

Chapter 1

SET THEORY

[Part 1: Set & Subset]

Introduction

Why are we studying sets

- The concept of set is basic to all of mathematics and mathematical applications.
- Serves as a basis of description of higher concept and mathematical reasoning
- Set is fundamental in many areas of Computer Science.

Set

- A set is a **well-defined collection of distinct objects**.
- These objects are called **members** or **elements** of the set.
- Well-defined means that we can tell for certain whether an object is a member of the collection or not.
- If a set is finite and not too large, we can describe it by listing the elements in it.

Example

- A is a set of all positive integers less than 10,

$$A=\{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

- B is a set of first 5 positive odd integers,

$$B=\{1, 3, 5, 7, 9\}$$

- C is a set of vowels, $C=\{a, e, i, o, u\}$

Defining Sets

This can be done by:

- Listing ALL elements of the set within braces.
- Listing enough elements to show the pattern then an ellipsis.
- Use set builder notation to define “rules” for determining membership in the set

Example

1. Listing ALL elements. $A = \{1, 2, 3, 4\}$ explicitly
2. Demonstrating a pattern. $\mathbb{N} = \{1, 2, 3, \dots\}$ implicitly
3. Using set builder notation. $P = \{x \mid x \in \mathbb{R} \text{ and } x \notin \mathbb{C}\}$ implicitly

Sets

A set is determined by its elements and not by any particular order in which the element might be listed.

Example, $A=\{1, 2, 3, 4\}$,

A might just as well be specified as

$\{2, 3, 4, 1\}$ or $\{4, 1, 3, 2\}$

Sets

The elements making up a set are assumed to be **distinct**, we may have duplicates in our list, only one occurrence of each element is in the set.

Example

$$\{a, b, c, a, c\} \longrightarrow \{a, b, c\}$$

$$\{1, 3, 3, 5, 1\} \longrightarrow \{1, 3, 5\}$$

Sets

- Use uppercase letters $A, B, C \dots$ to denote sets, lowercase denote the elements of set.
- The symbol \in stands for ‘belongs to’
- The symbol \notin stands for ‘does not belong to’

Example

$$X = \{ a, b, c, d, e \}, \quad b \in X \text{ and } m \notin X$$
$$A = \{ \{1\}, \{2\}, 3, 4 \}, \quad \{2\} \in A \text{ and } 1 \notin A$$

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Sets

- If a set is a large finite set or an infinite set, we can describe it by **listing a property necessary for memberships**
- Let **S** be a set, the notation,

$$A = \{x \mid x \in S, P(x)\} \text{ or } A = \{x \in S \mid P(x)\}$$

means that **A** is the set of all elements **x** of **S** such that **x** satisfies the property **P**.

Example

- Let $A=\{1, 2, 3, 4, 5, 6\}$, we can also write A as,

$A=\{x \mid x \in \mathbb{Z}, 0 < x < 7\}$ if \mathbb{Z} denotes the set of integers.

- Let $B=\{x \mid x \in \mathbb{Z}, x > 0\}$, $B=\{1, 2, 3, 4, \dots\}$

Example

The set of natural numbers: $\mathbb{N} = \{1, 2, 3, \dots\}$

The set of integers: $\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$

The set of positive integers: $\mathbb{Z}^+ = \{1, 2, 3, \dots\}$

The set of Rational Numbers (fractions): $\frac{1}{2}, \frac{2}{3}, \frac{5}{7}, \text{etc} \in \mathbb{Q}$

More formally: $\mathbb{Q} = \left\{ \frac{a}{b} \mid a, b \in \mathbb{R}, b \neq 0 \right\}$

The set of Irrational Numbers: $\sqrt{2}, \pi, \text{ or } e$ are irrational

The Real numbers = \mathbb{R} = the union of the rational numbers
with the irrational numbers

Some Symbols Used With Set Builder Notation

The **standard form of notation** for this is called "set builder notation".

For instance, $\{x \mid x \text{ is an odd positive integer}\}$ represents the set $\{1, 3, 5, 7, 9, \dots\}$

$\{x \mid x \text{ is an odd positive integer}\}$ is read as

"the set consisting of all x such that x is an odd positive integer".

The vertical bar, " $|$ ", stands for "such that"

Other "short-hand" notation used in working with sets

" \forall " stands for "for every"

" \cup " stands for "union"

" \subseteq " stands for "is a subset of"

" \subset " stands for "is not a (proper) subset of"

" \in " stands for "is an element of"

" \times " stands for "cartesian cross product"

" \exists " stands for "there exists"

" \cap " stands for "intersection"

" \subset " stands for "is a (proper) subset of"

" \emptyset " stands for the "empty set"

" \notin " stands for "is not an element of"

" $=$ " stands for "is equal to"

Subset

If every element of A is an element of B , we say that A is a subset of B and write $A \subseteq B$.

$A=B$, if $A \subseteq B$ and $B \subseteq A$

The **empty set** (\emptyset) is a subset of every set.

Example $A=\{1, 2, 3\}$

Subset of A ,

$\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}$

Note: A is a subset of A

Proper Subset

If $A \subseteq B$ and B contains an element that is not in A, then we say “A is a **proper subset** of B”: $A \subset B$ or $B \supset A$.

Formally: $A \subseteq B$ means $\forall x [x \in A \rightarrow x \in B]$.

For all sets: $A \subseteq A$.

Note: If A is a subset of B and A does not equal B, we say that A is a proper subset of B ($A \subseteq B$ and $A \neq B$ ($B \not\subseteq A$))

Example

- Let, $A=\{1, 2, 3\}$

Proper subset of A ,

$\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}$

- Let, $B=\{1, 2, 3, 4, 5, 6\}$

A is proper subset of B .

Example

$A = \{a, b, c, d, e, f, g, h\}$

$B = \{b, d, e\}$

$C = \{a, b, c, d, e\}$

$D = \{r, s, d, e\}$

Proper subset of A ??

Empty Sets

The **empty set** \emptyset or $\{\}$ **but not** $\{\emptyset\}$
is the set without elements.

Note:

- Empty set has no elements
- Empty set is a subset of any set
- There is exactly one empty set
- Properties of empty set:
 $A \cup \emptyset = A$, $A \cap \emptyset = \emptyset$
 $A \cap A' = \emptyset$, $A \cup A' = U$
 $U' = \emptyset$, $\emptyset' = U$

Example

$$\emptyset = \{x \mid x \text{ is a real number and } x^2 = -3\}$$

$$\emptyset = \{x \mid x \text{ is a positive integer and } x^3 < 0\}$$

Equal Sets

The sets A and B are **equal** ($A=B$) if and only if each element of A is an element of B and vice versa.

Formally: $A=B$ means $\forall x [x \in A \leftrightarrow x \in B]$.

Example

$$A=\{a, b, c\}, B=\{b, c, a\}, \quad A=B$$

$$C=\{1, 2, 3, 4\}$$

$$D=\{x \mid x \text{ is a positive integer and } 2x < 10\},$$

$$C=D$$

Equivalent Sets

Two sets, A and B, are **equivalent** if there exists a **one-to-one correspondence** between them.

When we say sets “have the same size”, we mean that they are equivalent.

Example

Set A= {A, B, C, D, E} and **Set B={1, 2, 3, 4, 5}**

Note:

- An equivalent set is simply a set with an **equal number of elements**.
- The sets do not have to have the same exact elements, just the same number of elements.

Finite Sets

A set A is **finite**

if it is empty

or

if there is a natural number n
such that set A is equivalent to

$\{1, 2, 3, \dots, n\}$.

Example

$$A = \{1, 2, 3, 4\}$$

$$B = \{x \mid x \text{ is an integer, } 1 \leq x \leq 4\}$$

Note:

There exists a nonnegative integer n such that A has n elements (A is called a finite set with n elements)

Infinite Sets

- An infinite set is a set whose **elements can not be counted**.
- An infinite set is one that has **no last element**

Are all infinite sets equivalent?

An infinite set is a set that can be placed into a **one-to-one correspondence** with a proper subset of itself.

Example

Infinite sets

$Z = \{x \mid x \text{ is an integer}\}$

or $Z = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$

$S = \{x \mid x \text{ is a real number and } 1 \leq x \leq 4\}$

$D = \{x \mid x \text{ is an integer, } x > 0\}$

Finite Sets

$C = \{5, 6, 7, 8, 9, 10\}$

$B = \{x \mid x \text{ is an integer, } 10 < x < 20\}$

Universal Set

- Sometimes we are dealing with sets all of which are subsets of a set U .
- This set U is called a universal set or a universe.
- The set U must be explicitly given or inferred from the context

Universal Set

Typically we consider a set A
a part of a **universal set \mathcal{U}** ,
which consists of all possible elements.
To be entirely correct we should say

$$\forall x \in \mathcal{U} [x \in A \leftrightarrow x \in B]$$

instead of

$$\forall x [x \in A \leftrightarrow x \in B] \text{ for } A=B.$$

Note that $\{ x \mid 0 < x < 5 \}$ is can be ambiguous.
Compare $\{ x \mid 0 < x < 5, x \in \mathbb{N} \}$ with $\{ x \mid 0 < x < 5, x \in \mathbb{Q} \}$

Example

- The sets $A=\{1,2,3\}$, $B=\{2,4,6,8\}$ and $C=\{5,7\}$
- One may choose $U=\{1,2,3,4,5,6,7,8\}$ as a universal set.
- Any superset of U can also be considered a universal set for these sets A , B , and C .

For example, $U=\{x \mid x \text{ is a positive integer}\}$

Cardinality of Set

- Let S be a finite set with n distinct elements, where $n \geq 0$.
- Then we write $|S|=n$ and say that the **cardinality** (or **the number of elements**) of S is n .

Example

$$A = \{1, 2, 3\}, \quad |A|=3$$

$$B = \{a, b, c, d, e, f, g\}, \quad |B|=7$$

Power Set

- The set of all subsets of a set A , denoted $P(A)$, is called the **power set of A** .

$$P(A) = \{X \mid X \subseteq A\}$$

If $|A|=n$, then $|P(A)| = 2^n$

Example $A=\{1,2,3\}$

The power set of A ,

$$P(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1,2\}, \{1,3\}, \{2,3\}, \{1,2,3\}\}$$

Notice that $|A| = 3$, and $|P(A)| = 2^3 = 8$

How to Think of Sets

The elements of a set do not have an ordering,
hence $\{a,b,c\} = \{b,c,a\}$

The elements of a set do not have multitudes,
hence $\{a,a,a\} = \{a,a\} = \{a\}$

All that matters is: “Is x an element of A or not?”

The size of A is thus the number of *different* elements



Thank You



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