t
def get coalitional payments(df by t,max price,min price,a=0,n=1):
   for t in df by t:
       tc = t['consumption'].sum()
       tp = abs(t['net energy']).sum()
       # identify number of prosumers at every time step of t,
       # that have provided net energy > 0
       ids net energy given = t[abs(t['net energy']) > 0]['id']
       N c=len(ids net energy given)
       # sum the absolute value of all the net energy given
       # by the indentified IDs
       # abs(t.loc[t['id'].isin(ids net energy given)]['net energy']).sum()
       t['coalition v'] = 0
       # if number of members is 1 set it to the characteristic value
       if N c == 1:
          t['coalition_v'] = t['nrg_v']
       # if number of members is greather than 1 calc shapley
       if N c > 1:
          List = ids net energy given
          # get a power set with combinatorial elements as a list of lists
          PS = [list(j) for i in range(len(List)) for j in combinations(List, i+1)]
          char vals = []
          # locate all ids in time step within the powerset, (factorial), and sum up
          for nn in PS:
              contribution = abs(t.loc[t['id'].isin(nn)]['net energy']).sum()
              char_func_val = g(price=max_price,p=contribution,tc=tc,tp=tp,n=n,a=a)
              char vals.append(char func val)
           # use the number of members in the coalition and the
           # characteristic values to calc shapley
          shapleys = get shapley(N c, char vals)
          # add the individual shappley value to each of the id's that generated energy
          for i in range(N c):
              t.loc[t.index[ids net energy given.values[i]-1], 'coalition v'] = shapleys[i]
   return df by t
```

```
min_price = prosumers_n['price'].min()
   prosumers_n_t = get_coalitional_payments(prosumers_n_t,max_price,min_price,a=a,n=n)
return trials
```

```
In [124...
         # NRG & Coalitional payment processing for each trial(N) prosumers
         \# Y=X^{(1)}, linear
         trials = apply nrg payments(trials=trials)
         trials = apply coalitional payments(trials=trials)
         # Visualize/Sample of Data
         for trial in trials:
            print(f"\nN={trial['N']}")
            print(trial['prosumers n t'][0])
        N=2
                id
                                  demand generation consumption net energy \
                        time
                              757.174284 698.980517
        25919
                 1 2019-10-01
                                                      58.193767
                                                                      0.0
                 2 2019-10-01 1230.621807 503.603134
        1235759
                                                     727.018673
                                                                      0.0
                price demand std generation std generation mean demand mean \
                           220.0
        25919
                11.66
                                         260.0
                                                          1300
                                                                      1100
                                                          1300
        1235759 11.66
                           220.0
                                         260.0
                                                                     1100
                      prosumer debit prosumer revenue coalition v
        25919
                           -5.726267
                                           -5.726267
                                                              0
        1235759
                    0
                          -71.538637
                                          -71.538637
                                                              0
        N=3
                        time
                                  demand generation consumption net energy \
                id
        25919
                 1 2019-10-01
                              757.174284 698.980517
                                                      58.193767
                                                                      0.0
        1235759
                 2 2019-10-01 1230.621807 503.603134
                                                     727.018673
                                                                      0.0
                 3 2019-10-01 1241.295996 681.009070
        2445599
                                                     560.286926
                                                                      0.0
                price demand std generation std generation mean
                                                               demand mean \
        25919
                11.66
                           220.0
                                         260.0
                                                          1300
                                                                     1100
        1235759 11.66
                           220.0
                                         260.0
                                                          1300
                                                                      1100
        2445599 11.66
                           220.0
                                         260.0
                                                          1300
                                                                     1100
                nrg v prosumer debit prosumer revenue coalition v
        25919
                           -5.726267
                                           -5.726267
        1235759
                    0
                          -71.538637
                                          -71.538637
                                                              0
                                                              0
        2445599
                          -55.132233
                                          -55.132233
        N=4
```

757.174284 698.980517

2 2019-10-01 1230.621807 503.603134

demand generation consumption net energy \

58.193767

727.018673

0.0

0.0

time

1 2019-10-01

id

25919 1235759

	3 2019-10-01 1241. 4 2019-10-01 843.			0.0
25919 1235759 2445599 3655439	price demand_std 220.0 11.66 220.0 11.66 220.0 11.66 220.0 11.66 220.0	generation_std gene: 260.0 260.0 260.0 260.0	ration_mean deman 1300 1300 1300 1300	d_mean \ 1100 1100 1100 1100
25919 1235759 2445599 3655439	$ \begin{array}{rrr} 0 & -5.72626 \\ 0 & -71.53863 \end{array} $	-71.538637 -55.132233	0 0	
2445599	2 2019-10-01 1230.		58.193767 727.018673 560.286926	energy \ 0.0 0.0 0.0 0.0 0.0 0.0
	price demand_std 220.0 11.66 220.0 11.66 220.0 11.66 220.0 11.66 220.0 11.66 220.0	generation_std gene: 260.0 260.0 260.0 260.0 260.0	ration_mean deman 1300 1300 1300 1300 1300	d_mean \ 1100 1100 1100 1100 1100 1100
25919 1235759 2445599 3655439 4865279	nrg_v prosumer_debi 0 -5.72626 0 -71.53863 0 -55.13223 0 -12.44549 0 -2.42849	-71.538637 -55.132233 -12.445495	0 0 0	
N=6 25919 1235759 2445599 3655439 4865279 6075119	1 2019-10-01 757. 2 2019-10-01 1230. 3 2019-10-01 1241. 4 2019-10-01 843. 5 2019-10-01 672.	demand generation 174284 698.980517 621807 503.603134 295996 681.009070 911097 717.432484 649709 647.969906 494570 588.374290		
25919 1235759 2445599 3655439	price demand_std 0 11.66 220.0 11.66 220.0 11.66 220.0 11.66 220.0	generation_std gene: 260.0 260.0 260.0 260.0	ration_mean deman 1300 1300 1300 1300	d_mean \ 1100 1100 1100 1100

4865279		220.0	260.0	1100				
6075119	11.66	220.0	260.0	1300	1100			
	nrg v prosu	mer debit pro	sumer revenue	coalition v				
25919		-5.726267	-5.726267					
1235759		71.538637	-71.538637					
2445599		55.132233	-55.132233	0				
		12.445495	-12.445495	0				
3655439								
4865279		-2.428493	-2.428493	0				
6075119	0 –	10.343836	-10.343836	0				
N=7								
	id tim	e demand	generation	consumption	net_energy	\		
25919	1 2019-10-0	1 757.174284	698.980517	58.193767	0.0			
1235759	2 2019-10-0	1 1230.621807	503.603134	727.018673	0.0			
2445599	3 2019-10-0		681.009070	560.286926	0.0			
3655439	4 2019-10-0		717.432484	126.478613	0.0			
4865279	5 2019-10-0		647.969906	24.679803	0.0			
6075119	6 2019-10-0		588.374290	105.120280	0.0			
	7 2019-10-0							
7284959	/ 2019-10-0	1 9/4.6/0409	725.090088	249.580321	0.0			
	price deman	d std generat:	ion std gene	ration mean	demand_mean	\		
25919	11.66	220.0	260.0	1300	1100			
1235759		220.0	260.0	1300	1100			
2445599		220.0	260.0	1300	1100			
3655439		220.0	260.0	1300	1100			
4865279		220.0	260.0	1300	1100			
6075119		220.0	260.0	1300	1100			
7284959		220.0	260.0	1300	1100			
7201737	11.00	220.0	200.0	1300	1100			
		mer_debit pro						
25919		-5.726267	-5.726267					
1235759	0 –	71.538637	-71.538637 0					
2445599	0 -	55.132233	-55.132233					
3655439	0 -	12.445495	-12.445495 0					
4865279	0	-2.428493	-2.428493 0					
6075119	0 –	10.343836	-10.343836 0					
7284959		24.558704	-24.558704	0				
N=8								
	id tim		generation	consumption	net_energy	\		
25919	1 2019-10-0	1 757.174284	698.980517	58.193767	0.0			
1235759	2 2019-10-0	1 1230.621807	503.603134	727.018673	0.0			
2445599	3 2019-10-0	1 1241.295996	681.009070	560.286926	0.0			
3655439	4 2019-10-0	1 843.911097	717.432484	126.478613	0.0			
4865279	5 2019-10-0		647.969906	24.679803	0.0			
6075119	6 2019-10-0		588.374290	105.120280	0.0			
7284959	7 2019-10-0		725.090088	249.580321	0.0			
8494799	8 2019-10-0		894.004707	146.266338	0.0			
	price deman	d_std generat:	ion_std gene	ration_mean	demand_mean	\		

25919	11.66	220	0.0	260.0	1300	1100				
1235759	11.66	220.0		260.0	1300	1100				
2445599	11.66	220	0.0	260.0	1300	1100				
3655439		220.0		260.0	1300	1100				
4865279	11.66	220.0		260.0	1300	1100				
6075119	11.66	220.0		260.0	1300	1100				
7284959			0.0	260.0	1300	1100				
8494799		220		260.0	1300	1100				
0131,33					1000					
	nrg_v	progumer debit		arogumer reveni	ue coalition_v					
25919	0		.726267	-5.72626						
1235759	0		.538637							
2445599	0		.132233	-55.13223						
3655439			.445495	-12.44549						
	0									
4865279			.428493	-2.42849						
6075119	0		.343836	-10.34383						
7284959	0		.558704	-24.55870						
8494799	0	-14	.392608	-14.39260	08					
37 0										
N=9	. ,		-	1			,			
05010	id	time			consumption	_	\			
25919				284 698.98051		0.0				
1235759				307 503.603134		0.0				
2445599				996 681.009070		0.0				
3655439				097 717.432484		0.0				
4865279				709 647.96990		0.0				
6075119		9-10-01 693.49457				0.0				
7284959		9-10-01 974.670409				0.0				
8494799		9-10-01 1040.271045				0.0				
9704639	9 2019	9-10-01 948.5400		002 695.13049	253.409507	0.0				
				cation_std gen	neration_mean	demand_mean	\			
25919	11.66		0.0	260.0	1300	1100				
1235759	11.66	220	0.0	260.0	1300	1100				
2445599	11.66	220	0.0	260.0	1300	1100				
3655439	11.66	220	0.0	260.0	1300	1100				
4865279	11.66	220	0.0	260.0	1300	1100				
6075119	11.66	220	0.0	260.0	1300	1100				
7284959	11.66		0.0	260.0	1300	1100				
8494799			0.0	260.0	1300	1100				
9704639	11.66		0.0	260.0	1300	1100				
	nrg_v	prosume	r debit r	orosumer revenu	ue coalition v					
25919	0	_	.726267	-5.72626						
1235759	0		.538637	-71.53863						
2445599	0		.132233	-55.13223						
3655439	0		.445495	-12.44549						
4865279	0		.428493	-2.42849						
6075119	0		.343836	-10.34383						
				-24.55870						
7284959	U	0 -24.558704 0 -14.392608		-24.338/(, - ± U					
8494799	0			-14.39260						

9704639	0	-24.9	935496 -24.935496				0			
N=10										
	id	time demand		generation		consumption		net_energy	١	
25919	1 201	19-10-01 757.174284		698.98	0517	58.19	0.0			
1235759	2 201	9-10-01	1230.62	1807	503.60	3134	727.01	0.0		
2445599	3 201	9-10-01	1241.29	5996	681.00	9070	560.28	6926	0.0	
3655439	4 201	9-10-01	843.91	1097	717.43	2484	126.47	8613	0.0	
4865279	5 201	9-10-01	672.64	9709	647.96	9906	24.67	9803	0.0	
6075119		9-10-01	693.49	4570	588.37		105.12	0280	0.0	
7284959		9-10-01	974.67		725.09	8800	249.58	0321	0.0	
8494799	8 201	9-10-01	1040.27	1045	894.00	4707	146.26	6338	0.0	
9704639	9 201	9-10-01	948.54	0002	695.13	0495	253.40	9507	0.0	
10914479	10 201	9-10-01	766.92	7736	385.53	9889	381.38	7847	0.0	
										,
	price	demand_s		erati	on_std	gene	ration_m		demand_mean	,
25919	11.66	220			260.0			300	1100	
1235759	11.66	220			260.0			300	1100	
2445599	11.66	220			260.0		300	1100		
3655439		11.66 220.0			260.0			300	1100	
4865279		11.66 220.0			260.0			1100		
6075119		11.66 220.0			260.0	1	1100			
7284959	11.66	220			260.0 1300 260.0 1300				1100	
8494799	11.66		0.0 260.0					1100		
9704639	11.66		260.0 260.0 260.0				1	1100		
10914479	11.66	220	0.0		260.0		1	300	1100	
	nrg_v	prosumer	_debit	pros	umer_re	venue	coalit	ion_v	7	
25919	0	-5.	726267		-5.7	26267		()	
1235759	0	-71.	538637		-71.5	38637		()	
2445599	0	-55.	132233		-55.1	32233		()	
3655439	0	-12.	445495		-12.4	45495)	
4865279	0	-2.	428493		-2.4	28493		()	
6075119	0	-10.	343836		-10.3	43836		()	
7284959	0		558704		-24.5	58704)		
8494799	0	-14.	392608		-14.392608 0)	
9704639	0	0 -24.935496			-24.935496 0)	
10914479	0	-37.	528564		-37.5	28564		()	

Example of Shapley value Calculation for N Prosumers

We consider a comunity of solar prosumers P=1,2,3..N, who agree to form a coalition and produce energy. The number of possible coalitions are 2^n and the number of ways to build the grand coalition is N!.

In [125...

```
X_n= [-2,-0.5,1,0.5,2]
x_n_trials = []
for n in X_n :
    trials = apply_nrg_payments(trials=trials,n=n)
    trials = apply_coalitional_payments(trials=trials,n=n)
    x_n_trials.append({'X_n':n,'trials':trials})
```

Comparison of Shapley value for Convex, Linear and Concave characteristic functions

Energy produced by individual Prosumers

Shapley value calculation with and without coalition for different characteristic functions

```
In [126...
          table = {}
          for x_n_trial in x_n_trials :
              x n = x n trial['X n']
              col title = f'Y=X^{(x n)'}
              trials = x n trial['trials']
              rows=[]
              for trial in trials:
                  df = pd.concat(trial['prosumers_n_t'])
                  avg wo co = df['nrg v'].mean()
                  avg w co = df['coalition v'].mean()
                  rows.append({"X n":x n, "Number of Prosumers":trial['N'], "With Coalition":avg w co, "Without Coalition":avg w co}
              table[col title] = rows
          lines = []
          for header in table.keys():
              line=""
              for i in range(len(table[header])):
                  for row in table[header]:
                      print(row)
          print(lines)
         .919591173862, 'Without Coalition': 9774.919591173862}
         {'X n': 1, 'Number of Prosumers': 10, 'With Coalition': 5716.662792516543, 'Without Coalition': 5716.662792516543}
```

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.919591173862, 'Without Coalition': 9774.919591173862}
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```

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In []:

In []:
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