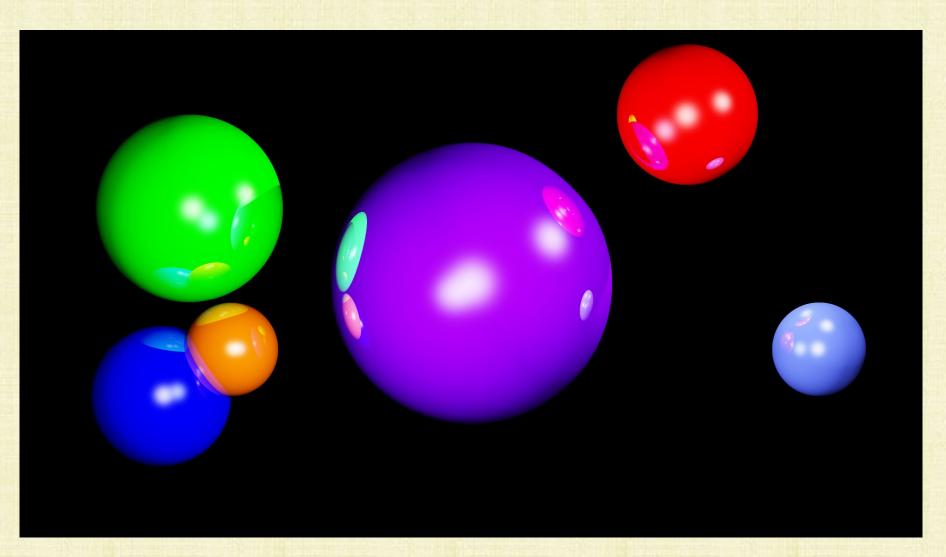
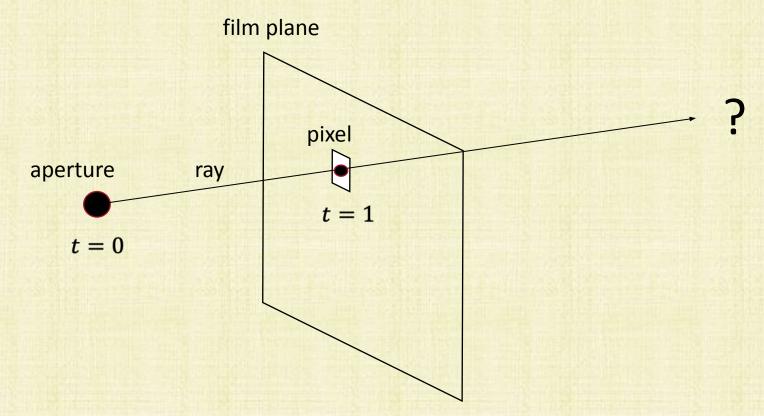
# Ray Tracing



### Constructing Rays

- For each pixel, make a ray and intersect it with objects in the scene
- The first intersection is used to determine a color for the pixel
- The ray is R(t) = A + (P A)t where A is the aperture and P is the pixel location
- The ray is defined by  $t \in [0, \infty)$ , although only  $t \in [1, t_{far}]$  will be inside the viewing frustum
- We only care about the first intersection with  $t \ge 1$



### Parallelization

- •Ray tracing is a per pixel operation (scanline rendering is a per triangle operation)
- •Ray tracing is inherently parallel, since the ray for each pixel is independent of the rays for other pixels
- •Can utilize modern parallel CPUs/Clusters/GPUs to significantly accelerate a ray tracer
  - Threading (e.g., Pthread, OpenMP) distributes rays across CPU cores
  - Message Passing Interface (MPI) distributes rays across CPUs on different machines (unshared memory)
  - OptiX/CUDA distributes rays on the GPU
- Memory coherency helps when distributing rays to various threads/processors
  - Assign spatially neighboring rays (passing through neighboring pixels) to the same core/processor
  - These rays tend to intersect with the same objects in the scene, and thus tend to access the same memory

• For the sake of comparison, scanline rendering is a per triangle operation, and is parallelized to handle one triangle at a time (usually on a GPU)

### Ray-Triangle Intersection

- •Given the enormous number of triangles, many approaches have been implemented and tested in various software/hardware settings:
- •Triangles are contained in planes, so it is often useful to look at Ray-Plane intersections first
- •A Ray-Plane intersection yields a point, and a subsequent test determines whether that point is inside the triangle (or not)
  - Both the triangle and the point can be projected into 2D, and the 2D triangle rasterization test (to the left of all 3 rays, discussed last week) can be used to determine "inside"
    - The projection can be done into the xy, xz, yz plane by merely dropping the z, y, x coordinate (respectively) from the triangle vertices and the point
    - The most robust coordinate to drop is the one with the largest component in the triangle's normal (so that the projected triangle has maximal area)
  - Alternatively, there is a fully 3D version of the 2D rasterization
- One can skip the Ray-Plane intersection and consider the Ray-Triangle intersection directly
  - Thematically, this approach is similar to how ray tracing works for non-triangle geometry (ray tracers handle non-triangle geometry better than scanline rendering does)

### Ray-Plane Intersection

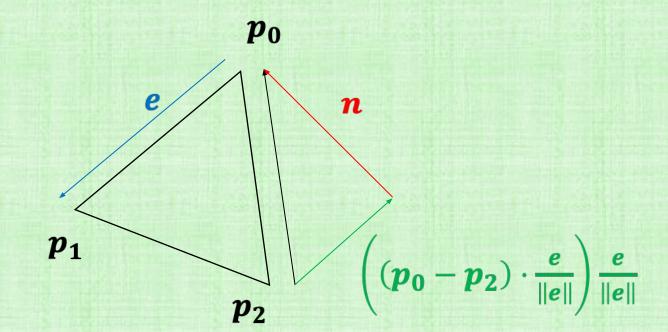
- ullet A plane is defined by a point  $p_o$  (on it) and a normal direction N
- A point p is on the plane if  $(p p_o) \cdot N = 0$
- A ray R(t) = A + (P A)t intersects the plane when  $(R(t) p_o) \cdot N = 0$  for some  $t \ge 0$
- That is,  $(A + (P A)t p_o) \cdot N = 0$  or  $(A p_o) \cdot N + (P A) \cdot Nt = 0$
- So,  $t = \frac{(p_o A) \cdot N}{(P A) \cdot N}$
- Note: The length of N cancels (so it need not be unit length)
- As always, if  $t \notin [1, t_{far}]$  or there is another intersection with a smaller t value, then this intersection is ignored
- Note: the (non-unit length) triangle normal can be computed by taking the cross product of any two edges (as long as the triangle does not have zero area)
- Note: Any triangle vertex can be used as a point on the plane

# 3D Point Inside a 3D Triangle

- Given  $t_{int} = \frac{(p_o A) \cdot N}{(P A) \cdot N}$ , evaluate  $R(t_{int}) = R_o$  to find the intersection point
- Then, given a directed edge of the triangle  $e = p_1 p_0$ , compute a normal to that edge (in

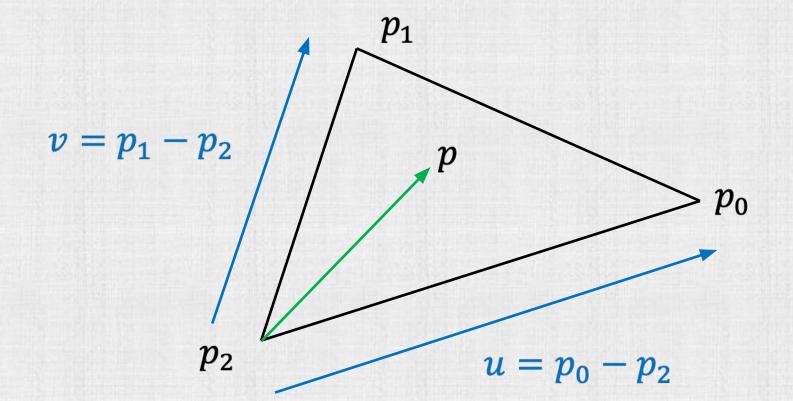
the plane of the triangle) via 
$$n=(p_0-p_2)-\left((p_0-p_2)\cdot\frac{e}{\|e\|}\right)\frac{e}{\|e\|}$$

- As usual,  $R_o$  is interior to ray e when  $(R_o p_0) \cdot n < 0$
- As usual, if  $R_o$  is interior to all three edges, it is interior to the triangle



### Recall: Triangle Basis Vectors

- Compute edge vectors  $u = p_0 p_2$  and  $v = p_1 p_2$
- Any point p interior to the triangle can be written as  $p=p_2+\beta_1 u+\beta_2 v$  with  $\beta_1,\beta_2\in[0,1]$  and  $\beta_1+\beta_2\leq 1$
- Substitutions and collecting terms gives  $p=\beta_1p_0+\beta_2p_1+(1-\beta_1-\beta_2)p_2$  implying the equivalence:  $\alpha_0=\beta_1,\ \alpha_1=\beta_2$ ,  $\alpha_2=1-\beta_1-\beta_2$



# Direct Ray-Triangle Intersection

- (Recall) Triangle Basis Vectors:  $p = p_2 + \beta_1 u + \beta_2 v$  with  $\beta_1, \beta_2 \in [0,1]$  and  $\beta_1 + \beta_2 \leq 1$
- Points on the ray have R(t) = A + (P A)t
- So an intersection point has  $A + (P A)t = p_2 + \beta_1 u + \beta_2 v$

• Or 
$$(u \ v \ A-P) inom{\beta_1}{\beta_2} = A-p_2$$
 where  $(u \ v \ A-P)$  is a 3x3 matrix and  $A-p_2$  is a 3x1

vector (3 equations with 3 unknowns)

- This 3x3 system is degenerate when the columns of the 3x3 matrix are not full rank
- This happens when the triangle has zero area or the ray direction, P-A, is perpendicular to the plane's normal
- Otherwise, there is a unique solution
- $R(t_{int})$  is inside the triangle, when the unique solution has:  $\beta_1, \beta_2 \in [0,1]$  and  $\beta_1 + \beta_2 \leq 1$
- As always, if  $t \notin [1, t_{far}]$  or there is another intersection with a smaller t value, then this intersection is ignored

### Solving with Cramer's Rule

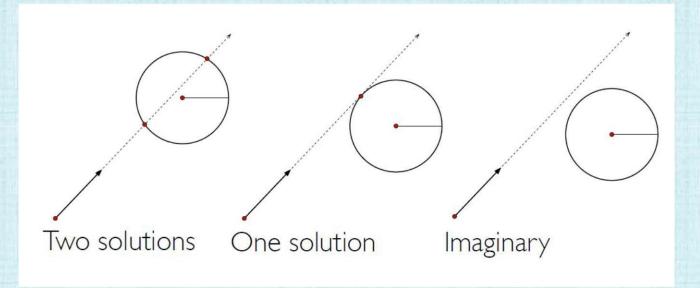
- Solving the 3x3 system with Cramer's Rule allows for code optimization:
- First compute the determinant of the 3x3 coefficient matrix  $\Delta = |(u \quad v \quad A P)|$ , which is nonzero when a solution exists
- Then compute  $t=\frac{\Delta_t}{\Delta}$  where the numerator is the determinant:  $\Delta_t=|(u \ v \ A-p_0)|$
- When  $t \notin [1, t_{far}]$  or there is an earlier intersection, one can quit early (ignoring this intersection)
- Compute  $\beta_1 = \frac{\Delta_{\beta_1}}{\Delta}$  where  $\Delta_{\beta_1} = |(A p_0 \quad v \quad A P)|$
- When  $\beta_1 \notin [0,1]$  one can quit early
- Compute  $\beta_2 = \frac{\Delta_{\beta_2}}{\Delta}$  where  $\Delta_{\beta_2} = |(u \quad A p_0 \quad A P)|$
- When  $\beta_2 \in [0,1-\beta_1]$  the intersection is marked as true

### Ray-Object Intersections

- As long as a ray-geometry intersection routine can be written, ray tracing can be applied to any representation of geometry
- This is in contrast to scanline rendering where objects need to be turned into triangles
- In addition to triangle meshes, ray tracers may use: analytic descriptions of geometry, implicitly defined surfaces, parametric surfaces, etc.
- The surfaces of many objects can be written as functions
- E.g., f(p) = 0 if and only if p is on the surface (e.g. the equation for a plane)
- Sometimes there are additional constraints (such as on the barycentric weights for triangles)
- One broad/useful class of such objects are <u>implicit surfaces</u> (covered later in the class)
- The ray-object intersection routines often proceed down a similar path:
- substitute the ray equation in for the point, i.e. f(R(t)) = 0
- solve for t
- check the solution against any additional constraints

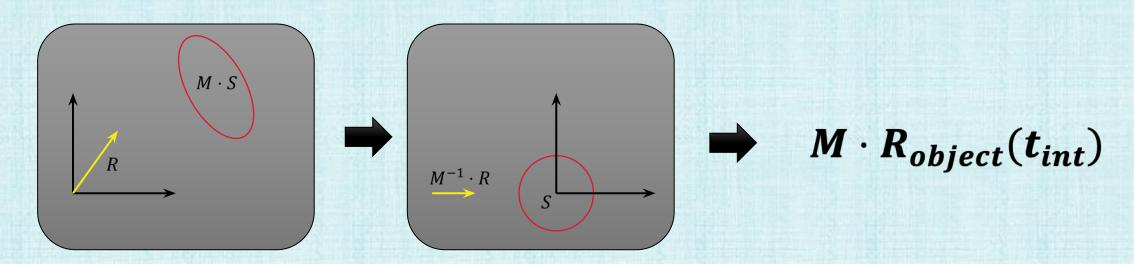
### Ray-Sphere Intersections

- A point p is on a sphere with center C and radius r when  $||p C||_2 = r$
- Or (squaring both sides), when  $(p C) \cdot (p C) = r^2$
- Substitute R(t) = A + (P A)t in for p to get a quadratic equation in t:  $(P A) \cdot (P A)t^2 + 2(P A) \cdot (A C)t + (A C) \cdot (A C) r^2 = 0$
- When the discriminant of this quadratic equation is positive, there are two solutions (choose the one the ray hits first)
- When the discriminant is zero, there is one solution (the ray tangentially grazes the sphere)
- When the discriminant is negative, there are no solutions



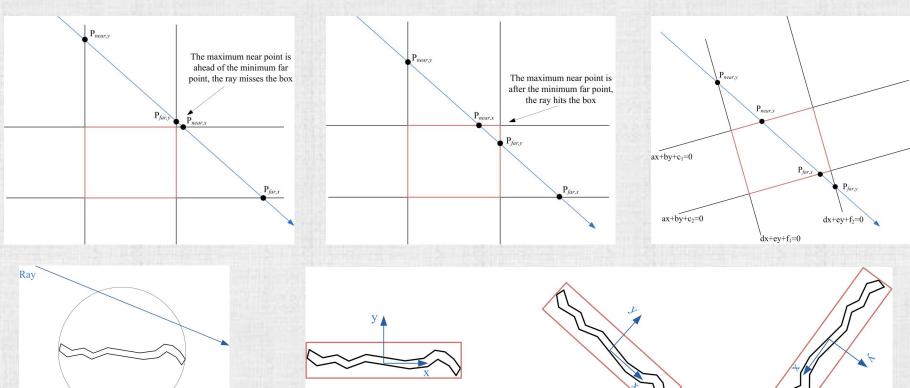
# **Transformed Objects**

- •Geometry is often stored/represented in a convenient object space
- •The object space can make the geometry simpler to deal with
  - E.g., spheres can be centered at the origin, objects are not sheared, coordinates may be non-dimensionalized for numerical robustness, there may be (auxiliary) geometric acceleration structures, more convenient color and texture information, etc.
- •We often prefer to ray trace in this convenient object space, rather than world space
- •<u>Transform the ray into object space</u> and find the ray-object intersection, then transform the relevant information back to world space



- •Ray-Object intersections can be expensive
- •So, put complex objects inside simpler objects, and first test for intersections against the simpler object (potentially skipping tests against the complex object)
- •Simple <u>bounding volumes</u>: spheres, axis-aligned bounding boxes (AABB), or oriented bounding boxes (OBB)



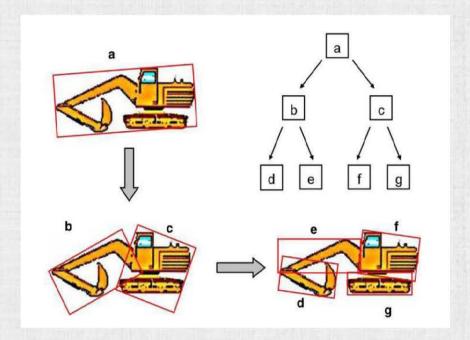


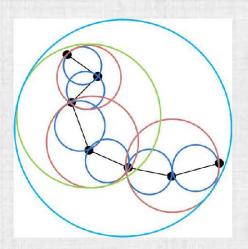
For complex objects, build a <u>hierarchical tree structure</u> in <u>object space</u>

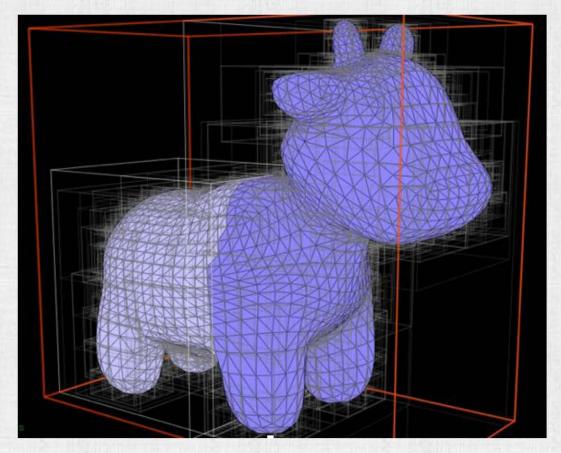
• The lowest levels of the tree contain the primitives used for intersections (and have simple geometry bounding them); then, these are combined hierarchically into a  $\log n$  height tree

Starting at the top of a Bounding Volume Hierarchy (BVH), one can prune out many

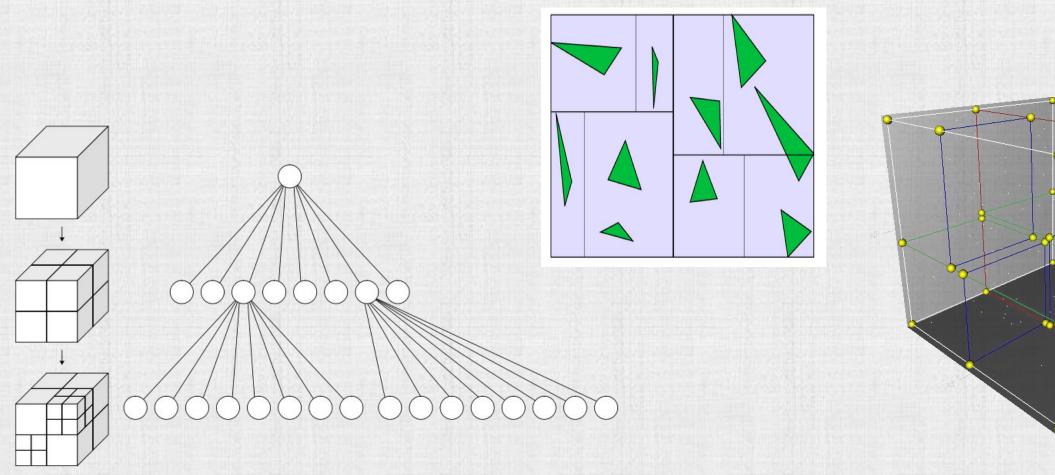
nonessential (missed) ray-object collision checks

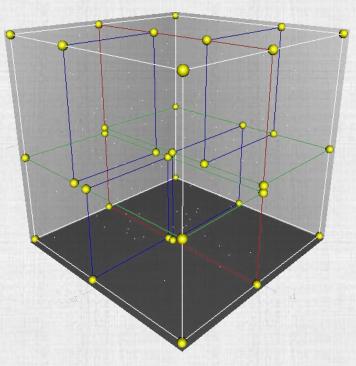






•Instead of a bottom-up bounding volume hierarchy approach, <u>octrees</u> and <u>K-D trees</u> take a top-down approach to hierarchically partitioning objects (and space)



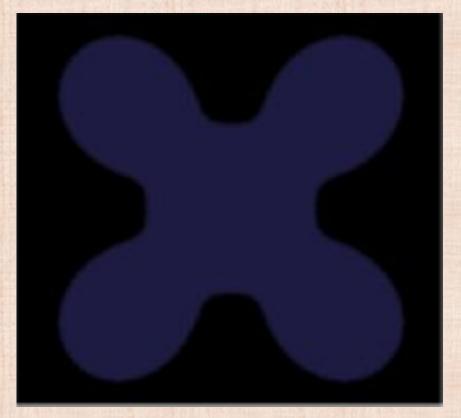


#### Normals

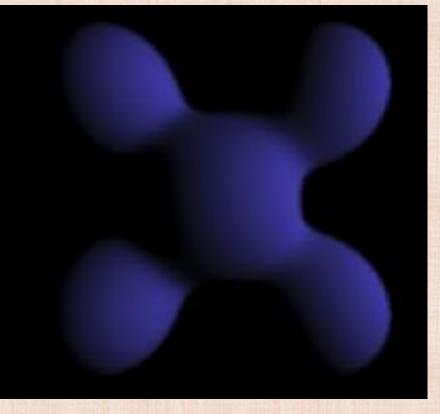
- We need to model how the intersected geometry interacts with incoming light
- Objects tilted towards the light are bombarded with more photons than those tilted away from the light
- The surface normal at the point  $R(t_{int})$  can be used to approximate a plane (locally) tangent to the surface
- Compare the (unit) incoming light direction  $\hat{L}$  with the local (unit) normal  $\hat{N}$  to approximate the titling angle via:  $-\hat{L}\cdot\hat{N}=\cos\theta$
- Incoming light with intensity I is scaled down to I  $max(0, cos \theta)$ 
  - · the max with 0 prunes triangles facing away from the light
- If  $(k_R, k_G, k_B)$  is the RGB color of a triangle  $(k_R, k_G, k_B \in [0,1]$  are reflection coefficients), then the pixel color is  $(k_R, k_G, k_B) I \max(0, \cos \theta)$

### Ambient vs. Diffuse Shading

- Ambient shading colors a pixel when its ray intersects the object
- •<u>Diffuse shading</u> attenuates object color based on how far the local unit normal is tilted away from the light source (note how your eyes/brain work backwards and imagine a 3D shape)



**Ambient** 



Diffuse

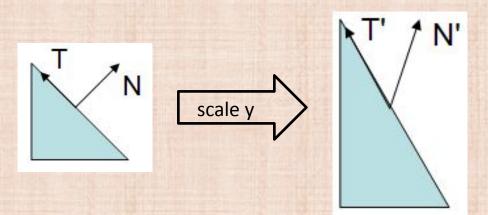
### Computing Unit Normals

- The unit normal to a plane is used in the plane's definition, and is thus readily accessible
  - although it might need to be normalized to unit length
- The unit normal to a triangle can be computed by normalizing the cross product of two edges
- Be careful with the ordering in the cross product to make sure the normal points outwards from the object (as opposed to inwards)
- For more general objects, one needs to provide a function that returns an (outward) unit normal for the point of intersection
- E.g., a sphere with intersection point  $R(t_{int})$ , has an (outward) unit normal of:

$$\widehat{N} = \frac{R(t_{int}) - C}{\|R(t_{int}) - C\|_2}$$

# Transformed Objects

- When ray tracing geometry in object space, the object space normal needs to be transformed back into world space along with the intersection point
- Let u and v be edge vectors of a triangle in object space, and Mu and Mv be their corresponding world space versions
- The object space normal  $\widehat{N}$  is transformed to world space via  $M^{-T}\widehat{N}$
- Note:  $Mu \cdot M^{-T}\widehat{N} = (Mu)^T M^{-T}\widehat{N} = u^T M^T M^{-T}\widehat{N} = u^T \widehat{N} = u \cdot \widehat{N} = 0$ , and  $Mv \cdot M^{-T}\widehat{N} = 0$
- Note:  $M^{-T}\widehat{N}$  needs to be normalized to make it unit length
- Careful, DO NOT USE  $M\widehat{N}$  as the world space normal:



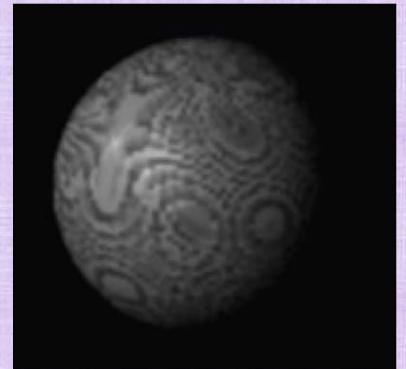
N' is not the normal

### **Shadows**

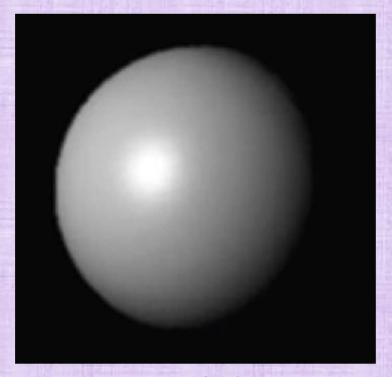
- The incoming light intensity I needs to be reduced, when photons are blocked by other objects or parts of the same object
- Shadow rays determine if photons from a light can directly hit a point under examination
- A shadow ray is cast from the intersection point  $R(t_{int})$  in the direction of the light  $-\hat{L}$ :  $S(t) = R(t_{int}) \hat{L}t$  with  $t \in (0, t_{light})$
- If no intersections are found for  $0 < t < t_{light}$ , then the light source is unobscured
- Otherwise, the point is shadowed (by whatever intersected the shadow ray), and photons
  from the light source are not used to color the pixel
- Note: every light source in the scene is checked with a separate shadow ray
- Note: one often includes low intensity ambient shading for points in complete shadow, so that they are not completely black

### Spurious Self-Occlusion

- Note: t = 0 is not included in  $t \in (0, t_{light})$  in order to avoid incorrectly computing an intersection with the same object near  $R(t_{int})$
- This can happen because of issues with numerical precision
- Note: shadow rays cannot simply ignore the object in question (when aiming to avoid spurious self-intersection) because that prevents objects from correctly self-shadowing



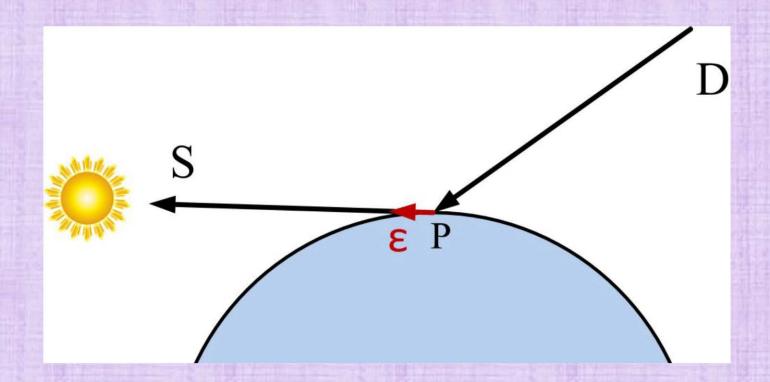
incorrect self-shadowing



correct shading

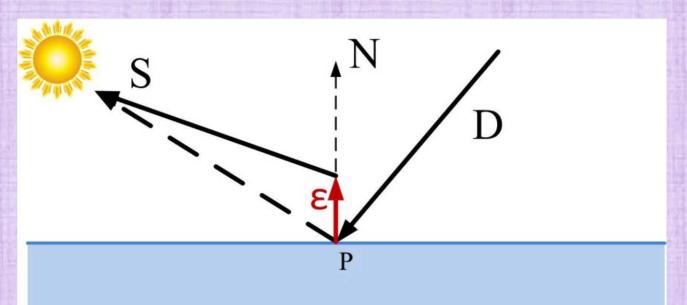
### Spurious Self-Occlusion

- A simple solution is to use  $t \in (\epsilon, t_{light})$  for some  $\epsilon > 0$  large enough to guarantee that the ray does not incorrectly re-intersect the same object
- This works well for many cases
- However, grazing shadow rays (near an object's silhouette) may still incorrectly re-intersect the object

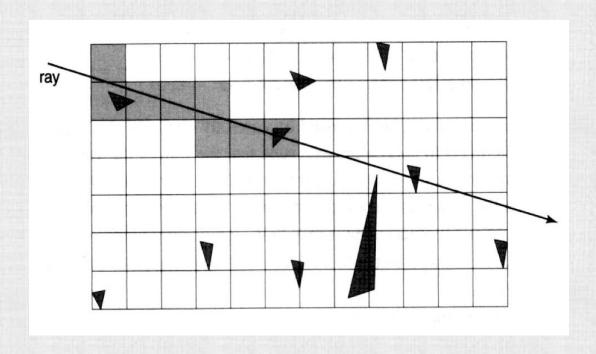


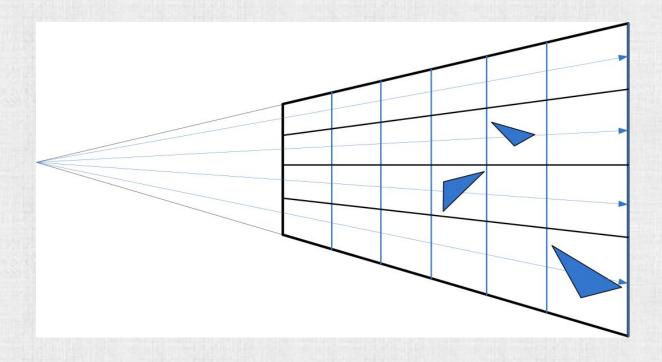
# Spurious Self-Occlusion

- Another option is to perturb the starting point of the shadow ray to be slightly away from the object (typically in the normal direction), e.g. from  $R(t_{int})$  to  $R(t_{int}) + \epsilon \hat{N}$
- The light direction also needs to be modified, to go from the light to  $R(t_{int}) + \epsilon \hat{N}$
- The new shadow ray is  $S(t) = (R(t_{int}) + \epsilon \hat{N}) \hat{L}_{mod}t$  where  $t \in [0, t_{light})$
- This works well, but one needs to take care that the new starting point  $R(t_{int})+\epsilon \widehat{N}$  does not fall inside (or too close to) any nearby geometry



- •When there are many objects in the scene, checking rays against all of their top level simple bounding volumes can become expensive
- •Thus, world space bounding volume hierarchies, octrees, and K-D trees are used
- •Also useful (but flat instead of hierarchical) are <u>uniform spatial partitions</u> (uniform grids) and <u>viewing frustum partitions</u>





•There are many variants: <u>rectilinear grids</u> with movable lines, hierarchies of uniform grids, and a structure proposed by [Losasso et al. 2006] that allows <u>octrees to be allocated inside the cells of a uniform spatial partition</u>

