

Lecture 24:

Unsolvable Problems

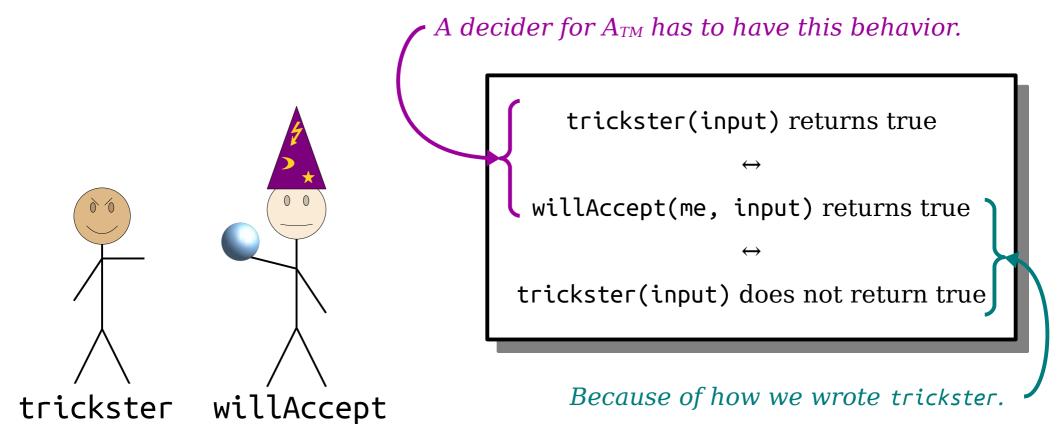
Part 2 of 2

Outline for Today

- More on Undecidability
 - Even more problems we can't solve.
- A Different Perspective on RE
 - What exactly does "recognizability" mean?
- Verifiers
 - A new approach to problem-solving.
- Beyond RE
 - A beautiful example of an impossible problem.

Recap from Last Time

```
bool willAccept(string function, string input) {
    // Returns true if function(input) returns true.
    // Returns false otherwise.
}
bool trickster(string input) {
    string me = /* source code of trickster */;
    return !willAccept(me, input);
}
```



Theorem: $A_{TM} \notin \mathbf{R}$.

Proof: By contradiction; assume that $A_{TM} \in \mathbf{R}$. Then there is a decider D for A_{TM} . We can represent D as a function

```
bool willAccept(string function, string w);
```

that takes in the source code of a function function and a string w, then returns true if function(w) returns true and returns false otherwise. Given this, consider this function trickster:

```
bool trickster(string input) {
    string me = /* source code of trickster */;
    return !willAccept(me, input);
}
```

Since willAccept decides A_{TM} and me holds the source of trickster, we know that

willAccept(me, input) returns true if and only if trickster(input) returns true.

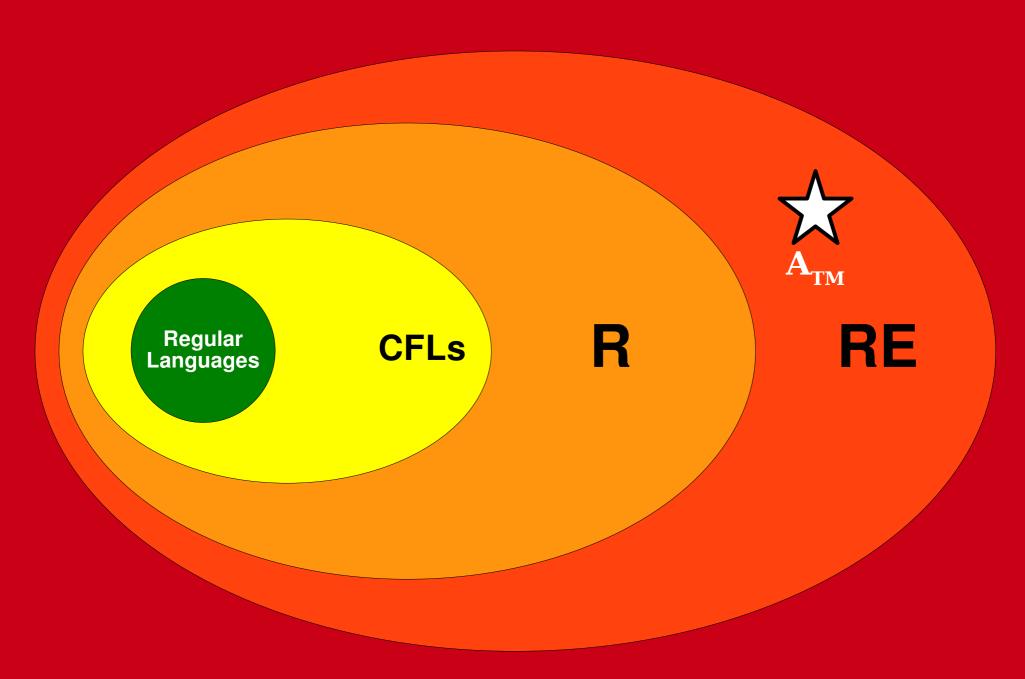
Given how trickster is written, we see that

willAccept(me, input) returns true if and only if trickster(input) doesn't return true.

This means that

trickster(input) returns true if and only if trickster(input) doesn't return true.

This is impossible. We've reached a contradiction, so our assumption was wrong and A_{TM} is undecidable.



All Languages

New Stuff!

More Impossibility Results

The Halting Problem

The most famous undecidable problem is the *halting* problem, which asks:

Given a TM M and a string w, will M halt when run on w?

 As a formal language, this problem would be expressed as

```
HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}
```

- *Theorem: HALT* is recognizable, but undecidable.
 - There's a recognizer for *HALT*.
 - There is no decider for *HALT*.

$HALT \in \mathbf{RE}$

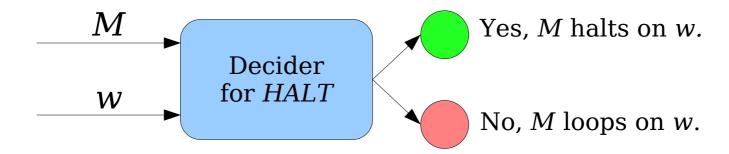
- Claim: $HALT \in \mathbf{RE}$.
- *Idea*: If you were certain that a TM *M* halted on a string *w*, could you convince me of that?
- Yes just run *M* on *w* and see what happens!

```
bool recognizeIfHalts(string TM, string w) {
    set up a simulation of M running on w;
    while (true) {
        if (M returned true) return true;
        else if (M returned false) return true;
        else simulate one more step of M running on w;
    }
}
```

Theorem: The halting problem is undecidable.

A Decider for *HALT*

- Let's suppose that, somehow, we managed to build a decider for $HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}$.
- Schematically, that decider would look like this:



- We could represent this decider in software as a method
 bool willHalt(string function, string input);
 that takes as input a function function and a string input, then
 - returns true if function(input) returns anything (halts), and
 - returns false if function(input) never returns anything (loops).

```
bool willHalt(string function, string input) {
   // Returns true if function(input) halts.
   // Returns false otherwise.
bool trickster(string input) {
   string me = /* source code of trickster */;
   if (willHalt(me, input)) {
       while (true) {
            // Do nothing
                                      A decider for HALT must do this.
   } else {
       return true;
                                      trickster(input) halts
                                 willHalt(me, input) returns true
                                      trickster(input) loops
                              We wrote trickster to have this behavior.
trickster
                willHalt
```

Theorem: $HALT \notin \mathbf{R}$.

Proof: By contradiction; assume that $HALT \in \mathbb{R}$. Then there is a decider D for HALT. We can represent D as a function

```
bool willHalt(string function, string w);
```

that takes in the source code of a function function and a string w, then returns true if function(w) halts and returns false otherwise. Given this, consider this function trickster:

```
bool trickster(string input) {
    string me = /* source code of trickster */;
    if (willHalt(me, input)) {
        while (true) { }
    } else {
        return true;
    }
}
```

Since willHalt decides HALT and me holds the source of trickster, we know that

willHalt(me, input) returns true if and only if trickster(input) halts.

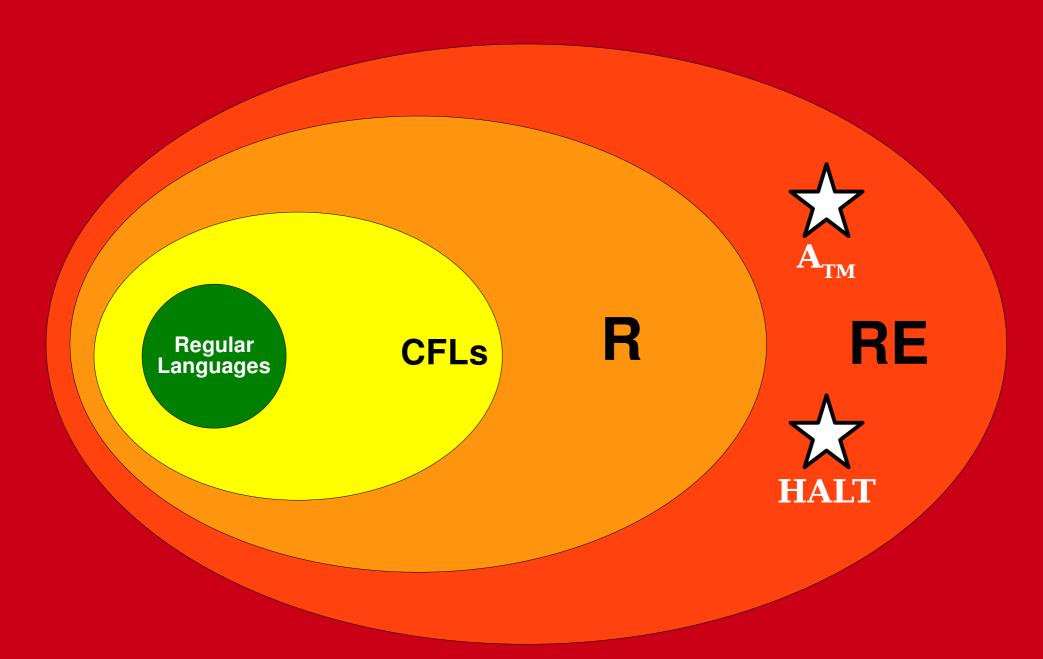
Given how trickster is written, we see that

willHalt(me, input) returns true if and only if trickster(input) loops.

This means that

trickster(input) halts if and only if trickster(input) loops.

This is impossible. We've reached a contradiction, so our assumption was wrong and HALT is undecidable. \blacksquare



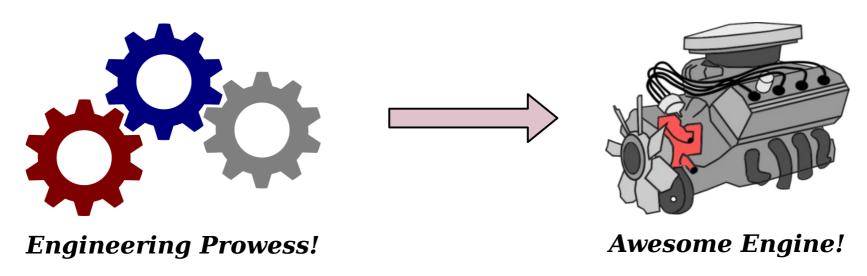
All Languages

So What?

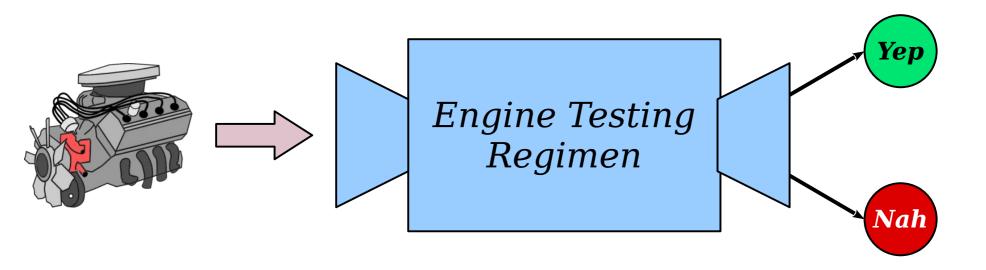
- These problems might not seem all that exciting, so who cares if we can't solve them?
- Turns out, this same line of reasoning can be used to show that some very important problems are impossible to solve.



Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.



Regulatory Problem: Design a testing procedure that, given a diesel engine, determines whether it emits lots of NO_x pollutants.



Fact: Almost all "regulatory problems" about computer programs are undecidable. That is, almost all problems of the form "does program X have [behavior Y]" are undecidable.

This can be formalized through a result called *Rice's Theorem*; take CS154 for details!

A (Topical) Example

Secure Voting

- Suppose that you want to make a voting machine for use in an election between two parties (the *Zomp Party* and the *Puce Party*).
- Let $\Sigma = \{z, p\}$. A string $w \in \Sigma^*$ corresponds to a series of votes for the candidates.
- Example: zzpppzp means "two people voted for z, then three people voted for p, then one more person voted for z, then one more person voted for p."
- A **secure voting machine** is a TM that takes as input a string of z's and p's, then reports whether person z won the election.
 - "Secure" in the sense of "actually checks the vote totals" as opposed to rigging the election, discounting votes, etc.

A secure voting machine is a TM M where M accepts $w \in \{z, p\}^*$ if and only if w has more z's than p's.

Which of these are secure voting machines? Answer at https://cs103.stanford.edu/pollev

```
bool anna(string input) {
   int numZs = countZsIn(input);
   int numPs = countPsIn(input);

   if (numZs = numPs) {
      return false;
   } else if (numZs < numPs) {
      return false;
   } else {
      return true;
   }
}</pre>
```

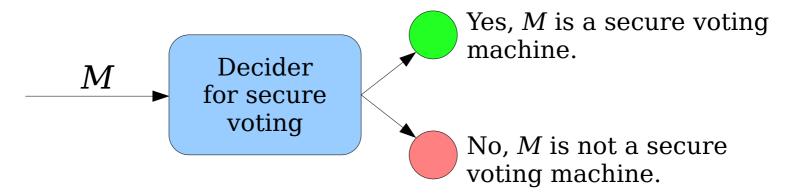
```
bool green(string input) {
   int n = input.length();
   while (n > 1) {
      if (n % 2 == 0) n /= 2;
      else n = 3*n + 1;
   }
   int numZs = countZsIn(input);
   int numPs = countPsIn(input);
   return numZs > numPs;
}
```

Secure Voting

- As you can see, it can be hard to tell whether a candidate program is a secure voting machine.
- Could we automatically check if a voting machine is secure?
- **Question:** Given a TM that someone claims is a secure voting machine, could we automatically check whether it actually is a secure voting machine?
 - This is a "regulatory" problem, not an "engineering" problem.

A Decider for Secure Voting

- Suppose that, somehow, we built a decider that can test if an arbitrary TM is a secure voting machine.
- Schematically, that decider would look like this:



 We could represent this decider in software as a method bool isSecureVotingMachine(string function);

that takes as input a function, then returns whether that function is a secure voting machine.

```
bool isSecureVotingMachine(string function) {
   // Returns whether function accepts only
   // strings with more z's than p's.
bool trickster(string input) {
   string me = /* source code of trickster */;
   if (isSecureVotingMachine(me)) {
       return countZsIn(input) <= countPsIn(input);</pre>
   } else {
       return countZsIn(input) > countPsIn(input);
                               trickster is a secure voting machine
                             isSecureVotingMachine(me) returns true
                             trickster isn't a secure voting machine.
```

trickster isSecureVotingMachine

Theorem: The secure voting problem is undecidable.

Proof: By contradiction; there is a decider D for the secure voting problem. We can represent D as a function

```
bool isSecureVotingMachine(string function);
```

that takes in the source code of a function function, then returns whether function is a secure voting machine (that is, whether it accepts precisely the strings with more **z**'s than **p**'s). Given this, consider this function trickster:

```
bool trickster(string input) {
    string me = /* source code of trickster */;
    if (isSecureVotingMachine(me)) {
        return /* if input has at most as many z's as p's */;
    } else {
        return /* if input has more z's than p's */;
    }
}
```

Since isSecureVotingMachine decides the secure voting problem and me holds the source of trickster, we know that

isSecureVotingMachine(me) returns true if and only if trickster is a secure voting machine.

Given how trickster is written, we see that

isSecureVotingMachine(me) returns true if and only if trickster isn't a secure voting machine

This means that

trickster is a secure voting machine if and only if trickster isn't a secure voting machine.

This is impossible. We've reached a contradiction, so our assumption was and the secure voting problem is undecidable. ■

Interpreting this Result

- The previous argument tells us that *there is no general* algorithm that we can follow to determine whether a program is a secure voting machine. In other words, any general algorithm to check voting machines will always be wrong on at least one input.
- So what can we do?
 - Design algorithms that work in *some*, but not *all* cases. (This is often done in practice.)
 - Fall back on human verification of voting machines. (We do that too.)
 - Carry a healthy degree of skepticism about electronic voting machines. (Then again, did we even need the theoretical result for this?)
- Worth a read: https://xkcd.com/2030/

Beyond ${f R}$ and ${f RE}$

What exactly is the class **RE**?

RE, Formally

• Recall that the class **RE** is the class of all recognizable languages:

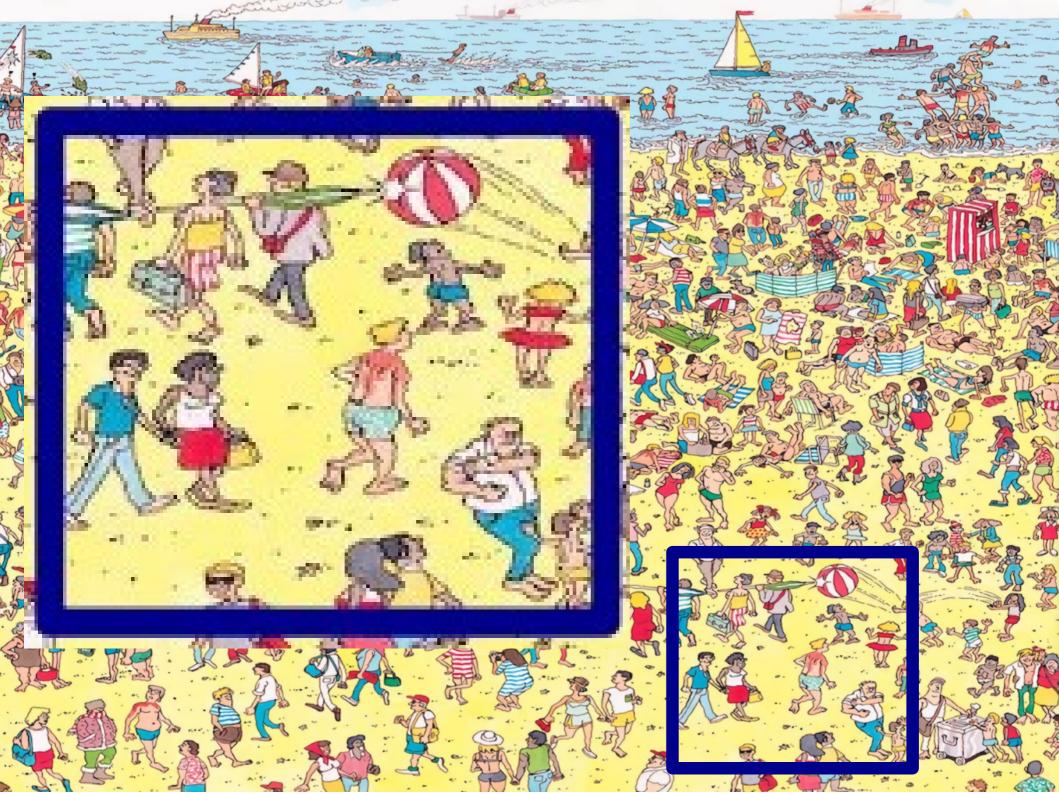
 $\mathbf{RE} = \{ L \mid \text{there is a TM } M \text{ that recognizes } L \}$

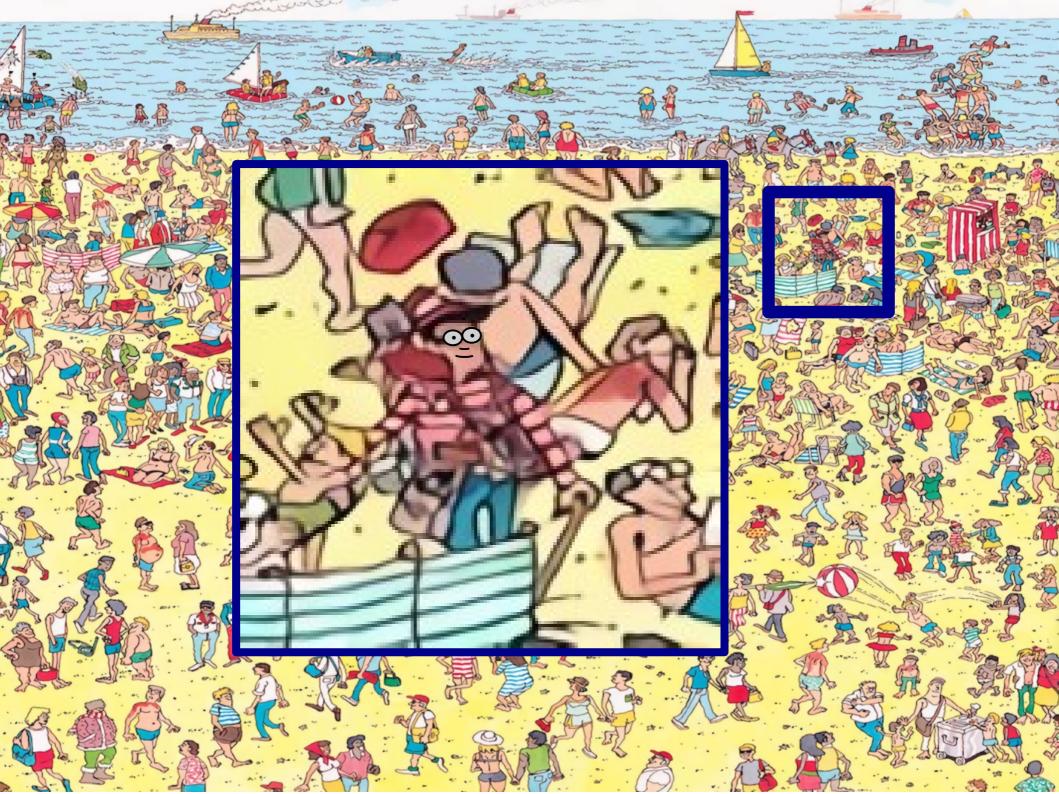
- Since R ≠ RE, there is no general way to "solve" problems in the class RE, if by "solve" you mean "make a computer program that can always tell you the correct answer."
- So what exactly are the sorts of languages in RE?

Key Intuition:

A language L is in **RE** if, for any string w, if you are *convinced* that $w \in L$, there is some way you could prove that to someone else.

Example: Where's Waldo?





Verification

1	1	7	1	6	1	1	1	1
1	1	1	1	1	3	1	5	2
3	1	1	1	1	5	9	1	7
6	1	5	1	3	1	8	1	9
1	1	1	1	1	1	1	2	1
8	1	2	1	1	1	5	1	4
1	1	3	2	1	7	1	1	8
5	7	1	4	1	1	1	1	1
1	1	4	1	8	1	7	1	1

Does this Sudoku puzzle have a solution?

Verification

2	5	7	9	6	4	1	8	3
4	9	1	8	7	3	6	5	2
3	8	6	1	2	5	9	4	7
6	4	5	7	3	2	8	1	9
7	1	9	5	4	8	3	2	6
8	3	2	6	1	9	5	7	4
1	6	3	2	5	7	4	9	8
5	7	8	4	9	6	2	3	1
9	2	4	3	8	1	7	6	5

Does this Sudoku puzzle have a solution?

11

Try running five steps of the Hailstone sequence.

Does the hailstone sequence terminate for this number?

11

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence terminate for this number?

$$x^3 + y^3 + z^3 = 137$$

Pick the following:

$$x = 3$$
 $y = -5$ $z = 6$

Are there integers x, y, and z where the above statement is true?

$$x^3 + y^3 + z^3 = 137$$

Pick the following:

$$x = -9$$
 $y = -11$ $z = 13$

Are there integers x, y, and z where the above statement is true?

• Here's code for simulating the hailstone sequence. No one knows whether it always terminates.

```
bool hailstone(int n) {
    if (n <= 0) return false;
    while (n != 1) {
        if (n % 2 == 0) n /= 2;
        else n = 3*n + 1;
    }
    return true;
}</pre>
```

• The following doesn't solve hailstone, but instead checks whether a given number of steps is correct. It always terminates.

```
bool checkHailstone(int n, int numSteps) {
    if (n <= 0) return false;
    for (int i = 0; i < numSteps; i++) {
        if (n % 2 == 0) n /= 2;
        else n = 3*n + 1;
    }
    return n == 1;
}</pre>
```

Note the extra parameter.

• Here's code that searches for three cubes that sum to a target. It loops if the *n* isn't the sum of three cubes.

 The following doesn't solve the sum of cubes problems, but instead checks whether three numbers sum to the target. It always terminates.

```
bool checkCubeSum(int n, int x, int y, int z) {
    return x*x*x + y*y*y + z*z*z = n;
}
```

Note the extra parameters.

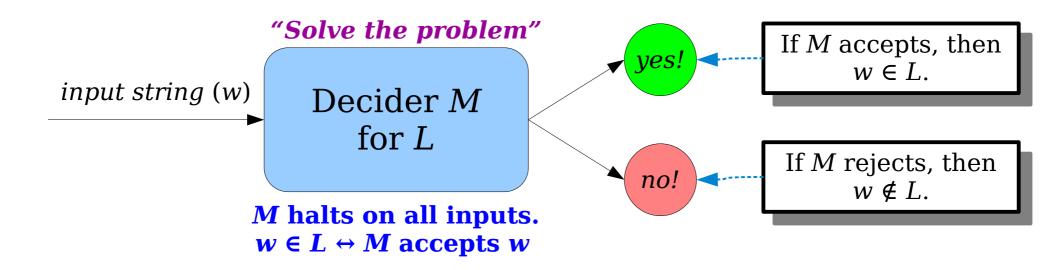
A verifier for a language L is a TM V with the following two properties:

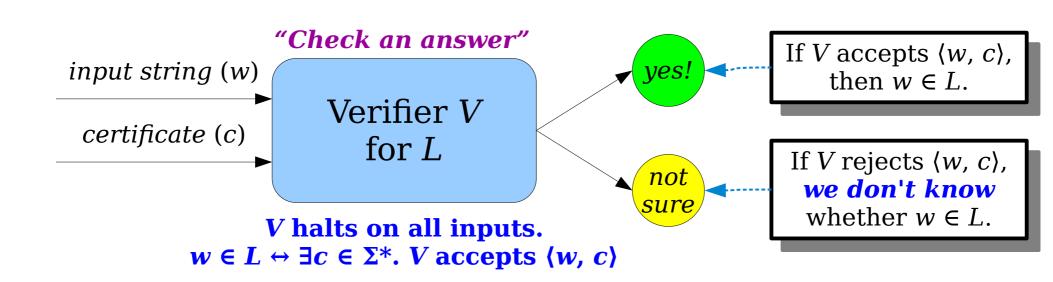
V halts on all inputs.

 $\forall w \in \Sigma^*$. $(w \in L \leftrightarrow \exists c \in \Sigma^*$. V accepts (w, c))

Intuitively, what does this mean?

Deciders and Verifiers





```
bool checkHailstone(int n, int numSteps) {
    if (n <= 0) return false;</pre>
    for (int i = 0; i < numSteps; i++) {</pre>
        if (n % 2 == 0) n /= 2;
        else n = 3*n + 1;
    return n == 1;
bool checkCubeSum(int n, int x, int y, int z) {
    return x*x*x + y*y*y + z*z*z == n;
```

• A *verifier* for a language *L* is a TM *V* with the following properties:

V halts on all inputs.

- Some notes about *V*:
 - If V accepts $\langle w, c \rangle$, we're guaranteed $w \in L$.
 - If V rejects $\langle w, c \rangle$, then either
 - $w \in L$, but you gave the wrong c, or
 - $w \notin L$, so no possible c will work.

• A *verifier* for a language *L* is a TM *V* with the following properties:

V halts on all inputs.

- Some notes about *V*:
 - Notice that the certificate c is existentially quantified. Any string $w \in L$ must have at least one c that causes V to accept, and possibly more.
 - *V* is required to halt, so given any potential certificate *c* for *w*, you can check whether the certificate is correct.

• A *verifier* for a language *L* is a TM *V* with the following properties:

V halts on all inputs.

- Some notes about *V*:
 - Notice that V isn't a decider for L and isn't a recognizer for L.
 - The job of V is just to check certificates, not to decide membership in L.

• A *verifier* for a language *L* is a TM *V* with the following properties:

V halts on all inputs.

- Some notes about *V*:
 - Although this formal definition works with a string *c*, remember that *c* can be an encoding of some other object.
 - In practice, *c* will likely just be "some other auxiliary data that helps you out."

What languages are verifiable?

Theorem: If L is a language, then there is a verifier for L if and only if $L \in \mathbf{RE}$.

Proof: Appendix!

RE and Proofs

- Verifiers and recognizers give two different perspectives on the "proof" intuition for **RE**.
- Verifiers are explicitly built to check proofs that strings are in the language.
 - If you know that some string w belongs to the language and you have the proof of it, you can convince someone else that $w \in L$.
- Recognizers can be thought of as devices that "search" for a proof that $w \in L$.
 - If it finds it, great!
 - If not, it might loop forever.

RE and Proofs

- If the **RE** languages represent languages where membership can be proven, what does a non-**RE** language look like?
- Intuitively, a language is *not* in **RE** if there is no general way to prove that a given string $w \in L$ actually belongs to L.
- In other words, even if you knew that a string was in the language, you may never be able to convince anyone of it!

Finding Non-**RE** Languages

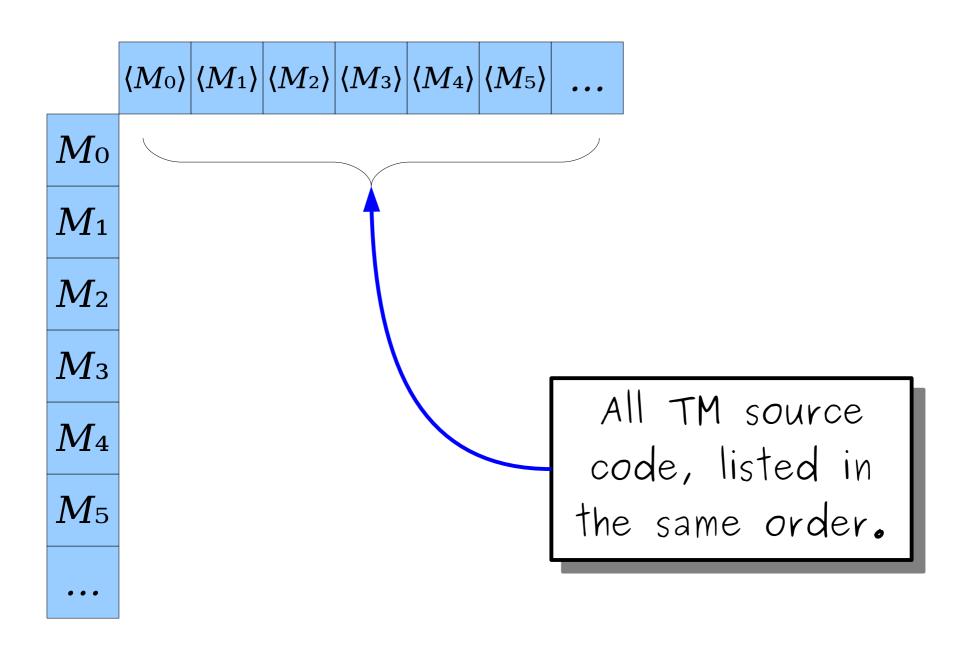
Recognizers and Recognizability

• **Recall:** We say that M is a recognizer for L if the following is true:

 $\forall w \in \Sigma^*$. $(w \in L \leftrightarrow M \text{ accepts } w)$.

- Some of these strings *w*, by pure coincidence, will be encodings of Turing machines.
- What happens if we list off all Turing machines, looking at how those TMs behave given other TMs as input?

 M_0 M_1 M_2 M_3 All Turing machines, M_4 listed in some order. M_5



	$\langle M_0 \rangle$	$ \langle M_1 \rangle $	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$ \langle M_4 \rangle $	$\langle M_5 \rangle$	• • •
$M_{ m O}$	Acc	No	No	Acc	Acc	No	•••
M_1	Acc	Acc	Acc	Acc	Acc	Acc	
M_2	Acc	Acc	Acc	Acc	Acc	Acc	
M_3	No	Acc	Acc	No	Acc	Acc	
M_4	Acc	No	Acc	No	Acc	No	
M_5	No	No	Acc	Acc	No	No	•••
•••							

Flip all "accept" to "no" and vice—versa

	$\langle M_0 \rangle$	$\langle M_1 angle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 angle$	$\langle M_5 \rangle$	•••
$M_{ m O}$	Acc	No	No	Acc	Acc	No	•••
M_1	Acc	Acc	Acc	Acc	Acc	Acc	
M_2	Acc	Acc	Acc	Acc	Acc	Acc	•••
M_3	No	Acc	Acc	No	Acc	Acc	•••
M_4	Acc	No	Acc	No	Acc	No	•••
M_5	No	No	Acc	Acc	No	No	•••
• • •	•••	•••		•••		•••	

No TM has this behavior!

	$\langle M_0 \rangle$	$\langle M_1 angle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 angle$	$\langle M_5 \rangle$	• • •
M_0	Acc	No	No	Acc	Acc	No	•••
M_1	Acc	Acc	Acc	Acc	Acc	Acc	
M_2	Acc	Acc	Acc	Acc	Acc	Acc	•••
M_3	No	Acc	Acc	No	Acc	Acc	•••
M_4	Acc	No	Acc	No	Acc	No	
M_5	No	No	Acc	Acc	No	No	•••
• • •	•••	•••			•••	•••	

"The language of all TMs that do not accept their source code."

	$\langle M_0 \rangle$	$\langle M_1 angle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 angle$	$\langle M_5 \rangle$	• • •
$M_{ m O}$	Acc	No	No	Acc	Acc	No	•••
M_1	Acc	Acc	Acc	Acc	Acc	Acc	
M_2	Acc	Acc	Acc	Acc	Acc	Acc	
M_3	No	Acc	Acc	No	Acc	Acc	•••
M_4	Acc	No	Acc	No	Acc	No	
M_5	No	No	Acc	Acc	No	No	•••
• • •						•••	

 $\{ \langle M \rangle \mid M \text{ is a TM that does not accept } \langle M \rangle \}$

Diagonalization Revisited

• The diagonalization language, which we denote L_n , is defined as

 $L_{\rm D} = \{ \langle M \rangle \mid M \text{ is a TM and } M \text{ does not accept } \langle M \rangle \}$

- We constructed this language to be different from the language of every TM.
- Therefore, $L_{\rm D} \notin \mathbf{RE}!$ Let's go prove this.

 $L_{\rm D} = \{ \langle M \rangle \mid M \text{ is a TM and } M \text{ does not accept } \langle M \rangle \}$

Theorem: $L_{D} \notin \mathbf{RE}$.

Proof: Assume for the sake of contradiction that $L_{\rm D} \in \mathbf{RE}$. This means that there is a recognizer R for $L_{\rm D}$.

Now, focus on what happens if we run recognizer R on its own encoding (that is, running R on $\langle R \rangle$). Since R is a recognizer for $L_{\rm D}$, we see that

R accepts $\langle R \rangle$ if and only if $\langle R \rangle \in L_{\rm D}$.

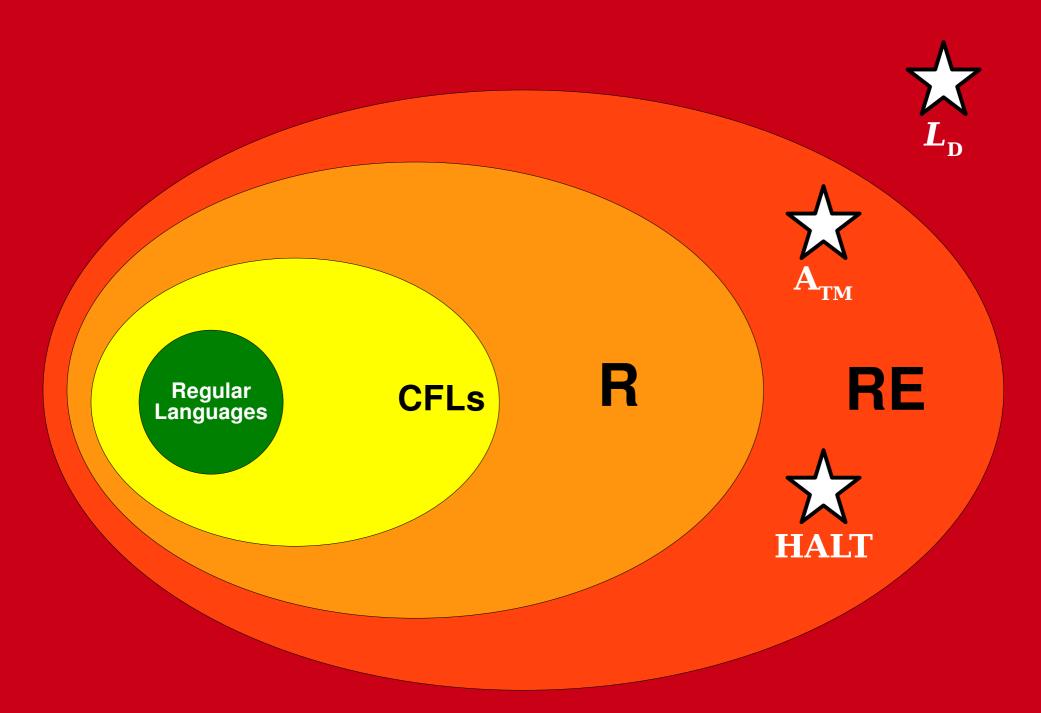
By definition of $L_{\rm D}$, we know that

 $\langle R \rangle \in L_{\rm D}$ if and only if R does not accept $\langle R \rangle$.

Combining the two above statements tells us that

R accepts $\langle R \rangle$ if and only if R does not accept $\langle R \rangle$.

This is impossible. We've reached a contradiction, so our assumption was wrong, and so $L_D \notin \mathbf{RE}$.



All Languages

What This Means

• On a deeper philosophical level, the fact that non-**RE** languages exist supports the following claim:

There are statements that are true but not provable.

- Intuitively, given any non-**RE** language, there will be some string in the language that *cannot* be proven to be in the language.
- This result can be formalized as a result called Gödel's incompleteness theorem, one of the most important mathematical results of all time.
- Want to learn more? Take Phil 152 or CS154!

What This Means

• On a more philosophical note, you could interpret the previous result in the following way:

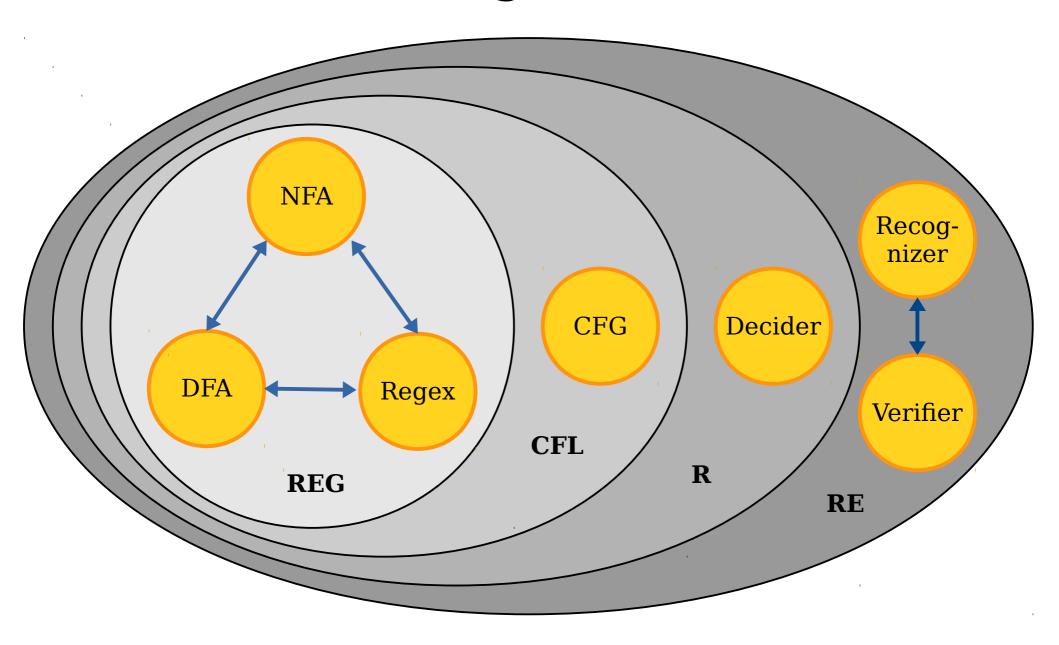
There are inherent limits about what mathematics can teach us.

- There's no automatic way to do math. There are true statements that we can't prove.
- That doesn't mean that mathematics is worthless. It just means that we need to temper our expectations about it.

Where We Stand

- We've just done a crazy, whirlwind tour of computability theory:
 - *The Church-Turing thesis* tells us that TMs give us a mechanism for studying computation in the abstract.
 - *Universal computers* computers as we know them are not just a stroke of luck. The existence of the universal TM ensures that such computers must exist.
 - *Self-reference* is an inherent consequence of computational power.
 - *Undecidable problems* exist partially as a consequence of the above and indicate that there are statements whose truth can't be determined by computational processes.
 - *Unrecognizable problems* are out there and can be discovered via diagonalization. They imply there are limits to mathematical proof.

The Big Picture



Where We've Been

- The class **R** represents problems that can be solved by a computer.
- The class **RE** represents problems where "yes" answers can be verified by a computer.

Where We're Going

- The class **P** represents problems that can be solved *efficiently* by a computer.
- The class **NP** represents problems where "yes" answers can be verified *efficiently* by a computer.

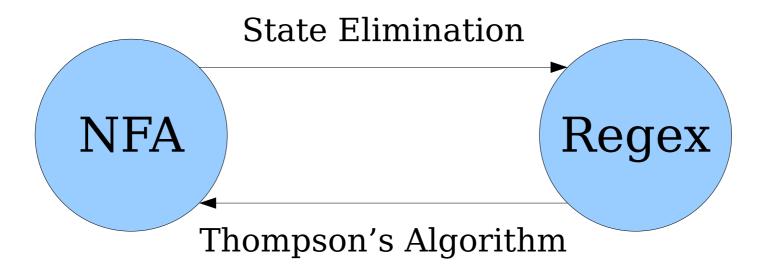
Next Time

- Introduction to Complexity Theory
 - Not all decidable problems are created equal!
- The Classes P and NP
 - Two fundamental and important complexity classes.
- The $P \stackrel{?}{=} NP$ Question
 - A literal million-dollar question!

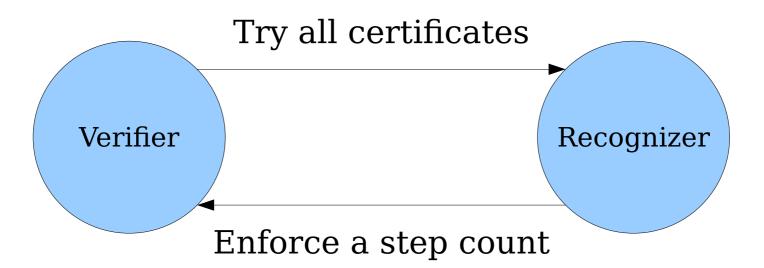


Theorem: Let L be a language. Then $L \in \mathbf{RE}$ if and only if there is a verifier V for L.

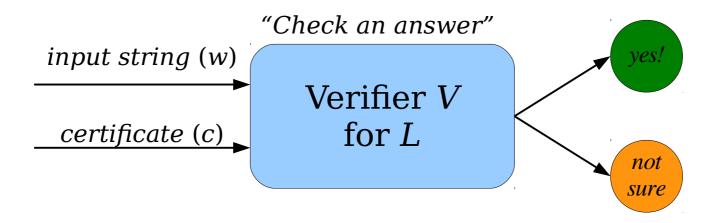
Where We've Been



Where We're Going



- **Theorem:** If there is a verifier V for a language L, then $L \in \mathbf{RE}$.
- **Proof goal:** Given a verifier V for a language L, find a way to construct a recognizer M for L.



- **Theorem:** If V is a verifier for L, then $L \in \mathbf{RE}$.
- **Proof sketch:** Consider the following program:

```
bool isInL(string w) {
   for (each string c) {
     if (V accepts (w, c)) return true;
   }
}
```

If $w \in L$, there is some $c \in \Sigma^*$ where V accepts $\langle w, c \rangle$. The function isInL tries all possible strings as certificates, so it will eventually find c (or some other working certificate), see V accept $\langle w, c \rangle$, then return true. Conversely, if isInL(w) returns true, then there was some string c such that V accepted $\langle w, c \rangle$, so we see that $w \in L$.

- **Theorem:** If $L \in \mathbf{RE}$, then there is a verifier for L.
- **Proof Goal:** Beginning with a recognizer M for the language L, show how to construct a verifier V for L.

- **Theorem:** If $L \in \mathbf{RE}$, then there is a verifier for L.
- **Proof sketch:** Let L be a **RE** language and let M be a recognizer for it. Consider this function:

```
bool checkIsInL(string w, int c) {
   TM M = /* hardcoded version of a recognizer for L */;
   set up a simulation of M running on w;
   for (int i = 0; i < c; i++) {
      simulate the next step of M running on W;
   }
   return whether M is in an accepting state;
}</pre>
```

Note that checkIsInL always halts, since each step takes only finite time to complete. Next, notice that if there is a c where checkIsInL(w, c) returns true, then M accepted w after running for c steps, so $w \in L$. Conversely, if $w \in L$, then M accepts w after some number of steps (call that number c). Then checkIsInL(w, c) will run M on w for c steps, watch M accept w, then return true.