

INTER PROCESS COMMUNICATION (IPC)

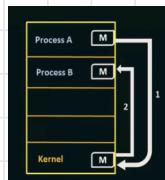
- ↳ Mechanism for processes executing concurrently to communicate and synchronise their actions

MESSAGE PASSING

↳ TWO OPERATIONS

- ↳ send message → fixed size message/variable

- ↳ receive message



IN ORDER TO COMMUNICATE USING MESSAGE PASSING

- ↳ establish a communication link b/w them

- ↳ Physical (shared memory/hardware bus)

- ↳ Logical (logical properties)

- ↳ exchange messages via send/receive

Priority Scheduling

- ↳ CPU allocated by priority

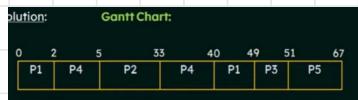
CON: Starvation → low priority may never execute

SOLUTION: Aging → increase priority as time progresses

- turn around time = completion - arrival time
- ↳ Waiting time = Total waiting time - ms process executed - Arrival time
Preemptive
- ↳ Waiting time = turn around time - burst time
↓
non preemptive

PREMPTIVE

| Process ID | Arrival Time | Burst Time | Priority |
|------------|--------------|------------|----------|
| P1 | 0 | 11 | 2 |
| P2 | 5 | 28 | 0 |
| P3 | 12 | 2 | 3 |
| P4 | 2 | 10 | 1 |
| P5 | 9 | 16 | 4 |



NON PREMPTIVE

$$\text{Waiting time} = (40-2-0) + (5-0-5) + (49-0-12) + (33-3-2) + (51-0-9)$$

$$\text{avg} = \frac{29}{5}$$

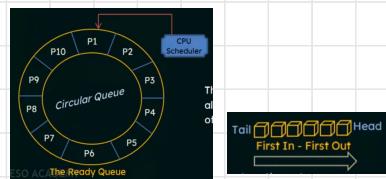
→ best for time sharing

Round Robin (RR) Scheduling

- ↳ small time slices for each process (time quantum)
- ↳ FCFS but has preemption

2 Possibilities

- ↳ burst time < time quantum
 - ↳ CPU released
 - ↳ timer goes off
 - ↳ interrupt to OS
 - ↳ context switch, process moved to tail of ready queue
 - ↳ CPU scheduler gets next process in ready queue
- ↳ burst time > time quantum
 - ↳ timer goes off
 - ↳ interrupt to OS
 - ↳ context switch, process moved to tail of ready queue
 - ↳ CPU scheduler gets next process in ready queue



FIFO in ready queue

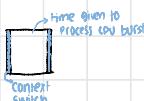
Tail → new processes

Head → next process to get CPU

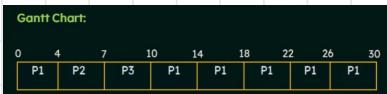
CONS

time quantum

- ↳ too small: too many context switches
- ↳ too big: starve for long time



| Process ID | Burst Time |
|------------|------------|
| P1 | 24 |
| P2 | 3 |
| P3 | 3 |



Method 1

$$\text{Turn Around time} = \text{Completion time} - \text{Arrival time}$$

$$\text{Waiting time} = \text{Turn Around time} - \text{Burst time}$$

| Process ID | Completion Time | Turnaround Time | Waiting Time |
|------------|-----------------|-----------------|--------------|
| P1 | 30 | 30 - 0 = 30 | 30 - 24 = 6 |
| P2 | 7 | 7 - 0 = 7 | 7 - 3 = 4 |
| P3 | 10 | 10 - 0 = 10 | 10 - 3 = 7 |

Average Turn Around time
 $= (30 + 7 + 10) / 3$
 $= 47 / 3 = 15.66 \text{ ms}$

Average waiting time
 $= (6 + 4 + 7) / 3$
 $= 17 / 3 = 5.66 \text{ ms}$

Method 2

$$\text{Waiting time} = \text{Last Start Time} - \text{Arrival Time} - (\text{Preemption} \times \text{Time Quantum})$$

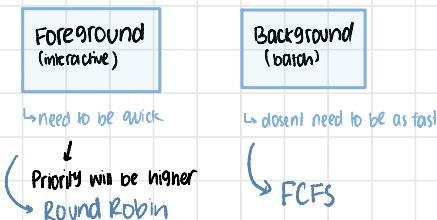
| Process ID | Waiting Time |
|------------|-----------------------------|
| P1 | $26 - 0 - (5 \times 4) = 6$ |
| P2 | $4 - 0 - (0 \times 4) = 4$ |
| P3 | $7 - 0 - (0 \times 4) = 7$ |

Average waiting time
 $= (6 + 4 + 7) / 3$
 $= 17 / 3 = 5.66 \text{ ms}$

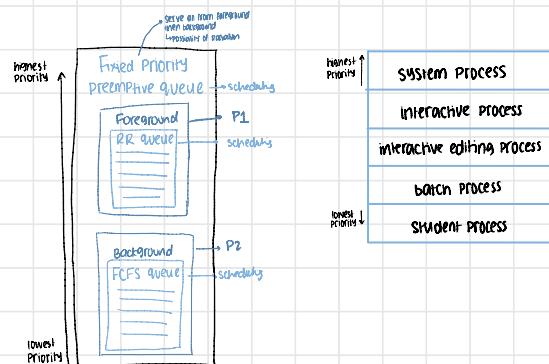
Multi-level Queue Scheduling

Scheduling algorithms for situations in which processes are classified in different groups

Eg



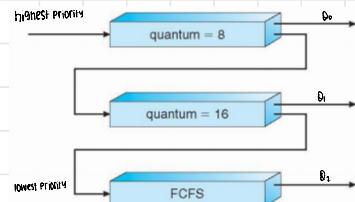
*NO TAKING
CUDS SAY
BAMIR*



- Partitions ready queue into several separate queues
- Scheduling takes place within and among these queues
- Each process permanently assigned to 1 queue based on memory size, priority and process type
- Each queue has its own scheduling algorithm (FCFS, SJF, RR...)

Multi-level Feedback Queue Scheduling

- Allows processes to move between queues
- Separate processes according to their CPU bursts
- If a process uses too much CPU time
then moved to lower priority queue (so everybody gets a chance)
- I/O and interactive processes are in higher priority queues as they need quick responses
- A process in lower priority queue for too long → aging
may be moved to higher priority queue (Prevents starvation)



Scheduling

- A new job enters queue Q_0 , which is served FCFS
 - When it gains CPU, job receives 8 milliseconds
 - If it does not finish in 8 milliseconds, job is moved to queue Q_1
 - At Q_1 , job is again served FCFS and receives 16 additional milliseconds
 - If it still does not complete, it is preempted and moved to queue Q_2

Parameters

- No. of queues
- Scheduling algo for each queue
- Method to upgrade process to higher priority
- Method to demote process to lower priority
- Method to determine queue when process needs service
demote/upgrade

MULTI-PROCESSOR SCHEDULING

- CPU scheduling more complex when multiple CPUs are available
- **Homogeneous processors** within a multiprocessor
- **Asymmetric multiprocessing** – only one processor accesses the system data structures, alleviating the need for data sharing
- **Symmetric multiprocessing (SMP)** – each processor is self-scheduling, all processes in common ready queue, or each has its own private queue of ready processes
- **Processor affinity** – process has affinity for processor on which it is currently running
- **soft affinity:** When an operating system has a policy of attempting to keep a process running on the same processor—but not guaranteeing that it will do so known as **soft affinity**.
- **hard affinity:** allowing a process to specify a subset of processors on which it may run.

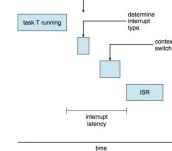
Multiple-Processor Scheduling – Load Balancing

- If SMP, need to keep all CPUs loaded for efficiency
- **Load balancing** attempts to keep workload evenly distributed
- **Push migration** – periodic task checks load on each processor, and if found pushes task from overloaded CPU to other CPUs
- **Pull migration** – idle processors pulls waiting task from busy processor

NOTES
MISSING

Real-Time CPU Scheduling

- **Soft real-time systems** – no guarantees as to when critical real-time process will be scheduled
- **Hard real-time systems** – task must be serviced by its deadline
- Two types of latencies affect performance:
 1. Interrupt latency – time from arrival of interrupt to start of routine that services interrupt
 2. Dispatch latency – time for scheduler to take current process off CPU and switch to another



PROCESS CONCEPT

- ↳ Process are executed programs that have

↳ Resource Ownership  process/task

↳ Process includes virtual space? 

↳ OS prevents unwanted interference b/w processes

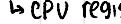
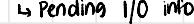
↳ Scheduling / Execution

- Process follows an execution path that may be interleaved with other processes
- Process has an execution state (Running, Ready, etc.) and is scheduled and dispatched by the operating system
- Today, the unit of dispatching is referred to as a **thread or lightweight process**

MOTIVATION

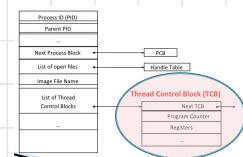
- Most modern applications are multithreaded
- Threads run within application (process)
- Multiple tasks with the application can be implemented by separate threads
 - Update display
 - Fetch data
 - Spell checking
 - Answer a network request
- Process creation is heavy-weight while thread creation is light-weight
- Can simplify code, increase efficiency
- Kernels are generally multithreaded

Thread control block

- ↳ Information associated with each thread
- ↳ Program Counter  CPU registers
- ↳ CPU scheduling info  Pending I/O info

Process control block (PCB)

- ↳ Information associated with each process
- ↳ Memory management info
- ↳ Accounting info



Thread operations

- ↳ **Spawn**: a thread within a process may spawn another thread
 - ↳ Provides new thread **instruction pointer, arguments, register, stack**
- ↳ **Block**: a thread needs to wait for an event
 - ↳ saves its **user registers, program counter, stack pointers**
- ↳ **Unblock**: when the event for which the block occurs
- ↳ **Finish**: when thread completes
 - ↳ its register context and stacks are deallocated

Thread states

- ↳ **running**
- ↳ **ready**
- ↳ **blocked**

Multithreaded Applications

- An application that creates photo thumbnails from a collection of images may use a separate thread to generate a thumbnail from each separate image.
- A web browser might have one thread display images or text while another thread retrieves data from the network.
- A word processor may have a thread for displaying graphics, another thread for responding to keystrokes from the user, and a third thread for performing spelling and grammar checking in the background.

Linux Kernel is also multithreaded

Single vs Multithreaded Webserver

- How a web-server (as a single process) increase its response time?

One solution is to have the server run as a single process that accepts requests. When the server receives a request, it **creates a separate process to service that request**. In fact, this process-creation method was in common use before threads became popular. **Process creation** is time consuming and resource intensive, however. If the new process will perform the same tasks as the existing process, why incur all that overhead?

Single vs Multithreaded Webserver

- Assume: 1000- request per second
 - Performance of single threaded server
 - Working of a multi-threaded server
 - What benefits do we get using a multithreaded server?

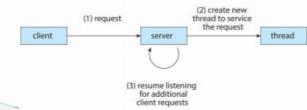


Figure 4.2 Multithreaded server architecture.

Process

- ↳ A program in execution
- ↳ can have 1 or more threads



Threads

- ↳ unit of execution within a process

- ↳ it compromises of

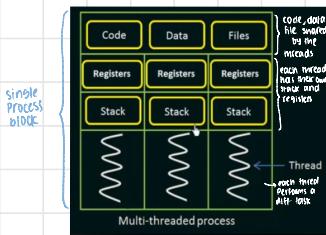
1. Thread ID
2. Program Counter
3. Register set
4. Stack

↳ shares code section, data section, OS resources with other threads of same processes

| S.N. | Process | Thread |
|------|--|--|
| 1. | Process is heavy weight or resource intensive. | Thread is light weight taking lesser resources than a process. |
| 2. | Process switching needs interaction with operating system. | Thread switching does not need to interact with operating system. |
| 3. | In multiple processing environments each process executes the same code but has its own memory and file resources. | All threads can share same set of open files, child processes. |
| 4. | If one process is blocked then no other process can execute until the first process is unblocked. | While one thread is blocked and waiting, second thread in the same task can run. |
| 5. | Multiple processes without using threads use more resources. | Multiple threaded processes use fewer resources. |
| 6. | In multiple processes each process operates independently of the others. | One thread can read, write or change another thread's data. |

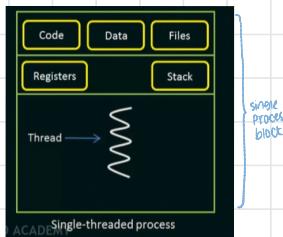
Multi Thread

- ↳ performs multiple tasks at a time



Single Thread

- ↳ performs one task at a time



MULTI-THREADING BENEFITS

- ↳ **Responsiveness**: may continue execution if process is blocked / performing lengthy operation
- ↳ **Dedicated threads for handling user events**
- ↳ **Resource Sharing**: threads share memory and resources of a process → allows diff threads activity within same address space
 - ↳ better than shared memory / message passing
 - ↳ avoiding memory/pointer conflicts
- ↳ **Economy**: cheaper than process creation as threads share resources
- ↳ **Scalability**: utilization of multiple cores for parallel execution
 - ↳ increases concurrently

Multicore Programming



parallel execution

PARALLELISM

↳ act of managing multiple computations simultaneously



↳ focus on distributing data

across diff parallel computing nodes

↳ focus on distributing threads

across diff parallel computing nodes

Data Parallelism
Same operations are performed on different subsets of same data.
Synchronous computation

Speedup is more as there is only one execution thread operating on all sets of data.

Amount of parallelization is proportional to the input data size.

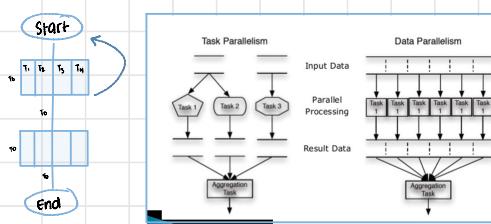
Designed for optimum load balance on multi processor system.

Task Parallelism
Different operations are performed on the same or different data.
Asynchronous computation

Speedup is less as each processor will execute a different thread or process on the same or different set of data.

Amount of parallelization is proportional to the number of independent tasks to be performed

Load balancing depends on the availability of the hardware and scheduling algorithms like static and dynamic scheduling.



AMDAHL'S LAW

↳ speed up in latency of a task execution

$$\text{Speed Up} \leq \frac{1}{S + \frac{S(1-S)}{N \cdot \text{# of cores}}}$$

B) $S=25\%, N=2$

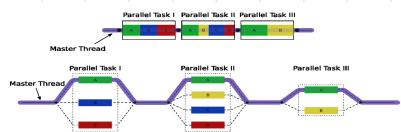
$$\text{Speed Up} \leq \frac{1}{0.25 + \frac{0.25}{2}} = 2.28$$

CONCURRENCY

↳ act of managing multiple computations at the same time

but not simultaneously → control is switched

FORK-JOIN MODEL



```
solve(problem):
    if problem is small enough:
        solve problem directly (sequential algorithm)
    else:
        for part in subdivide(problem)
            fork subtask to solve part
            join all subtasks spawned in previous loop
            combine results from subtasks
```

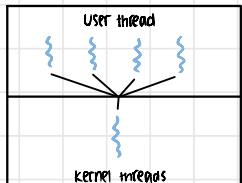
► Multicore systems putting pressure on programmers, challenges include

- **Dividing activities**
 - What tasks can be separated to run on different processors
- **Balance**
 - Balance work on all processors
- **Data splitting**
 - Separate data to run with the tasks
- **Data dependency**
 - Watch for dependences between tasks
- **Testing and debugging**
 - Harder!!!!

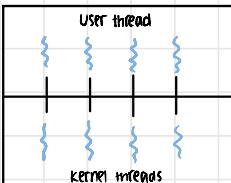
MultiThreading → multiple threads at the same time

establish relationship between user and kernel thread

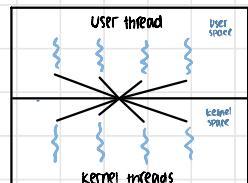
Many to One



One to One



Many to Many



PROS

- ↳ multiple user threads mapped to 1 kernel thread ↳ efficient
- ↳ thread management is done by threaded library

CONS

- ↳ if 1 thread makes a blocking system call entire process will be blocked
- ↳ only 1 thread can access kernel at a time.
- ↳ multiple threads are unable to run in parallel on multiprocessors

PROS

- ↳ maps each user thread to kernel thread ↳ always another thread to run when a thread makes a blocking system call
- ↳ more concurrency
- ↳ allows multiple threads to run parallel on multi processors

CONS

- ↳ each user thread requires a corresponding kernel thread
- ↳ overhead of creating kernel threads burdens performance
- ↳ restricts no. of threads supported by the system
 - ↳ e.g. 4 core processor
 - ↳ 5 threads
 - ↳ then only 4 threads work parallel
 - ↳ hence no. of threads restricted

PROS

- ↳ kernel threads are ≤ to user threads
- ↳ more concurrency
- ↳ no limit to creating user threads
- ↳ kernel threads can run parallel on a multi processor

Hyper Threading / SMT (Simultaneous multithreading)

- ↳ more than 1 multithreading going on in the same system

e.g. ↳ 4 core → virtually/ logically divided into multiple processors
↳ 8 threads support

TYPES OF THREADS

User threads

- ↳ supported above kernel
- ↳ managed w/o kernel support
- ↳ thread management done by user level threads library

LIBRARIES

- ↳ POSIX PThreads
- ↳ Win32 Threads
- ↳ Java Threads

POSIX Pthreads

- May be provided either as user-level or kernel-level
- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization

• API specifies behavior of the thread library, implementation is up to development of the library

Win32

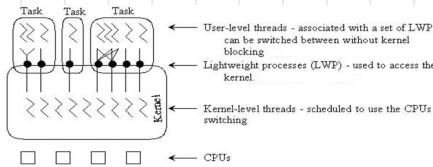
• Kernel-level library on Windows system

Java

- Java threads are managed by the JVM
- Typically implemented using the threads model provided by underlying OS

Kernel threads

- ↳ supported and managed directly by the operating system



- Linux kernel has processes and lightweight processes (LWP).
- Difference: LWPs share same address space and other resources like open files etc. As some resources are shared so these processes are considered to be light weight as compared to other normal processes and hence the name light weight processes.
- Therefore, effectively we can say that threads and light weight processes are same.
- Thread is the term used at the user level while LWP is a term used at kernel level.

Threaded Libraries



Asynchronous Threading

- ↳ Once parent creates child thread, parent resumes execution
- ↳ They execute concurrently and independently of one another
- ↳ Threads are independent → no dependency
- ↳ little data sharing

Synchronous Threading

- ↳ Once parent creates 1 or more children
- ↳ it waits all child to terminate before it resumes
- ↳ threads by parent work cocurrently
- ↳ significant data sharing among threads

POSIX

POST LINUX COMPIRATION

On Linux, programs that use the Pthreads API must be compiled with
-*pthread* or -*lpthread*

```
gcc -o thread -lpthread thread.c
```

THREAD CREATION

```
int pthread_create(pthread_t *thread, const pthread_attr_t *attr,  
void *(*start)(void *), void *arg);
```

- ❖ *thread* Is the location where the ID of the newly created thread should be stored, or NULL if the thread ID is not required.
- ❖ *attr* Is the thread attribute object specifying the attributes for the thread that is being created. If *attr* is NULL, the thread is created with default attributes.
- ❖ *start* Is the main function for the thread; the thread begins executing user code at this address.
- ❖ *arg* Is the argument passed to *start*.

THREAD ID

```
#include <pthread.h>  
  
pthread_t pthread_self()  
returns : ID of current (this) thread
```

WAIT FOR THREAD COMPLETION

```
#include <pthread.h>  
  
pthread_join (thread, NULL)  
  
returns : 0 on success, some error code on failure.
```

THREAD TERMINATION

```
#include <pthread.h>  
  
Void pthread_exit (return_value)
```

Threads terminate in one of the following ways:

- The thread's start functions performs a return specifying a return value for the thread.
- Thread receives a request asking it to terminate using *pthread_cancel()*
- Thread initiates termination *pthread_exit()*
- Main process terminates

```
> int main()  
> {  
>     pthread_t thread1, thread2; /* thread variables */  
>     thdata1, data2; /* structs to be passed to threads */  
>  
>     /* initialize data to pass to thread 1 */  
>     data1.thread_no = 1;  
>     strcpy(data1.message, "Hello!");  
>  
>     /* initialize data to pass to thread 2 */  
>     data2.thread_no = 2;  
>     strcpy(data2.message, "Hi!");  
>  
>     /* create threads 1 and 2 */  
>     pthread_create(&thread1, NULL, (void *)print_message_function, (void *)&data1);  
>     pthread_create(&thread2, NULL, (void *)print_message_function, (void *)&data2);  
>  
>     /* Main block now waits for both threads to terminate, before it exits  
      * If main block exits, both threads exit, even if the threads have not  
      * finished their work */  
>     pthread_join(thread1, NULL);  
>     pthread_join(thread2, NULL);  
>  
>     exit(0);  
> }
```

Example code but not complete



Synchronization Hardware

- Many systems provide hardware support for implementing the critical section code.
- All solutions below based on idea of **locking**
 - Protecting critical regions via locks
- Uniprocessors – could disable interrupts
 - Currently running code would execute without preemption
 - Generally too inefficient on multiprocessor systems
 - Operating systems using this not broadly scalable
- Modern machines provide special atomic hardware instructions
 - **Atomic** = non-interruptible
 - Either test memory word and set value
 - Or swap contents of two memory words

Race condition

- ↳ when several processes manipulate / access the same data concurrently
- and outcome depends on order in which access take place
- results in inconsistency

(SOLUTION)

Process Synchronization

The orderly execution of cooperating process that share an address space

Producer and consumer run concurrently using

BUFFER



Unbounded buffer

- ↳ has a size on buffer
- ↳ consumer waits for new items
- ↳ producer can always produce new items

bounded buffer

- ↳ fixed buffer size
- ↳ consumer must wait if buffer empty
- ↳ producer must wait till buffer full
- ↳ use counter variable
 - + produced
 - consumed

Critical section problem

- ↳ a system with n processes
- ↳ each process has a segment of code called critical section

↳ where process may be changing common variables
updating table
writing a file

Three requirements

mutual exclusion:

If process P_i is executing in its critical section, then no other processes can be executing in their critical sections.

progress:

If no process is executing in its critical section and some processes wish to enter their critical sections, then only those processes that are not executing in their remainder sections can participate in the decision on which will enter its critical section next, and this selection cannot be postponed indefinitely.

bounded waiting:

There exists a bound, or limit, on the number of times that other processes are allowed to enter their critical sections after a process has made a request to enter its critical section and before that request is granted.

Two approaches depending on if kernel is preemptive or non-preemptive

- **Preemptive** – allows preemption of process when running in kernel mode
- **Non-preemptive** – runs until exits kernel mode, blocks, or voluntarily yields CPU
 - Essentially free of race conditions in kernel mode

Peterson's SOLUTION

→ humble

↳ software solution to the critical section problem

↳ 2 processes that alternate execution

↳ b/w
critical section,
remainder section

↳ the 2 processes share 2 variables

↳ int turn;

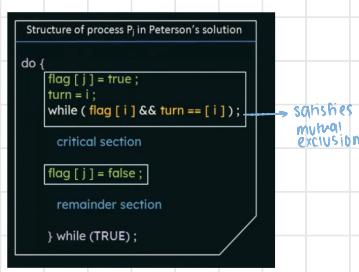
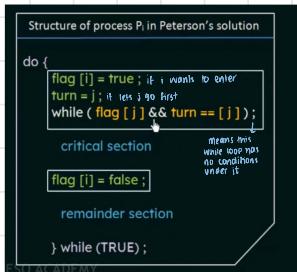
↳ indicates turns who
is to enter critical section

↳ boolean flag[2];

↳ indicates if process

is ready to enter critical section

↳ satisfies all 3 critical section requirements



Test and Set Lock

↳ hardware solution to the critical section problem

↳ Processes share shared lock variable = 0 → unlocked

1 → lock

] helps satisfy mutual exclusion

→ lock=0 in beginning

↳ If lock=1, then wait till it becomes free

↳ If lock=0, then execute critical section and lock=1

↳ satisfies mutual exclusion

↳ does not satisfy bounded-waiting

```

boolean TestAndSet (boolean *target) {
    boolean rv = *target;
    *target = TRUE;
    return rv;
}

```

The definition of the TestAndSet () instruction

Atomic Operation

```

do {
    while (TestAndSet (&lock)); → no instructions
        // do nothing
        // critical section
        lock = FALSE;
        // remainder section
    } while (TRUE);

```

Source: AL Academy

when - while - 0 → F
+ 1 → T

Atomic operation

→ hardware instruction

↳ single, uninterrupted operation

(e.g.

TestAndSet() is run as a single operation
which can not be interrupted



Bounded-waiting Mutual Exclusion with test_and_set

```

do {
    waiting[i] = true;
    key = true;
    while (waiting[i] && key)
        key = test_and_set(&lock);
    waiting[i] = false;
    /* critical section */
    j = (i + 1) % n;
    while ((j != i) && !waiting[j])
        j = (j + 1) % n;
    if (j == i)
        lock = false;
    else
        waiting[j] = false;
    /* remainder section */
} while (true);

```

Compare and Swap Instruction

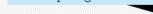
```

int compare_and_swap(int *value, int expected, int new_value) {
    int temp = *value;
    if (*value == expected)
        *value = new_value;
    return temp;
}

• If two CAS instructions are executed simultaneously (each on a different core), they will be executed sequentially in some arbitrary order.

```

On Intel x86 architectures
`lock cmpxchg <destination operand>, <source operand>`



Semaphore

↳ Software solution to the critical section problem

↳ technique to manage concurrent processes

↳ An int variable S shared b/w threads
↳ always true
↳ semaphore

↳ S can only be accessed through 2 atomic operations

↳ $\text{wait}()$ → to decrement

$S \leq 0$ → some process already in critical section → wait
 $S > 0$ → can use shared resource → don't wait

↳ $\text{signal}()$ → to increment
↳ tell other processes that shared resource is free to be used

↳ indivisibility

When 1 process modifies semaphore

No other process can simultaneously modify that semaphore value

Definition of $\text{wait}()$:

```
P (Semaphore S) {  
    while (S <= 0)  
        ; // no operation  
    S-- ; + when > 0  
}
```

Definition of $\text{signal}()$:

```
V (Semaphore S) {  
    S++ ;  
}
```

* $S = 1$ in beginning

TWO TYPES OF SEMAPHORES

Binary Semaphore

↳ S can only have 2 values 0 or 1

↳ Some process already executing
↳ so not free to use shared resource
↳ free to use shared resource

↳ behave similarly to mutex locks

↳ can implement a counting semaphore S as a binary semaphore

Counting Semaphore

↳ S can multiple values

↳ used to control access to a resource that has multiple instances

↳ $S = \text{no. of instances of a resource}$

Semaphore Disadvantage

↳ requires busy waiting

↳ while process in critical section
any other process that tries to enter critical section
must loop continuously to check if condition true

↳ wastes CPU cycles

↳ most other
processes could use
productively

SOLUTION

↳ modify $\text{wait}()$ and $\text{signal}()$

↳ waiting state

↳ to $\text{block}()$ → places process in waiting queue

↳ move process from
waiting to ready queue

↳ instead of busy waiting, block itself

↳ which series
another process
to execute

hence no wastage of CPU time

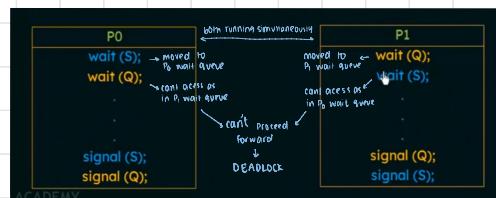
PROBLEM

↳ Deadlock

↳ set of blocked processes, each holding a resource
and waiting to acquire a resource held by another process

↳ Starvation

↳ when a process is postponed because it requires a resource
to run, that is never allocated to this process



Semaphore

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↳ always true
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↳ $S = \text{no. of instances of a resource}$

SEMAPHORE DISADVANTAGE

↳ requires **BUSY WAITING**

↳ while a process is in critical section
any other process that tries to enter critical section
must loop continuously to check if condition true

↳ wastes CPU cycles

↳ that some other
process can use
resource more
productively

* This type of semaphore also known as spinlock

PROBLEM

↳ Deadlock

↳ set of blocked processes, each holding a resource
and waiting to acquire a resource, held by another process

↳ Starvation

↳ when a process is postponed because it requires a resource
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SOLUTION TO BUSY WAITING

↳ modify $\text{wait}()$ and $\text{signal}()$

↳ waiting state

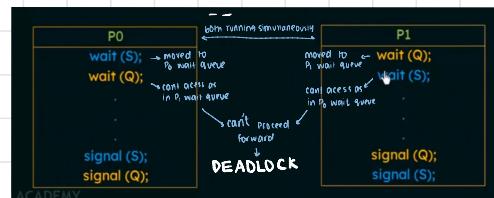
↳ to block() → places process in waiting queue

↳ to wake up() → move process from
waiting to ready queue

↳ instead of busy waiting, block itself

↳ transfers control to CPU scheduler
↳ which selects another process to execute

↳ hence no wastage of CPU time



DEADLOCK

↳ process stuck in circular waiting for the resources

VS

STARVATION

↳ process waits for a resource indefinitely

→ deadlock implies starvation BUT starvation does not imply deadlock



Implementation with no Busy waiting (Cont.)

```
wait(semaphore *S) {  
    S->value--;  
    if (S->value < 0) {  
        add this process to S->list;  
        block();  
    }  
  
}  
  
signal(semaphore *S) {  
    S->value++;  
    if (S->value <= 0) {  
        remove a process P from S->list;  
        wakeup(P);  
    }  
}
```

Operating System Concepts - 8th Edition

5.28

Gillmor, Galvin and Gagne ©

POSIX - Semaphores

```
#include <semaphore.h>  
Sem_t sem;  
/* Create the semaphore and initialize it to 1 */  
Sem_init(&sem, 0, 1);  
The sem_init() function is passed three parameters:  
1. A pointer to the semaphore  
2. A flag indicating the level of sharing  
3. The semaphore's initial value
```

POSIX - Semaphores

```
/* acquire the semaphore */  
Sem_wait(&sem);  
  
/* critical section */  
  
/* release the semaphore */  
Sem_post(&sem);
```

Priority Inversion

↳ scheduling problem when

lower priority process holds a lock

needed by a higher priority process

SOLUTION

Priority Inheritance Protocol

↳ when a job blocks a higher priority job

it ignores the current lower priority job

executes higher priority job's critical section

then releases lock and returns to the current lower priority job

CLASSICAL PROBLEMS OF SYNCHRONIZATION

1. Bounded buffer problem

↳ shared buffer

↳ buffer of n slots, each capable of storing one unit of data

↳ producer process → insert data in empty slot of buffer
not insert data when buffer is full

↳ consumer process → remove data from filled slot of buffer
not remove data when buffer is empty



SOLUTION USING semaphores

↳ n buffers → each can hold one item

↳ mutex → binary semaphore → acquire lock
release lock

↳ empty → counting semaphore initial value = no. of slots in buffer
since initially all slots are empty

↳ full → counting semaphore initial value =

Producer

```
do {
    empty.wait(); // wait until empty>0
    /* consumer decreases and then decrement 'empty' */
    wait(mutex); // acquire lock
    /* add data to buffer */
    signal(mutex); // release lock
    signal(full); // increment 'full'
} while(true)
```

Consumer

```
do {
    full.wait(); // wait until full>0 and
    /* then decrement 'full' */
    wait(mutex); // acquire lock
    /* remove data from buffer */
    signal(mutex); // release lock
    signal(empty); // increment 'empty'
} while(true)
```

2. The Readers Writers Problem

↳ shared database

↳ Readers → only want to read

↳ Writers → want to read and write

↳ if a writer and a writer/reader access database simultaneously → **PROBLEM**

↳ give exclusive access to database → **SOLUTION**

SOLUTION USING semaphores

↳ mutex → a semaphore → initialize to 1

↳ wrt → a semaphore → initialized to 1

↳ readcount → counts how many readers reading → initialized to 0
same data

↳ whenever modified `wait(mutex)` to be called

Writer Process

```
do {
    /* writer requests for critical
    section */
    wait(wrt); // writer can enter
    /* performs the write */
    /* leaves the critical section */
    signal(wrt); // writer now
} while(true);
```

Reader Process

```
do {
    wait(mutex);
    readcnt++; // the number of readers has now increased by 1
    if (readcnt==1)
        wait(wrt); // this ensure no writer can enter if there is even one reader
    signal(mutex); // other readers can enter while this current reader is
    inside the critical section
    /* current reader performs reading here */
    wait(mutex);
    readcnt--; // a reader wants to leave
    if (readcnt==0) // no reader is left in the critical section
        signal(wrt); // writers can enter
        signal(mutex); // reader leaves
} while(true);
```

→ used in OS resource allocation → Processes
→ resources

3. The Dining-Philosophers Problem

↳ 5 philosophers, 5 forks

↳ Eating
use 2 forks for eating
pick 1 fork on a time
can eat unless has 2 forks

↳ Thinking → idle

↳ When a philosopher eats he uses 2 forks

↳ NO 2 adjacent philosophers try to eat at the same time → SOLUTION

SOLUTION USING SEMAPHORES

↳ fork[5] → a binary semaphore array
elements initialized to 1 → free
→ 0 → busy

↳ Grab fork → wait() → used on 2 forks

↳ release fork → signal()

```
The structure of philosopher i
do {
    wait(chopstick[i]); // left
    wait(chopstick[(i+1)%5]); // right
    ... // eat
    signal(chopstick[i]);
    signal(chopstick[(i+1)%5]);
    // think
}while (TRUE);
```



can lead to deadlock

All 5 hungry and grab left fork

all elements of fork = 0

when grabbing right fork, they will all be delayed forever

SOLUTIONS TO DEADLOCK

→ no of forks will remain 5

1. ALLOW AT MOST 4 PHILOSOPHERS

2. ALLOW TO PICK FORKS ONLY IF BOTH FORKS AVAILABLE

3. USE ASYMMETRIC SOLUTION

↳ an odd P first picks right fork then left fork

↳ an even P first picks left fork then right fork

