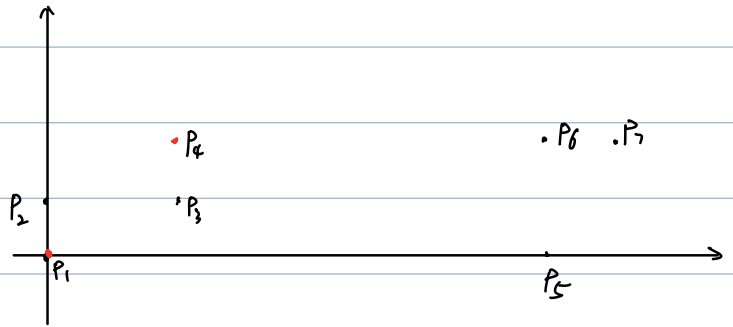


1. We are given the following points in the 2-dimensional euclidean space. $P_1=(0,0)$, $P_2=(0,1/2)$, $P_3=(1,1/2)$, $P_4=(1,1)$, $P_5=(4,0)$, $P_6=(4,1)$, $P_7=(5,1)$. Suppose that $P_1 = (0,0)$ and $P_4 = (1,1)$ are chosen as initial centroids for the K-means algorithm, $K=2$. Show step by step the clustering you would obtain by running K-Means on the previous set of points, while specifying for each clustering the current set of centroids. Recall that the algorithm terminates when the current set of centroids does not change.

1. $C_1 = (0,0)$ $C_2 = (1,1)$



Iteration 1:

$$P_1: d(P_1, C_1) = 0 \quad d(P_1, C_2) = \sqrt{2} \Rightarrow P_1 \text{ to } C_1$$

$$P_2: d(P_2, C_1) = 0.5 \quad d(P_2, C_2) = \frac{\sqrt{5}}{2} \Rightarrow P_2 \text{ to } C_1$$

$$P_3: d(P_3, C_1) = \frac{\sqrt{5}}{2} \quad d(P_3, C_2) = 0.5 \Rightarrow P_3 \text{ to } C_2$$

$$P_4: d(P_4, C_1) = \sqrt{2} \quad d(P_4, C_2) = 0 \Rightarrow P_4 \text{ to } C_2$$

$$P_5: d(P_5, C_1) = 4 \quad d(P_5, C_2) = \sqrt{10} \Rightarrow P_5 \text{ to } C_2$$

$$P_6: d(P_6, C_1) = \sqrt{17} \quad d(P_6, C_2) = 3 \Rightarrow P_6 \text{ to } C_2$$

$$P_7: d(P_7, C_1) = \sqrt{26} \quad d(P_7, C_2) = 4 \Rightarrow P_7 \text{ to } C_2$$

C_1 cluster: P_1, P_2

C_2 cluster: P_3, P_4, P_5, P_6, P_7

$$C_1 \text{ update: } (0, 0.25)$$

$$C_2 \text{ update: } (3, 0.7)$$

Iteration 2. $P_1: d(P_1, C_1) = 0.25 \quad d(P_1, C_2) = \sqrt{3^2 + 0.7^2} \approx 3.05 \Rightarrow P_1 \text{ to } C_1$

$$P_2: d(P_2, C_1) = 0.25 \quad d(P_2, C_2) \approx 3.01 \Rightarrow P_2 \text{ to } C_1$$

$$P_3: d(P_3, C_1) \approx 1.03 \quad d(P_3, C_2) \approx 2.02 \Rightarrow P_3 \text{ to } C_1$$

$$P_4: d(P_4, C_1) \approx 1.27 \quad d(P_4, C_2) \approx 2.02 \Rightarrow P_4 \text{ to } C_1$$

$$P_5: d(P_5, C_1) \approx 4.01 \quad d(P_5, C_2) \approx 1.22 \Rightarrow P_5 \text{ to } C_2$$

$$P_6: d(P_6, C_1) \approx 4.13 \quad d(P_6, C_2) \approx 1.04 \Rightarrow P_6 \text{ to } C_2$$

$$P_7: d(P_7, C_1) \approx 5.03 \quad d(P_7, C_2) \approx 2.02 \Rightarrow P_7 \text{ to } C_2$$

C_1 cluster: P_1, P_2, P_3, P_4

C_2 cluster: P_5, P_6, P_7

C_1 update: $(0.5, 0.5)$

$C_2(4.33, 0.67)$

Iteration 3: $P_1: d(P_1, C_1) \approx 0.71$ $d(P_1, C_2) \approx 4.28 \Rightarrow P_1$ to C_1

$P_2: d(P_2, C_1) = 0.5$ $d(P_2, C_2) \approx 4.22 \Rightarrow P_2$ to C_1

$P_3: d(P_3, C_1) \approx 0.5$ $d(P_3, C_2) \approx 3.34 \Rightarrow P_3$ to C_1

$P_4: d(P_4, C_1) \approx 0.71$ $d(P_4, C_2) \approx 3.35 \Rightarrow P_4$ to C_1

$P_5: d(P_5, C_1) \approx 3.58$ $d(P_5, C_2) \approx 0.73 \Rightarrow P_5$ to C_2

$P_6: d(P_6, C_1) \approx 3.11$ $d(P_6, C_2) \approx 0.47 \Rightarrow P_6$ to C_2

$P_7: d(P_7, C_1) \approx 4.53$ $d(P_7, C_2) \approx 0.75 \Rightarrow P_7$ to C_2

C_1 cluster: P_1, P_2, P_3, P_4

C_2 cluster: P_5, P_6, P_7

C_1 update: $(0.5, 0.5)$

C_2 update $(4.33, 0.67)$

No change! Algorithm Terminates.

2. Provide an example for which the K-means algorithm produces at least an empty cluster, that is, the number of non-empty clusters is $< K$. Your example should contain at most 6 points in a one-dimension Euclidean space, while the number of points should not be smaller than K . We recall that the initial centroids are always chosen among the input points. Show all the steps of the algorithm until it terminates.

Suppose: Data points:

$P_1 = 0$ $P_2 = 8$ $P_3 = 9$ $P_4 = 10$ $P_5 = 19$ $P_6 = 20$

And Centroids: $C_1 = P_1 = 0$ $C_2 = P_5 = 19$ $C_3 = P_6 = 20$ ($k=3$)

Then: Iteration 1:

$d(P_1, C_1) = 0$ $d(P_1, C_2) = 19$ $d(P_1, C_3) = 20 \Rightarrow P_1$ to C_1

$d(P_2, C_1) = 8$ $d(P_2, C_2) = 11$ $d(P_2, C_3) = 12 \Rightarrow P_2$ to C_1

$d(P_3, C_1) = 9$ $d(P_3, C_2) = 10$ $d(P_3, C_3) = 11 \Rightarrow P_3$ to C_1

$d(P_4, C_1) = 10$ $d(P_4, C_2) = 9$ $d(P_4, C_3) = 10 \Rightarrow P_4$ to C_2

$d(P_5, C_1) = 19$ $d(P_5, C_2) = 0$ $d(P_5, C_3) = 1 \Rightarrow P_5$ to C_2

$d(P_6, C_1) = 20$ $d(P_6, C_2) = 1$ $d(P_6, C_3) = 0 \Rightarrow P_6$ to C_3

C_1 cluster: P_1, P_2, P_3

C_2 cluster: P_4, P_5

C_3 cluster: P_6

$$C_1 \text{ update: } \frac{8+9}{3} = \frac{17}{3} \approx 5.66$$

$$C_2 \text{ update: } \frac{10+9}{2} = \frac{29}{2} = 14.5$$

$$C_3 \text{ update: } 20$$

Iteration 2:

$$d(P_1, C_1) = 5.66 \quad d(P_1, C_2) = 14.5 \quad d(P_1, C_3) = 20 \Rightarrow P_1 \text{ to } C_1$$

$$d(P_2, C_1) = 2.34 \quad d(P_2, C_2) = 1.5 \quad d(P_2, C_3) = 12 \Rightarrow P_2 \text{ to } C_1$$

$$d(P_3, C_1) = 3.34 \quad d(P_3, C_2) = 5.5 \quad d(P_3, C_3) = 11 \Rightarrow P_3 \text{ to } C_1$$

$$d(P_4, C_1) = 4.34 \quad d(P_4, C_2) = 4.5 \quad d(P_4, C_3) = 10 \Rightarrow P_4 \text{ to } C_1$$

$$d(P_5, C_1) = 13.34 \quad d(P_5, C_2) = 4.5 \quad d(P_5, C_3) = 1 \Rightarrow P_5 \text{ to } C_3$$

$$d(P_6, C_1) = 14.34 \quad d(P_6, C_2) = 5.5 \quad d(P_6, C_3) = 0 \Rightarrow P_6 \text{ to } C_3$$

Then: C_1 cluster: P_1, P_2, P_3, P_4

C_2 cluster: NULL

C_3 cluster: P_5, P_6

Then C_2 becomes an empty cluster.

$$C_1 \text{ update: } C_1 = \frac{8+9+10}{4} = 6.75$$

$$C_2 = \text{NULL}$$

$$C_3 = \frac{19+20}{2} = 19.5$$

Iteration 3:

$$d(P_1, C_1) = 6.75 \quad d(P_1, C_2) = \text{NULL} \quad d(P_1, C_3) = 19.5 \Rightarrow P_1 \text{ to } C_1$$

$$d(P_2, C_1) = 1.25 \quad d(P_2, C_2) = \text{NULL} \quad d(P_2, C_3) = 11.5 \Rightarrow P_2 \text{ to } C_1$$

$$d(P_3, C_1) = 2.25 \quad d(P_3, C_2) = \text{NULL} \quad d(P_3, C_3) = 10.5 \Rightarrow P_3 \text{ to } C_1$$

$$d(P_4, C_1) = 3.25 \quad d(P_4, C_2) = \text{NULL} \quad d(P_4, C_3) = 9.5 \Rightarrow P_4 \text{ to } C_1$$

$$d(P_5, C_1) = 12.25 \quad d(P_5, C_2) = \text{NULL} \quad d(P_5, C_3) = 0.5 \Rightarrow P_5 \text{ to } C_3$$

$$d(P_6, C_1) = 13.25 \quad d(P_6, C_2) = \text{NULL} \quad d(P_6, C_3) = 0.5 \Rightarrow P_6 \text{ to } C_3$$

C_1 cluster: P_1, P_2, P_3, P_4

C_3 cluster: P_5, P_6

C_1 update: No change

C_3 update: No change

Then Algorithm Terminates