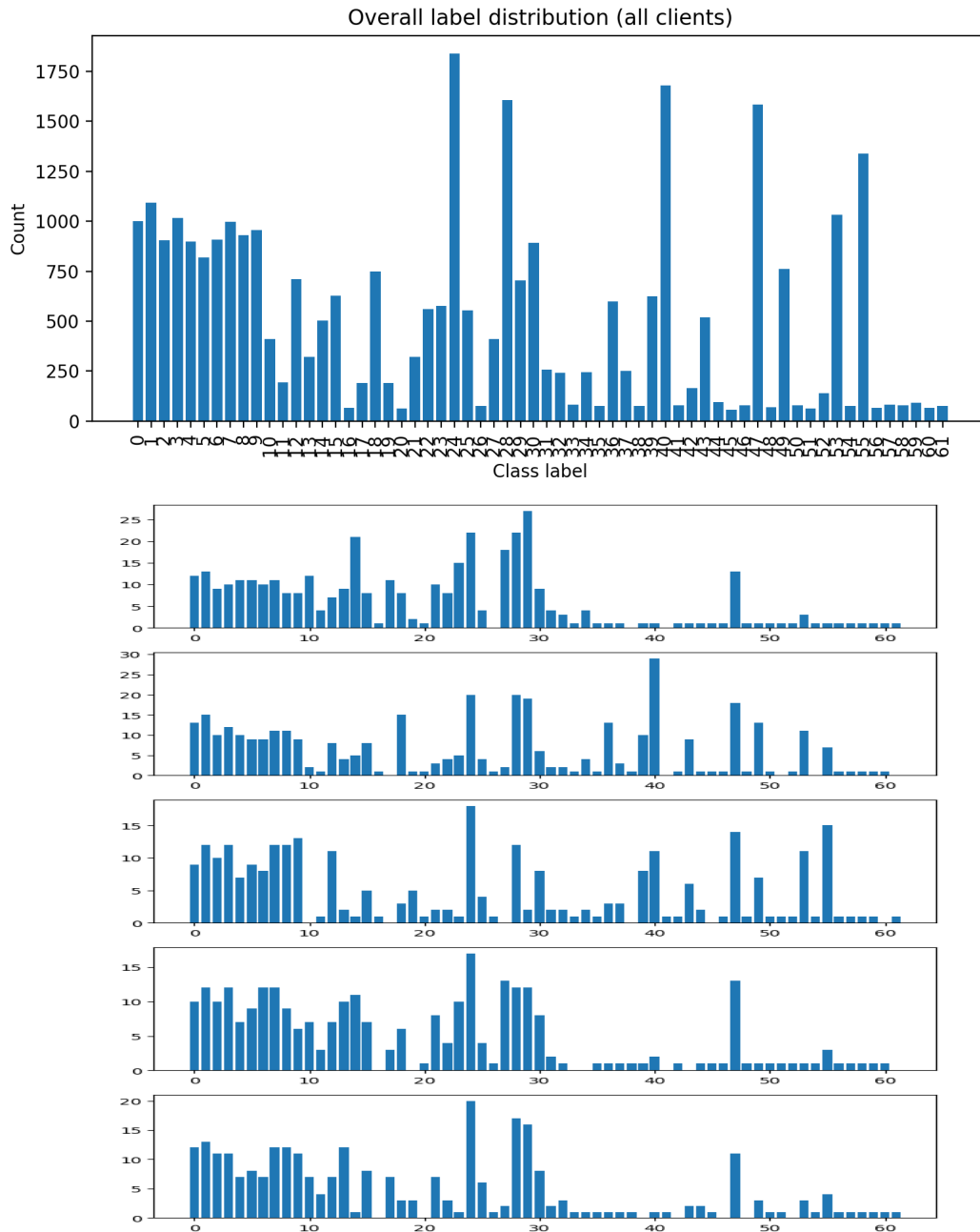


# HW3 Report

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<https://github.com/hmsun0813/IEMS469/hw3>

Part 0 — Data Distribution (The 5 individual distribution generally mimic the overall distribution but with some variance, especially at the right tail.)

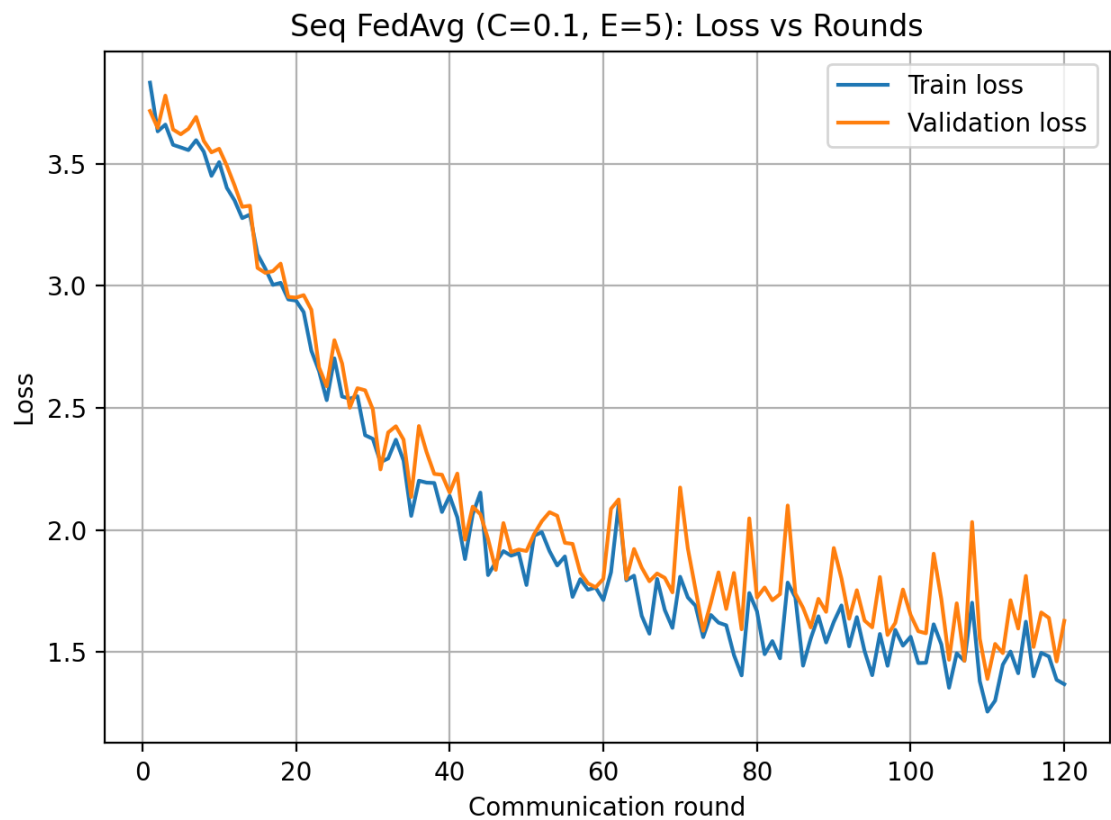
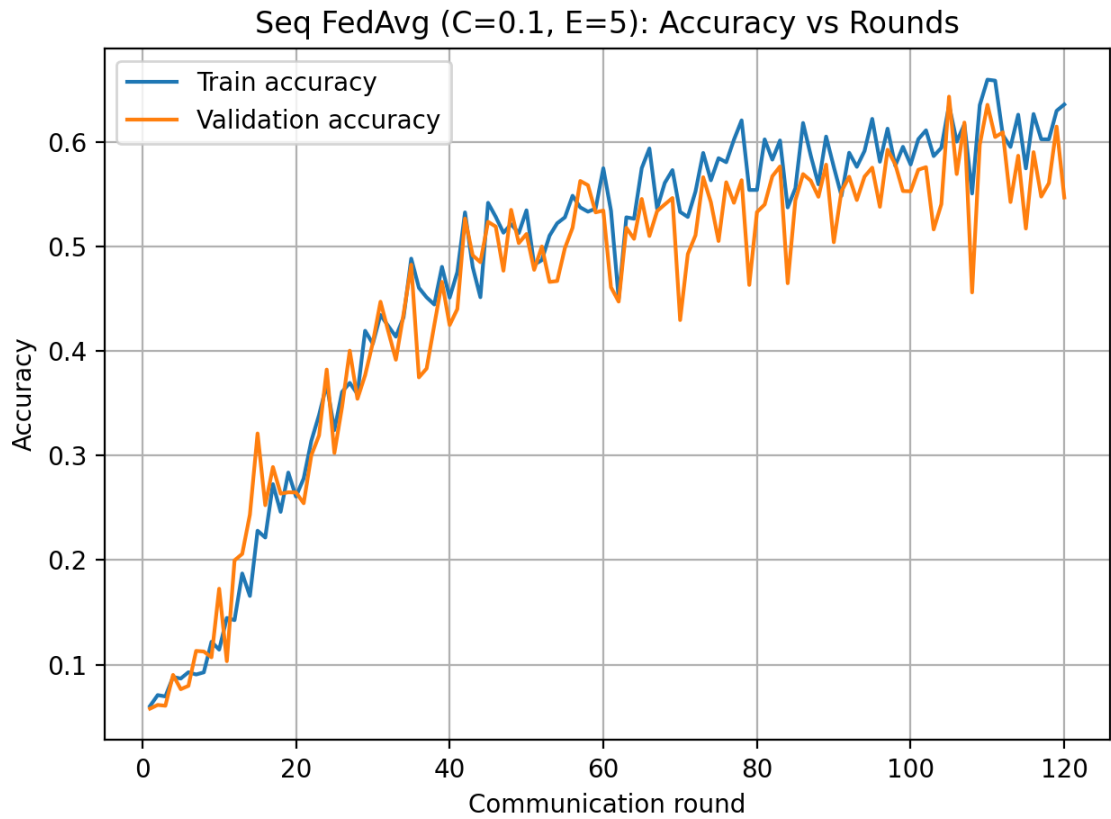


## Part 1 — Sequential

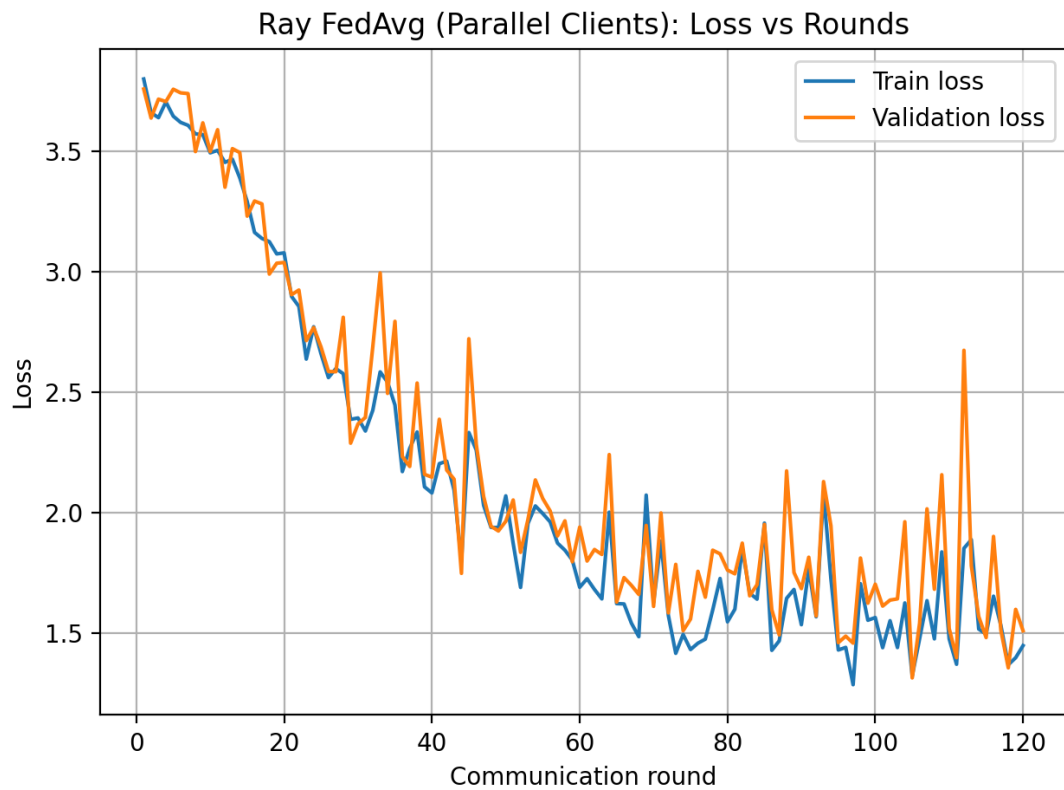
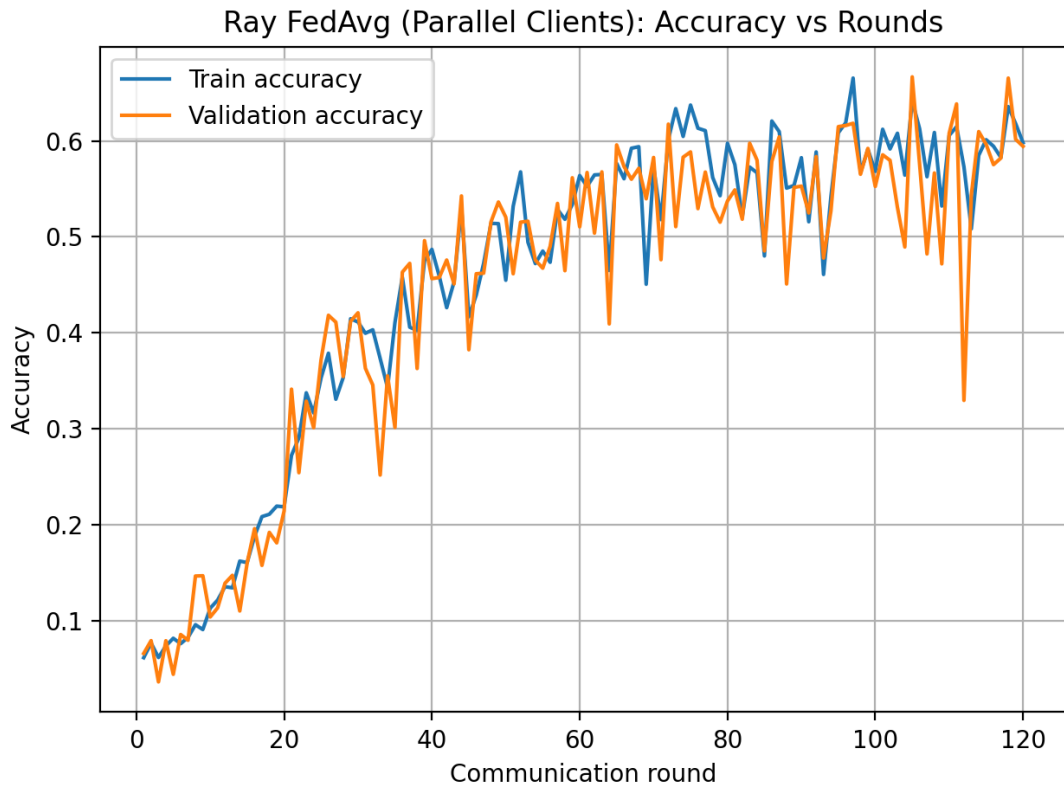
Comparing different C and E (plots for each setting can be found in GitHub repo), it indicates that a larger number of client per round and a larger number of training epoch per client local update effectively increases the accuracy:

C	E	training_acc	validation_acc	test_acc
0.02	1	0.1348	0.1527	0.1839
0.02	2	0.2960	0.2970	0.2853
0.02	3	0.2946	0.2910	0.2764
0.02	5	0.6114	0.7391	0.4985
0.05	1	0.1550	0.1226	0.1149
0.05	2	0.4205	0.4273	0.4140
0.05	3	0.4723	0.4342	0.4872
0.05	5	0.5909	0.5934	0.5449
0.1	1	0.1521	0.1542	0.1627
0.1	2	0.4196	0.3314	0.4198
0.1	3	0.4977	0.4992	0.4954
0.1	5	0.6359	0.5469	0.5846

The best performance (test\_acc=0.5846) is achieved with C=10%, E=5, learning\_rate=0.01, batch\_size=64, rounds=120. Below is the plots for the aggregated training and validation loss and accuracy versus the number of communication rounds.



Part 1 — Parallel Client (test accuracy 0.5447; test loss 1.7998)



## Part 2 — Differential Privacy

The results interestingly show a non-monotonic relationship between Laplace noise scale and model accuracy. Very small noise levels ( $b = 0.01$  and  $b = 0.05$ ) degrade both training and validation accuracy more sharply than expected, likely because light perturbations introduce instability in each client's local updates without providing meaningful regularization. Interestingly, the larger noise scale ( $b = 0.1$ ) performs better than the smaller noise levels and nearly matches the no-noise baseline. This happens because high-magnitude Laplace noise is partly averaged out across clients in FedAvg, reducing its effective impact, while also providing a mild regularization effect that improves generalization.

Considering privacy and utility together,  $b = 0.1$  provides the best trade-off: it injects the strongest privacy-preserving perturbation while still maintaining test accuracy close to the no-noise model. Thus,  $b = 0.1$  is the preferred choice for protecting client data while preserving model quality.

