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# Chapter 1

## Time-dependent perturbation theory

$$\begin{aligned} & 1 \rightsquigarrow 2 \rightsquigarrow 1 \\ & 1 \rightsquigarrow 2 \rightarrow 1 \rightarrow 2 \rightarrow 1 \rightarrow 2 \rightsquigarrow 1 \\ & 0 \rightarrow 1 \rightsquigarrow 2 \rightarrow 0 \rightarrow 2 \rightarrow 0 \rightarrow 2 \rightsquigarrow 1 \rightarrow 0 \end{aligned}$$

### The interaction picture

Suppose that the Hamiltonian  $H = H_0 + H_1$ , where  $H_0$  is time-independent and  $H_1$  is time-dependent. Suppose that we prepare or measure the system such that it's in an eigenstate of  $H_0$ , namely  $H_0\psi_i = E_i\psi_i$ . After some time  $t$ , due to the influence of  $H_1$ , the initial state  $\psi_i$  will generally evolve to a superposition of eigenstates of  $H_0$ , and the transition probability to the state  $\psi_j$  is

$$p_{i \rightarrow j} = |\langle \psi_j | e^{-iHt} | \psi_i \rangle|^2$$

and we have  $\sum_j p_{i \rightarrow j} = 1$  due to the completeness of the eigenstates  $|\psi_j\rangle$ .

Notice that Hamiltonian at different times generally do not commute, and in that case the operator  $e^{-iHt}$  should really mean the time-ordered version of it. What we shall derive in the following should be true in the general case.

We would like to calculate the effect of  $H_1$  on the transition probabilities  $\{p_{i \rightarrow j}\}$  perturbatively – in terms of a series in  $H_1$ . If we have  $H_1 = 0$ , then  $p_{i \rightarrow j} = \delta_{ij}$ . A method called the "interaction picture" simplifies the calculation somewhat. In effect, it does the following:

$$p_{i \rightarrow j} = |\langle \psi_j | e^{-iHt} | \psi_i \rangle|^2 = |\langle \psi_j | e^{iH_0t} e^{-iHt} | \psi_i \rangle|^2$$

and the unitary operator  $e^{iH_0 t} e^{-iH t}$  satisfies the following differential equation

$$\begin{aligned} d_t e^{iH_0 t} e^{-iH t} &= -i e^{iH_0 t} H_1 e^{-iH t} \\ &= -i e^{iH_0 t} H_1 e^{-iH_0 t} e^{iH_0 t} e^{-iH t} \\ &=: -i H_{1I} e^{iH_0 t} e^{-iH t} \end{aligned}$$

with solution given by the Dyson series

$$e^{iH_0 t} e^{-iH t} = 1 - i \int_0^t dt' H_{1I} - \int_0^t dt' \int_0^{t'} dt'' H_{1I} H_{1I} + \dots$$

and thus to first order in  $H_1$ , the transition amplitude (up to a phase) is

$$\begin{aligned} \langle \psi_j | e^{iH_0 t} e^{-iH t} | \psi_i \rangle &= \delta_{ij} - i \int_0^t dt' \langle \psi_j | H_{1I} | \psi_i \rangle \\ &= \delta_{ij} - i \int_0^t dt' e^{-i\omega_{ij} t'} \langle \psi_j | H_1 | \psi_i \rangle \end{aligned}$$

The unitary operator  $e^{iH_0 t} e^{-iH t}$  can also be written as

$$e^{iH_0 t} e^{-iH t} = \mathcal{T} \exp \left( -i \int_0^t dt' H_{1I} \right)$$

which may be generalized to

$$U_{21} := e^{iH_0 t_2} e^{-iH t_{21}} e^{-iH_0 t_1} = \mathcal{T} \exp \left( -i \int_{t_1}^{t_2} dt' H_{1I} \right)$$

## Case I: Constant perturbation

Suppose that  $H_1 = V$  is a constant operator, then the transition amplitude above evaluates to

$$\langle \psi_j | e^{iH_0 t} e^{-iH t} | \psi_i \rangle = \delta_{ij} + V_{ji} \frac{e^{-i\omega_{ij} t} - 1}{\omega_{ij}}$$

and if  $j \neq i$ , the transition probability is

$$p_{i \rightarrow j} = |V_{ji}|^2 \left( \frac{\sin \omega_{ij} t / 2}{\omega_{ij} / 2} \right)^2$$

which takes the form of the familiar "sinc" function. Interestingly, when  $t$  is very large, the transition probability  $p_{i \rightarrow j}$  has the limiting behavior

$$\lim_{t \rightarrow \infty} p_{i \rightarrow j} = |V_{ji}|^2 2\pi t \delta^1(\omega_{ij})$$

This is a first sign of Fermi's golden rule.

However, the result above should only hold for sufficiently small  $t$ , since the expression for the transition probability does not always satisfy  $p_{i \rightarrow j} \leq 1$ . For example,

$$\omega_{ij} = 0 \Rightarrow p_{i \rightarrow j} = |V_{ji}|^2 t^2$$

and thus we require that  $t \ll |V_{ji}|^{-1}$ .

## Case II: Monochromatic perturbation

Suppose that  $H_1 = V e^{-i\omega t} + V^\dagger e^{i\omega t}$ , where  $V$  is a constant operator, then the transition amplitude is equal to

$$\langle \psi_j | e^{iH_0 t} e^{-iH t} | \psi_i \rangle = \delta_{ij} + V_{ji} \frac{e^{-i\omega_{ij} t} e^{-i\omega t} - 1}{\omega_{ij} + \omega} + V_{ij*} \frac{e^{-i\omega_{ij} t} e^{i\omega t} - 1}{\omega_{ij} - \omega}$$

which contains two terms if  $j \neq i$ , thus  $p_{i \rightarrow j}$  will contain four terms if  $j \neq i$ .

In order to make some simplification, we may attempt to take  $t \rightarrow \infty$ . However, as we have remarked earlier,  $t$  cannot be taken too large, otherwise perturbation theory will break down.

We may focus on the case when  $\omega$  is close to  $\omega_{ij}$  or  $-\omega_{ij}$ . If for example  $\omega$  is close to  $\omega_{ij}$ , then the second term dominates, and the transition probability  $p_{i \rightarrow j}$  when  $j \neq i$  is approximately equal to

$$p_{i \rightarrow j} \approx |V_{ij}|^2 \left( \frac{\sin \omega_{ij-} t / 2}{\omega_{ij-} / 2} \right)^2$$

where  $\omega_{ij-} := \omega_{ij} - \omega$ .

Although we may not take  $t \rightarrow \infty$ , when the final state lies in a spectrum continuum, it can be justified (see Tong Chen's notes) that we may take  $t$  large, such that

$$\langle \psi_j | e^{iH_0 t} e^{-iH t} | \psi_i \rangle \approx \delta_{ij} - i V_{ji} 2\pi \delta^1(\omega_{ij} + \omega) - i V_{ij*} 2\pi \delta^1(\omega_{ij} - \omega)$$

which means that if  $j \neq i$ ,

$$p_{i \rightarrow j} \approx |V_{ji}|^2 2\pi t \delta^1(\omega_{ij} + \omega) + |V_{ij}|^2 2\pi t \delta^1(\omega_{ij} - \omega)$$

since  $\omega \neq 0$ , the cross terms vanishes. Here we have used one of the delta functions to evaluate the other delta function integral, and obtained  $t$ .

## Einstein's coefficients

$$\begin{aligned} d_t n_1 &= -B_{21} \rho_\omega n_1 + A_{12} n_2 + B_{12} \rho_\omega n_2 \\ d_t n_2 &= -d_t n_1 \end{aligned}$$

and Einstein: when  $d_t n_1 = d_t n_2 = 0$ ,

$$\frac{n_2}{n_1} = \frac{B_{21} \rho_\omega}{A_{12} + B_{12} \rho_\omega} = e^{-\beta \omega}$$

## The electromagnetic field is quantized

Let us suppose that

$$H_1 = V a + V^\dagger a^\dagger$$

which gives us the transition probability

$$\begin{aligned} p_{1,n \rightarrow 2,n-1} &= n_\omega |V_{21}|^2 2\pi t \delta^1(\omega_{21} - \omega) \\ p_{2,n \rightarrow 1,n+1} &= (n_\omega + 1) |V_{21}|^2 2\pi t \delta^1(\omega_{21} - \omega) \end{aligned}$$

and thus

$$\begin{aligned} d_t n_1 &\propto -n_\omega |V_{21}|^2 n_1 + (n_\omega + 1) |V_{21}|^2 n_2 \\ d_t n_2 &= -d_t n_1 \end{aligned}$$

For phonons, the energy density is

$$\frac{E}{V} = 2 \int \frac{d^3 k}{(2\pi)^3} \frac{\omega}{e^{\beta \omega} - 1} = \int \frac{k^2 dk}{\pi^2} \frac{\omega}{e^{\beta \omega} - 1} = \int \frac{\omega^2 d\omega}{\pi^2} \frac{\omega}{e^{\beta \omega} - 1} = \int \frac{\omega^3 n_\omega d\omega}{\pi^2}$$

which gives us the spectral energy density

$$\rho_\omega = \frac{\omega^3 n_\omega}{\pi^2}$$

and we read

$$\begin{aligned} B_{21} &= B_{12} \\ A_{12} &= B_{12} \frac{\omega^3}{\pi^2} \end{aligned}$$

## Chapter 2

# The family of Green's functions

### Linear response theory

We have

$$\begin{aligned}\mathcal{O} &= e^{iHt} \mathcal{O}_S e^{-iHt} \\ &= e^{iHt} e^{-iH_0 t} e^{iH_0 t} \mathcal{O}_S e^{-iH_0 t} e^{iH_0 t} e^{-iHt} \\ &= e^{iHt} e^{-iH_0 t} \mathcal{O}_I e^{iH_0 t} e^{-iHt}\end{aligned}$$

and we may expand  $e^{iH_0 t} e^{-iHt}$  to first order in  $H_1$ , and obtain

$$e^{iHt} \mathcal{O}_S e^{-iHt} = \mathcal{O}_I - i \int_0^t dt' \mathcal{O}_I H_{1I} + i \int_0^t dt' H_{1I} \mathcal{O}_I$$

which means that the linear response is characterized by the commutator

$$\mathcal{O}_I H_{1I} - H_{1I} \mathcal{O}_I$$

at different times:  $\mathcal{O}_I$  is at time  $t$  while  $H_{1I}$  is at time  $t'$ , and we have  $\int_0^t dt' dt'$ .

$$\begin{aligned}e^{iHt_2} \mathcal{O}_{2S} e^{-iHt_2} &= e^{iH_0 t_2} \mathcal{O}_{2S} e^{-iH_0 t_2} - i \int_0^{t_2} dt_1 e^{iH_0 t_2} \mathcal{O}_{2S} e^{-iH_0 t_{21}} H_{1S} e^{-iH_0 t_1} \\ &\quad + i \int_0^{t_2} dt_1 e^{iH_0 t_1} H_{1S} e^{iH_0 t_{21}} \mathcal{O}_{2S} e^{-iH_0 t_2}\end{aligned}$$

which is easily proved by breaking the unitary evolution operator  $e^{-iHt}$  into pieces and expanding. This result is very intuitive.

## An example: The simple harmonic oscillator

We have the response function at zero temperature

$$D_{\beta \rightarrow \infty} = \frac{i}{2\omega} e^{-i\omega t_{21}} \Theta_{21} - \frac{i}{2\omega} e^{-i\omega t_{12}} \Theta_{21}$$

and thus the linear response is

$$\int dt_1 \left( \frac{i}{2\omega} e^{-i\omega t_{21}} \Theta_{21} - \frac{i}{2\omega} e^{-i\omega t_{12}} \Theta_{21} \right) e^{0^+ t_1} = \frac{i}{2\omega} \left( \frac{1}{i\omega} - \frac{1}{-i\omega} \right) = \frac{1}{\omega^2}$$

which is correct, since the new equilibrium position is at  $\frac{\lambda}{\omega^2}$  for  $H_1 = -\lambda\phi$ .

## The Lehmann representation

1. The real-time Green's function.

$$\begin{aligned} iG_\beta &= \Theta_{21} p_i \langle \psi_i | \phi_2 \phi_1 | \psi_i \rangle + \eta \Theta_{12} p_i \langle \psi_i | \phi_1 \phi_2 | \psi_i \rangle \\ &= \Theta_{21} p_i \langle \psi_i | \phi_2 | \psi_j \rangle \langle \psi_j | \phi_1 | \psi_i \rangle + \eta \Theta_{12} p_i \langle \psi_i | \phi_1 | \psi_j \rangle \langle \psi_j | \phi_2 | \psi_i \rangle \\ &= \Theta_{21} p_i e^{iE_{ij} t_{21}} (\phi_{2S})_{ij} (\phi_{1S})_{ji} + \eta \Theta_{12} p_i e^{iE_{ij} t_{12}} (\phi_{1S})_{ij} (\phi_{2S})_{ji} \end{aligned}$$

and we have

$$\tilde{G}_\beta = p_i \frac{(\phi_{2S})_{ij} (\phi_{1S})_{ji}}{\omega + E_{ij} + i0^+} - \eta p_i \frac{(\phi_{1S})_{ij} (\phi_{2S})_{ji}}{\omega - E_{ij} - i0^+}$$

2.a. The retarded Green's function.

$$\begin{aligned} iD_{\beta+} &= \Theta_{21} p_i \langle \psi_i | \phi_2 \phi_1 | \psi_i \rangle - \eta \Theta_{21} p_i \langle \psi_i | \phi_1 \phi_2 | \psi_i \rangle \\ &= \Theta_{21} p_i \langle \psi_i | \phi_2 | \psi_j \rangle \langle \psi_j | \phi_1 | \psi_i \rangle - \eta \Theta_{21} p_i \langle \psi_i | \phi_1 | \psi_j \rangle \langle \psi_j | \phi_2 | \psi_i \rangle \\ &= \Theta_{21} p_i e^{iE_{ij} t_{21}} (\phi_{2S})_{ij} (\phi_{1S})_{ji} - \eta \Theta_{21} p_i e^{iE_{ij} t_{12}} (\phi_{1S})_{ij} (\phi_{2S})_{ji} \end{aligned}$$

and we have

$$\tilde{D}_{\beta+} = p_i \frac{(\phi_{2S})_{ij} (\phi_{1S})_{ji}}{\omega + E_{ij} + i0^+} - \eta p_i \frac{(\phi_{1S})_{ij} (\phi_{2S})_{ji}}{\omega - E_{ij} + i0^+}$$

2.b. The advanced Green's function.

$$\begin{aligned} -iD_{\beta-} &= \Theta_{12} p_i \langle \psi_i | \phi_2 \phi_1 | \psi_i \rangle - \eta \Theta_{12} p_i \langle \psi_i | \phi_1 \phi_2 | \psi_i \rangle \\ &= \Theta_{12} p_i \langle \psi_i | \phi_2 | \psi_j \rangle \langle \psi_j | \phi_1 | \psi_i \rangle - \eta \Theta_{12} p_i \langle \psi_i | \phi_1 | \psi_j \rangle \langle \psi_j | \phi_2 | \psi_i \rangle \\ &= \Theta_{12} p_i e^{iE_{ij} t_{21}} (\phi_{2S})_{ij} (\phi_{1S})_{ji} - \eta \Theta_{12} p_i e^{iE_{ij} t_{12}} (\phi_{1S})_{ij} (\phi_{2S})_{ji} \end{aligned}$$

and we have

$$\tilde{D}_{\beta-} = p_i \frac{(\phi_{2S})_{ij}(\phi_{1S})_{ji}}{\omega + E_{ij} - i0^+} - \eta p_i \frac{(\phi_{1S})_{ij}(\phi_{2S})_{ji}}{\omega - E_{ij} - i0^+}$$

3. The imaginary-time Green's function.

$$\begin{aligned} -\mathcal{G}_\beta &= \Theta_{21} p_i \langle \psi_i | \phi_2 \phi_1 | \psi_i \rangle + \eta \Theta_{12} p_i \langle \psi_i | \phi_1 \phi_2 | \psi_i \rangle \\ &= \Theta_{21} p_i \langle \psi_i | \phi_2 | \psi_j \rangle \langle \psi_j | \phi_1 | \psi_i \rangle + \eta \Theta_{12} p_i \langle \psi_i | \phi_1 | \psi_j \rangle \langle \psi_j | \phi_2 | \psi_i \rangle \\ &= \Theta_{21} p_i e^{E_{ij}\tau_{21}} (\phi_{2S})_{ij} (\phi_{1S})_{ji} + \eta \Theta_{12} p_i e^{E_{ij}\tau_{12}} (\phi_{1S})_{ij} (\phi_{2S})_{ji} \end{aligned}$$

and we have, setting  $t_1 = 0$  such that the  $\Theta_{12}$  term does not contribute,

$$\tilde{\mathcal{G}}_\beta = p_i \frac{(\phi_{2S})_{ij}(\phi_{1S})_{ji}}{i\omega_l + E_{ij}} - \eta p_i \frac{(\phi_{1S})_{ij}(\phi_{2S})_{ji}}{i\omega_l - E_{ij}}$$

## The "master" Green's function

is defined as

$$\widetilde{\mathcal{M}}_\beta = p_i \frac{(\phi_{2S})_{ij}(\phi_{1S})_{ji}}{z + E_{ij}} - \eta p_i \frac{(\phi_{1S})_{ij}(\phi_{2S})_{ji}}{z - E_{ij}}$$

or equivalently,

$$\widetilde{\mathcal{M}}_\beta = \frac{(p_i - \eta p_j)(\phi_{2S})_{ij}(\phi_{1S})_{ji}}{z + E_{ij}}$$

For a non-interacting Hamiltonian, when  $\phi_{1S} = a^\dagger$  and  $\phi_{2S} = a$ , the master Green's function takes a very simple form:

$$\widetilde{\mathcal{M}}_\beta = \frac{1}{z - \varepsilon}$$

which is most easily proved by taking  $|\psi_i\rangle$  to be the occupation-number basis.

## The spectral function

is defined as

$$\tilde{A}_\beta = i\tilde{D}_{\beta+} - i\tilde{D}_{\beta-}$$

which is equal to

$$p_i(\phi_{2S})_{ij}(\phi_{1S})_{ji} 2\pi\delta^1(\omega + E_{ij}) - \eta p_i(\phi_{1S})_{ij}(\phi_{2S})_{ji} 2\pi\delta^1(\omega - E_{ij})$$



or equivalently,

$$(p_i - \eta p_j)(\phi_{2S})_{ij}(\phi_{1S})_{ji} 2\pi \delta^1(\omega + E_{ij})$$

We have the exact identity

$$\int \frac{d\omega}{2\pi} \tilde{A}_\beta = 1$$

for a general Hamiltonian, when  $\phi_{1S} = a^\dagger$  and  $\phi_{2S} = a$ .

## The Kramers-Kronig relations

It can be easily verified that

$$\tilde{\mathcal{M}}_\beta = \int \frac{d\omega}{2\pi} \frac{\tilde{A}_\beta}{z - \omega}$$

thanks to the delta function. Now we have, if  $\text{im } z > 0$ ,

$$\begin{aligned} \tilde{\mathcal{M}}_\beta &= \int \frac{d\omega}{2\pi} \frac{\tilde{A}_\beta}{z - \omega} \\ &= i \int \frac{d\omega}{2\pi} \frac{\tilde{D}_{\beta+} - \tilde{D}_{\beta-}}{z - \omega} = i \int_{C-} \frac{d\omega}{2\pi} \frac{\tilde{D}_{\beta+} - \tilde{D}_{\beta-}}{z - \omega} = i \int_{C-} \frac{d\omega}{2\pi} \frac{\tilde{D}_{\beta+}}{z - \omega} = i \int \frac{d\omega}{2\pi} \frac{\tilde{D}_{\beta+}}{z - \omega} \end{aligned}$$

which gives us

$$\begin{aligned} \tilde{D}_{\beta+} &= i \int \frac{d\omega'}{2\pi} \frac{\tilde{D}_{\beta+}}{\omega - \omega' + i0^+} \\ \Rightarrow \tilde{D}_{\beta+} &= i \mathcal{P} \int \frac{d\omega'}{\pi} \frac{\tilde{D}_{\beta+}}{\omega - \omega'} \end{aligned}$$

or equivalently, the Kramers-Kronig relations,

$$\begin{aligned} \text{re } \tilde{D}_{\beta+} &= -\mathcal{P} \int \frac{d\omega'}{\pi} \frac{\text{im } \tilde{D}_{\beta+}}{\omega - \omega'} \\ \text{im } \tilde{D}_{\beta+} &= \mathcal{P} \int \frac{d\omega'}{\pi} \frac{\text{re } \tilde{D}_{\beta+}}{\omega - \omega'} \end{aligned}$$

# Chapter 3

## Scattering theory

### A useful calculation

$$\begin{aligned} -i \int_{-\infty}^t dt' \langle \psi_j | H_1 | \psi_i \rangle &= -i \int_{-\infty}^t dt' e^{-i\omega_{ij}t'} \langle \psi_j | H_1 | \psi_i \rangle \\ &= -i \int_{-\infty}^t dt' e^{-i\omega_{ij}t'} e^{0^+t} \langle \psi_j | H_1 | \psi_i \rangle \\ &= \frac{1}{\omega_{ij} + i0^+} e^{-i\omega_{ij}t'} e^{0^+t} \langle \psi_j | H_1 | \psi_i \rangle \\ &= \frac{1}{\omega_{ij} + i0^+} e^{-i\omega_{ij}t'} \langle \psi_j | H_1 | \psi_i \rangle \end{aligned}$$

### The scattering S-matrix

is defined by

$$S := U_{+\infty-\infty}$$

We have, using the calculation above,

$$S_{ji} = \langle \psi_j | U_{+\infty-\infty} | \psi_i \rangle = \delta_{ji} - iT_{ji} 2\pi \delta^1(\omega_{ij})$$

The transition probability

$$p_{i \rightarrow j} = |T_{ji}|^2 2\pi t \delta^1(\omega_{ij})$$

where we have defined

$$T = V + V \frac{1}{E_i - H_0 + i0^+} V + V \frac{1}{E_i - H_0 + i0^+} V \frac{1}{E_i - H_0 + i0^+} V + \dots$$

## The Lippmann-Schwinger equation

We define

$$\begin{aligned} |\psi_{i+}\rangle &:= U_{0-\infty}|\psi_i\rangle \\ |\psi_{i-}\rangle &:= U_{0+\infty}|\psi_i\rangle \end{aligned}$$

or equivalently,

$$\begin{aligned} \lim_{t \rightarrow -\infty} e^{-iHt} |\psi_{i+}\rangle &= \lim_{t \rightarrow -\infty} e^{-iH_0 t} |\psi_i\rangle \\ \lim_{t \rightarrow +\infty} e^{-iHt} |\psi_{i-}\rangle &= \lim_{t \rightarrow +\infty} e^{-iH_0 t} |\psi_i\rangle \end{aligned}$$

and by definition,

$$\begin{aligned} S_{ji} &= \langle \psi_j | U_{+\infty-\infty} | \psi_i \rangle \\ &= \langle \psi_j | U_{+\infty 0} U_{0-\infty} | \psi_i \rangle \\ &= \langle \psi_{j-} | \psi_{i+} \rangle \end{aligned}$$

To obtain the expression of  $|\psi_{i+}\rangle$ , we compute using the "useful calculation",

$$\langle \psi_j | \psi_{i+} \rangle = \langle \psi_j | U_{0-\infty} | \psi_i \rangle = \langle \psi_j | \psi_i \rangle + \langle \psi_j | \frac{1}{E_i - H_0 + i0^+} T | \psi_i \rangle$$

from which we read the Lippmann-Schwinger equation

$$\begin{aligned} |\psi_{i+}\rangle &= |\psi_i\rangle + \frac{1}{E_i - H_0 + i0^+} T |\psi_i\rangle \\ &= |\psi_i\rangle + \frac{1}{E_i - H_0 + i0^+} V |\psi_{i+}\rangle \end{aligned}$$

Since by definition  $H_0 |\psi_i\rangle = E_i |\psi_i\rangle$ , we have

$$H_0 |\psi_{i+}\rangle + V |\psi_{i+}\rangle = E_i |\psi_{i+}\rangle$$

namely  $|\psi_{i+}\rangle$  is an eigenstate of the total  $H$ , with the same eigenvalue  $E_i$ . Therefore, if  $|\psi_i\rangle$  is a scattering eigenstate of  $H_0$ , then  $|\psi_{i+}\rangle$  is a scattering eigenstate of  $H$ .

## Scattering problem in three dimensions

The scattering problem in three dimensions is a good place to show the usefulness of the Lippmann-Schwinger equation. To set up the problem, notice that the scattering

eigenstates of the free Hamiltonian  $H_0$  are the plane waves, and thus we may take the state  $|\psi_i\rangle$  to be the plane wave with wave vector  $k_i$ .

We then use the Lippmann-Schwinger equation to calculate the scattering eigenstates of the total Hamiltonian

$$|\psi_{i+}\rangle = |\psi_i\rangle + \frac{1}{E_i - H_0 + i0^+} T |\psi_i\rangle$$

Now let us compute the coordinate space wave function of the scattering eigenstates, and focus on its asymptotic behavior. Noticing the following calculation,

$$\langle x | \frac{1}{E_i - H_0 + i0^+} | y \rangle = 2m \int \frac{d^3 l}{(2\pi)^3} \frac{e^{il \cdot x} e^{-il \cdot y}}{k^2 - l^2 + i0^+} = -\frac{m}{2\pi} \frac{e^{ik_i \eta}}{\eta}$$

where  $\eta$  is the distance between  $x$  and  $y$ . In the limit  $x \rightarrow \infty$ , we have

$$\frac{e^{ik_i \eta}}{\eta} = \frac{e^{ik_i r}}{r} e^{-ik_i y \cdot \hat{x}} = \frac{e^{ik_i r}}{r} \langle \psi_j | y \rangle$$

where  $|\psi_j\rangle$  is the plane wave with wave vector  $k_j = k_i \hat{x}$ . Thus we read

$$\langle x | \psi_{i+} \rangle = \langle x | \psi_i \rangle - \frac{m}{2\pi} \frac{e^{ik_i r}}{r} \langle \psi_j | T | \psi_i \rangle$$

which means that asymptotically, the coordinate space wave function of the scattering eigenstates of the total  $H$  is the superposition of the incoming plane wave  $|\psi_i\rangle$  and an outgoing spherical wave, modulated by an angular distribution function  $-\frac{m}{2\pi} T_{ji}$ . Since we already know that the transition probability is proportional to  $|T_{ji}|^2$ , we now know that it is, equivalently, proportional to the square of the angular distribution function.

## The Wigner-Eckart theorem

The statement of the Wigner-Eckart theorem is quite intuitive: if some operators  $T_{jm}$  transform like the  $|jm\rangle$  states, where  $j$  is fixed, then we have

$$\langle \alpha, jm | T_{j_1 m_1} | \alpha_2, j_2 m_2 \rangle = \langle jm | j_1 m_1, j_2 m_2 \rangle \langle \alpha, j || T_{j_1} || \alpha_2, j_2 \rangle$$

where  $\langle \alpha, j || T_{j_1} || \alpha_2, j_2 \rangle$  is a number that does not depend on  $m, m_1$  or  $m_2$ .

## Rotational symmetry

In the special case that the scattering potential  $V$  is rotationally invariant, the general non-perturbative analysis above can be carried out further. In this case, the total  $H$  is rotationally invariant, and thus the scattering  $S$ - and  $T$ -matrices are rotationally invariant. In other words, they are scalar operators. The Wigner-Eckart theorem:

$$\begin{aligned}\langle E, lm | S | E', l' m' \rangle &= \delta_{ll'} \delta_{mm'} \langle E, l | S | E', l' \rangle \\ &= \delta_{ll'} \delta_{mm'} \langle E, l | S | E', l \rangle\end{aligned}$$

Since we also know that the result should be proportional to  $\delta_{EE'}$ , we arrive at the conclusion that the scattering  $S$ -matrix is diagonal in the  $|E, lm\rangle$  basis, with diagonal elements depending only on  $E$  and  $l$ ,

$$\langle E, lm | S | E', l' m' \rangle = \delta_{ll'} \delta_{mm'} \delta_{EE'} S_{E,l}$$

and due to unitarity, the diagonal element  $S_{E,l}$  can only be a phase.

The  $T$ -matrix is also a scalar operator, and we have, considering the case  $E' = E$ ,

$$\begin{aligned}\langle E, lm | T | E, l' m' \rangle &= \delta_{ll'} \delta_{mm'} \langle E, l | T | E, l' \rangle \\ &= \delta_{ll'} \delta_{mm'} \langle E, l | T | E, l \rangle \\ &= \delta_{ll'} \delta_{mm'} T_{E,l}\end{aligned}$$

Thus we conclude that

$$S_{E,l} = 1 - 2\pi i T_{E,l}$$

## Spherical harmonics

$$\mathcal{D}_{\phi\theta\psi} |jm\rangle = \mathcal{D}_{\phi\theta\psi, jm'jm} |jm'\rangle$$

We have

$$\begin{aligned}Y_{lm, \hat{n}^*} &= \langle lm | \hat{n} \rangle \\ &= \langle lm | \mathcal{D}_{\phi\theta\psi} | \hat{z} \rangle \\ &= \langle lm | \mathcal{D}_{\phi\theta\psi} | l' m' \rangle \langle l' m' | \hat{z} \rangle \\ &= \langle lm | \mathcal{D}_{\phi\theta\psi} | lm' \rangle \langle lm' | \hat{z} \rangle \\ &= \langle lm | \mathcal{D}_{\phi\theta\psi} | l0 \rangle \langle l0 | \hat{z} \rangle \\ &= \mathcal{D}_{\phi\theta\psi, lm l0} Y_{l0, \hat{z}^*}\end{aligned}$$

where  $Y_{l0,\hat{z}} = \sqrt{\frac{2l+1}{4\pi}}$ . This calculation shows that the spherical harmonics are related to the matrix representation of the rotation operators in a simple way. Notice that

$$\mathcal{D}_{\phi\theta\psi,lm l0} = \mathcal{D}_{\phi\theta0,lm l0}$$

We also have

$$\begin{aligned} \langle E, lm | p \rangle &= \langle E, lm | \mathcal{D}_{\phi\theta\psi} | p \hat{z} \rangle \\ &= \langle E, lm | \mathcal{D}_{\phi\theta\psi} | E', l' m' \rangle \langle E', l' m' | p \hat{z} \rangle \\ &= \langle E, lm | \mathcal{D}_{\phi\theta\psi} | E, lm' \rangle \langle E, lm' | p \hat{z} \rangle \\ &= \langle E, lm | \mathcal{D}_{\phi\theta\psi} | E, l0 \rangle \langle E, l0 | p \hat{z} \rangle \\ &= \mathcal{D}_{\phi\theta\psi,lm l0} \langle E, l0 | p \hat{z} \rangle \end{aligned}$$

# Chapter 4

## Quantum field theory

### Gell-Mann and Low theorem

We have, using  $\rightsquigarrow$  and  $\rightarrow$  diagrams, for example,

$$\begin{aligned}\phi_3\phi_2\phi_1 &= U_{03}\phi_{3I}U_{30}U_{02}\phi_{2I}U_{20}U_{01}\phi_{1I}U_{10} \\ &= U_{03}\phi_{3I}U_{32}\phi_{2I}U_{21}\phi_{1I}U_{10}\end{aligned}$$

where  $\phi_j$  are time-dependent Heisenberg operators. We also have

$$\begin{aligned}U_{0-\infty}|\Omega_0\rangle &= \lim_{t_- \searrow -\infty} e^{iEt_-} e^{-iE_0 t_-} |\Omega\rangle \langle \Omega | \Omega_0\rangle \\ \langle \Omega_0 | U_{+\infty 0} &= \lim_{t_+ \searrow +\infty} e^{iE_0 t_+} e^{-iEt_+} \langle \Omega_0 | \Omega \rangle \langle \Omega | \end{aligned}$$

Notice that  $U_{0-\infty}$  and  $U_{+\infty 0}$  are not unitary! since  $t_-$  and  $t_+$  are not real.

$$\langle \Omega | \phi_3 \phi_2 \phi_1 | \Omega \rangle = \frac{\langle \Omega_0 | U_{+\infty 0} \phi_{3I} U_{32} \phi_{2I} U_{21} \phi_{1I} U_{1-\infty} | \Omega_0 \rangle}{\langle \Omega_0 | U_{+\infty -\infty} | \Omega_0 \rangle}$$

### The path-integral

We have

$$\langle \Omega | \phi_3 \phi_2 \phi_1 | \Omega \rangle = \frac{\langle \Omega_0 | U_{+\infty 0} \phi_3 \phi_2 \phi_1 U_{0-\infty} | \Omega_0 \rangle}{\langle \Omega_0 | U_{+\infty 0} U_{0-\infty} | \Omega_0 \rangle}$$

after canceling some factors,

$$\langle \Omega | \phi_3 \phi_2 \phi_1 | \Omega \rangle = \lim_{t_- \searrow -\infty} \lim_{t_+ \searrow +\infty} \frac{\langle \Omega_0 | e^{-iHt_+} \phi_3 \phi_2 \phi_1 e^{iHt_-} | \Omega_0 \rangle}{\langle \Omega_0 | e^{-iHt_+} e^{iHt_-} | \Omega_0 \rangle}$$

In fact, we have

$$\langle \Omega | \phi_3 \phi_2 \phi_1 | \Omega \rangle = \lim_{t_- \searrow -\infty} \lim_{t_+ \searrow +\infty} \frac{\langle \Omega' | e^{-iHt_+} \phi_3 \phi_2 \phi_1 e^{iHt_-} | \Omega'' \rangle}{\langle \Omega' | e^{-iHt_+} e^{iHt_-} | \Omega'' \rangle}$$

for any  $|\Omega'\rangle$  and  $|\Omega''\rangle$ . This is because

$$\lim_{t \searrow +\infty} e^{-iHt} = \lim_{t \searrow +\infty} e^{-iEt} |\Omega\rangle \langle \Omega|$$

## Second quantization

$$\Psi = \Psi^0 + \Psi^1 + \Psi^2 + \Psi^3 + \dots$$

or more precisely,

$$\mathcal{F} = \mathcal{H}^0 \oplus \mathcal{H}^1 \oplus \mathcal{H}^2 \oplus \mathcal{H}^3 \oplus \dots$$

We have

$$\Psi = \Psi^0 + C_i |\psi_i\rangle + C_{ij} |\psi_i\rangle |\psi_j\rangle + C_{ijk} |\psi_i\rangle |\psi_j\rangle |\psi_k\rangle + \dots$$

and

$$\begin{aligned} \mathcal{P}_{12} \Psi &= \Psi^0 + C_i |\psi_i\rangle + C_{ij} |\psi_j\rangle |\psi_i\rangle + C_{ijk} |\psi_j\rangle |\psi_i\rangle |\psi_k\rangle + \dots \\ &= \Psi^0 + C_i |\psi_i\rangle + C_{ji} |\psi_i\rangle |\psi_j\rangle + C_{jik} |\psi_i\rangle |\psi_j\rangle |\psi_k\rangle + \dots \end{aligned}$$

which means that

$$C_{ji\dots} = \zeta C_{ij\dots}$$

Therefore, we define

$$|\psi_i \psi_j \dots\rangle = \frac{1}{\sqrt{n!}} \sum_{\mathcal{P}} \zeta_{\mathcal{P}} |\psi_{\mathcal{P}i}\rangle |\psi_{\mathcal{P}j}\rangle \dots$$

which is a over-complete basis of the  $n$ -particle subspace  $\mathcal{H}^n$ . Notice that

$$\begin{aligned} \langle \phi_i \phi_j \dots | \psi_k \psi_l \dots \rangle &= \frac{1}{n!} \sum_{\mathcal{P}} \sum_{\mathcal{Q}} \zeta_{\mathcal{P}} \zeta_{\mathcal{Q}} \langle \phi_{\mathcal{P}i} | \psi_{\mathcal{Q}k} \rangle \langle \phi_{\mathcal{P}j} | \psi_{\mathcal{Q}l} \rangle \dots \\ &= \sum_{\mathcal{P}} \zeta_{\mathcal{P}} \langle \phi_i | \psi_{\mathcal{P}k} \rangle \langle \phi_j | \psi_{\mathcal{P}l} \rangle \dots \\ &= \det \begin{pmatrix} \langle \phi_i | \psi_k \rangle & \langle \phi_i | \psi_l \rangle & \dots \\ \langle \phi_j | \psi_k \rangle & \langle \phi_j | \psi_l \rangle & \dots \\ \vdots & \vdots & \ddots \end{pmatrix} \end{aligned}$$



which, in particular, means that

$$\langle \psi_i \psi_i \cdots \psi_i \psi_j \psi_j \cdots \psi_j \cdots | \psi_i \psi_i \cdots \psi_i \psi_j \psi_j \cdots \psi_j \cdots \rangle = n_i! n_j! \cdots$$

A natural complete orthonormal basis of the  $n$ -particle subspace  $\mathcal{H}^n$  is the occupation number basis

$$|\cdots n_i \cdots n_j \cdots\rangle = \frac{|\cdots \psi_i \psi_i \cdots \psi_i \cdots \psi_j \psi_j \cdots \psi_j \cdots\rangle}{\sqrt{\cdots n_i! \cdots n_j! \cdots}}$$

## Creation and annihilation operators

We define

$$(a_\psi)^\dagger |\psi_i \psi_j \cdots\rangle := |\psi \psi_i \psi_j \cdots\rangle$$

such that using

$$\begin{aligned} \langle \phi_i \phi_j \cdots | a_\psi |\psi_k \psi_l \cdots\rangle &= \langle \psi_k \psi_l \cdots | (a_\psi)^\dagger |\phi_i \phi_j \cdots\rangle^* \\ &= \langle \psi_k \psi_l \cdots | \psi \phi_i \phi_j \cdots\rangle^* \\ &= \langle \psi \phi_i \phi_j \cdots | \psi_k \psi_l \cdots\rangle \end{aligned}$$

one can prove that

$$(a_\phi)^\dagger (a_\psi)^\dagger - \zeta (a_\psi)^\dagger (a_\phi)^\dagger = 0$$

as well as

$$a_\phi (a_\psi)^\dagger - \zeta (a_\psi)^\dagger a_\phi = \langle \phi | \psi \rangle$$

## Second quantized operators

In the Fock space, one-body operators take the form

$$\tilde{\mathcal{O}}_1 = \tilde{\mathcal{O}}_1|_{\mathcal{H}_0} \oplus \tilde{\mathcal{O}}_1|_{\mathcal{H}_1} \oplus \tilde{\mathcal{O}}_1|_{\mathcal{H}_2} \oplus \tilde{\mathcal{O}}_1|_{\mathcal{H}_3} \oplus \cdots$$

where  $\tilde{\mathcal{O}}_1|_{\mathcal{H}_n}$  is like the total angular momentum of  $n$  particles

$$\tilde{\mathcal{O}}_1|_{\mathcal{H}_n} = (\mathcal{O}_1 \otimes 1 \otimes \cdots \otimes 1) + (1 \otimes \mathcal{O}_1 \otimes \cdots \otimes 1) + \cdots + (1 \otimes 1 \otimes \cdots \otimes \mathcal{O}_1)$$

It is easy to verify that

$$\begin{aligned} \tilde{\mathcal{O}}_1 &= \mathcal{O}_{1i} (a_{\psi i})^\dagger a_{\psi i} \\ &= \mathcal{O}_{1i} \langle \phi_j | \psi_i \rangle \langle \psi_i | \phi_k \rangle (a_j)^\dagger a_k \\ &= \langle \phi_j | \mathcal{O}_1 | \phi_k \rangle (a_j)^\dagger a_k \end{aligned}$$

For two-body operators

$$\tilde{\mathcal{O}}_2 = \tilde{\mathcal{O}}_2|_{\mathcal{H}^0} \oplus \tilde{\mathcal{O}}_2|_{\mathcal{H}^1} \oplus \tilde{\mathcal{O}}_2|_{\mathcal{H}^2} \oplus \tilde{\mathcal{O}}_2|_{\mathcal{H}^3} \oplus \dots$$

Notice that we may always write

$$\mathcal{O}_2 = \sum_{I \leq J} C_{IJ} [(\mathcal{O}_{1I} \otimes \mathcal{O}_{1J}) + (\mathcal{O}_{1J} \otimes \mathcal{O}_{1I})]$$

since the two-body operator is always symmetric under permutation.

For concreteness, take  $\mathcal{H}^3$  as an example, we have

$$\begin{aligned} \tilde{\mathcal{O}}_2|_{\mathcal{H}^3} &= C_{IJ} [(\mathcal{O}_{1I} \otimes \mathcal{O}_{1J} \otimes 1) + (\mathcal{O}_{1I} \otimes 1 \otimes \mathcal{O}_{1J}) + (1 \otimes \mathcal{O}_{1I} \otimes \mathcal{O}_{1J})] \\ &\quad + C_{IJ} [(\mathcal{O}_{1J} \otimes \mathcal{O}_{1I} \otimes 1) + (\mathcal{O}_{1J} \otimes 1 \otimes \mathcal{O}_{1I}) + (1 \otimes \mathcal{O}_{1J} \otimes \mathcal{O}_{1I})] \end{aligned}$$

where we have suppressed the  $\sum_{I \leq J}$  symbol. Now this can be written as

$$\tilde{\mathcal{O}}_2|_{\mathcal{H}^3} = C_{IJ} \tilde{\mathcal{O}}_{1I}|_{\mathcal{H}^3} \tilde{\mathcal{O}}_{1J}|_{\mathcal{H}^3} - C_{IJ} \tilde{\mathcal{O}}_{1I} \tilde{\mathcal{O}}_{1J}|_{\mathcal{H}^3}$$

where

$$\tilde{\mathcal{O}}_{1I} \tilde{\mathcal{O}}_{1J}|_{\mathcal{H}^3} = (\mathcal{O}_{1I} \mathcal{O}_{1J} \otimes 1 \otimes 1) + (1 \otimes \mathcal{O}_{1I} \mathcal{O}_{1J} \otimes 1) + (1 \otimes 1 \otimes \mathcal{O}_{1I} \mathcal{O}_{1J})$$

The two-particle operator can now be written as

$$\begin{aligned} \tilde{\mathcal{O}}_2 &= C_{IJ} \langle \phi_i | \mathcal{O}_{1I} | \phi_j \rangle \langle \phi_k | \mathcal{O}_{1J} | \phi_l \rangle (a_i)^\dagger a_j (a_k)^\dagger a_l - C_{IJ} \langle \phi_i | \mathcal{O}_{1I} \mathcal{O}_{1J} | \phi_l \rangle (a_i)^\dagger a_l \\ &= C_{IJ} \langle \phi_i | \mathcal{O}_{1I} | \phi_j \rangle \langle \phi_k | \mathcal{O}_{1J} | \phi_l \rangle (a_i)^\dagger a_j (a_k)^\dagger a_l - C_{IJ} \langle \phi_i | \mathcal{O}_{1I} | \phi_j \rangle \langle \phi_k | \mathcal{O}_{1J} | \phi_l \rangle (a_i)^\dagger a_l \delta_{jk} \\ &= C_{IJ} \langle \phi_i | \mathcal{O}_{1I} | \phi_j \rangle \langle \phi_k | \mathcal{O}_{1J} | \phi_l \rangle (a_i)^\dagger (a_k)^\dagger a_l a_j \\ &= C_{IJ} \langle \phi_k | \mathcal{O}_{1J} | \phi_l \rangle \langle \phi_i | \mathcal{O}_{1I} | \phi_j \rangle (a_k)^\dagger (a_i)^\dagger a_j a_l \end{aligned}$$

and we finally conclude that

$$\tilde{\mathcal{O}}_2 = \frac{1}{2} \langle \phi_i | \langle \phi_k | \mathcal{O}_2 | \phi_j \rangle | \phi_l \rangle (a_i)^\dagger (a_k)^\dagger a_l a_j$$

## The Legendre transformation

We have

$$Z = e^{iW} = \int D\phi e^{iS + iJ \cdot \phi}$$

such that the expectation value

$$\phi_{\text{exp}} = \frac{1}{iZ} \frac{\partial Z}{\partial J} = \frac{\partial W}{\partial J}$$

The effective action  $\Gamma$  is defined by the following Legendre transformation

$$-\Gamma = J \cdot \phi - W$$

and should be viewed as a function of  $\phi$ ,

$$-\delta\Gamma = \delta J \cdot \phi + J \cdot \delta\phi - \frac{\partial W}{\partial J} \cdot \delta J = J \cdot \delta\phi$$

## The effective action

We define a path-integral using the effective action in place of the action

$$Z_\Gamma = \int D\phi e^{i\Gamma + iJ \cdot \phi}$$

We may use the saddle point approximation, which requires that

$$\frac{\partial \Gamma}{\partial \phi} + J = 0$$

and we have

$$Z_\Gamma \approx e^{i\Gamma + iJ \cdot \phi} = e^{iW}$$

which means that the original  $Z$  and  $W$  can be computed by drawing tree diagrams of the effective action only! We thus conclude that the propagator and interaction vertices in the expression of the effective action are exact.

## The quantum variational principle

Finally, notice that at  $J = 0$ , we have

$$\delta\Gamma = -J \cdot \delta\phi = 0$$

and the field is now equal to

$$\frac{\partial W}{\partial J}|_{J=0} = \phi_{\text{exp}}|_{J=0}$$

namely  $\phi_{\text{exp}}|_{J=0}$  is the solution to the equation  $\delta\Gamma = 0$ . This is to be compared with the fact that  $\phi_{\text{cl}}$  is the solution to the equation  $\delta S = 0$ .

## Conformal transformations

A map  $\phi: (M_1, g_1) \rightarrow (M_2, g_2)$  is called conformal if

$$\phi^* g_2 = \Omega^2 g_1$$

or equivalently in local coordinates,

$$g_{2\mu\nu} \frac{\partial x^{2\mu} \circ \phi}{\partial x^{1\rho}} \frac{\partial x^{2\nu} \circ \phi}{\partial x^{1\sigma}} = \Omega^2 g_{1\rho\sigma}$$

## Noether's theorem

For the shift  $\psi' = \psi + \delta\psi$ , where  $\delta\psi$  may depend on  $\psi$ ,

$$\begin{aligned} \delta\mathcal{L} &= \frac{\partial\mathcal{L}}{\partial\psi} \delta\psi + \frac{\partial\mathcal{L}}{\partial\partial_\mu\psi} \delta\partial_\mu\psi \\ &= \partial_\mu \left( \frac{\partial\mathcal{L}}{\partial\partial_\mu\psi} \right) \delta\psi + \frac{\partial\mathcal{L}}{\partial\partial_\mu\psi} \partial_\mu\delta\psi \\ &= \partial_\mu \left( \frac{\partial\mathcal{L}}{\partial\partial_\mu\psi} \delta\psi \right) \end{aligned}$$

namely some current is conserved **on-shell**.

## The Ward identity

For the shift  $\phi' = \phi + \delta\phi$ , where  $\delta\phi$  may depend on  $\phi$ ,

$$\begin{aligned} \int D\phi e^{-S} X &= \int D\phi' e^{-S'} X' \\ &= \int D\phi e^{-S} \left( 1 - \int d^2x T_{\mu\nu} \partial_\mu \varepsilon_\nu \right) (X + \delta X) \\ 0 &= \int D\phi e^{-S} \left( \delta X - X \int d^2x T_{\mu\nu} \partial_\mu \varepsilon_\nu \right) \\ &= \int D\phi e^{-S} \left( \delta X + X \int d^2x \varepsilon_\nu \partial_\mu T_{\mu\nu} \right) \end{aligned}$$

Integrating the Ward identity over a small pillbox shows that  $\int dx T_{0\nu}$  is the generator of spacetime symmetries.

## The conformal Ward identity

The right-hand-side of the equation above can actually be written as

$$\begin{aligned}
 0 &= \int D\phi e^{-S} \left( \delta X + X \int_{\mathcal{B}} d^2x \varepsilon_\nu \partial_\mu T_{\mu\nu} \right) \\
 &= \int D\phi e^{-S} \left( \delta X - X \int_{\mathcal{B}} d^2x T_{\mu\nu} \partial_\mu \varepsilon_\nu + X \int_{\partial\mathcal{B}} \varepsilon_{\mu\rho} dx_\rho \varepsilon_\nu T_{\mu\nu} \right) \\
 0 &= \int D\phi e^{-S} \left( \delta' X + X \int_{\partial\mathcal{B}} \varepsilon_{\mu\rho} dx_\rho \varepsilon_\nu T_{\mu\nu} \right)
 \end{aligned}$$

## The Wilsonian renormalization group

1. The "effective action".

$$Z = \int D\phi_\Lambda \exp iS_\Lambda = \int D\phi_{\Lambda'} \exp iS_{\Lambda'} = \dots$$

2. Effective field theory.

$$q_\Lambda = \frac{Q_\Lambda}{\Lambda^{\dim Q}} \text{ is meaningful}$$

3. Renormalization group fix points.

$$\{q_{\Lambda'}\} = \{q_\Lambda\} \text{ up to a field rescaling}$$

# Chapter 5

## The Kondo problem

### The effective Hamiltonian method

We have, since  $\mathcal{P}_i \mathcal{P}_j = 1$ ,

$$\mathcal{P}_i H \mathcal{P}_j \mathcal{P}_j \psi = E \mathcal{P}_i \psi$$

and when  $\mathcal{P}_1 + \mathcal{P}_2 = 1$ ,

$$H_{11}\psi_1 + H_{12}\psi_2 = E\psi_1$$

$$H_{21}\psi_1 + H_{22}\psi_2 = E\psi_2$$

The second equation can be used to solve for  $\psi_2$ ,

$$\psi_2 = \frac{1}{E - H_{22}} H_{21} \psi_1$$

which gives us a self-consistent equation

$$\left( H_{11} + H_{12} \frac{1}{E - H_{22}} H_{21} \right) \psi_1 = E \psi_1$$

### Applications to degenerate perturbation theory

To second order in the perturbation,

$$\begin{aligned} H_{\text{eff}} &= E_1 1 + V_{11} + V_{12} \frac{1}{E - H_{022} - V_{22}} V_{21} \\ &\approx E_1 1 + V_{11} + V_{12} \frac{1}{E_1 - H_{022}} V_{21} \end{aligned}$$

## AFM coupling in the Hubbard model

$$H = -t \sum_{i \neq j} (a_{is})^\dagger a_{js} + U \sum_i n_{i+} n_{i-}$$

The effective Hamiltonian is equal to

$$\begin{aligned} H_{\text{eff}} &= \frac{t^2}{E - U} \sum_{i \neq j} \sum_{k \neq l} (a_{is})^\dagger a_{js} (a_{kr})^\dagger a_{lr} \\ &= \frac{t^2}{E - U} \sum_{i \neq j} (a_{is})^\dagger a_{js} (a_{jr})^\dagger a_{ir} \end{aligned}$$

Notice that

$$\begin{aligned} \sum_{i \neq j} S_i \cdot S_j &= \frac{1}{2} \sum_{i \neq j} (a_{is})^\dagger (a_{jr})^\dagger a_{js} a_{ir} - \frac{1}{4} \sum_{i \neq j} (a_{is})^\dagger (a_{jr})^\dagger a_{jr} a_{is} \\ &= \frac{1}{2} \sum_{i \neq j} (a_{is})^\dagger a_{is} - \frac{1}{2} \sum_{i \neq j} (a_{is})^\dagger a_{js} (a_{jr})^\dagger a_{ir} - \frac{1}{4} \sum_{i \neq j} (a_{is})^\dagger a_{is} (a_{jr})^\dagger a_{jr} \\ &= \frac{1}{2} - \frac{1}{2} \sum_{i \neq j} (a_{is})^\dagger a_{js} (a_{jr})^\dagger a_{ir} \end{aligned}$$

which means that the effective Hamiltonian contains an interaction

$$H_{\text{eff}} \approx \frac{2t^2}{U} \sum_{i \neq j} S_i \cdot S_j + \frac{t^2}{-U}$$

## The Anderson impurity model

$$H = \sum_{ks} \varepsilon_k n_{ks} + \sum_{ks} V_k (a_{ks})^\dagger A_s + \text{h.c.} + \sum_s \varepsilon N_s + U N_+ N_-$$

Notice that we have

$$H_{02} = H_{20} = 0$$

## The low-energy effective Hamiltonian

In the low-energy subspace, we have

$$\begin{aligned}
 H_{10} \frac{1}{E - H_{00}} H_{01} &= \sum_{ks} \sum_{lr} V_{k*} V_l (A_s)^\dagger a_{ks} \frac{1}{E - H_{00}} (a_{lr})^\dagger A_r \\
 &= \sum_{ks} \sum_{lr} V_{k*} V_l (A_s)^\dagger a_{ks} (a_{lr})^\dagger A_r \frac{1}{E - H_{00} - \varepsilon_l} \\
 &\approx \sum_{ks} \sum_{lr} V_{k*} V_l (A_s)^\dagger a_{ks} (a_{lr})^\dagger A_r \frac{1}{\mathcal{E} - \varepsilon_l}
 \end{aligned}$$

as well as

$$\begin{aligned}
 H_{12} \frac{1}{E - H_{22}} H_{21} &= \sum_{ks} \sum_{lr} V_k V_{l*} (a_{ks})^\dagger A_s \frac{1}{E - H_{22}} (A_r)^\dagger a_{lr} \\
 &= \sum_{ks} \sum_{lr} V_k V_{l*} (a_{ks})^\dagger A_s (A_r)^\dagger a_{lr} \frac{1}{E - H_{22} + \varepsilon_l} \\
 &\approx \sum_{ks} \sum_{lr} V_k V_{l*} (a_{ks})^\dagger A_s (A_r)^\dagger a_{lr} \frac{1}{-\mathcal{E} - U + \varepsilon_l}
 \end{aligned}$$

and thus

$$H_{1-e} \approx H_{11} - \sum_{ks} \sum_{lr} V_{k*} V_l \left[ \frac{(A_s)^\dagger a_{ks} (a_{lr})^\dagger A_r}{-\mathcal{E} + \varepsilon_l} + \frac{(a_{lr})^\dagger A_r (A_s)^\dagger a_{ks}}{\mathcal{E} + U - \varepsilon_k} \right]$$

## The Kondo model

Notice that

$$\begin{aligned}
 s_{lk} \cdot S &= \frac{1}{2} \sum_{sr} (a_{lr})^\dagger (A_s)^\dagger A_r a_{ks} - \frac{1}{4} \sum_{sr} (a_{lr})^\dagger (A_s)^\dagger A_s a_{kr} \\
 &= \frac{1}{2} \sum_{sr} (a_{lr})^\dagger (A_s)^\dagger A_r a_{ks} - \frac{1}{4} \sum_r (a_{lr})^\dagger a_{kr}
 \end{aligned}$$

we see that the low-energy effective Hamiltonian contains an interaction

$$2 \sum_{kl} V_{k*} V_l \left[ \frac{1}{-\mathcal{E} + \varepsilon_l} + \frac{1}{\mathcal{E} + U - \varepsilon_k} \right] s_{lk} \cdot S$$

which is anti-ferromagnetic near the Fermi surface.



# Chapter 6

## Miscellaneous

### The guiding center coordinates

are conserved, namely they commute with the Hamiltonian, and we have

$$[X, Y] = [x + l^2 \pi_y, y - l^2 \pi_x] = -i l^2$$

Notice that they travel on equipotential lines

$$\begin{aligned} i \dot{X}_1 &= [X_1, V] = -i l^2 \partial_2 V \\ i \dot{X}_2 &= [X_2, V] = +i l^2 \partial_1 V \end{aligned}$$

### Spin-waves and magnons

The Schwinger-boson representation

$$\begin{aligned} S_+ &= a^\dagger b \\ S_- &= b^\dagger a \\ 2S_z &= a^\dagger a - b^\dagger b \end{aligned}$$

gives us the Holstein-Primakoff transformation if we use the constraint

$$a^\dagger a + b^\dagger b = 2S$$

The spin-wave is obtained by, e.g., expanding around  $S_z = -S$ , namely setting

$$b = b^\dagger = \sqrt{2S - a^\dagger a} \approx \sqrt{2S}$$

## The Bogoliubov transformation

In order to diagonalize a Hamiltonian of the form

$$\Psi_{i\dagger} A_{ij} \Psi_j$$

while keeping the commutation relations, we want

$$U^\dagger A U = \Lambda$$

where  $\Lambda$  is a diagonal matrix, as well as

$$C_{ij} = [\Psi_i, \Psi_{j\dagger}] = [U_{ik} \Phi_k, U_{jl\dagger} \Phi_{l\dagger}] = U_{ik} U_{jl\dagger} C_{kl} \Rightarrow C = U C U^\dagger$$

where  $C$  is, by assumption, a diagonal matrix. Therefore we have

$$C A U = U C U^\dagger A U = U C \Lambda$$

which means that  $U$  diagonalizes the matrix  $C A$ , with eigenvalues  $C \Lambda$ .