



## Chapter 2 (Part 3)

# Recurrence Relation & Recursive Algorithms

# Definition

- A **recurrence relation** is an equation that defines a sequence  $\{a_n, a_{n+1}, \dots\}$  based on a rule that gives the next term as a function of one or more of the previous term in the sequence for all integers  $n$  with  $n \geq n_0$ ,  
 $( a_0, a_1, \dots )$
- A sequence is called a **solution** of a recurrence relation if its terms satisfy the recurrence relation.

# Simple Recurrence Relation

The simplest form of a **recurrence relation** is the case where the next term depends only on the immediately previous term.

$$3, 8, 13, 18, 23, \dots$$

The above sequence shows a pattern, given initial condition,  $a_0 = 3$ :

$$3, 3+5, 8+5, 13+5, 18+5, \dots$$

$$a_0, a_1, a_2, a_3, a_4, a_5, \dots$$

generated from an equation:

$$a_n = a_{n-1} + 5, \quad n \geq 1$$

# $n_{th}$ term of a sequence

Recurrence relation can be used to compute any  $n$ -th term of the sequence, given the initial condition,  $a_0$ :

$$a_n = a_{n-1} + 5, \quad n \geq 1$$

$$3, 8, 13, 18, 23, \mathbf{28}, a_{n-1} + 5, \dots$$

$$a_1 = a_0 + 5, \quad 3 + 5 = 8$$

$$a_2 = a_1 + 5, \quad 8 + 5 = 13$$

$$a_3 = a_2 + 5, \quad 13 + 5 = 18$$

⋮

$$a_5 = a_4 + 5, \quad 23 + 5 = 28$$

# Example 1

Consider the following sequence:

$$3, 9, 27, 81, 243, \dots$$

The above sequence shows a pattern:

$$3^1, 3^2, 3^3, 3^4, 3^5, \dots$$

$$3^1, 3^2, 3^3, 3^4, 3^5, \dots$$

$$a_1, a_2, a_3, a_4, a_5, \dots \quad \text{or} \quad a_0, a_1, a_2, a_3, a_4, a_5, \dots$$

Recurrence relation is defined by:

$$a_n = 3^n, n \geq 1 \quad \text{or} \quad a_n = 3^{n+1}, n \geq 0$$

# Example 2

Given initial condition,  $a_0 = 1$  and recurrence relation:

$$a_n = 1 + 2a_{n-1} , n \geq 1$$

First few sequence are:

$$a_1 = 1 + 2(1) = 3$$

$$a_2 = 1 + 2(3) = 7$$

$$a_3 = 1 + 2(7) = 15$$

**1, 3, 7, 15, 31, 63, ...**

# Example 3

Given initial condition,  $a_0 = 1$ ,  $a_1 = 2$  and recurrence relation:

$$a_n = 3(a_{n-1} + a_{n-2}), \quad n \geq 2$$

First few sequence are:

$$a_2 = 3(2 + 1) = 9$$

$$a_3 = 3(9 + 2) = 33$$

$$a_4 = 3(33 + 9) = 126$$

**1, 2, 9, 33, 126, 477, 1809, 6858, 26001,...**

# Example 4

For a photo shoot, the staff at a company have been arranged such that there are 10 people in the front row and each row has 7 more people than in the row in front of it. Find the recurrence relation and compute number of staff in the first 5 rows.

# Solution

Notice that the difference between the number of people in successive rows is a constant amount.

This means that the  $n_{\text{th}}$  term of this sequence can be found using:

$$a_n = a_{n-1} + 7, \quad n \geq 2 \text{ with } a_1 = 10$$

# Solution

Number of staff in the first 5 rows:

$$a_1 = 10,$$

$$a_2 = a_1 + 7, \quad 10 + 7 = 17$$

$$a_3 = a_2 + 7, \quad 17 + 7 = 24$$

$$a_4 = a_3 + 7, \quad 24 + 7 = 31$$

$$a_5 = a_4 + 7, \quad 31 + 7 = 38$$

**10, 17, 24, 31, 38**

# Example 5

Find a recurrence relation and initial condition for

**1, 5, 17, 53, 161, 485, ...**

**Solution:**

Look at the differences between terms:

**4, 12, 36, 108, ...**

**The difference in the sequence is growing by a factor of 3.**

**4x3, 12x3, 36x3**

# Solution

However the original sequence is not.

$$1(3)=\mathbf{3}, 5(3)=\mathbf{15}, 17(3)=\mathbf{51}, \dots$$

$$1, 5, 17, 53, 161, 485, \dots$$

It appears that we always end up with **2 less than** the next term. So, the recurrence relation is defined by:

$$a_n = 3(a_{n-1}) + 2, \quad n \geq 1, \text{ with initial condition, } a_0 = 1$$

$$a_1 = 3(a_0) + 2 = 3(1)+2 = 5$$

$$a_2 = 3(a_1) + 2 = 3(5)+2 = 17$$

:

# Example 6

A depositor deposits RM 10,000 in a savings account at a bank yielding 5% per year with interest compounded annually. How much money will be in the account after 30 years? Let  $P_n$  denote the amount in the account after  $n$  years.

# Solution

Derive the following **recurrence relation**:

$$P_n = P_{n-1} + 0.05P_{n-1} = 1.05P_{n-1}$$

Where,  $P_n$  = Current balance and  $P_{n-1}$  = Previous year balance and 0.05 is the compounding interest.

# Solution

Initial condition,  $P_0 = 10,000$ . Then,

$$P_1 = 1.05P_0$$

$$P_2 = 1.05P_1 = (1.05)^2 P_0$$

$$P_3 = 1.05P_2 = (1.05)^3 P_0$$

...

$$P_n = 1.05P_{n-1} = (1.05)^n P_0 ,$$

now we can use this formula to calculate  $n_{th}$  term without iteration

# Solution

Let us use this formula to find  $P_{30}$  under the initial condition  $P_0 = 10,000$ :

$$P_{30} = (1.05)^{30}(10,000) = 43,219.42$$

After 30 years, the account contains **RM 43,219.42**.

# Example 7

Consider the following sequence:

$$1, 5, 9, 13, 17$$

Find the recurrence relation that defines the above sequence.

**Answer:**

$$a_n = a_{n-1} + 4 \quad , n \geq 1 \text{ with } a_0 = 1$$

# Exercise 5

A consumer purchased items costing RM280 with a department store credit card that charges 1.5% interest per month compounded monthly. Write a recurrence relation and initial condition for  $b_n$ , the balance of the consumer's account after  $n$  months if no further charges occur and the minimum monthly payment of RM25 is made.

# Recursive Algorithms

- A recursive procedure is a procedure that invokes itself.
- A recursive algorithm is an algorithm that contains a recursive procedure.
- Recursion is a powerful, elegant and natural way to solve a large class of problems.

# example

## Factorial problem

- If  $n \geq 1$ ,

$$n! = n(n-1) \dots 2 \cdot 1$$

and  $0! = 1$

- Notice that, if  $n \geq 2$ , n factorial can be written,

$$\begin{aligned} n! &= n(n-1)(n-2) \dots 2 \cdot 1 \\ &= n \cdot (n-1)! \end{aligned}$$

# example

- $n=5$
- $5!$

$$5! = 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5 \cdot 4!$$

$$4! = 4 \cdot 3!$$

$$3! = 3 \cdot 2!$$

# Algorithm

- Input:  $n$ , integer  $\geq 0$
- Output:  $n!$
- Factorial ( $n$ ) {  
    if ( $n=0$ )  
        return 1  
    return  $n * \text{factorial}(n-1)$   
}

# example

- Fibonacci sequence,  $f_n$

$$f_1 = 1$$

$$f_2 = 1$$

$$f_n = f_{n-1} + f_{n-2}, \quad n \geq 3$$

1, 1, 2, 3, 5, 8, 13, ....

# Algorithm

- Input:  $n$
- Output:  $f(n)$
- $f(n) \{$ 
  - if ( $n=1$  or  $n=2$ )
    - return 1
    - return  $f(n-1) + f(n-2)$
- }

# Example

Consider the following arithmetic sequence: 1, 3, 5, 7, 9,...

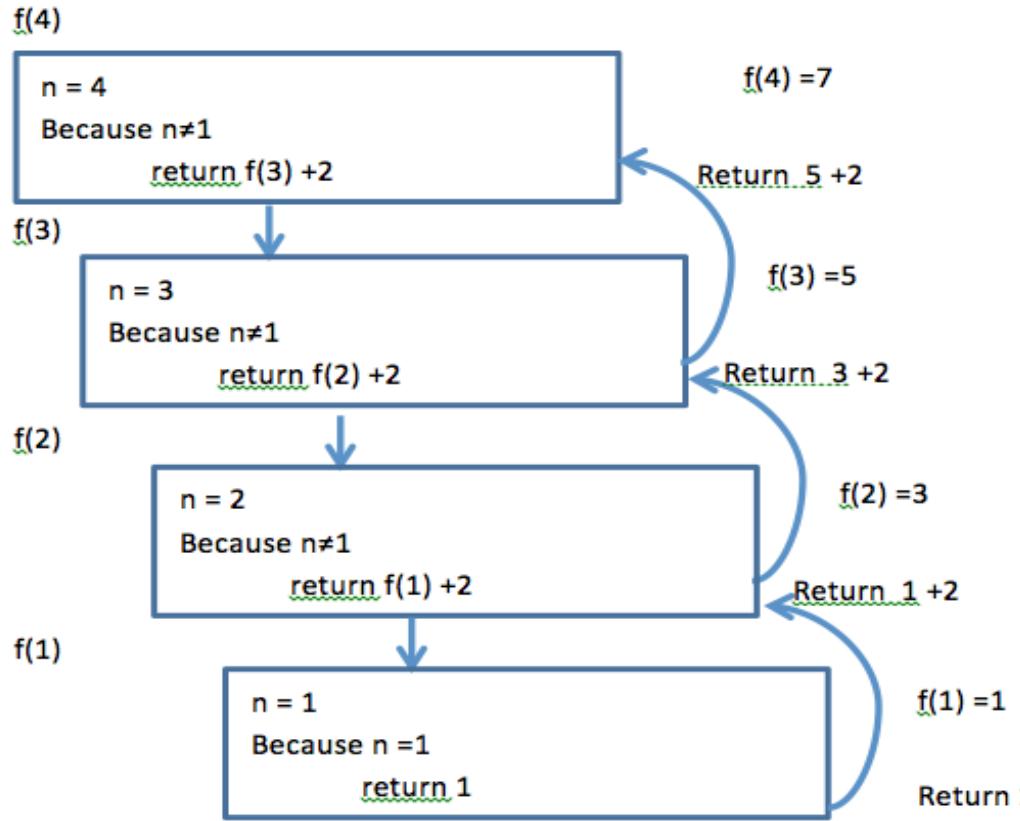
Suppose  $a_n$  is the term sequence. The generating rule is  $a_n = a_{n-1} + 2, n > 1$ . The relevant recursive algorithm can be written as

```
f(n)
{   if (n = 1 )
    return 1
    return f(n - 1) +2
}
```

Use the above recursive algorithm to trace n = 4

# Solutions

Trace the output if  $n = 4$



Answer = 7