

Extract Text from Receipts using Deep Vision

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Text Extraction Pipeline

Extract Receipt
from Background



Important Information
Extraction

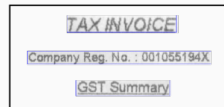
Name of Store
Purchased Items
Total Price

Detect
Bounding Boxes



Our Focus

Input for Text Recognition

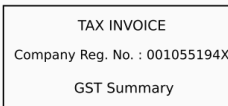


TAX INVOICE

Company Reg. No. : 001055194X

GST Summary

Text Recognition



TAX INVOICE

Company Reg. No. : 001055194X

GST Summary

HON HWA HARDWARE TRADING
COMPANY REG. NO. : 001055194X
NO 37, JALAN MANIS 7, TAMAN SEGAR
56100 CHERAS, KUALA LUMPUR.
+603-9130 2672
GST REG : 001125220352
TAX INVOICE
CB# : 87870 21/09/2017 10:20:37 AM
M# : C2 - 0
CASHIER CASH1 -
QTY DESCRIPTION PRICE TOTAL(RM)
0.9 3/4" ALUMINIUM ROD 6.00 5.40 SR
5 PVC WALLPLUG 1.00 5.00 SR
(50PCS)
S.9 TYPE: 2 TOTAL 10.40
DISCOUNT: 0.00
ROUNDING ADJ 0.00
TOTAL INCLUSIVE GST: 10.40
CASH 10.40
GST SUMMARY
CODE % NET AMT GST TOTAL(RM)
SR SR 9.81 0.59 10.40
TOTAL 9.81 0.59 10.40
THANK YOU ! & PLEASE COME AGAIN !!
GOODS SOLD ARE NOT RETURNABLE FOR
REFUND OR EXCHANGE !!

Text Detection

- First step is to localize text → bounding boxes
- Receipts with annotated bounding boxes as training data
- Detected bounding boxes as input for text recognition

HON HWA HARDWARE TRADING
Company Reg. No. : 001055194X
NO 37, JALAN MANIS 7, TAMAN SEGAR,
56100 CHERAS, KUALA LUMPUR.
+603-9130 2672
GST Reg : 001125220352

TAX INVOICE

CB# : 87870 21/09/2017 10:20:37 AM
M# : C2 - 0
Cashier : cash1 -

Qty	Description	Price	Total(RM)
0.9	3/4" ALUMINIUM ROD	6.00	5.40 SR
5	PVC WALLPLUG (50PCS)	1.00	5.00 SR
5.9	Type: 2	Total	10.40
Discount:			0.00
Rounding Adj			0.00
Total Inclusive GST:			10.40
CASH			10.40

GST Summary

Code	%	Net Amt	GST	Total(RM)
SR	SR	9.81	0.59	10.40
Total		9.81	0.59	10.40

Thank you ! & Please come again !!
Goods sold are not returnable for refund or exchange !!

Figure 1: Bounding boxes used for training.

EAST-Model: Architecture

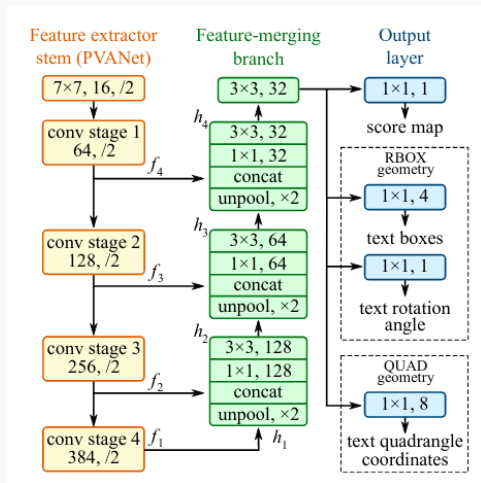


Figure 2: Architecture of the EAST-Network [6].

- EAST: Efficient and Accurate Scene Text Detector by Zhou *et al.* (2017)[6]
- Fully Convolutional Architecture
- Pre-trained classification net (VGG16) for feature extraction
- U-shaped design to merge features from different levels and keep computational cost small
- Loss function $L = L_{score} + L_{geo}$

Score Loss

- Score map S with value of 1 for every pixel inside bounding box
- Dice score for loss

$$L_{score} = 1 - \frac{2|S_{pred} \cap S_{true}|}{|S_{pred}| + |S_{true}|}$$

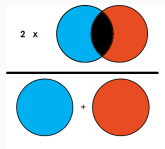


Figure 3: Illustration dice loss [4].

Geometry Loss

- Geometry map G storing offset to four corners for every pixel inside a box:

$$G_i = \{x_1, x_2, \dots, x_8\} \in G$$

- Loss

$$l_i = \sum_{i=1}^8 \frac{\text{smoothed}_{L1}(x_i - \hat{x}_i)}{\text{shortest edge}}$$

$$L_{geo} = \frac{1}{N} \sum_{i=1}^N l_i \quad \text{with } N \text{ pixels}$$

$$\text{smoothed}_{L1}(x) = \begin{cases} 0.5x^2 & \text{if } |x| < 1 \\ |x| - 0.5 & \text{otherwise} \end{cases}$$

Data provided by:

ICDAR 2019 Robust Reading Challenge on Scanned Receipts OCR and Information Extraction

- Data set: 986 receipts in english
- Annotation: Bounding boxes and text
- 626 training images and 360 test images

Results Text Detection

- Best IoU score of 81.86%

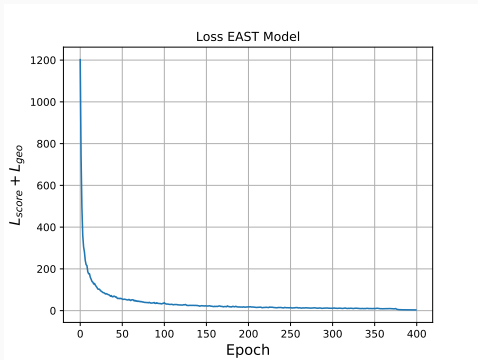


Figure 4: Loss after different number of epochs.

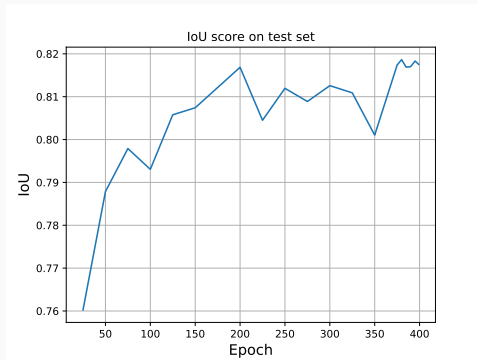


Figure 5: Intersection over Union.

Results Text-Detection - Example

318 02012

Tax Invoice

LA STATIONERY SDN BHD (640899-P)

NO.8, JALAN 46/26,
TAMAN SRI RAMPAL,
53300 KUALA LUMPUR,
Tel No.: 03-4023 0088
GST Reg: 1001542721536

Invoice No.: T01-005194
Date: 09/02/2018 09:21:19 AM
Customer: T01
Card: TICKET

QTY	PRICE	Disc	AMOUNT TAX
	(RM)		(RM) CODE
HELPEN RE-FILL PILOT G2 0.5 BLACK			
5 PCS	2.86	0.00	14.30 SR
HELPEN RE-FILL PILOT G2 0.5 BLUE			
5 PCS	2.86	0.00	14.30 SR
HELPEN RE-FILL PILOT G2 0.5 RED			
2 PCS	2.86	0.00	5.72 SR
GLUE - CLEAR GLUE 1000ML			
1 BTL	7.42	0.00	7.42 SR
LIQUID PAPER - PAPERMATE NP10 7ml			
2 PCS	3.71	0.00	7.42 SR
PERMANENT MARKER SHARPIE FINE BLACK 00051			
1 PCS	2.33	0.00	2.33 SR
BALLPEN PILOT FRIXION 0.5 BLACK			
1 PCS	5.30	0.00	5.30 SR
Sub Total (Inclusive GST):			
			56.80
Rounding Adjustment:			
			0.01
Rounded Total (RM):			56.80
Cash		100.00	
Cash Change		43.20	
GST Summary			
Rate @ 6 %	Amount (RM)	Tax (RM)	
	53.58		

Figure 6: Text detection on test data.

TEDI GmbH & Co. KG

76646 Bruchsal
Eriedrichstraße 15
Ellaia 5870

Kasse 1

8108500261	WH-FENSTERSTICKE	1.00
5070200261	WH-FENSTERSTICKE	1.00
6111	Tueten	1.00
5584800195	VITRAGESTANGE GR	2.00
4155000194	SCHETBENGARDINE	3.00
7151400164	METALLGARDEROBE	2.00
8860800144	GESCHIRRRURSTE	1.00
5857000181	10 KS-Buegel	2.00
5961200345	Rattankorb mitte	2.00
9408600164	Haken	1.00
9408600164	Haken	1.00
5902600164	TURHAKEN	1.00
5217900164	ES-Tuerhaken	0.55
1601200164	ES-Tuerhaken	0.55
5902600164	TURHAKEN	1.00
5857000181	10 KS-Buegel	2.00
1207500242	Wanduhr 23cm Cla	2.00
8888800243	8ER MIGNON BATTIE	1.00
6431000347	EIMER MIT METALL	1.00
6808700343	SCHJESSEL 6L	1.50
Kaufsumme:		27.60
EC Karte		27.60
Girocard		
MWST: Netto + MWST = Brutto		
MWST 19% 23.19 4.41 27.60		
Filiale BonNr BedNr Datum Uhrzeit		
F 5870 1244939 058955 12.11.19 16:12		
Steuernummer: 316/5953/0703		

Figure 7: Text detection on test image.

Text-Recognition

- Use ground truth bounding boxes for training
- Crop bounding boxes and scale as preprocessing
- Different approaches have been tested:
 - CRNN
 - DenseNet + RNN + CTC
 - DenseNet + CTC
 - Adding artificial data for training
 - Different CTC input lengths (32 and 64)



Figure 8: Example of text recognition.

Connectionist Temporal Classification (CTC) - Encoding

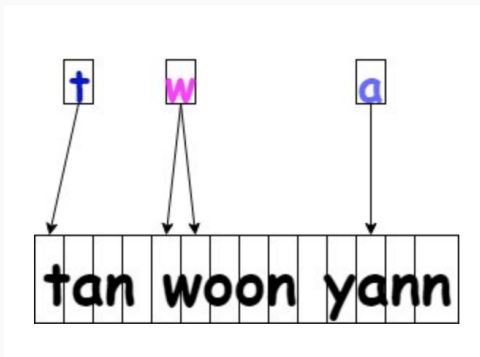


Figure 9: Text encoding mechanism for CTC Loss [2].

- Characters can span over multiple lines
- Introduce blank "-" character to encode duplicate characters (removed in decoding)

Examples:

"aa" → "a-a"

"a" = "-a" = "a- -" = "aaa"

→ different alignments represent same text

CTC Loss - Calculation

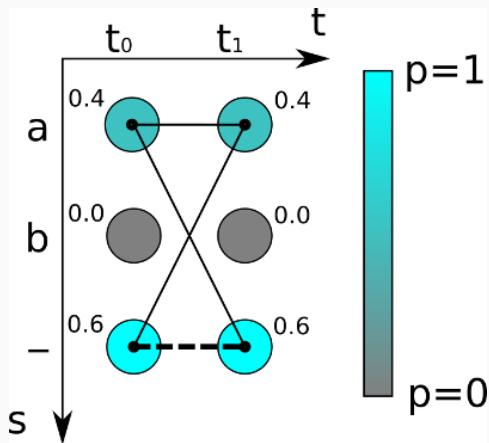


Figure 10: Example CTC Loss calculation [3].

- Output Net: Score for every character for every slot/time step
- Score: Sum output for all possible alignments of ground truth text

Example for ground truth : "a"

Possible alignments: "-a", "a-", "a"

Score: $0.4 \cdot 0.6 + 0.6 \cdot 0.4 + 0.4 \cdot 0.4 = 0.64$

→ Maximize score

- Cropped bounding boxes for training
- 33626 images as training set
- Additionally create artificial images with data generator [1].

Results Text Recognition - Comparison of different Models

- Word Accuracy: Number of correctly predicted words/sentences
- Levenshtein Score: Similarity depending on number of character differences

	Dense Net 32	Dense Net 64	CRNN 32	CRNN 64	Tesseract
CTC Loss	0.0025	0.0059	0.1332	0.0147	–
Word Accuracy	57.6%	64.2%	54.8%	66.3%	53.6%
Levenshtein Score	85.6%	93.3%	88.4%	93.4%	90.0%

Table 1: Quantitative results of the different methods.

Result Text Recognition - Examples

Correct Predictions

TAX INVOICE

Tax Invoice

53300 KUALA LUMPUR.

53300 KUALA LUMPUR.

AMOUNT TAX

AMOUNT TAX

GOODS SOLD ARE NOT RETURNABLE,
GOODS SOLD ARE NOT RETURNABLE,

Wrong Predictions

LA STATIONERY SDN BHD (G40899-P)

LA STATIONERY SDN BHD (640899-P)

NOE (7 IDCATED THE ITEM SOLD HAS BEEN RELAED

Note: () Indicated The Item Sold Has Been Related*

PERMAIET MARKCR SHARPE FNE BLAK 001

PERMANENT MARKER SHARPIE FINE BLACK 30051

CASHNIEOY :

Cashier :

0. PRICE

U. PRICE

Results Text Recognition - Example Complete Receipt

318 02013

Tax Invoice

LA STATIONERY SDN BHD (640899-P)

NO.8, JALAN 46/26,
TAMAN SRI RAMPAI,
53300 KUALA LUMPUR.

Tel No. : 03 - 4023 0088
GST Reg. : 001542721536

Invoice No. : T01-006194
Date : 09/02/2018 09:21:19 AM
Terminal : T01
Cashier : VICKIE

QTY	U. PRICE	Disc	AMOUNT TAX	RM CODE
GELPEN REFILL PILOT G2 0.5 BLACK				
5 PCS *	2.86	0.00	14.30	SR
GELPEN REFILL PILOT G2 0.5 BLUE				
5 PCS *	2.86	0.00	14.30	SR
GELPEN REFILL PILOT G2 0.5 RED				
2 PCS *	2.86	0.00	5.72	SR
GLUE - CLEAR GLUE 1000ML				
1 BTL *	7.42	0.00	7.42	SR
LIQUID PAPER - PAPERMATE NP10 7ml				
2 PCS *	3.71	0.00	7.42	SR
PERMANENT MARKER SHARPIE FINE BLACK 30051				
1 PCS *	2.33	0.00	2.33	SR
BALLPEN PILOT FRIXION 0.5 BLACK				
1 PCS *	5.30	0.00	5.30	SR
SUB TOTAL(INCLUSIVE GST) :				
			56.80	
Rounding Adjustment :				
			0.01	
Rounded Total (RM):			56.80	
Cash			100.00	
Cash Change			43.20	
GST Summary			Amount(RM)	Tax(RM)
SR @ 6 %			53.58	

True text

TAX INVOICE
LA STATIONERY SDN BHD (640899-P)
NO.8, JALAN 46/26,
TAMAN SRI RAMPAI,
53300 KUALA LUMPUR.
TEL NO. : 03-4023 0088
GST REG. : 001542721536
INVOICE NO. : T01-006194
DATE: 09/02/2018 09:21:19AM
TERMINAL : T01
CASHIER : VICKIE

QTY	U. PRICE	DISC	AMOUNT TAX	RM CODE
GELPEN REFILL PILOT G2 0.5 BLACK				
5 PCS *	2.86	0.00	14.30	SR
GELPEN REFILL PILOT G2 0.5 BLUE				
5 PCS *	2.86	0.00	14.30	SR
GELPEN REFILL PILOT G2 0.5 RED				
2 PCS *	2.86	0.00	5.72	SR
GLUE - CLEAR GLUE 1000ML				
1 BTL *	7.42	0.00	7.42	SR
LIQUID PAPER - PAPERMATE NP10 7ML				
2 PCS *	3.71	0.00	7.42	SR
PERMANENT MARKER SHARPIE FINE BLACK 30051				
1 PCS *	2.33	0.00	2.33	SR
BALLPEN PILOT FRIXION 0.5 BLACK				
1 PCS *	5.30	0.00	5.30	SR
SUB TOTAL(INCLUSIVE GST) :				
			56.80	
ROUNDING ADJUSTMENT :				
			0.01	
ROUNDED TOTAL (RM):			56.80	
CASH			100.00	
CASH CHANGE			43.20	
GST SUMMARY			AMOUNT(RM)	TAX(RM)
SR @ 6 %			53.58	3.21

Predicted Text

TAX INVOICE
LA STATIONERY SDN BHD (640899-P)
NO.8, JALAN 46/26,
TAMAN SRI RAMPAI,
53300 KUALA LUMPUR.
TEL NO. : 03 -4023.0088
GST REG. : 001542721536
INVOICE NO. : T001-006194
DATE : 09/02/2018 09:21:19 AM
TERMINAL : T01
CASHNIEOY : /ICKIE

QTY	U. PRICE	DISC	AMOUNT TAX	RM CODE
GELPEN REHIUL PILOT G2 0.5 BACK				
5.PCS *	2.86	0.00	14.30	SR
GELPEN REFILL PILOT G2 0.5 BLUE				
5 PCS *	2.86	0.00	14.30	SR
GELPEN REHILL PILOT G2 0.5 RED				
2 PCS	2.86	0.00	5.72	SR
GLUE - CLEAR GLUE 1000ML				
1 BTL *	7.42	0.00	7.42	SR
LIQUID PAPER PAPERMATE NP10 7ML				
2 PCS	3.71	0.00	7.42	SR
PERMAIET MARKCR SHARPE FNE BLAK 001				
PCS	2.33	0.00	2.33	SR
BALLPEN PILOT FRIXION 0.5 BLACK				
1 PCS	5.30	0.00	5.30	SR
SUB TOTA(INCLUSIVE GST) :				
			56.80	
ROUNDING ADJUSTMENT :				
			0.01	
ROUNDED TOTAL (RM):			56.80	
CASH			100.00	
CASH CHANGE			43.20	
GST SUMMARY			AMOUNT(RM)	TAX(RM)
SR D 6 %			53.58	.21


Figure 11: Example text detection on complete receipt.


- Successfully implemented Text Detection and Text Recognition
- Dense Net and CRNN perform very similar on Text Recognition
- CRNN is faster than Dense Net
- CTC input size important for good predictions


- Data augmentation for Text Detection → detect rotated bounding boxes
- Test different architectures of Dense Net and CRNN to improve prediction of long text further
- Improve image preprocessing → improve contrast
- General hyper parameter optimization

References


 Edouard Belval. *TextRecognitionDataGenerator*. <https://github.com/Belval/TextRecognitionDataGenerator>. [Online; visited 23.07.2020]. 2019.

 Dinh Sang and Le Cuong. "Improving CRNN with EfficientNet-like feature extractor and multi-head attention for text recognition". In: Dec. 2019, pp. 285–290. ISBN: 978-1-4503-7245-9. DOI: 10.1145/3368926.3369689.

 Harald Scheidl. *An Intuitive Explanation of Connectionist Temporal Classification*. <https://towardsdatascience.com/intuitively-understanding-connectionist-temporal-classification-3797e43a86c>. [Online; visited 20.07.2020]. 2018.

 Ekin Tiu. *Metrics to Evaluate your Semantic Segmentation Model*. <https://towardsdatascience.com/metrics-to-evaluate-your-semantic-segmentation-model-6bcb99639aa2>. [Online; visited 20.07.2020]. 2019.

 Sik-Ho Tsang. *Review: DenseNet — Dense Convolutional Network (Image Classification)*. <https://towardsdatascience.com/review-densenet-image-classification-b6631a8ef803>. [Online; visited 20.07.2020]. 2018.

 Xinyu Zhou et al. "EAST: An efficient and accurate scene text detector". In: *Proceedings - 30th IEEE Conference on Computer Vision and Pattern Recognition, CVPR 2017*. Vol. 2017-Janua. 2017, pp. 2642–2651. ISBN: 9781538604571. DOI: 10.1109/CVPR.2017.283. arXiv: 1704.03155.

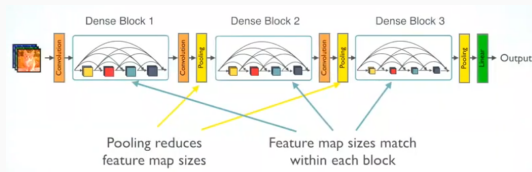


Figure 12: Basic structure of the DenseNet [5].

- Convolutional part build on dense blocks
- Like ResNet each layer receives additional data from all preceding layers, but using concatenation
- Down sample until height of each column is equal 1

Text Recognition Models - Loss

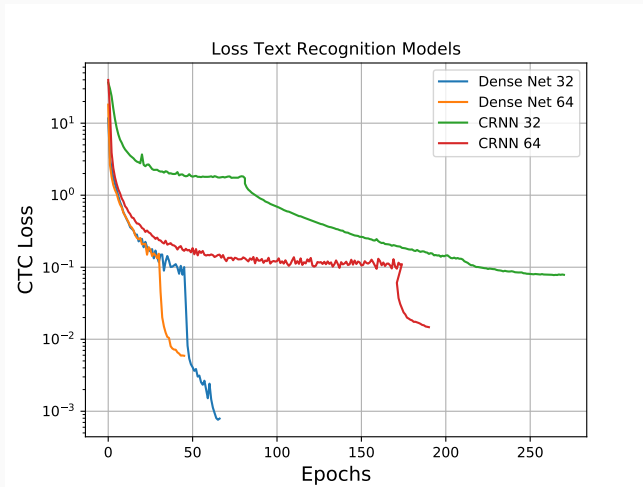
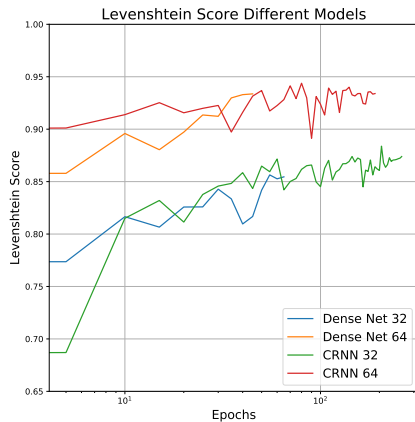
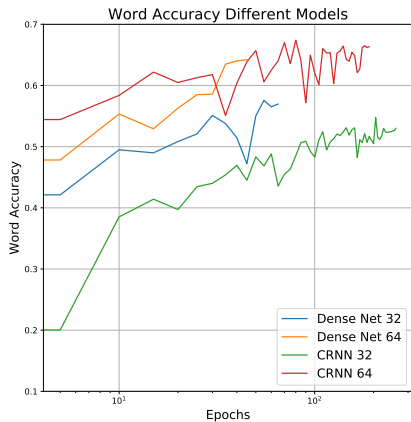


Figure 13: CTC loss for Text Recognition Models.

Results Text Recognition - Score



Results Text Recognition - Example Complete Receipt

	True text	Predicted Text
	<p>AEON CO. (M) BHD (126926-H) 3RD FLR, AEON TAMAN MALURI SC JLN JEJAKA, TAMAN MALURI CHERAS, 55100 KUALA LUMPUR GST ID : 002017394688 SHOPPING HOURS MON-SUN:1000 HRS - 2200 HRS VALUED CUSTOMER: 1130307913</p>	<p>AEON CO. M) BHD (126926-H! 3RD FLR. AEON TAMAN MALURI SC JLN JEJAKA. TAMAN MALURI CHERAS. 55100 KUALA LUMPUR GST D : 002017394688 SHOPPING HOURS MON-SUN:1000 HRS - 2200 HRS VAI UED CUSTOMER: 1130307913</p>
	<p>1x 000005469765 6.65SR TOPVALU FLOOR C 1x 000001101575 5.60SR CIF REGULAR 50</p>	<p>1X 000005469765 6.65SR TOPVALU FLOOR C 1X 000001101575 5.60SR CIF REGULAR 50</p>
	<p>Sub-total 12.25 Total Sales Incl GST 12.25 Total After Adj Incl GST 12.25 CASH 50.00 Item Count 2 Change Amt 37.75 Invoice No: 2018031430090060035 GST Summary Amount Tax SR @ 6% 11.55 0.70 Total 11.55 0.70 14/03/2018 12:43 3009 006 0060035 0284846 AMIRUL</p>	<p>SUB-TOTAL 12.25 TOTAL SALES INCL GST 12.25 TOTAL AFTER ADJ INCL GST 12.25 CASH 50.00 IFEM COUNT 2 CHAN9E AMT 37.75 INVOICE NO : 2018031430090060035 GST SUAMARY AMOUNT TAX SR 6% 11.55 0.70 TOTAL 11.55 0.70 14/03/2018 12:43 3009 006 0060035 0284846 AMIRUL</p>

Figure 14: Example text detection on complete receipt.