



File OF-Surv-OpAud-T260-2016-2017 01
30 May 2017

Mr. Ian Anderson
President and Accountable Officer
Trans Mountain Pipeline ULC
Suite 2700, 300-5th Avenue SW
Calgary, AB T2P 5J2
Email: [REDACTED]

Dear Mr. Anderson:

**National Energy Board Approval of Trans Mountain Pipelines ULC. (TMPU)
Corrective Action Plan (CAP) developed in response to the 2016-2017 Audit of
TMPU Pipeline Patrol Activities**

The National Energy Board (NEB or Board) hereby approves TMPU's CAP as submitted by letter dated 21 April 2017 in response to the findings identified in the Board's audit of TMPU's pipeline patrol activities. Based on its assessment, the Board is of the view that, if implemented using the methodologies and timelines described, TMPU's CAP should address the non-compliances noted in the audit.

The CAP Review Process

Over the next few months, the NEB will review the documents and deliverables as outlined by TMPU's CAP. Each finding will be considered resolved when all of the deficiencies as described in the report have been addressed in accordance with the legal requirements and the commitments and the actions described in the CAP. Implementation Assessment meetings will be organized to discuss actions taken.

In addition, the Implementation Assessments will address the following:

- TMPU has listed several corrective actions as "complete". The Board requires a review of the related documentation and actions taken to determine whether these actions sufficiently address the deficiencies. Further actions may be required to ensure that Board expectations are met.
- The Board will verify that the CAPs are systemically applied and include measures to prevent recurrence.

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The Board will make the CAP public and will continue to monitor and assess all of TMPU's corrective actions with respect to this audit until they are fully implemented. The Board will also continue to monitor the implementation and effectiveness of patrol activities through targeted compliance verification activities as a part of its on-going regulatory mandate.

In the coming days, the Lead Auditor will contact TMPU's representative whom we have copied on this letter to arrange the document review for the CAP. If you require any further information or clarification during the review, please contact Marnie Sparling, Lead Auditor, at 403-629-6394.

Yours truly,

Original signed by

Sheri Young
Secretary of the Board

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