# The Dirac equation

#### Liqin Huang

### 1 The Dirac equation

The Dirac Hamiltonian in the presence of an electromagnetic field is

$$H = \alpha \cdot \pi + \beta m + q\phi$$

with the Dirac matrices in the Dirac representation

$$\alpha = \begin{pmatrix} 0 & \sigma \\ \sigma & 0 \end{pmatrix} \qquad \beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \qquad H = \begin{pmatrix} m + q\phi & \sigma \cdot \pi \\ \sigma \cdot \pi & -m + q\phi \end{pmatrix}$$

The effective Hamiltonian of the upper-left subspace is

$$\left(m + q\phi + \sigma \cdot \pi \frac{1}{E + m - q\phi} \sigma \cdot \pi\right) \psi = E\psi$$

and let us write  $E = m + \varepsilon$ , such that we obtain

$$\left(q\phi + \sigma \cdot \pi \frac{1}{2m + \varepsilon - q\phi} \sigma \cdot \pi\right) \psi = \varepsilon \psi$$

#### 2 The Pauli Hamiltonian

In the case where  $\phi$  vanishes, we have the leading-order correction

$$\begin{split} \frac{1}{2m} \, \boldsymbol{\sigma} \cdot \boldsymbol{\pi} \, \boldsymbol{\sigma} \cdot \boldsymbol{\pi} &= \frac{1}{2m} \, \boldsymbol{\pi}^2 + \frac{1}{2m} \, i \boldsymbol{\sigma} \cdot \boldsymbol{\pi} \times \boldsymbol{\pi} \\ &= \frac{1}{2m} \, \boldsymbol{\pi}^2 - \frac{q}{2m} \, \boldsymbol{\sigma} \cdot \nabla \times \mathbf{A} \qquad \boldsymbol{\pi} \equiv \mathbf{p} - q \mathbf{A} \\ &= \frac{1}{2m} \, \boldsymbol{\pi}^2 - \frac{q}{2m} \, \boldsymbol{\sigma} \cdot \mathbf{B} \end{split}$$

from which we read the electron magnetic moment

$$\mathbf{m} = \frac{q}{2m}\sigma$$

#### 3 The spin-orbit coupling

In the case where  $\phi$  does not vanish, we have the leading-order correction

$$q\phi + \frac{1}{2m}\sigma \cdot \pi \sigma \cdot \pi = q\phi + \frac{1}{2m}\pi^2 - \frac{q}{2m}\sigma \cdot \mathbf{B}$$

Now we want the next-to-leading-order correction:

$$\left(q\phi + \frac{1}{2m}\sigma \cdot \pi \sigma \cdot \pi - \sigma \cdot \pi \frac{\varepsilon - q\phi}{4m^2}\sigma \cdot \pi\right)\psi = \varepsilon\psi$$

$$\left(q\phi + \frac{1}{2m}\sigma \cdot \pi \sigma \cdot \pi + \sigma \cdot \pi \frac{q\phi}{4m^2}\sigma \cdot \pi\right)\psi = \varepsilon\left(1 + \frac{1}{4m^2}\sigma \cdot \pi \sigma \cdot \pi\right)\psi$$

such that, approximately,

$$\left(1 - \frac{1}{8m^2}\sigma \cdot \pi \sigma \cdot \pi\right) \left(q\phi + \frac{1}{2m}\sigma \cdot \pi \sigma \cdot \pi + \sigma \cdot \pi \frac{q\phi}{4m^2}\sigma \cdot \pi\right) \left(1 - \frac{1}{8m^2}\sigma \cdot \pi \sigma \cdot \pi\right)$$
$$\left(1 + \frac{1}{8m^2}\sigma \cdot \pi \sigma \cdot \pi\right)\psi = \varepsilon \left(1 + \frac{1}{8m^2}\sigma \cdot \pi \sigma \cdot \pi\right)\psi$$

The effective Hamiltonian is the first line

$$\begin{split} H_{\mathrm{eff}} &= q\phi + \frac{1}{2m}\,\sigma \cdot \pi\,\sigma \cdot \pi + \sigma \cdot \pi\,\frac{q\phi}{4m^2}\,\sigma \cdot \pi - \frac{1}{8m^2}\,\sigma \cdot \pi\,\sigma \cdot \pi\,q\phi - \frac{1}{8m^2}\,q\phi\,\sigma \cdot \pi\,\sigma \cdot \pi \\ &= q\phi + \frac{1}{2m}\,\sigma \cdot \pi\,\sigma \cdot \pi - \frac{1}{8m^2}\,\sigma \cdot \pi\,\left[\sigma \cdot \pi, q\phi\right] - \frac{1}{8m^2}\left[q\phi, \sigma \cdot \pi\right]\sigma \cdot \pi \end{split}$$

and the final result is

$$H_{\text{eff}} = q\phi + \frac{1}{2m}\pi^2 - \frac{q}{2m}\sigma \cdot \mathbf{B} + \frac{1}{8m^2}q\nabla^2\phi + \frac{1}{4m^2}\sigma \cdot q\nabla\phi \times \pi$$

In the case where  $\phi$  is a central potential, the last term is a spin-orbit coupling term:

$$\frac{1}{4m^2}\boldsymbol{\sigma} \cdot q\nabla \boldsymbol{\phi} \times \boldsymbol{\pi} = \frac{q}{2m^2r} \frac{d\boldsymbol{\phi}}{dr} \mathbf{S} \cdot \mathbf{L}$$

**Remark** We also have the relativistic correction

$$-\frac{1}{8m^3}\sigma \cdot \pi \sigma \cdot \pi \sigma \cdot \pi \sigma \cdot \pi \sim -\frac{1}{8m^3}p^4$$

# 4 Transformation properties

The following is what we want:

$$i\gamma^{\mu} \frac{\partial}{\partial x^{\mu}} \psi(x) = m\psi(x) \quad \text{would imply that}$$
$$i\gamma^{\mu} \frac{\partial}{\partial x^{\mu}} D(\Lambda) \psi(\Lambda^{-1} x) = mD(\Lambda) \psi(\Lambda^{-1} x)$$

which determines the desired transformation property of the gamma matrices.

### 5 Quantum Lorentz transformations

An infinitesimal quantum Lorentz transformation of the Dirac field is

$$D(\Lambda)\psi(\Lambda^{-1}x) = \left(1 + \frac{i}{2}\omega_{\mu\nu}S^{\mu\nu}\right)\left(1 + \frac{i}{2}\omega_{\mu\nu}L^{\mu\nu}\right)\psi(x)$$
$$\equiv \left(1 + \frac{i}{2}\omega_{\mu\nu}J^{\mu\nu}\right)\psi(x)$$

and generally we have

$$U(\Lambda, a) = \left(1 + \frac{i}{2}\omega_{\mu\nu}J^{\mu\nu} - i\,a_{\rho}P^{\rho}\right)$$