

# ECE2810J

## Data Structures and Algorithms

### **Non-comparison Sort**

#### **Learning Objective:**

- Understand three non-comparison sorts, counting sort, bucket sort, and radix sort

# Outline

- Non-comparison Sort
  - Counting Sort
  - Bucket Sort
  - Radix Sort

# Counting Sort

## A Simple Version

- Sort an array  $A$  of **integers** in the range  $[0, k]$ , where  $k$  is known.
  1. Allocate an array **count** $[k+1]$ .
  2. Scan array  $A$ . For  $i=1$  to  $N$ , increment **count** $[A[i]]$ .
  3. Scan array **count**. For  $i=0$  to  $k$ , print  $i$  for **count** $[i]$  times.
- Time complexity:  $O(N + k)$ .
- The algorithm can be converted to sort integers in some other known range  $[a, b]$ .
  - Minus each number by  $a$ , converting the range to  $[0, b - a]$ .

# Counting Sort

## A General Version

- In the previous version, we print  **$i$**  for  **$\text{count}[i]$**  times.
  - Simple but only works when sorting integer keys alone.
  - How to sort items when there is “additional” information with each key? Furthermore, how to guarantee the stability?
- A general version:
  1. Allocate an array  **$C[k+1]$** .
  2. Scan array  **$A$** . For  **$i=1$**  to  **$N$** , increment  **$C[A[i]]$** .
  3. For  **$i=1$**  to  **$k$** ,  **$C[i]=C[i-1]+C[i]$** 
    - **$C[i]$**  now contains number of items less than or equal to  **$i$** .
  4. For  **$i=N$**  downto  **$1$** , put  **$A[i]$**  in new position  **$C[A[i]]$**  and decrement  **$C[A[i]]$** .

# Counting Sort

## Example

1. Allocate an array **C[k+1]**.

2. Scan array A. For **i=1** to **N**, increment **C[A[i]]**.

3. For **i=1** to **k**, **C[i] = C[i-1] + C[i]**

4. For **i=N** downto **1**, put **A[i]** in new position **C[A[i]]** and decrement **C[A[i]]**.

k=5

|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
|   | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| A | 2 | 5 | 3 | 0 | 2 | 3 | 0 | 3 |

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
|   | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 2 | 0 | 2 | 3 | 0 | 1 |

# Counting Sort

## Example

1. Allocate an array  $\mathbf{C[k+1]}$ .

$k=5$

|   | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|---|---|---|---|---|---|---|---|---|
| A | 2 | 5 | 3 | 0 | 2 | 3 | 0 | 3 |

2. Scan array A. For  $i=1$  to  $\mathbf{N}$ , increment  $\mathbf{C[A[i]]}$ .

|   | 0 | 1 | 2 | 3 | 4 | 5 |
|---|---|---|---|---|---|---|
| C | 2 | 0 | 2 | 3 | 0 | 1 |

3. For  $i=1$  to  $k$ ,  $\mathbf{C[i] = C[i-1] + C[i]}$

|   | 0 | 1 | 2 | 3 | 4 | 5 |
|---|---|---|---|---|---|---|
| C | 2 | 2 | 4 | 7 | 7 | 8 |

4. For  $i=N$  downto  $1$ , put  $\mathbf{A[i]}$  in new position  $\mathbf{C[A[i]]}$  and decrement  $\mathbf{C[A[i]]}$ .

# Counting Sort

## Example

1. Allocate an array  $C[k+1]$ .
2. Scan array  $A$ . For  $i=1$  to  $N$ , increment  $C[A[i]]$ .
3. For  $i=1$  to  $k$ ,  $C[i] = C[i-1] + C[i]$
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|  |   |   |   |   |   |   | 3 |   |

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Why putting 3 at location 7 is correct?

# Counting Sort

## Example

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# Counting Sort

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|--|---|---|---|---|---|---|---|---|
|  |   | 0 |   |   |   | 3 | 3 |   |

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# Counting Sort

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|--|---|---|---|---|---|---|---|---|
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# Counting Sort

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# Counting Sort

## Example

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|---|---|---|---|---|---|---|
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|  |   |   |   |   |   |   |   |   |
|--|---|---|---|---|---|---|---|---|
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|  | 0 | 0 |   | 2 | 3 | 3 | 3 |   |

|   |   |   |   |   |   |   |
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# Counting Sort

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|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
|   | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 0 | 2 | 3 | 4 | 7 | 8 |

|  |   |   |   |   |   |   |   |   |
|--|---|---|---|---|---|---|---|---|
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|  | 0 | 0 |   | 2 | 3 | 3 | 3 | 5 |

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
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# Counting Sort

## Example

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3. For  $i=1$  to  $k$ ,  $C[i] = C[i-1] + C[i]$
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|   |   |   |   |   |   |   |   |   |
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|---|---|---|---|---|---|---|
|   | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 0 | 2 | 3 | 4 | 7 | 7 |

|  |   |   |   |   |   |   |   |   |
|--|---|---|---|---|---|---|---|---|
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|  | 0 | 0 | 2 | 2 | 3 | 3 | 3 | 5 |

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
|   | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 0 | 2 | 2 | 4 | 7 | 7 |

Done!

Is counting sort stable?

Yes!

# Outline

- Non-comparison Sort
  - Counting Sort
  - Bucket Sort
  - Radix Sort

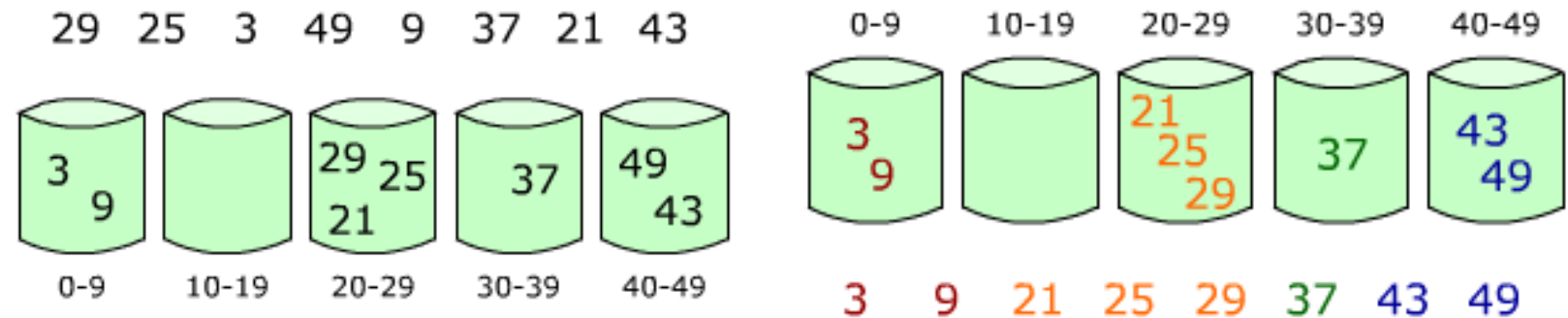
# Bucket Sort

- Instead of simple integer, each key can be a complicated record, such as a real value.
- Then instead of incrementing the count of each bucket, **distribute** the records **by their keys** into appropriate buckets.
- Algorithm:
  1. Set up an array of initially empty “buckets”.
  2. Scatter: Go over the original array, putting each object in its bucket.
  3. Sort each non-empty bucket by a comparison sort.
  4. Gather: Visit the buckets in order and put all elements back into the original array.



# Bucket Sort

- Example



- Time complexity

- Suppose we are sorting  $cN$  items and we divide the entire range into  $N$  buckets.
- Assume that the items are uniformly distributed in the entire range.
- The average case time complexity is  $O(N)$ .

# Outline

- Non-comparison Sort
  - Counting Sort
  - Bucket Sort
  - Radix Sort

# Radix Sort

- **Radix sort** sorts integers by looking at one digit at a time.
- Procedure: Given an array of integers, from the least significant bit (LSB) to the most significant bit (MSB), repeatedly do **stable** bucket sort according to the current bit.
- For sorting base- $b$  numbers, bucket sort needs  $b$  buckets.
  - For example, for sorting decimal numbers, bucket sort needs 10 buckets.

# Radix Sort

## Example

- Sort 815, 906, 127, 913, 098, 632, 278.
- Bucket sort 815, 906, 127, 913, 098, 632, 278 according to the least significant bit:

| 0 | 1 | 2           | 3           | 4 | 5           | 6           | 7           | 8                          | 9 |
|---|---|-------------|-------------|---|-------------|-------------|-------------|----------------------------|---|
|   |   | 63 <u>2</u> | 91 <u>3</u> |   | 81 <u>5</u> | 90 <u>6</u> | 12 <u>7</u> | 09 <u>8</u><br>27 <u>8</u> |   |

- Bucket sort 632, 913, 815, 906, 127, 098, 278 according to the second bit.

# Radix Sort

## Example

- Bucket sort 632, 913, 815, 906, 127, 098, 278 according to the second bit.

| 0            | 1                            | 2            | 3            | 4 | 5 | 6 | 7            | 8 | 9            |
|--------------|------------------------------|--------------|--------------|---|---|---|--------------|---|--------------|
| 9 <u>0</u> 6 | 9 <u>1</u> 3<br>8 <u>1</u> 5 | 1 <u>2</u> 7 | 6 <u>3</u> 2 |   |   |   | 2 <u>7</u> 8 |   | 0 <u>9</u> 8 |

- Bucket sort 906, 913, 815, 127, 632, 278, 098 according to the most significant bit.

# Radix Sort

## Example

- Bucket sort 098, 913, 815, 127, 632, 278, 098 according to the most significant bit.

| 0           | 1           | 2           | 3 | 4 | 5 | 6           | 7 | 8           | 9                          |
|-------------|-------------|-------------|---|---|---|-------------|---|-------------|----------------------------|
| <u>0</u> 98 | <u>1</u> 27 | <u>2</u> 78 |   |   |   | <u>6</u> 32 |   | <u>8</u> 15 | <u>9</u> 06<br><u>9</u> 13 |

- The final sorted order is: 098, 127, 278, 632, 815, 906, 913.

# Radix Sort: Correctness

- Claim: after bucket sorting the  $i$ -th LSB, the numbers are sorted according to their last  $i$  digits
- Proof by mathematical induction
- Base case is obviously true
- Inductive step
  - Assume that according to the last  $i$  digits, order is  $a_1 < \dots < a_n$
  - For two adjacent numbers  $a_k$  and  $a_{k+1}$  if they are not in the same bucket, they are sorted according to their last  $i$  digits
  - If they are in the same bucket, then  $a_k < a_{k+1}$  for the last  $(i - 1)$  bits. They are also sorted due to stability of bucket sort

# Radix Sort

## Time Complexity

- Let  $k$  be the maximum number of digits in the keys and  $N$  be the number of keys.
- We need to repeat bucket sort  $k$  times.
  - Time complexity for the bucket sort is  $O(N)$ .
- The total time complexity is  $O(kN)$ .



# Radix Sort

- Radix sort can be applied to sort keys that are built on **positional notation**.
  - **Positional notation**: all positions uses the same set of symbols, but different positions have different weight.
  - Decimal representation and binary representation are examples of positional notation.
  - Strings can also be viewed as a type of positional notation. Thus, radix sort can be used to sort strings.
- We can also apply radix sort to sort records that contain multiple keys.
  - For example, sort records (year, month, day).