



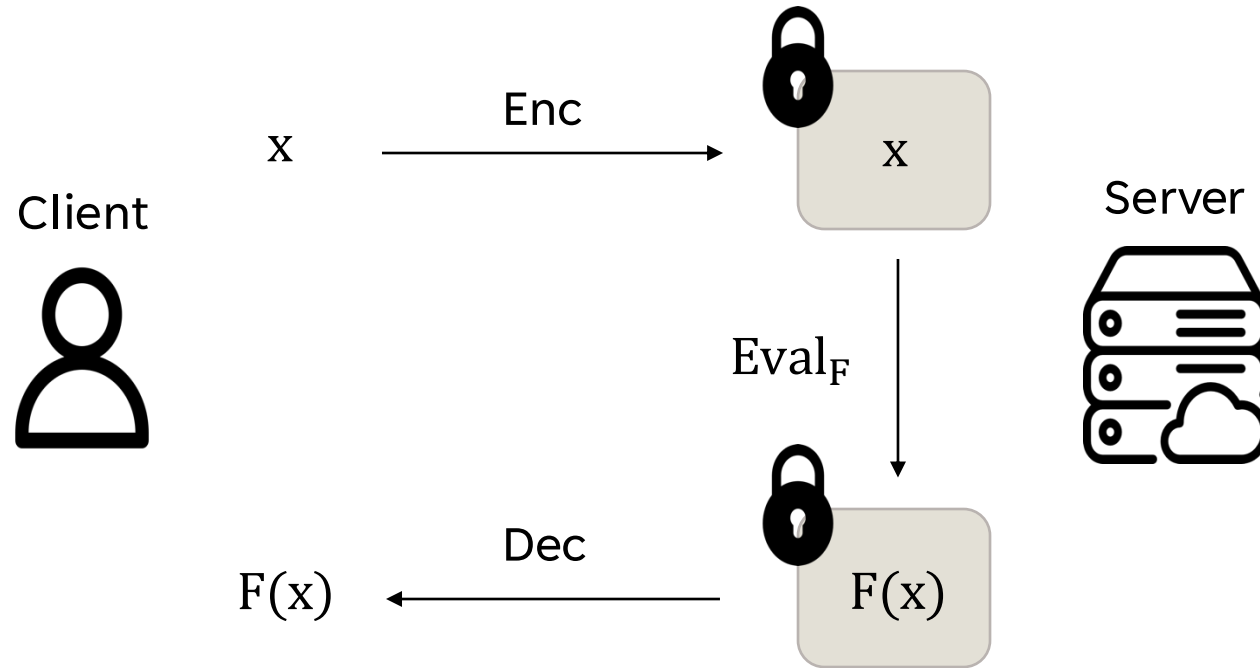
GRAFTING: DECOUPLED SCALE FACTORS AND MODULUS IN RNS-CKKS

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Seonghak Kim², Johannes Mono^{2,4}, Taeyeong Noh²



FULLY HOMOMORPHIC ENCRYPTION (FHE)



- FHE enables computations on encrypted data without decryption.
- Provides efficient privacy-preserving computation.
- CKKS supports approximate computations on real/complex numbers.

RNS-CKKS

- CKKS encodes $\vec{z} \in \mathbb{C}^{N/2}$ with scale factor Δ as:
 - Plaintext: $\Delta m = \lfloor \Delta \cdot DFT^{-1}(\vec{z}) \rfloor \in R_Q = \mathbb{Z}_Q[X]/(X^N + 1)$.

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$$ct(m) = (a, b) \in R_Q^2: a \cdot s + b = \Delta m + e \pmod{Q},$$
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where s : secret, e : error, $\Delta m \ll Q$.
- For modulus $Q = \prod_{i=0}^{\ell} q_i$, the CRT: $\mathbb{Z}_Q \cong \prod_{i=0}^{\ell} \mathbb{Z}_{q_i}$ allows
$$R_Q \cong R_{q_0} \times R_{q_1} \times \cdots \times R_{q_\ell}$$
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\Rightarrow Filling Q with machine's word-size primes is the most efficient!

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- Rescale:

$$(c_0, c_1) = \left(\left\lfloor \frac{d_0}{q_\ell} \right\rfloor, \left\lfloor \frac{d_1}{q_\ell} \right\rfloor \right) \in R_{Q/q_\ell}^2, \quad c_0 + c_1 s \approx \frac{\Delta^2}{q_\ell} m_1 m_2 + \frac{\Delta}{q_\ell} (m_1 e_2 + m_2 e_1) \pmod{\frac{Q}{q_\ell}}.$$

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\therefore Hence, each modulus should match the scale factor Δ :

$$q_1 \approx \dots \approx q_\ell \approx \Delta \text{ for multiplication levels } \ell.$$

STRUCTURE ON CKKS MODULUS CHAIN

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$$Q_0 \mid Q_1 \mid \cdots \mid Q_L,$$

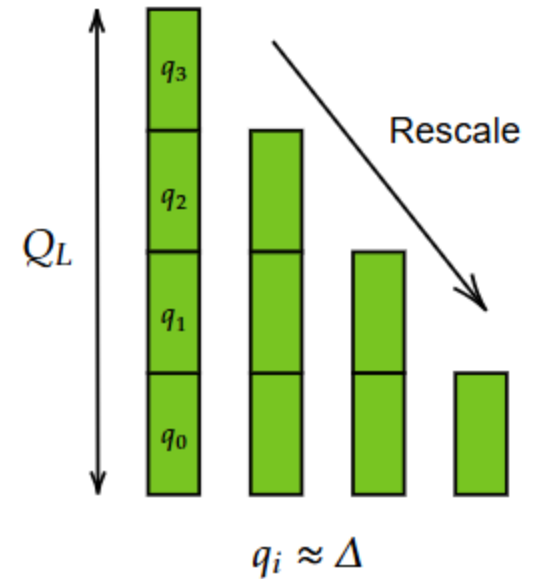
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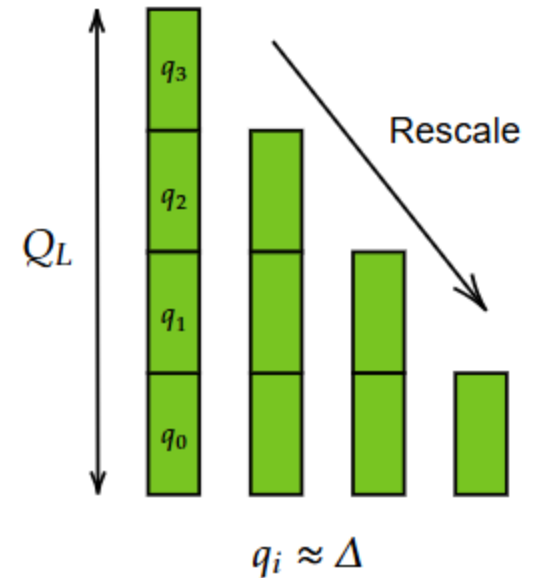
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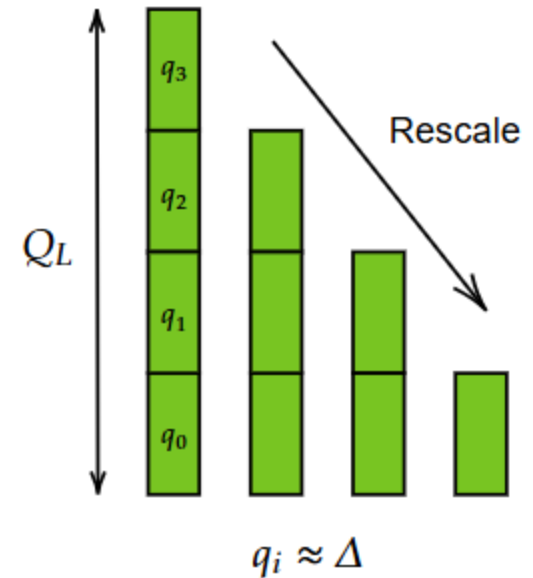
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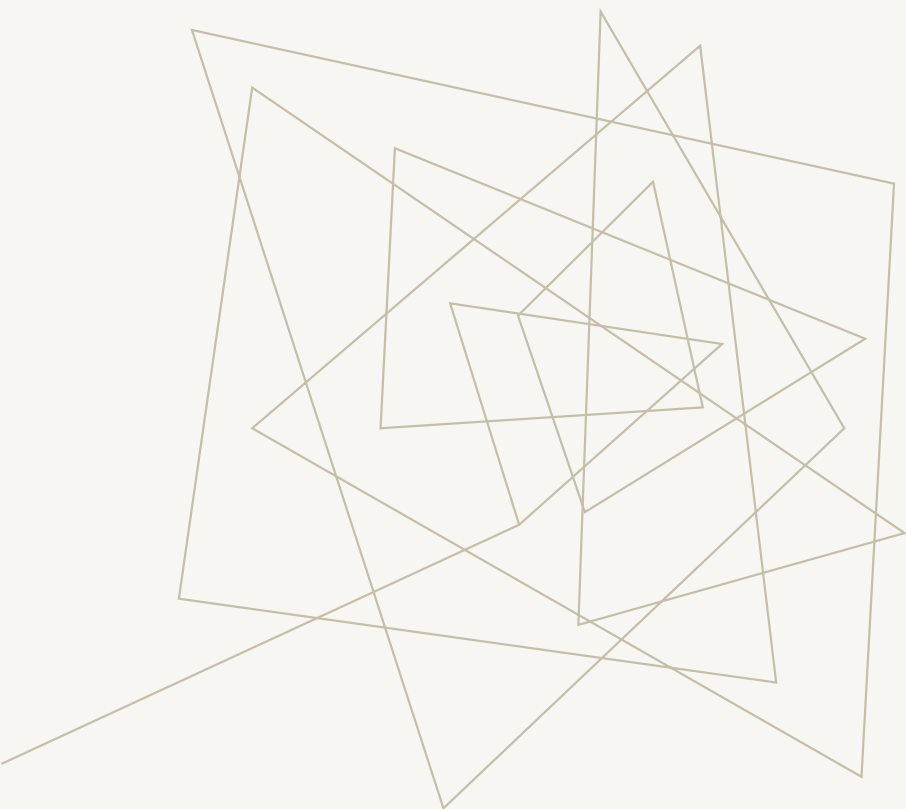
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Why don't we set $Q_\ell \mid Q_L$ — not necessarily $Q_\ell \mid Q_{\ell+1}$, while each Q_ℓ is filled up with **machine's word-size primes**?





GRAFTING: A NOVEL MODULUS MANAGEMENT SYSTEM

RATIONAL RESCALE WITH SPROUT

- We set the top-modulus as $Q_{top} = q_0 q_1 \cdots q_{L-1} \cdot r_{top}$.
 - Each q_i is machine word-size prime.
 - r_{top} is called a *sprout*, reusable modulus factor of Q_{top} .

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⇒ We call it *Rational Rescale*, a generalized Rescale in RNS-CKKS.

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r	$2^0, 2^1, \dots, 2^{15}$	$r_1, 2r_1, \dots, 2^{15}r_1$	$r_2, 2r_2, \dots, 2^{15}r_2$	$r_1r_2, 2r_1r_2, \dots, 2^{15}r_1r_2$

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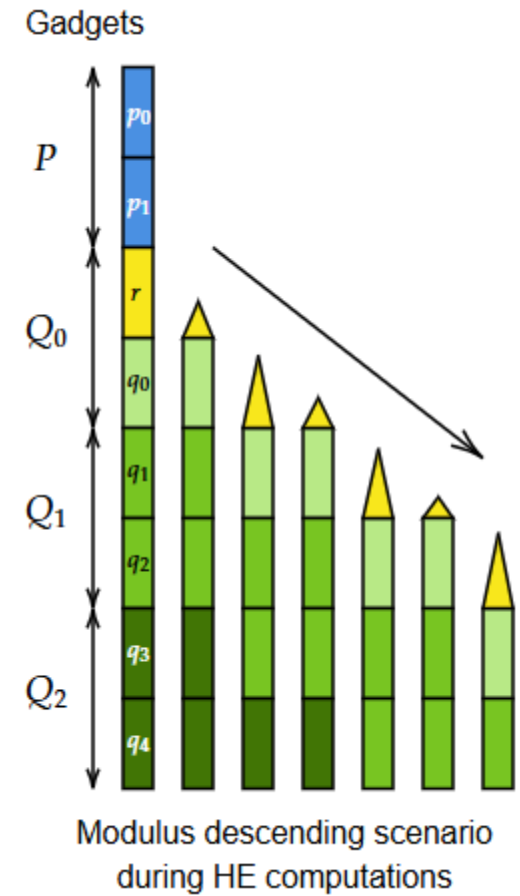
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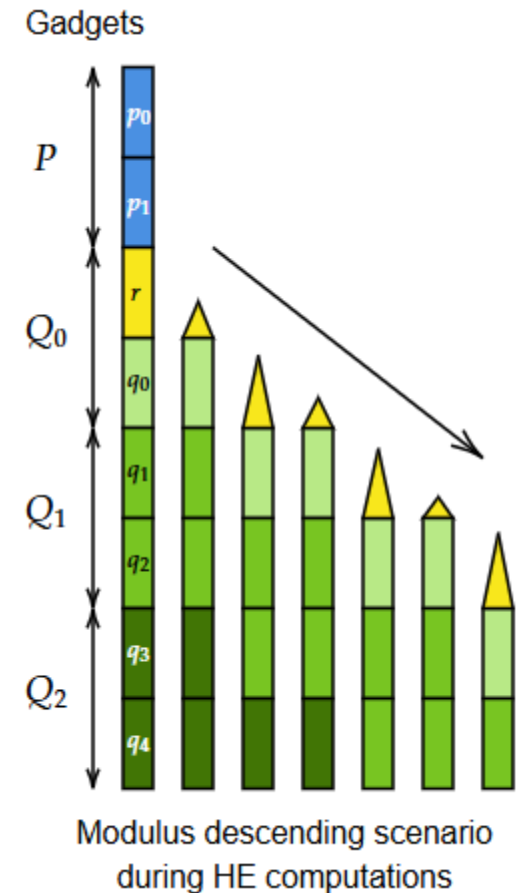
\Rightarrow Universal sprout, within 2 machine words.

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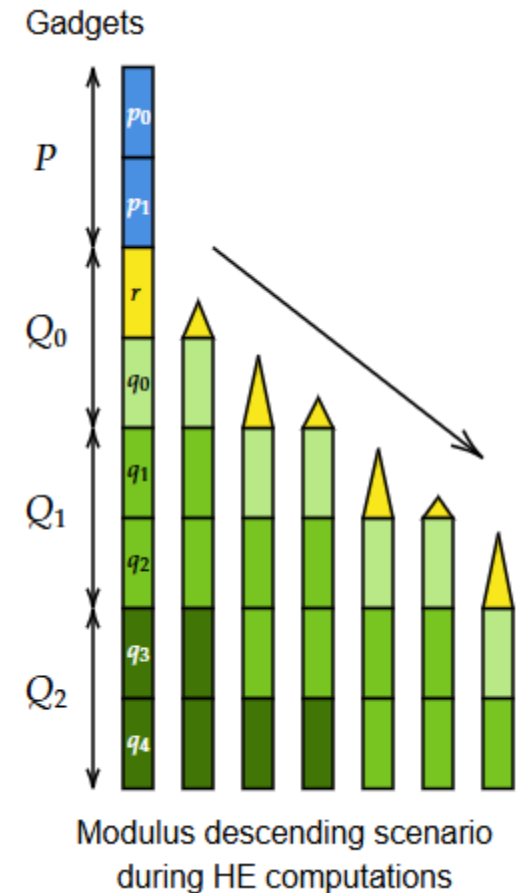
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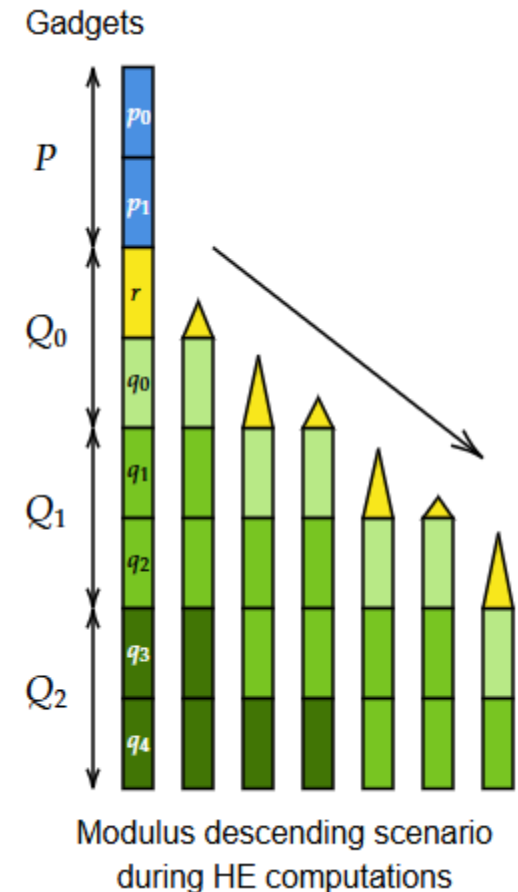
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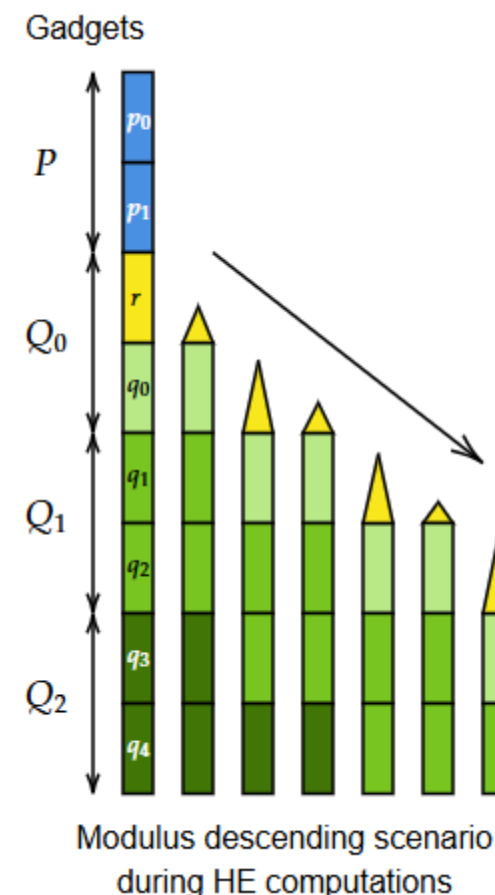
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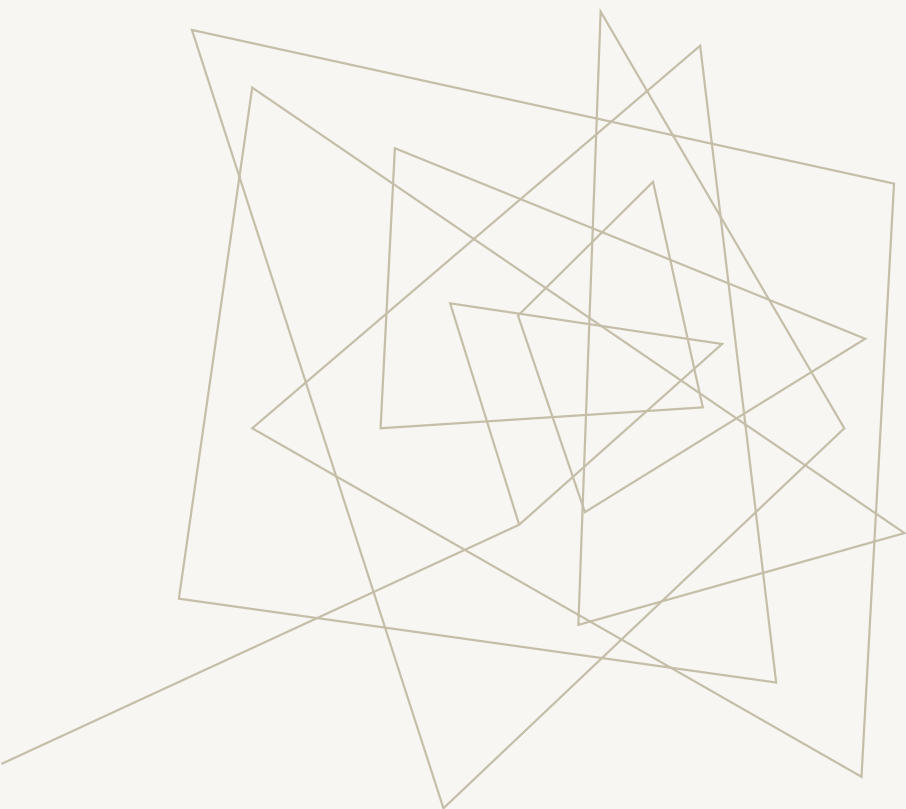
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\Rightarrow We call this process **Modulus Resurrection**





APPLICATION OF GRAFTING & EXPERIMENTAL RESULTS

WHEN APPLIED TO STANDARD CKKS / BIT-CKKS

Parameter	N	log PQ	# factors	Mult (ms)	Boot (s)	Key size (MB)
HEaaN [Cry22]	2^{15}	777	22	102.20	14.5	115.34
		780	13 	57.28 (1.8x)	7.6 (1.9x)	44.04 (62% ↓)
	2^{16}	1555	30	329.38	37.0	157.29
			27 	247.45 (1.3x)	35.5 (1.1x)	146.80 (7% ↓)
Sec. Guide. [BCC+24]	2^{16}	1734	35	360.84	86.5	220.20
			29 	179.87 (2.0x)	71.7 (1.2x)	157.29 (29% ↓)

- Mult up to 2.0x, BTS up to 1.9x, key size reduced by up to 62%.
- Parameter w/ many small scale factors → accelerates well!

[Cry22]

CryptoLab, HEaaN library, 2022.

[BCC+24]

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Bit-CKKS [BCKS24]	2^{14}	424	14	16.1	5.18	47.71
		426	8	16.2 (1.8x)	2.74 (1.9x)	16.52 (65% ↓)
	2^{16}	1598	46	884.8	102.10	144.70
		1522	25	428.1 (2.1x)	56.41 (1.8x)	109.05 (25% ↓)

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- **Δ -Changeability:** Decoupling allows changing Δ freely even during computation!

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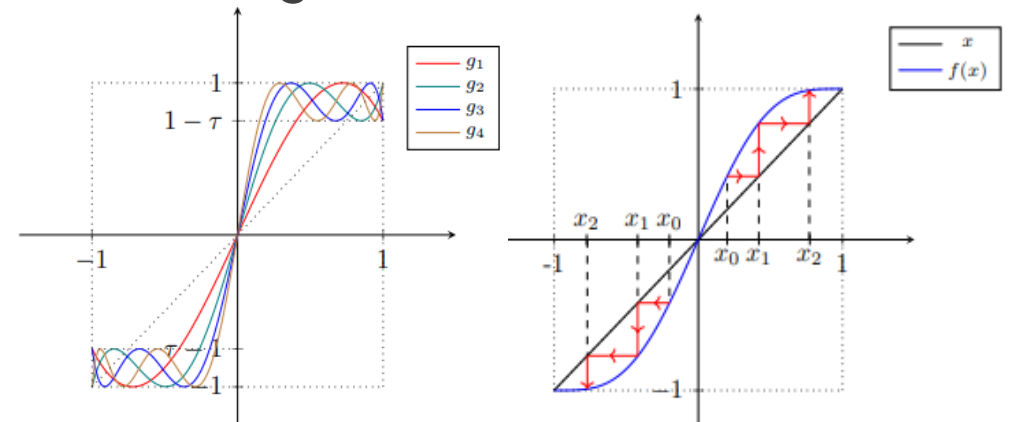
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 - ML training: $\text{FP16} \Leftrightarrow \text{FP32}$
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 - In **Encrypted World?**
 - Grafting now allows changing **precision** by changing Δ

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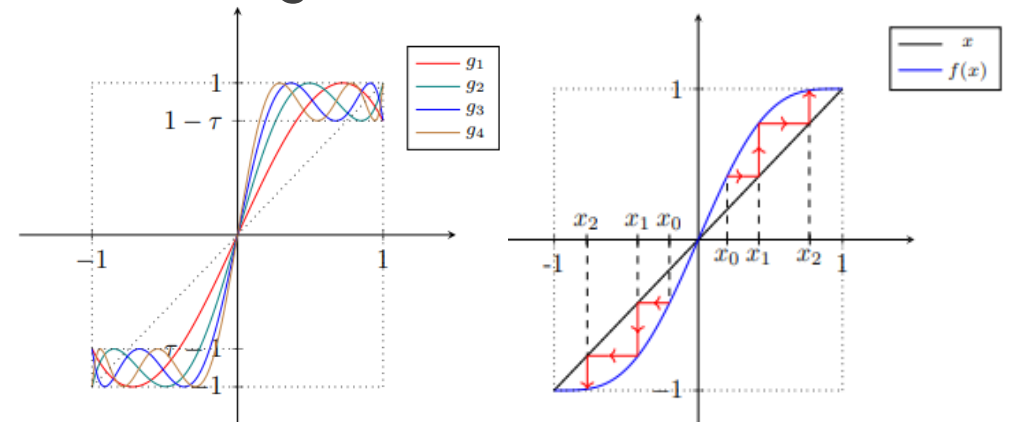
- Homomorphic Comparison [CKK20] use iterative method:
 - Evaluate $f^k \circ g^\ell: I_\epsilon \rightarrow I_{1-2^{-\alpha}}$, iteratively narrowing the interval.
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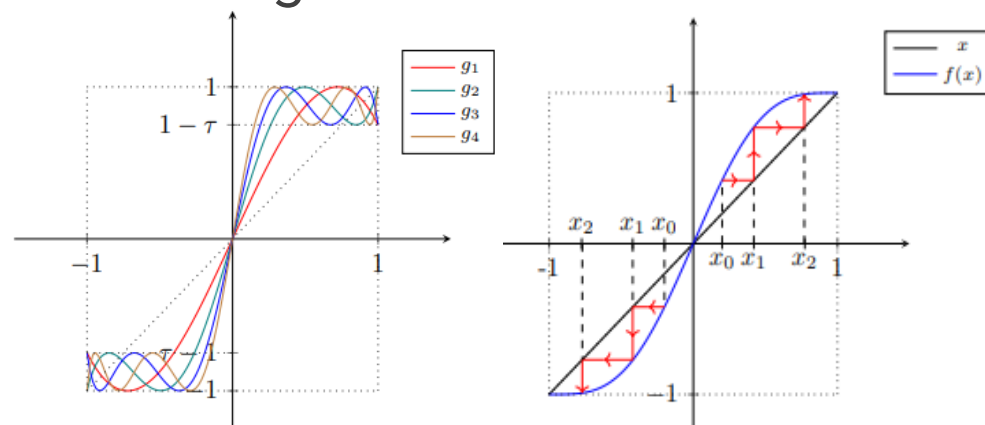
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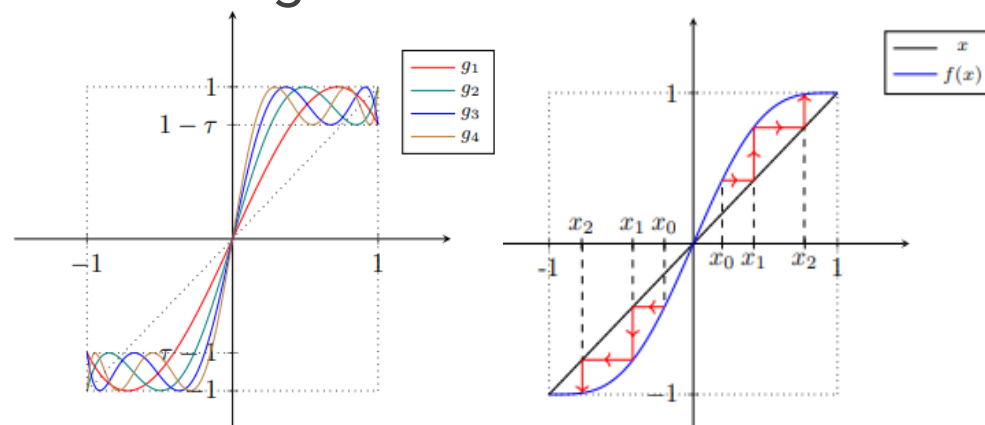
Comparison Function	$f^{(2)} \circ g^{(4)}$	
Methods	Original	Changing Δ (28, 30, 42 bits)
Consumed Modulus (bit)	$(42 \times 3) \times 6 = 756$	$(28 \times 3) \times 4 + (30 \times 3) + (42 \times 3) = 552$ (27% ↓)
Precision (bit)	23.1	23.1

⌘ Bit-precision := $-\log_2|\text{max error}|$ from 100 iterations

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 - $I_\epsilon := [-1, -\epsilon] \cup [\epsilon, 1]$
 - f and g : deg-7 polynomials

➔ We can save modulus by using smaller Δ for early iterations!



Comparison Function	$f^{(2)} \circ g^{(4)}$		$f^{(2)} \circ g^{(8)}$	
Methods	Original	Changing Δ (28, 30, 42 bits)	Original	Changing Δ (31, 42 bits)
Consumed Modulus (bit)	$(42 \times 3) \times 6 = 756$	$(28 \times 3) \times 4 + (30 \times 3) + (42 \times 3) = 552$ (27% ↓)	$(42 \times 3) \times 10 = 1260$	$(31 \times 3) \times 9 + (42 \times 3) = 963$ (24% ↓)
Precision (bit)	23.1	23.1	23.5	23.3

⌘ Bit-precision := $-\log_2|\text{max error}|$ from 100 iterations

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 - Up to 62% reduction in ciphertext/key-switching key **size**.
2. **Flexibility** from decoupling
 - **Scale/precision adjustable** independently of ciphertext modulus.
 - **Modulus saving** for iterative methods, e.g., homomorphic comparison.



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THANK YOU!