## 0.1 Success Criteria

The success criteria laid out in the project proposal have all been satisfied:

- Translate simple Haskell programs into executable Java bytecode.
- Reject ill-formed programs due to syntactic or type errors.
- Perform simple optimisations during translation.
- Perform evaluation using non-strict semantics.

Beyond these base requirements, a number of the extensions implementing language features have been completed successfully.

# 0.2 Language Features

The planned subset of Haskell encompassed functions, arithmetic, booleans, lists, simple typeclasses, and laziness. The following program is compilable using my compiler (it is even included as a test), and demonstrates various use-cases of all of these features:

```
-- foldl :: (b -> a -> b) -> b -> [a] -> b
   foldl _ e [] = e
   foldl f e (x:xs) = foldl f (f e x) xs
3
    -- sum :: Num a => [a] -> a
   sum = foldl (+) 0
6
    -- take :: Int -> [a] -> [a]
   take 0 _ = []
9
   take _ [] = undefined
10
   take n (x:xs) = x:take (n-1) xs
11
12
    -- ones :: Num a => [a]
13
   ones = 1:ones
14
15
    -- valid :: Bool
16
   valid = sum (take 10 ones :: [Int]) == 10
17
```

Successfully implemented extensions include support for user-defined datatypes, user-defined typeclasses and instances, monads, and some syntactic features like operator sections and support for point-free notation: these can be demonstrated by the following program, which exemplifies the monad definition and use-case:

```
Nothing >>= f = Nothing
8
        (Just x) >>= f = f x
9
        return = Just
10
    instance Monad [] where
11
        [] >>= f = []
12
        (x:xs) >>= f = (f x) ++ (f >>= xs)
13
        return x = [x]
14
15
    -- The monad instance for maybe can be interpreted as function application
16
    -- with support for chaining failure
17
   divide x y = if y == 0 then Nothing else Just (x / y)
18
   x = divide 4 0 >>= divide 20 -- Evaluates to Nothing
19
20
    -- The monad instance for lists can be interpreted as performing
21
    -- non-deterministic computation: each step can have multiple results
22
    countdown 0 = []
23
   countdown n = n:countdown (n - 1)
24
   onlyEven x = if even x then [x] else []
25
   y = [1,2,3] >>= countdown -- Evaluates to [1,2,1,3,2,1]
26
   z = y >>= onlyEven -- Evaluates to [2,2]
27
```

Each simple feature name necessarily glosses over many smaller constituent features necessary for use. For example, the 'lists' feature allows for lists to be created using either the plain constructor syntax (1:(2:(3:[])) or syntactic sugar for lists ([1,2,3]), and matched using patterns (eg. [x,y] = [1,2]). However, there is no support for list comprehensions (eg. [f x | x < [1,2,3]], even x]) as they were not a high priority feature.

#### 0.2.1 Correctness

Correctness of the various stages of the compiler has been empirically tested using a large set of unit, integration, and regression tests: these include tests of complete programs, such as those used for benchmarking.

At the time of writing, there are 245 tests. These are run both on my development machine (described in Section 0.3.1), and on machines provided by Travis CI<sup>1</sup> whenever a commit is pushed to my development GitHub repository. This ensures the compiler works in a clean, reproducible environment and not just on my development system.

Bugs found and fixed during development have at least one associated regression test to ensure that they cannot reappear.

As the compiler is developed using Haskell, some forms of compiler bugs that could affect the correctness of translation have been mitigated: type errors and bugs due to mutable state cannot exist within the compiler.

https://travis-ci.org/hnefatl/dissertation-project

## 0.3 Performance

Although performance was not an important aspect of the success criteria, it is still interesting to evaluate the effectiveness of optimisations on the output program, and the performance of the compiler and its output compared to other Haskell to JVB compilers.

The two compilers used for comparisons are Eta and Frege. Eta is a fork of GHC that replaces the backend with one targeting JVB: it can take advantage of the powerful optimisations already available in the front- and middle-end of GHC, which is the world-leading Haskell compiler, so I expected it to perform better than both my compiler and Frege. Frege is a from-scratch compiler that compiles to Java instead of JVB, then uses a Java compiler to produce JVB. I expect Frege to perform better than my compiler, given the maturity of the project (under development since at least 2011).

Both Eta and Frege enable optimisations by default, so all metrics given for them have optimisations enabled. Metrics given for my compiler are labelled to indicate whether or not optimisations have been applied.

#### 0.3.1 Test Environment

Benchmarks were measured as the only active process on my development machine: a ThinkPad 13 running Debian 9 with 8GB RAM and an Intel Core i5-7200U CPU (2.5GHz).

# 0.3.2 Execution Speed

Figure 1 demonstrates the runtime performance of the benchmark programs after compilation by the different compilers. It is evident that the performance of programs compiled by my compiler is significantly lower than those from Frege or Eta, but also that applying optimisations can produce a reasonable speedup.

Interestingly Frege produced more performant programs than Eta, which wasn't expected, given that Eta leverages the work done on the GHC compiler.

The inefficiency of programs output by HJC seems to stem primarily from my implementation of runtime closures (described in Section ??). Figure 2 shows that almost 40% of a program's execution is spent in the functions associated with creating and calling closures: enter, addArgument, and clone. A more efficient implementation should have most of the runtime spent in one or more of the Impl functions (such as \_v19Impl), which perform the actual logic of an expression of the Haskell program, rather than the bottleneck being in the runtime system.

As described in the implementation chapter, my compiler uses objects of a single class to represent closures, with objects of the class storing Function objects generated using the invokedynamic instruction and Java 8's support for anonymous functions. This is different from the approaches taken by both Eta and Frege, where closures are translated into anonymous classes that implement a Functional Interface<sup>2</sup> allowing objects of the classes to be invoked like anonymous methods.

 $<sup>^2 \</sup>texttt{https://docs.oracle.com/javase/8/docs/api/java/util/function/package-summary.html}$ 

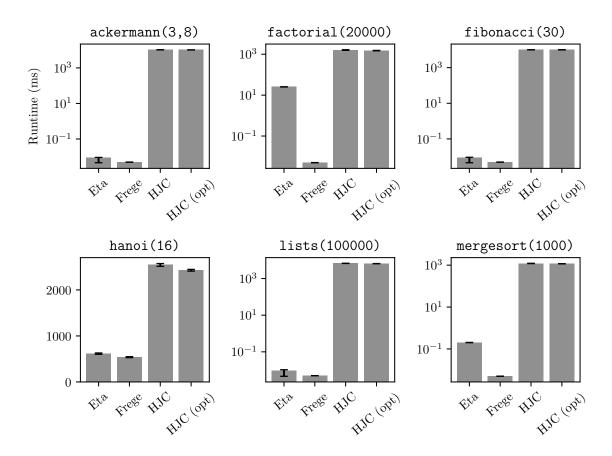


Figure 1: Median runtime in milliseconds of the benchmark programs. Error bars show 25th and 75th percentiles.

Update to use min with error bars showing 25th+50th quartile or similar

This design choice was deliberate: before implementing my compiler, it seemed like using a single class for all functions would be more efficient than using a new class for each function, as the equivalent Java code is significantly shorter. After performing the implementation and comparing the two different approaches, using functional interfaces with a new class for each function results in simpler code for the creation and evaluation of closures, while also eliminating significant amounts of overhead involved with creating the function objects used by HJC, which I expect plays a large role in the performance difference.

# 0.3.3 Compiler Performance

Figure 3 presents the minimum time taken to compile each benchmark program: my compiler is faster than both Eta and Frege to compile all benchmarks except for mergesort.

Figure 4 shows the same metrics for just the ackermann benchmark on my compiler, so the times for each stage can be seen more clearly. It's clear that code generation is the most expensive stage in the main pipeline: profiling reveals that this is mostly due to the inefficient implementation of parts of the hs-java library. Efforts were made to improve the performance, in one case halving the time spent in code generation<sup>3</sup> for the mergesort benchmark, but as this

<sup>&</sup>lt;sup>3</sup>https://github.com/hnefatl/hs-java/commit/5cbd5c3995161199442310ac30c101b0497a7f98

Inner time of runtime functions during ackermann(3,8)

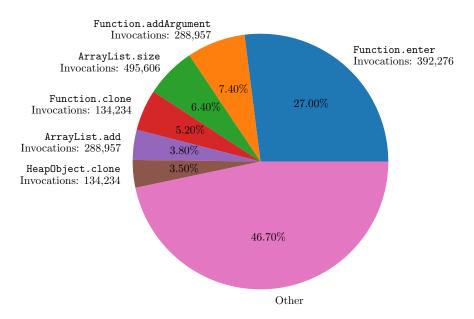


Figure 2: A breakdown of the percentage of execution time spent in each Java function in the generated code ('inner time') for the ackermann benchmark. Functions with inner time of less than 3% are grouped into a single wedge.

was an unexpected work package I ran out of time before being able to improve the performance to a satisfactory level.

The poor performance when compiling the mergesort benchmark appears to be due to the large number of bindings involved: processing the list of 1000 input numbers pushes the inefficient stages to their limit.

It is interesting that the compiler usually takes less time to process the input when performing optimisations than when not. This appears to be due to a significant amount of the compilation time being spent in the code generation stage, and compressing and writing the compiled classes into a jar file: the unreachable code elimination optimisation described in Section ?? can significantly reduce the amount of code that reaches code generation, which in turn reduces the amount of bytecode that needs to be compressed and written to disk.

#### 0.3.4 Executable Size

Figure 5 displays the compiled size of each benchmark program after compilation by the various compilers. All three compilers generate class files that implement the logic of the Haskell program, usually have a class file for each datatype defined in the Haskell program, and have class files providing runtime support (for example, each compiler has an equivalent to the Function class described in Section ??).

HJC produces the smallest executables for all benchmarks, with optimisations trimming off a reasonable amount of generated bytecode.

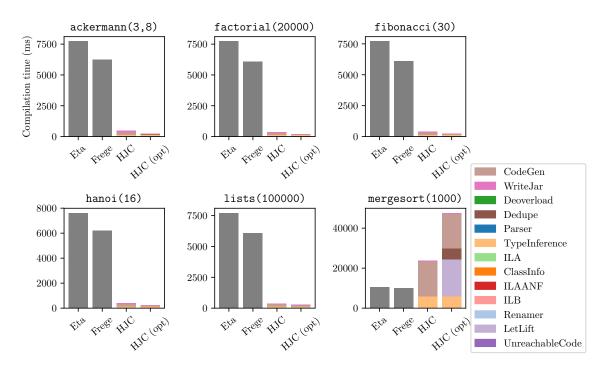


Figure 3: Minimum time taken to compile the benchmark program. The coloured sections indicate the amount of time spent in each stage of the compiler.

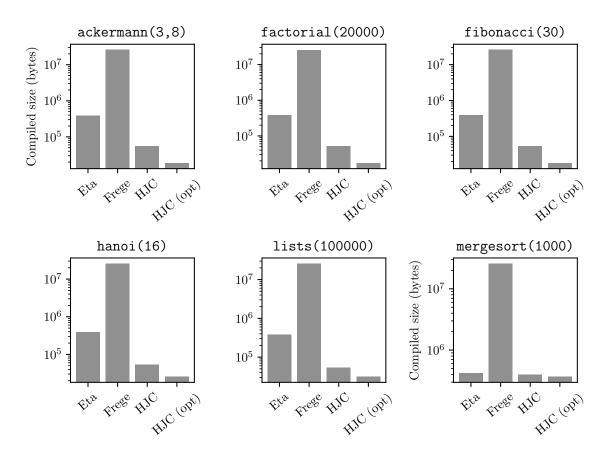


Figure 5: Compiled size of each benchmark program. This size includes the runtime system and the bytecode corresponding to the actual program.

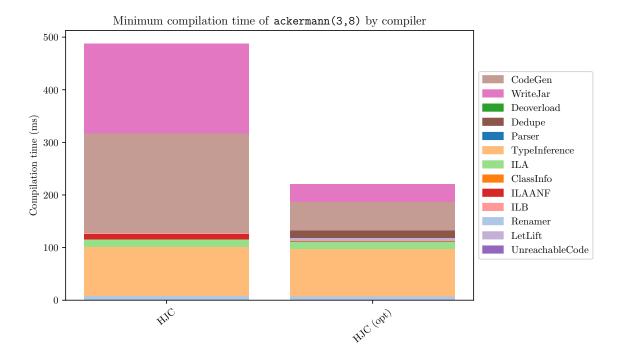


Figure 4: Runtime of each stage in the compiler for the ackermann benchmark.

### 0.3.5 Impact of Optimisations

Figure 6 shows that the implemented optimisations are successful in reducing the execution time of compiled programs, although none have a truly significant impact: speedups can be seen of up to 10%, but the performance remains relatively poor compared to Frege and Eta.

Figure 7 demonstrates that the unreachable code elimination optimisation is effective at reducing the size of compiled programs, in some cases halving the size. The amount of removed code is relatively constant, as the majority of removable code in these benchmarks will be from the standard library, which produces a constant size overhead.

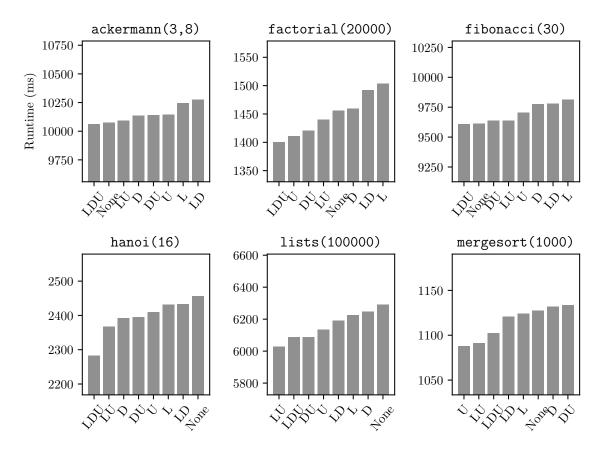


Figure 6: Minimum runtime of the benchmarks after compilation with various optimisations: L stands for let-lifting, D for binding deduplication, and U for unreachable code elimination.

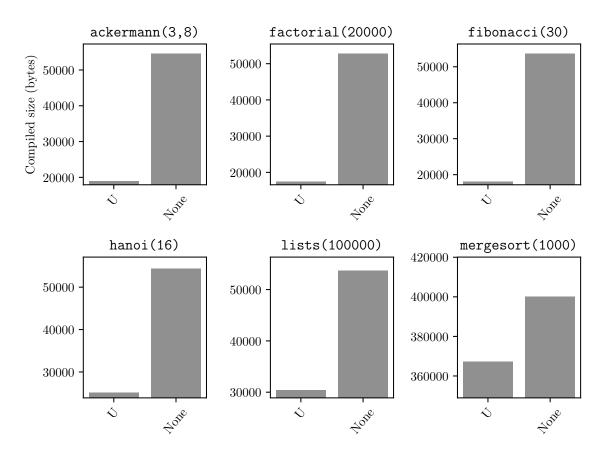


Figure 7: Executable size from HJC with and without the unreachable-code-elimination optimisation.