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3 August 2017

Mr. Jason Balasch, President  
Accountable Officer  
Plains Midstream Canada (ULC)  
Aurora Pipeline Company Ltd.  
Suite 1400, 607 – 8<sup>th</sup> Avenue S.W.  
Calgary, AB T2P 0A7  
Email [REDACTED]

Dear Mr. Balash:

**National Energy Board Approval of Plains Midstream Canada ULC (Plains)  
Aurora Pipeline Company Ltd. (Aurora) Corrective Action Plan (CAP) developed  
in response to the 2017 Audit of Plains and Aurora Investigation and Reporting  
Incidents and Near Misses**

The National Energy Board (NEB or Board) hereby approves Plains and Aurora, collectively referred to as Plains revised CAP submitted by letter dated 17 July 2017 in response to the findings identified in the Board's audit of Plains Investigation and Reporting Incidents and Near Misses with the exceptions noted herein. Based on its assessment, the Board is of the view that, if implemented using the methodologies and timelines described, Plains CAP should address the non-compliances noted in the audit.

The CAP Review Process

Over the next few months, the NEB will review the documents and deliverables as outlined by Plains CAP. Each finding will be considered resolved when all of the deficiencies as described in the report have been addressed in accordance with the legal requirements and the commitments and the actions described in the CAP. Implementation Assessment meetings will be organized to discuss actions taken.

The Board will make the CAP public and will continue to monitor and assess all of Plains corrective actions with respect to this audit until they are fully implemented. The Board will also continue to monitor the implementation and effectiveness of Plains investigation and reporting

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incidents and near -misses through targeted compliance verification activities as a part of its on-going regulatory mandate.

In the coming days, the Lead Auditor will contact Plains representative whom we have copied on this letter to arrange the document review for the CAP. If you require any further information or clarification during the review, please contact Darryl Pederson, Lead Auditor, at 403-461-9953.

Yours truly,

*Original signed by*

Sheri Young  
Secretary of the Board

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