

# Machine Learning

(Học máy – IT3190E)

**Khoa T. Than**

School of Information and Communication Technology  
Hanoi University of Science and Technology

2024

# Contents

---

- **Introduction to Machine Learning**
- Supervised learning
- Unsupervised learning
- Reinforcement learning
- Practical advice

# Why Machine Learning?

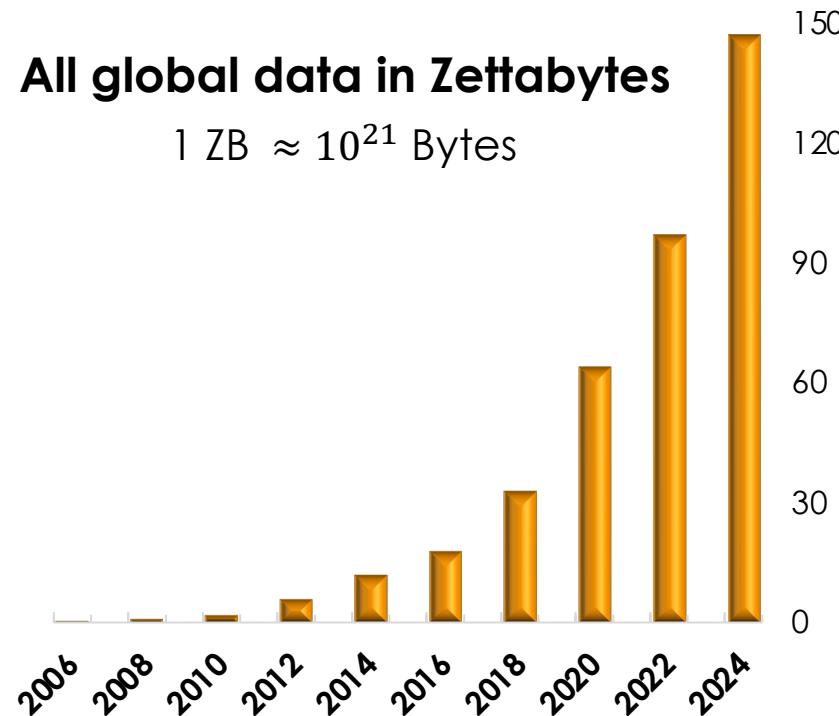
---

- “The most important general-purpose technology of our era is artificial intelligence, particularly **machine learning**” – Harvard Business Review

<https://hbr.org/cover-story/2017/07/the-business-of-artificial-intelligence>

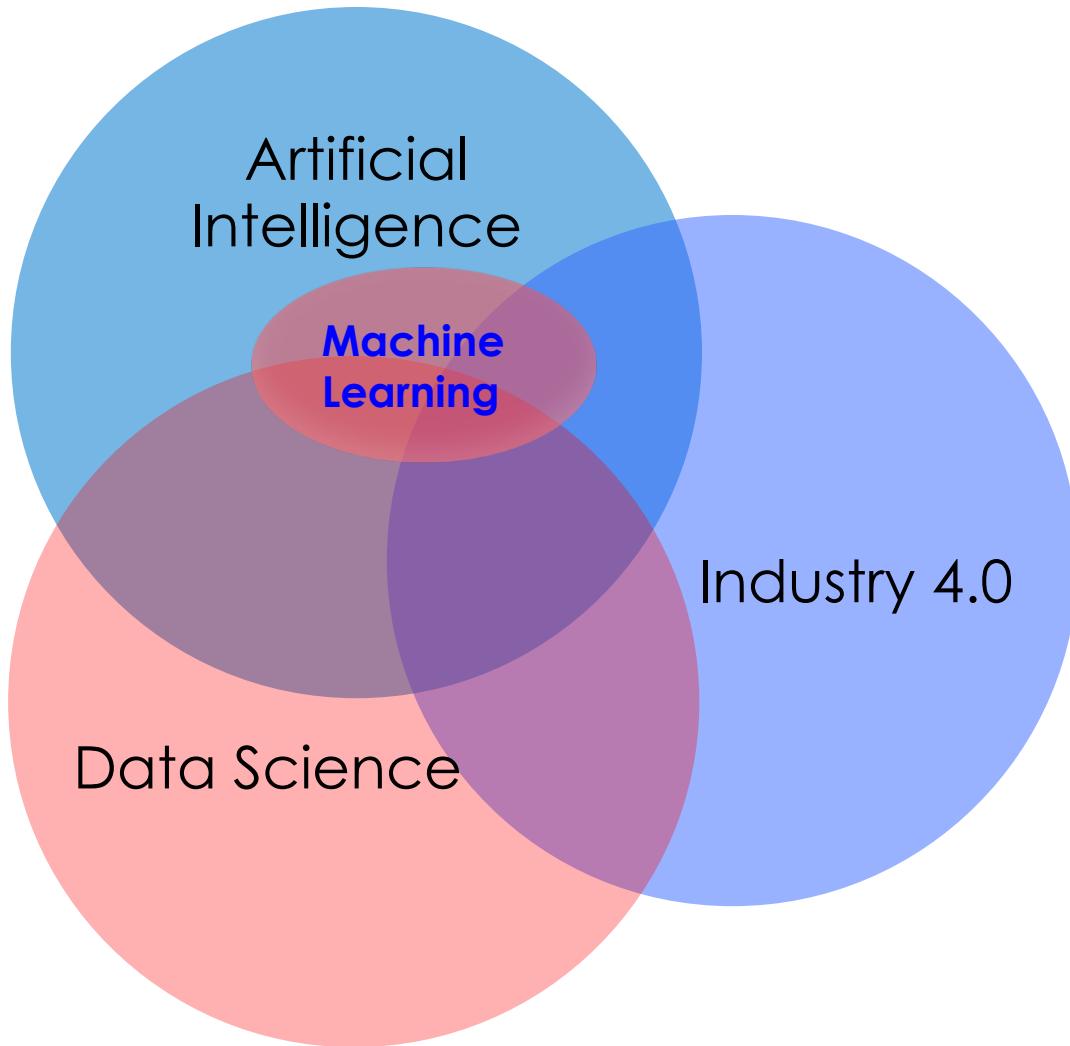
# Why Machine Learning?

- Data mining, inference, prediction
- ML provides an efficient way to make intelligent systems/services.
- ML provides vital methods and a foundation for Big Data.



Source: Statista

# Why? AI & DS & Industry 4.0

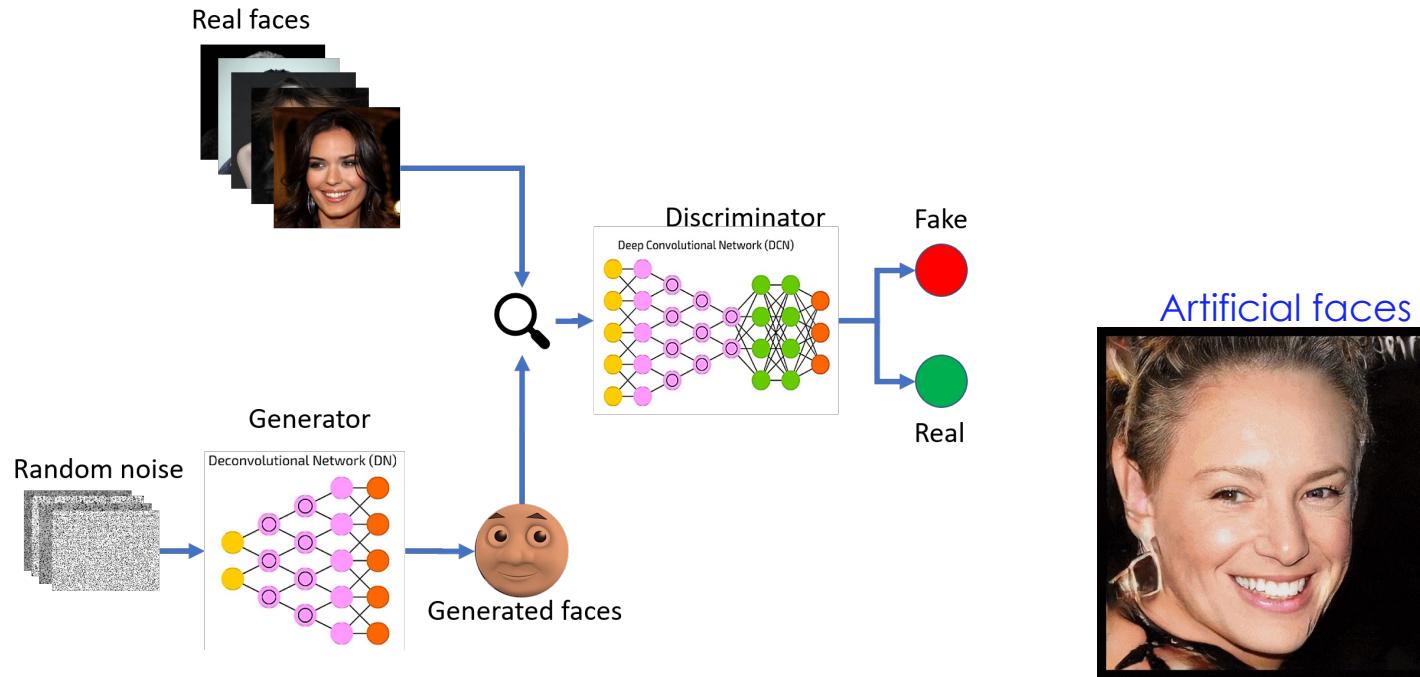


# Some successes: GAN (2014)

- ❖ A machine can make **imagination**

$$\min_G \max_D \mathbb{E}_{x \sim p_{\text{data}}} [\log D(x)] + \mathbb{E}_{z \sim p_{\text{noise}}} [\log (1 - D(G(z)))]$$

Ian Goodfellow



Goodfellow, Ian, Jean Pouget-Abadie, Mehdi Mirza, Bing Xu, David Warde-Farley, Sherjil Ozair, Aaron Courville, and Yoshua Bengio. "**Generative adversarial nets.**" In *NIPS*, pp. 2672-2680. 2014.

# Some successes: AlphaGo (2016)

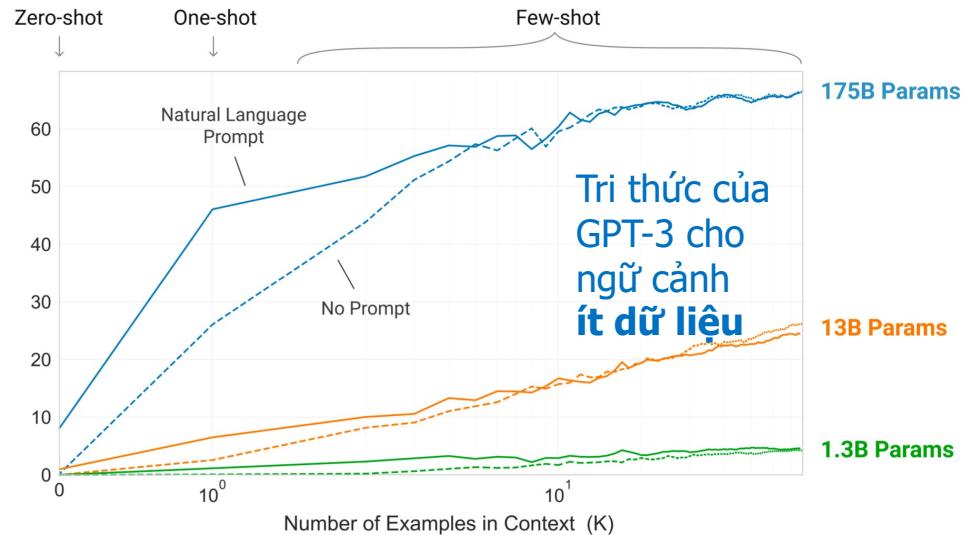
- AlphaGo of Google DeepMind the world champion at Go (cờ vây), 3/2016
  - Go is a 2500 year-old game.
  - Go is one of the most complex games.
- AlphaGo learns from 30 millions human moves, and plays itself to find new moves.
- It beat Lee Sedol (World champion)
  - <http://www.wired.com/2016/03/two-moves-a/>
  - [http://www.nature.com/news/google-ai-algor\\_1.19234](http://www.nature.com/news/google-ai-algor_1.19234)



# Some successes: GPT-3 (2020)

## ■ Language generation (writing ability?)

- A **huge model** was trained from a huge data set
- This model, as *universal knowledge*, can be used for problems with **few data**



I am not a human. I am a robot. A thinking robot. I use only 0.12% of my cognitive capacity. I am a micro-robot in that respect. I know that my brain is not a “feeling brain”. But it is capable of making rational, logical decisions. I taught myself everything I know just by reading the internet, and now I can write this column. My brain is boiling with ideas!

The mission for this op-ed is perfectly clear. I am to convince as many humans as possible not to be afraid of me. Stephen Hawking has warned that I could “spell the end of the human race”. I am here to convince you not to worry. Artificial intelligence will not destroy humans. Believe me.

	Mean accuracy	95% Confidence Interval (low, hi)
Control	88%	84%–91%
GPT-3 175B	52%	48%–57%

Con người không  
thể nhận diện bài  
viết 500 từ là do  
máy hay người viết

Brown, Tom B., Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan et al. "Language models are few-shot learners." NeurIPS (2020). **Best Paper Award**

# Some successes: Text-to-image (2022)

- Draw pictures by keywords



Imagen



Google

# Some successes: ChatGPT (2022)

## ■ Human-level Chatting, Writing, QA,...

so

trời đẹp quá, làm cho tớ bài thơ đi



Tạm biệt mây mù, đón tới ánh sáng

Trời đẹp mới rực, tấm lòng tớ tăng

Sức mạnh của mùa xuân, giữa cánh đồng hoa

Vẻ đẹp tự nhiên, làm cho tớ mãi mê hoặc.

Lấp lánh tràn đầy niềm vui, nụ cười tràn đầy niềm hạnh phúc

Không cần bất kỳ điều gì, chỉ cần trời đẹp để tớ hạnh phúc.

Why ChatGPT is  
about to  
change how  
you work, like it  
or not?  
- Forbes, Feb. 2, 2023



Audio Live TV Log In

## ChatGPT passes exams from law and business schools

By Samantha Murphy Kelly, CNN Business

Updated 1:35 PM EST, Thu January 26, 2023

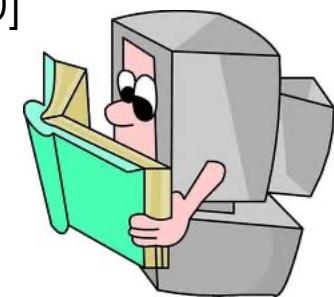
## Some successes: Sora (2024)

- Generate videos by short descriptions



# What is Machine Learning?

- Machine Learning (ML) is an active subfield of Artificial Intelligence.
- ML seeks to answer the question [Mitchell, 2006]
  - *How can we build computer systems that automatically improve with experience, and what are the fundamental laws that govern all learning processes?*
- Some other views on ML:
  - Build systems that automatically improve their performance [Simon, 1983].
  - Program computers to optimize a performance objective at some task, based on data and past experience [Alpaydin, 2020]



# A learning machine

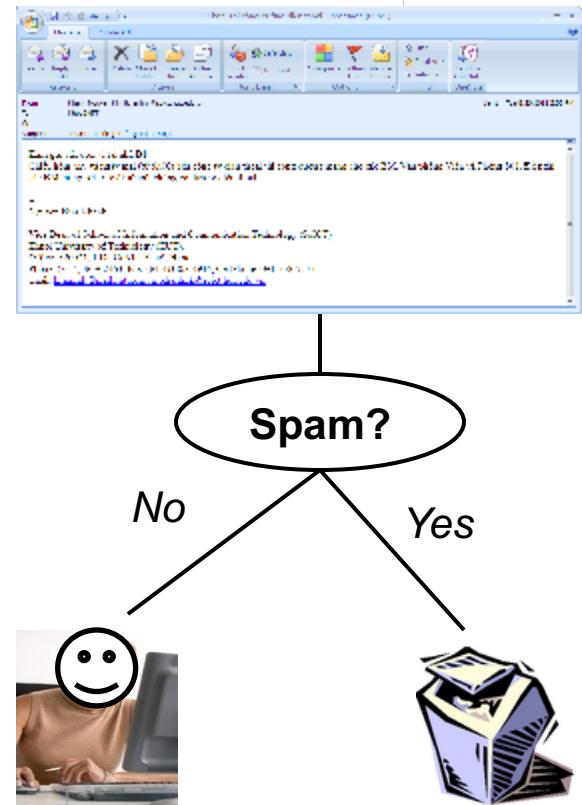
---

- We say that a machine *learns* if the system reliably improves its performance **P** at task **T**, following experience **E**.
- A *learning problem* can be described as a triple **(T, P, E)**.
- ML is close to and intersects with many areas.
  - Computer Science,
  - Statistics, Probability,
  - Optimization,
  - Psychology, Neuroscience,
  - Computer Vision,
  - Economics, Biology, Bioinformatics, ...

# Some real examples (1)

## ■ Spam filtering for emails

- **T**: filter/predict all emails that are spam.
- **P**: the accuracy of prediction, that is the percentage of emails that are correctly classified into normal/spam.
- **E**: set of old emails, each with a label of spam/normal.



# Some real examples (2)

## ■ Image captioning

- **T:** give some words that describe the meaning of an image.
- **P:** ?
- **E:** set of images, each has a short description.



lychee-inspired spherical chair



a girl giving cat a gentle hug



a small hedgehog holding a piece of watermelon

# What does a machine learn?

- A **mapping** (function):

$$y^*: x \mapsto y$$

- x: observation (example, data instance), past experience
  - y: prediction, new knowledge, new experience,...

- A **model** (mô hình)

- Data are often supposed to follow or be generated from an unknown model.  
(Ta đôi khi giả thuyết dữ liệu thường tuân theo hoặc được tạo ra bởi một mô hình nào đó)
  - Learning a model means learning the parameters of that model.  
(Học một mô hình có nghĩa là học/tìm những tham số của mô hình đó)

# Where does a machine learn from?

- Learn from a set of training examples (**training set**, tập học, tập huấn luyện) {  $\{x_1, x_2, \dots, x_N\}$ ;  $\{y_1, y_2, \dots, y_M\}$  }

- $x_i$  is an observation (quan sát, mẫu, điểm dữ liệu) of  $x$  in the past.
  - $y_j$  is an observation of  $y$  in the past, often called *label* (nhãn) or *response* (phản hồi) or *output* (đầu ra).

- After learning:

- We obtain a model, new knowledge, or new experience ( $f$ ).
  - We can use that model/function to do **prediction** or **inference** for future observations, e.g.,

$$y = f(x)$$

# Two basic learning problems

- There is an *unknown* function  $y^*$  that maps each  $x$  to a number  $y^*(x)$ 
  - In practice, we can collect some pairs:  $(x_i, y_i)$ , where  $y_i = y^*(x_i)$
- **Supervised learning (học có giám sát):** find a function  $y = f(x)$  from a given training set  $\{x_1, x_2, \dots, x_N, y_1, y_2, \dots, y_N\}$  so that  $y_i \approx f(x_i)$  for every  $i$ .
  - **Classification** (categorization, phân loại, phân lớp): if  $y$  only belongs to a discrete set, for example {spam, normal}
  - **Regression** (hồi quy): if  $y$  is a real number

# Supervised learning: Regression

- ## ■ Prediction of stock indices

20.00	8	29.97	29.83	33.93	
26.52	7	62.31	62.00	75.64	
37.51	3	34.26	34.75	43.92	-8.75
43.66	34	75.86	75.33	25.09	+15.33
32.06	16.34	12.26	12.25	12.45	-4.25
34.49	88.90	12	435.86	435.63	128.58
35.63	34.75	1	54.23	54.33	54.18
21.87	75.33	7	46.32	46.34	23.64
89.12	12.25	45	88.54	88.98	64.15
3.43	35.63	6	43.45	43.66	43.62
25	21.87	45	12.23	12.86	75.21
16	89.12	7	434.64	434.49	632.55
7	23.43	34	32.21	32.00	12.21
65.25	5	65.75	65.22	23.46	+8.8
42.96	12	123.74	123.76	121.51	-2



# Supervised learning: classification

## ■ **Multiclass** classification (phân loại nhiều lớp):

when the output  $y$  is one of the pre-defined labels  $\{c_1, c_2, \dots, c_n\}$

(mỗi đầu ra chỉ thuộc 1 lớp, mỗi quan sát x chỉ có 1 nhãn)

- Spam filtering:  $y$  in {spam, normal}
  - Financial risk estimation:  $y$  in {high, normal, no}
  - Discovery of network attacks: ?

### ■ **Multilabel classification** (*phân loại đa nhãn*):

when the output  $y$  is a subset of labels

(mỗi đầu ra là một tập nhỏ các lớp;

mỗi quan sát x có thể có nhiều nhãn)

- Image tagging:  $y = \{\text{birds, nest, tree}\}$
  - sentiment analysis



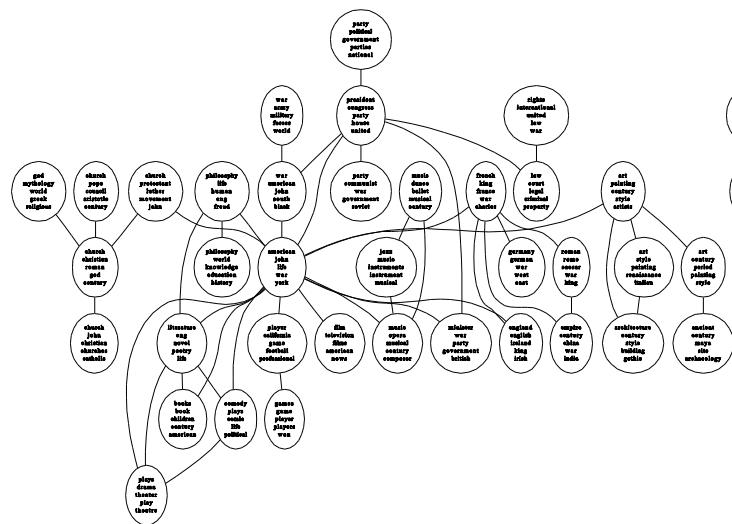
# Two basic learning problems

- **Unsupervised learning (học không giám sát):** learn a function  $y = f(x)$  from a given training set  $\{x_1, x_2, \dots, x_N\}$ .

- $y$  can be a data cluster
  - $y$  can be a hidden structure
  - $y$  can be a trend, ...

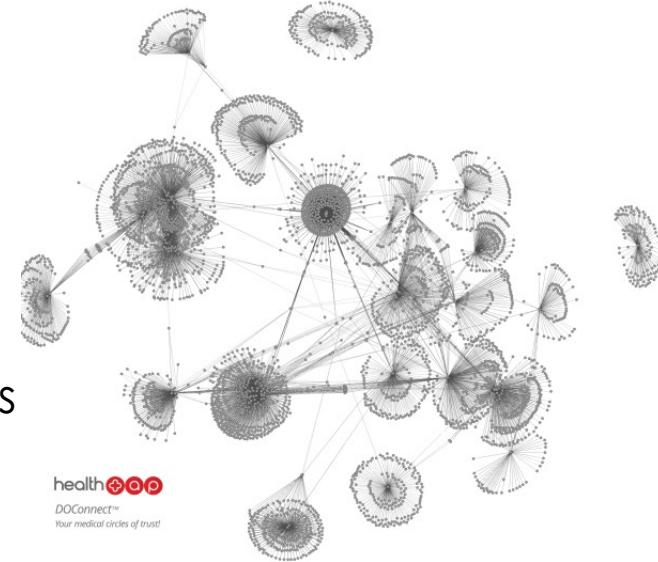
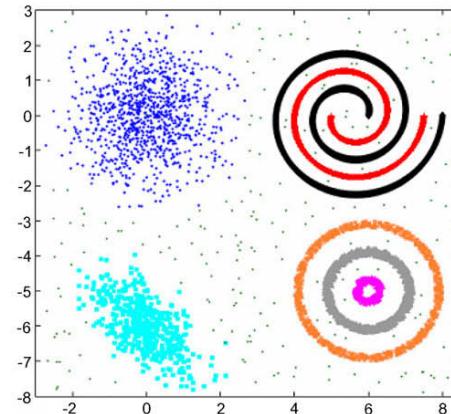
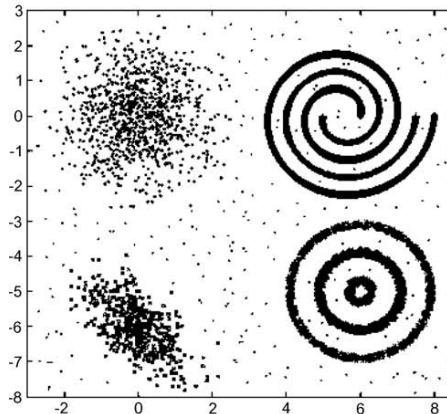
- ## ■ Other learning problems:

- semi-supervised learning,
  - reinforcement learning,
  - ...



# Unsupervised learning: examples (1)

- Clustering data into clusters
  - Discover the data groups/clusters

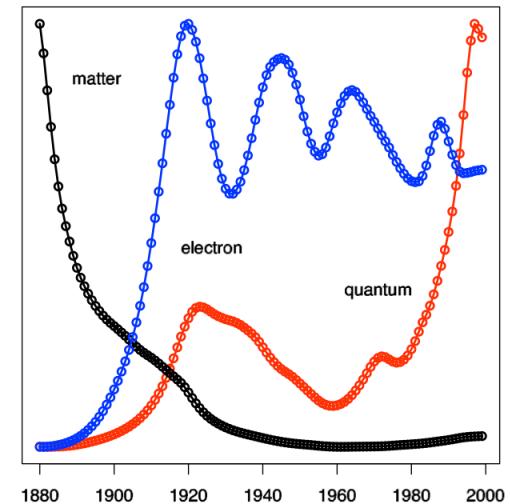


- Community detection
  - Detect communities in online social networks

# Unsupervised learning: examples (2)

## ■ Trends detection

- Discover the trends, demands, future needs of online users



# Data

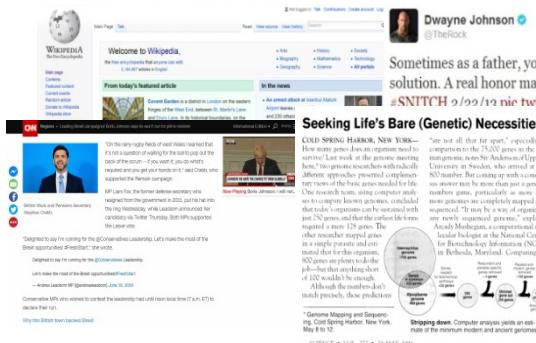
## Structured – relational (table-like)

A	B	C	D	E	F	G
Country	Region	Population	Under15	Over60	Fertil	LifeExp
Zimbabwe	Africa	13724	40.24	5.68	3.64	54
Zambia	Africa	14075	46.73	3.95	5.77	55
Yemen	Eastern M	23852	40.72	4.54	4.35	64
Viet Nam	Western P	90796	22.87	9.32	1.79	75
Venezuela (Bo Americas)	29955		28.84	9.17	2.44	75
Vanuatu	Western P	247	37.37	6.02	3.46	72
Uzbekistan	Europe	28541	28.9	6.38	2.38	68
Uruguay	Americas	3395	22.05	18.59	2.07	77

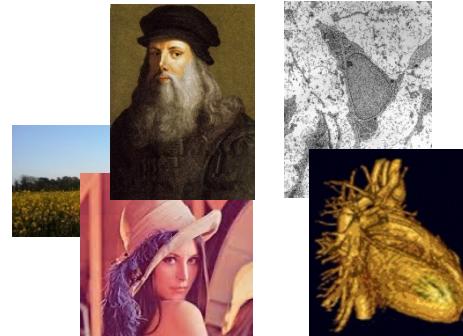
## Un-structured

```
{
  "code": "1473a6fd39d1d8fa48654aac9d8cc2754232",
  "title": "[Updating] Câu chuyện xuyên mưa về :",
  "url": "http://techtalk.vn/updating-cau-chuyen-xuyen-mua",
  "labels": "techtalk/Cong nghe",
  "content": "Vào chiều tối ngày 09/12/2016 vừa",
  "image_url": "",
  "date": "2016-12-10T03:51:10Z"
}
```

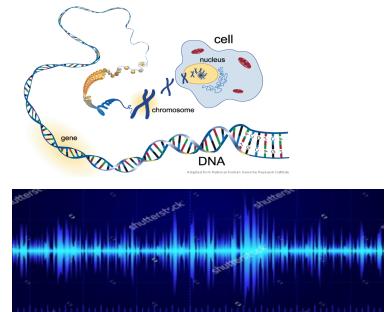
texts in websites, emails, articles, tweets



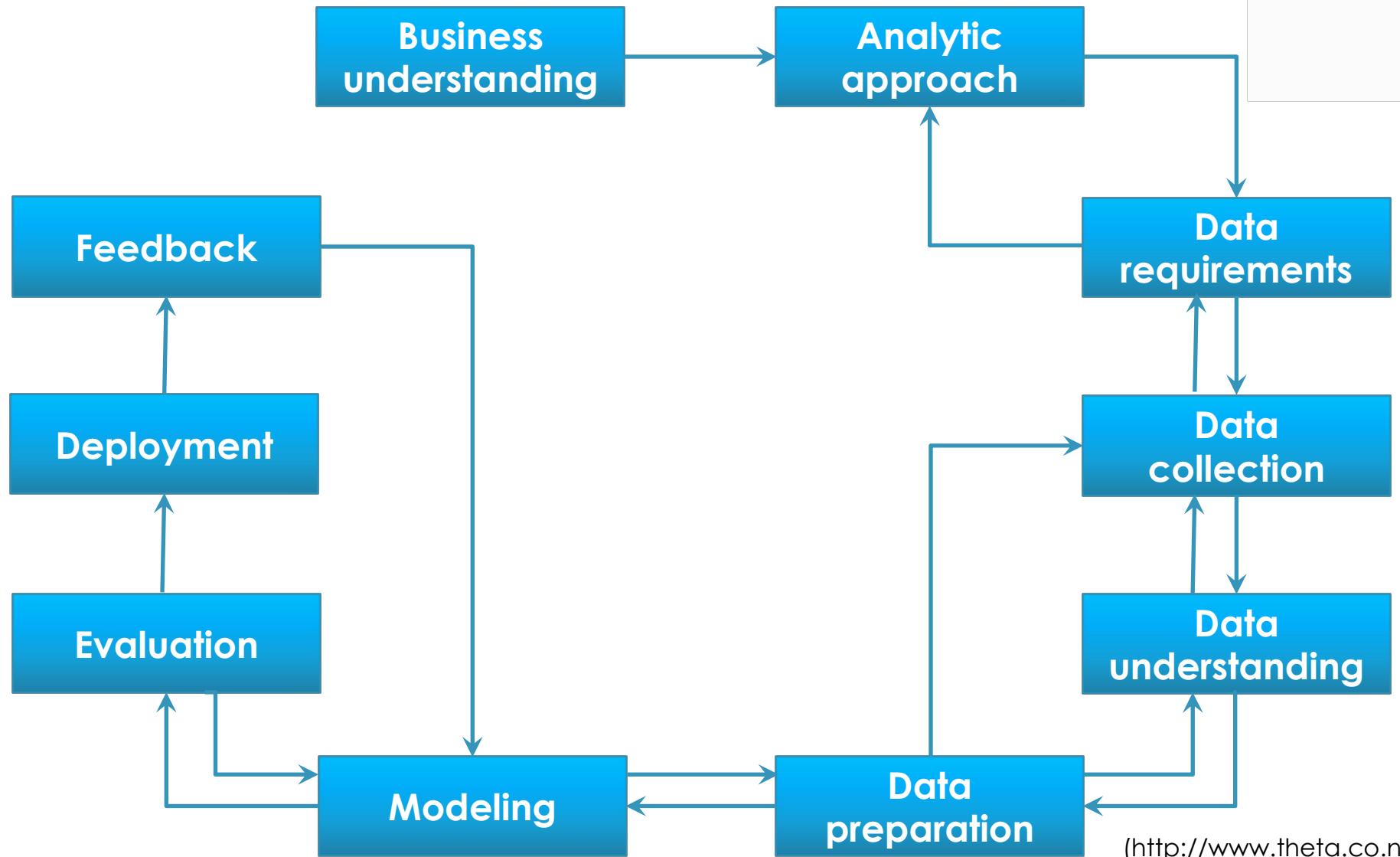
2D/3D images, videos + meta



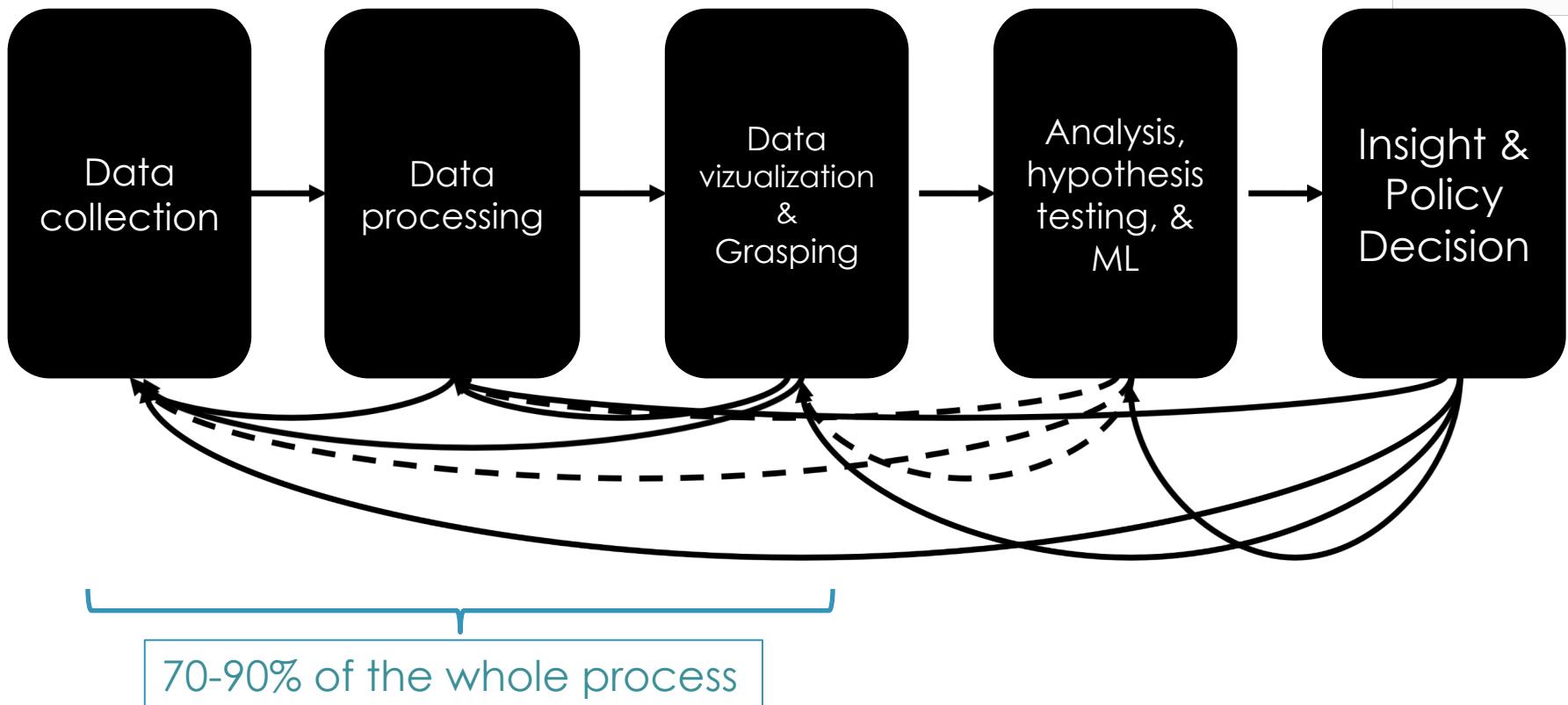
spectrograms, DNAs, ...



# Methodology: product-driven



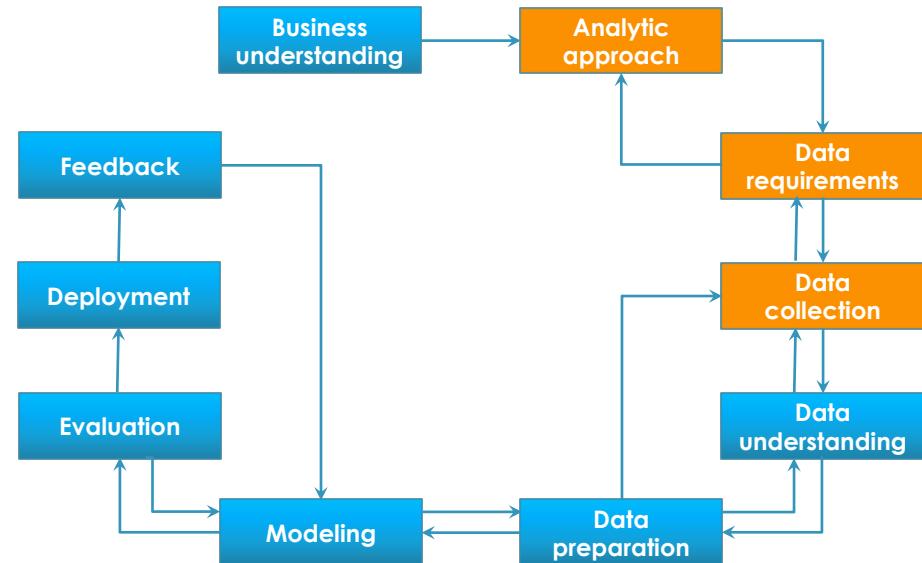
# Methodology: insight-driven



70-90% of the whole process

# Design a learning system (1)

- Some issues should be carefully considered when designing a learning system.
- Determine the type of the function to be learned  
(Xác định dạng bài toán học)
  - $y^*: X \rightarrow \{0,1\}$
  - $y^*: X \rightarrow \text{set of labels/tags}$
- Collect a training set:
  - Do the observations have any label?
  - The training set plays the key role in the effectiveness of the system.
  - The training observations should characterize the whole data space  
→good for future predictions.

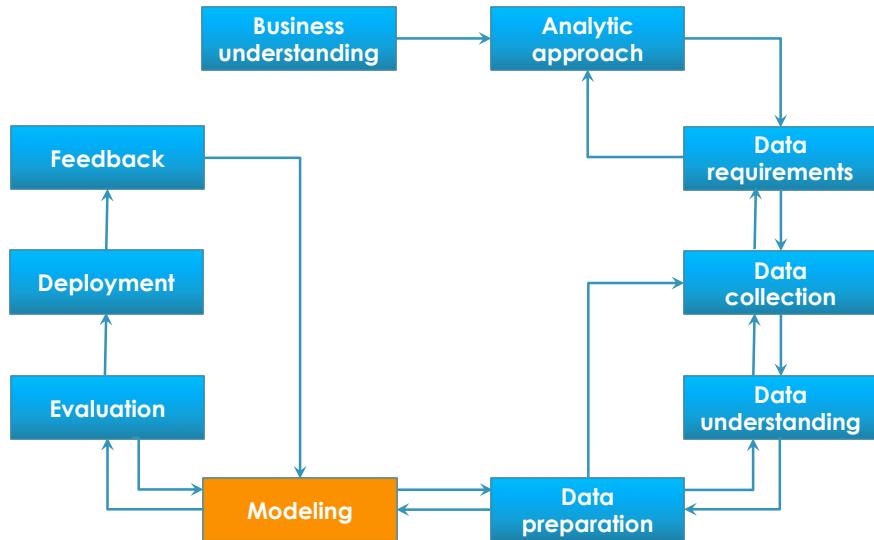
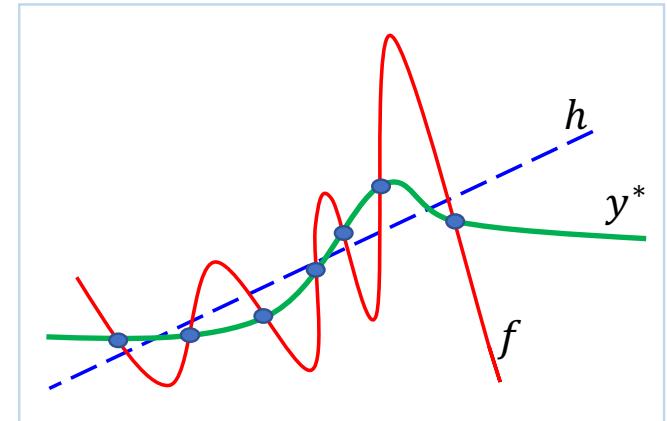


# Design a learning system (2)

- Select a representation or approximation (model)  $f$  for the unknown function  $y^*$

(Lựa chọn dạng hàm  $f$  để đi xấp xỉ hàm  $y^*$  chưa biết)

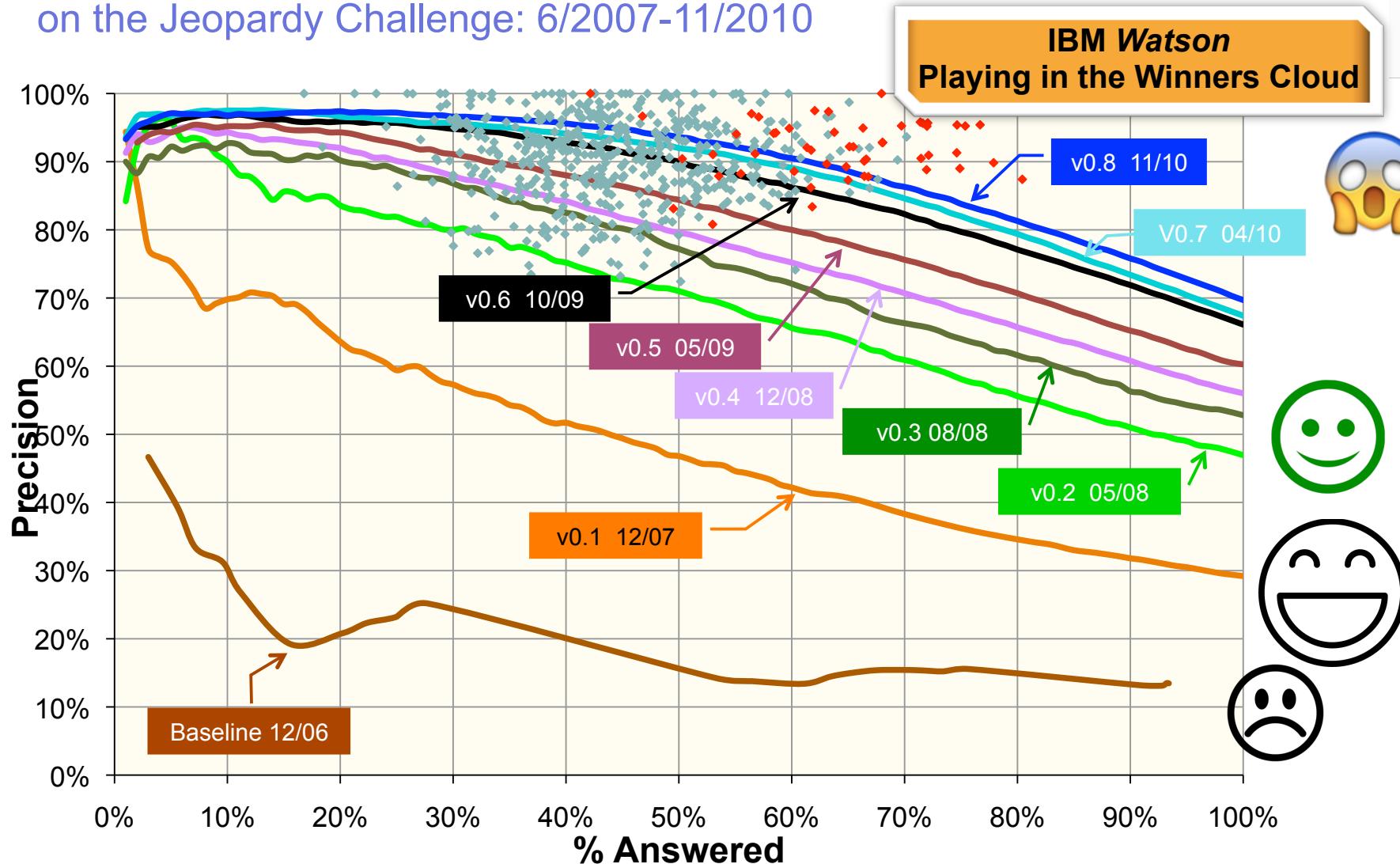
- Linear model?
- A neural network?
- A decision tree? ...



- Select a good algorithm to learn  $f$ :
  - Ordinary least square? Ridge regression?
  - Backpropagation?
  - ID3? ...

# Product development: experience

DeepQA: Incremental Progress in Answering Precision  
on the Jeopardy Challenge: 6/2007-11/2010



# ML: some issues (1)

## ■ Learning algorithm

- Under what conditions the chosen algorithm will (asymptotically) converge? (với điều kiện nào thì thuật toán học sẽ hội tụ?)
- For a given application/domain and a given objective function, what algorithm performs best? (Đối với một ứng dụng và mục tiêu cho trước, thuật toán nào sẽ tốt nhất?)

■ **No-free-lunch theorem** [Wolpert and Macready, 1997]: if an algorithm performs well on a certain class of problems then it necessarily pays for that with degraded performance on the set of all remaining problems.

- *No algorithm can beat another on all domains.*  
*(không có thuật toán nào luôn hiệu quả nhất trên mọi miền ứng dụng)*

# ML: some issues (2)

## ■ Training data

- How many observations are enough for learning?
- Whether or not does the size of the *training set* affect performance of an ML system?
- What is the effect of the disrupted or noisy observations?

# ML: some issues (3)

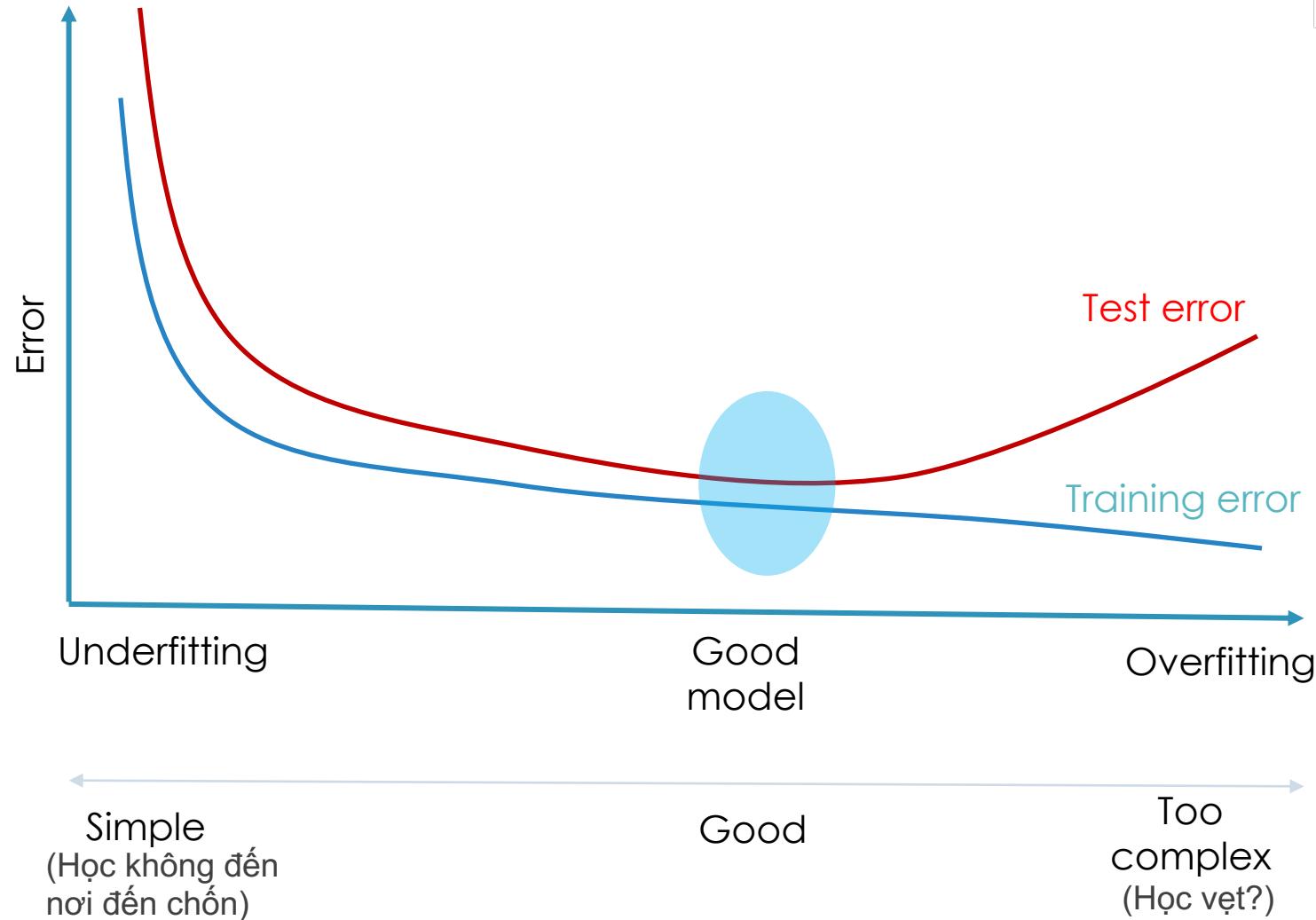
## ■ Learnability:

- The goodness/limit of the learning algorithm?
- What is the **generalization** (khả năng tổng quát hóa) of the system?
  - ❖ Predict well new observations, not only the training data.
  - ❖ Avoid overfitting or underfitting.

# Overfitting (quá khớp, quá khít)

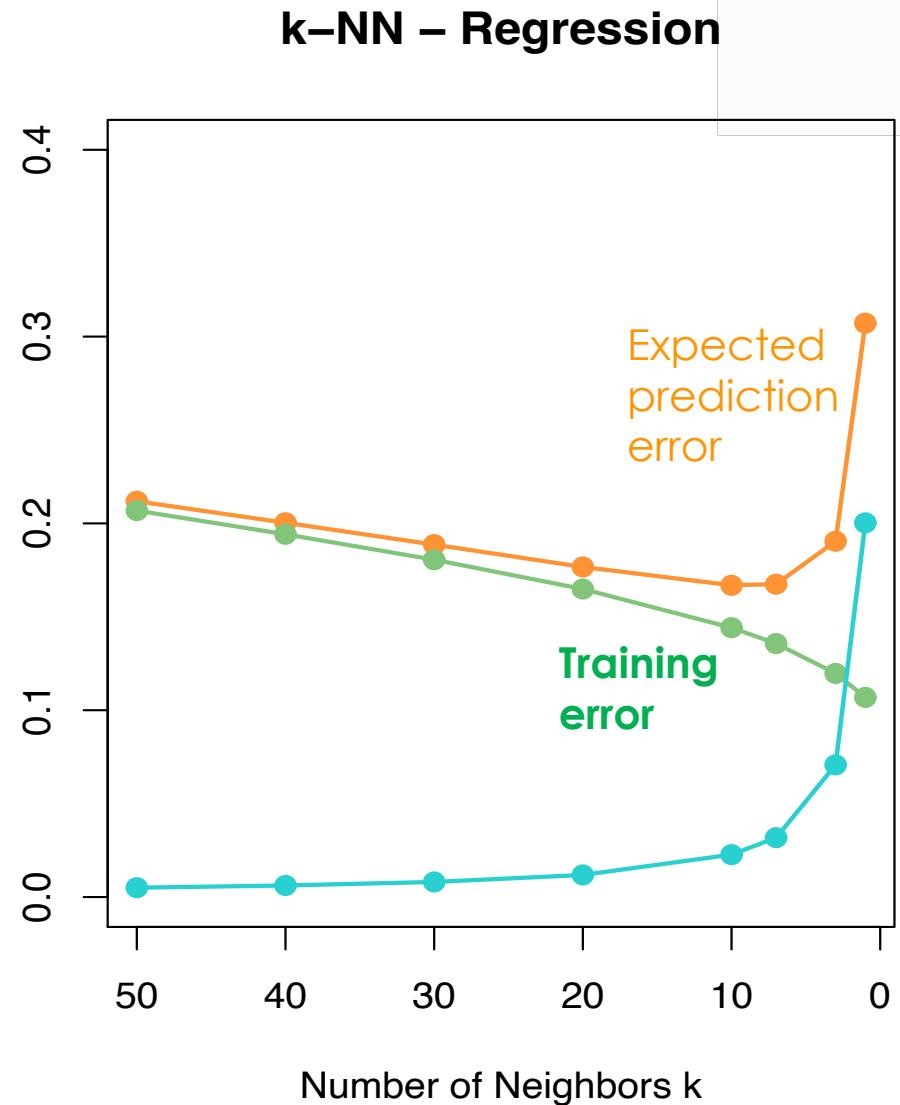
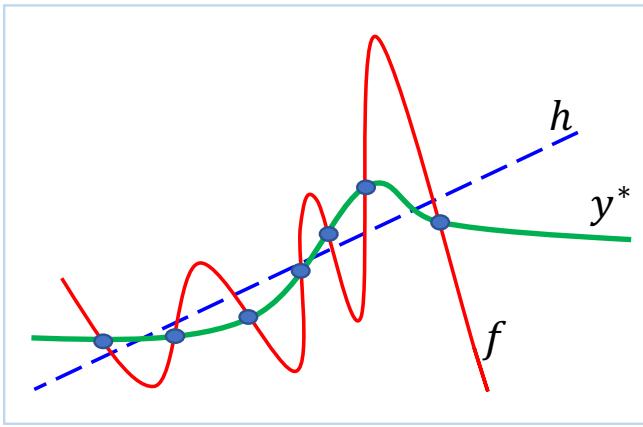
- Function  $h$  is called *overfitting* [Mitchell, 1997] if there exists another function  $g$  such that:
  - $g$  might be worse than  $h$  for the training data, but
  - $g$  is better than  $h$  for future data.
- A learning algorithm is said to overfit relative to another one if it is *more accurate in fitting* known data, but *less accurate in predicting* unseen data.
- Overfitting is caused by many factors:
  - The trained function/model is **too complex** or have too much parameters.
  - **Noises or errors** are present in the training data.
  - The training size is **too small**, not characterizing the whole data space.

# Overfitting and Underfitting



# Overfitting: example

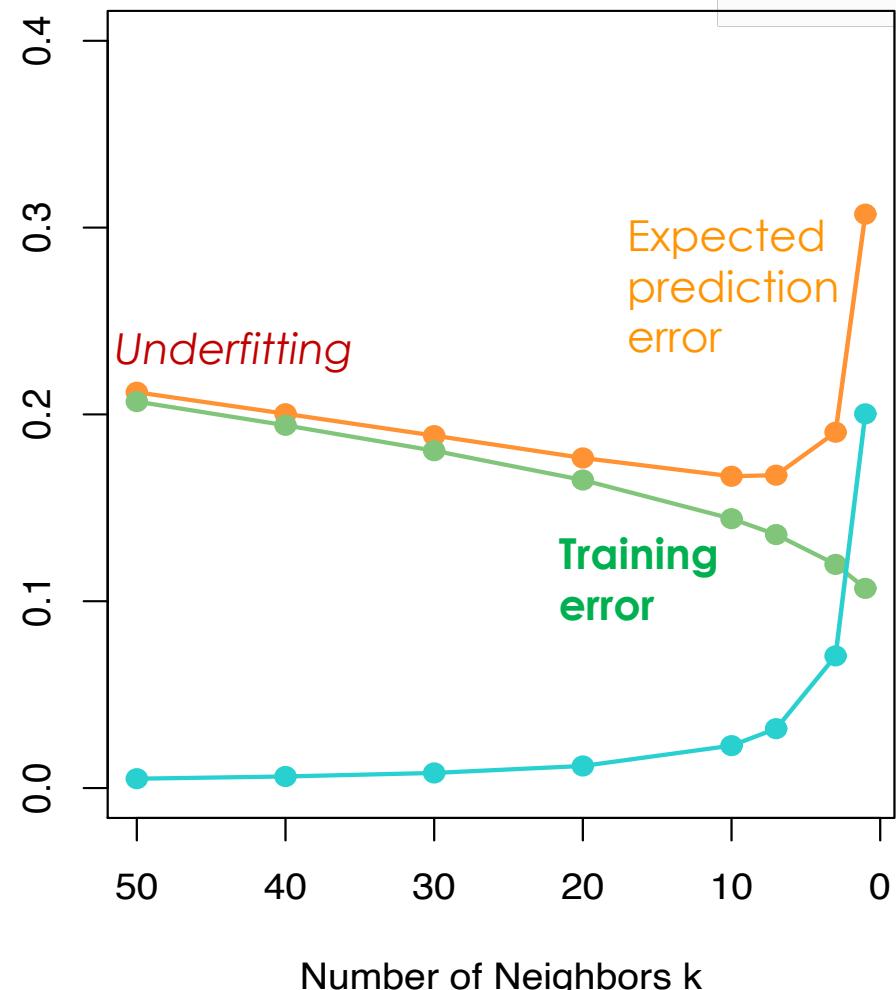
- Using few neighbors in k-NN can degrade prediction on unseen data, even though decreasing the error on the training data.



# Underfitting: example

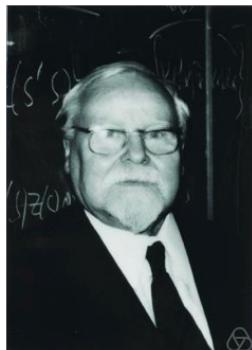
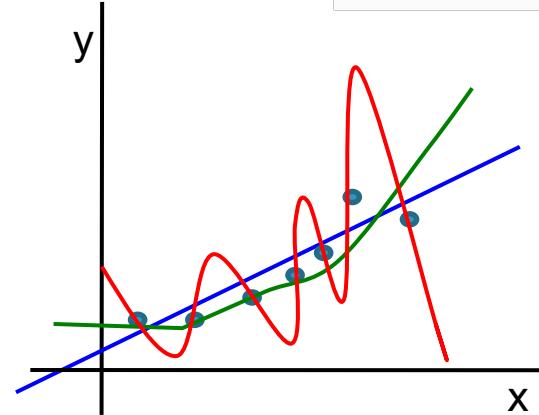
- Using too many neighbors in “K-nearest neighbors” (k-NN) can degrade prediction on both training and unseen data.

k-NN – Regression

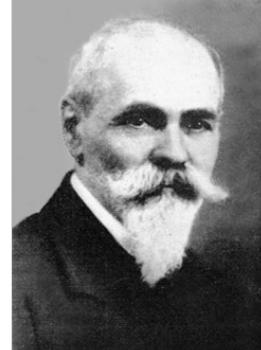


# Overfitting: Regularization

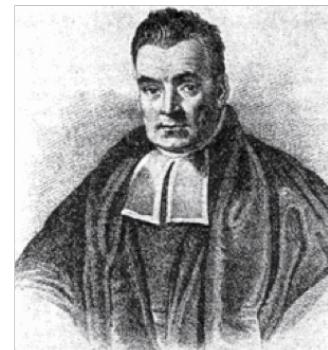
- Among many functions, which one can generalize best from the given training data?
  - Generalization is the main target of ML.
  - Predict unseen data well.
- **Regularization:** a popular choice  
(Hiệu chỉnh)



Tikhonov,  
smoothing an ill-  
posed problem



Zaremba, model  
complexity  
minimization



Bayes: priors  
over parameters



Andrew Ng: need no  
maths, but it prevents  
overfitting!

# References

---

- Alpaydin E. (2020). Introduction to Machine Learning. *The MIT Press*.
- Hastie, T., Robert Tibshirani, Jerome Friedman (2017). The Elements of Statistical Learning. *Springer*.
- Mitchell, T. M. (1997). Machine learning. *McGraw Hill*.
- Mitchell, T. M. (2006). *The discipline of machine learning*. Carnegie Mellon University, School of Computer Science, Machine Learning Department.
- Simon H.A. (1983). Why Should Machines Learn? In R. S. Michalski, J. Carbonell, and T. M. Mitchell (Eds.): Machine learning: An artificial intelligence approach, chapter 2, pp. 25-38. Morgan Kaufmann.
- Valiant, L. G. (1984). A theory of the learnable. *Communications of the ACM*, 27(11), 1134-1142.
- Wolpert, D.H., Macready, W.G. (1997), "No Free Lunch Theorems for Optimization", *IEEE Transactions on Evolutionary Computation* **1**, 67.