

# Chapter 1

## SET THEORY [Part 1: Set & Subset]

# Introduction

Why are we studying sets

- The concept of set is basic to all of mathematics and mathematical applications.
- Serves as a basis of description of higher concept and mathematical reasoning
- Set is fundamental in many areas of Computer Science.

# Set

- A set is a **well-defined collection of distinct objects**.
- These objects are called **members** or **elements** of the set.
- Well-defined means that we can tell for certain whether an object is a member of the collection or not.
- If a set is finite and not too large, we can describe it by listing the elements in it.

# Example

- $A$  is a set of all positive integers less than 10,  
 $A = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- $B$  is a set of first 5 positive odd integers,  
 $B = \{1, 3, 5, 7, 9\}$
- $C$  is a set of vowels,  $C = \{a, e, i, o, u\}$

# Defining Sets

This can be done by:

- Listing ALL elements of the set within braces.
- Listing enough elements to show the pattern then an ellipsis.
- Use set builder notation to define “rules” for determining membership in the set

# Example

1. Listing ALL elements.  $A = \{1, 2, 3, 4\}$  explicitly
2. Demonstrating a pattern.  $\mathbb{N} = \{1, 2, 3, \dots\}$  implicitly
3. Using set builder notation.  $P = \{x \mid x \in \mathbb{R} \text{ and } x \notin \mathbb{C}\}$  implicitly

# Sets

A set is determined by its elements and not by any particular order in which the element might be listed.

**Example,**  $A = \{1, 2, 3, 4\}$ ,

A might just as well be specified as

$\{2, 3, 4, 1\}$  or  $\{4, 1, 3, 2\}$

# Sets

The elements making up a set are assumed to be **distinct**, we may have duplicates in our list, only one occurrence of each element is in the set.

## Example

$$\{a, b, c, a, c\} \longrightarrow \{a, b, c\}$$

$$\{1, 3, 3, 5, 1\} \longrightarrow \{1, 3, 5\}$$



# Sets

- Use uppercase letters  $A, B, C \dots$  to denote sets, lowercase denote the elements of set.
- The symbol  $\in$  stands for 'belongs to'
- The symbol  $\notin$  stands for 'does not belong to'

## Example

$$X = \{ a, b, c, d, e \}, \quad b \in X \text{ and } m \notin X$$

$$A = \{ \{1\}, \{2\}, 3, 4 \}, \quad \{2\} \in A \text{ and } 1 \notin A$$

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# Sets

- If a set is a large finite set or an infinite set, we can describe it by **listing a property necessary for memberships**

- Let  $S$  be a set, the notation,

$$A = \{x \mid x \in S, P(x)\} \text{ or } A = \{x \in S \mid P(x)\}$$

means that  $A$  is the set of all elements  $x$  of  $S$  such that  $x$  satisfies the property  $P$ .

# Example

- Let  $A = \{1, 2, 3, 4, 5, 6\}$ , we can also write  $A$  as,

$A = \{x \mid x \in \mathbb{Z}, 0 < x < 7\}$  if  $\mathbb{Z}$  denotes the set of integers.

- Let  $B = \{x \mid x \in \mathbb{Z}, x > 0\}$ ,  $B = \{1, 2, 3, 4, \dots\}$



# Example

The set of natural numbers:  $\mathbb{N} = \{1, 2, 3, \dots\}$

The set of integers:  $\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$

The set of positive integers:  $\mathbb{Z}^+ = \{1, 2, 3, \dots\}$

The set of Rational Numbers (fractions):  $\frac{1}{2}, \frac{2}{3}, \frac{5}{7}, \text{etc} \in \mathbb{Q}$

More formally:  $\mathbb{Q} = \left\{ \frac{a}{b} \mid a, b \in \mathbb{R}, b \neq 0 \right\}$

The set of Irrational Numbers:  $\sqrt{2}, \pi$ , or  $e$  are irrational

The Real numbers  $= \mathbb{R}$  = the union of the rational numbers  
with the irrational numbers



# Some Symbols Used With Set Builder Notation

The standard form of notation for this is called "set builder notation".

For instance,  $\{x \mid x \text{ is an odd positive integer}\}$  represents the set  $\{1, 3, 5, 7, 9, \dots\}$

$\{x \mid x \text{ is an odd positive integer}\}$  is read as

"the set consisting of all  $x$  such that  $x$  is an odd positive integer".

The vertical bar, " $\mid$ ", stands for "such that"

Other "short-hand" notation used in working with sets

" $\forall$ " stands for "for every"

" $\cup$ " stands for "union"

" $\subseteq$ " stands for "is a subset of"

" $\subsetneq$ " stands for "is a not a (proper) subset of"

" $\in$ " stands for "is an element of"

" $\times$ " stands for "cartesian cross product"

" $\exists$ " stands for "there exists"

" $\cap$ " stands for "intersection"

" $\subset$ " stands for "is a (proper) subset of"

" $\emptyset$ " stands for the "empty set"

" $\notin$ " stands for "is not an element of"

" $=$ " stands for "is equal to"

# Subset

If every element of  $A$  is an element of  $B$ , we say that  $A$  is a subset of  $B$  and write  $A \subseteq B$ .

$A=B$ , if  $A \subseteq B$  and  $B \subseteq A$

The **empty set** ( $\emptyset$ ) is a subset of every set.

**Example**  $A=\{1, 2, 3\}$

Subset of  $A$ ,

$\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}$

**Note:**  $A$  is a subset of  $A$



# Proper Subset

If  $A \subseteq B$  and  $B$  contains an element that is not in  $A$ , then we say “ $A$  is a **proper subset** of  $B$ ”:  $A \subset B$  or  $B \supset A$ .

Formally:  $A \subseteq B$  means  $\forall x [x \in A \rightarrow x \in B]$ .

For all sets:  $A \subseteq A$ .

**Note: If  $A$  is a subset of  $B$  and  $A$  does not equal  $B$ , we say that  $A$  is a proper subset of  $B$  ( $A \subseteq B$  and  $A \neq B$  ( $B \not\subseteq A$ ))**



# Example

- Let,  $A = \{1, 2, 3\}$

Proper subset of  $A$ ,

$\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}$

- Let,  $B = \{1, 2, 3, 4, 5, 6\}$

$A$  is proper subset of  $B$ .



# Example

$A = \{a, b, c, d, e, f, g, h\}$

$B = \{b, d, e\}$

$C = \{a, b, c, d, e\}$

$D = \{r, s, d, e\}$

Proper subset of A ??

# Empty Sets

The **empty set**  $\emptyset$  or  $\{\}$  **but not**  $\{\emptyset\}$   
is the set without elements.

Note:

- Empty set has no elements
- Empty set is a subset of any set
- There is exactly one empty set
- Properties of empty set:

$$A \cup \emptyset = A, A \cap \emptyset = \emptyset$$

$$A \cap A' = \emptyset, A \cup A' = U$$

$$U' = \emptyset, \emptyset' = U$$

## Example

$$\emptyset = \{x \mid x \text{ is a real number and } x^2 = -3 \}$$

$$\emptyset = \{x \mid x \text{ is positive integer and } x^3 < 0 \}$$

# Equal Sets

The sets A and B are **equal** ( $A=B$ ) if and only if each element of A is an element of B and vice versa.

Formally:  $A=B$  means  $\forall x [x \in A \leftrightarrow x \in B]$ .

## Example

$$A=\{a, b, c\}, B=\{b, c, a\}, \quad A=B$$

$$C=\{1, 2, 3, 4\}$$

$$D=\{x \mid x \text{ is a positive integer and } 2x < 10\},$$

$$C=D$$

# Equivalent Sets

Two sets, A and B, are **equivalent** if there exists a **one-to-one correspondence** between them.

When we say sets “have the same size”, we mean that they are **equivalent**.

## Example

**Set A** = {A, B, C, D, E} and **Set B** = {1, 2, 3, 4, 5}

### Note:

- An equivalent set is simply a set with an **equal number of elements**.
- The sets do not have to have the same exact elements, just the same number of elements.

# Finite Sets

A set  $A$  is **finite**

if it is empty

or

if there is a natural number  $n$   
such that set  $A$  is equivalent to

$\{1, 2, 3, \dots n\}$ .

# Example

$$A = \{1, 2, 3, 4\}$$

$$B = \{x \mid x \text{ is an integer, } 1 \leq x \leq 4\}$$

## Note:

There exists a nonnegative integer  $n$  such that  $A$  has  $n$  elements ( $A$  is called a finite set with  $n$  elements)

# Infinite Sets

- An infinite set is a set whose **elements can not be counted**.
- An infinite set is one that has **no last element**

## Are all infinite sets equivalent?

An infinite set is a set that can be placed into a **one-to-one correspondence** with a proper subset of itself.



# Example

## Infinite sets

$$Z = \{x \mid x \text{ is an integer}\}$$

$$\text{or } Z = \{..., -3, -2, -1, 0, 1, 2, 3, ...\}$$

$$S = \{x \mid x \text{ is a real number and } 1 \leq x \leq 4\}$$

$$D = \{x \mid x \text{ is an integer, } x > 0\}$$

## Finite Sets

$$C = \{5, 6, 7, 8, 9, 10\}$$

$$B = \{x \mid x \text{ is an integer, } 10 < x < 20\}$$

# Universal Set

- Sometimes we are dealing with sets all of which are subsets of a set  $U$ .
- This set  $U$  is called a universal set or a universe.
- The set  $U$  must be explicitly given or inferred from the context

# Universal Set

Typically we consider a set  $A$   
a part of a **universal set**  $\mathcal{U}$ ,  
which consists of all possible elements.

To be entirely correct we should say

$$\forall x \in \mathcal{U} [x \in A \leftrightarrow x \in B]$$

instead of

$$\forall x [x \in A \leftrightarrow x \in B] \text{ for } A=B.$$

Note that  $\{ x \mid 0 < x < 5 \}$  is can be ambiguous.

Compare  $\{ x \mid 0 < x < 5, x \in \mathbb{N} \}$  with  $\{ x \mid 0 < x < 5, x \in \mathbb{Q} \}$

# Example

- The sets  $A=\{1,2,3\}$ ,  $B=\{2,4,6,8\}$  and  $C=\{5,7\}$
- One may choose  $U=\{1,2,3,4,5,6,7,8\}$  as a universal set.
- Any superset of  $U$  can also be considered a universal set for these sets  $A$ ,  $B$ , and  $C$ .

For example,  $U=\{x \mid x \text{ is a positive integer}\}$

# Cardinality of Set

- Let  $S$  be a finite set with  $n$  distinct elements, where  $n \geq 0$ .
- Then we write  $|S|=n$  and say that the **cardinality** (or **the number of elements**) of  $S$  is  $n$ .

## Example

$$A = \{1, 2, 3\}, \quad |A|=3$$

$$B = \{a, b, c, d, e, f, g\}, \quad |B|=7$$

# Power Set

- The set of all subsets of a set  $A$ , denoted  $P(A)$ , is called the **power set of  $A$** .

$$P(A) = \{X \mid X \subseteq A\}$$

$$\text{If } |A|=n, \text{ then } |P(A)| = 2^n$$

**Example**      $A = \{1, 2, 3\}$

The power set of  $A$ ,

$$P(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$$

Notice that  $|A| = 3$ , and  $|P(A)| = 2^3 = 8$

# How to Think of Sets

The elements of a set do not have an ordering,  
hence  $\{a,b,c\} = \{b,c,a\}$

The elements of a set do not have multitudes,  
hence  $\{a,a,a\} = \{a,a\} = \{a\}$

All that matters is: “Is  $x$  an element of  $A$  or not?”

The size of  $A$  is thus the number of *different* elements



# Thank You