

# Graph Algorithms I

Summer 2017 • Lecture 07/18

# A Few Notes

## Homework 3

Due Friday 7/21 at 11:59 p.m. on Gradescope.

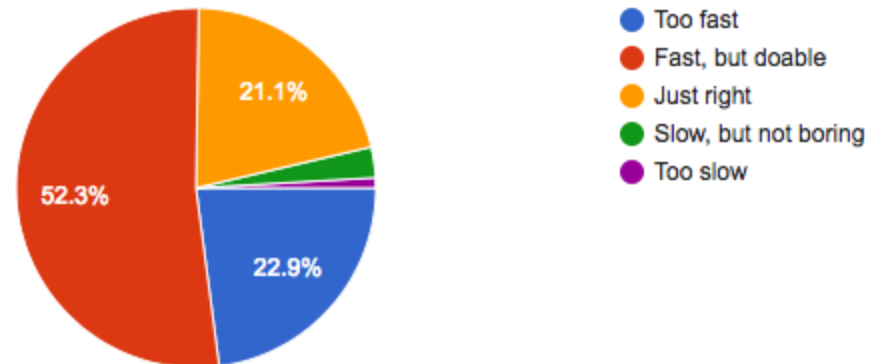
## Homework 4

Released Friday 7/21.

# Week 3 Feedback

How do you find the pace of the course?

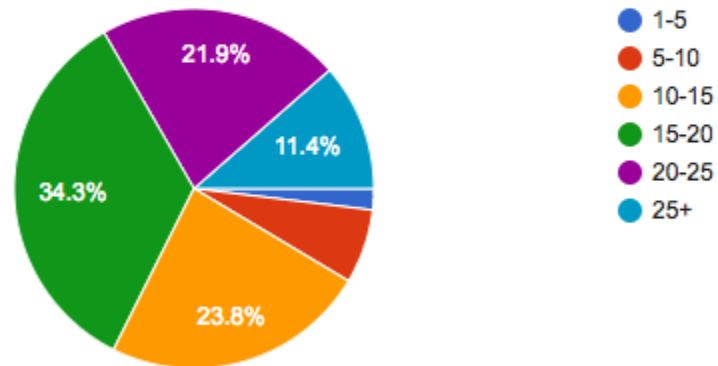
109 responses



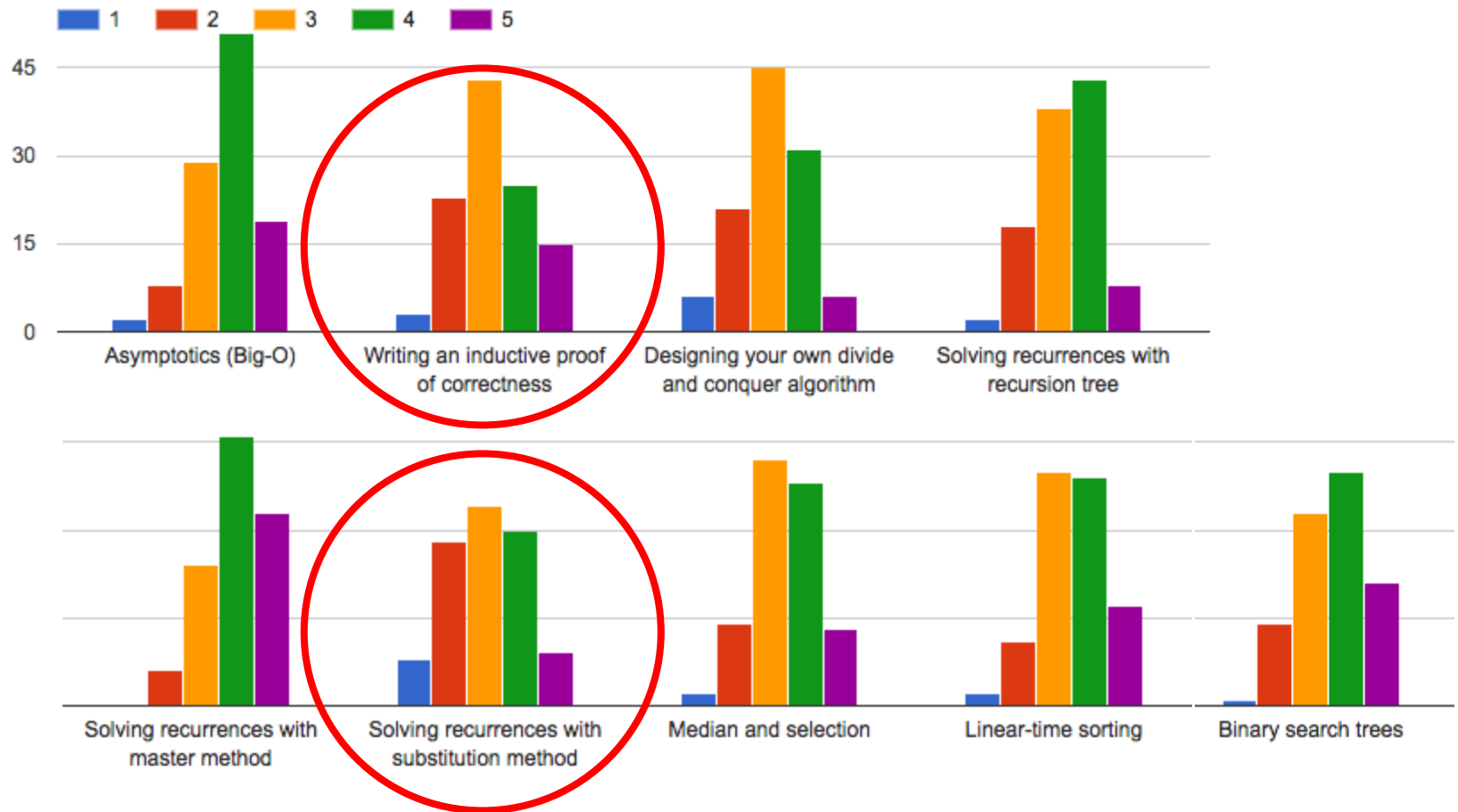
# Week 3 Feedback

How many hours did you spend on Homework 2?

105 responses



# Week 3 Feedback



# Week 3 Feedback

What's one thing that you like about the course so far?

Office hours are very helpful. **Far fewer issues with office hours last week.**

I am actually learning things! :O **Yay!**

I like radix sort. **Me too!**

What's one thing that you wish was different about the course so far?

More homework-related examples during lecture. **Starting yesterday, I converted half of my office hours into a discussion section.**

Homework too long. **Starting this week, we'll ask 5 questions.**

Point out the reference material that can help with the homework. **Lecture notes from previous quarters and CLRS chapters have been on the website for the past two weeks.**

More link to application of these algorithm. **Starting this week, the homework will be more applied and motivated.**

# Outline for Today

## Graph algorithms

### Graph Basics

DFS: topological sort, in-order traversal of BSTs, exact traversals

BFS: shortest paths, bipartite graph detection

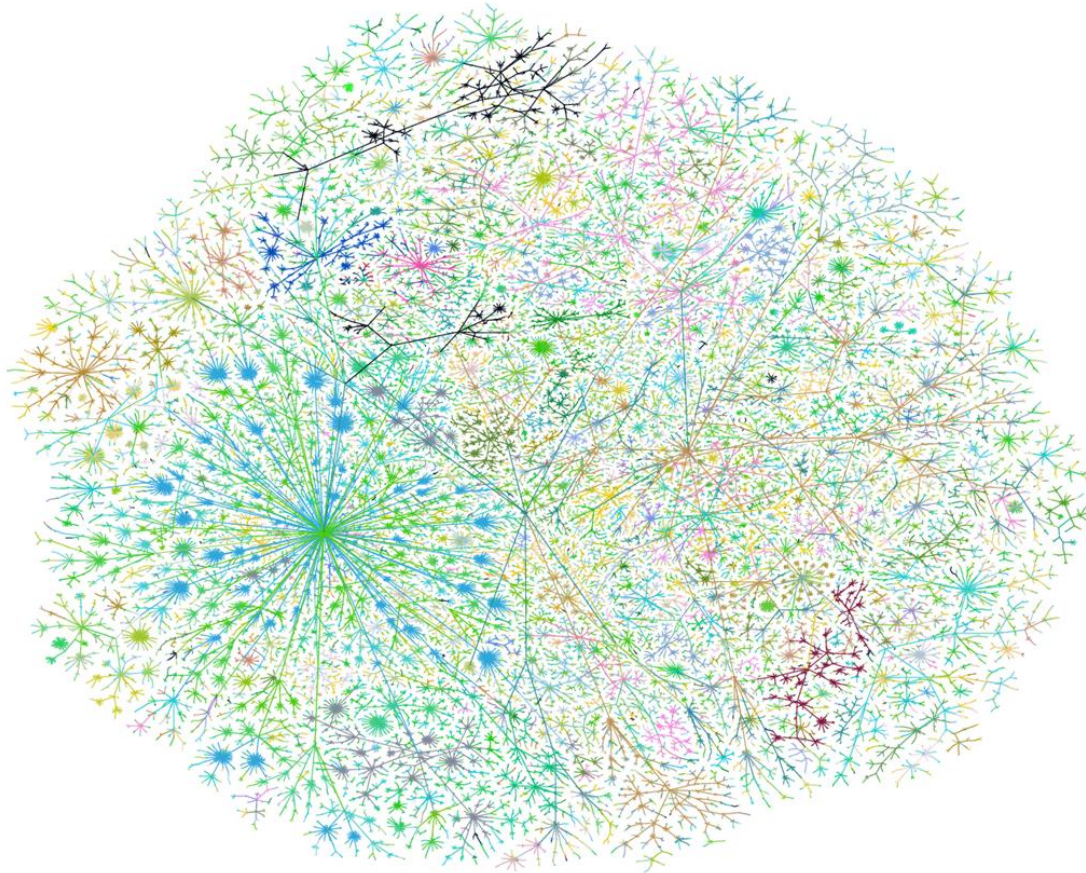
Dijkstra's Algorithm for single-source shortest path

# Graph Basics



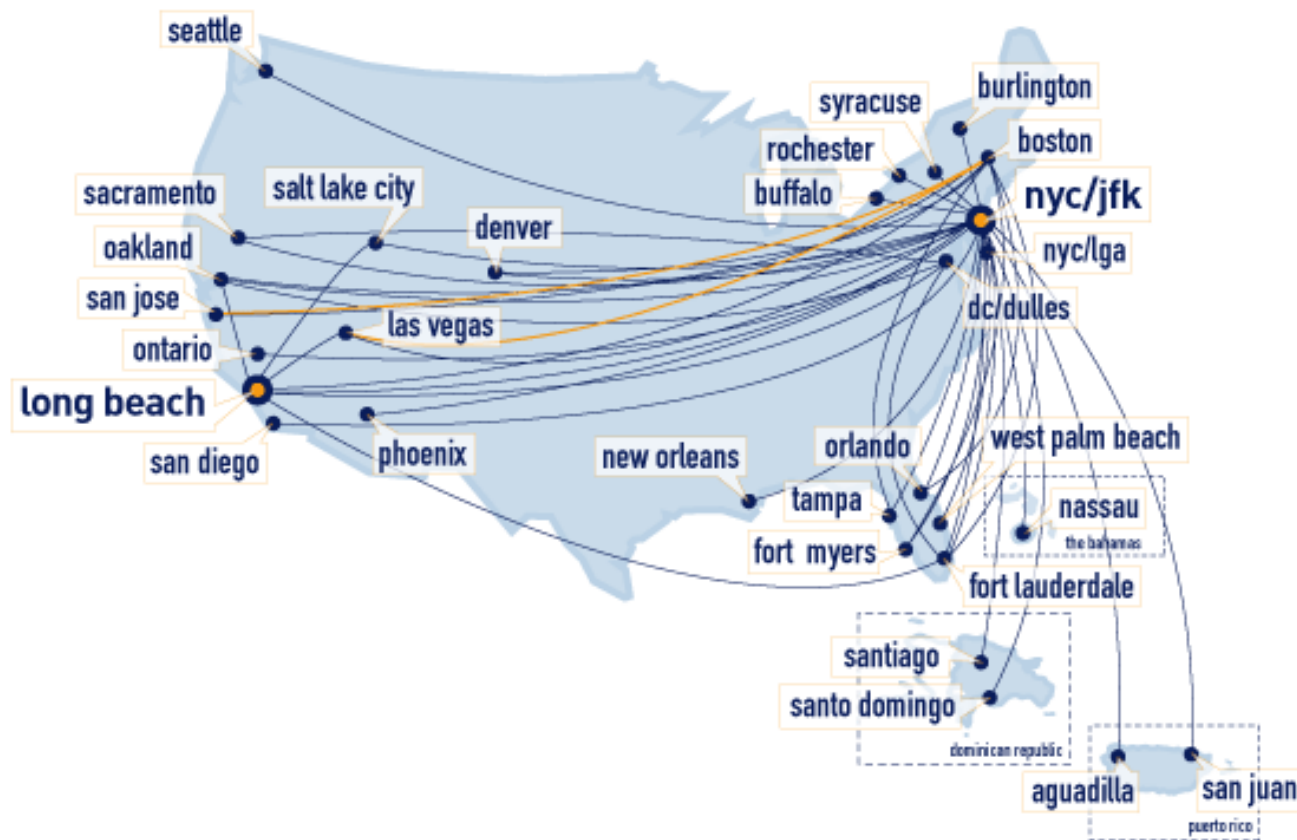
# Examples of Graphs

The Internet (circa 1999)



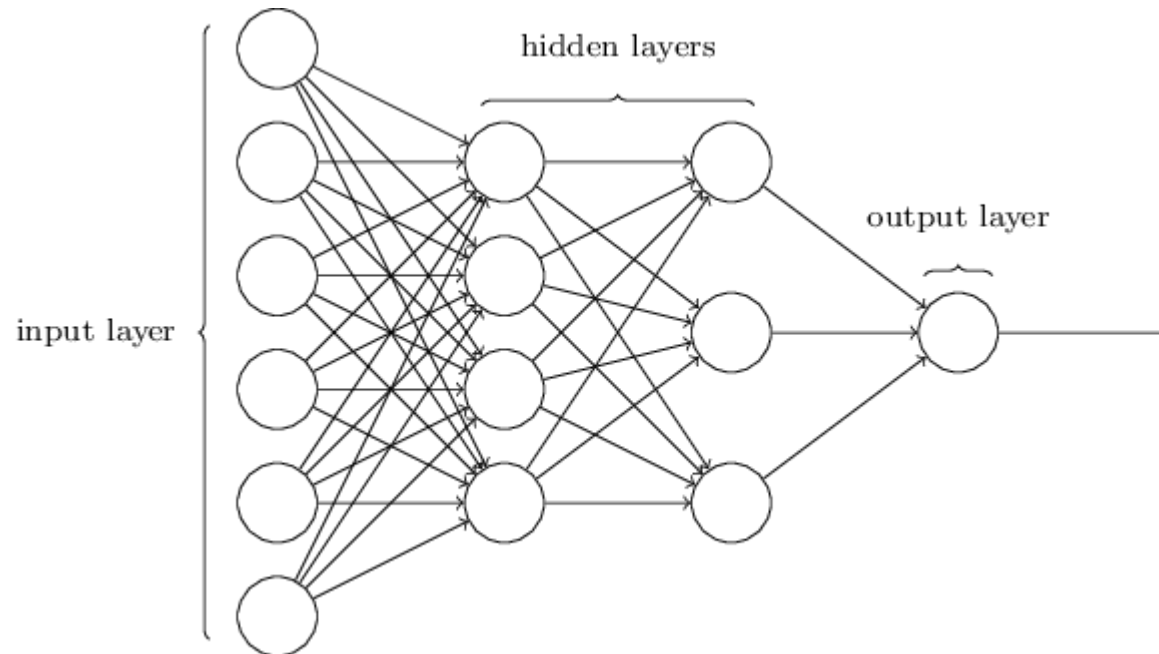
# Examples of Graphs

Flight networks (Jet Blue, for example)



# Examples of Graphs

Neural networks



# Graphs

We might want to answer one of several questions about  $G$ .

- Finding the shortest path between two vertices (SPSP) for efficient routing.

- Finding strongly connected components for community detection or clustering.

- Finding the topological ordering to respect dependencies.

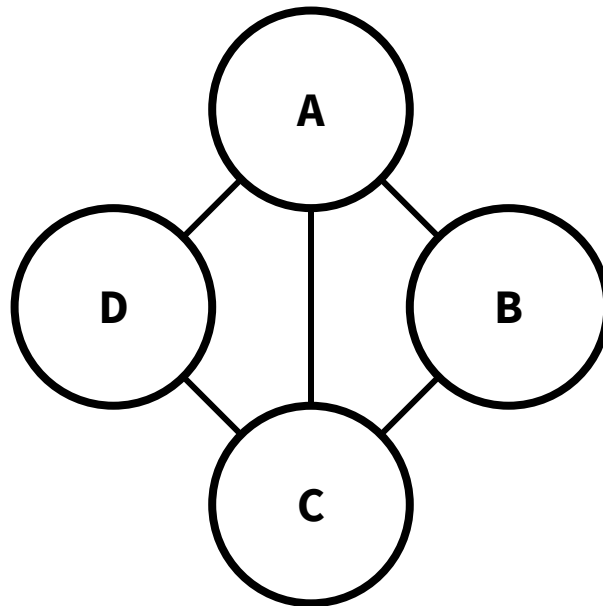
# Undirected Graphs

An undirected graph has vertices and edges.

$V$  is the set of vertices and  $E$  is the set of edges.

Formally, an undirected graph is  $G = (V, E)$ .

e.g.  $V = \{A, B, C, D\}$  and  $E = \{ \{A, B\}, \{A, C\}, \{A, D\}, \{B, C\}, \{C, D\} \}$



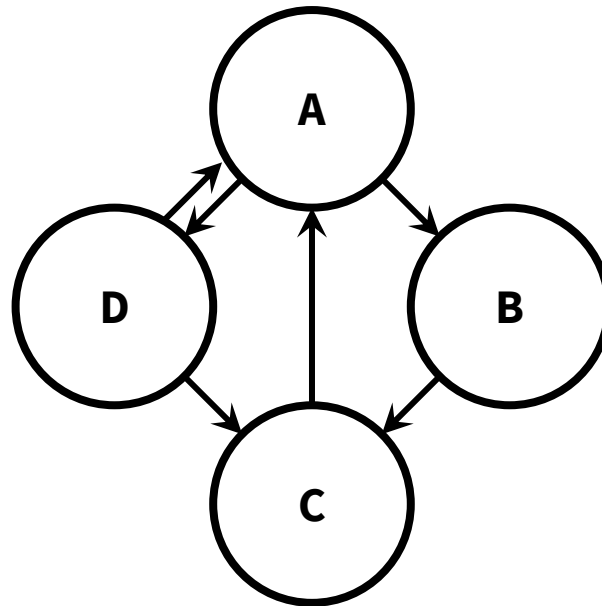
# Directed Graphs

A directed graph has vertices and **directed** edges.

$V$  is the set of vertices and  $E$  is the set of directed edges.

Formally, a directed graph is  $G = (V, E)$

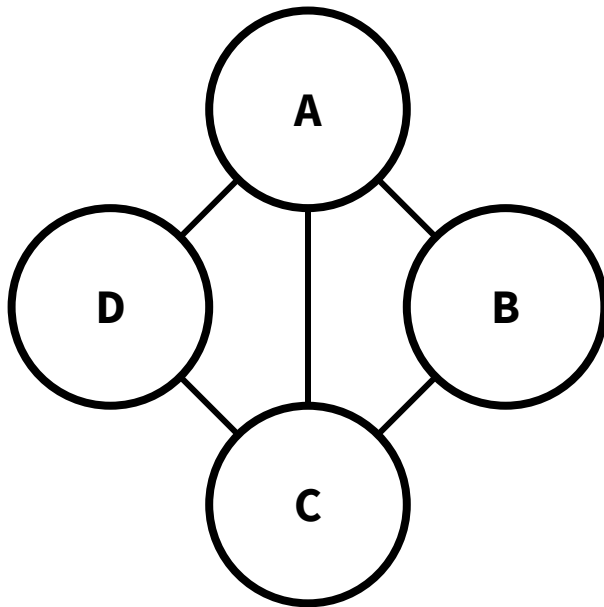
e.g.  $V = \{A, B, C, D\}$  and  $E = \{ [A, B], [A, D], [B, C], [C, A], [D, A], [D, C] \}$



# Graph Representations

How do we represent graphs?

## (1) Adjacency matrix

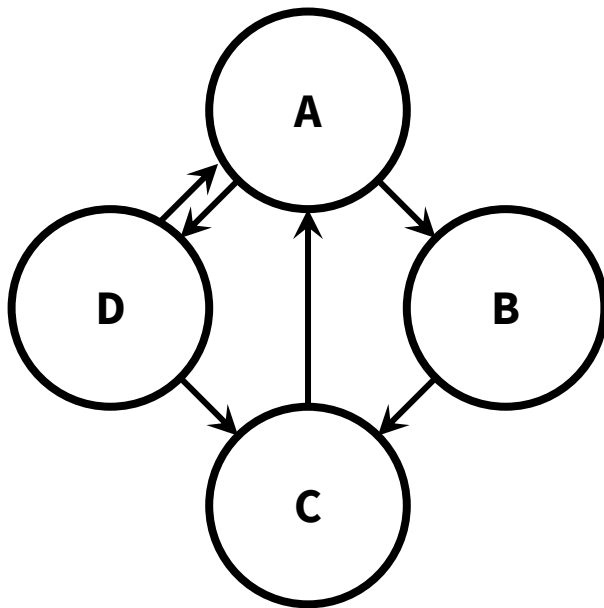


	A	B	C	D
A	0	1	1	1
B	1	0	1	0
C	1	1	0	1
D	1	0	1	0

# Graph Representations

How do we represent graphs?

## (1) Adjacency matrix



		destination			
		A	B	C	D
source	A	0	1	0	1
	B	0	0	1	0
	C	1	0	0	0
	D	1	0	1	0

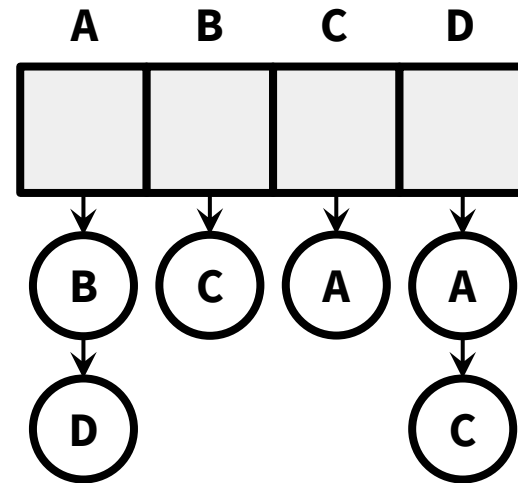
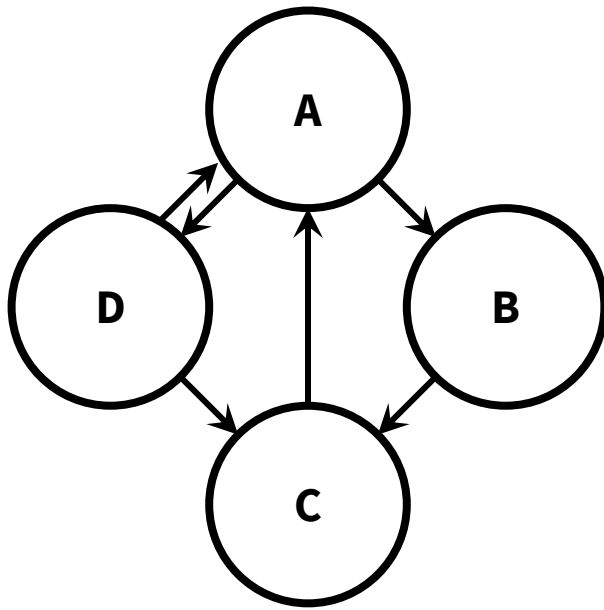


# Graph Representations

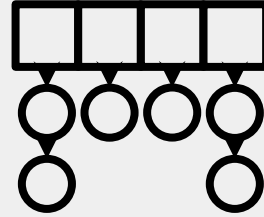
How do we represent graphs?

(1) Adjacency matrix

**(2) Adjacency list**



# Graph Representations

For $G = (V, E)$	$\begin{bmatrix} 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix}$	
<b>Edge Membership</b> Is $e = \{u, v\}$ in $E$ ?	$O(1)$	$O(\deg(u))$ or $O(\deg(v))$
<b>Neighbor Query</b> What are the neighbors of $u$ ?	$O( V )$	$O(\deg(v))$
<b>Space requirements</b>	$O( V ^2)$	$O( V  +  E )$

Generally, better for sparse graphs.

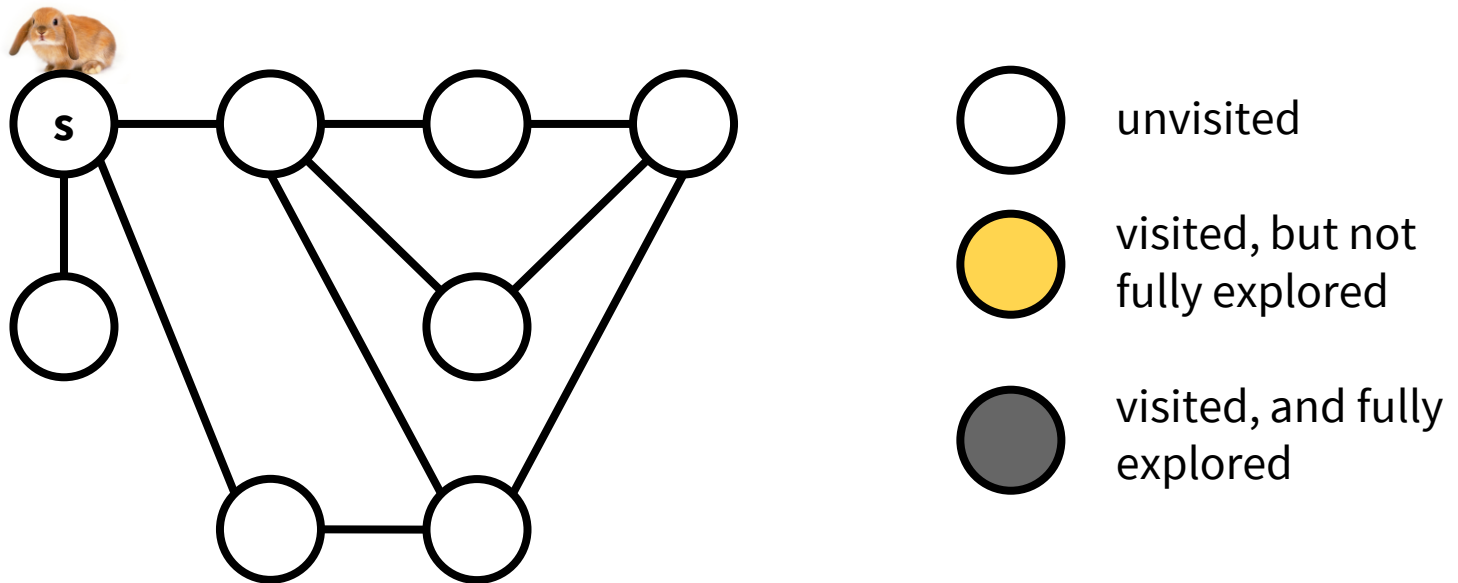
We'll assume this representation, unless otherwise stated.

# Depth-First Search

# Depth-First Search

## An analogy

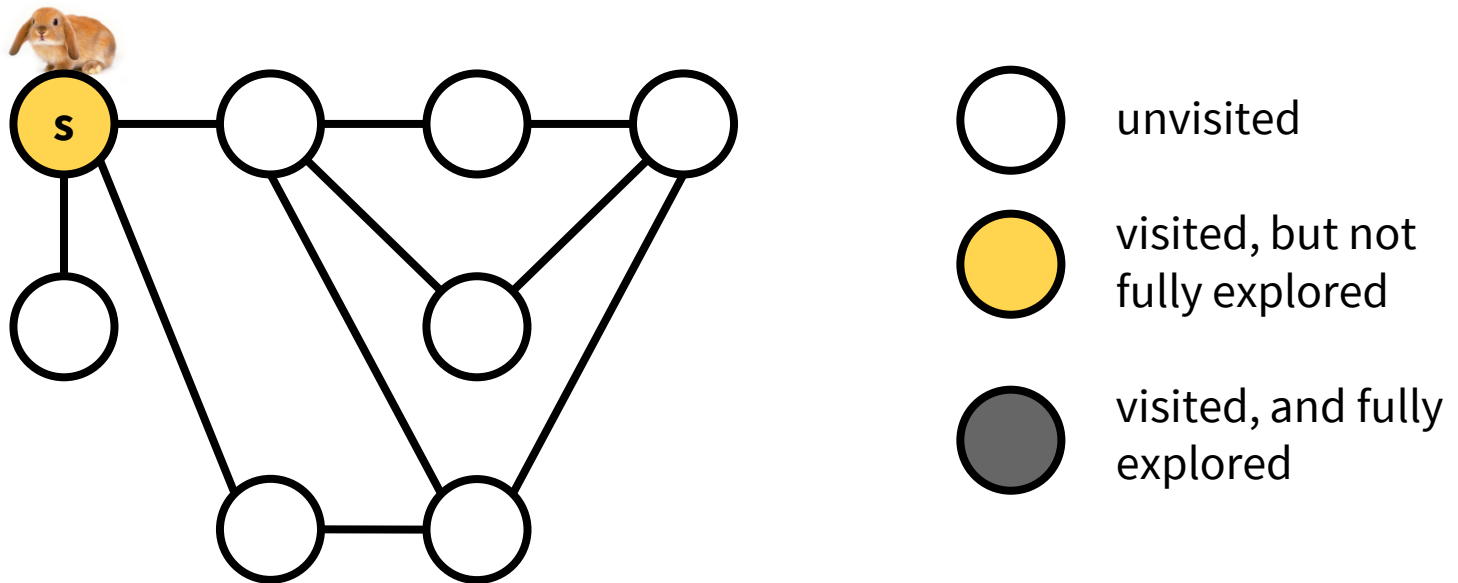
A smart bunny exploring a labyrinth with chalk (to mark visited destinations) and thread (to retrace steps).



# Depth-First Search

## An analogy

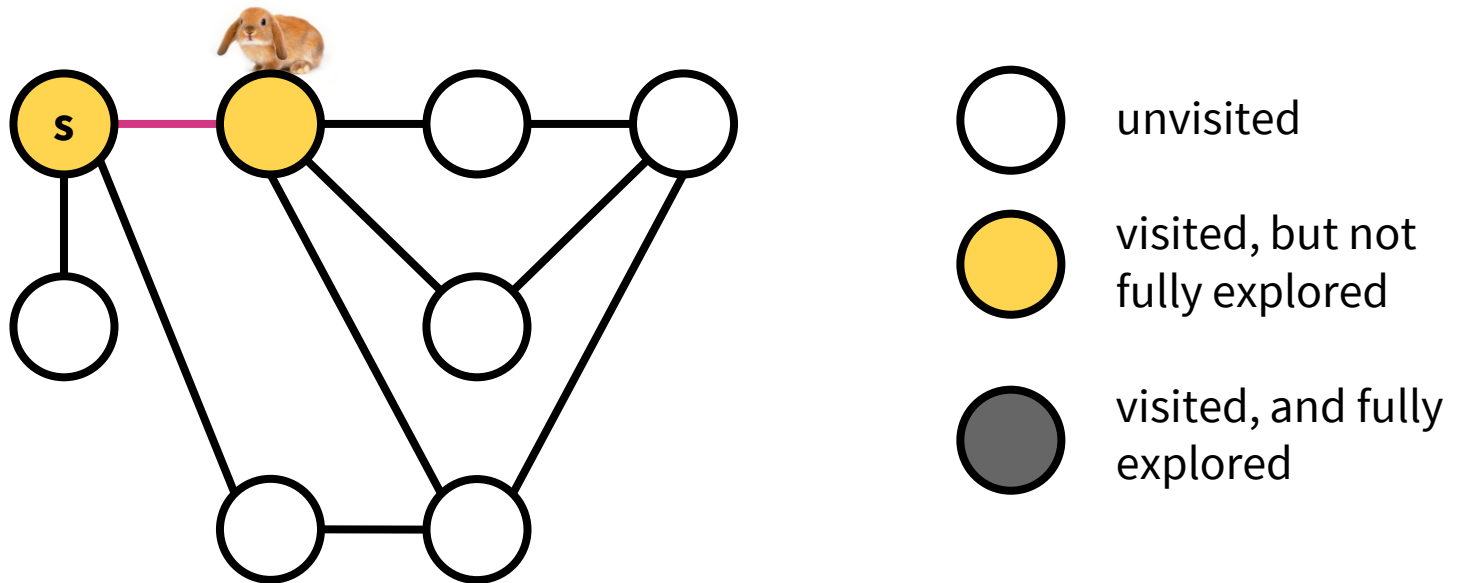
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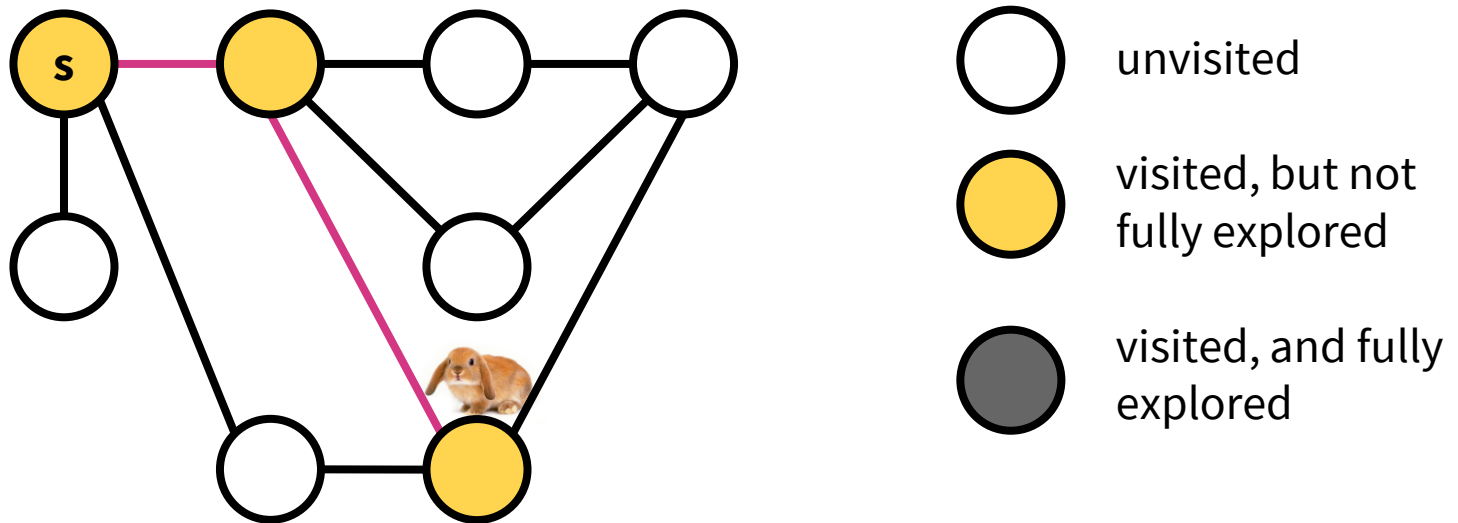
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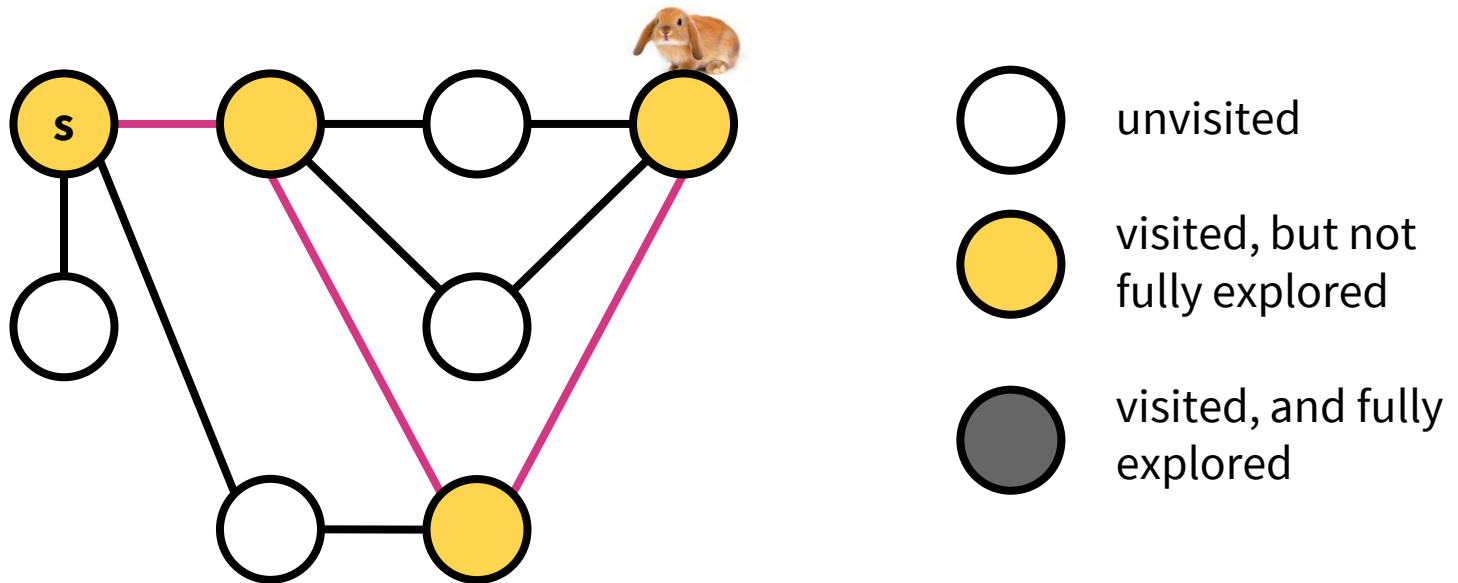
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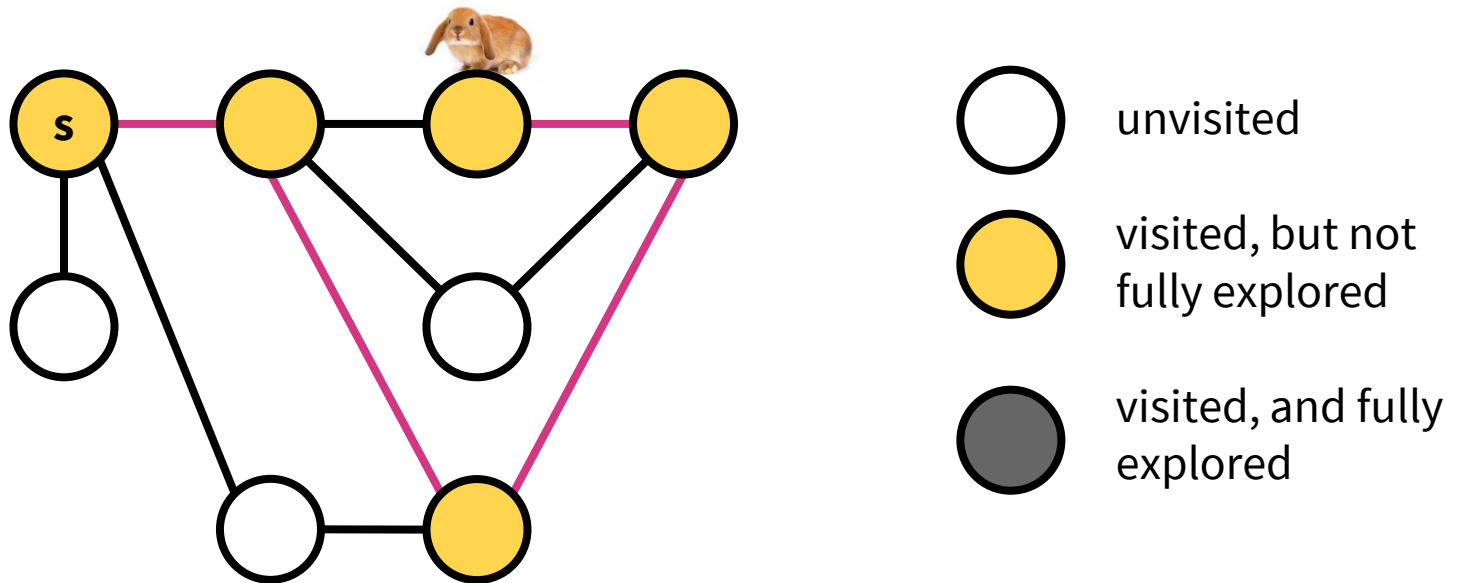




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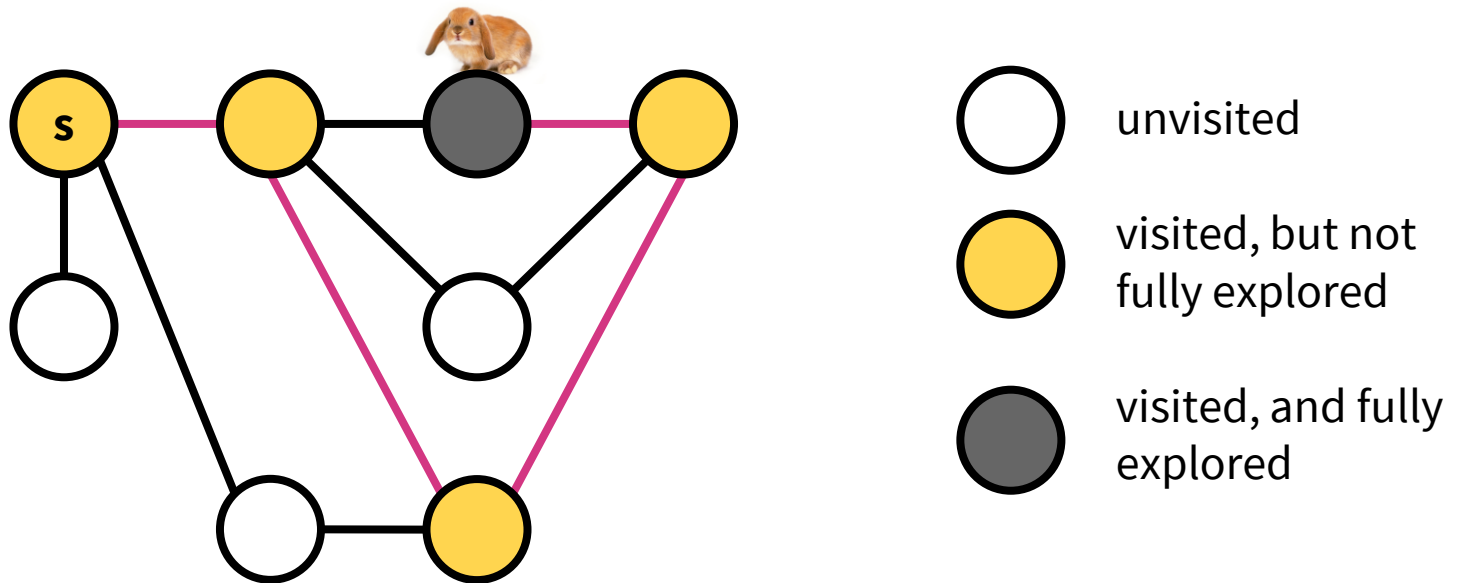
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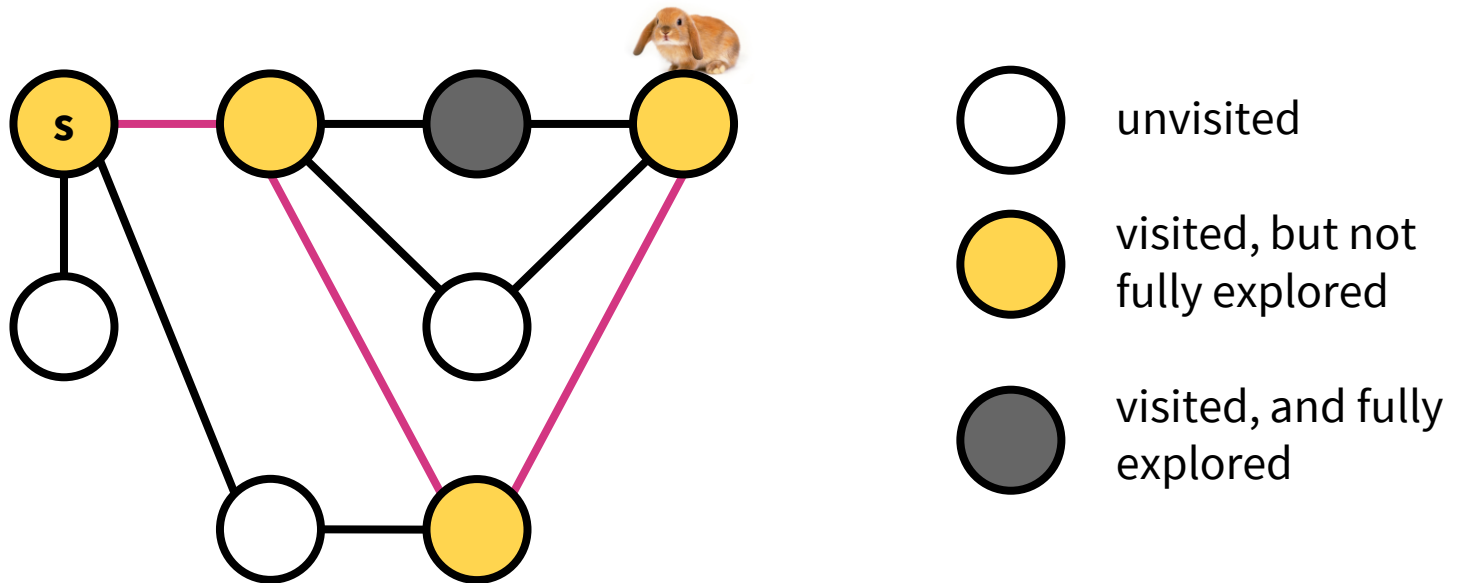
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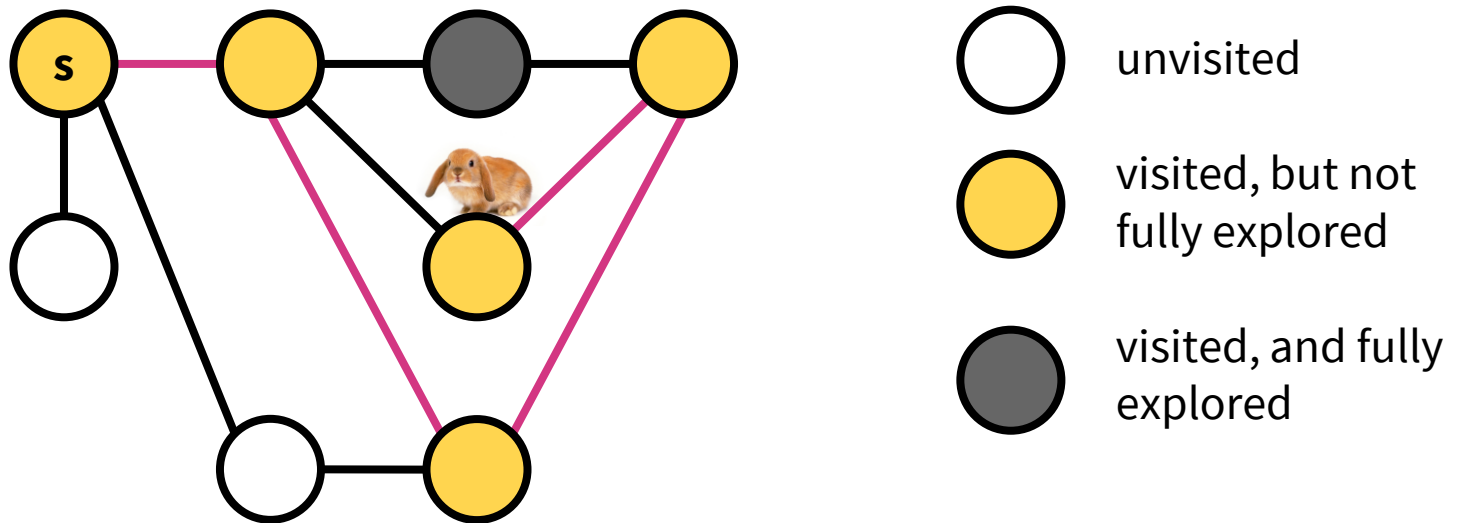
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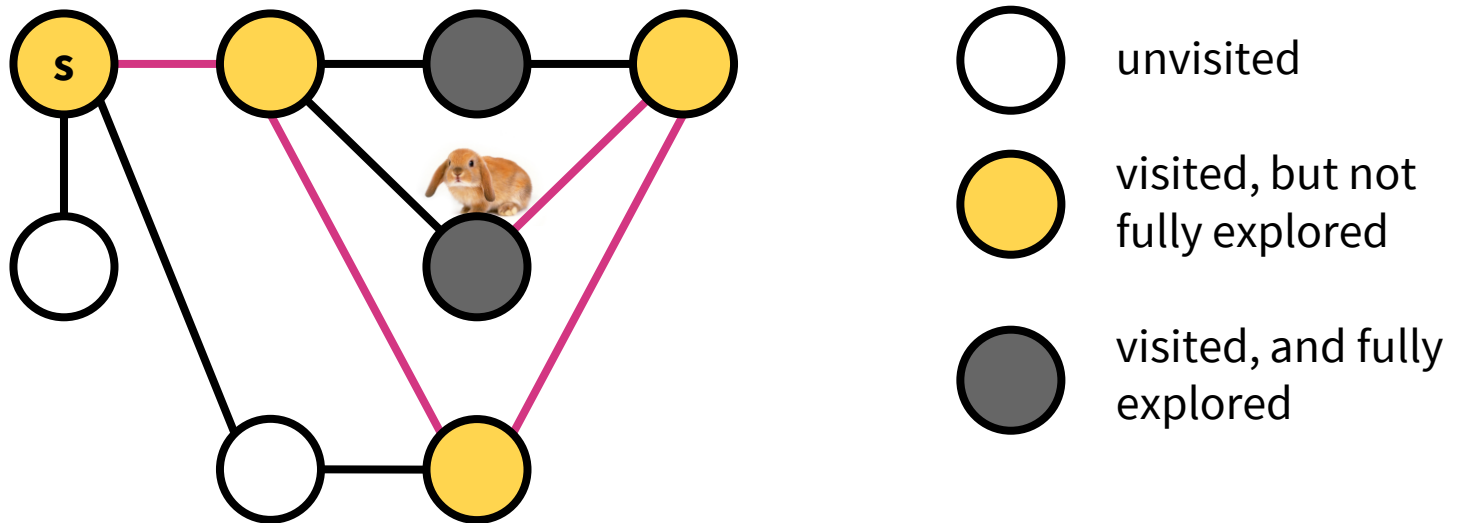
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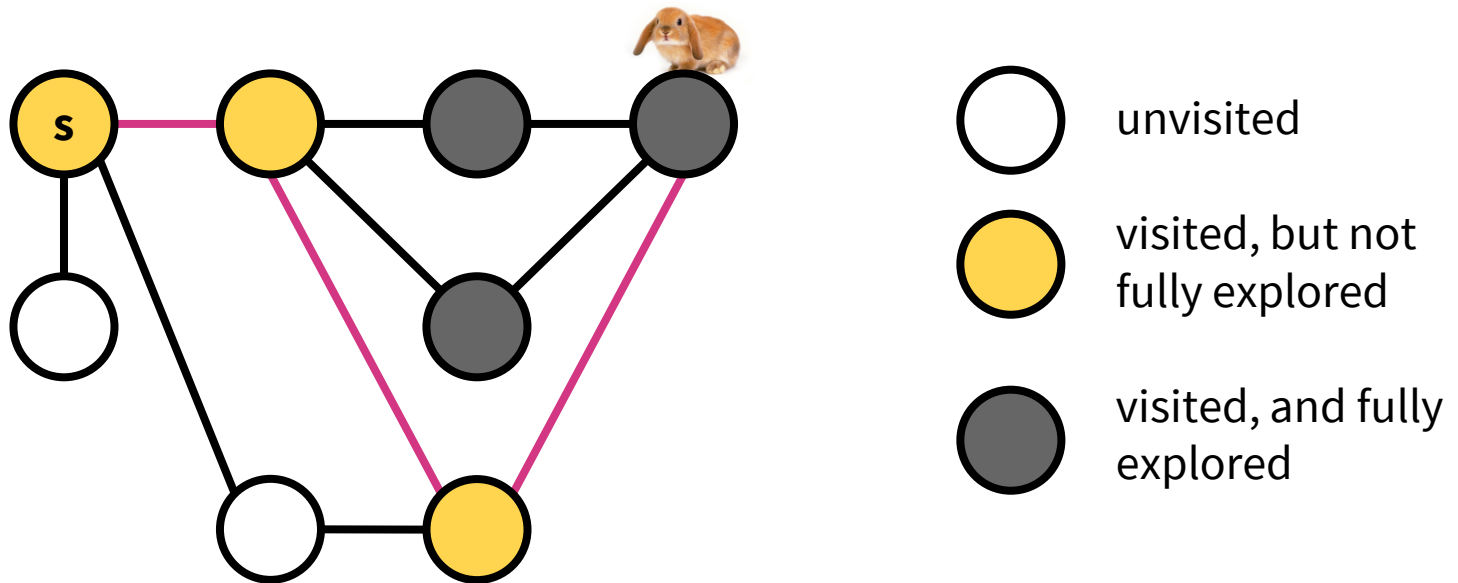
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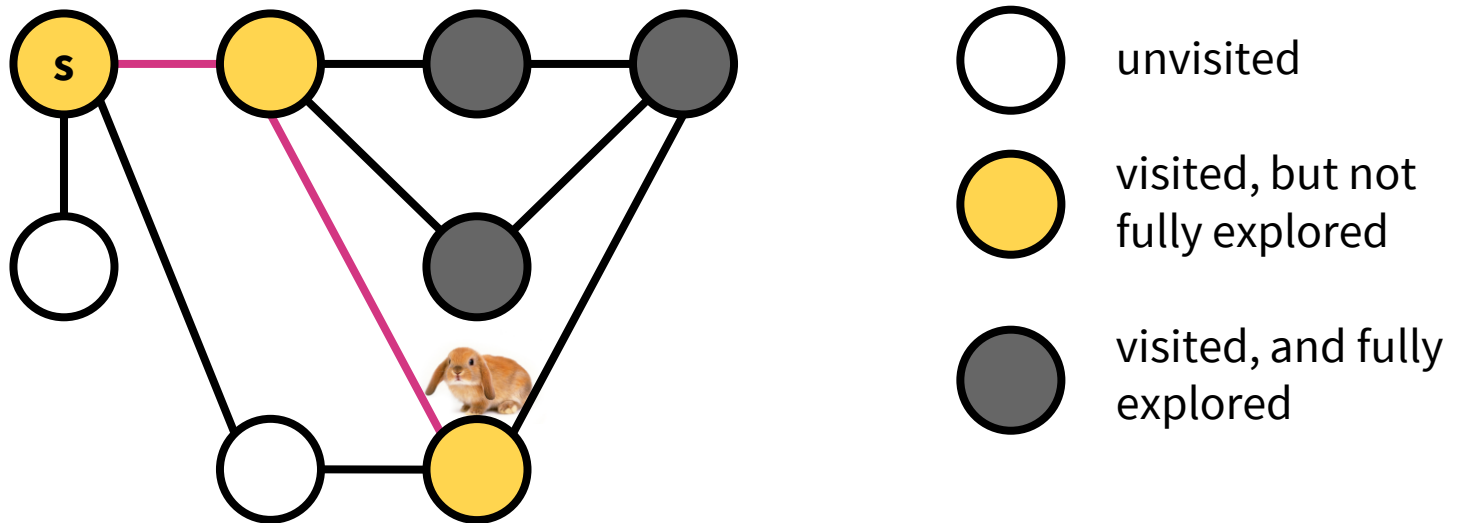
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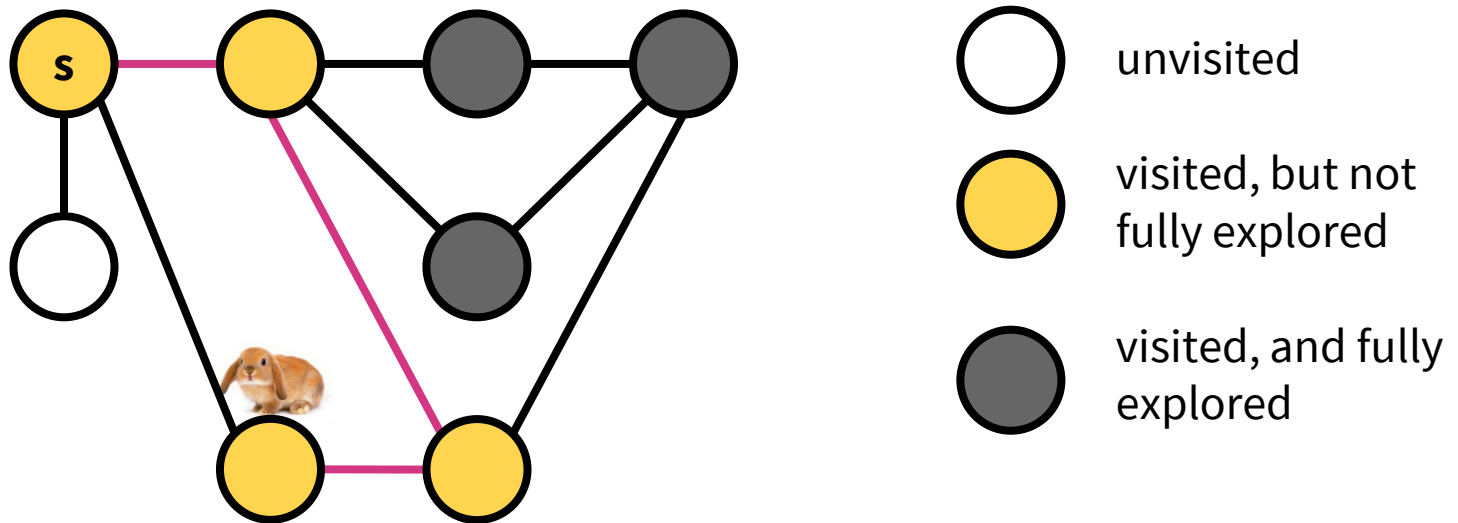
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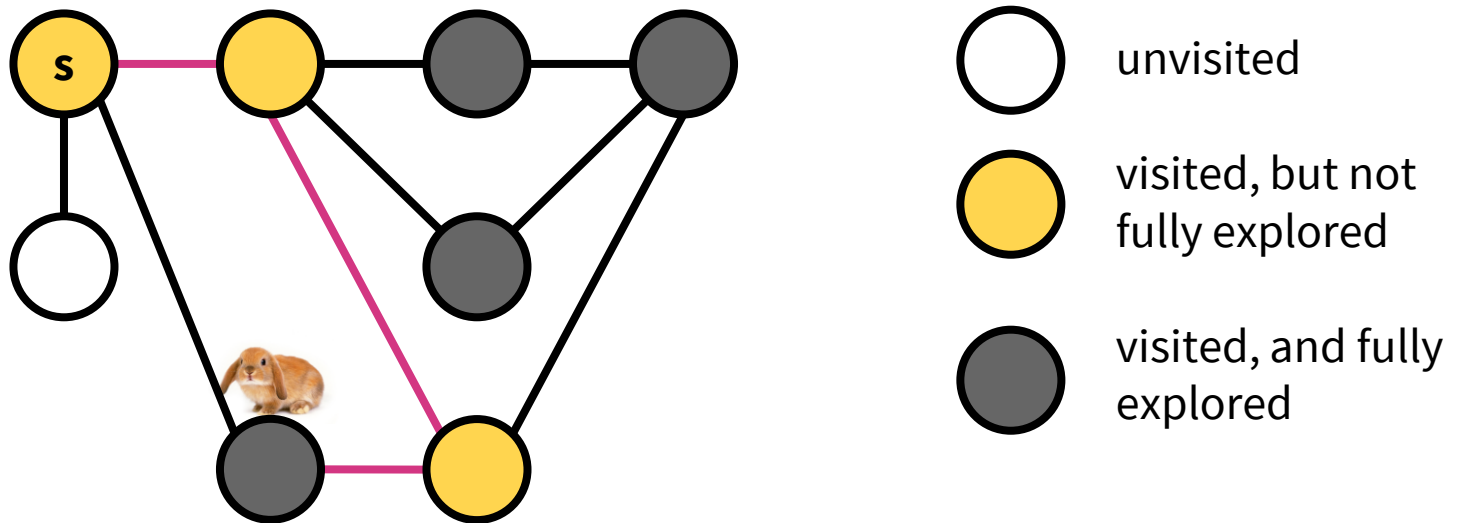




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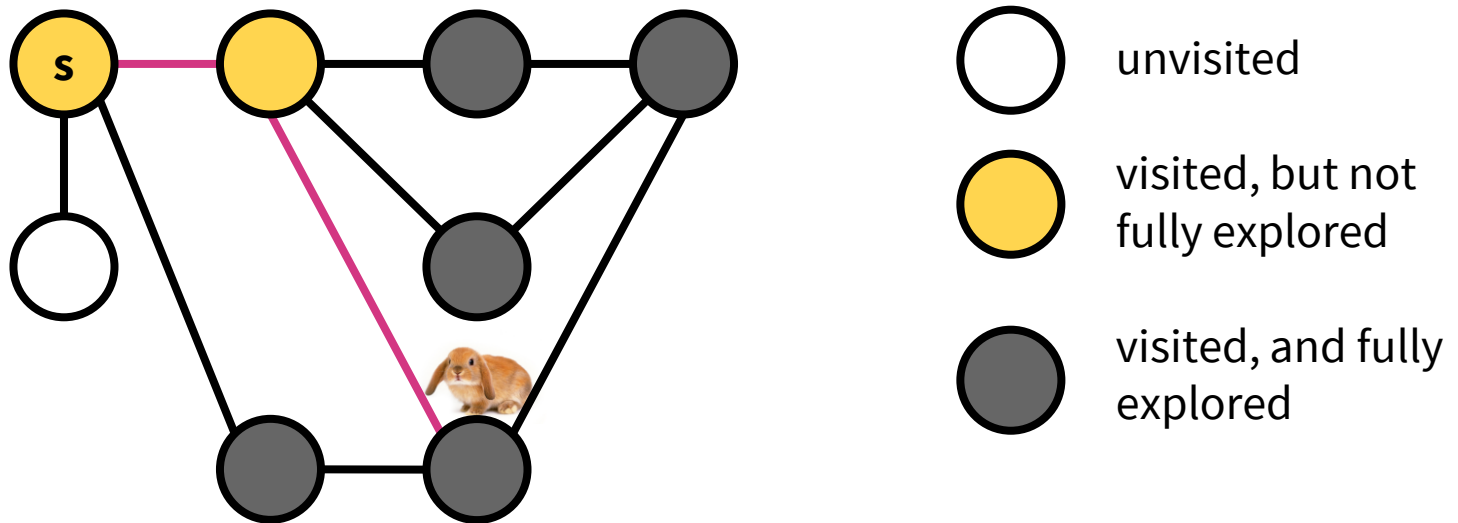
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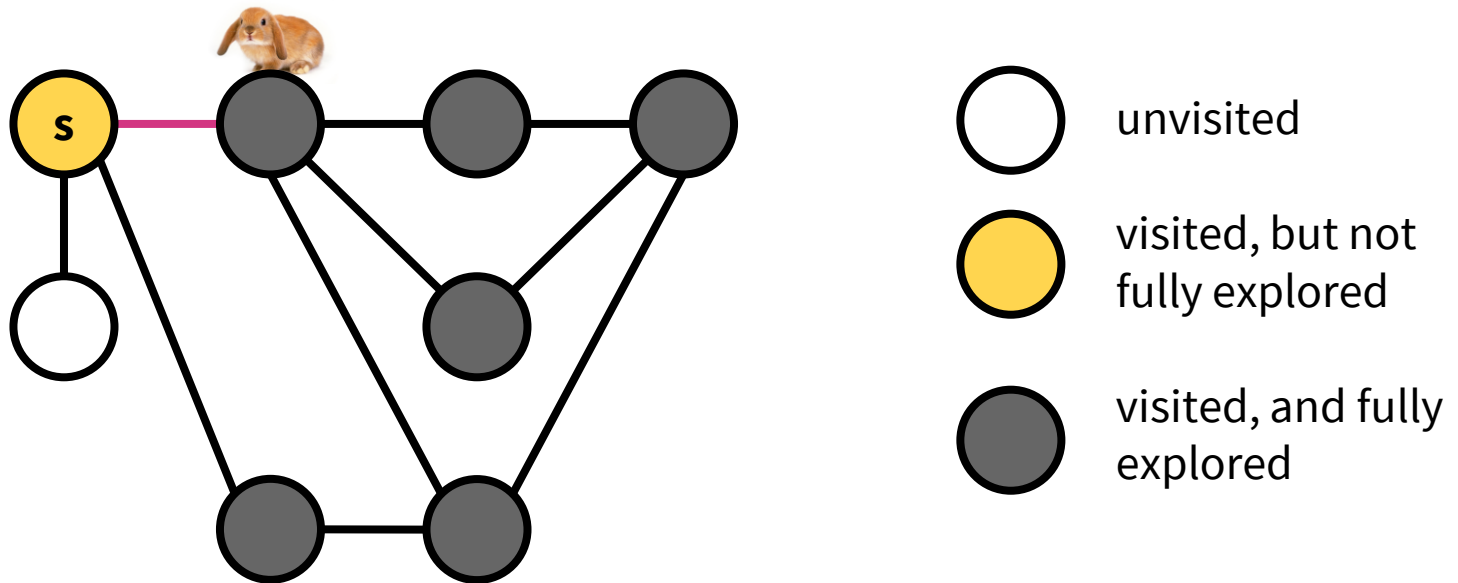
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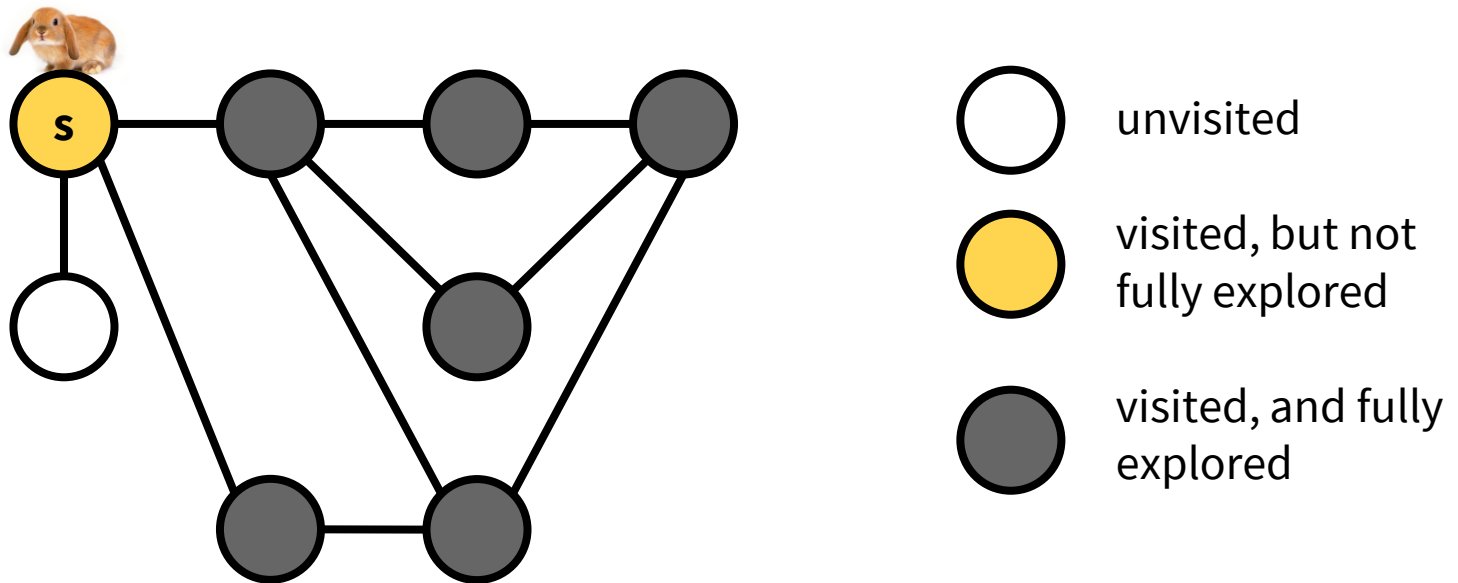
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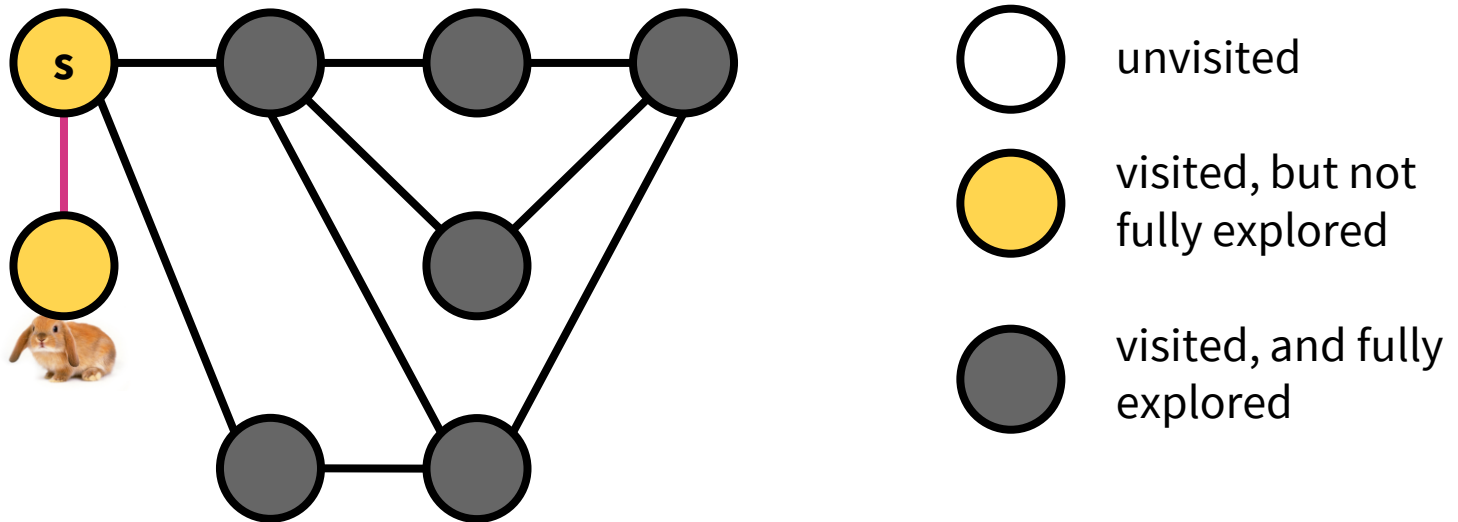
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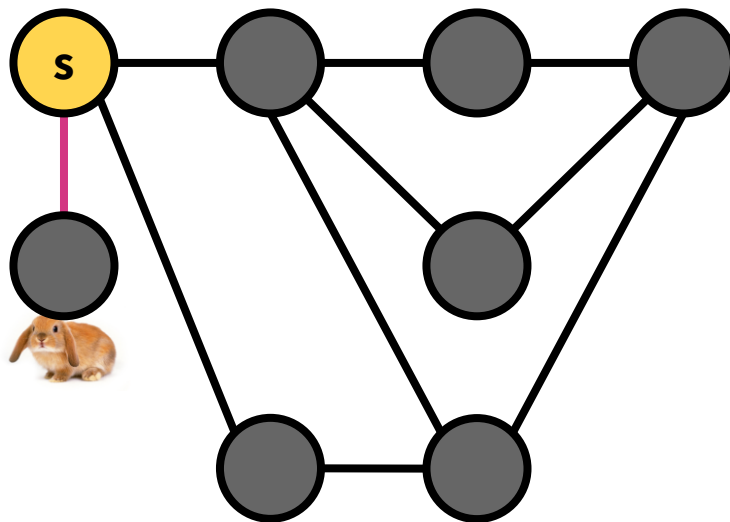
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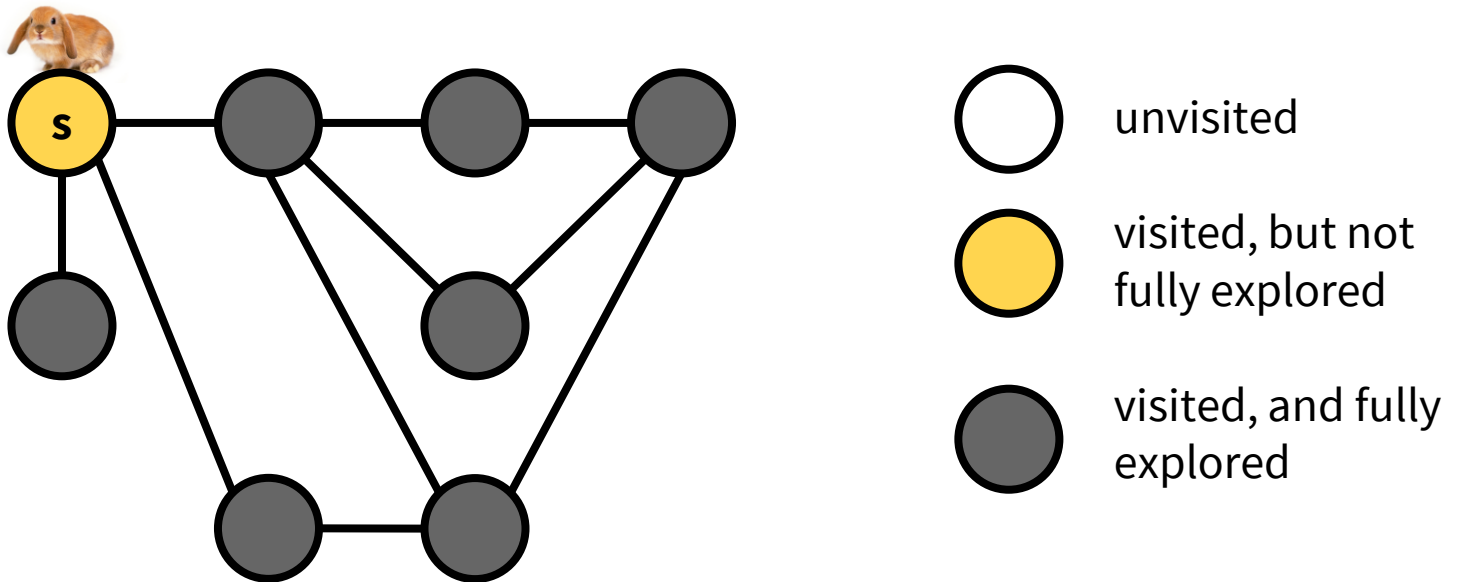


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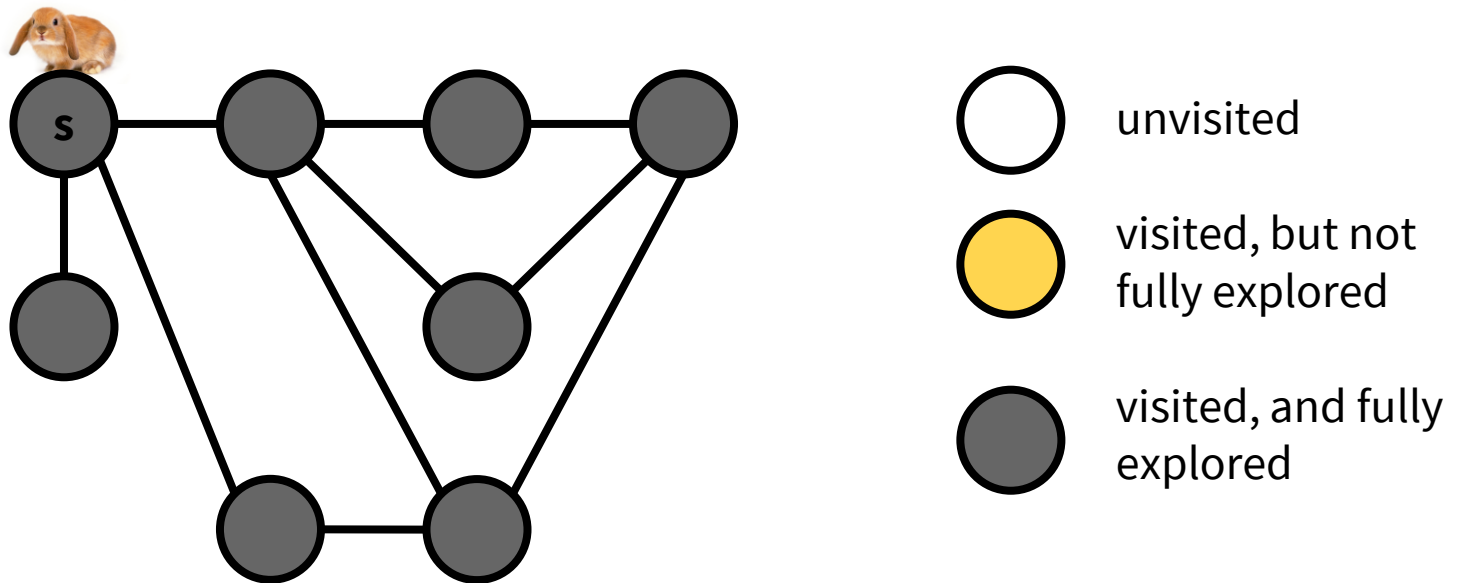




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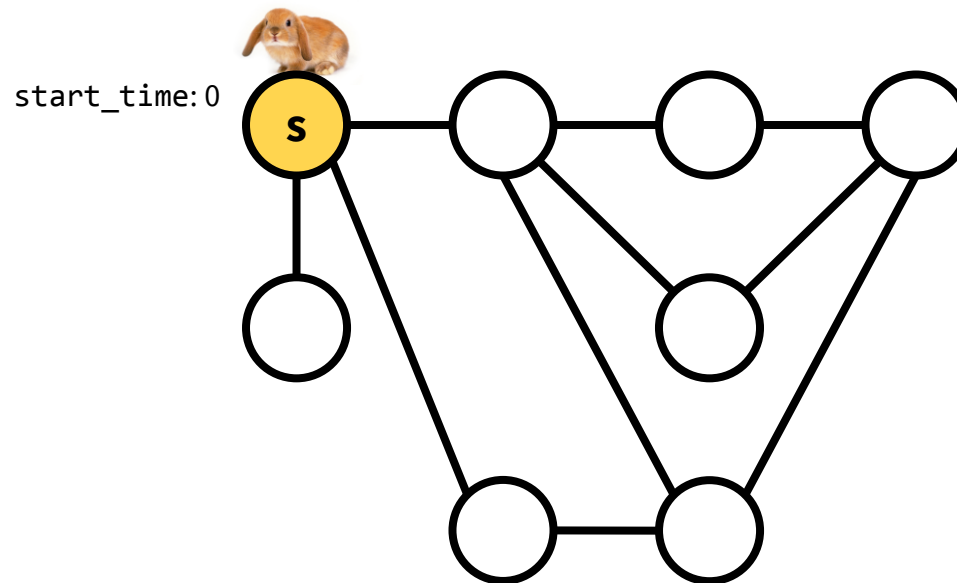


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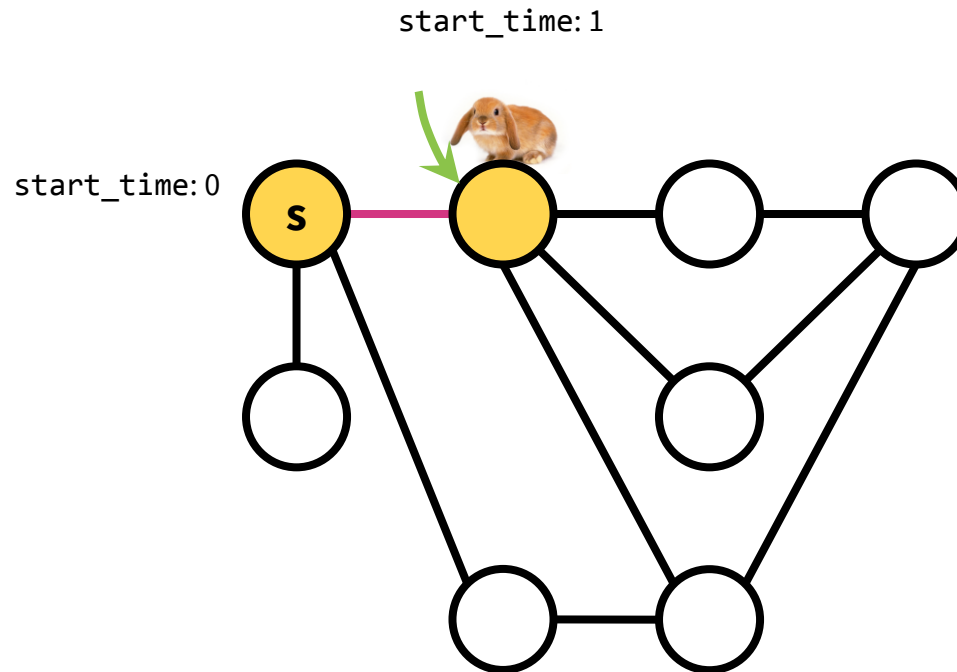
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    u.start_time = cur_time  
    cur_time += 1  
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            cur_time = dfs(v, cur_time)  
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    u.end_time = cur_time  
    u.status = "done" ●  
    return cur_time
```

**Runtime:**  $O(|V| + |E|)$

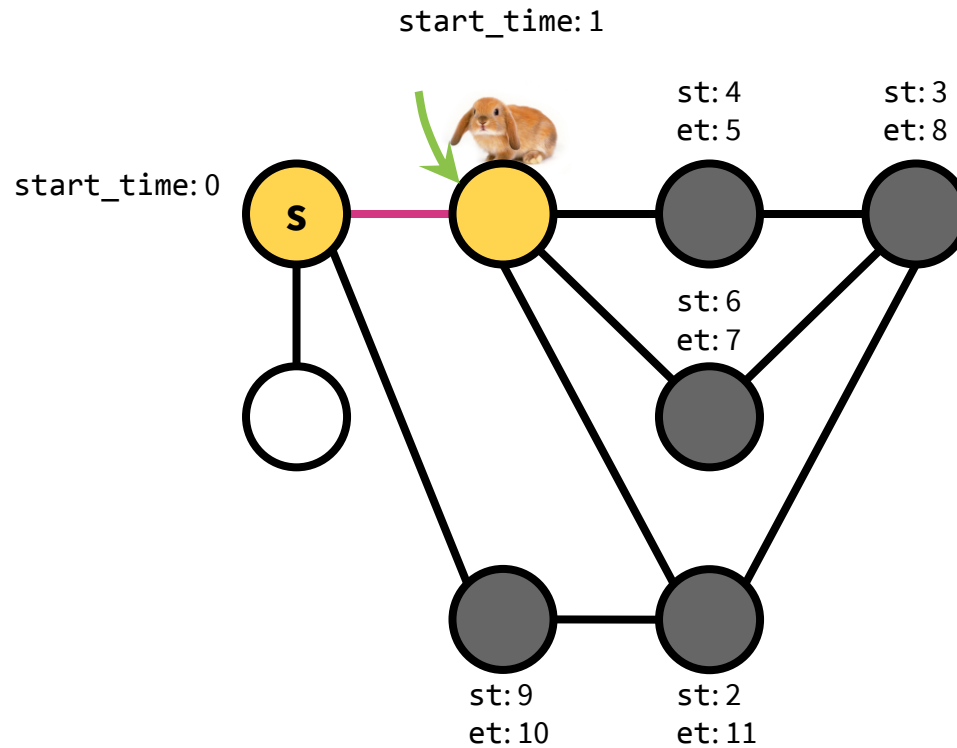
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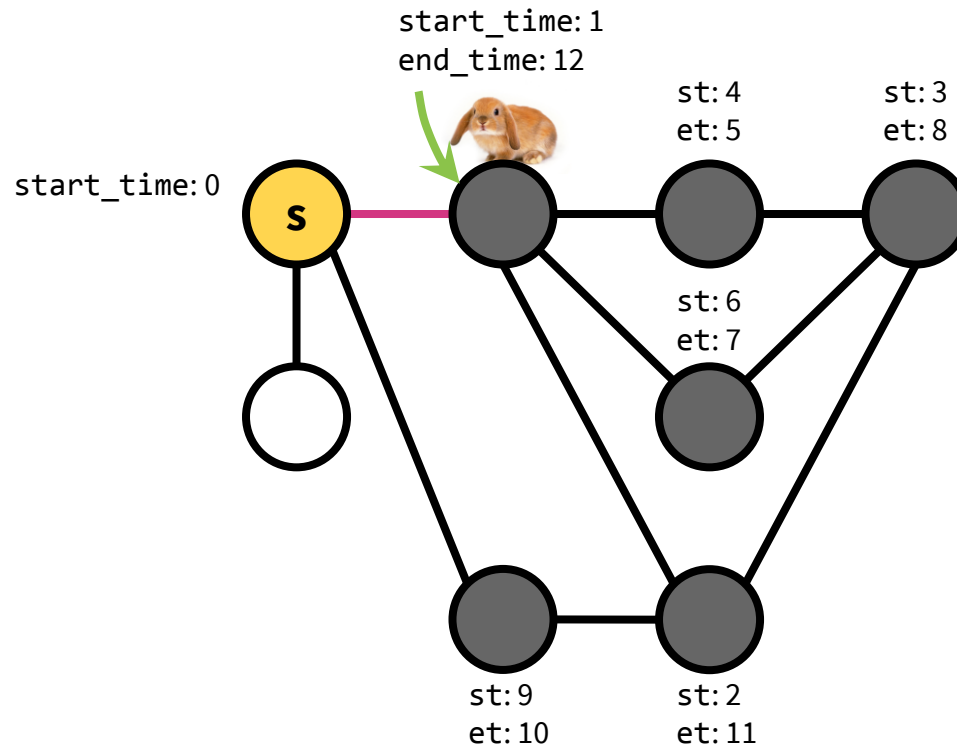
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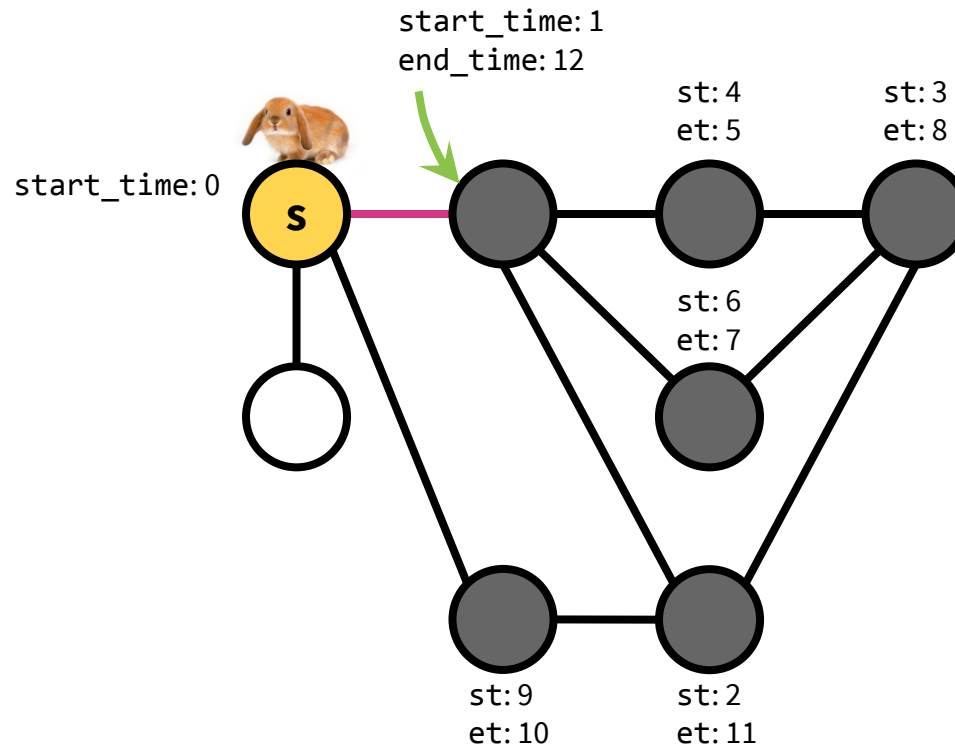
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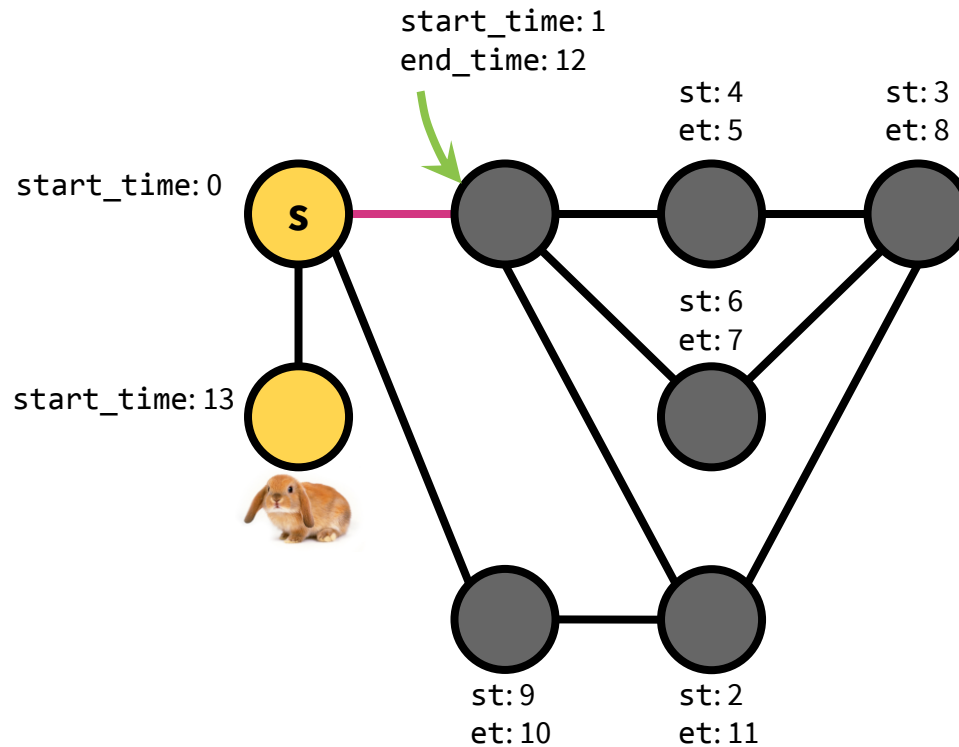
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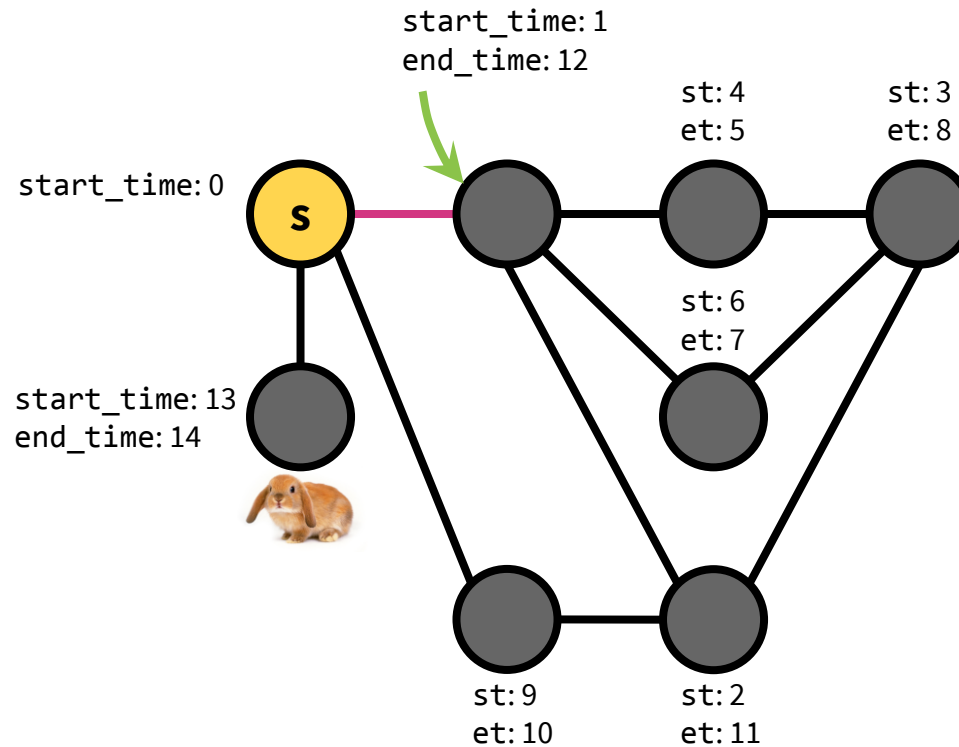
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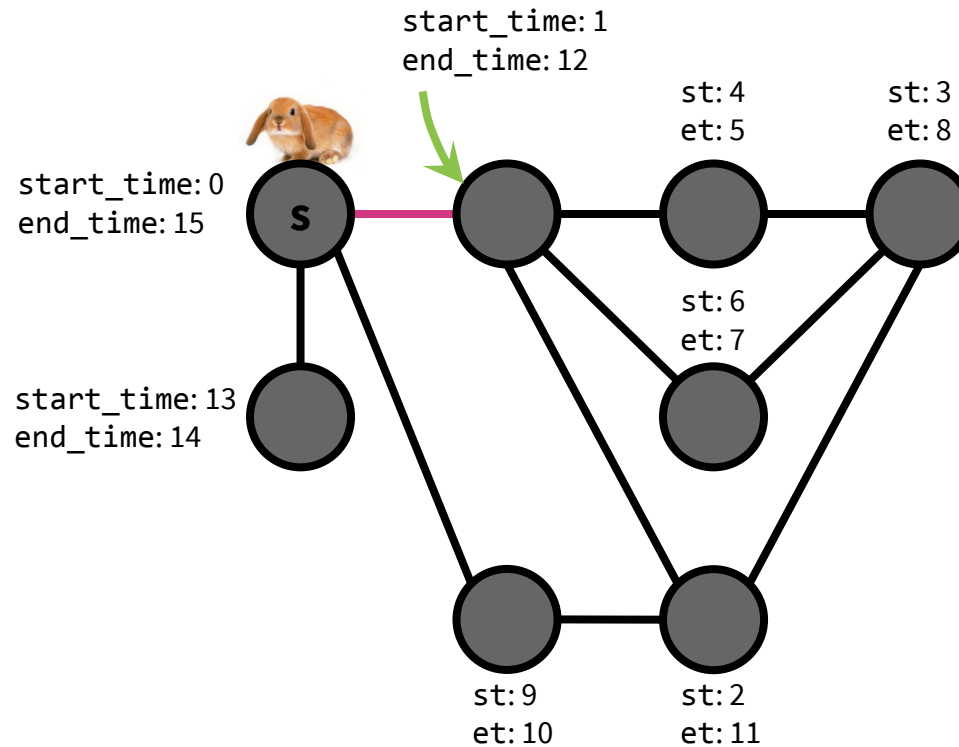


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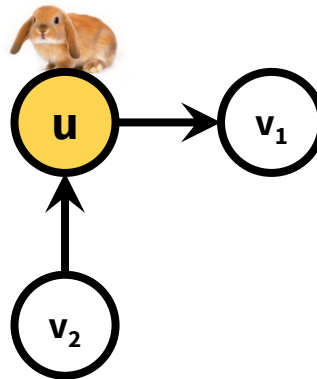


# Depth-First Search

DFS finds all vertices reachable from the starting point, called a **connected component**.

DFS works fine on directed graphs as well.

e.g. From  $u$ , only visit  $v_1$  not  $v_2$ .

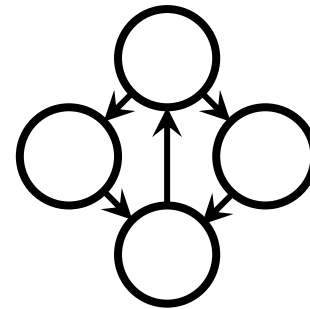
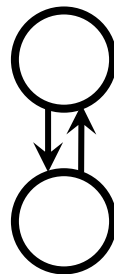
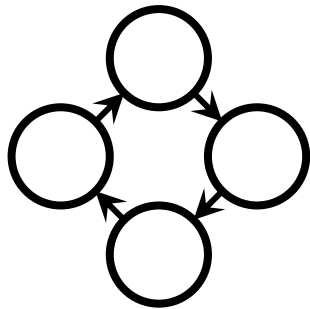
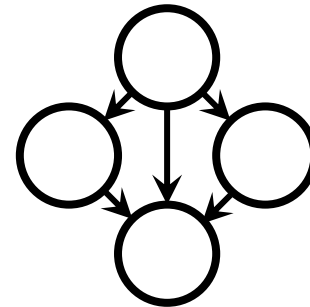
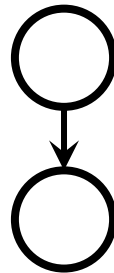
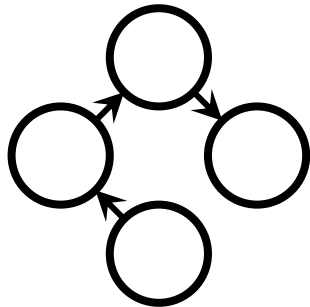


# Topological Ordering

# Aside: Directed Acyclic Graphs

A dependency graph is an instantiation of a directed acyclic graph (DAG) i.e. a directed graph with no directed cycles.

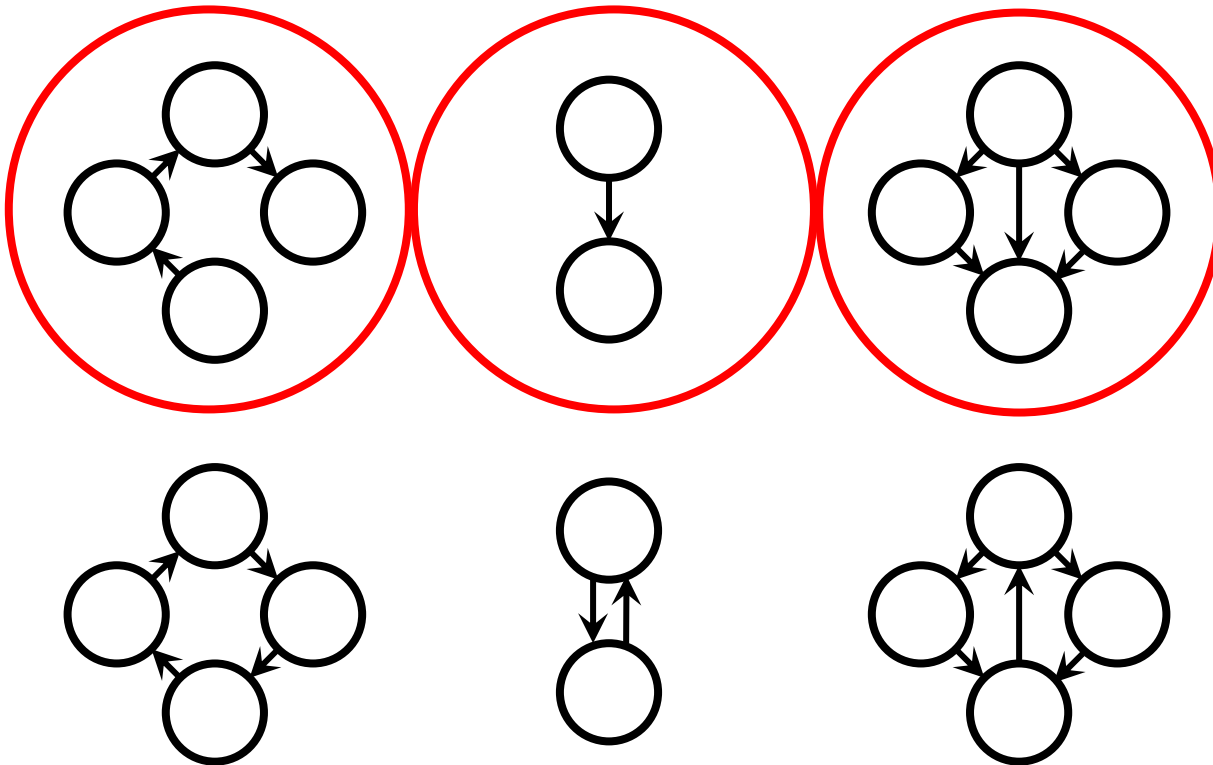
Which of these graphs are valid DAGs? 🤔



# Aside: Directed Acyclic Graphs

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Which of these graphs are valid DAGs? 🤔



# Topological Ordering

**Application of DFS:** Given a package dependency graph, in what order should packages be installed?

DFS produces a **topological ordering**, which solves this problem.

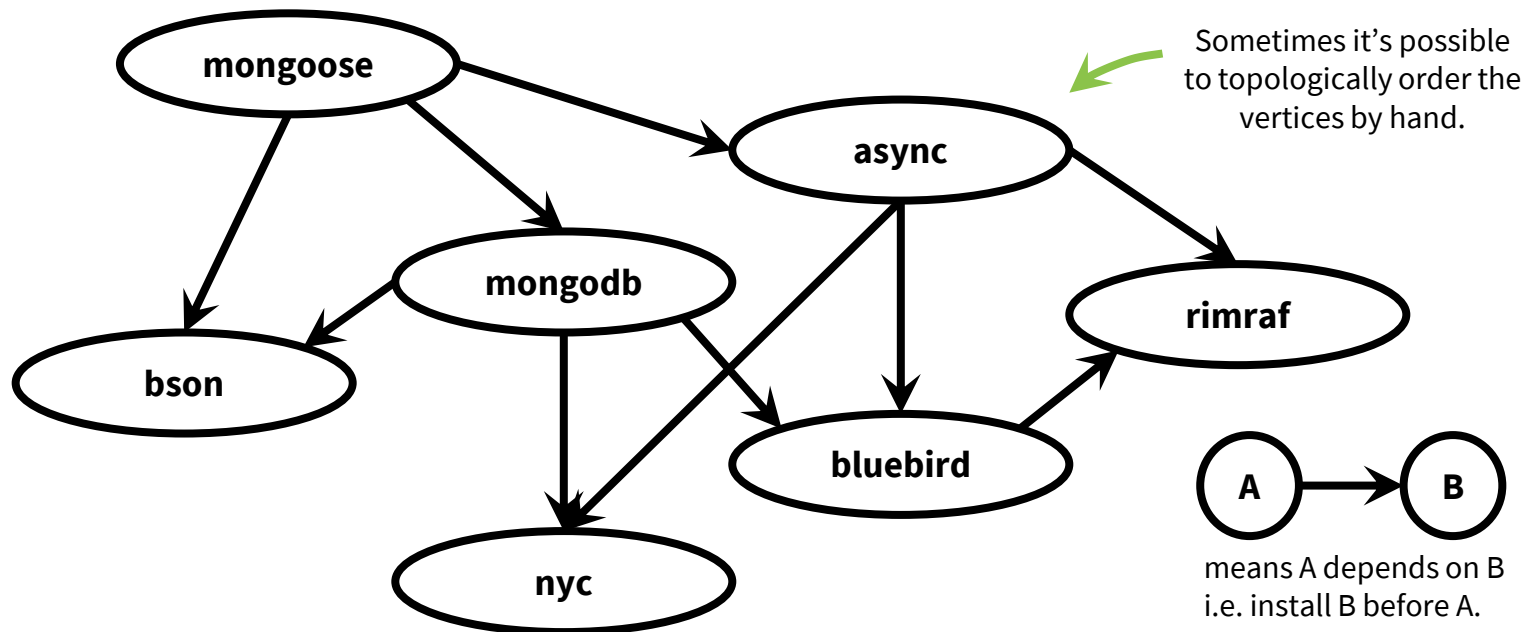
Definition: The topological ordering of a DAG is an ordering of its vertices such that for every directed edge  $(u, v) \in E$ ,  $u$  precedes  $v$  in the ordering.

# Topological Ordering

**Application of DFS:** Given a package dependency graph, in what order should packages be installed?

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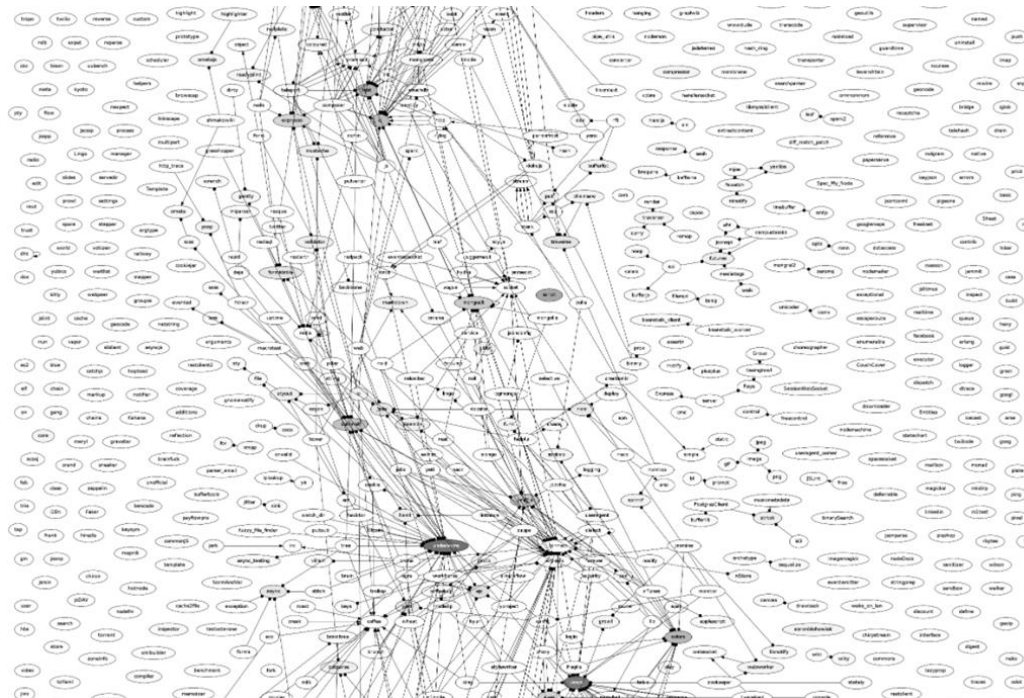


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Sometimes it's not ...





# Topological Ordering

**Claim:** If  $(u, v) \in E$ , then  $\text{end\_time of } u > \text{end\_time of } v$ .

**Intuition:** dfs visits and finishes with all of the neighbors of  $u$  before finishing  $u$  itself. Also, a DAG does not have cycles, so dfs will never traverse to an in-progress vertex (only unvisited and done vertices).

# Topological Ordering

```
algorithm dfs(u, cur_time):  
    u.start_time = cur_time  
    cur_time += 1  
    u.status = "in_progress" ●  
    for v in u.neighbors:  
        if v.status is "unvisited":  
            cur_time = dfs(v, cur_time)  
            cur_time += 1  
    u.end_time = cur_time  
    u.status = "done" ●  
    return cur_time
```

**Runtime:**  $O(|V| + |E|)$

# Topological Ordering

```
reversed_topological_list = []  
algorithm dfs(u, cur_time):  
    u.start_time = cur_time  
    cur_time += 1  
    u.status = "in_progress" ●  
    for v in u.neighbors:  
        if v.status is "unvisited":  
            cur_time = dfs(v, cur_time)  
            cur_time += 1  
    u.end_time = cur_time  
    u.status = "done" ●  
    reversed_topological_list.append(u)  
return cur_time
```

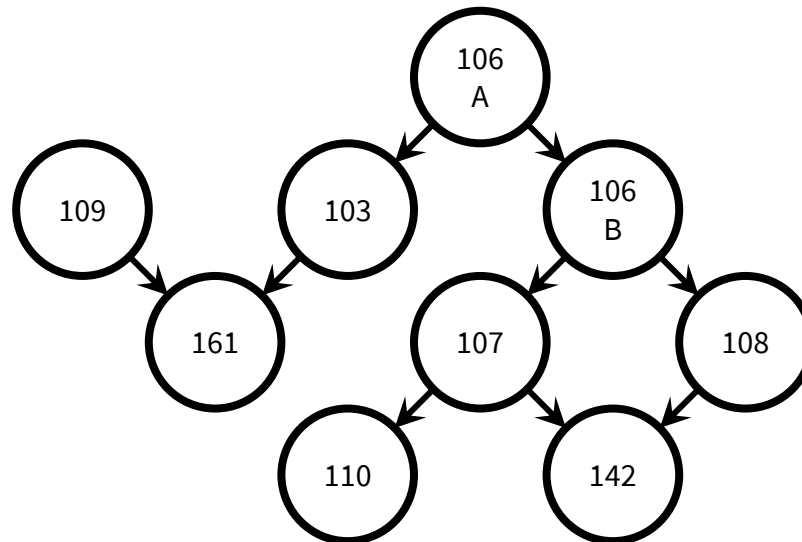
**Runtime:**  $O(|V| + |E|)$

# Topological Ordering

For the package dependency graph, packages should be installed in reverse topological order, so we can just return `reversed_topological_list`.

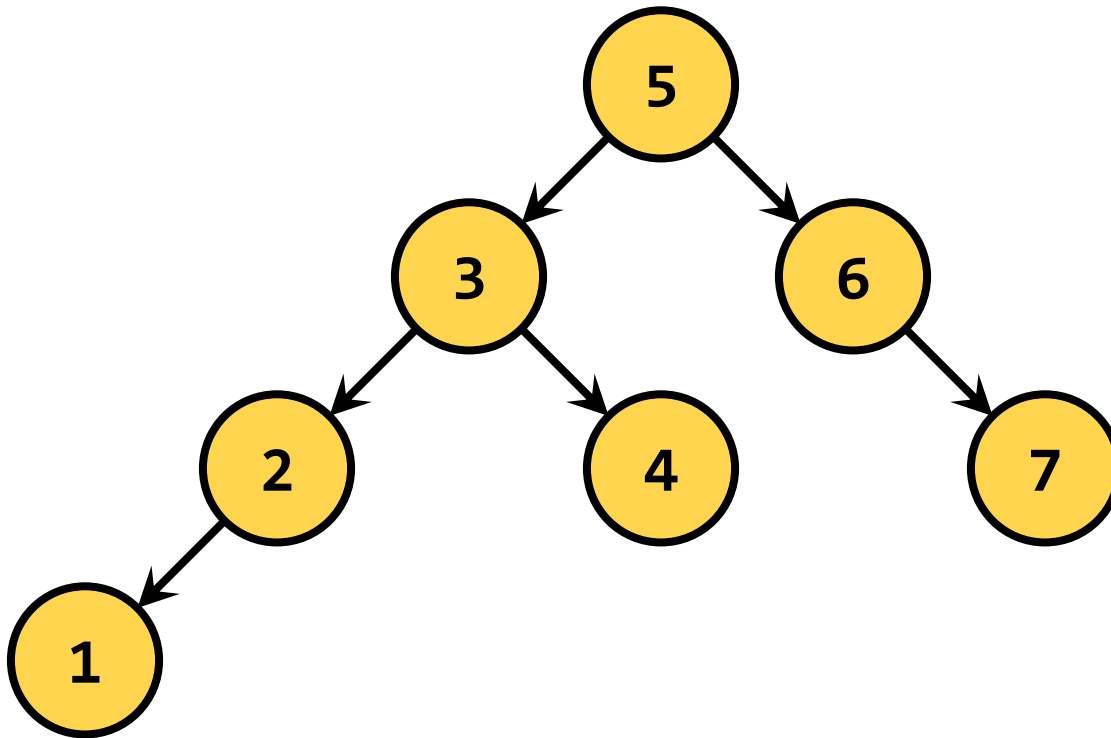
To compute the topological ordering in general, reverse the order of `reversed_topological_list`.

e.g. Finding an order to take courses that satisfies prerequisites.



# In-Order Traversal of BSTs

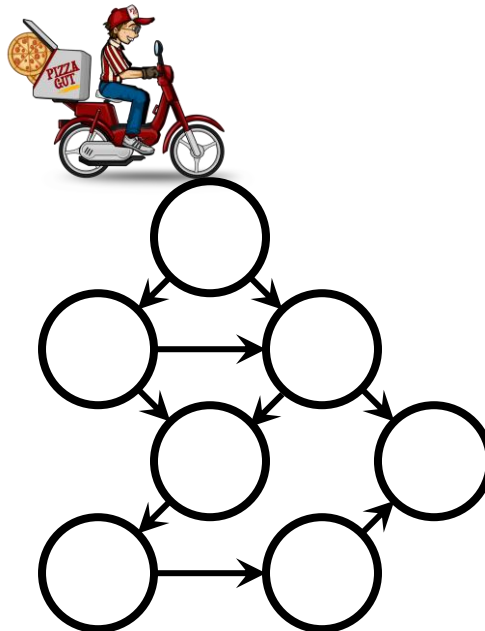
**Application of DFS:** Given a BST, output the vertices in order.



# Exact Traversals of Graphs

**Application of DFS:** Find an exact traversal, a path that touches all vertices exactly once.

Suppose I deliver pizzas in SF. My route has 6 stops but since I bike and the terrain is hilly, I can only bike from one stop to another in one direction. Can I plan the most efficient route that visits each destination once?

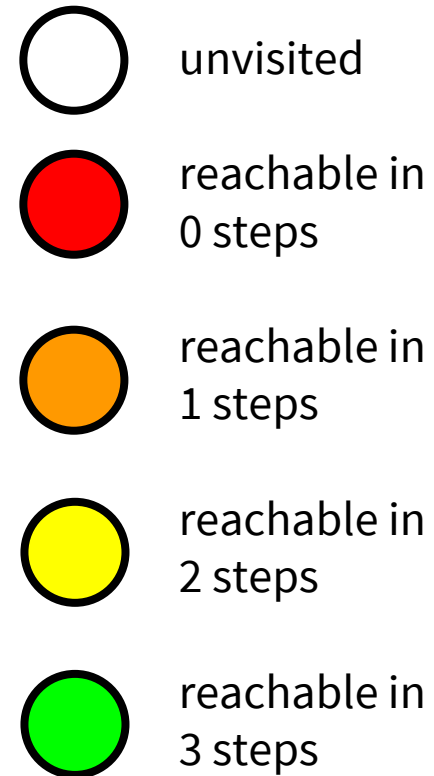
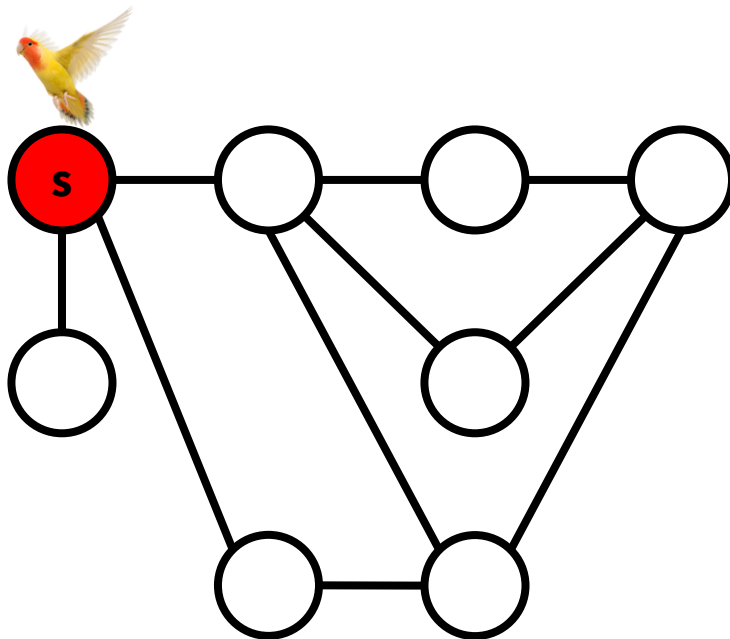


# Breadth-First Search

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## An analogy

A bird exploring a labyrinth from above (with a bird's eye view).

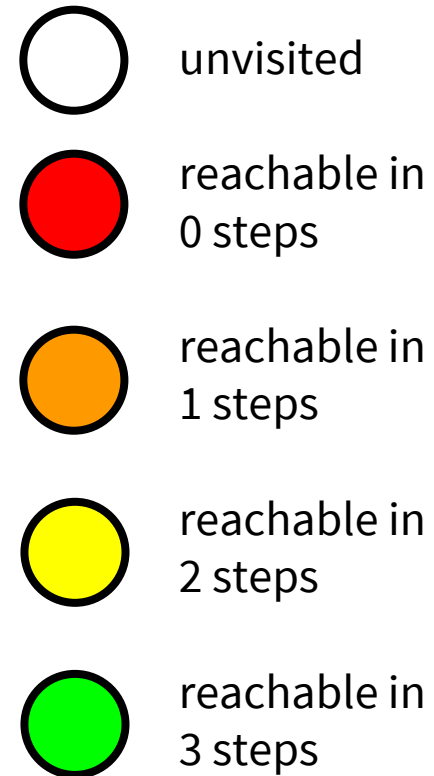
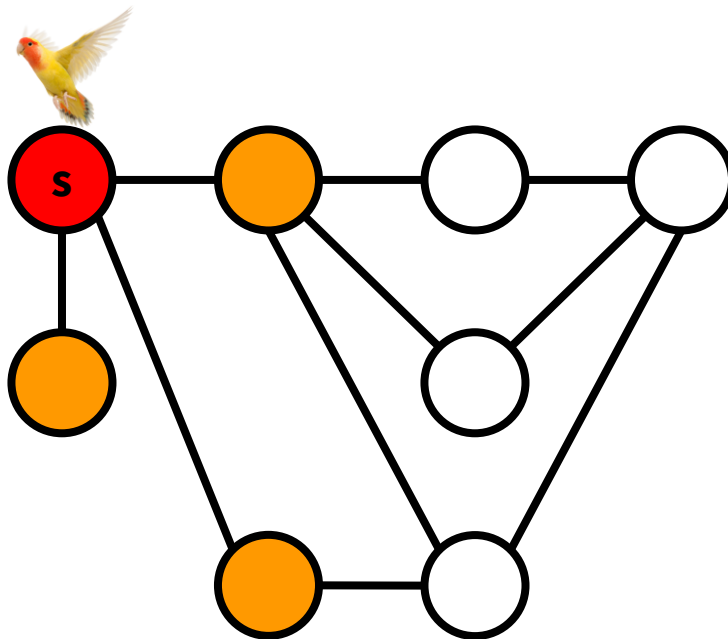




# Breadth-First Search

## An analogy

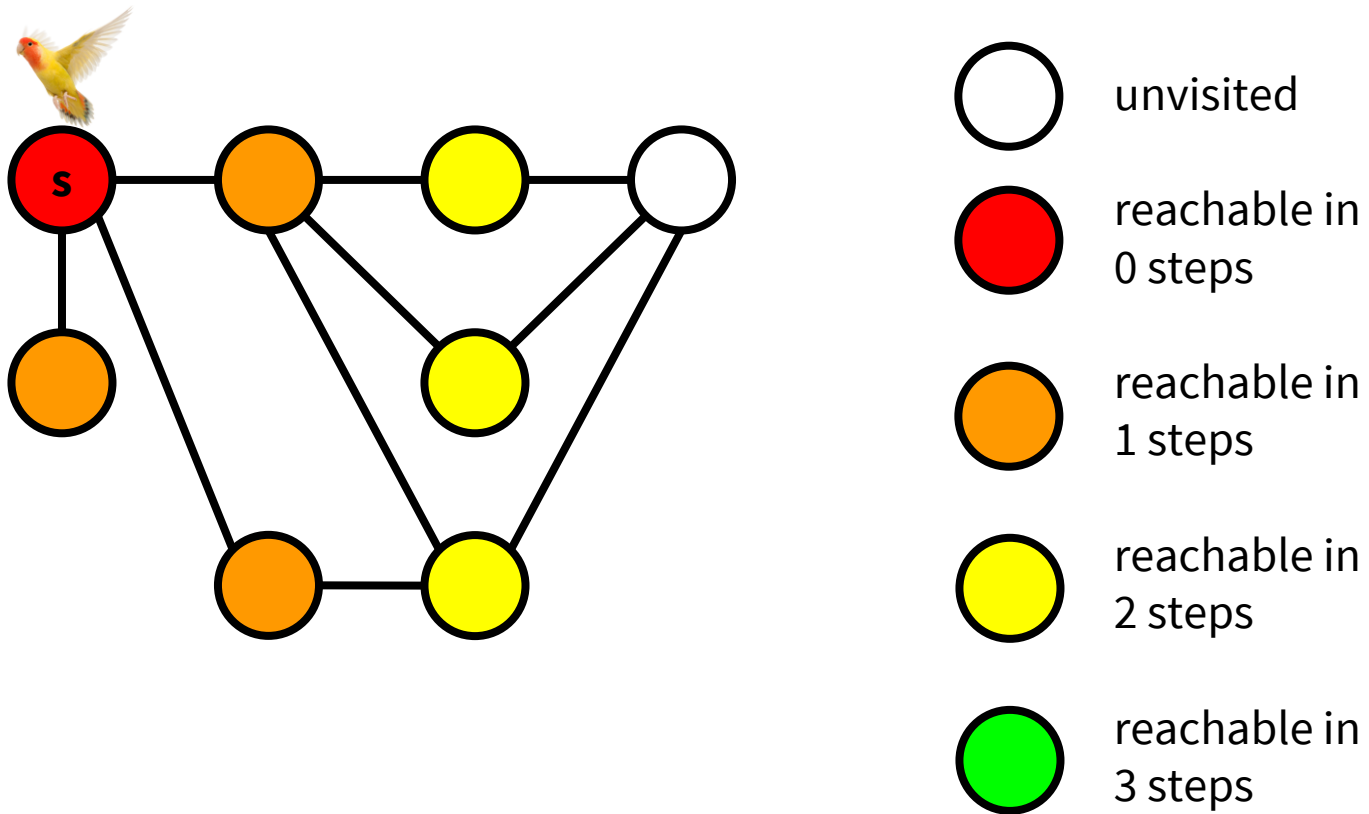
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# Breadth-First Search

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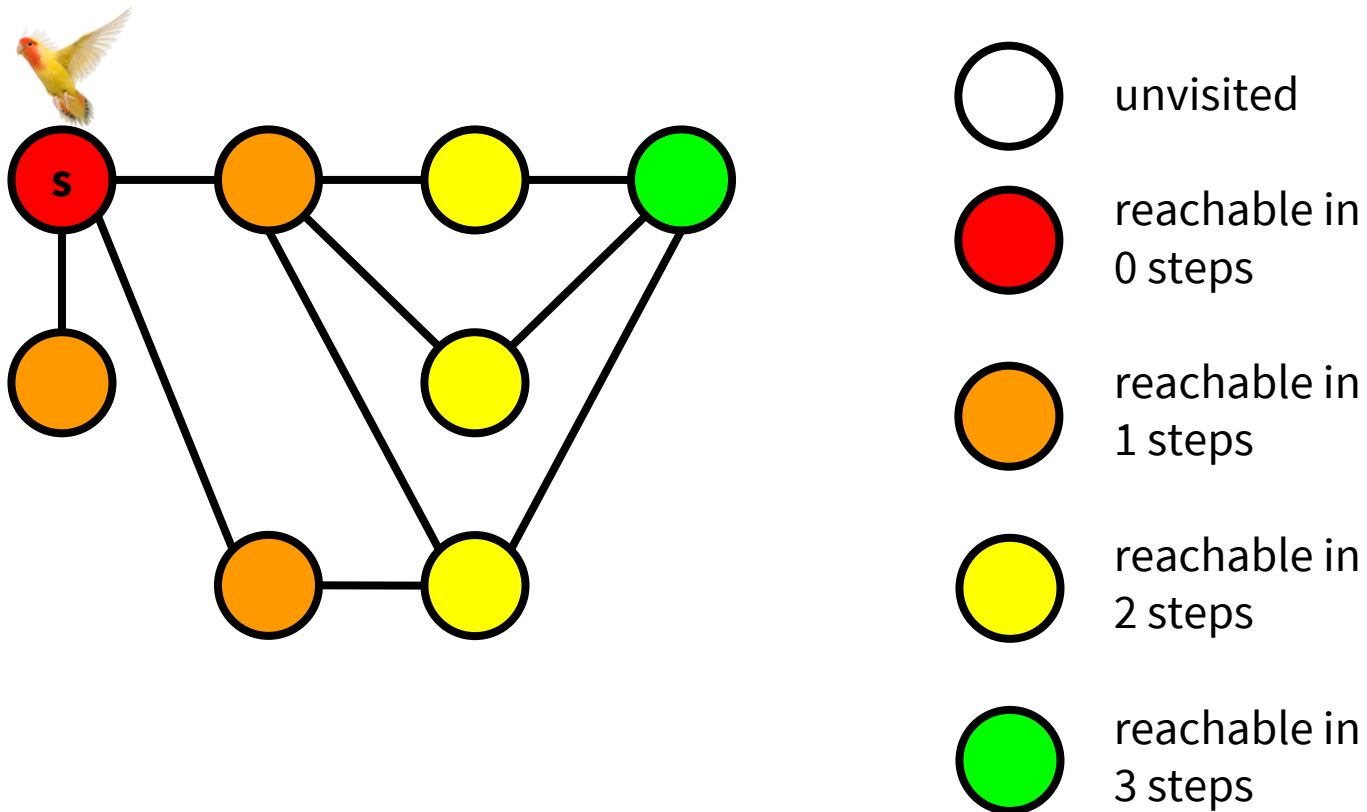
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# Breadth-First Search

## An analogy

A bird exploring a labyrinth from above (with a bird's eye view).



# Breadth-First Search

```
algorithm bfs(s):  
    L = []  
    for i = 0 to n-1:  
        L[i] = {}  
    L[0] = {s}  
    for i = 0 to n-1:  
        for u in L[i]:  
            for v in u.neighbors:  
                if v.status is "unvisited":  
                    v.status = "visited"  
                    L[i+1].add(v)
```

**Runtime:**  $O(|V| + |E|)$

# Shortest Path

**Application of BFS:** How long is the shortest path between vertices  $u$  and  $v$ ?

Call  $\text{bfs}(u)$ .

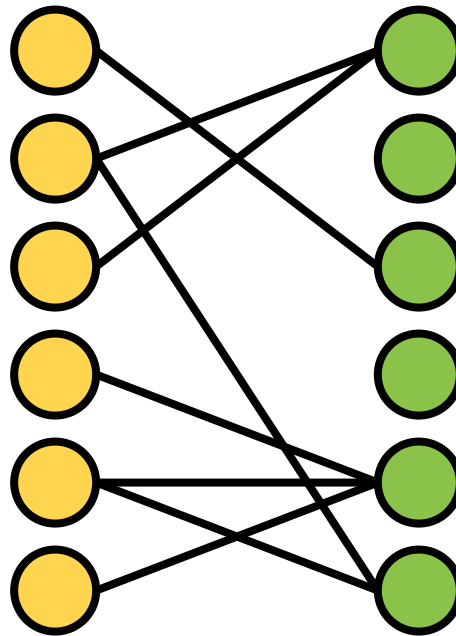
For all vertices in  $L[i]$ , the shortest path between  $u$  and these vertices has length  $i$ .

If  $v$  isn't in  $L[i]$  for any  $i$ , then it's unreachable from  $u$ .

# Aside: Bipartiteness

A graph is **bipartite** iff there exists a two-coloring such that there are no edges between same-colored vertices.

e.g. Matching university hackathon guests and hosts.

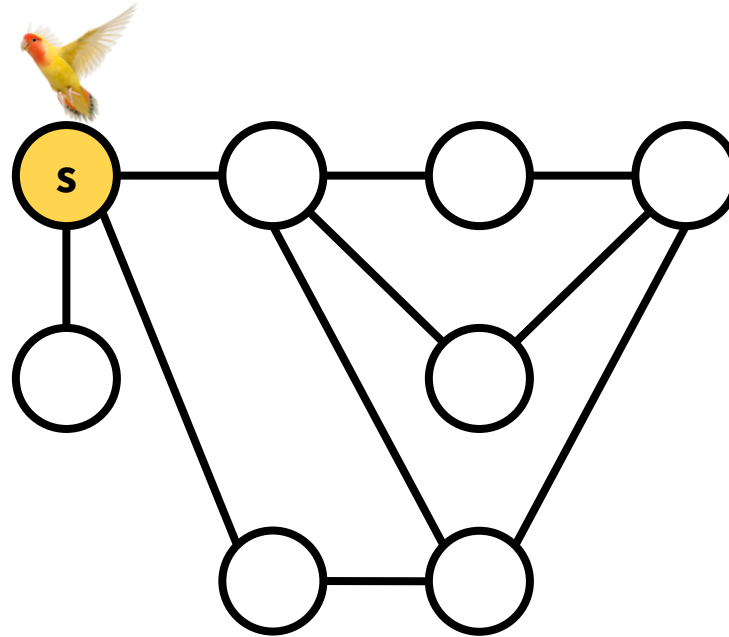


# Shortest Path

## Application of BFS: Is a graph bipartite?

Call bfs from any vertex and color vertices alternating colors.

If it attempts to color the same vertex different colors, then the graph isn't bipartite; otherwise it is.

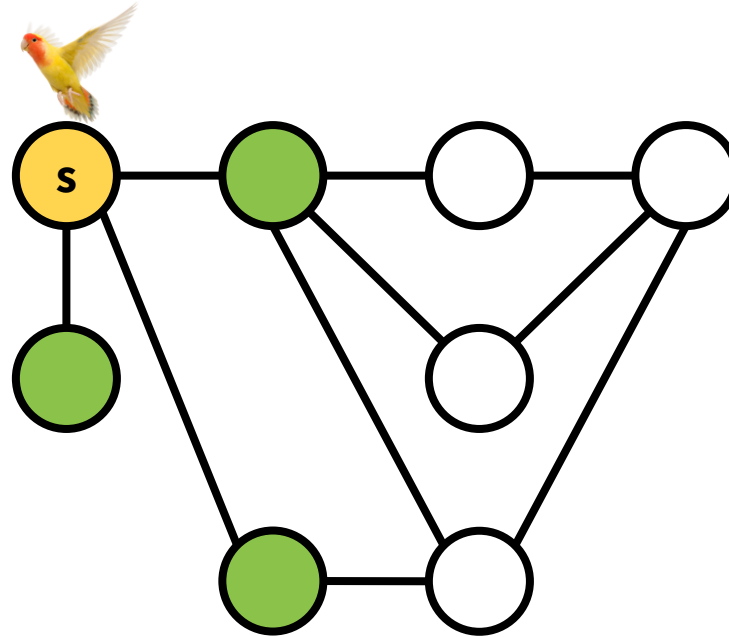


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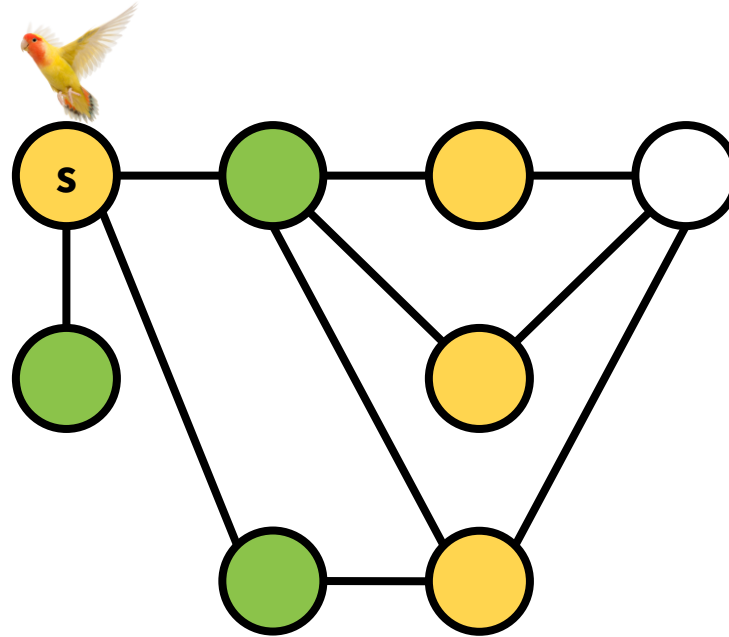


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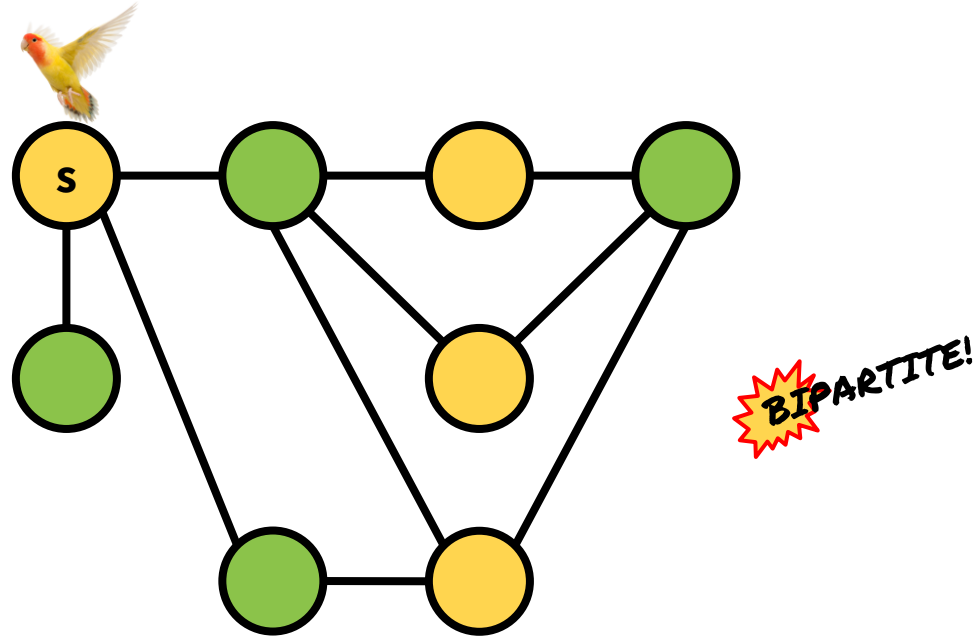


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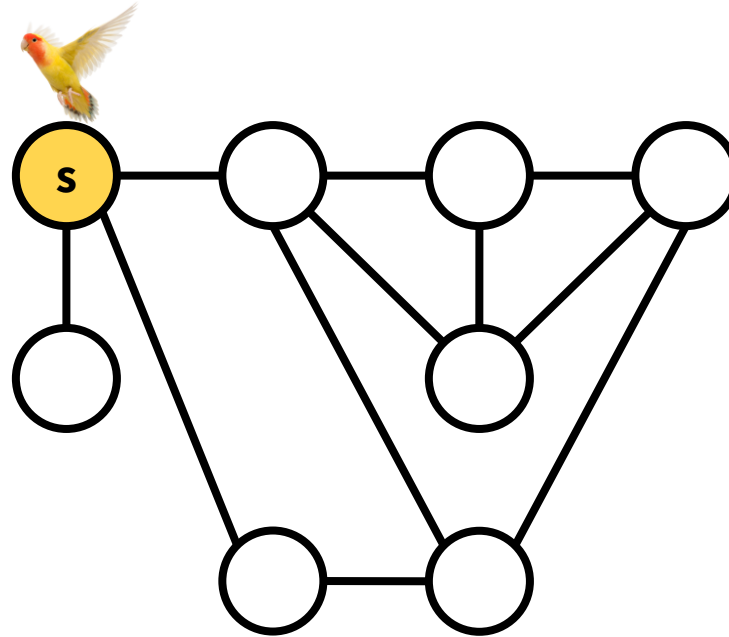


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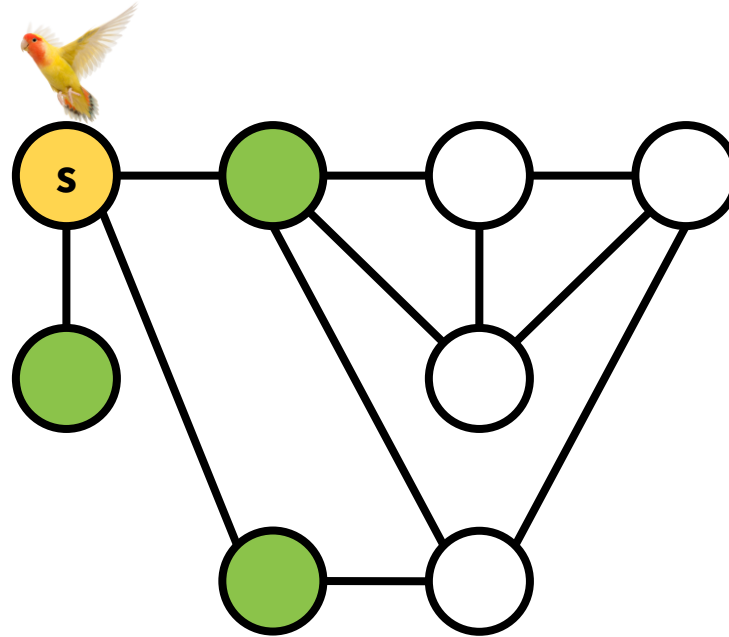


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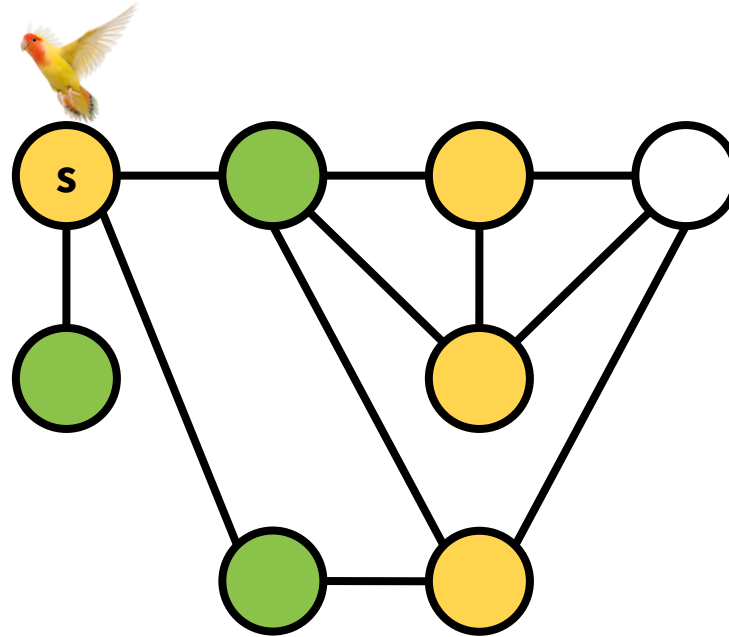


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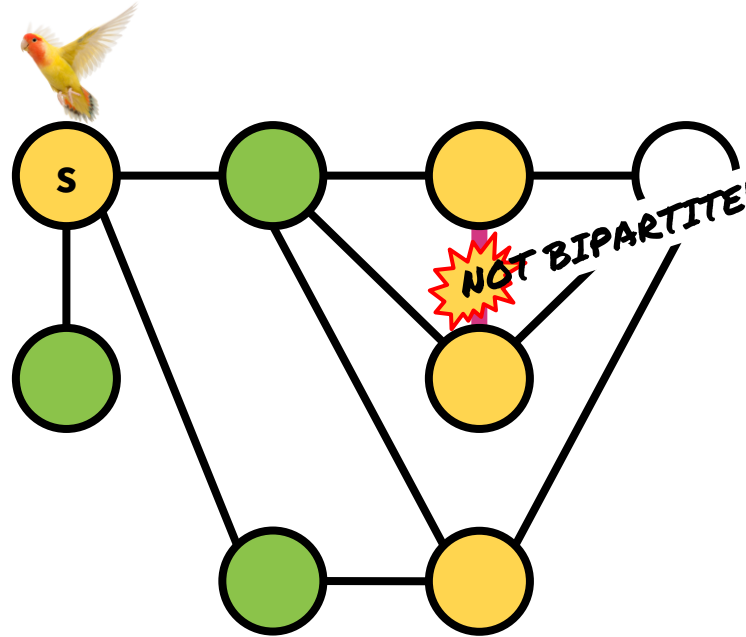


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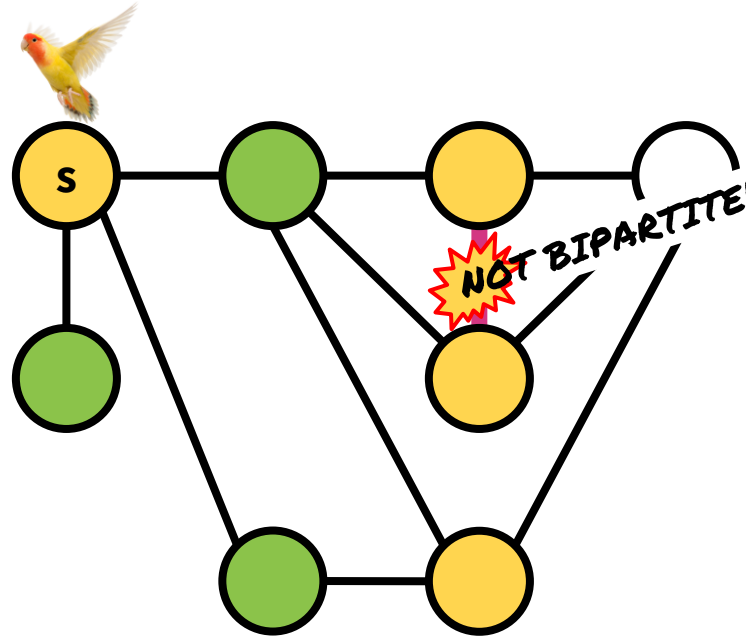


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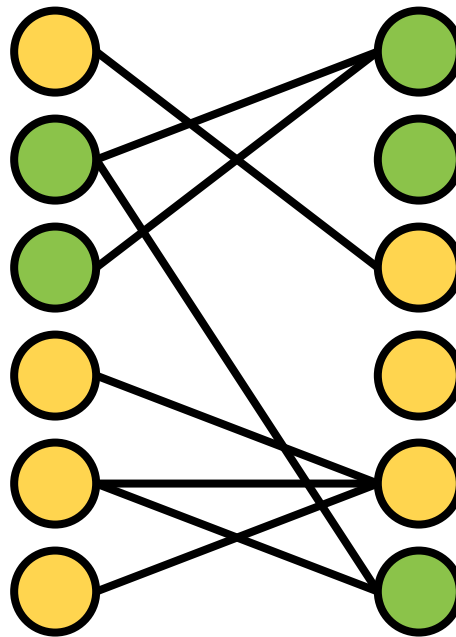


Is anyone incredulous that this actually works? 🤔

# Shortest Path

There exist many poor colorings on legitimate bipartite graphs.

Just because **this** coloring that doesn't work, why does that mean that **no** coloring works? 🤔



This is a poor coloring on an obviously bipartite graph.



# Shortest Path

**Theorem:** bfs colors two neighbors the same color iff the graph is not bipartite.

**Proof:**

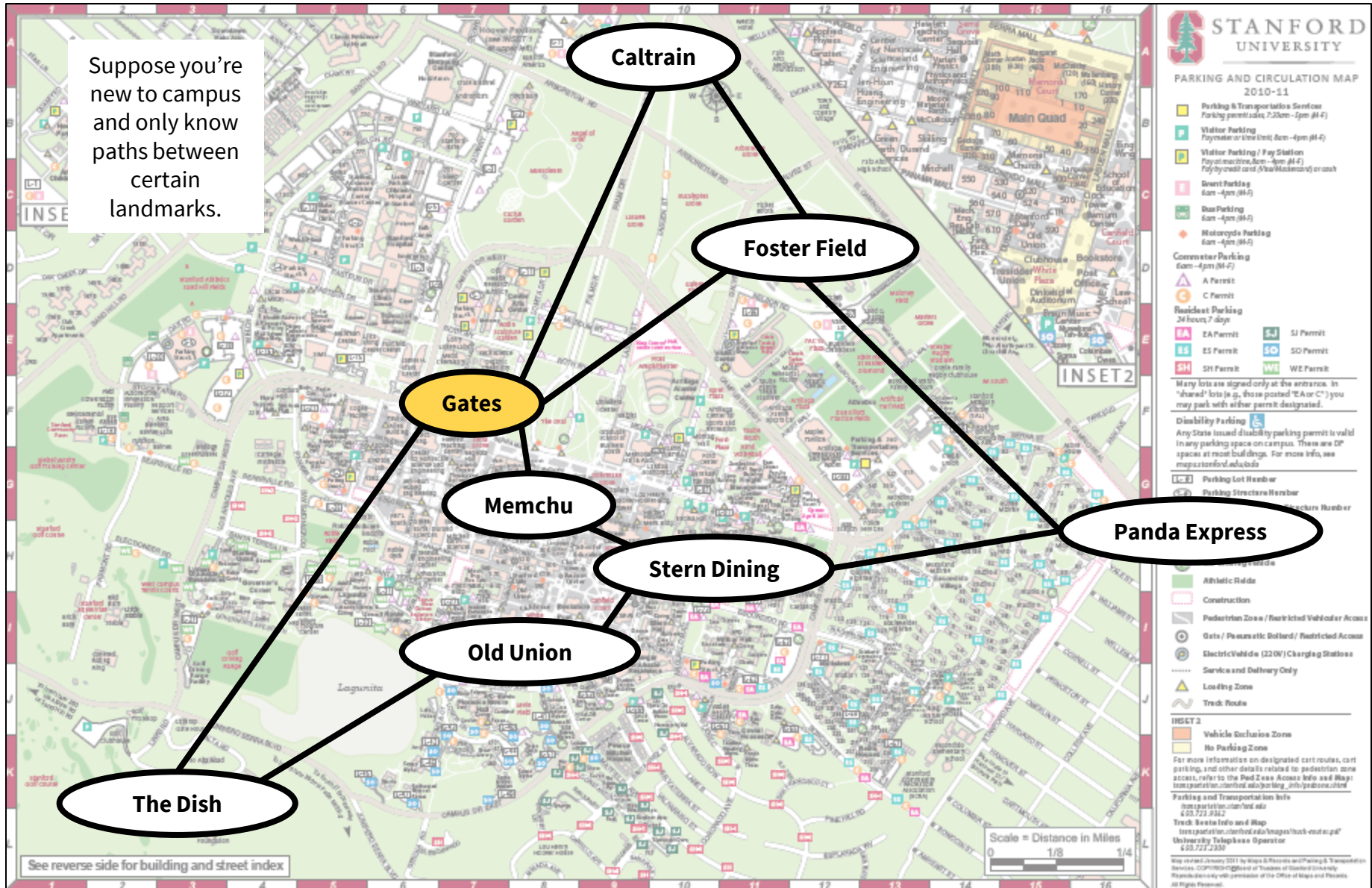
Since bfs colors vertices alternating colors, it colors two neighbors the same color iff it's found a cycle of odd length in the graph. Therefore, the graph contains an odd cycle as a subgraph. But it's impossible to color an odd cycle with two colors such that no two neighbors have the same color. Therefore, it's impossible to two-color the graph such that there are no edges between same-colored vertices, and the graph must not be bipartite.

3 min break

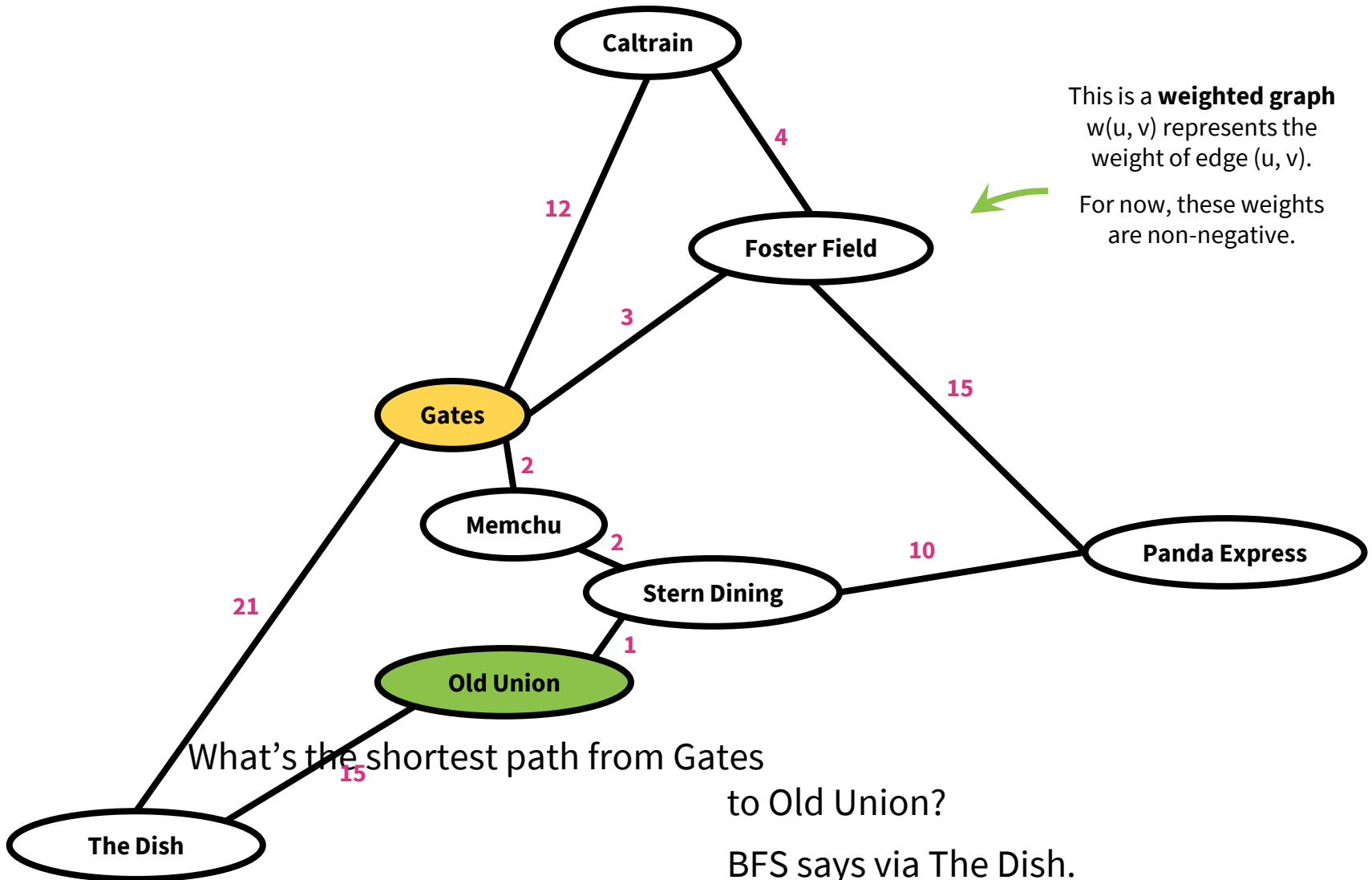
# Dijkstra's Algorithm

# Shortest Path

Suppose you're new to campus and only know paths between certain landmarks.



# Shortest Path

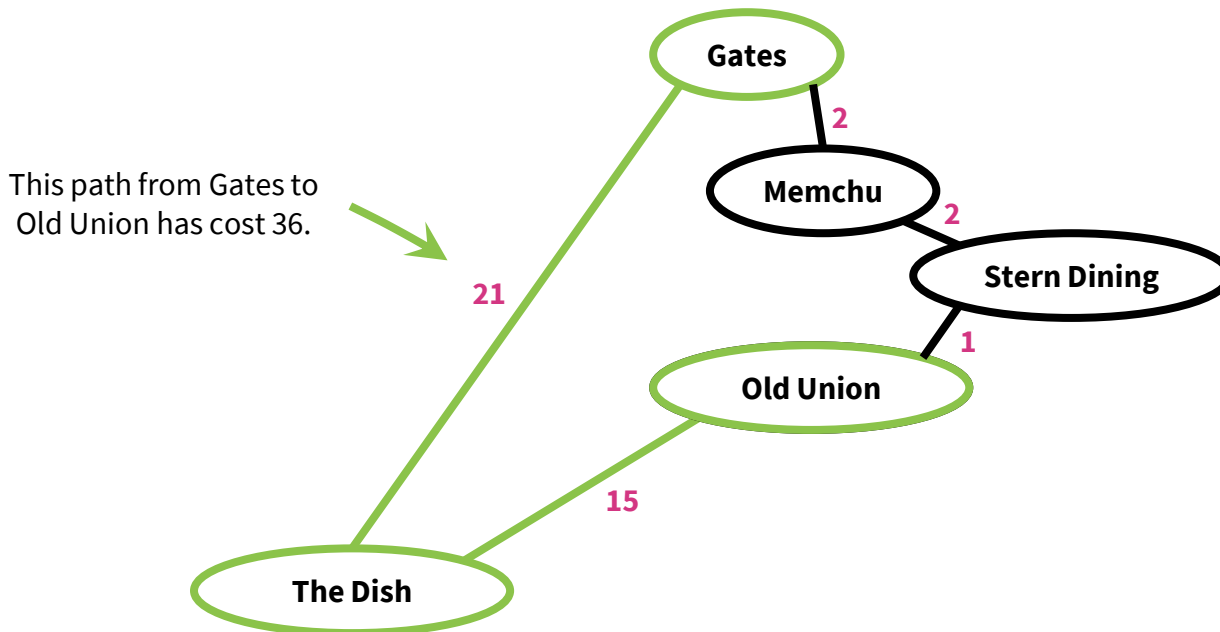


# Shortest Path

What is the **shortest path** between u and v in a weighted graph?

The cost of a path is the sum of the weights along that path.

The shortest path is the one with the minimum cost.

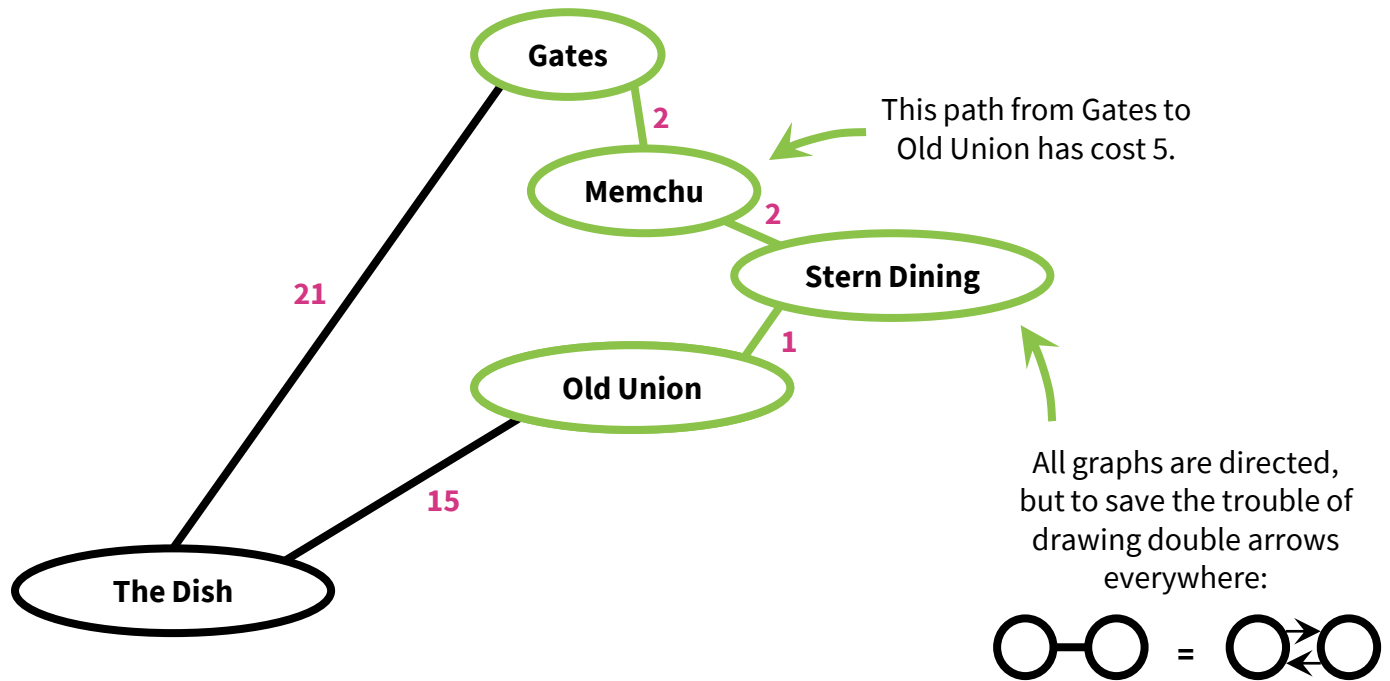


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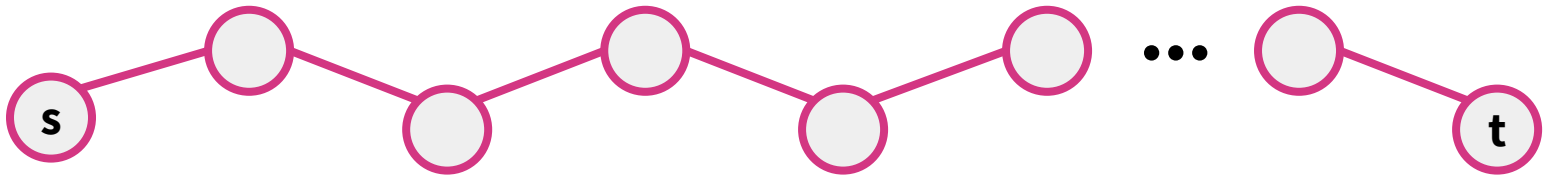
The shortest path is the one with the minimum cost.



# Shortest Path

**Claim:** A subpath of a shortest path is also a shortest path.

**Intuition:**



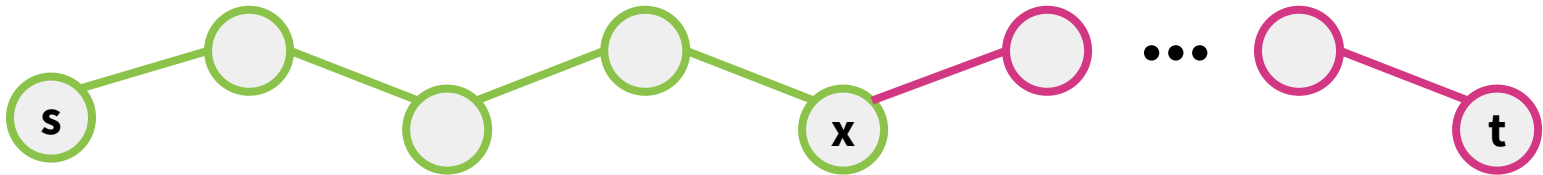
Suppose **this** is a shortest path from **s** to **t**.



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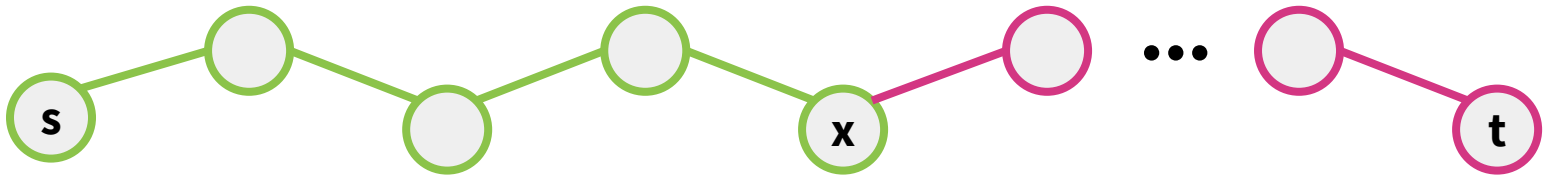
Then **this** is a shortest path from **s** to **x**.

Why? 🤔

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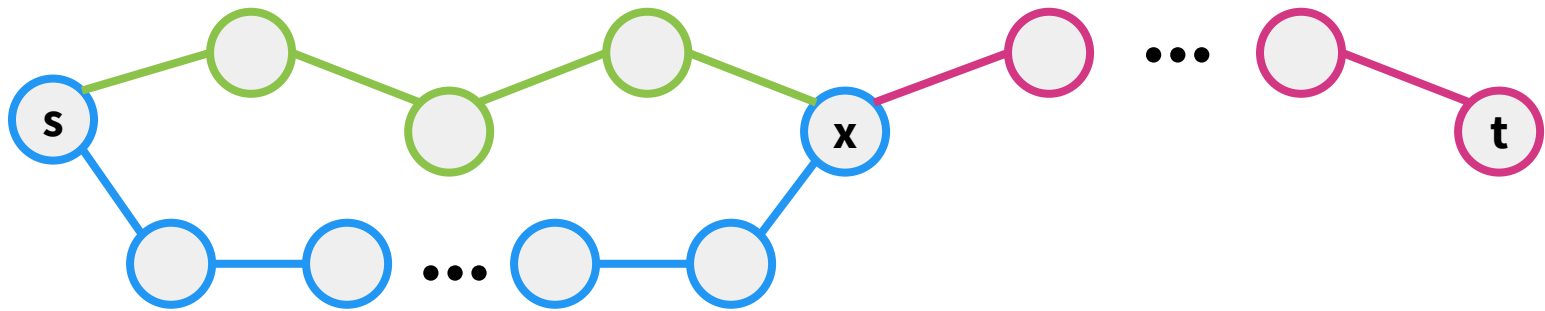
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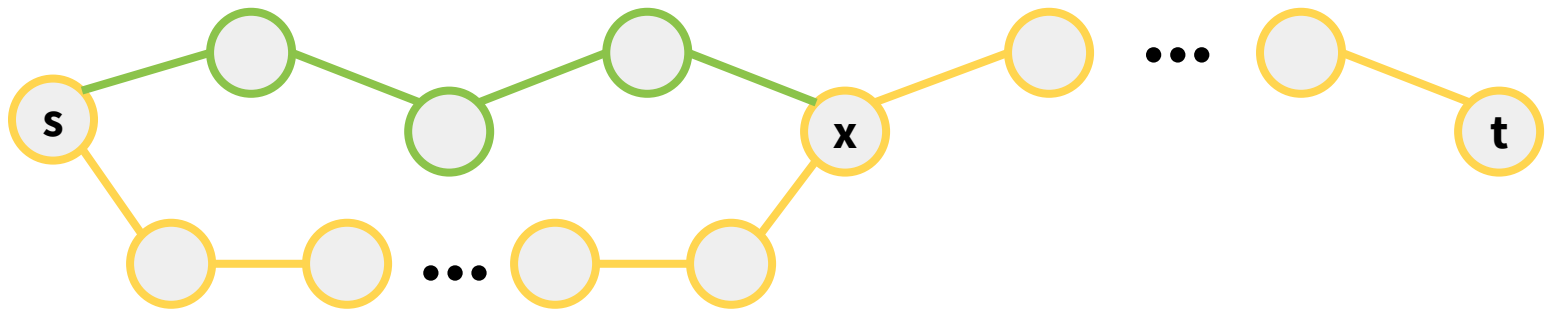
Then **this** is a shortest path from **s** to **x**.

Why? 🤔 By contradiction, suppose there exists a shorter path from **s** to **x**, namely **this** one.

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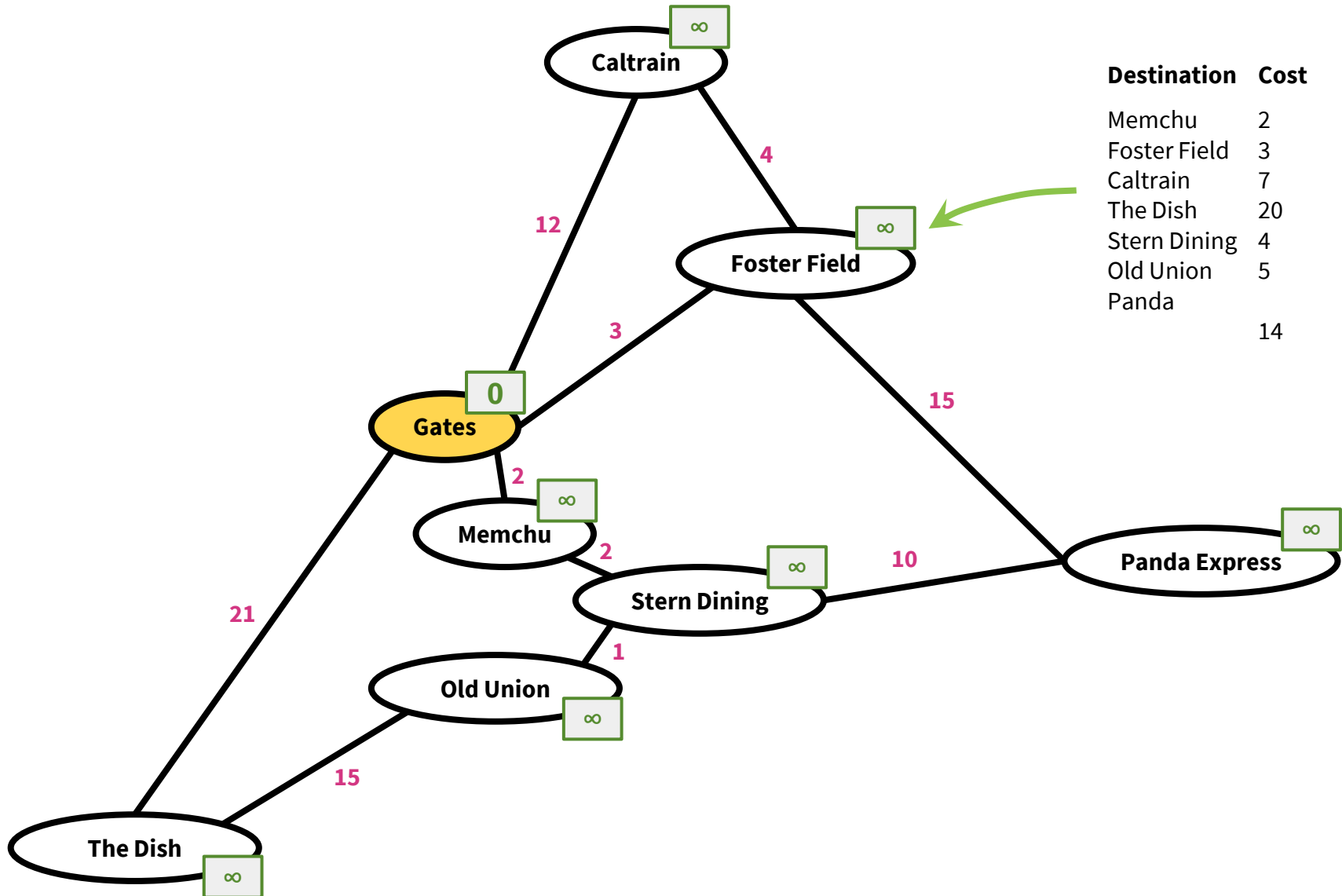
Suppose **this** is a shortest path from **s** to **t**.

Then **this** is a shortest path from **s** to **x**.

Why? 🤔 By contradiction, suppose there exists a shorter path from **s** to **x**, namely **this** one.

But then **this** is shorter than **this** shortest path from **s** to **t**.

# Single-Source Shortest Path



# Single-Source Shortest Path

**Application:** Finding the shortest path from Palo Alto to [somewhere else] for a commuter using BART, Caltrain, bike, walking, Uber, Lyft, etc.

Edge weights are a function of time, money, hassle that change depending on the commuter's mood on that day.

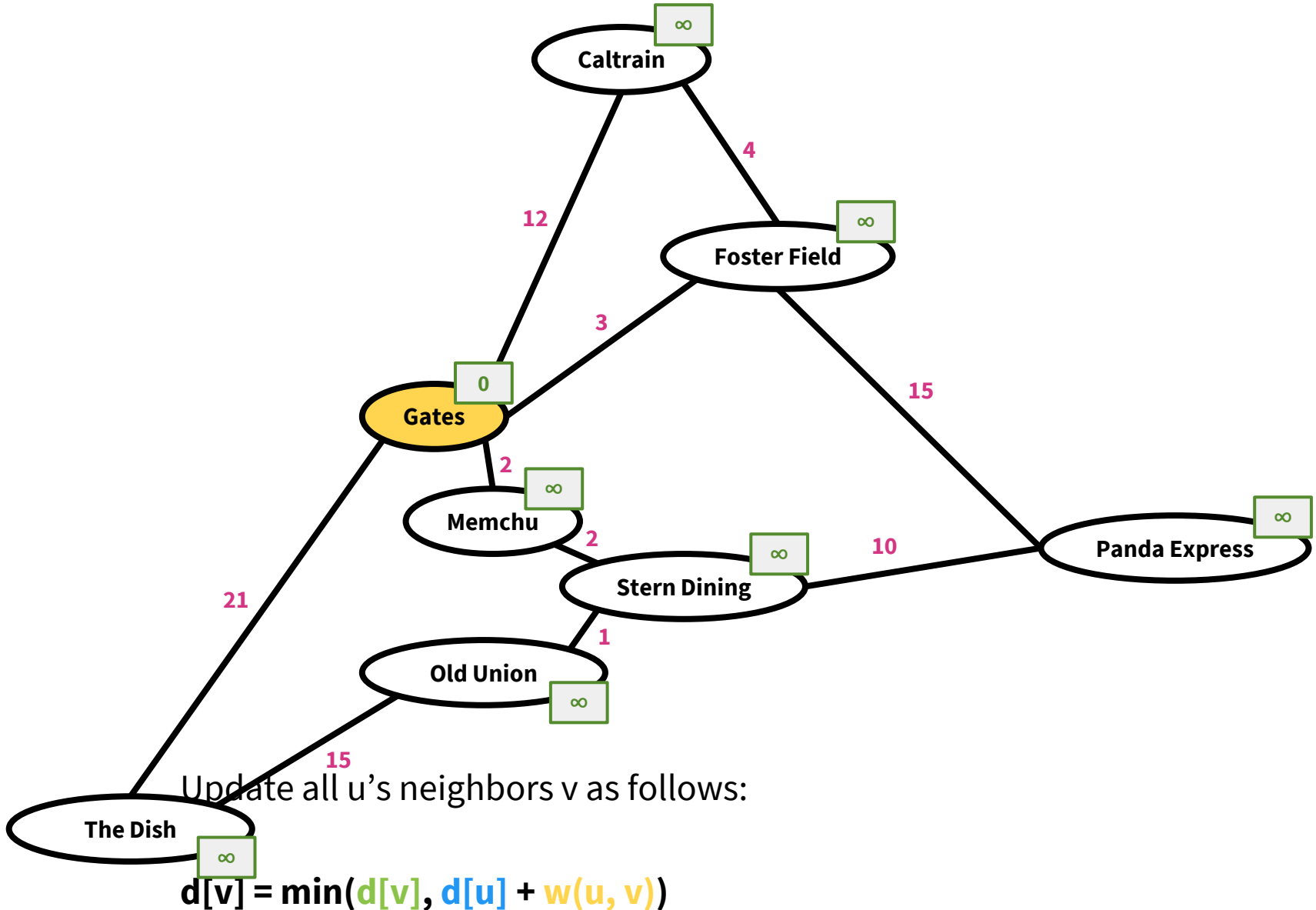
**Application:** Finding the shortest path from my computer to the desired server for packets using the Internet.

Edge weights are a function of link length, traffic, other costs, etc.

# Dijkstra's Algorithm

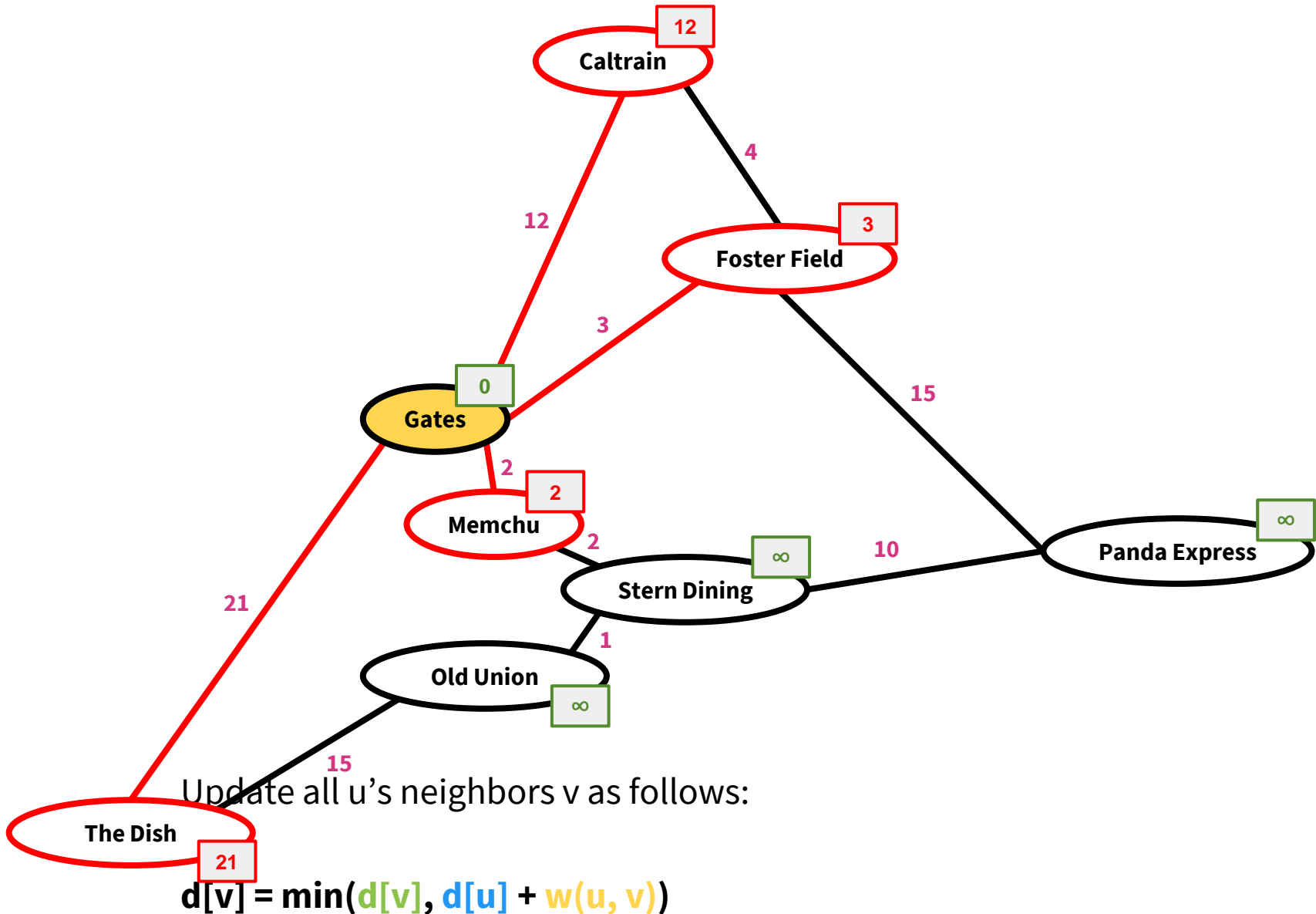
Dijkstra's Algorithm solves the single-source shortest path problem.

# Dijkstra's Algorithm

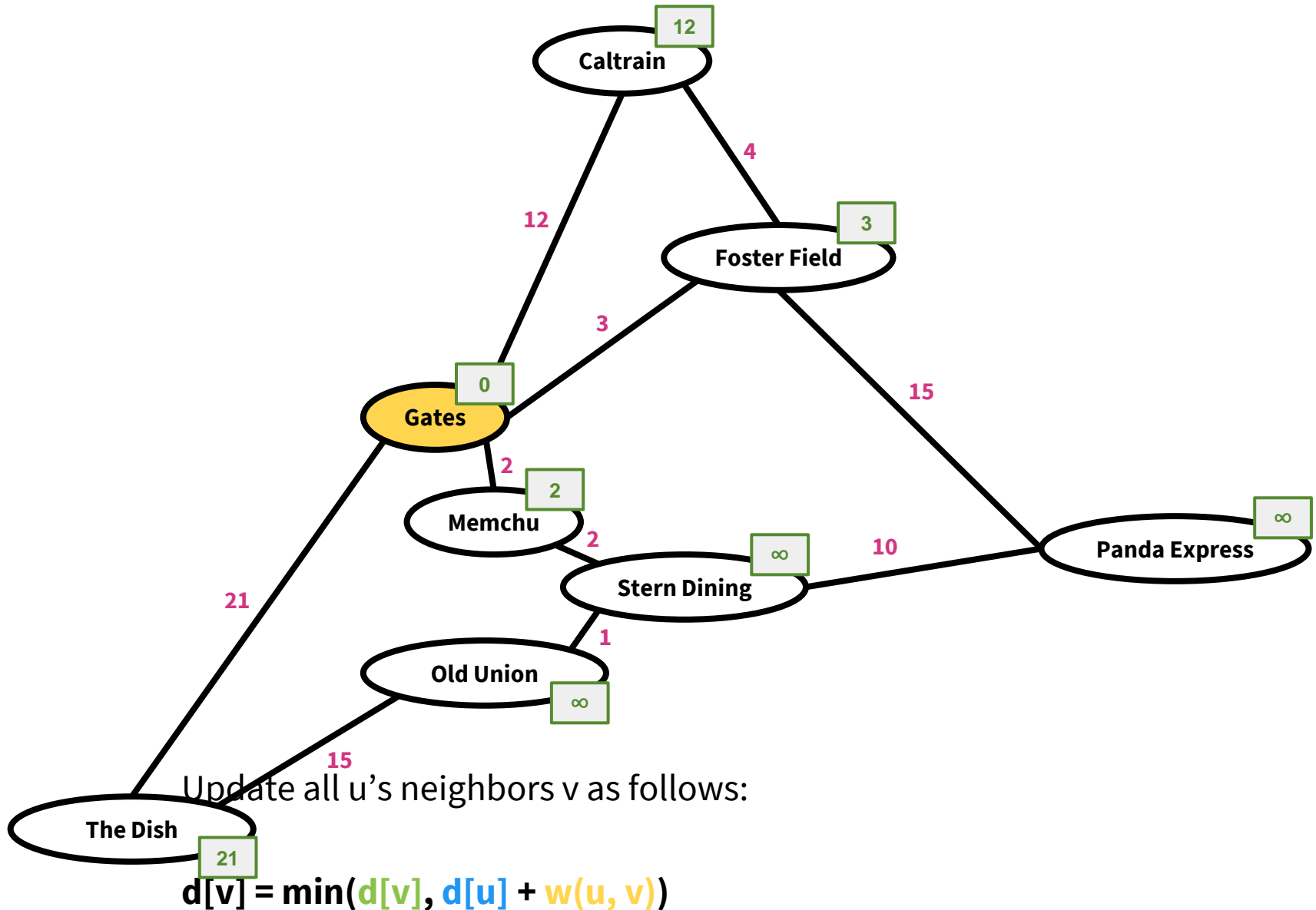




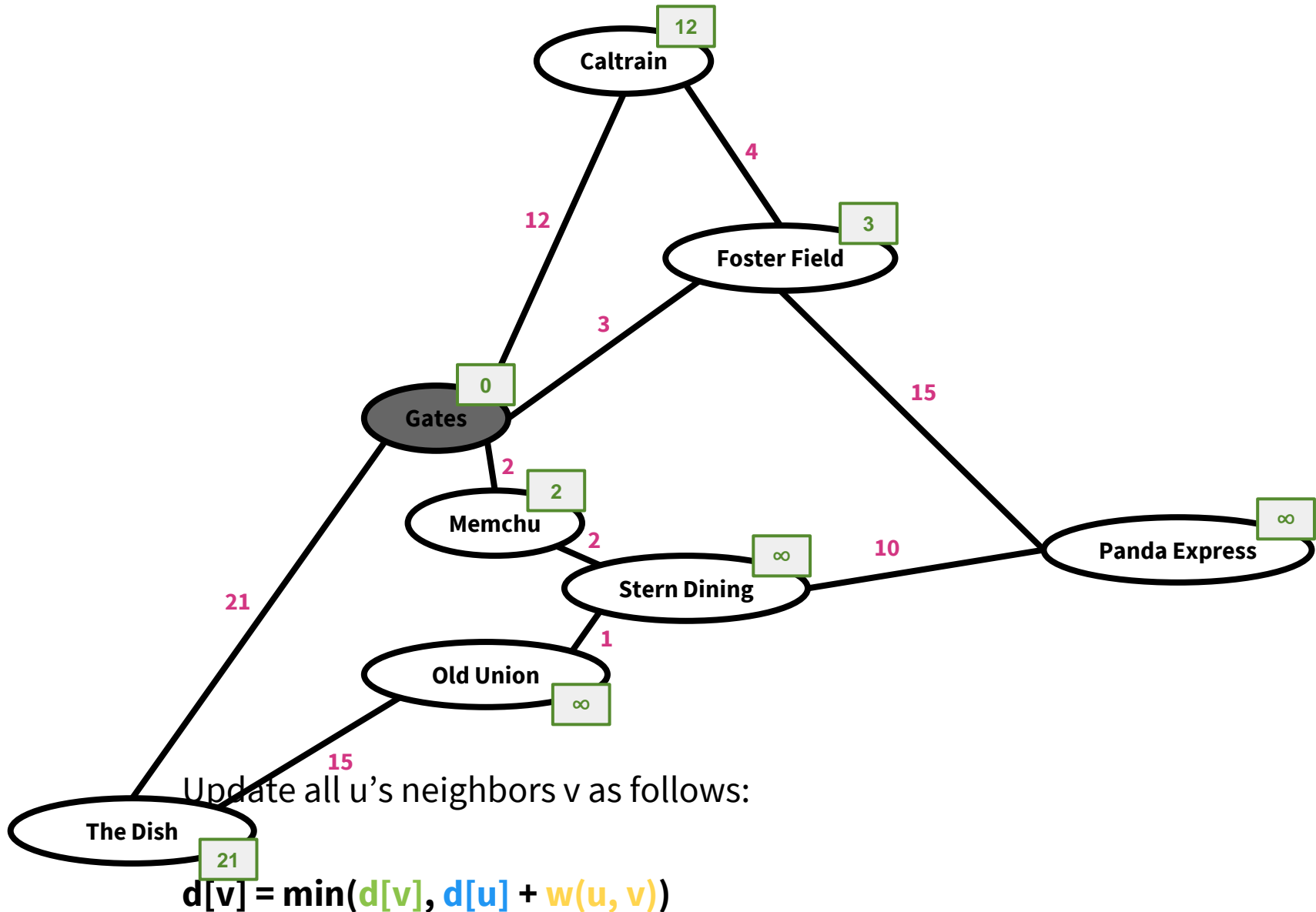
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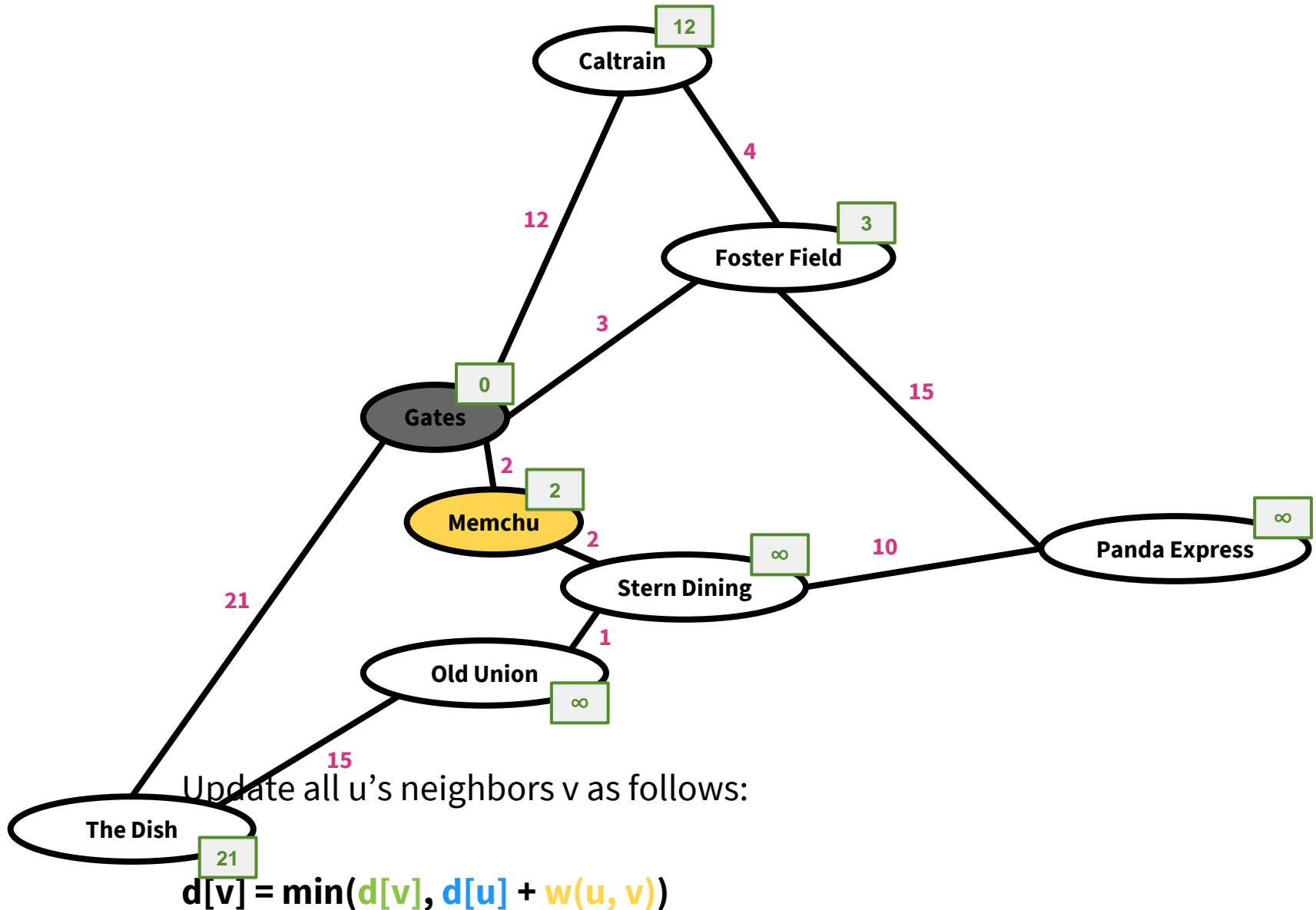
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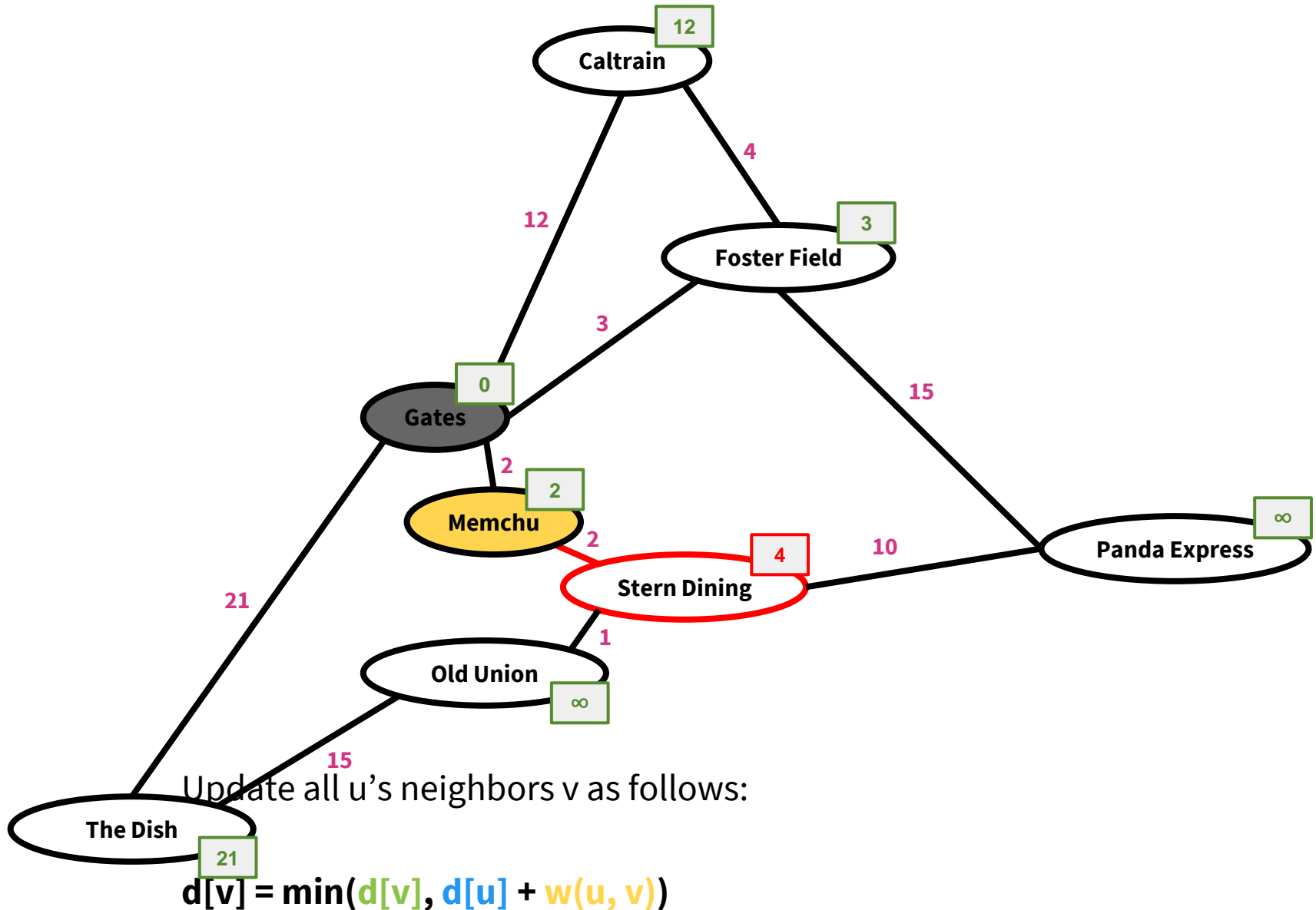
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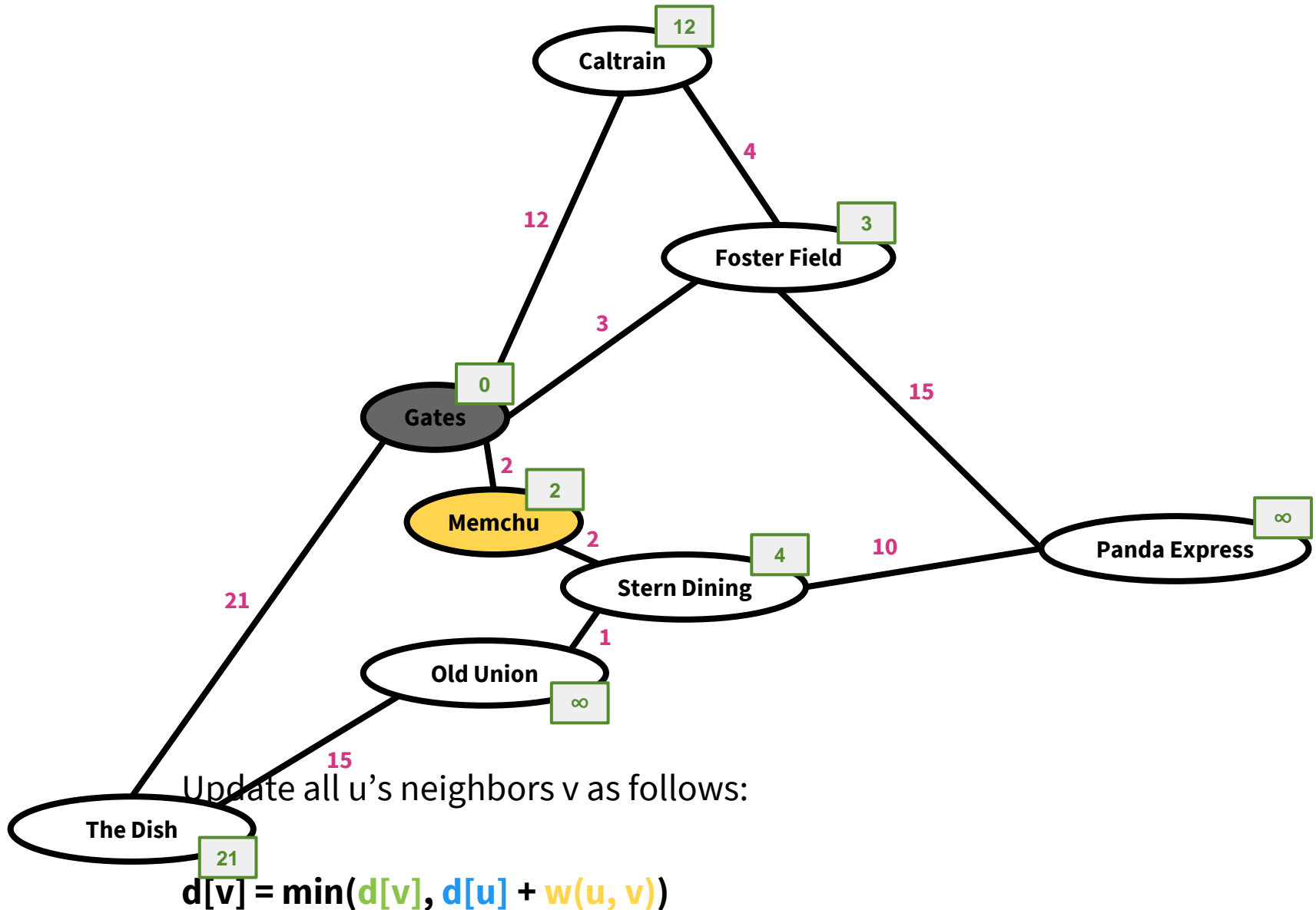
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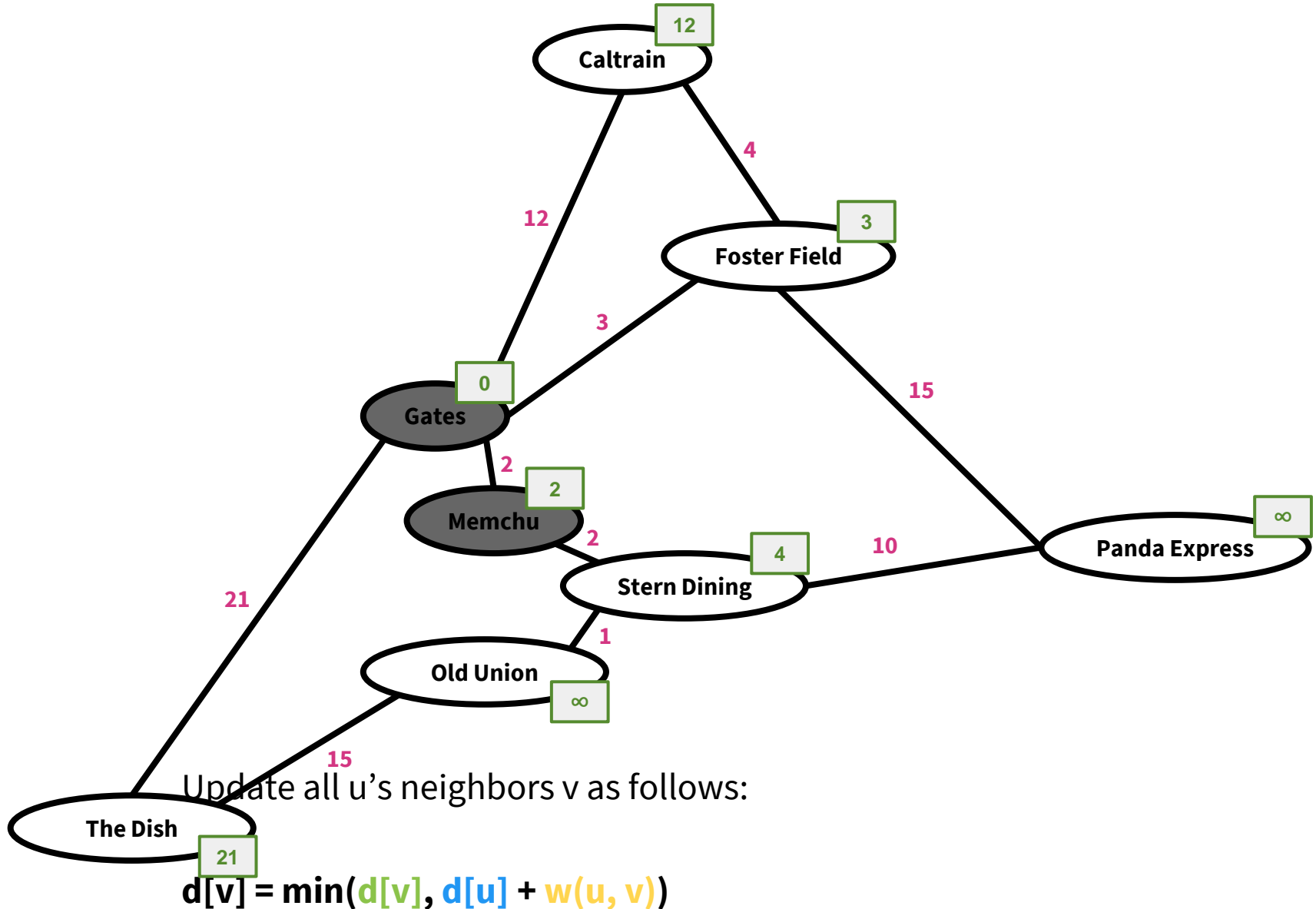
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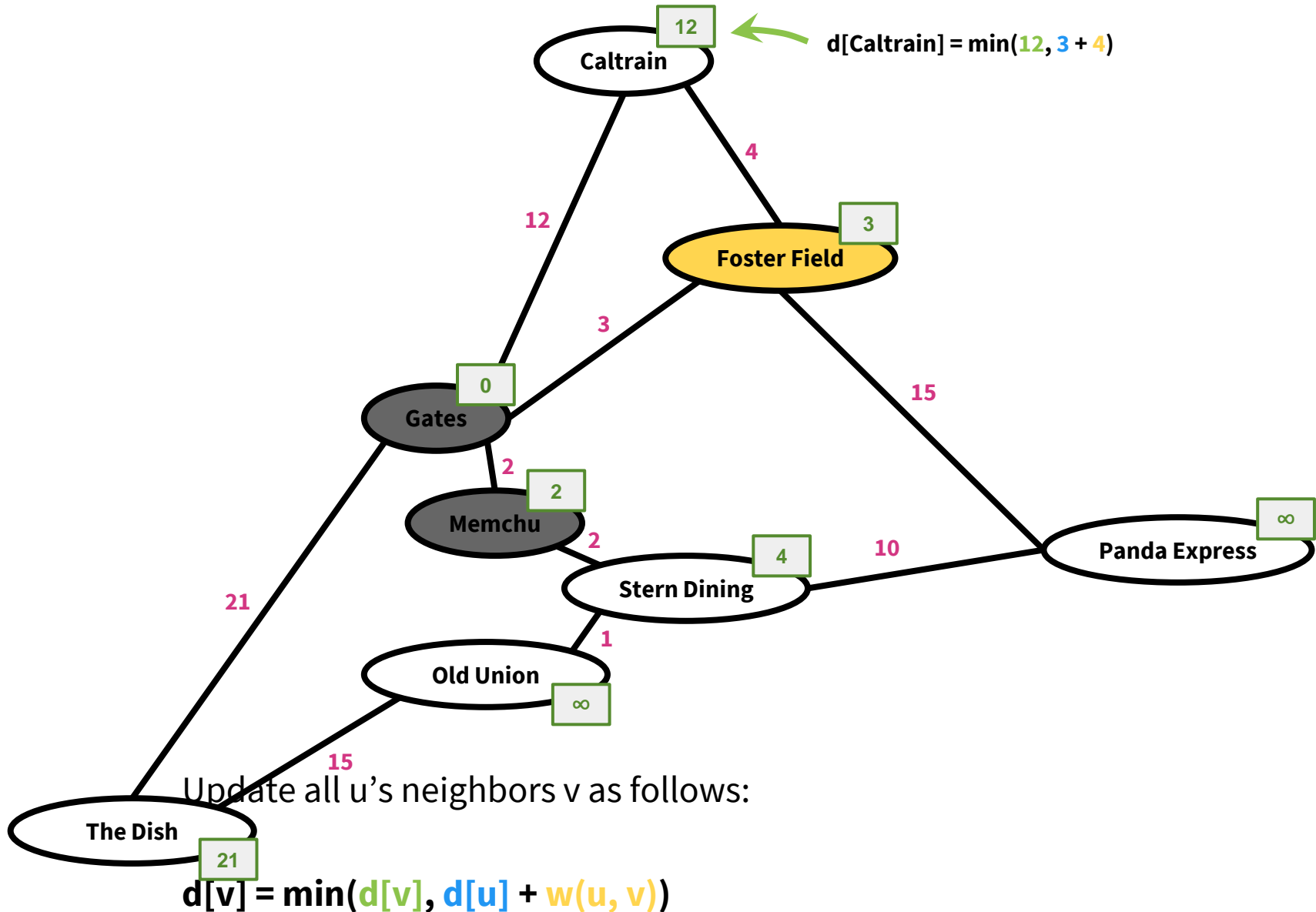
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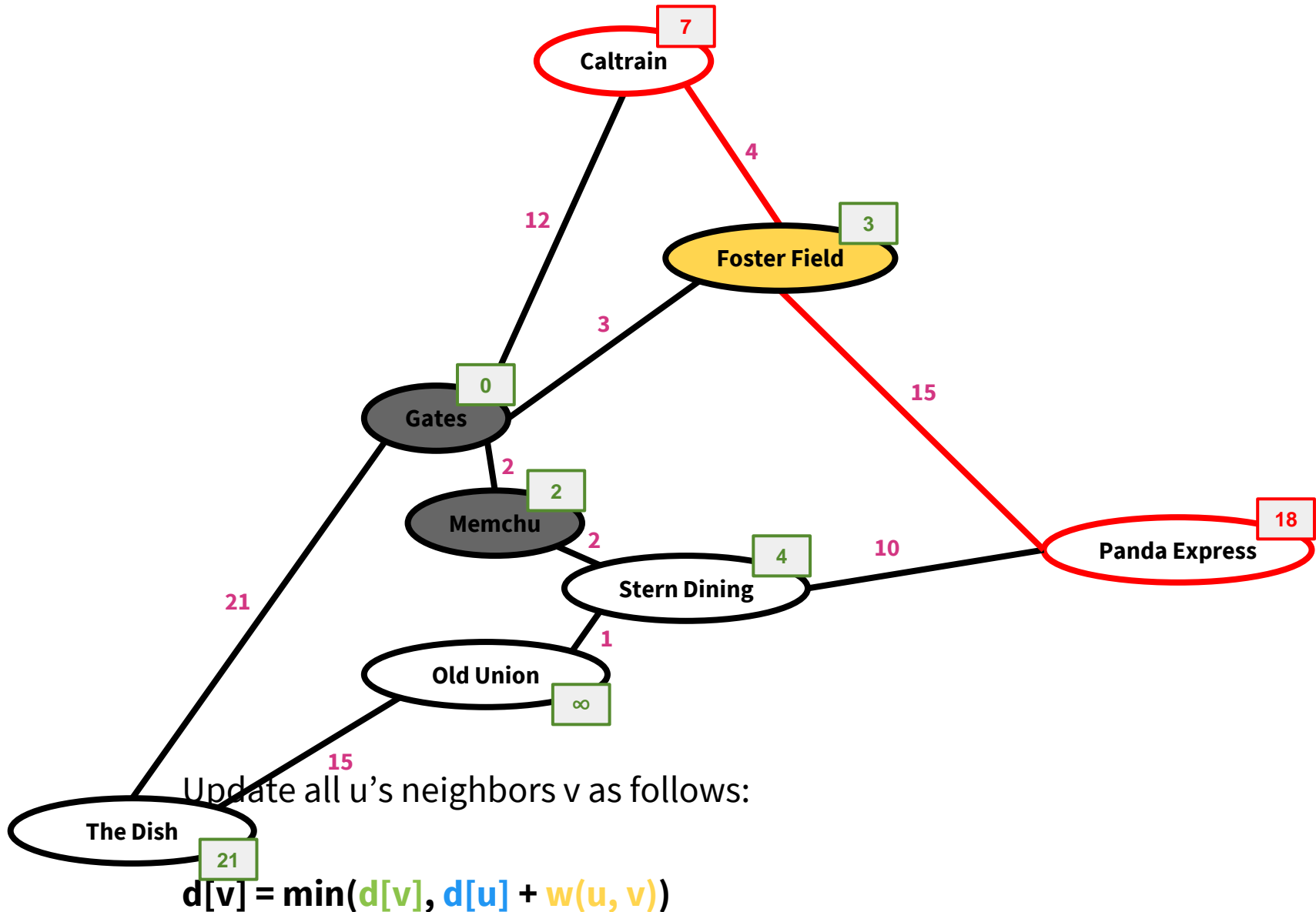


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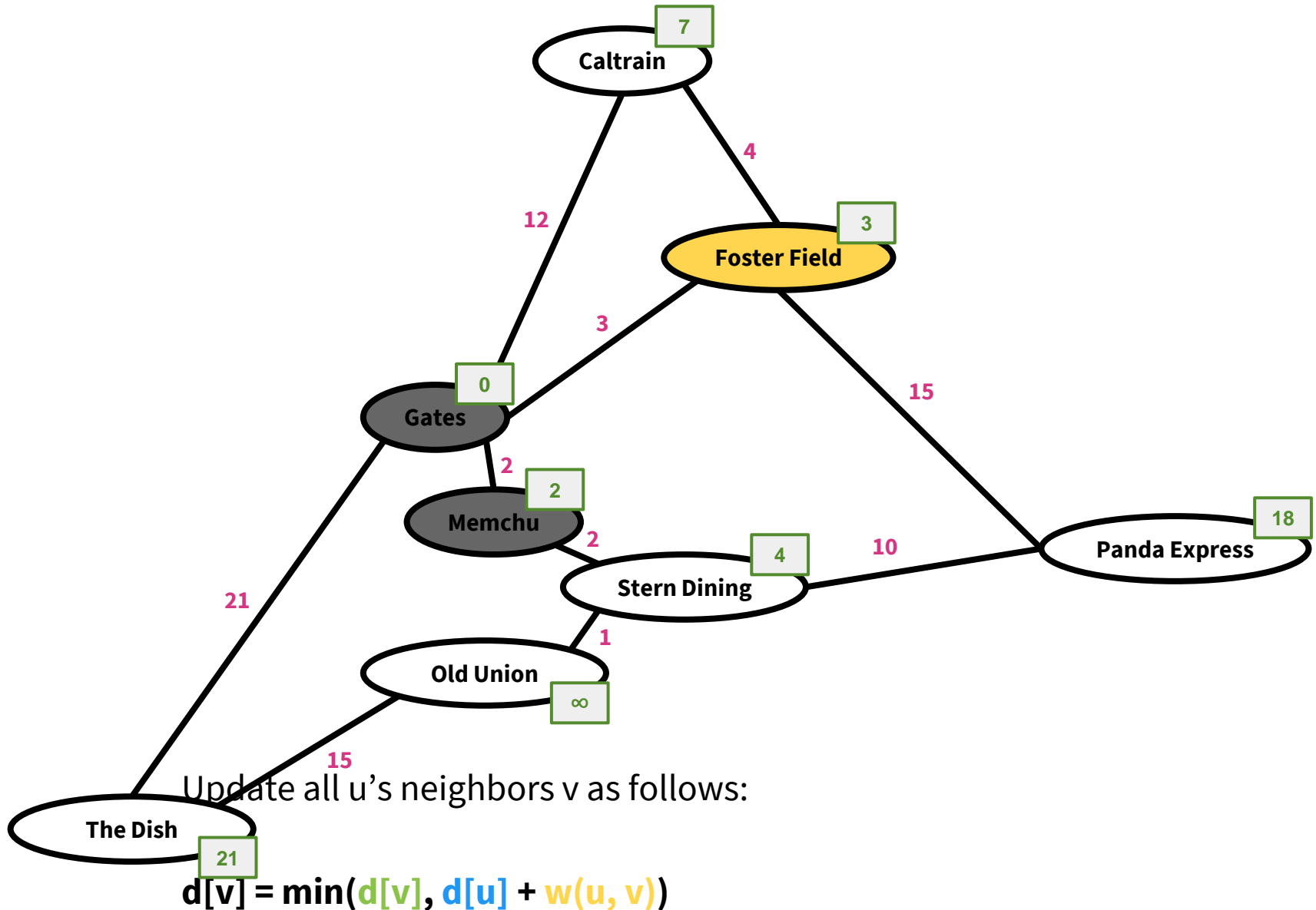




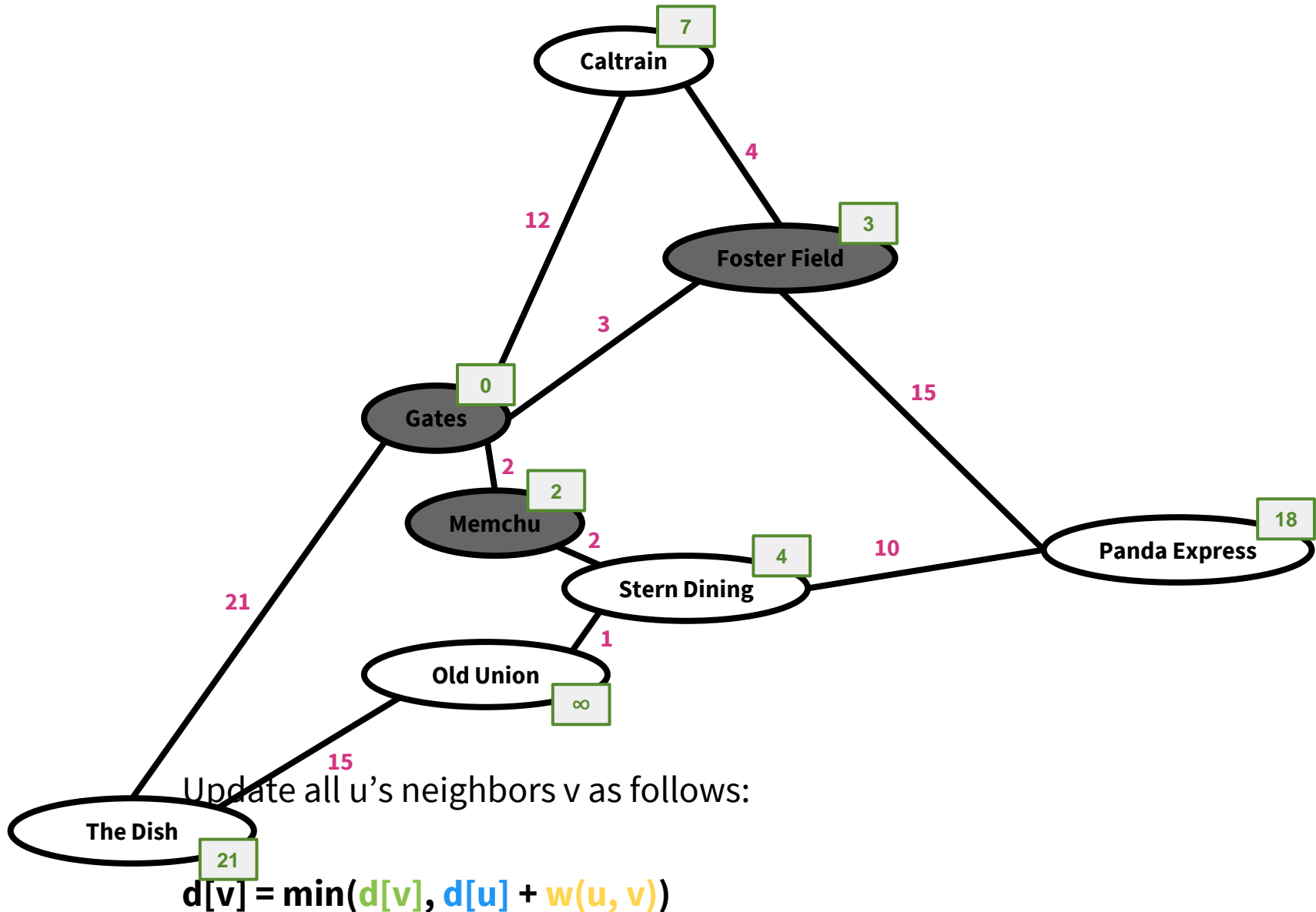
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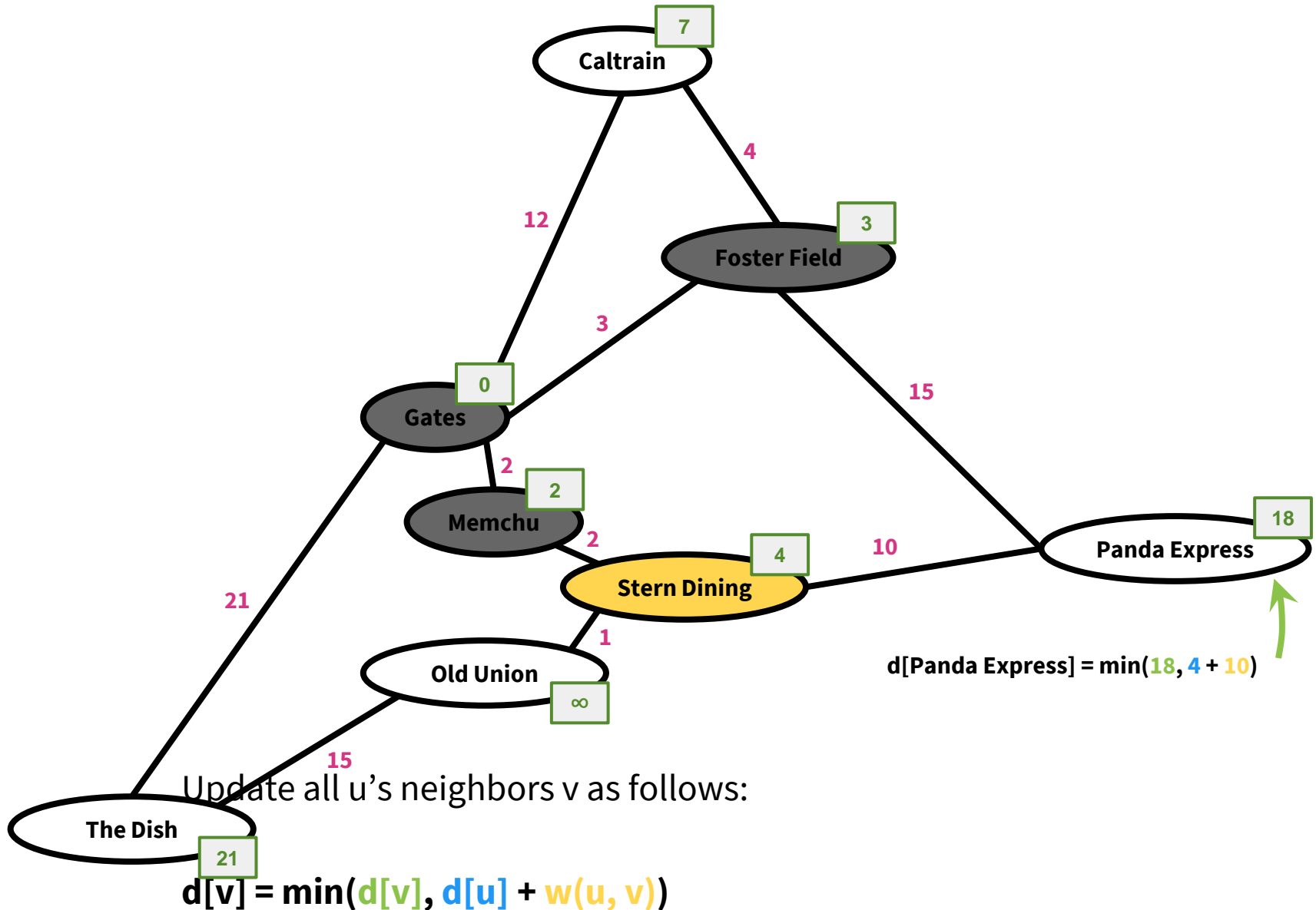
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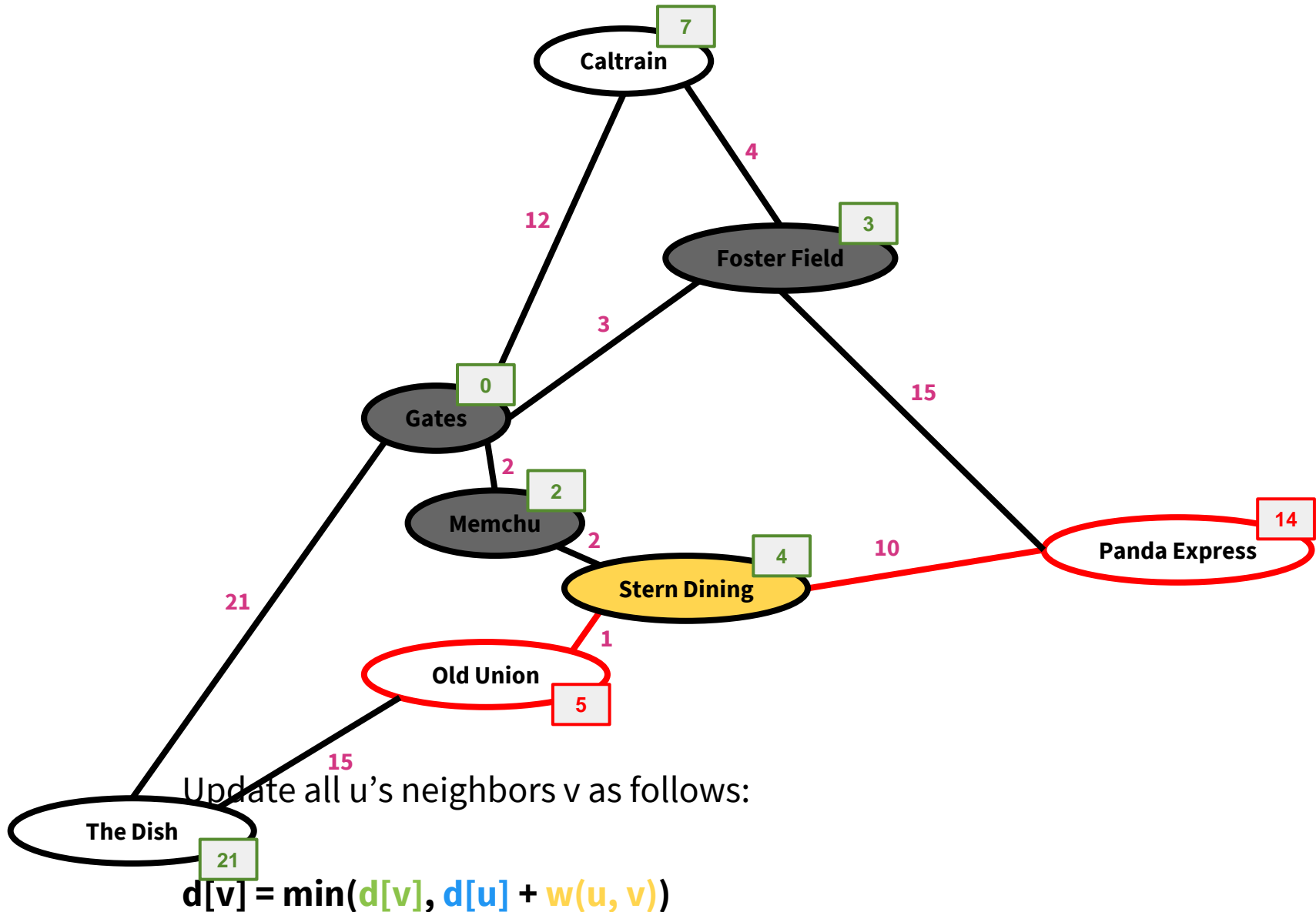
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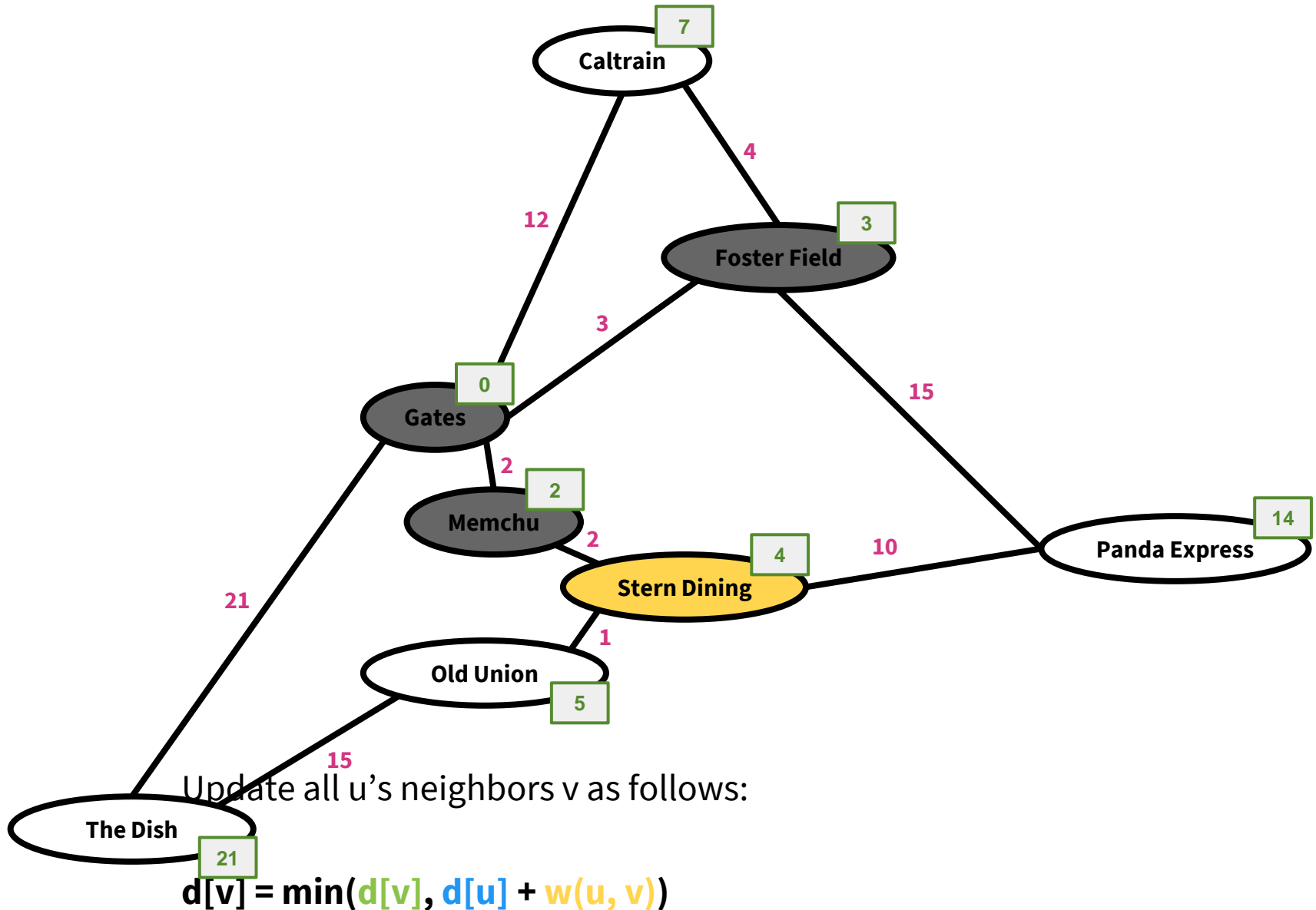
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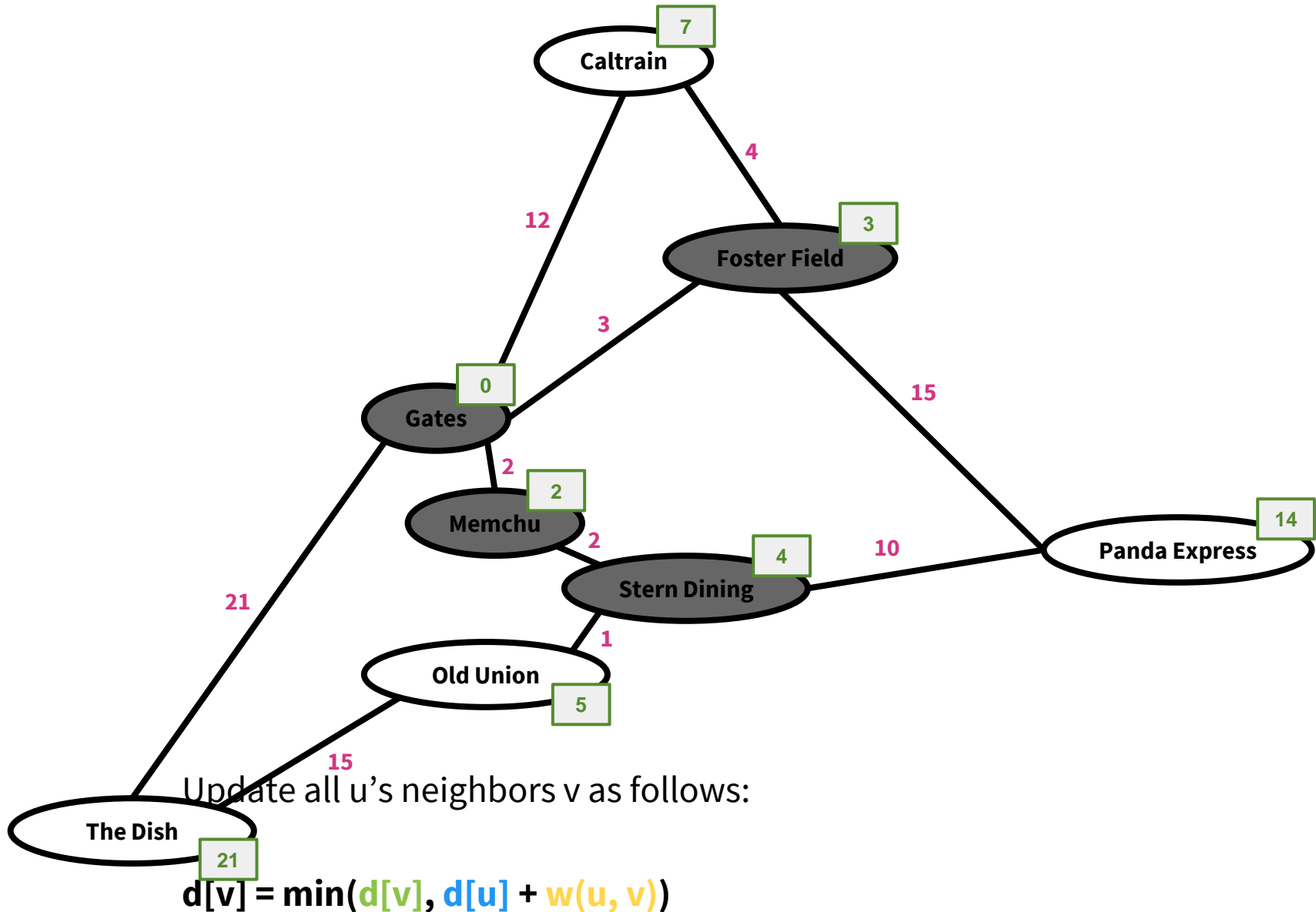
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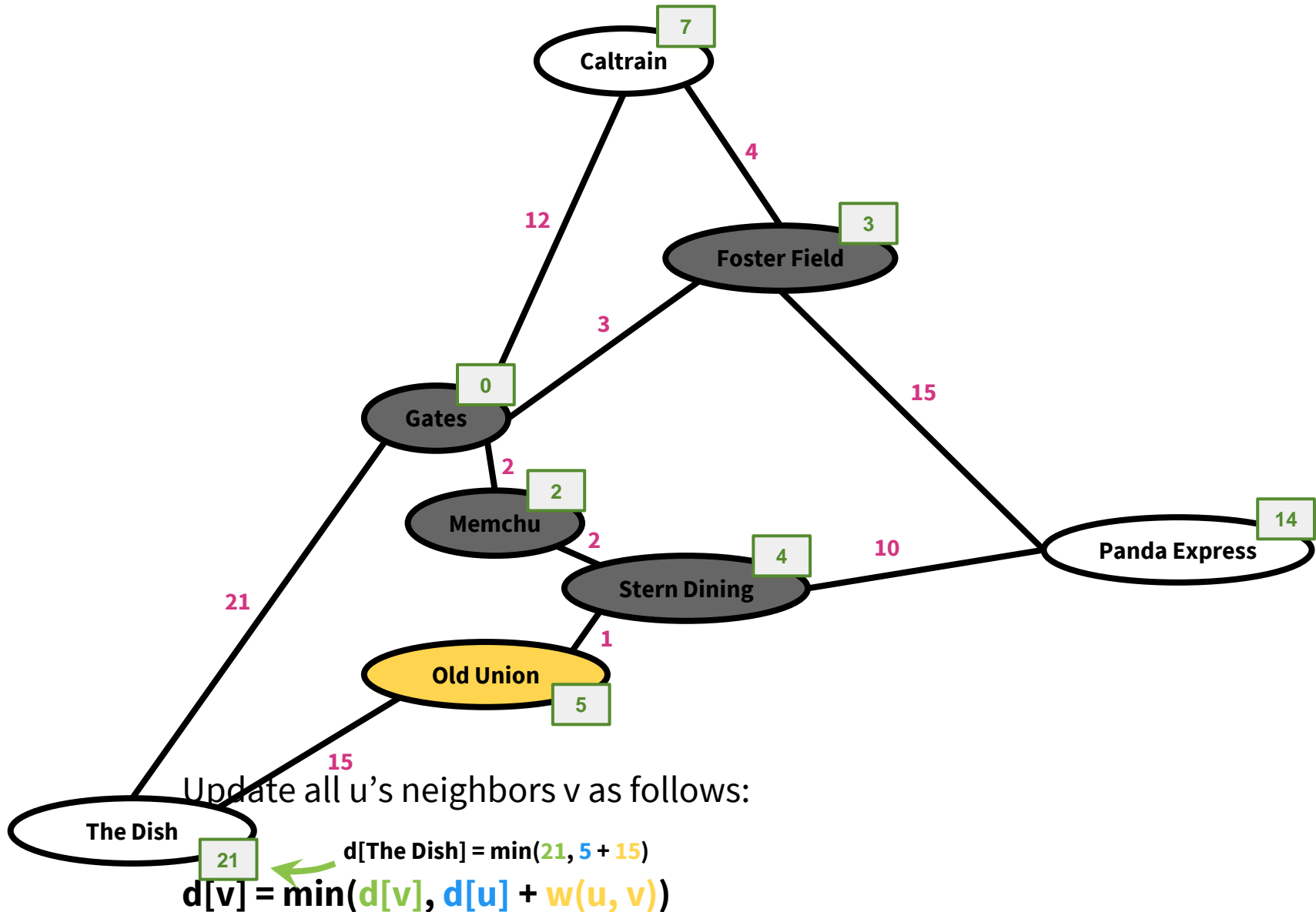
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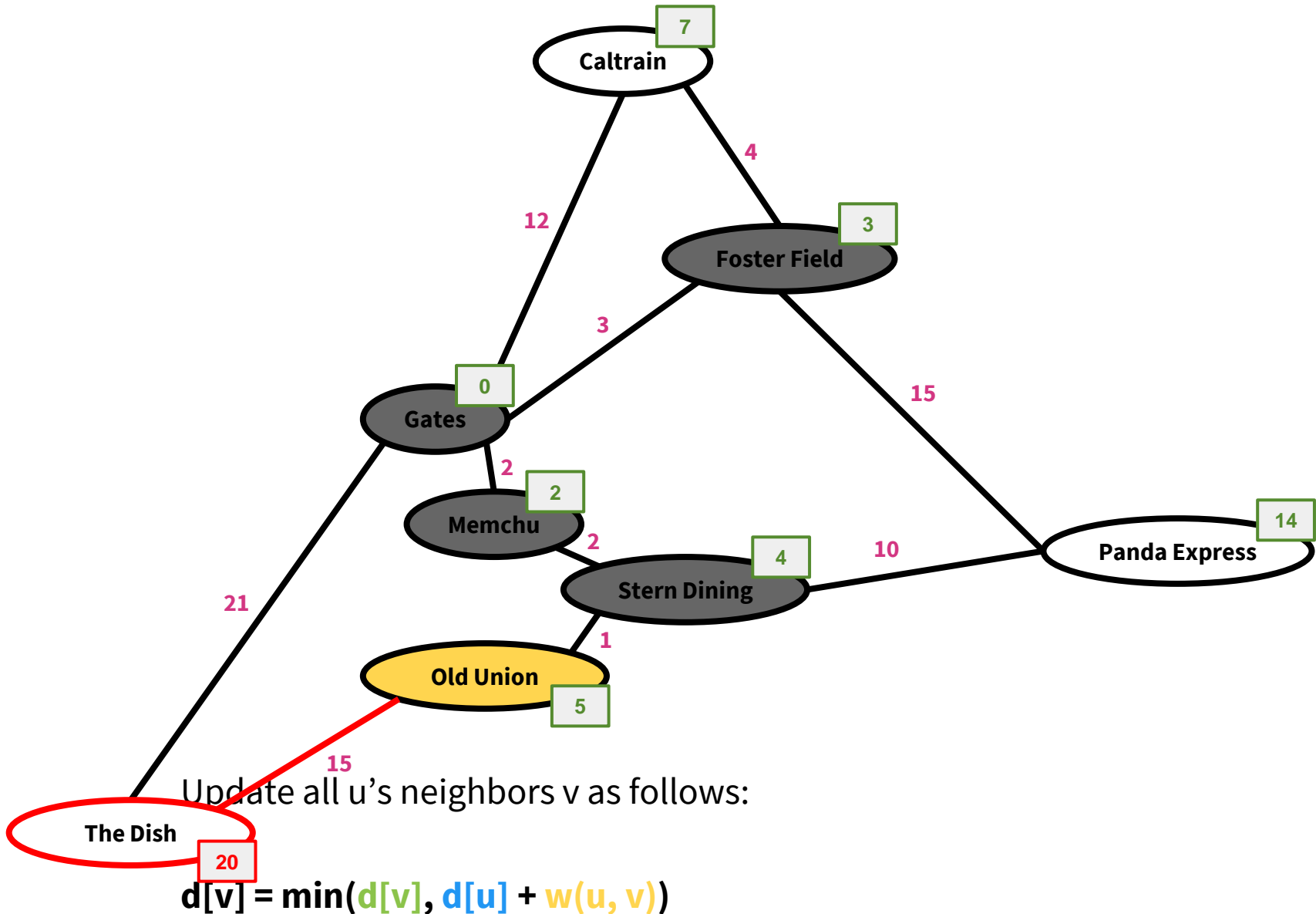


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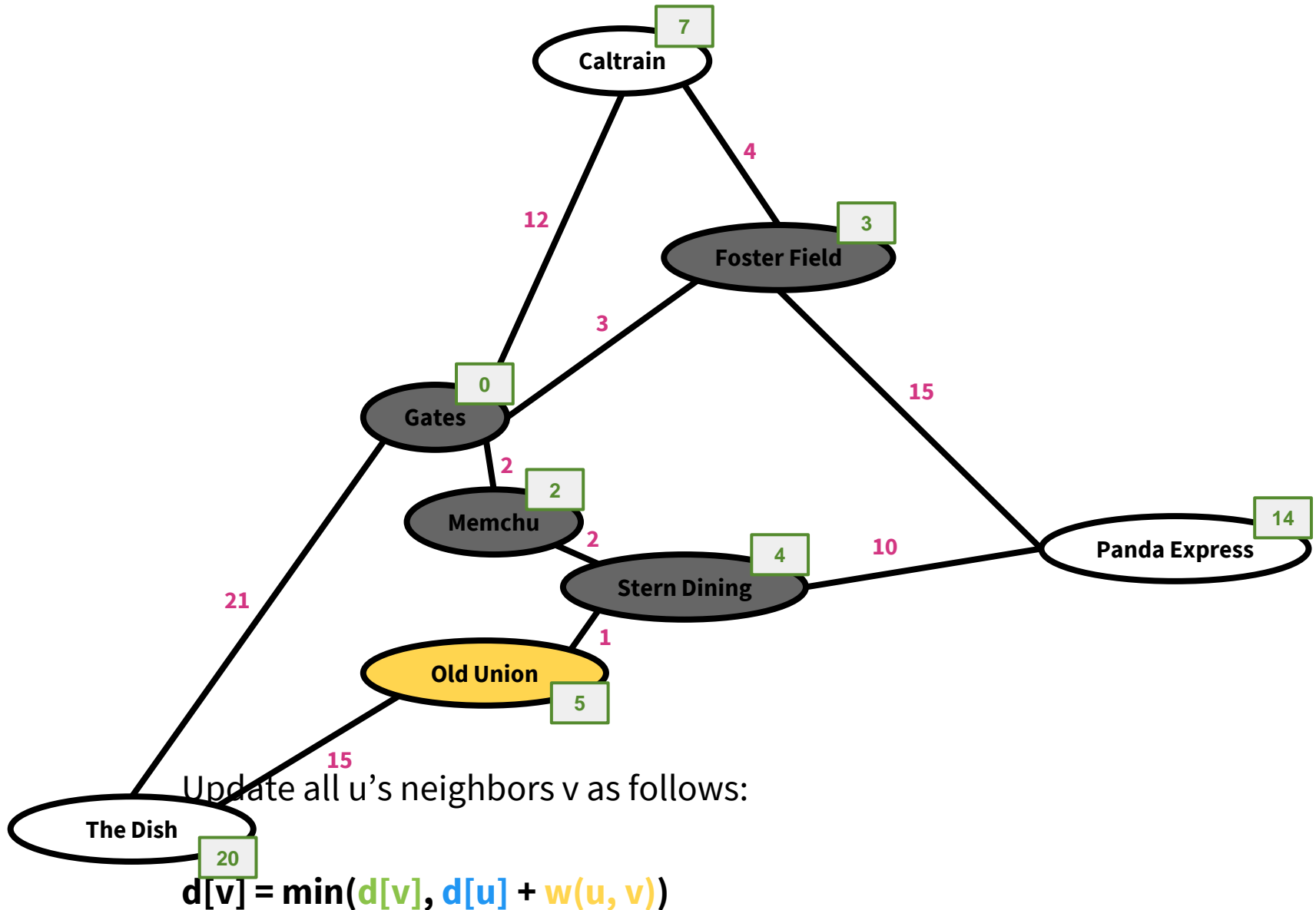




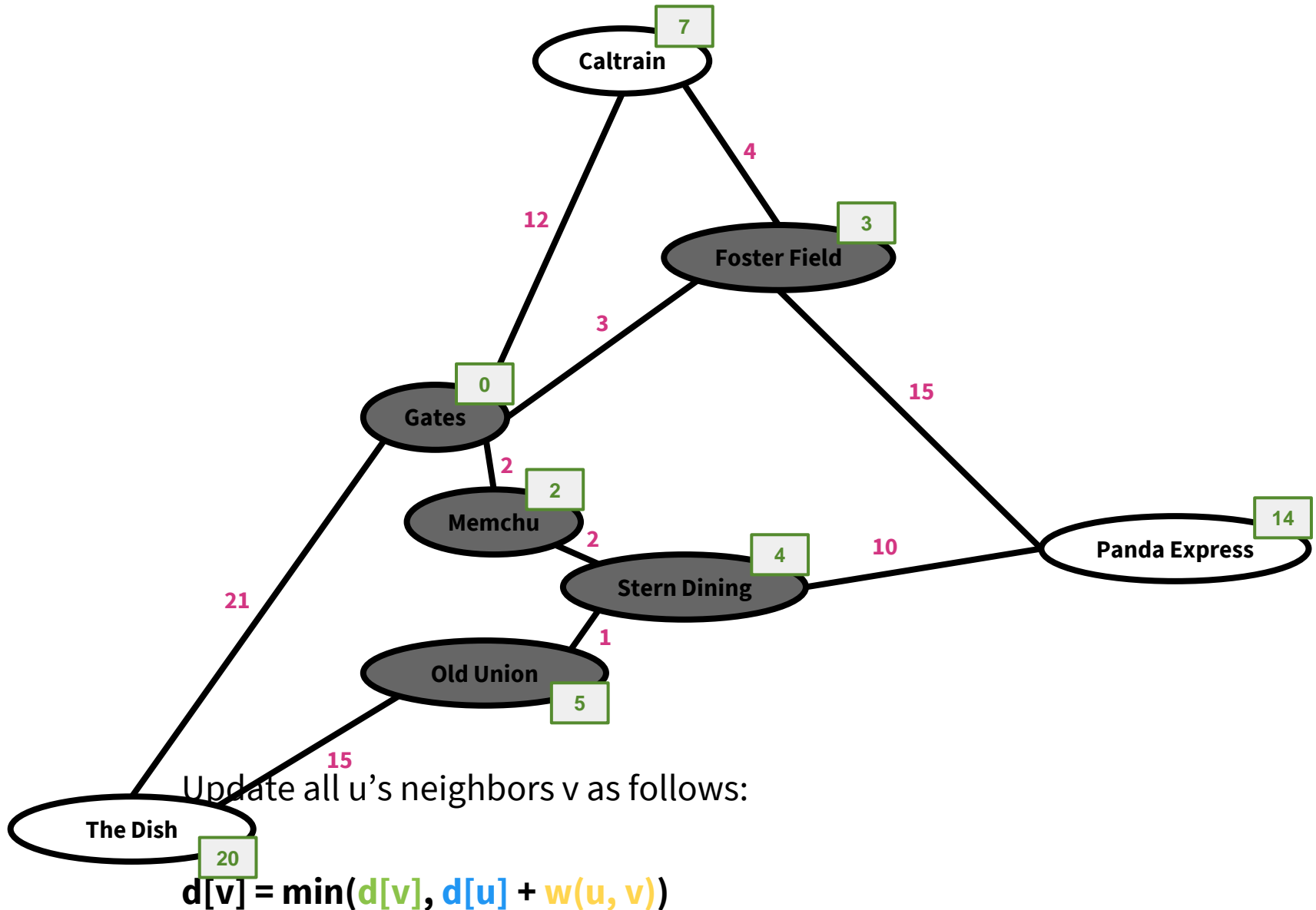
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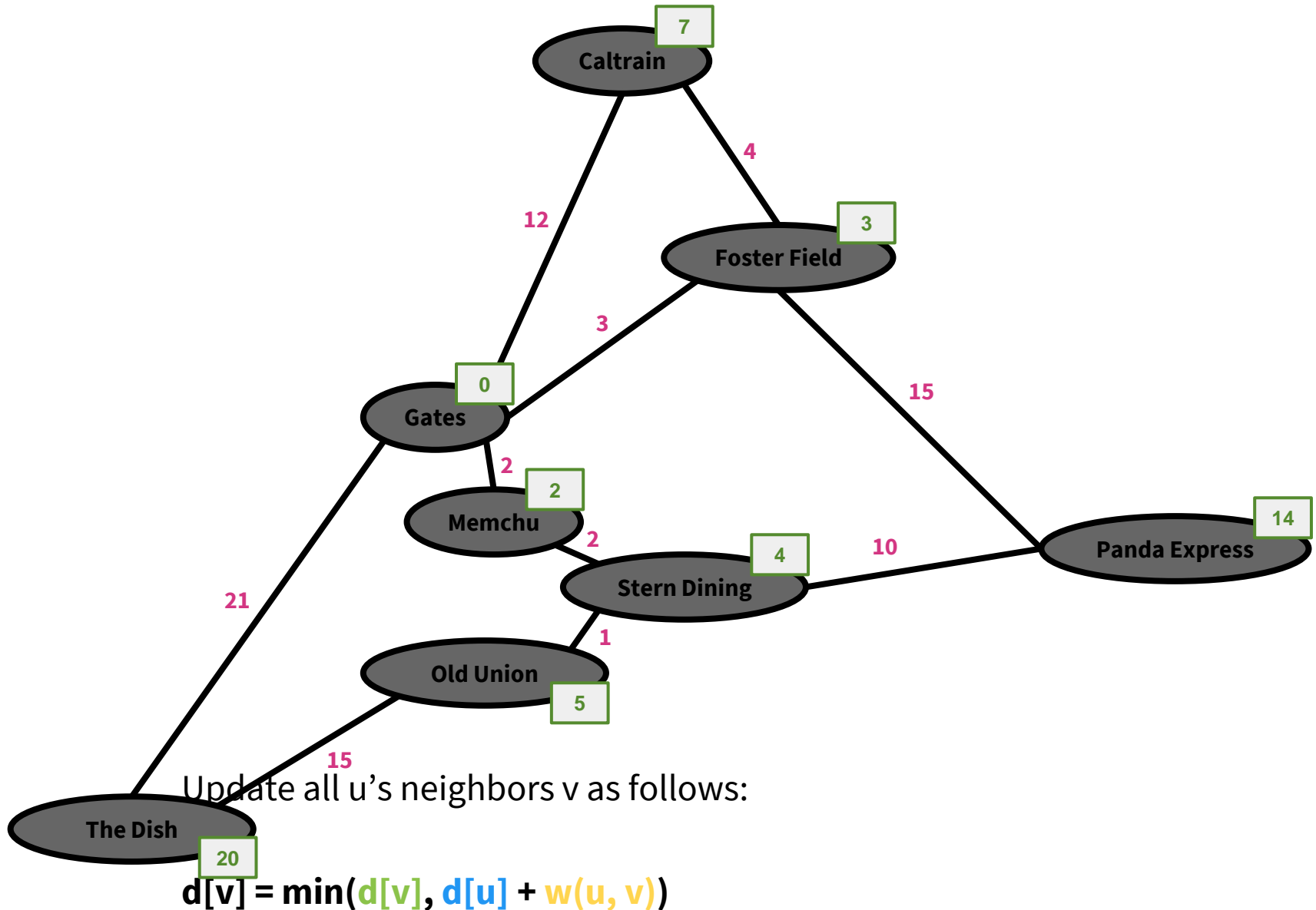
# Dijkstra's Algorithm



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# Dijkstra's Algorithm



# Dijkstra's Algorithm

Why does this work?

Let  $s$  be the single source.

**Theorem:** After running Dijkstra's Algorithm, the estimate  $d[v]$  is the actual distance  $d(s, v)$ .

**Proof Outline:**

**Claim 1:** For all  $v$ ,  $d[v] \geq d(s, v)$ .

**Claim 2:** When a vertex  $v$  gets marked “done”,  $d[v] = d(s, v)$ .

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All vertices are eventually “done” (stopping condition in algorithm).



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All vertices are eventually “done” (stopping condition in algorithm).

Therefore, all vertices end up with  $d[v] = d(s, v)$ .

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**Proof:**

We proceed by induction on  $t$ , the number of iterations completed by the algorithm.

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After  $t = 0$  iterations,  $d(s, s) = 0$  and  $d(s, v) \leq \infty$  which satisfy  $d[v] \geq d(s, v)$ .

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For the inductive step, suppose the inductive hypothesis holds for iteration  $t$ . Then at iteration  $t + 1$ , the algorithm picks a vertex  $u$  and for each of its neighbors  $v$  sets:  $d[v] = \min(d[v], d[u] + w(u, v)) \geq d(s, v)$ .



By induction,  $d[v] \geq d(s, v)$        $d(s, v) \leq d(s, u) + d(u, v) \leq d[u] + w(u, v)$

Thus, the induction holds for  $t + 1$ .

# Dijkstra's Algorithm

Why does this work?

**Claim 2:** When a vertex  $v$  gets marked “done”,  $d[v] = d(s, v)$ .

**Proof:**

We proceed by induction on  $t$ , the number of vertices marked as “done.”

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**Claim 2:** When a vertex  $v$  gets marked “done”,  $d[v] = d(s, v)$ .

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We proceed by induction on  $t$ , the number of vertices marked as “done.”

For the base case, note that after  $s$  is marked as “done”,  $d[s] = d(s, s) = 0$ , which satisfies  $d[v] = d(s, v)$ .

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For the inductive step, assume that for all vertices  $v$  already marked as “done”,  $d[v] = d(s, v)$ . Let  $x$  be the vertex with minimum distance estimate. We must prove  $d[x] = d(s, x)$ .



# Dijkstra's Algorithm

Why does this work?

**Claim 2:** When a vertex  $v$  gets marked “done”,  $d[v] = d(s, v)$ .

**Proof, cont.:**

We proceed by contradiction. Suppose  $d[x] \neq d(s, x)$ .

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**Proof, cont.:**

We proceed by contradiction. Suppose  $d[x] \neq d(s, x)$ .



Let  $p$  be the shortest path from  $s$  to  $x$ . There must exist some  $z$  on  $p$  such that  $d[z] = d(s, z)$ . Let  $z$  be the closest such vertex to  $x$ .

We know  $d[z] = d(s, z) \leq d(s, x) < d[x]$ .

Weights are  
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Claim 1 implies  
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$z$  must exist since,  
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Why does this work?

**Claim 2:** When a vertex  $v$  gets marked “done”,  $d[v] = d(s, v)$ .

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Claim 1 implies  $d(s, x) \leq d[x]$  and we assumed that  $d[x] \neq d(s, x)$ .

$z$  must exist since, at the very least,  $s$  is part of the shortest path, and  $d[s] = d(s, s)$ .

Otherwise,  $z$  would be the vertex with minimum distance estimate.

Therefore,  $d[z] < d[x]$ . But this can't be the case. Why not? Since  $d[z] < d[x]$  and  $x$  is the vertex with minimum distance estimate,  $z$  must be already marked “done.”

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$d[z'] \leq d(s, z) + w(z, z') = d(s, z')$  since  $z$  is on the shortest path from  $s$  to  $z'$  and the distance estimate of  $z'$  must be correct.

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However, this contradicts  $z$  being the closest vertex on  $p$  to  $x$  satisfying  $d[z] = d(s, z)$ . Thus, our assumption that  $d[z] < d[x]$  must be false, and it follows that  $d[x] = d(s, x)$ .  $\square$