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# VNU-HUS MAT1206E/3508: Introduction to AI

## Logic Programming with PROLOG

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## Learn Prolog Now!

- <https://www.let.rug.nl/bos/lpn/index.php>
- by **Patrick Blackburn, Joost Bos, and Kristina Striegnitz.**

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# PROLOG Systems and Implementations

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- PROLOG = Programming in Logic
- PROLOG is used in many projects, primarily in AI and computational linguistics.
- We will now give a short introduction to this language, present the most important concepts, show its strengths, and compare it with other programming languages and theorem provers.
- Those looking for a complete programming course are directed to textbooks such as [Bratko 2011]; [Clocksin and Mellish 2013] and the documentations at  
<https://www.swi-prolog.org/> and  
<http://www.gprolog.org/>.
- PROLOG systems interpret *Warren Abstract Machine code (WAM)*.
- PROLOG source code is compiled into so-called WAM code, which is then interpreted by the WAM.
- **Performance:** up to 10 million logical inferences per second (LIPS) on a 1 Gigahertz PC



# PROLOG Systems and Implementations

The syntax of the language PROLOG *only allows Horn clauses* (i.e., clauses having at most one positive literal)

PL1 / clause normal form	PROLOG	Desc.
$(\neg A_1 \vee \dots \vee \neg A_m \vee B)$	B :- A_1, ..., A_m.	Rule
$(A_1 \wedge \dots \wedge A_m) \Rightarrow B$	B :- A_1, ..., A_m.	Rule
$A$	A.	Fact
$(\neg A_1 \vee \dots \vee \neg A_m)$	?- A_1, ..., A_m.	Query
$\neg(A_1 \wedge \dots \wedge A_m)$	?- A_1, ..., A_m.	Query

- Here  $A_1, \dots, A_m, A, B$  are literals.
- The literals are, as in PL1, constructed from predicate symbols with terms as arguments.
- As we can see in the above table, *in PROLOG there are no negations in the strict logical sense* because *the sign of a literal is determined by its position in the clause*.

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## A brief history

- Kowalski: late 60's Logician who showed logical proof can support computation.
- Colmerauer: early 70's Developed early version of PROLOG for natural language processing, mainly multiple parses.
- Warren: mid 70's First version of PROLOG that was efficient.
- Japan: early 80's The 5th Generation Computer Project chose to use PROLOG as the computer language for the AI programming.



# Basic of PROLOG

- A PROLOG program consists of *predicate definitions*.
- A predicate denotes a property or relationship between objects.
- Definitions consist of (Horn) *clauses*.
- A clause has a *head* and a *body* (*Rule*) or *just a head* (*Fact*).
- A head consists of a *predicate name* and *arguments*.
- A clause body consists of a conjunction of *terms*.
- Terms can be *constants*, *variables* (*with initial capital letter*), or *compound terms*.
- We can set our program *goals* by typing a query, which is a list of atomic formulas.
- A goal unifies with clause heads in order (top down), and the body of the clause becomes new subgoals.
- *Unification* leads to the *instantiation* of variables to values.
- If any variables in the initial goal become instantiated this is reported back to the user.

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## Variables

- **Variables** begin with a Capital letter, or “\_”
  - For example, X, Tom, \_result
- “\_” is a nameless variable.
- A variable can have a value.



# Basic of PROLOG

## Atoms

- An *atom* is a constant in terms; it just stands for itself.
- Atoms do not begin with a capital letter
  - For example, x, tom
- Atomic formulas are called *structures in PROLOG*.
- You can make an atom containing any characters at all by enclosing it in *single quotes*:
  - For example, 'C:\\My Documents\\examples.pl'
  - If you use double quotes, you will get a list of ASCII values, which is probably not what you want
    - ?- X = "Hello". results  
X = [72, 101, 108, 108, 111].
- In a quoted atom, a single quote must be doubled or backslashed
  - For example, 'Can''t, or won't?'
- Backslashes in file names must also be doubled
  - For example, 'C:\\My Documents\\examples.pl'
  - Better yet, use forward slashes in paths; every OS, including Windows, understands this

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# Basic of PROLOG

## Predicates

- A **predicate** is a definition of a **functor** (predicate symbol), which is *collection of clauses with the same functor and arity (number of arguments)*.
  - `loves(john, mary).`
  - `loves(mary, bill).`
  - `loves(chuck, X) :- female(X), rich(X).`
- These clauses should stay together.
- The scope of a variable (such as X) is the single clause in which it occurs.
- A PROLOG program is just a collection of predicates.

## Common Problems

- Capitalization is **meaningful**!
- No space is allowed between a functor and its argument list:
  - `man(tom)`, not `man (tom)`.
- Double quotes indicate a list of ASCII character values, **not** a string
- Don't forget the period! (But if you do, you can put it on the next line.)

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# Basic of PROLOG

## Central Ideas of PROLOG

### ■ SUCCESS (true) / FAILURE (false)

- any computation can “succeed” or “fail”, and this is used as a ‘test’ mechanism.

### ■ UNIFICATION (2-WAY MATCHING)

- any two data items can be compared for similarity, and values can be bound to variables in order to allow a match to succeed.

### ■ SEARCHING

- the whole activity of the PROLOG system is to search through various options to find a combination that succeeds.

### ■ BACKTRACKING

- when the system fails during its search, it returns to previous choices to see if making a different choice would allow success.

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# Basic of PROLOG

## Running PROLOG Program

- Program is facts + rules. (horn clauses).
- Feed Query to PROLOG after “?-”
- A Query is a conjunct of atomic formulas  $Q_1, Q_2, \dots, Q_n$ , written as (“?-” as “ $\neg$ ”)

?-  $Q_1, Q_2, \dots, Q_n.$

It denotes  $\neg Q_1 \vee \neg Q_2 \vee \dots \vee \neg Q_n$ , a negative clause.

- Substitutions for variables that solve the query are reported; if no variables, then PROLOG returns yes.
- Use “;” to get other solutions.

### Note

PROLOG programs can be prepared in a text file and loaded into PROLOG by

[filename] .

or added on a terminal using [user] . and Ctrl-D.

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# Simple Examples

## Family Relationships

A brief recall from Example 5, Lecture “First-order Predicate Logic”

- $\text{child}(x, y, z)$  means “ $x$  is a child of  $y$  and  $z$ ”
- $\text{descendant}(x, y)$  means “ $x$  is a descendant of  $y$ ”

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# Simple Examples

## Family Relationships

The *knowledge base KB* of family relationships

$$\begin{aligned}KB \equiv & child(oscar, karen, frank) \wedge \\& child(mary, karen, frank) \wedge child(eve, anne, oscar) \wedge \\& child(henry, anne, oscar) \wedge child(isabelle, anne, oscar) \wedge \\& child(clyde, mary, oscarb) \wedge (\forall x \forall y \forall z child(x, y, z) \Rightarrow child(x, z, y)) \\& \wedge (\forall x \forall y descendant(x, y) \Leftrightarrow \exists z child(x, y, z)) \\& \vee (\exists u \exists v child(x, u, v) \wedge descendant(u, y)))\end{aligned}$$

is coded as a PROLOG program

```
rel.pl  
1 child(oscar, karen, frank).  
2 child(mary, karen, frank).  
3 child(eve, anne, oscar).  
4 child(henry, anne, oscar).  
5 child(isolde, anne, oscar).  
6 child(clyde, mary, oscarb).  
7  
8 child(X,Z,Y) :- child(X,Y,Z).  
9  
10 descendant(X,Y) :- child(X,Y,Z).  
11 descendant(X,Y) :- child(X,U,V), descendant(U,Y).
```

```
?- [rel]. % load and compile rel.pl
true .

?- child(eve,oscar,anne). % initial query
true .
```

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# Simple Examples

## Family Relationships

How does the answer of the initial query come?

- For the query

```
?- child(eve,oscar,anne).
```

there are six facts (lines 1-6) and one rule (line 8) with the same predicate in its clause head.

- Now unification is attempted between the query and each of the complementary literals in the input data in order of occurrence.
- If one of the alternatives fails, this results in backtracking to the last branching point, and the next alternative is tested.
- Because unification fails with every fact, the query is unified with the recursive rule in line 8. (X/eve, Z/oscar, Y/anne.)
- Now the system attempts to solve the subgoal `child(eve,anne,oscar)`, which succeeds with the third alternative.

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# Simple Examples

## Family Relationships

The next queries are answered with the first solutions found.

rel.pl

```
1 child(oscar, karen, frank).  
2 child(mary, karen, frank).  
3 child(eve, anne, oscar).  
4 child(henry, anne, oscar).  
5 child(isolde, anne, oscar).  
6 child(clyde, mary, oscarb).  
7  
8 child(X,Z,Y) :- child(X,Y,Z).  
9  
10 descendant(X,Y) :- child(X,Y,Z).  
11 descendant(X,Y) :- child(X,U,V), descendant(U,Y).
```

```
?- descendant(X,Y).  
X = oscar,  
Y = karen  
  
?- descendant(clyde,Y).  
Y = mary
```

However, the query

```
?- descendant(clyde,karen).
```

is not answered. This is because of the clause in line 8, which specifies symmetry of the child predicate. This clause calls itself recursively without the possibility of termination.

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# Simple Examples

## Family Relationships

This problem can be solved with the following new program.

rel01.pl

```
1 child(oscar, karen, frank).
2 child(mary, karen, frank).
3 child(eve, anne, oscar).
4 child(henry, anne, oscar).
5 child(isolde, anne, oscar).
6 child(clyde, mary, oscarb).
7
8 descendant(X,Y) :- child(X,Y,Z).
9 descendant(X,Y) :- child(X,Z,Y).
10 descendant(X,Y) :- child(X,U,V), descendant(U,Y).
```

```
?- descendant(clyde, karen).
true .

?- child(eve,oscar,anne).
false .
```

But now **the query**

```
?- child(eve,oscar,anne).
```

is no longer correctly answered because the symmetry of child in the last two variables is no longer given.

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# Simple Examples

## Family Relationships

A solution to both problems is found in the program.

rel02.pl

```
1 child_fact(oscar, karen, frank).
2 child_fact(mary, karen, frank).
3 child_fact(eve, anne, oscar).
4 child_fact(henry, anne, oscar).
5 child_fact(isolde, anne, oscar).
6 child_fact(clyde, mary, oscarb).
7
8 child(X,Z,Y) :- child_fact(X,Y,Z).
9 child(X,Z,Y) :- child_fact(X,Z,Y).
10
11 descendant(X,Y) :- child(X,Y,Z).
12 descendant(X,Y) :- child(X,U,V), descendant(U,Y).
```

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# Simple Examples

## Family Relationships

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A solution to both problems is found in the program.

rel02.pl

```
1 child_fact(oscar, karen, frank).  
2 child_fact(mary, karen, frank).  
3 child_fact(eve, anne, oscar).  
4 child_fact(henry, anne, oscar).  
5 child_fact(isolde, anne, oscar).  
6 child_fact(clyde, mary, oscarb).  
7  
8 child(X,Z,Y) :- child_fact(X,Y,Z).  
9 child(X,Z,Y) :- child_fact(X,Z,Y).  
10  
11 descendant(X,Y) :- child(X,Y,Z).  
12 descendant(X,Y) :- child(X,U,V), descendant(U,Y).
```

The PROLOG programmer must *pay attention to processing* and *avoid infinite loops*

The program is no longer as elegant and simple as the—logically correct—first variant



# Simple Examples

## Family Relationships

### Exercise 1 ([Ertel 2025], Exercise 5.4, p. 90)

1. Show by testing out that the theorem prover E (in contrast to PROLOG), given the knowledge base as in `rel.pl`, answers the query `?- descendant(clyde, karen).` correctly. Why is that?
2. Compare the answers of PROLOG and E for the query  
`?- descendant (X, Y)..`

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## Family Relationships

### Exercise 1 ([Ertel 2025], Exercise 5.4, p. 90)

1. Show by testing out that the theorem prover E (in contrast to PROLOG), given the knowledge base as in `rel.pl`, answers the query `?- descendant(clyde, karen).` correctly. Why is that?
2. Compare the answers of PROLOG and E for the query  
`?- descendant (X, Y)..`

### Semantics of PROLOG programs

- **Declarative semantics:** logical interpretation of the Horn clauses
- **Procedural semantics:** processing of the PROLOG program

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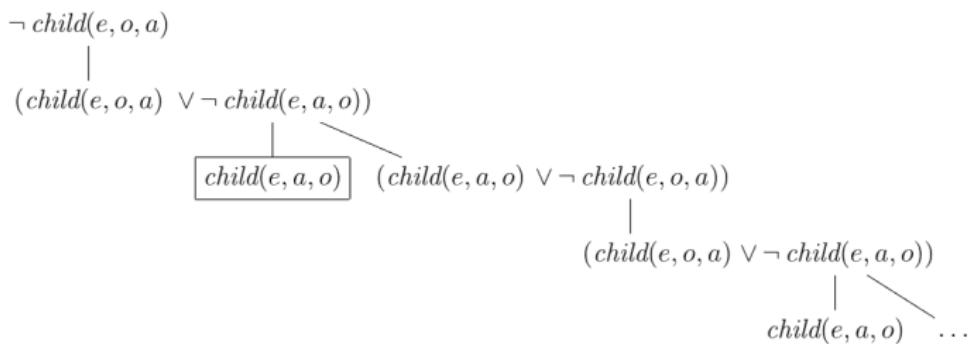
References



# Simple Examples

## Family Relationships

- Execution begins at the top left with the query.
- Each edge represents a possible SLD resolution step with a complementary unifiable literal.
- While the search tree becomes infinitely deep by the recursive rule, the PROLOG execution terminates because the facts occur before the rule in the input data.



**Figure:** PROLOG search tree for the execution of the program `rel.pl` with the query `child(eve, oscar, anne)`. The constants have been abbreviated to save space.

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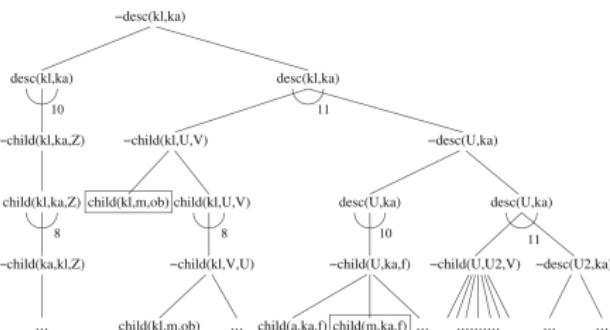
# Simple Examples

## Family Relationships

- The PROLOG execution does not terminate
- The branches lead from the head (= positive literal) of a (Horn) clause to the subgoals. Because all subgoals of a clause must be solved, these are "*and branches*". All other branches are "*or branches*", of which at least one must be unifiable with its parent nodes.
  - Remind:** In SLD resolution, *the literals of the current clause* are called *subgoals* and *the literals of the negated query* are the *goals*.

- The two outlined facts represent the solution to the query.

- The PROLOG interpreter does not terminate here, however, because it works by using a depth-first search with backtracking and thus first chooses the infinitely deep path to the far left.



**Figure:** The and-or tree for the execution of the program `rel.pl` with the query `desc(clyde,karen)`

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## Note

As we have seen in the family relationship example, *it is important to control the execution of PROLOG.*

- *Avoiding unnecessary backtracking* especially can lead to large increases in efficiency. One means to this end is the *cut* operator. *By inserting an exclamation mark into a clause, we can prevent backtracking over this point.*
- Another possibility for execution control is the built-in predicate *fail*, which is never true.



# Execution Control and Procedural Elements

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## Example 1 (Cut operator in PROLOG)

*max(X, Y, Max)* means “the maximum of two numbers *X* and *Y* is *Max*”

max.pl

```
1 max(X,Y,X) :- X >= Y.  
2 max(X,Y,Y) :- X < Y.
```

maxwCut.pl

```
1 max(X,Y,X) :- X >= Y, !.  
2 max(X,Y,Y).
```

- Without cut.
- In query `?- max(2,3,Z), Z > 10.`, backtracking is employed because `Z = 3` and the second clause is tested for `max`, which is doomed to failure

- With cut.
- The second clause is only called if it is really necessary, that is, if the first clause fails.
- However, this optimization makes the program harder to understand.



# Execution Control and Procedural Elements

## Example 2 (Predicate fail in PROLOG)

- In the family relationship example we can quite simply print out all children and their parents with the query

```
?- child_fact(X,Y,Z), write(X),  
    write(' is a child of '), write(Y),  
    write(' and '), write(Z), write('.').  
nl, fail.
```

- The corresponding output is

```
oscar is a child of karen and frank.  
mary is a child of karen and frank.  
eve is a child of anne and oscar.  
henry is a child of anne and oscar.  
isolde is a child of anne and oscar.  
clyde is a child of mary and oscarb.  
false.
```

where the predicate `nl` causes a line break in the output. What would be the output in the end without use of the `fail` predicate?

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## Example 3 (Negation as Failure)

- In the family relationship example, the query

```
?- child_fact(ulla,X,Y).
```

would result `false`. because there are no facts about `ulla`.

- This answer is *not logically correct*. Specifically, *it is not possible to prove that there is no object with the name `ulla`*. Here the prover E would correctly answer "No proof found."
- Thus if *PROLOG answers false.*, this only means that *the query Q cannot be proved*. For this, however,  $\neg Q$  must not necessarily be proved.



# Execution Control and Procedural Elements

## Note

Restriction to *Horn clauses* is *important for the procedural processing using SLD resolution*.

- Through the *singly determined positive literal per clause*, SLD resolution, and therefore the execution of PROLOG programs, have *a unique entry point into the clause*.
- This is *the only way it is possible* to *have reproducible execution of logic programs* and, therefore, *well-defined procedural semantics*.

## Example 4 (Statements that cannot be described by Horn clauses)

- Russell's paradox: *There is a barber who shaves everyone who does not shave himself*
- $Q \equiv \forall x \text{shaves}(\text{barber}, x) \Leftrightarrow \neg \text{shaves}(x, x) \equiv \forall x (\neg \text{shaves}(\text{barber}, x) \vee \neg \text{shaves}(x, x)) \wedge \forall x (\text{shaves}(x, x) \vee \text{shaves}(\text{barber}, x))$
- $Q$  contains the *non-Horn clause*  $\text{shaves}(x, x) \vee \text{shaves}(\text{barber}, x)$

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## Exercise 2 ([Ertel 2025], Exercise 5.1, p. 90)

Try to prove the theorem from Sect. 3.7 about the equality of left- and right-neutral elements of semi-groups with PROLOG. Which problems come up? What is the cause of this?

## Exercise 3 ([Ertel 2025], Exercise 5.5, p. 90)

Write as short a PROLOG program as possible that outputs 1024 ones.



# Lists

- A collection of *ordered data*.
- Has zero or more elements enclosed by **square brackets** and **separated by commas (',')**.

Example	Description
[A]	A list with one element
[]	An empty list
[34, tom, [2,3]]	A list with three elements where the third element is a list of two elements
[mia, love(honey), mia]	A list with three elements where the first and last elements are identical

- Like any object, a list can be unified with a variable

```
?- X = [Any, list, 'of elements'].  
X = [Any, list, 'of elements'].
```

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# Lists

- The construct **[Head|Tail]** separates **the first element (Head)** from **the rest (Tail) of the list**. (Thus, Head is always an atom and Tail is always a list.)

```
?- [H|T] = [A,2,2,B,3,4,5].  
H = A,  
T = [2, 2, B, 3, 4, 5].  
  
?- [H|T] = [].  
false.  
  
?- X = [1|[2, 3]].  
X = [1, 2, 3].  
  
?- [1|2] = [1,2].  
false.
```

- By using *nested lists*, we can create *arbitrary tree structures*. Basically, in the trees where the inner nodes contain symbols, the symbol is the head of the list and the child nodes are the tail.

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# Lists

## Example 5 (Tree Structures by Nested Lists)

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Tree	(Nested) Lists
	[b, c]
	[a, b, c]
	[[e, f, g], [h], d]
	[a, [b, e, f, g], [c, h], d]

## Exercise 4 ([Ertel 2025], Exercise 5.7, p. 91)

Use function symbols instead of lists to represent the trees in Example 5.



# Lists

## Example 6 (List Processing)

*append*( $X, Y, Z$ ) means “appending list  $Y$  to the list  $X$  and saving the result in  $Z$ ”.

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## Example 6 (List Processing)

*append(X, Y, Z)* means “appending list  $Y$  to the list  $X$  and saving the result in  $Z$ ”.

The following program contains a *declarative (recursive) logical description* of the fact that  $L_3$  results from appending  $L_2$  to  $L_1$ .

append.pl

```
1  append([],L,L).  
2  append([X|L1],L2,[X|L3]) :- append(L1,L2,L3).
```

- As long as  $L (= [X|L1])$  is not empty, “appending  $L_2$  to  $L$ ” reduces to “appending  $L_2$  to the tail  $L_1$  of  $L$ ” (and putting the head  $X$  of  $L$  as the first element of the result).



# Lists

## Example 6 (List Processing)

append.pl

```
1  append([],L,L).  
2  append([X|L1],L2,[X|L3]) :- append(L1,L2,L3).
```

```
[trace] ?- append([1],[2],Z). % query  
Call: (12) append([1], [2], _272) ? creep  
Call: (13) append([], [2], _1612) ? creep  
Exit: (13) append([], [2], [2]) ? creep  
Exit: (12) append([1], [2], [1, 2]) ? creep  
Z = [1, 2].
```

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- Goal: `append([1], [2], _272).` (`_272`: a variable whose value is not defined.)
- `[1]` is not empty  $\Rightarrow$  Unify with line 2: `[X|L1]/[1], L2/[2], [X|L3]/_272`  
(Now, `X = 1, L1 = []`, `L2 = [2]`, `L3 = _1612`)
- Subgoal: `append([], [2], _1612).`
- `[]` is empty  $\Rightarrow$  Unify with line 1: `[]/[], L/[2], L/_1612.` Thus,  
`_1612 = L = [2]`. Subgoal is solved.
- Therefore, `L3 = [2]`, which means `_272 = [X|L3] = [1, 2]`. Goal is solved.
- Output: `Z = [1, 2].`



# Lists

## Example 7 (Naive Reverse)

*nrev(T, R)* means “reversing the order of elements in the list  $T$  and saving the result in  $R$ ”.

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## Example 7 (Naive Reverse)

*nrev(T, R)* means “reversing the order of elements in the list  $T$  and saving the result in  $R$ ”.

The following program describes how to recursively implement this predicate.

nrev.pl

```
1 nrev([], []).  
2 nrev([H|T], R) :- nrev(T, RT), append(RT, [H], R).
```

- As long as the list  $L (= [H|T])$  is not empty, “reversing  $L$ ” reduces to “reversing the tail  $T$  of  $L$ ” (and appending the head  $H$  of  $L$  to the result).
- Indeed, this predicate is very inefficient due to calling `append`.



# Lists

## Example 7 (Naive Reverse)

nrev.pl

```
1 nrev([],[]).  
2 nrev([H|T],R) :- nrev(T,RT), append(RT,[H],R).
```

```
[trace] ?- nrev([1,2], Z). % query  
Call: (12) nrev([1, 2], _268) ? creep  
Call: (13) nrev([2], _1594) ? creep  
Call: (14) nrev([], _2406) ? creep  
Exit: (14) nrev([], []) ? creep  
Call: (14) append([], [2], _1594) ? creep  
Exit: (14) append([], [2], [2]) ? creep  
Exit: (13) nrev([2], [2]) ? creep  
Call: (13) append([2], [1], _268) ? creep  
Call: (14) append([], [1], _7296) ? creep  
Exit: (14) append([], [1], [1]) ? creep  
Exit: (13) append([2], [1], [2, 1]) ? creep  
Exit: (12) nrev([1, 2], [2, 1]) ? creep  
Z = [2, 1].
```

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# Lists

Things go better when one proceeds using a temporary store, known as *the accumulator*, as follows:

List	Accumulator
[a,b,c,d]	[]
[b,c,d]	[a]
[c,d]	[b,a]
[d]	[c,b,a]
[]	[d,c,b,a]

*accrev( $T, A, R$ )* means “reversing the order of elements in the list  $T$  (using  $A$  as an “accumulator”) and saving the result in  $R$ ”. The corresponding program is

accrev.pl

```
1 accrev([],A,A).  
2 accrev([H|T],A,R) :- accrev(T,[H|A],R).
```

- As long as the list  $L$  ( $= [H|T]$ ) is not empty, “reversing  $L$ ” reduces to “reversing the tail  $T$  of  $L$ ” (and putting the head  $H$  of  $L$  as the first element of the accumulator  $A$ ).

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accrev.pl

```
1 accrev([],A,A).  
2 accrev([H|T],A,R) :- accrev(T,[H|A],R).
```

```
[trace] ?- accrev([1,2],[],Z). % query  
Call: (12) accrev([1, 2], [], _278) ? creep  
Call: (13) accrev([2], [1], _278) ? creep  
Call: (14) accrev([], [2, 1], _278) ? creep  
Exit: (14) accrev([], [2, 1], [2, 1]) ? creep  
Exit: (13) accrev([2], [1], [2, 1]) ? creep  
Exit: (12) accrev([1, 2], [], [2, 1]) ? creep  
Z = [2, 1].
```



# Lists

## Exercise 5 ([Ertel 2025], Exercise 5.6, p. 91)

Investigate the runtime behavior of the naive reverse predicate.

- (a) Run PROLOG with the trace option and observe the recursive calls of `nrev`, `append`, and `accrev`.
- (b) Compute the asymptotic time complexity of `append(L1, L2, L3)`, that is, the dependency of the running time on the length of the list for large lists. Assume that access to the head of an arbitrary list takes constant time.
- (c) Compute the time complexity of `nrev(L, R)`.
- (d) Compute the time complexity of `accrev(L, R)`.
- (e) Experimentally determine the time complexity of the predicates `nrev`, `append`, and `accrev`, for example by carrying out time measurements (`time(+Goal)` gives inferences and CPU time.).

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■ PROLOG programs are not fully compiled, rather, they are interpreted by the WAM. Therefore *it is possible to modify programs at runtime*. A program can even modify itself.

■ With commands such as `assert` and `retract`, facts and rules can be added to the knowledge base or taken out of it.

## ■ Assert predicates

■ `assert(X)`: Adds a new fact or clause to the database.  
Term is asserted as the last fact or clause with the same key predicate.

■ `asserta(X)`: Same as assert, but adds a clause at the beginning of the database.

■ `assertz(X)`: Exactly same as `assert(X)`.

## ■ Retract predicates

■ `retract(X)`: Removes fact or clause X from the database.

■ `retractall(X)`: Removes all facts or clauses from the database for which the head unifies with x.



# Self-modifying Programs

A simple application of `asserta` is the addition of derived facts to the beginning of the knowledge base with the goal of avoiding a repeated, potentially time-expensive derivation.

## Example 8 (Family Relationship)

dynamic\_rel.pl

```
1 child_fact(oscar, karen, frank).
2 child_fact(mary, karen, frank).
3 child_fact(eve, anne, oscar).
4 child_fact(henry, anne, oscar).
5 child_fact(isolde, anne, oscar).
6 child_fact(clyde, mary, oscarb).
7
8 child(X,Z,Y) :- child_fact(X,Y,Z).
9 child(X,Z,Y) :- child_fact(X,Z,Y).
10
11 :- dynamic descendant/2.
12 descendant(X,Y) :- child(X,Y,Z), asserta(descendant(X,Y)).
13 descendant(X,Y) :- child(X,U,V), descendant(U,Y),
14                               asserta(descendant(X,Y)).
```

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# Self-modifying Programs

```
?- [dynamic_rel].  
true.  
  
?- descendant(clyde, karen).  
true .  
  
?- listing(descendant).  
:- dynamic descendant/2.  
  
descendant(clyde, karen).  
descendant(mary, karen).  
descendant(X, Y) :-  
child(X, Y, Z),  
asserta(descendant(X, Y)).  
descendant(X, Y) :-  
child(X, U, V),  
descendant(U, Y),  
asserta(descendant(X, Y)).  
  
true.
```

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# Self-modifying Programs

- By manipulating rules with assert and retract, even programs that change themselves completely can be written. This idea became known under the term *genetic programming*. It allows the construction of arbitrarily flexible learning programs.
- In practice, however, it turns out that, due to the *huge number of senseless possible changes, changing the code by trial and error rarely leads to a performance increase*.
- *Systematic changing of rules*, on the other hand, *makes programming so much more complex* that, so far, such programs that extensively modify their own code have not been successful.
- *Machine learning* has been quite successful. However, only *very limited modifications* of the program code are being conducted here.

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# Self-modifying Programs

## Exercise 6 ([Ertel 2025], Exercise 5.8, p. 91)

The Fibonacci sequence is defined recursively by  $\text{fib}(0) = 1$ ,  $\text{fib}(1) = 1$  and  $\text{fib}(n) = \text{fib}(n - 1) + \text{fib}(n - 2)$ .

- (a) Define a recursive PROLOG predicate `fib(N,R)` which calculates  $\text{fib}(N)$  and returns it in `R`.
- (b) Determine the runtime complexity of the predicate `fib` theoretically and by measurement.
- (c) Change your program by using `asserta` such that unnecessary inferences are no longer carried out.
- (d) Determine the runtime complexity of the modified predicate theoretically and by measurement (notice that this depends on whether `fib` was previously called).
- (e) Why is `fib` with `asserta` also faster when it is started for the first time right after PROLOG is started?

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# A Planning Example

A farmer wants to bring a cabbage, a goat, and a wolf across a river, but his boat is so small that he can only take them across one at a time. The farmer thought it over and then said to himself: "If I first bring the wolf to the other side, then the goat will eat the cabbage. If I transport the cabbage first, then the goat will be eaten by the wolf. What should I do?"



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## A Planning Example

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# A Planning Example

plan.pl

```
1 start :- action(state(left,left,left,left),
2                  state(right,right,right,right)).
3
4 action(Start,Goal) :-
5     plan(Start,Goal,[Start],Path),
6     nl,write('Solution:'),nl,
7     write_path(Path).
8 %   write_path(Path), fail. % all solutions output
9
10 plan(Start,Goal,Visited,Path) :-
11     go(Start,Next),
12     safe(Next),
13     \+ member(Next,Visited),      % not(member(...))
14     plan(Next,Goal,[Next|Visited],Path).
15 plan(Goal,Goal,Path,Path).
16
17 go(state(X,X,Z,K),state(Y,Y,Z,K)) :- across(X,Y). % farmer, wolf
18 go(state(X,W,X,K),state(Y,W,Y,K)) :- across(X,Y). % farmer, goat
19 go(state(X,W,Z,X),state(Y,W,Z,Y)) :- across(X,Y). % farmer, cabbage
20 go(state(X,W,Z,K),state(Y,W,Z,K)) :- across(X,Y). % farmer
21
22 across(left,right).
23 across(right,left).
24
25 safe(state(B,W,Z,K)) :- across(W,Z), across(Z,K).
26 safe(state(B,B,B,K)).
27 safe(state(B,W,B,B)).
```

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# A Planning Example

- **state(Farmer, Wolf, Goat, Cabbage)** describes the current state of the world. Each variable has two possible values left and right.
  - For example, `state(left, left, right, right)` means the farmer and the wolf is on the left-hand side of the river and the goat and the cabbage is on the right-hand side.
- **across(X, Y)** means going from position X to position Y. Lines 22–23 indicate that there are only two possibilities for the pair (X, Y), which are (left, right) and (right, left).
- **go(Start, Next)** describes going from the state Start to the state Next (lines 17–20) using the predicate across.
  - Lines 17–20 describes all four possibilities for the predicate `go` (the farmer either go alone or carry with him exactly one of the three: the wolf, the goat, the cabbage).
  - `go(state(X,X,Z,K),state(Y,Y,Z,K)) :- across(X,Y))` means that the farmer and the wolf go across the river from position X (left/right) to position Y (right/left).

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# A Planning Example

- `safe(Next)` checks if the state Next is “safe”.
  - `safe(state(B,W,Z,K)) :- across(W,Z), across(Z,K).` (Line 25) means that a general state `state(B,W,Z,K)` is “safe” if either the wolf and the goat are not on the same position (`across(W,Z)`) or the goat and the cabbage are not on the same position (`across(Z,K)`).
  - `safe(state(F,W,G,C)) :- F = W, F = G, F = C.` (Line 26) means that the state where the farmer, the wolf, and the goat are on the same position is “safe”. Similarly for line 27.
- `plan(Start,Goal,Visited,Path)` describes how to reach the state Goal from the state Start. The result—a list of states—is stored in Path. The list of visited states is stored in Visited.
- `plan` is implemented recursively (lines 10–15):
  - to go from Start to Goal,
  - go to a successor state Next (created using the predicate `go`),
  - test the safety of Next with the predicate `safe`,
  - test whether Next is already visited with the built-in predicate `member`,
  - and if Next is safe and not visited, recursively go from Next to Goal and putting Next at the beginning of the list Visited.

The base case is at line 15, when `Start = Goal` and `Visited = Path`.

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# A Planning Example

For the query `?- start.`, we get the answers:

Solution:

```
Farmer and goat from left to right
Farmer from right to left
Farmer and cabbage from left to right
Farmer and goat from right to left
Farmer and wolf from left to right
Farmer from right to left
Farmer and goat from left to right
true ;
```

Solution:

```
Farmer and goat from left to right
Farmer from right to left
Farmer and wolf from left to right
Farmer and goat from right to left
Farmer and cabbage from left to right
Farmer from right to left
Farmer and goat from left to right
true ;
```

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# A Planning Example

- For better understanding, we describe the definition of plan in logic:

$$\forall z \text{plan}(z, z) \wedge \\ \wedge \forall s \forall z \forall n \left[ \text{go}(s, n) \wedge \text{safe}(n) \wedge \text{plan}(n, z) \Rightarrow \text{plan}(s, z) \right]$$

- The base case is  $\forall z \text{plan}(z, z)$ .
- In the recursive call, for all starting state  $s$ , goal state  $z$ , and next state  $n$ , if we can go from  $s$  to  $n$  and  $n$  is safe and we can recursively go from  $n$  to  $z$  then we can go from  $s$  to  $z$ .
- This definition comes out significantly *more concise* than in PROLOG. There are two reasons for this:
  - The output of the discovered plan is unimportant for logic.
  - It is not really necessary to check whether the next state was already visited if unnecessary trips do not bother the farmer.
    - If, however, `\+ member(...)` is left out of the PROLOG program, then there is an infinite loop and PROLOG might not find a schedule even if there is one. The cause of this is PROLOG's *backward chaining search strategy*, which, according to the depth-first search principle, *always works on subgoals one at a time without restricting recursion depth*, and is therefore incomplete.

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# A Planning Example

- As in all planning tasks, *the state of the world changes as actions are carried out from one step to the next.*
- This suggests *sending the state as a variable to all predicates that depend on the state of the world*, such as in the predicate safe. The state transitions occur in the predicate go.
- This approach is called *situation calculus* [Russell and Norvig 2010].

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## Exercise 7 ([Ertel 2025], Exercise 5.2, p. 90)

- (a) Write a predicate `write_move(+State1, +State2)`, that outputs a sentence like “Farmer and wolf cross from left to right” for each boat crossing. `State1` and `State2` are terms of the form `state(Farmer, Wolf, Goat, Cabbage)`.
- (b) Write a recursive predicate `write_path(+Path)`, which calls the predicate `write_move(+State1, +State2)` and outputs all of the farmer’s actions.

## Exercise 8 ([Ertel 2025], Exercise 5.3, p. 90)

- (a) At first glance the variable `Path` in the predicate `plan` of the PROLOG program `plan.p1` is unnecessary because it is apparently not changed anywhere. What is it needed for?
- (b) If we add a `fail` to the end of action in `plan.p1` (comment out Line 7 and un-comment Line 8), then all solutions will be given as output. Why is every solution now printed twice? How can you prevent this? (**Hint:** Look at the definition of the predicate `safe`.)

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# Constraint Logic Programming

- The *programming of scheduling systems*, in which many (sometimes complex) logical and numerical conditions must be fulfilled, *can be very expensive and difficult with conventional programming languages.*
- This is precisely where *logic could be useful.*
- An approach is to simply *write all logical conditions in PL1 and then enter a query. Usually this approach fails miserably.* The reason is *the penguin problem* discussed in “Limitations of Logic”. The fact `penguin(tweety)` does ensure that `penguin(tweety)` is true but does not rule out that `raven(tweety)` is also true. To rule this out with additional axioms is very inconvenient.
- *Constraint Logic Programming (CLP)* [Jaffar and Lassez 1987], which allows the *explicit formulation of constraints for variables*, offers an elegant and very efficient mechanism for solving this problem.
  - The *interpreter constantly monitors the execution of the program for adherence to all of its constraints.*
  - The programmer is fully relieved of the task of controlling the constraints, which in many cases can greatly simplify programming.

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# Constraint Logic Programming

## Example 9 (Applying the CLP mechanism of GNU-PROLOG (The finite domain (FD) constraint solver))

The secretary of Albert Einstein High School has to come up with a plan for allocating rooms for final exams. He has the following information: the four teachers Mayer, Hoover, Miller and Smith give tests for the subjects German, English, Math, and Physics in the ascendingly numbered rooms 1, 2, 3 and 4. Every teacher gives a test for exactly one subject in exactly one room. Besides that, he knows the following about the teachers and their subjects.

- (1) Mr. Mayer never tests in room 4.
- (2) Mr. Miller always tests German.
- (3) Mr. Smith and Mr. Miller do not give tests in neighboring rooms.
- (4) Mrs. Hoover tests Mathematics.
- (5) Physics is always tested in room number 4.
- (6) German and English are not tested in room 1.

Who gives a test in which room?

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# Constraint Logic Programming

raumplan.pl

```
1   %%% Run in GNU-PROLOG
2   start :-  
3     fd_domain([Mayer, Hoover, Miller, Smith], 1, 4),  
4     fd_all_different([Mayer, Miller, Hoover, Smith]),  
5  
6     fd_domain([German, English, Math, Physics], 1, 4),  
7     fd_all_different([German, English, Math, Physics]),  
8  
9     fd_labeling([Mayer, Hoover, Miller, Smith]),  
10  
11    Mayer #\= 4,                                                  % Mayer not in room 4  
12    Miller #= German,                                                % Miller tests German  
13    dist(Miller, Smith) #>= 2,                                    % Distance Miller/Smith >= 2  
14    Hoover #= Math,                                                % Hoover tests mathematics  
15    Physics #= 4,                                                    % Physics in room 4  
16    German #\= 1,                                                    % German not in room 1  
17    English #\= 1,                                                    % English not in room 1  
18    nl,  
19    write([Mayer, Hoover, Miller, Smith]), nl,  
20    write([German, English, Math, Physics]), nl.
```

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## ■ GNU-PROLOG built-in predicates:

- `fd_domain(Vars, Lower, Upper)` constrains each element X of Vars to take a value in Lower..Upper.
- `fd_all_different(List)` constrains all variables in List to take distinct values.
- `fd_labeling(Vars, Options)` assigns a value to each variable X of the list Vars according to the list of labeling options given by Options. This predicate is re-executable on backtracking. `fd_labeling(Vars)` is equivalent to `fd_labeling(Vars, [])`.

■ The variables Mayer, Hoover, Miller, Smith as well as German, English, Math, Physics can each take on an integer value from 1 to 4 as the room number. (Lines 3–6.)

■ A binding Mayer = 1 and German = 1 means that Mr. Mayer gives the German test in room 1.

■ Lines 4 and 7 ensure that the four particular variables take on different values.

■ Line 9 ensures that all variables are assigned a concrete value in the case of a solution. This line is not absolutely necessary here. If there were multiple solutions, however, only intervals would be output.

■ In lines 11–17 the constraints are given, and the remaining lines output the room numbers for all teachers and all subjects in a simple format.



# Constraint Logic Programming

The program is loaded into GNU-PROLOG with  
['raumplan.pl']., and with start. we obtain the output

```
[3,1,2,4]  
[2,3,1,4]
```

```
true ?
```

```
yes
```

This output corresponds to the plan

Room num.	1	2	3	4
Teacher	Hoover	Miller	Mayer	Smith
Subject	Math	German	English	Physics

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# Constraint Logic Programming

## Exercise 9 ([Ertel 2025], Exercise 5.9, p. 91)

The following typical logic puzzle was supposedly written by Albert Einstein. Furthermore, he supposedly claimed that only 2% of the world's population is capable of solving it. The following statements are given.

- There are five houses, each painted a different color.
- Every house is occupied by a person with a different nationality.
- Every resident prefers a specific drink, smokes a specific brand of cigarette, and has a specific pet.
- None of the five people drinks the same thing, smokes the same thing, or has the same pet.
- Hints:
  - The Briton lives in the red house.
  - The Swede has a dog.
  - The Dane likes to drink tea.

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# Constraint Logic Programming

## ■ Hints (continue):

- The green house is to the left of the white house.
- The owner of the green house drinks coffee.
- The person who smokes Pall Mall has a bird.
- The man who lives in the middle house drinks milk.
- The owner of the yellow house smokes Dunhill.
- The Norwegian lives in the first house.
- The Marlboro smoker lives next to the one who has a cat.
- The man with the horse lives next to the one who smokes Dunhill.
- The Winfield smoker likes to drink beer.
- The Norwegian lives next to the blue house.
- The German smokes Rothmanns.
- The Marlboro smoker has a neighbor who drinks water.

Question: To whom does the fish belong?

- (a) First solve the puzzle manually.
- (b) Write a CLP program (for example with GNU-PROLOG) to solve the puzzle. Orient yourself with the room scheduling problem above.

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