

# LECTURE 1:

## Lattice Theory

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### 1.1 Lattice

Geometrically, a lattice can be defined as the set of intersection point of an infinite, regular, but not necessarily orthogonal  $n$ -dimensional grid. For example, the set of integer vectors  $\mathbb{Z}^n$  is a lattice. In theoretical computer science, lattices are usually represented by a generating basis.

**Definition 1.1 (Lattice)** *Given  $m$  linearly independent vectors  $b_1, b_2, \dots, b_m \in \mathbb{R}^n$ , the lattice generated by them is defined as  $L(b_1, b_2, \dots, b_m) = \{\sum x_i b_i | x_i \in \mathbb{Z}\}$ . We refer to  $b_1, b_2, \dots, b_m$  as a basis of the lattice. We say that the rank of the lattice is  $m$  and its dimension is  $n$ . If  $m = n$ , the lattice is called a full-rank lattice. A lattice is usually denoted by  $\Lambda$ .*

Alternative Definition of Lattices

**Definition 1.2 (Lattice)** *A lattice is a discrete additive subgroup of  $\mathbb{R}^n$  generated by all the integer combinations of some basis.*

Notice the similarity between the definition of a lattice and the definition of vector space generated by  $b_1, b_2, \dots, b_n$ .

$$\text{span}\{b_1, b_2, \dots, b_m\} = \left\{ \sum_{i=1}^m x_i b_i \mid x_i \in \mathbb{R} \right\}$$

One difference is that in a vector space you can combine the basis vectors with arbitrary real coefficients, while in a lattice only integer coefficients are allowed, resulting in a discrete set of points.

Another difference between lattices and vector spaces is that vector spaces always admit an orthogonal basis. This is not true for lattices.

Given a lattice  $\Lambda$ , there are infinitely many different choices of lattice basis. Let  $B$  be a nonsingular matrix with one basis of  $\Lambda$  as the columns of it. One can obtain another basis  $C$  by a unimodular transformation (multiplying the basis vectors by a square matrix with integer entries and determinant plus or minus one.), then  $|\det B| = |\det C|$ . So this number is independent of the choice of the basis, and is called the *determinant* of  $\Lambda$ , denoted by  $\det \Lambda$ . It is equal to the volume of the parallelepiped  $\{x_1 b_1 + \dots + x_n b_n \mid 0 \leq x_i < 1 \text{ for } i = 1, \dots, n\}$

**Vector space**

**Definition 1.3 (Fundamental Parallelepiped)** *Given  $m$  linearly independent vectors  $b_1, b_2, \dots, b_m \in \mathbb{R}^n$ , their fundamental parallelepiped is defined as*

$$\left\{ \sum_{i=1}^m x_i b_i \mid x_i \in \mathbb{R}, 0 \leq x_i < 1 \right\}$$

$$\text{vol} = |\det B|$$

**Theorem 1.4 (Hadamard inequality)**  $\det \Lambda \leq \|b_1\| \|b_2\| \dots \|b_m\|$ , where  $\|\cdot\|$  denotes Euclidean norm ( $\|x\| = \sqrt{x^T x}$ ).

## 1.2 Gram-Schmidt

Projection

## 1.3 LLL lattice basis reduction algorithm

Motivation

## 1.4 Applications

## References

- [CW87] D. COPPERSMITH and S. WINOGRAD, “Matrix multiplication via arithmetic progressions,” *Proceedings of the 19th ACM Symposium on Theory of Computing*, 1987, pp. 1–6.