

Lecture 4: May 8th, 2017

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4.1 Generating Series

General Counting Problem :

Suppose S is a set, and for each element $\sigma \in S$ has associated a non-negative weight $w(\sigma)$. Given $k \in \langle 0, 1, 2, \dots \rangle$, how many elements in S have weight k ?

Example 4.1 General Counting problem with $S = \text{all subsets of } \langle 1, 2, 3 \rangle$.

$$w(\sigma) = |\sigma|$$

$$S = \langle \emptyset, \{1\}, \{2\}, \{3\}, \{2, 1\}, \{2, 3\}, \{1, 2, 3\} \rangle$$

k	Number of σ with $w(\sigma) = k$
0	1
1	3
2	3
3	1

Instead of using a table we encode this information as a coefficients of a polynomial

Definition 4.2 Let S be a set, suppose each $\sigma \in S$ has a non-negative weight $w(\sigma)$. The generating series for S with respect to w is defined as :

$$\phi_S(x) = \sum_{\sigma \in S} x^{w(\sigma)}$$

Equivalently, this is equal to

$$\phi_S(x) = \sum_{n \geq 0} a_n x^n$$

where a_n denotes the number of elements in S that have weight n

Problem 4.3 Find the generating series of the previous example

σ	$w(\sigma)$	$x^{w(\sigma)}$
\emptyset	0	x^0
$\{1\}$	1	x^1
$\{2\}$	1	x^1
$\{3\}$	1	x^1
$\{1, 2\}$	2	x^2
$\{1, 3\}$	2	x^2
$\{2, 3\}$	2	x^2
$\{1, 2, 3\}$	3	x^3

$$\phi_S(x) = x^0 + 3x^1 + 3x^2 + x^3$$

In general, if S is the set of all subsets of $\langle 1, \dots, n \rangle$, $w(\sigma) = |\sigma|$ then,

$$\phi_S(x) = \underbrace{\binom{n}{0}}_1 x^0 + \underbrace{\binom{n}{1}}_1 x^1 + \dots + \underbrace{\binom{n}{n}}_1 x^n = (1+x)^n$$

Theorem 4.4 Let S be a finite set with a weight function w .

$$(a) \phi_S(1) = |S|$$

$$(b) \phi'_S(1) = \text{sum of all weights} = \sum_{\sigma \in S} w(\sigma)$$

$$(c) \frac{\phi'_S(1)}{\phi_S(1)} = \text{average weight} = \frac{1}{|S|} \sum_{\sigma \in S} w(\sigma)$$

Example 4.5 $S = \text{all subsets of } \langle 1, \dots, n \rangle$

$$w(\sigma) = |\sigma|$$

$$\phi_S(x) = (1+x)^n$$

$$\phi_S(1) = (1+1)^n = 2^n$$

$$\phi'_S = ((1+x)^n)' = n(1+x)^{n-1}$$

$$\phi'_S = n \cdot (1+1)^{n-1} = n \cdot 2^{n-1} = \text{sum of all weights} \quad \text{average} = \frac{n \cdot 2^{n-1}}{2^n} = \frac{n}{2}$$

Proof:

(a)

$$\phi_S(1) = \sum_{\sigma \in S} (1)^{w(\sigma)} = \sum_{\sigma \in S} 1 = |S|$$

(b)

$$\phi'_S(x) = \left(\sum_{\sigma \in S} x^{w(\sigma)} \right)' = \sum_{\sigma \in S} w(\sigma) \cdot x^{w(\sigma)-1}$$

$$\phi'_S(1) = \sum_{\sigma \in S} w(\sigma) 1^{w(\sigma)-1} = \sum_{\sigma \in S} w(\sigma)$$

(c) Follows from (a) and (b)

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4.2 Formal Power Series

Definition 4.6 Given a sequence a_0, a_1, a_2, \dots of rational numbers (i.e. $\frac{2}{3}, 4$, and not π)

$$A(x) = a_0 + a_1x + a_2x^2 + \dots = \sum_{n \geq 0} a_n x^n = \sum_{n=0} a_n X^n$$

Example 4.7

$$(1, 1, \dots) \rightarrow P(x) = 1 + x + x^2 + x^3 + \dots = \sum_{n=0}^{\infty} x^n$$

$$(0, 1, 2, 3, \dots) \rightarrow Q(x) = 0 + x + 2x^2 + 3x^3 + \dots = \sum_{n \geq 0} nx^n$$

$$P(x) + Q(x) = (1 + 0) + (1 + 1)x + (1 + 2)x^2 + \dots$$