

Homework 1b: Introduction to Algorithmic Analysis and Recurrence

Author: Gabriel Hofer

CSC-372 Analysis of Algorithms

Instructor: Dr. Rebenitsch

Section 1 DUE: Thursday, Aug 27th, at 7AM

Section 2 DUE: Thursday, Sept 3 th, at 7AM

Section 2: Recursion Analysis

1. (26 pt) Determine the run time (bit-O) for the following recurrence formula using the tree or substitution method. You may use the master method only to check your answer.

$$T(n) = \begin{cases} 1 & n = 1 \\ 3T(\frac{n}{4}) + n^2 & n > 1 \end{cases}$$

Substitution Method:

1. We guess that the form of the solution is $T(n) = O(n^2 \log(n))$.
2. We want to show by mathematical induction that $T(n) \leq d \cdot n^2 \log(n)$ for some constant $d > 0$.

$$T(n) = 3T\left(\frac{n}{4}\right) + n^2$$

Now substitute for the $T\left(\frac{n}{4}\right)$ term in the above equation:

$$\begin{aligned} T(n) &= 3 \cdot d \left(\frac{n}{4}\right)^2 \cdot \log\left(\frac{n}{4}\right) + n^2 \\ &= \frac{3}{16} d \cdot n^2 \cdot \log\left(\frac{n}{4}\right) + n^2 \\ &\leq d \cdot n^2 \cdot \log(n) + n^2 \end{aligned}$$

When d is sufficiently large we drop the n^2 term:

$$d \cdot n^2 \cdot \log(n)$$

2. (26 pt) Determine the run time (big-O) for the following recurrence formula using the tree or substitution method. You may use the master method only to check your answer.

$$T(n) = \begin{cases} 1 & n = 1 \\ 2T(\frac{n}{3}) + n^3 & n > 1 \end{cases}$$

Substitution Method:

1. We guess that the form of the solution is $T(n) = O(n^3 \log(n))$.
2. We want to show by mathematical induction that $T(n) \leq d \cdot n^3 \log(n)$ for some constant $d > 0$.

$$T(n) = 2T\left(\frac{n}{3}\right) + n^3$$

Now substitute for the $T\left(\frac{n}{3}\right)$ term in the above equation:

$$\begin{aligned} T(n) &= 2 \cdot d \left(\frac{n}{3}\right)^3 \cdot \log\left(\frac{n}{3}\right) + n^3 \\ &= \frac{2}{27} d \cdot n^3 \cdot \log\left(\frac{n}{3}\right) + n^3 \\ &\leq d \cdot n^3 \cdot \log(n) + n^3 \end{aligned}$$

When d is sufficiently large we drop the n^3 term:

$$d \cdot n^3 \cdot \log(n)$$

3. (12 pt) Determine which case of the Master Theorem applies for the following recurrences. Include the values of a, b, and k (and ideally b^k) as proof of your selection. Also, include the final big-theta formula. You also have the option of a recurrence relation that cannot use the master method as described in class, in which case, state it "fails".

a. $T(n) = 2T\left(\frac{n}{2}\right) + \sqrt{n}$

$$a = 2, b = 2, f(n) = \sqrt{n}$$

b. $T(n) = 3T\left(\frac{n}{4}\right) + n^2$

$$a = 3, b = 4, f(n) = n^2$$

c. $T(n) = 2T\left(\frac{n}{4}\right) + T\left(\frac{n}{2}\right) + n^3$

$$a = 2, b = 2, f(n) = n^3$$

d. $T(n) = 16T\left(\frac{n}{2}\right) + n^4$

$$a = 16, b = 2, f(n) = n^4$$

4. (10 pt) Prove the correctness of the outer loop of the following 2D array summation using the loop invariant technique.

```
sum_array(A[ ][ ])
    sum = 0
    for each row R
        for each element j in R
            sum = sum + j
    return sum
```

Initialization: The variable called sum is set to 0 before any cells in the matrix were visited.

Maintenance: Let x be the current row in the matrix and let y be the current column in the matrix. Let's use zero-indexing. At cell $A[x][y]$ in the matrix, we know that, for rows 0 to $x-1$, every element in every column has been added to the sum. And, we know that, for the current row, x , every element from columns 0 to y has been added to the sum.

Termination: When the outer loop terminates, all rows have been visited. Since every cell is visited when a single row is visited, we know that every cell has been visited. Therefore, sum contains the summation of every cell in array A.

5) (8 pt) For the following problem, what is the best sort among the given list. This is a real- world problem, so some ambiguity exists. Therefore, you must also list any assumptions, and explain why you removed the other sort options. Your options are insertion, selection, bubble, merge, quick, and heap. Consider stability, in-place, data structure, etc. in your answer:

Problem: You want to sort the number of steps taken per day in the last 2 years on a smart watch.

Assumptions: The watch is not a smart watch. The watch has little memory.

Two years of data is $n = 365 * 2 = 730$. So, the most important factor in choosing which algorithm to use is memory. Quicksort is not a practical choice because it is a recursive algorithm and would require a structure such as a stack to keep track of each function call. A stack is not available on our watch. Mergesort requires $O(n \log(n))$ extra memory.

Another important factor in choosing the right sorting algorithm is speed. So, we are left with four sorting algorithms which are all in-place. In theory, an $O(n \log(n))$ algorithm would sort the steps in $T(n) = 730 \log(730)$ operations while an $O(n^2)$ algorithm would sort the list of steps in $T(n) = 730 * 730$ operations. Therefore it would be faster to sort using a log-linear sorting algorithm. Consequently, we choose Heapsort because it is faster than Bubble Sort, Insertion Sort, Selection Sort. Heapsort is an in-place sorting algorithm. On average it performs at $O(n \log(n))$. The worst case performance of heapsort is $O(n \log(n))$.

6. (18 pt) Write the resulting recurrence relation (the $T(n)$ piece-wise function) for the following pseudocode where A is an arrays of integers:

```

FUNC(A, s, e)
    if s >= e
        print s, e, and all of A[1..n]
        return
    cut1 = (e - s) / 2
    cut2 = (e - s) / 4
    FUNC(A, s, s + cut1)
    FUNC(A, s + cut1 + 1, s + cut1 + cut2)
    FUNC(A, s + cut1 + cut2 + 1, e)

```

Solution:

$$T(n) = T\left(\frac{n}{2}\right) + 2T\left(\frac{n}{4}\right) + n;$$

Explanation: First, an assumption that we make in our analysis is that array, A , is passed by reference so that A doesn't have to be copied for every function call. There are three recursive function calls in FUNC . cut1 is half of the distance between the start, s , and end, e , of the current segment of the array. cut2 is one forth of the distance between the start and end of the current segment of the array. Each of the three recursive function calls pass arguments which effectively shorten the range between the start and end of the array. The first function call, $\text{FUNC}(A, s, s + \text{cut1})$, essentially passes the first half of the current segment of A . The second and third function calls, $\text{FUNC}(A, s + \text{cut1} + 1, s + \text{cut1} + \text{cut2})$ and $\text{FUNC}(A, s + \text{cut1} + \text{cut2} + 1, e)$, both essentially pass a quarter of the current segment of the array. Thus:

$$T(n/2) + T(n/4) + T(n/4) = T(n/2) + 2T(n/4)$$

Since the whole array is printed if $s \geq e$ for any given function call, we add n to the total runtime of T .