

Intractable Problems I

Summer 2017 • Lecture 08/08

A Few Notes

Homework 6

Due 8/13 at 11:59 p.m. on Gradescope.

Final Logistics

Final

Will occur on 8/18 at 8:30-11:30 a.m.

Alternate Final

Will occur on 8/17 at 3:30-6:30 p.m.

Exam special cases

Expect confirmation emails from me in the next day or two.

Practice exam

We'll release the practice exam tomorrow.

The Rest of the Quarter

Lectures 1-11 covered the bulk of the material, congrats!

This material will be emphasized on the final (~90% of points).

Lectures 12-14 will cover additional topics.

Intractable problems, approximation algorithms, amortized analysis

Outline for Today

Intractable Problems

- Background

- Traveling Salesman Problem

- 0/1 Knapsack, revisited

Background

Defining Efficiency

What is an efficient algorithm?

An algorithm is efficient iff it runs in polynomial time on a serial computer.

Runtimes of “efficient” algorithms: $O(n)$, $O(n \log(n))$, $O(n^8 \log^4(n))$, $O(n^{1,000,000})$.

Runtimes of “inefficient” algorithms: $O(2^n)$, $O(n!)$, $O(1.0000001^n)$.

Some Caveats

Parallelism Some problems can be solved in polynomial time on machines with a polynomial number of processors.

Are all efficient algorithms parallelizable?

Randomization Some algorithms can be solved in expected polynomial time, or have poly-time Monte Carlo algorithms that work with high probability.

Are randomized efficient algorithms efficient solutions? $P \subseteq? RP \subseteq? NP$.

Quantum computation Some algorithms can be solved in polynomial time on a quantum computer.

Are quantum efficient algorithms efficient solutions?

These are all open problems!

Tractability

A problem is called **tractable** iff there is an efficient (i.e. polynomial time) algorithm that solves it.

A problem is called **intractable** iff there is no efficient algorithm that solves it.

NP

A **decision problem** is a problem with a yes/no answer.

The class **NP** consists of all decision problems where “yes” answers can be verified efficiently.

Is the k th order statistic of A equal to x ?

Is there a cut in G of size at least k ?

All tractable decision problems are in **NP**, plus a lot of problems whose difficulty is unknown.

NP-Completeness

The **NP-complete** problems are (intuitively) the hardest problems in NP.

Either every **NP**-complete problem is tractable or no **NP**-complete problem is tractable.

This is an open problem: the $P = NP$ question!

There are no known polynomial-time algorithms for any **NP**-complete problem.

NP-Hardness

A problem (which may or may not be a decision problem) is called **NP-hard** if (intuitively) it is at least as hard as every problem in **NP**.

As before: no polynomial-time algorithms are known for any **NP-hard** problem.

NP-Hardness

Assuming that $P \neq NP$, all NP-hard problems are intractable.

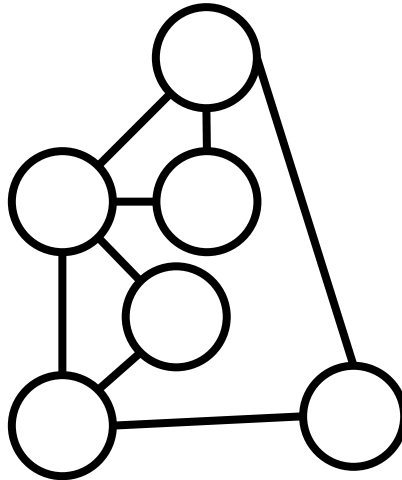
This does not mean that brute-force algorithms are the only option.

This does not mean that it is hard to get approximate answers.

Traveling Salesperson Problem

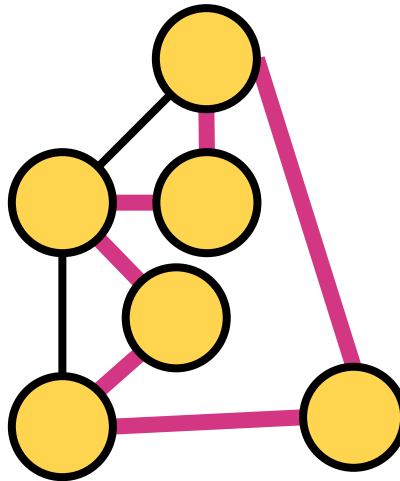
TSP

A **Hamiltonian cycle** in an undirected graph G is a simple cycle that visits every vertex in G .



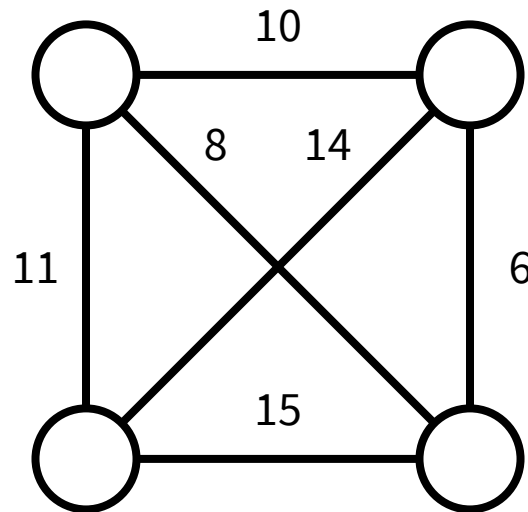
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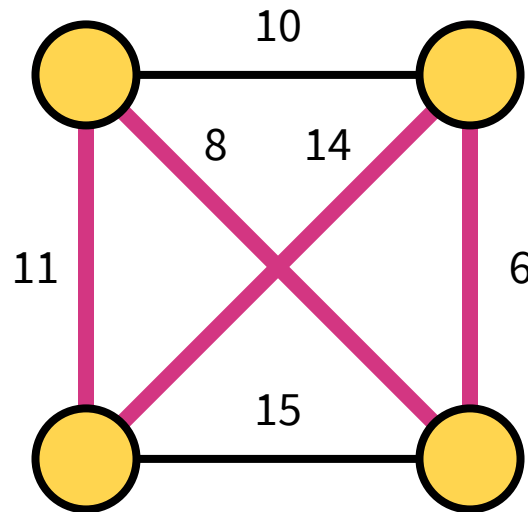
TSP

Given a complete, undirected weighted graph G , the traveling salesman problem is to find a Hamiltonian cycle in G of least total cost.



TSP

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TSP

Formally Given a complete, undirected G and a set of positive integer edge weights, the TSP is to find a Hamiltonian cycle in G with least total weight.

Note that since G is complete, there must be at least one Hamiltonian cycle. The challenge is finding the cycle with least cost.

This problem is known to be **NP**-hard.

TSP

Try all possible Hamiltonian cycles in the graph?

How many Hamiltonian cycles are there? $(n-1)! / 2$

Since each cycle takes $O(n)$ -time, the total time is $O(n!)$.

TSP

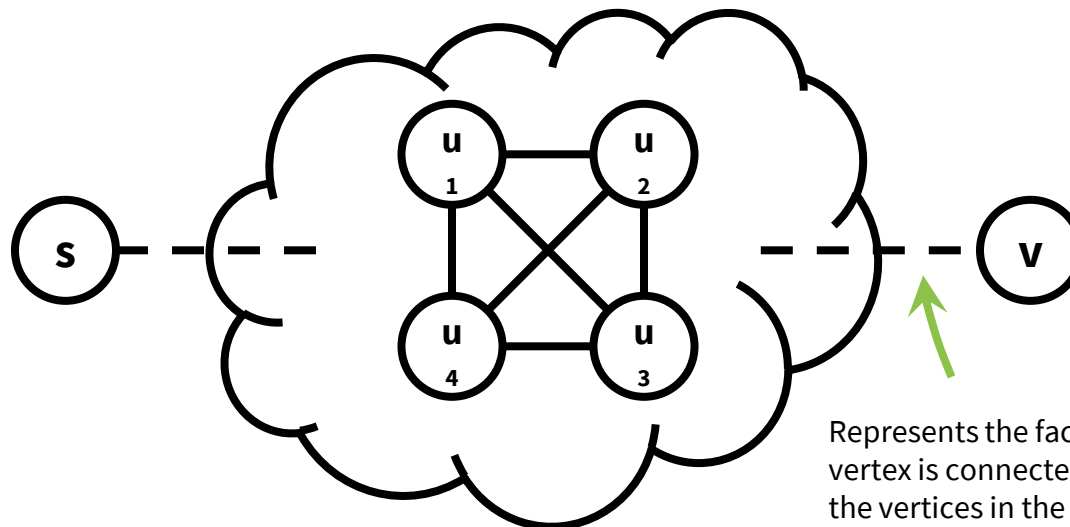
Let $\text{OPT}(v, S)$ be the minimum cost of an $s - v$ path that visits exactly the vertices in S . We assume $v \in S$. Let $w(u, v)$ be the weight of the edge (u, v) .

Claim $\text{OPT}(v, S)$ satisfies the recurrence:

$$\text{OPT}(v, S) = \begin{cases} 0 & \text{if } v = s \text{ and } S = \{s\} \\ \infty & \text{if } s \notin S \\ \min_{u \in S - \{v\}} \{ \text{OPT}(u, S - \{v\}) + w(u, v) \} & \text{otherwise} \end{cases}$$

TSP

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Represents the fact that this vertex is connected to all of the vertices in the cloud.

TSP

To solve $\text{OPT}(v, S)$, a problem of size $|S|$, we need to solve subproblems of size $|S| - 1$.

Idea Evaluate the recurrence on sets of size 1, 2, 3 ..., n.

There are 2^n possible subsets of a set S , of which 2^{n-1} contain s .

TSP

```
algorithm tsp(G):  
  n = |G.V|  
  DP = [] # n × 2n-1 table  
  s = random vertex from G.V  
  DP[s][{s}] = 0  
  for k = 2 to n:  
    for all sets S ⊆ V where |S| = k and s ∈ S:  
      for all v ∈ S - {s}:  
        DP[v][S] = minu ∈ S - {v}{DP[u][S - {v}] + w(u,v)}  
  return minv ≠ s{DP[v][V] + w(v,s)}
```

Runtime: $O(2^n n^2)$

TSP

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```

Runtime: $O(2^n n^2)$



We'll talk about this in a bit.

TSP

Each subset of V containing s can be mapped to a unique integer in $0, 1, 2, \dots, 2^{n-1} - 1$.

Think of the number as a bitvector where the present elements are 1s and the absent elements are 0s.

Takes $O(n)$ -time to compute the above number and index into the table, the cost per subproblem.

TSP

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$O(2^n n^2)$ total time.

$O(2^n n)$ total subproblems (cells in the table).

Solving each subproblem requires us to look at $O(n)$ different subproblems, and $O(1)$ -time for each one.

Map all subsets of V to bitvectors in $O(n)$ -time.

TSP

What's the difference between $n!$ and $2^n n^2$?

Compare $20!$ and $2^{20} 20^2$:

$$20! \approx 2.4 \times 10^{18}$$

$$2^{20} 20^2 \approx 4.2 \times 10^8$$

TSP

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Compare $30!$ and $2^{30} 30^2$:

$$30! \approx 2.6 \times 10^{32}$$

$$2^{30} 30^2 \approx 9.7 \times 10^{11}$$

TSP

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$$30! \approx 2.6 \times 10^{32}$$

$$2^{30} 30^2 \approx 9.7 \times 10^{11}$$

Compare $40!$ and $2^{40} 40^2$:

$$40! \approx 8.2 \times 10^{47}$$

$$2^{40} 40^2 = 1.8 \times 10^{15}$$

TSP

Why this matters?

Improving upon brute-force (e.g. $n!$) increases the size of problems that can be solved with exact answers.

Though there might not exist a poly-time solution, an exponential solution often offers a considerable improvement.

0/1 Knapsack, revisited

Knapsack

0/1 Knapsack

Suppose I only have one copy of each item.

What's the most valuable way to fill the knapsack?



weight	6	2	4	3	11
value	20	8	14	13	35



Total weight: 9

Total value: 35



capacity: 10

Task Find the items to put in a 0/1 knapsack.

0/1 Knapsack

What I didn't say is this problem is known to be **NP**-hard.

$O(n2^n)$ Brute-force solution: try all possible subsets of the items and find the feasible set with the largest total value.

$O(nW \log(n))$ Greedy solution: sort items by their “unit value” v_k / w_k .

$O(nW)$ Dynamic programming solution

0/1 Knapsack

Did we just prove $P = NP$?

A poly-time algorithm is one that runs in time polynomial in the total number of bits required to write out the input to the problem.

Therefore, $O(nW)$ is exponential in the number of bits required to write out the input (e.g. adding one more bit to the end of the representation of W doubles its size and doubles the runtime).

The DP runtime of $O(nW)$ is better than our brute-force runtime of $O(n2^n)$, provided that $W = o(2^n)$.



That's a little-o, not a big-O.

For any fixed W , this algorithm runs in linear time!

Parameterized Complexity

Parameterized complexity is a branch of complexity theory that studies the hardness of problems with respect to different “parameters” of the input.

In the case of 0/1 Knapsack, $O(nW)$ has two parameters: the number of items (n) and capacity (W).

Often, **NP**-hard problems aren't entirely infeasible as long as some parameter of the problem is fixed.

Fixed Parameter Tractability

Suppose that the input to a problem P can be characterized by two parameters, n and k .

P is called fixed-parameter tractable iff there is some algorithm that solves P in time $O(f(k)p(n))$.

$f(k)$ is an arbitrary function and $p(n)$ is a polynomial in n .

Intuitively, for any fixed k , the algorithm runs in a polynomial in n since that polynomial $p(n)$ does not depend on choice of k .

Next Time

Approximation Algorithms, my fave!