

25 YEARS ANNIVERSARY

SCHOOL OF INFORMATION AND COMMUNICATION TECHNOLOGY

HA NOI UNIVERSITY OF SCIENCE AND TECHNOLOGY  
SCHOOL OF INFORMATION AND COMMUNICATION TECHNOLOGY



HA NOI UNIVERSITY OF SCIENCE AND TECHNOLOGY  
SCHOOL OF INFORMATION AND COMMUNICATION TECHNOLOGY

# Lesson 1

# Introduction to databases

# Learning points

1. Course overview
2. Basic concepts on database
3. Relational data model
4. Data management

# Learning objectives

- Upon completion of this lesson, students will be able to:
  - Recall the concepts of database, DBMS, data model, file system.
  - Identify the characteristics of database and file system approach in data management
  - Recall some basic concepts of relational data model.
  - Show some constraints of relational data model.

# Keywords and descriptions

Keyword	Description
<b>Database</b>	A shared collection of related data designed to meet the information needs of an organization
<b>DBMS</b>	A software to facilitate the creation and maintenance of a database
<b>Data model</b>	A set of concepts used to describe the structure of a database: data types, relationships, constraints, semantics, ...
<b>Schema</b>	A data structure fulfilled all features of the parts of the real world which is of interest to the users
<b>Instance</b>	The data itself (in the context of data model and database schema)

# Keywords and descriptions

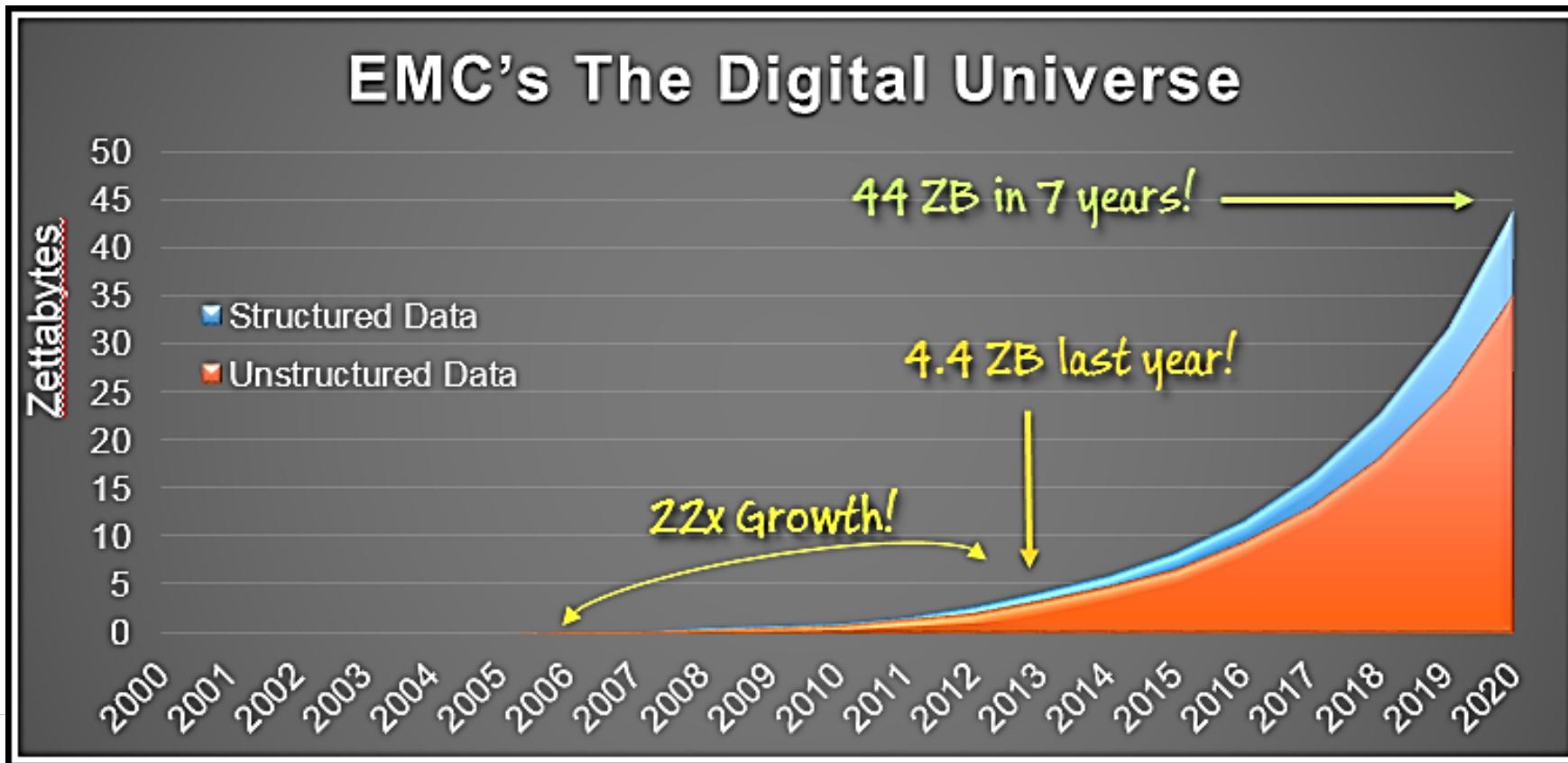
Keyword	Description
<b>Data model</b>	A set of concepts used to describe the structure of a database: data types, relationships, constraints, semantics...
<b>Relation</b>	Is thought of as a table of values, each row in the table represents a collection of related data values.
<b>Key</b>	An attribute or a set of attributes in the relation, which can identify a tuple uniquely.
<b>Integrity constraints</b>	Provide a way of ensuring that changes made to the database by authorized users do not result in a loss of data consistency.

# 1. Introduction

- Major research field with long history (since the begining of computer)
- 90% applications use databases
- Hot jobs in startups, big coorporates
- Massive Industry: Oracle, IBM, Microsoft, Google, AWS

# 1. Introduction

- How big is our digital universe?



Source: <http://hadoopsie.com/is-hadoop-better-than-sql-server/>

# 1. Introduction

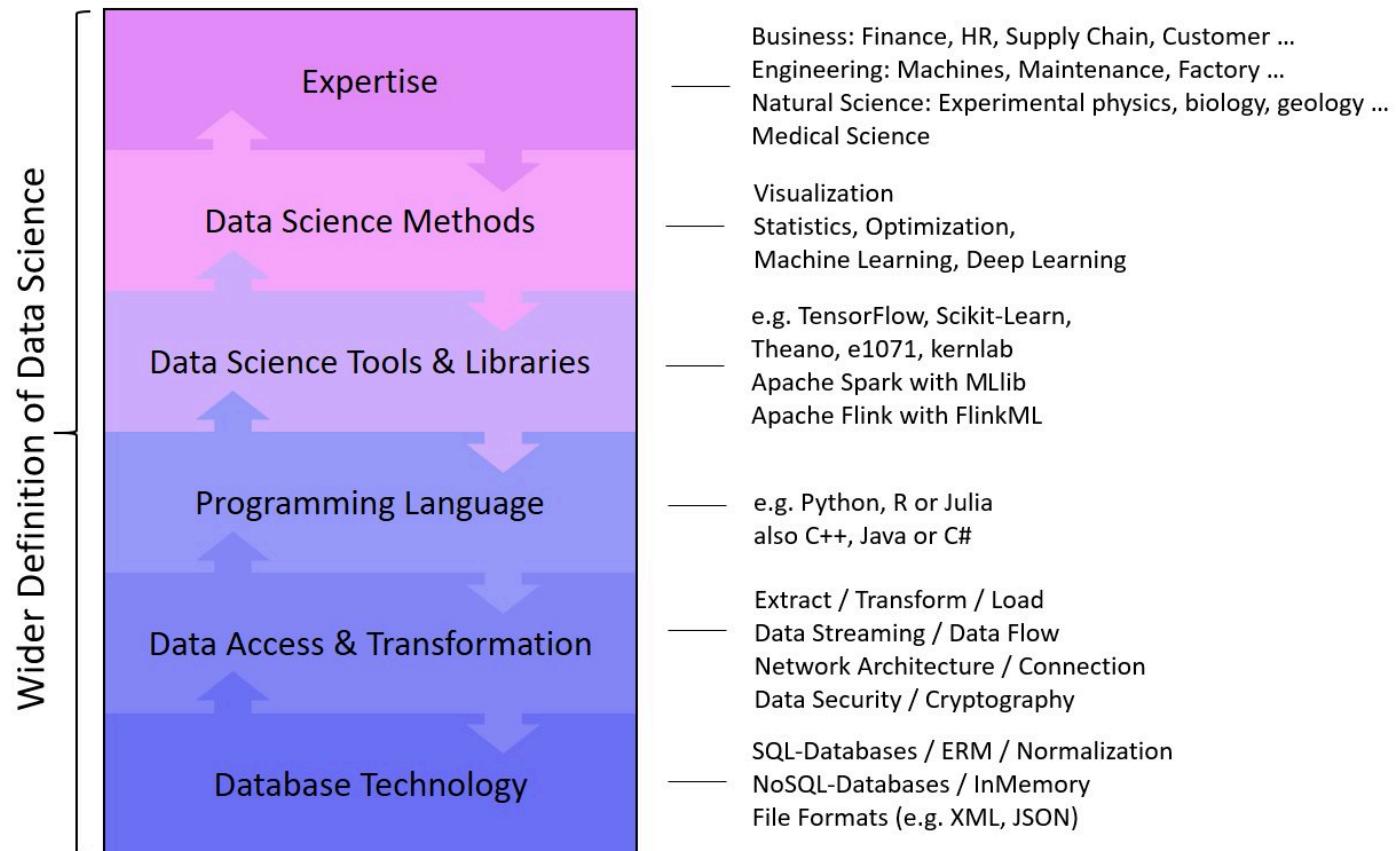
- Data is the new oil of the 21st century



Source: <https://www.economist.com>

# 1. Introduction

- Data science knowledge stack



Source: <https://data-science-blog.com>

## 2. Basic concepts

## 2. Basic concepts

- 2.1. Data
- 2.2. Database
- 2.3. Data model vs. schema vs. instance
- 2.4. Database management system (DBMS)
- 2.5. Database environment
- 2.6. Database users

## 2.1. Data

- Definitions

Wikipedia	Data is any sequence of one or more symbols given meaning by specific act(s) of interpretation.
Businessdictionary.com	Information in raw or unorganized form (e.g. alphabets, numbers, or symbols) that refer to, or represent, conditions, ideas, or objects. Data is limitless and present everywhere in the universe

- E.g. A specific student data: ID, Name, Age, Gender, Address,...

## 2.2. Database

- A database is a **logically coherent collection** of data with some inherent meaning, representing some aspect of real world and which is designed, built and populated with data for a specific purpose [Fundamentals of Database Systems]

Wikipedia	Database is a <b>shared collection of related data</b> designed <b>to meet the information needs</b> of an organization
Intro to CS	A database is a collection of information that is organized so that it can be <b>easily accessed, managed and updated</b>

## 2.2. Database

- Logically coherent
- Internally consistent
- Specific purpose
- Representation of the real world
  - Entities (e.g., Students, Courses)
  - Relationships (e.g., Tam is enrolled in C++)

## 2.2. Database

### [Example] A course management system

Entities	Relationships
<ul style="list-style-type: none"><li>• Students</li><li>• Courses</li><li>• Teachers</li></ul>	<ul style="list-style-type: none"><li>• Students take in some courses</li><li>• Course are given by some teachers</li></ul>

## 2.3. Model vs. Schema vs. Instance

<b>Data Model</b>	<ul style="list-style-type: none"><li>• Set of concepts used to describe the structure of a database: data types, relationships, constraints, semantics,</li></ul>
<b>Schema</b>	<ul style="list-style-type: none"><li>• Data structure fulfilled all features of the parts of the real world which is of interest to the users</li></ul>
<b>Instance</b>	<ul style="list-style-type: none"><li>• Data itself</li></ul>

## 2.3. Model vs. Schema vs. Instance

Data Model	<pre>type &lt;type_name&gt; = record   &lt;field_name&gt; : &lt;data_type&gt;;   &lt;field_name&gt; : &lt;data_type&gt;;   ... end;</pre>
Schema	<pre>type student = record   ID : string;   fullName: string;   Birthday: date;   Address: string ;   Class: string; end;</pre>
Instance	( « Stud001 », « Nguyen », 1/4/1983, «1 Dai Co Viet »,   « 1F VN K50 »)

## 2.4. Database Management System (DBMS)

- Definitions

Wikipedia	A software to facilitate the creation and maintenance of a database
Techtarget	The DBMS provides users and programmers with a systematic way to create, retrieve, update and manage data



## 2.4. Database Management System (DBMS)

“More than 90 % of real world computer applications  
are associated with databases”

\* Korth & Silberschatz. Database System Concepts.



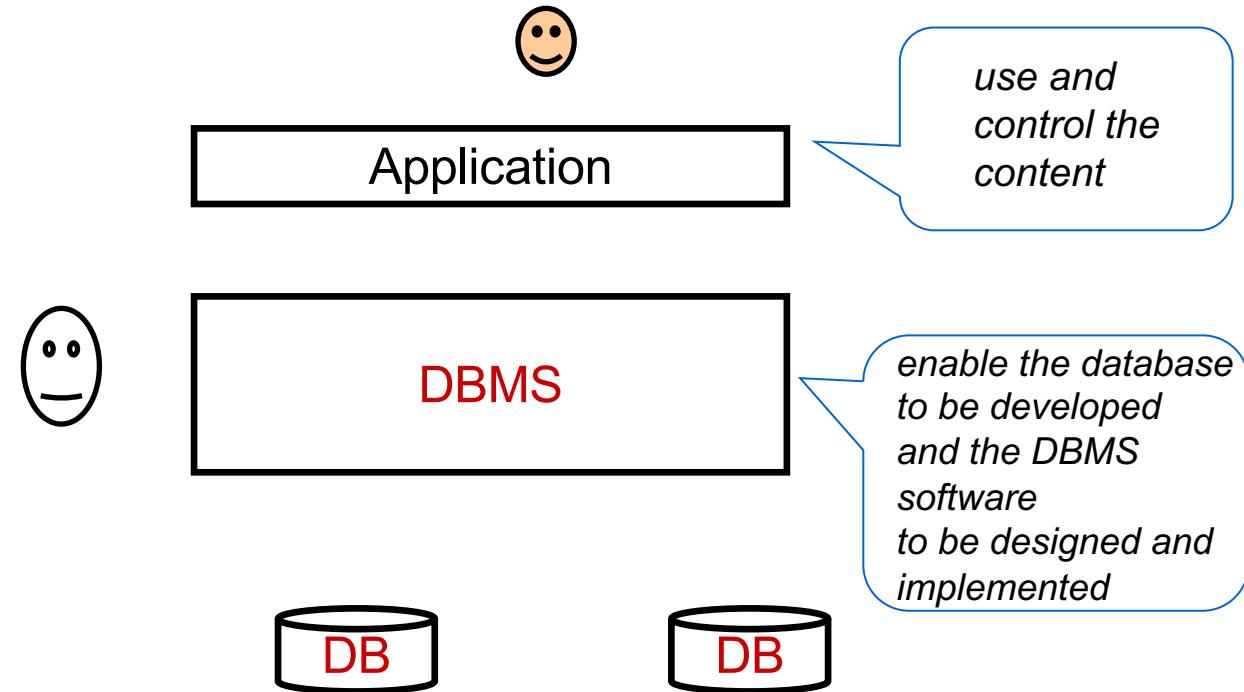
## 2.4. Database Management System (DBMS)

- Defining ~ specifying types of data
- Constructing ~ storing & populating
- Manipulating ~ querying, updating, reporting



## 2.5. Database Environment

- A database environment is a collective system of components that regulates the management, the use of data, and the data itself
  - Hardware
  - Software
  - Data
  - Users
  - Procedures/Manuals



## 2.6. Database Users

- Database administrators
  - authorize access to the database
  - co-ordinate and monitoring its use
  - acquire software, and hardware resources, controlling its use and monitoring efficiency of operations.

## 2.6. Database Users

- Database Designers
  - define the content, the structure, the constraints, and functions or transactions against the database.
  - communicate with the end-users and understand their needs.
- End-users
  - use the data for queries, reports and some of them actually update the database content.
  - Casual end users
  - Naive users
  - Sophisticated end users

# 3. Relational data model

- 3.1. Introduction
- 3.2. Database Basic concepts
- 3.3. Constraints
- 3.4. An example

## 3.1. Introduction

- Some of data models:
  - Hierarchical database model
  - Network model
  - Object-oriented database model
  - **Relational model**
  - **Entity-relationship model**
  - Document model
  - ...

## 3.1. Introduction

- Relational data model:
  - Is very simple model, was first introduced by Ted Codd of IBM Research in 1970
  - Used by most of commercial database systems
  - Query with high-level languages
  - Efficient implementations
  - Based on mathematical theory, closed to file structure and data structure, there are three sets of terminology:

Relation	Table	File
Tuple	Row	Record
Attribute	Column	Field

## 3.2. Basic concepts

Relations	<ul style="list-style-type: none"><li>• are saved in the format of tables, which have rows and columns.</li></ul>
Relation instance/state	<ul style="list-style-type: none"><li>• actual contents at given point in time. The lowercase letters q, r, s denote relation states.</li></ul>
Database	<ul style="list-style-type: none"><li>• a set of named relations (or tables).</li></ul>

## 3.2. Basic concepts

<b>Tuple</b>	<ul style="list-style-type: none"><li>• A single row of a table, which contains a single record for that relation.</li><li>• The letters t, u, v denote tuples.</li></ul>
<b>Cardinality</b>	<ul style="list-style-type: none"><li>• Is the number of tuples in a relation.</li></ul>
<b>Degree (arity)</b>	<ul style="list-style-type: none"><li>• Is the number of attributes in a relation.</li></ul>

## 3.2. Basic concepts

- Relational schema: structural description of relations in database.
  - A relation schema  $R$  of degree  $n$ , denoted by  $R(A_1, A_2, \dots, A_n)$ , is made up of a relation name  $R$  and a list of attributes  $A_1, A_2, \dots, A_n$
  - Each attribute  $A_i$  has values belong to domain  $D_i$  of  $A_i$ , denoted by  $\text{dom}(A_i)$
  - An  $n$ -tuple  $t$  in a relation  $r(R)$  is denoted by  $t = \langle v_1, v_2, \dots, v_n \rangle$ , where  $v_i$  is the value corresponding to attribute  $A_i$ . Both  $t[A_i]$  and  $t.A_i$  (and sometimes  $t[i]$ ) refer to the value  $v_i$  in  $t$  for attribute  $A_i$

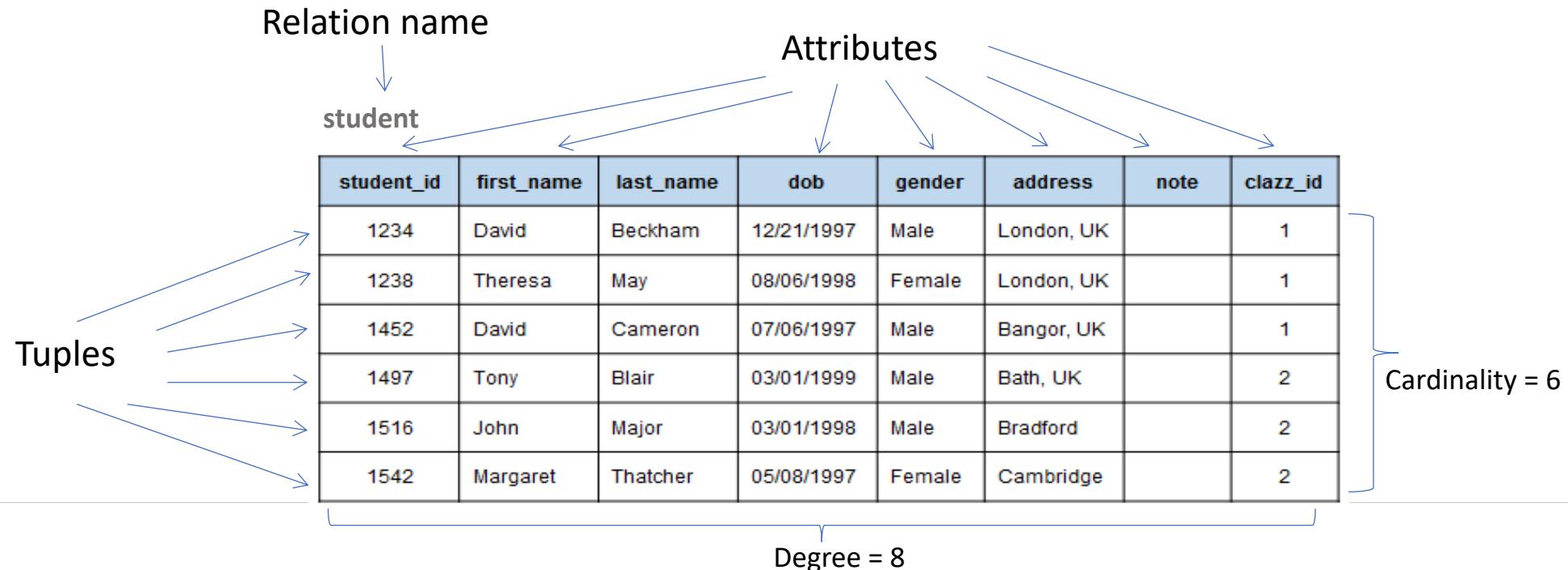
## 3.2. Basic concepts

- Notice that the uppercase letters Q, R, S denote relation names.
- A relation (or relation state)  $r$  of the relation schema  $R(A_1, A_2, \dots, A_n)$ , also denoted by  $r(R)$ , is a set of  $n$ -tuples  $r = \{t_1, t_2, \dots, t_m\}$ . Each  $n$ -tuple  $t$  is an ordered list of  $n$ -values  $t = \langle v_1, v_2, \dots, v_n \rangle$ , where each value  $v_i$ ,  $1 \leq i \leq n$ , is an element of  $\text{dom}(A_i)$  or is a special NULL value.
- A relation (or relation state)  $r(R)$  is a mathematical relation of degree  $n$  on the domains  $\text{dom}(A_1), \text{dom}(A_2), \dots, \text{dom}(A_n)$ , which is a subset of the Cartesian product of the domains that define  $R$ :

$$r(R) \subseteq (\text{dom}(A_1) \times \text{dom}(A_2) \times \dots \times \text{dom}(A_n))$$

## 3.2. Basic concepts

- An example
  - student(student\_id, first\_name, last\_name, dob, gender, address, note, clazz\_id)



# 3.3. Constraints

3.3.1. Introduction

3.3.2. Types of constraints

3.3.3. An example

### 3.3.1. Introduction

- Every relation has some conditions that must hold for it to be a valid relation.
  - These conditions are called **Relational Integrity Constraints**.
    - Provide a way of ensuring that changes made to the database by authorized users do not result in a loss of data consistency.

### 3.3.2. Types of constraints

- Key constraints
- Domain constraints
- Referential integrity constraints

### 3.3.2. Types of constraints

- Key constraints
  - A **key** is an attribute or a set of attributes in the relation, which can identify a tuple uniquely.
  - **Key constraints** force that:
    - in a relation with a key, no two tuples can have identical values for key attributes.
    - a key can not have NULL values.
    - Key constraints are also referred to as Entity Constraints.

### 3.3.2. Types of constraints

- Some types of key
  - **Superkey:** An attribute, or a set of attributes, that uniquely identifies a tuple within a relation.
  - **Candidate Key (or minimal key):**
    - Is a Superkey (uniqueness)
    - A minimal set of attributes that can be used to identify a single tuple.
    - No proper subset of K has the uniqueness property (irreducibility)
  - **Primary Key:**
    - Candidate key selected to identify tuples uniquely within a relation.
    - Each key attribute of primary key has its name underlined.
  - **Alternate Keys:**
    - Candidate keys that are not selected to be the primary key.

### 3.3.2. Types of constraints

- Some types of key
  - Foreign Key:
    - Attribute, or set of attributes, within one relation that matches any candidate key of some relations
    - Used to model relationships between relations
    - Each key attribute of foreign key has its name italic

### 3.3.2. Types of constraints

- Domain Constraints
  - Attributes have specific values in real-world scenario. Every attribute is bound to have a specific range of values.
  - Within each tuple, the value of each attribute A must be an atomic value from the domain  $\text{dom}(A)$ .
  - **The data types associated with domains**
    - standard numeric data types for integers (short integer, integer, and long integer) and real numbers (float, double precision float).
    - Characters, Booleans, fixed-length strings, and variable-length strings, date, time, timestamp, and money, or other special data types.
    - a subrange of values from a data type .
    - an enumerated data type in which all possible values are explicitly listed.

### 3.3.2. Types of constraints

- Domain constraints
  - Null value
    - Represents value for an attribute that is currently unknown or not applicable for any tuple;
    - deals with incomplete or exceptional data;
    - represents the absence of a value and is not the same as zero or spaces

### 3.3.2. Types of constraints

- Referential integrity Constraints
  - Referential integrity constraints work on the concept of Foreign Keys. A foreign key is a key attribute of a relation that can be referred in other relation.
  - Referential integrity constraint states that if a relation refers to a key attribute of a different or same relation, then **that key element must exist.**

## 3.4. An example

student(student\_id, first\_name, last\_name, dob, gender, address, note, *clazz\_id*)

subject(subject\_id, name, credit, percentage\_final\_exam)

enrollment(student\_id, subject\_id, semester, midterm\_score, final\_score)

## 3.4. An example

student

<u>student_id</u>	first_name	last_name	dob	gender	address	note	clazz_id
1234	David	Beckham	12/21/1997	Male	London, UK		1
1238	Theresa	May	08/06/1998	Female	London, UK		1
1452	David	Cameron	07/06/1997	Male	Bangor, UK		1
1497	Tony	Blair	03/01/1999	Male	Bath, UK		2
1516	John	Major	03/01/1998	Male	Bradford		2
1542	Margaret	Thatcher	05/08/1997	Female	Cambridge		2

subject

<u>subject_id</u>	name	credit	percentage_final_exam
IT3090	Databases	3	0.7
IT4843	Data integration	3	0.7
IT4868	Web mining	2	0.6
IT2000	Introduction to ICT	2	0.5
IT3020	Discrete Mathematics	2	0.7
IT3030	Computer Architectures	3	0.7

Foreign key

enrollment

Foreign key

Primary key

<u>student_id</u>	<u>subject_id</u>	<u>semester</u>	midterm_score	final_score
1234	IT3090	20171	7	8
1238	IT3090	20171	9	8
1452	IT3090	20171	6	6
1234	IT2000	20162	5	8
1234	IT3020	20171	8	9
1452	IT3030	20171	7	9
1238	IT3020	20162	7	7

# Summary

- Relational data model
  - Relations, relation instance/state, relation schema
  - Database, tuple
  - Cardinality, degree
- Constraints
  - Key constraints
  - Domain constraints
  - Referential integrity constraints

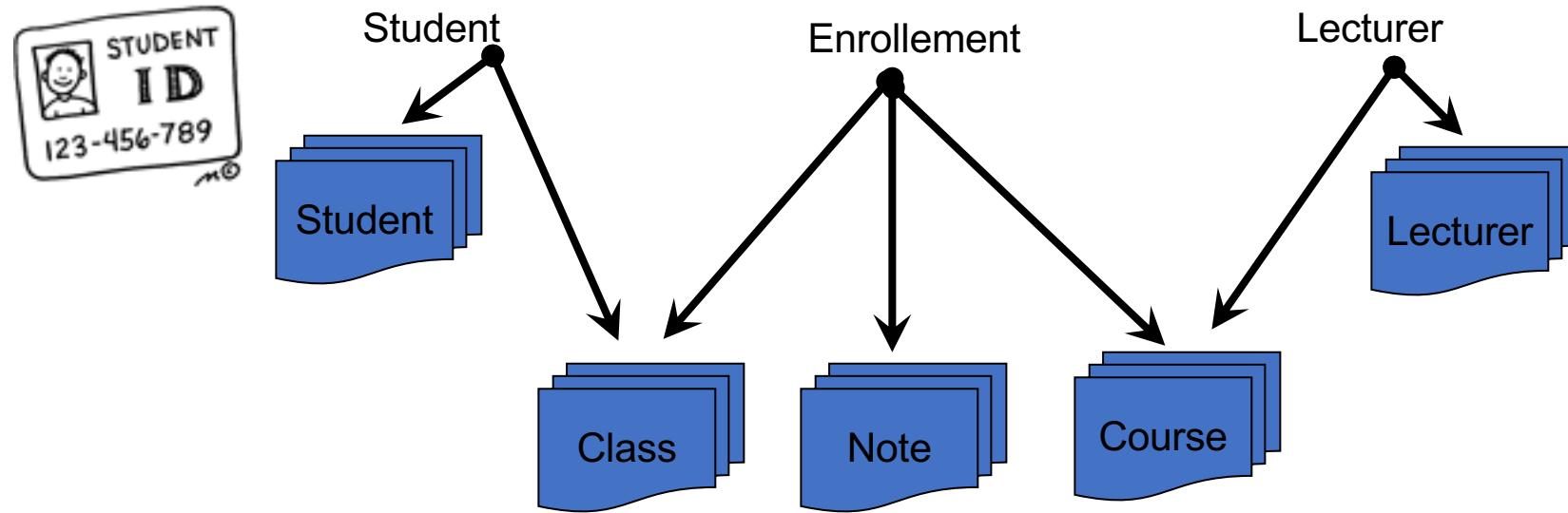
# 4. Data management

# 4. Data management

4.1. File management system approach

4.2. Database management system approach

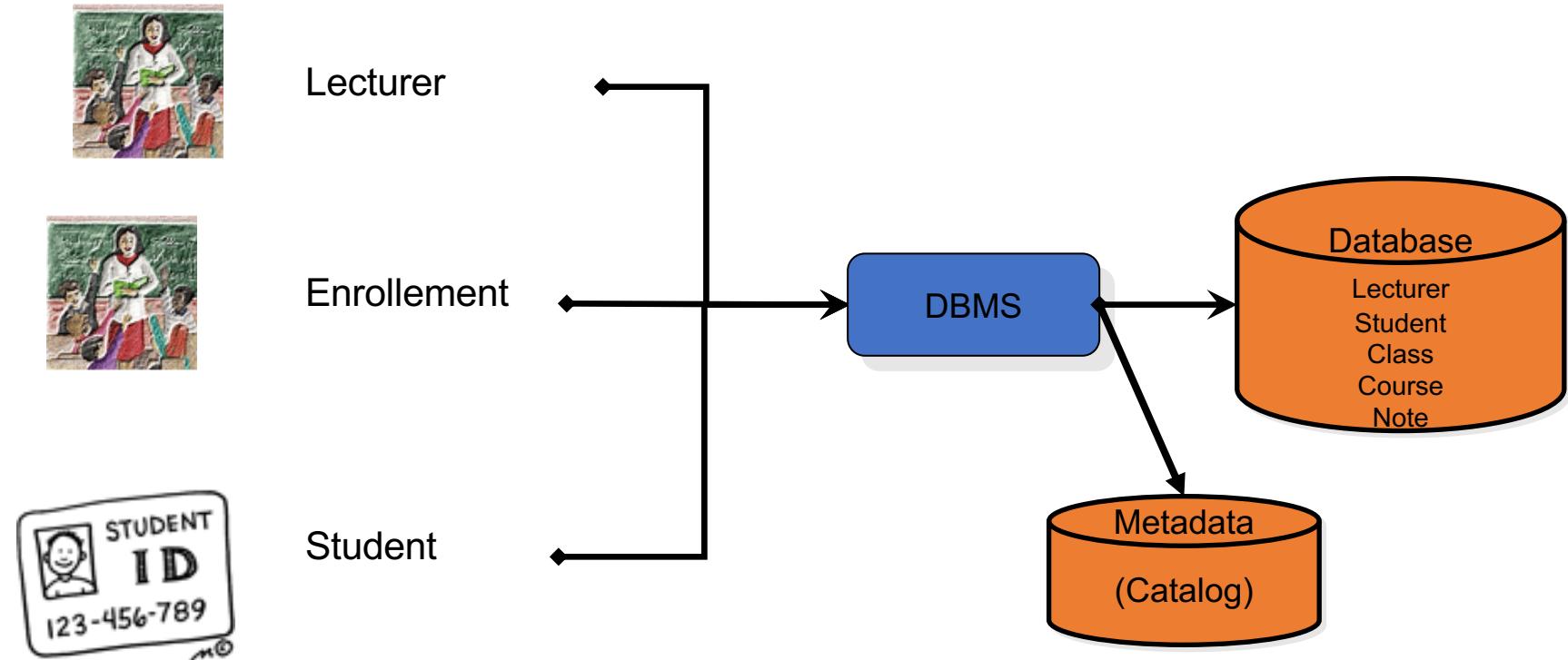
## 4.1. File management system approach



# 4.1. File management system approach

- Limitations
  - Uncontrolled redundancy
  - Inconsistent data
  - Inflexibility
  - Limited data sharing
  - Poor enforcement of standards
  - Low programmer productivity
  - Excessive program maintenance
  - Excessive data maintenance

## 4.2. Database approach



## 4.2. Database approach

- Controlled redundancy: consistency of data & integrity constraints
- Integration of data: self-contained & represents semantics of application
- Data and operation sharing: multiple interfaces
- Flexibility: data independence, data accessibility, reduced program maintenance
- Services & Controls
  - Security & privacy controls
  - backup & recovery
  - enforcement of standards
- Ease of application development

## 4.2. Database approach

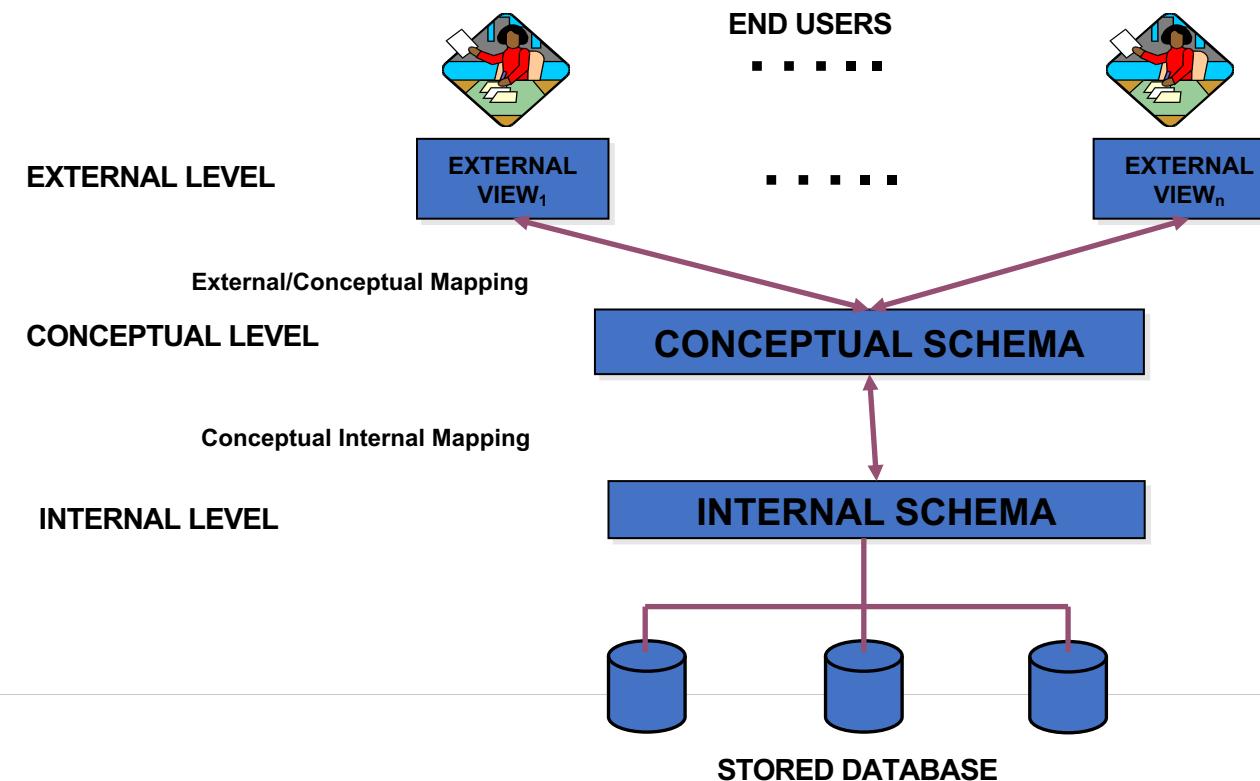
- Characteristics of Database Approach
  - Self-describing
    - Catalog (or meta-data) stores the description of the database
    - Allow the DBMS software to work with different DBs
  - Data Abstraction:
    - Data model used to hide storage details
    - Present the users with a conceptual view of the DB
  - Sharing of data
    - Support multiple view of a DB
    - Allow concurrent access on a DB

## 4.2. Database approach

- Characteristics of Database Approach
  - Persistence
    - store data on secondary storage
  - Retrieval
    - a declarative query language
    - a procedural database programming language
  - Performance
    - retrieve and store data quickly
    - deal with large volume of data

## 4.2. Database approach

- 3-tier Schema Model (ANSI-SPARC Architecture)



# Summary

- Overview
  - Course overview
  - Course objective
  - Motivation for studying databases
- Basic concepts
  - Data
  - Database
  - Data model vs. schema vs. Instance
  - Database management system (DBMS)
  - Database environment
  - Database users
- Relational database
- Data management
  - File management system approach
  - Database management system approach



VIỆN CÔNG NGHỆ THÔNG TIN VÀ TRUYỀN THÔNG  
SCHOOL OF INFORMATION AND COMMUNICATION TECHNOLOGY

Thank you for  
your attention!

