

20 Recommender Systems Interview

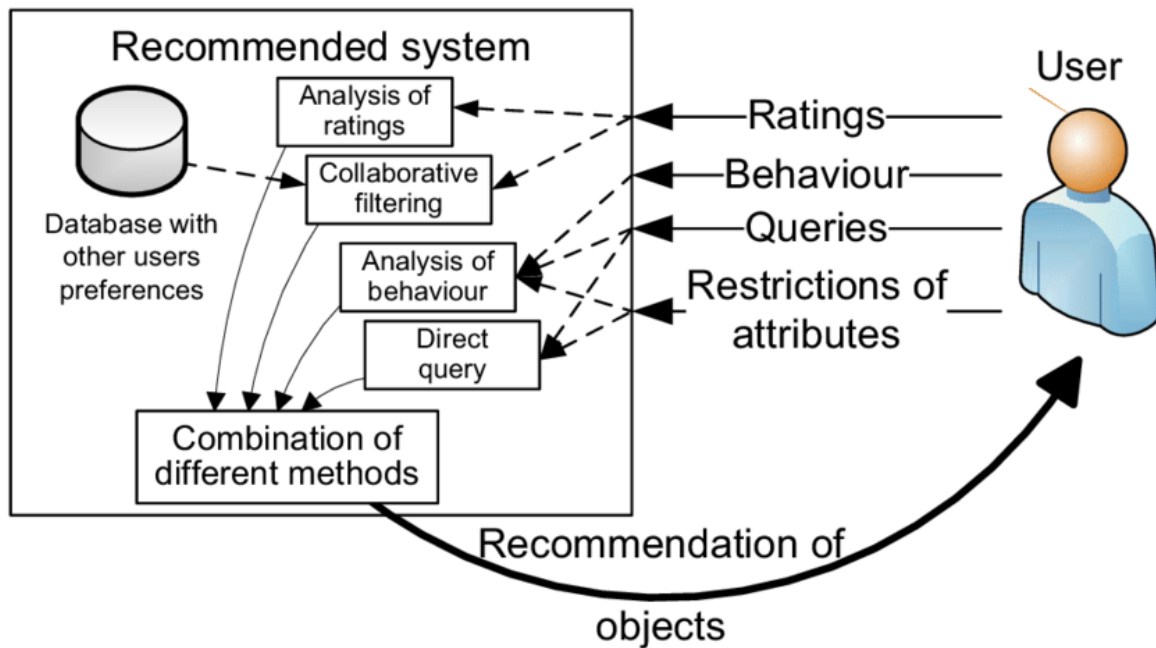
Questions and Answers for DS

20 Recommender Systems Interview Questions and Answers for DS

- Q1 What are Recommendation Systems?
- Q2 How are Knowledge-based Recommender Systems different from Collaborative and Content-based Recommender Systems?
- Q3 What are some Domain-Specific Challenges in Recommender Systems
- Q4 What are the basic components of a Content-Based System
- Q5: What is a Model-Based Collaborative approach?
- Q6: What is the difference between Collaborative and Content based Recommender Systems?
- Q7 How do you choose between User-Based and Item-Based Neighborhood Recommender System?
- Q8 How do you maintain User Privacy when collecting Data for Recommendation Systems?
- Q9 What is hybrid Recommender Systems?
- Q10 Explain the role of NoSQL databases in recommendation systems. How can NoSQL databases enhance the performance and scalability of the recall phase in a recommendation system? Provide examples of NoSQL databases that are commonly used in recommendation systems.
- Q11 Explain the difference between homepage recommendations and item detail page recommendations in a recommendation system
- Q12 What are the different evaluation metrics for Recommender Systems?
- Q13 What is Matrix Factorization?
- Q14 What is Alternating Least Squares?
- Q15 Can you explain the difference between implicit and explicit feedback?
- Q16 Can you outline the steps to build a Recommender System?
- Q17 What are some potential reasons for the inconsistency between offline and online AUC in a recommender system?
- Q18 How does the ranking model in the recommender system handle real-time updates and maintain up-to-date recommendations?
- Q19 Explain the differences between FM (Factorization Machines), DeepFM, and FFM (Field-aware Factorization Machines)
- Q20 Write FM Training Pseudocode

Q1 What are Recommendation Systems?

- A **Recommendation System** is a subclass of *information filtering system* that seeks to predict the *rating* or *preference* a user would give to an item.
- *Recommender systems* usually make use of either or both collaborative filtering and content-based filtering, as well as other systems such as *knowledge-based systems*.



Q2 How are Knowledge-based Recommender Systems different from Collaborative and Content-based Recommender Systems?

Aspect	Knowledge-based Recommender System	Collaborative Recommender System	Content-based Recommender System
Recommendation Basis	Uses explicit domain knowledge and rules to make recommendations.	Utilizes user-item interactions and similarities between users/items.	Relies on item attributes and features to generate recommendations.

Aspect	Knowledge-based Recommender System	Collaborative Recommender System	Content-based Recommender System
Data Dependency	Does not rely heavily on user behavior data; requires a knowledge base.	Requires historical user-item interaction data for generating recommendations.	Needs item attribute data to create item profiles for recommendations.
Personalization Capability	Provides personalized recommendations based on explicit user preferences.	Offers personalized recommendations based on similar user behavior.	Provides personalized recommendations based on item features and user preferences.
New User Handling (Cold-start)	Can handle new users with limited data using domain knowledge.	Struggles with cold-start problem as it requires user history for recommendations.	Can handle new users by relying on item attributes without user history.
Item Diversity	May have challenges in offering diverse recommendations due to explicit rules.	Can provide diverse recommendations by leveraging various users' behavior.	Can offer diverse recommendations by analyzing item attributes.
Scalability	Limited scalability as it relies on domain-specific knowledge base.	Highly scalable as it relies on user-item interaction data for recommendations.	Highly scalable as it uses item attributes for recommendations.
Serendipitous Recommendations	May have limitations in providing serendipitous recommendations.	Can provide serendipitous recommendations based on user similarities.	Can provide serendipitous recommendations based on item attribute similarities.

Aspect	Knowledge-based Recommender System	Collaborative Recommender System	Content-based Recommender System
Explanation of Recommendations	Can offer explanations for recommendations based on domain knowledge.	Often difficult to provide explanations for collaborative filtering results.	Can provide explanations based on item attributes that match user preferences.
Handling Novelty and Diversity	May struggle in handling novelty and diversity due to limited explicit rules.	Can handle novelty by recommending based on similar users' diverse behavior.	Can handle novelty by recommending items with unique attributes.
Privacy Concerns	Low privacy concerns as it doesn't heavily rely on user data.	May have privacy concerns as it uses user behavior data for recommendations.	May have privacy concerns if sensitive attributes are used for recommendations.

Knowledge-based Recommender Systems: Knowledge-based recommender systems rely on **explicit knowledge about the items and the user's preferences** to make recommendations. These systems typically use rules, expert knowledge, or domain-specific information to suggest items that match the user's preferences. The recommendations are made based on the attributes and features of the items and the user's stated preferences or requirements.

Advantages:

- Can provide recommendations for new users with limited historical data.
- Can offer explanations for the recommendations, as they are based on explicit knowledge.
- Can handle domain-specific requirements and constraints effectively.

Disadvantages:

- Depend heavily on the quality and completeness of the domain-specific knowledge base.
- May not capture the user's dynamic and evolving preferences over time.

- Scaling and updating the knowledge base can be challenging.

Collaborative Recommender Systems: Collaborative recommender systems rely on **user-item interactions and feedback** to make recommendations. These systems identify users with similar preferences or behavior patterns and recommend items based on what similar users have liked or purchased. Collaborative filtering can be done using either user-based or item-based approaches.

Advantages:

- Can capture user preferences even when explicit data about item attributes is limited.
- Effective in capturing dynamic changes in user preferences over time.
- Scalable and adaptable to various domains.

Disadvantages:

- Cold-start problem: They struggle to provide recommendations for new users or items with limited data.
- Overreliance on user behavior can lead to "echo chamber" effects.
- Privacy concerns can arise since they require user data for effective recommendations.

Content-based Recommender Systems: Content-based recommender systems focus on the **attributes and characteristics of items themselves**. They analyze the content of the items and recommend similar items based on features, keywords, or other relevant information. These systems do not rely on user behavior and instead focus on the item's intrinsic properties.

Advantages:

- Can provide personalized recommendations for niche items based on item content.
- Not dependent on user behavior data, addressing privacy concerns.
- Can handle the cold-start problem for new items by analyzing their attributes.

Disadvantages:

- Limited ability to capture diverse user preferences and serendipitous recommendations.
- Struggle to recommend items outside the scope of their content analysis.
- May require feature extraction and natural language processing for certain item types.

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- The recommendations of **content-based** and **collaborative systems** are primarily based on **historical data**.
 - The recommendations of **knowledge-based** systems are based on the direct specifications by users of *what they want*.
 - An important distinguishing characteristic of **knowledge-based systems** is the high level of *customization* to the specific domain. This customization is achieved through the use of a *knowledge-base* that encodes relevant domain knowledge in the form of either constraints or similarity metrics.

Q3 What are some Domain-Specific Challenges in Recommender Systems

Domain-specific challenges in recommender systems arise due to the unique characteristics and requirements of different application domains.

1. Media Recommendations (Movies, Music, Books):

- Long-tail problem: Popular items dominate recommendations, making it difficult to promote less-known or niche items.
- Shilling attacks: Malicious users manipulate the system by providing fake ratings or feedback to promote certain items.
- Seasonal preferences: User preferences may change significantly based on seasons or trends, requiring adaptive algorithms.

2. E-commerce Recommendations:

- Cold-start problem: Recommending products to new users without historical data is challenging.
- Dynamic inventory: Product catalogs change frequently, requiring real-time updates to the recommendation model.
- Contextual recommendations: Recommendations need to consider user context (e.g., location, device) for better personalization.

3. Healthcare Recommendations:

- Privacy and security: Protecting sensitive patient data while providing personalized health recommendations.
- Uncertainty and risk: Recommending medical treatments with uncertain outcomes requires careful handling of risk assessment.

- Personalization with limited data: Healthcare data is often scarce, leading to challenges in personalizing recommendations.

4. Travel Recommendations:

- Multi-dimensional preferences: Users may have complex preferences involving multiple factors like budget, location, activities, etc.
- Diversity vs. serendipity: Balancing recommendations between popular choices and more adventurous options.
- Trip planning: Recommending an itinerary that optimizes travel time, transportation, and attractions.

5. News and Content Recommendations:

- Filter bubble: Over-reliance on user behavior may lead to reinforcing existing preferences, limiting exposure to diverse viewpoints.
- Real-time updates: News and content recommendations need to be timely and reflect the latest information.
- Misinformation and bias: Ensuring recommendations avoid promoting fake news or biased content.

6. Job Recommendations:

- Skill and job matching: Recommending jobs that align with a user's skills and experience can be challenging.
- Career growth recommendations: Providing recommendations for career development and learning opportunities.
- Balancing employer and candidate preferences: Addressing the needs and preferences of both employers and job seekers.

中文解释:

当我们谈到不同类型的推荐系统时,不同领域都会面临特定的挑战。媒体推荐(如电影、音乐、图书)可能会遇到“**长尾问题**”,这意味着一些热门项目会主导推荐,而不太知名或小众的项目则很难被推广(**如何压制高曝光item?**)。同时,恶意用户可能会通过提供虚假评分来操纵系统,这就是所谓的“恶意评分”问题。

在电子商务推荐中,我们有“**冷启动问题**”,即向新用户推荐产品会很困难,因为缺乏他们的历史购买数据。此外,由于产品目录经常发生变化,我们需要实时更新推荐模型来适应“动态库存”。

在医疗保健推荐中,我们面临 **隐私与安全问题**, 因为我们必须保护敏感的病患数据。同时, 医疗治疗方案往往存在不确定性与风险, 所以我们需要小心处理潜在的风险评估。此外, 医疗数据通常很有限, 这就是所谓的“有限数据的个性化”问题。

对于旅行推荐, 用户可能有复杂的偏好, 涉及预算、地点、活动等多个因素, 我们称之为“多维偏好”。同时, 我们需要在推荐中平衡热门选择和更冒险的选项, 还有“行程规划”问题, 我们要推荐旅行行程以优化旅行时间、交通和景点。

对于新闻和内容推荐，我们需要注意“筛泡问题”，不要仅仅推荐用户已经喜欢的内容，而忽略其他观点。同时，新闻和内容推荐需要及时更新，反映最新信息，并避免传播虚假信息和有偏见的内容。

最后，职位推荐可能会面临“技能和职位匹配”的问题，我们需要根据用户的技能和经验来推荐合适的职位。同时，我们可能还需要提供有关职业发展和学习机会的推荐，以帮助用户在职业生涯中不断进步。这同时需要平衡雇主和求职者的需求和偏好。

Q4 What are the basic components of a Content-Based System

1. **Preprocessing and feature extraction:** Content-based systems are used in a wide variety of domains, such as Web pages, product descriptions, news, music features, and so on. In most cases, features are extracted from these various sources to convert them into a **keyword-based vector-space representation**. 【基于关键词的向量空间表示】

This is the first step of any content-based recommendation system, and it is highly **domain-specific**. However, the proper extraction of the most informative features is essential for the effective functioning of any content-based recommender system.

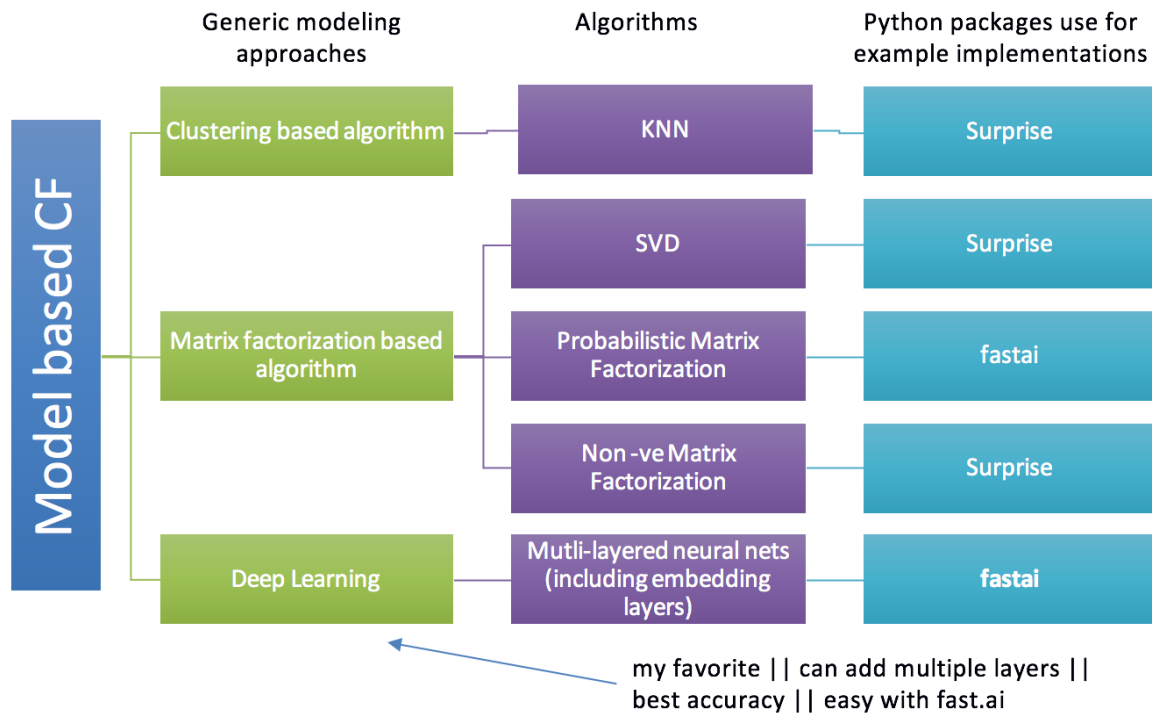
2. **Content-based learning of user profiles:** The user profile captures the preferences or interests of each user. It is usually represented as a vector that reflects the user's historical interactions with items or explicitly stated preferences. For example, in a music recommendation system, the user profile may contain information about the user's favorite artists, genres, or previously rated songs.

A content-based model is specific to a given user. Therefore, a user-specific model is constructed to predict user interests in items, based on their history of either buying or rating items. To achieve this goal, *user feedback* is leveraged, which may be manifested in the form of previously specified ratings (explicit feedback) or user activity (implicit feedback). Such feedbacks are used in conjunction with the attributes of the items to construct the training data. A learning model is constructed on this training data. The resulting model is referred to as the *user profile* because it conceptually relates user interests to item attributes.

3. **Filtering and recommendation:** In this step, the learned model from the previous steps is used to make recommendations on items for specific users. This step needs to be very efficient because the predictions need to be performed in real-time.
1. **预处理和特征提取:** 内容推荐系统用于各种领域, 比如网页、产品描述、新闻、音乐特征等。在大多数情况下, 需要从这些不同的来源提取特征, 将它们转换成“基于关键词的向量空间表示”。这是任何内容推荐系统的第一步, 而且高度依赖于领域特定的处理。然而, 正确提取最具信息量的特征对于内容推荐系统的有效运行至关重要。
 2. **基于内容的用户模型学习:** 基于内容的模型是针对特定用户的。因此, 需要构建用户特定的模型, 以预测用户对物品的兴趣, 这取决于他们过去购买或评分物品的历史记录。为了实现这一目标, 会利用“用户反馈”, 这可以表现为之前指定的评分(显式反馈)或用户活动(隐式反馈)。这些反馈与物品的属性一起用于构建训练数据。在这个训练数据上构建一个学习模型。所得到的模型称为“用户模型”, 因为它在概念上将用户兴趣与物品属性联系起来。
 3. **过滤和推荐:** 在这一步中, 使用前面步骤中学到的模型来为特定用户做出推荐。这一步需要非常高效, 因为需要实时执行预测。

Q5: What is a Model-Based Collaborative approach?

- Model-based Collaborative approaches only rely on **user-item interactions information** and assume a *latent model* to explain these interactions.
- For example, *matrix factorization* algorithms consist of decomposing the huge and sparse user-item interaction matrix into a product of two smaller and dense matrices: a user-factor matrix (containing users representations) that multiplies a factor-item matrix (containing items representations).



Models:

- 1. Matrix Factorization (MF):** Matrix factorization is a popular model used in collaborative filtering. It decomposes the user-item interaction matrix into lower-dimensional user and item latent factor matrices. The latent factors represent the hidden preferences and characteristics of users and items. Singular Value Decomposition (SVD) is a well-known matrix factorization technique.
- 2. Alternating Least Squares (ALS):** ALS is an optimization algorithm often used with matrix factorization. It alternates between fixing one set of factors (either user or item) and optimizing the other to minimize the reconstruction error of the interaction matrix.
- 3. Factorization Machines (FM):** Factorization Machines are a type of model that combines matrix factorization and feature interactions. They are useful for incorporating additional item and user features along with user-item interactions.
- 4. Neural Collaborative Filtering (NCF):** NCF is a neural network-based approach that learns user and item embeddings from the user-item interaction data. It uses multi-layer perceptrons to model non-linear interactions between users and items.
- 5. Deep Matrix Factorization (DMF):** DMF is an extension of matrix factorization that incorporates deep neural networks to capture complex interactions between users and items.

6. **LightFM**: LightFM is a hybrid model that combines matrix factorization and content-based features. It can handle implicit feedback and incorporates user and item metadata for better performance.
7. **Bayesian Personalized Ranking (BPR)**: BPR is a pairwise learning-to-rank model that optimizes the ranking of items based on the observed user-item interactions.

模型	简介	优点	缺点	特点
矩阵分解 (Matrix Factorization)	将用户-物品交互矩阵分解为低维度的用户和物品潜在因子矩阵。	<ul style="list-style-type: none">- 简单且易于实现。- 有效处理稀疏数据。	<ul style="list-style-type: none">- 可能对于小数据集存在过拟合问题。- 受数据噪声和缺失值影响。	<ul style="list-style-type: none">- 隐式学习用户和物品之间的关联关系。- 可用于推荐和其他数据填充任务。
交替最小二乘 (Alternating Least Squares, ALS)	一种常用于矩阵分解的优化算法，交替优化因子。	<ul style="list-style-type: none">- 可以处理大规模数据集。- 适用于隐式反馈数据。	<ul style="list-style-type: none">- 可能陷入局部最优解。- 对超参数敏感。	<ul style="list-style-type: none">- 可以应用于隐式和显式反馈数据。- 实现相对较简单。
因子分解机 (Factorization Machines, FM)	结合了矩阵分解和特征交互的模型。	<ul style="list-style-type: none">- 融合了特征交互信息，适用于稀疏数据。- 可以处理高维稀疏特征。	<ul style="list-style-type: none">- 需要大量特征工程。- 训练时间较长。	<ul style="list-style-type: none">- 可用于推荐和点击率预测等任务。- 可以考虑特征之间的交互影响。
神经协同过滤 (Neural Collaborative Filtering, NCF)	使用神经网络学习用户和物品的嵌入。	<ul style="list-style-type: none">- 能够捕捉非线性关系和复杂交互。- 适用于隐式和显式反馈数据。	<ul style="list-style-type: none">- 需要大量数据来避免过拟合。- 训练时间较长。	<ul style="list-style-type: none">- 基于神经网络的模型，适用于深度学习框架。- 高度灵活可调整结构。

模型	简介	优点	缺点	特点
深度矩阵分解 (Deep Matrix Factorization, DMF)	将神经网络与矩阵分解相结合，捕捉复杂交互关系。	<ul style="list-style-type: none">- 能够学习更复杂的用户和物品特征。- 适用于隐式和显式反馈数据。	<ul style="list-style-type: none">- 需要大量数据和计算资源。- 容易过拟合。	<ul style="list-style-type: none">- 结合了矩阵分解和深度学习的优势。- 能够学习更复杂的交互关系。
LightFM	融合了矩阵分解和基于内容的特征。	<ul style="list-style-type: none">- 可以同时处理显式和隐式反馈数据。- 可以结合用户和物品特征。	<ul style="list-style-type: none">- 不太适用于处理高维稀疏特征。- 对特征工程敏感。	<ul style="list-style-type: none">- 能够充分利用不同类型的信息。- 可以结合多种反馈类型和特征。
贝叶斯个性化排序 (Bayesian Personalized Ranking, BPR)	一种成对学习排序模型，优化物品的排序。	<ul style="list-style-type: none">- 适用于处理隐式反馈数据。- 有效解决排序问题。	<ul style="list-style-type: none">- 不适用于处理显式反馈数据。- 对超参数敏感。	<ul style="list-style-type: none">- 优化推荐结果的排序。- 适用于推荐场景和排行任务。

- 1. 显示反馈 (Explicit Feedback) :** 显示反馈是指用户明确地对物品给出了评分、打分、喜欢或不喜欢等直接反馈信息。这种反馈信息直接表达了用户对物品的喜好或偏好，通常以具体的数值或标签形式呈现。例如，用户对电影给出的星级评分、对产品的打分、对歌曲的喜欢或不喜欢等都属于显示反馈。这种反馈信息可以直接用于训练和评估推荐系统。
- 2. 隐式反馈 (Implicit Feedback) :** 隐式反馈是指用户与物品之间的间接交互行为，这些行为暗示了用户的兴趣或喜好，但没有直接表达出来。隐式反馈通常是推断出来的，而不是用户明确提供的。例如，用户点击、浏览、购买、收藏、分享等行为都属于隐式反馈。用户对某个物品的浏览行为可能暗示了对该物品的兴趣，尽管用户没有直接给出评分。在隐式反馈中，通常使用交互的频率、持续时间、购买次数等信息来推断用户对物品的偏好。

区别:

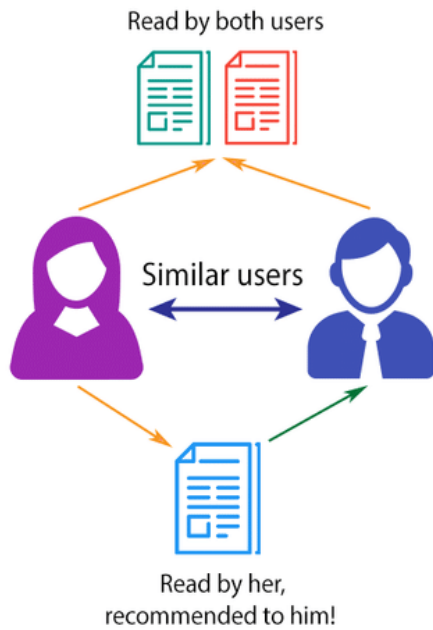
- 显示反馈是直接的用户反馈信息，用户明确地对物品给出了评分或喜好程度。
- 隐式反馈是间接的用户反馈信息，通过用户与物品的交互行为来推断用户对物品的兴趣或喜好程度。

Q6: What is the difference between Collaborative and Content based Recommender Systems?

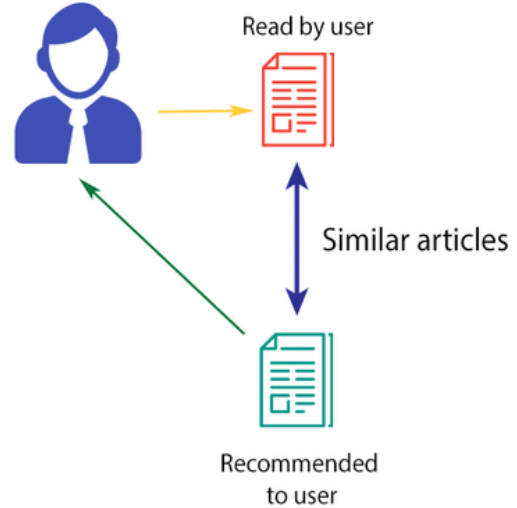
- **Collaborative** methods for recommender systems are methods that are based solely on the past interactions recorded between users and items to produce new recommendations.
- Unlike *collaborative* methods, **content-based** approaches use additional information about users and/or items.
- A real-world example of a company using **collaborative filtering** is *Last.fm*. They would create a 'station' of recommended songs by observing what bands and individual tracks the user has listened to on a regular basis and comparing those against the listening behavior of other users. This approach leverages the behavior of users.
- *Pandora* uses the **content-based** approach of recommender systems. It uses the properties of a song or artist to see a 'station' that plays music with similar properties. User feedback is used to refine the station's results, deemphasizing certain attributes when a user 'dislikes' a particular song and emphasizing other attributes when a user 'likes' a song.

方法	数据来源	特征利用	实例说明
协同过滤	用户与物品之间的交互行为，如购买历史、评分记录等	依赖用户之间的行为相似性或物品相似性	Last.fm 使用协同过滤来观察用户的音乐播放行为与其他用户之间的相似性进行推荐。
基于内容	用户与物品的交互行为 + 物品的属性和用户的个人信息	利用物品属性和用户个人信息进行推荐	Pandora 使用基于内容的方法，根据歌曲或艺术家的属性来创建播放电台，推荐相似属性的音乐。

COLLABORATIVE FILTERING



CONTENT-BASED FILTERING



Q7 How do you choose between User-Based and Item-Based Neighborhood Recommender System?

Accuracy

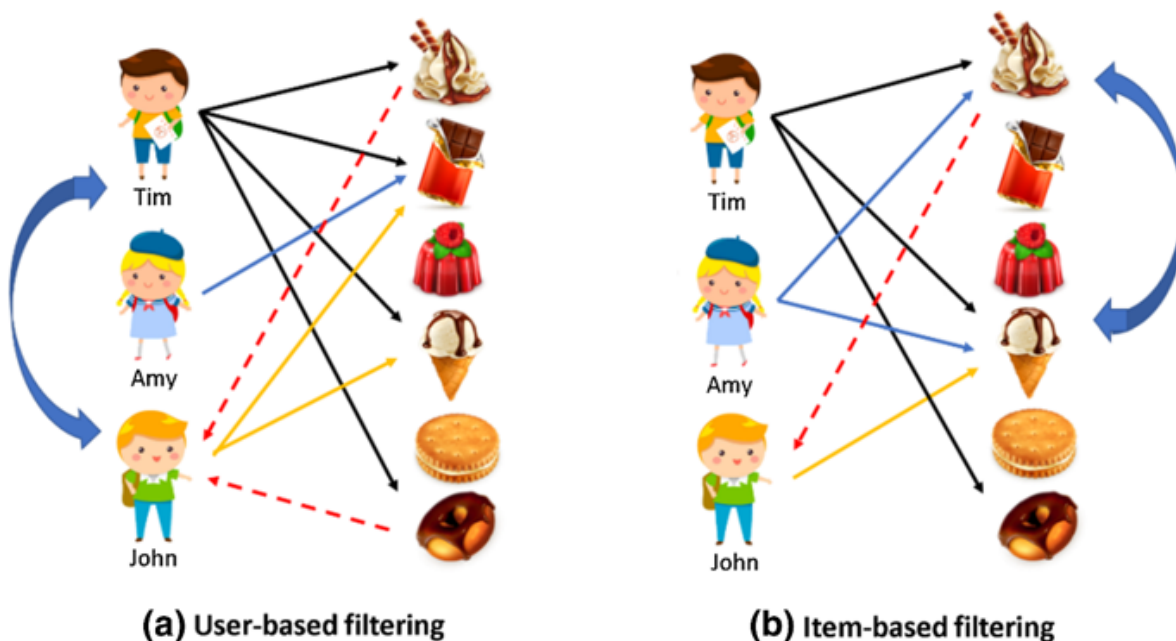
- The accuracy of *neighbourhood recommender* methods depends mostly on the ratio between the number of users and items in the system. The similarity between two users in **user-based** methods is normally obtained by comparing the ratings made by these users on the same items.
- An **item-based** method usually computes the similarity between two items by comparing ratings made by the same user on these items. A small number of high-confidence neighbours is by far preferable to a large number of neighbours for which the similarity weights are not trustable. In cases where the number of users is much greater than the number of items, such as large commercial systems like *Amazon*, item-based methods can therefore produce more accurate recommendations.

Efficiency

- The memory and computational efficiency of recommender systems also depends on the ratio between the number of users and items.

- When the number of users exceeds the number of items, as is most often the case, **item-based** recommendation approaches require much less memory and time to compute the similarity weights than **user-based** ones, making them more scalable.
- The time complexity of the online recommendation phase, which depends only on the number of available items and the maximum number of neighbours, is the same for *user-based* and *item-based* methods.

特征	User-Based 推荐系统	Item-Based 推荐系统
数据稀疏性	适用于密集的用户-物品交互数据，有足够数据计算用户相似性。	适用于数据稀疏的情况，物品的交互次数多于用户的交互次数。
数据可扩展性	当处理大量用户时，计算用户相似性可能会变得昂贵，不适合大规模用户群体。	当处理大量用户时，计算物品相似性较为可扩展。
数据分布性	当用户的偏好在不同物品间稳定且一致时，User-Based方法有效。	当用户的偏好在不同物品间多样且不一致时，Item-Based方法更为鲁棒。
冷启动问题	对于新用户，可能由于数据不足难以建立有意义的用户相似性。	对于新物品，Item-Based方法可以利用现有物品间的相似性较好地解决。
可解释性	推荐是基于用户相似性，从用户角度更具解释性和可理解性。	推荐是基于物品相似性，更注重物品特征，解释性略低。
实现复杂性	计算用户相似性可能需要复杂的数据结构和计算步骤，尤其对大量用户。	实现相对简单，计算较为简便，较少计算负担。



Q8 How do you maintain User Privacy when collecting Data for Recommendation Systems?

1. **Privacy at data collection time:** In these techniques, the data collection approach is modified so that individual ratings are not collected. Rather, distributed protocols or perturbation techniques are used to collect the data only in a *perturbed* way or in the *aggregate*. The advantage of such an approach is that users are assured that no single entity has access to their private data, at least in the exact form.
2. **Privacy at data publication time:** In most practical settings, a trusted entity has access to all the rating data it has collected over time. In such cases, the trusted entity might wish to publish the data to the broader technical community to enable further advancements in the field of collaborative filter, so in such cases, models like *k-anonymity* are used to preserve privacy. Typically, such methods use *group-based* anonymization techniques in which records belonging to groups of a minimum size become indistinguishable. This is achieved by carefully perturbing selected attributes of the data records so that one cannot join such records with publicly available information to exactly identify the subjects of the data records. Such systems are more common and have wider applicability than the first scenario.

用户可以通过应用提供对电影的喜好程度评分（例如1到5星）。为了保护用户的隐私，应用可以使用差分隐私技术，在收集数据时为每个用户的评分加入噪声。这样，用户的具体评分是扰动的，但整体趋势仍可用于生成推荐，同时保护用户的个人隐私。

考虑一个城市的出租车服务公司，它拥有每天数以千计的出租车行程数据，包括时间、地点和乘客ID。为了促进交通研究，该公司可能希望将出租车行程数据发布给学术研究者。然而，为了保护乘客隐私，他们可以使用“k-匿名性”方法，确保在发布的数据集中，每个乘客ID至少出现k次，使得个体在数据集中无法被精确地识别

“k-匿名性”是一种隐私保护技术，通过将具有相同属性值的数据记录组成群体，确保在数据发布时，每个群体中至少包含k个数据记录。通过这种方式，群体中的个体在数据集中是不可区分的。这意味着，在发布的数据集中，任何数据记录都不能被唯一地识别到特定的个体。

Q9 What is hybrid Recommender Systems?

Collaborative Filtering uses data on user behavior, such as ratings or clicks, to recommend items based on the preferences of similar users. Content-Based Filtering uses data on the features of the items, such as genre or topic, to recommend items based on the interests of the user.

One example of a hybrid recommender system is the Netflix recommendation system. Netflix uses collaborative filtering to suggest movies based on similar users' preferences, but also incorporates content-based filtering by suggesting titles based on the genre, actor, or director that the user has previously viewed.

The benefit of using a hybrid approach is that it can overcome the limitations of individual techniques by combining their strengths. For example, content-based systems may struggle to recommend new and unique items, whereas collaborative filtering can solve this problem by leveraging the behavior of similar users.

Q10 Explain the role of NoSQL databases in recommendation systems. How can NoSQL databases enhance the performance and scalability of the recall phase in a recommendation system? Provide examples of NoSQL databases that are commonly used in recommendation systems.

NoSQL databases play a critical role in recommendation systems, especially during the recall phase. The recall phase is responsible for generating a diverse set of candidate items to be recommended to users. NoSQL databases are leveraged to store and access the precomputed recall results efficiently. Here's how NoSQL databases enhance the performance and scalability of the recall phase:

1. **Fast Access:** NoSQL databases, such as key-value stores or column-family databases, provide fast access to the precomputed recall results. This ensures real-time response and enables quick retrieval of candidate items, meeting the time constraints of online recommendation systems.

2. **Scalability:** NoSQL databases are designed for horizontal scalability, allowing them to handle large volumes of data and high user traffic. As the amount of data and the number of users grow, NoSQL databases can seamlessly distribute the workload across multiple nodes, ensuring smooth operation and responsiveness.
3. **Flexible Data Model:** Recommendation systems often deal with diverse and heterogeneous data, such as user profiles, item features, and user-item interactions. NoSQL databases offer flexible data models, allowing easy storage and retrieval of various data types without the constraints of rigid schemas.
4. **Real-time Updates:** NoSQL databases can accommodate real-time updates, making them ideal for storing and managing dynamic data in recommendation systems. As user preferences and item properties change over time, NoSQL databases enable quick data updates, ensuring the freshness of the recall results.
5. **Multiple Recall Chains:** Recommendation systems often use multiple recall strategies to generate diverse sets of candidate items. NoSQL databases facilitate the storage and retrieval of these precomputed recall results for various recall chains, providing a comprehensive and diverse set of recommendations.

Common examples of NoSQL databases used in recommendation systems include Redis (key-value store), Apache Cassandra (column-family database), and MongoDB (document-oriented database). These databases have proven to be efficient and scalable solutions for storing and managing large-scale data in recommendation systems.

Overall, NoSQL databases are a crucial component in the recall phase of recommendation systems, offering fast access, scalability, flexibility, and real-time updates, which contribute to delivering personalized and timely recommendations to users.

推荐系统在召回阶段或者在线上推荐过程中，选择读取保存在NoSQL中的离线预先计算好的结果有以下几个主要原因：

1. **快速访问：** NoSQL数据库通常采用键值对存储方式，以及高效的数据结构和索引，使得数据的读取速度非常快。在召回阶段，推荐系统需要快速获取候选物品，NoSQL数据库能够满足这种实时性的需求。
2. **横向扩展：** NoSQL数据库具有良好的横向扩展性，能够在集群中分布式存储和处理数据。这使得推荐系统能够处理大规模数据和高并发请求，保持高可用性和性能。
3. **灵活的数据模型：** 推荐系统可能需要存储和处理不同类型的数据，包括用户信息、物品特征、历史交互等。NoSQL数据库支持灵活的数据模型，可以轻松地存储不同类型的数据，并支持半结构化和非结构化数据。

4. **数据实时更新：** 推荐系统需要不断更新和改进召回策略，根据用户的实时行为和反馈来进行推荐。NoSQL数据库能够方便地进行数据的实时插入、更新和删除，保持召回结果的实时性。
5. **多链路召回：** 推荐系统通常会采用多链路召回策略，使用不同的召回算法和特征来生成多个召回结果。保存这些召回结果在NoSQL数据库中，可以方便地管理和组合不同的召回链路。

Q11 Explain the difference between homepage recommendations and item detail page recommendations in a recommendation system

Homepage Recommendations:

- Homepage recommendations are more user-centric, emphasizing users' interests and behaviors.
- They are displayed on the main page when users enter the website or application, providing personalized content to attract users' attention and deliver valuable information.
- Homepage recommendations often involve multiple recall chains, using various algorithms and features to generate diverse sets of recommendations, ensuring coverage of users' diverse interests and needs.
- The primary objective of homepage recommendations is to engage users by offering appealing content and increase user activity and retention.

Item Detail Page Recommendations:

- Item detail page recommendations are more item-centric, focusing on item attributes and relevance.
- They are displayed on the page when users click into an item to view its details, recommending other items related or similar to the current one to increase user browsing duration and interaction depth.
- Detail page recommendations typically use item attributes and tags for recall and calculate item similarity to suggest relevant items.
- The primary objective of detail page recommendations is to enhance user browsing duration and conversion rate, encouraging users to explore more and engage in further interactions.

Question Follow-up:

How would you leverage different recommendation strategies to achieve the primary objectives of homepage and item detail page recommendations effectively?

Homepage Recommendations:

- We can employ collaborative filtering algorithms, content-based filtering, and hybrid approaches to generate diverse and personalized recommendations for the homepage.
- Utilizing multi-armed bandit or reinforcement learning techniques, we can dynamically balance exploration and exploitation to optimize user engagement.

1. **探索 (Exploration) :** 探索指尝试不同的动作或推荐策略, 以了解它们的表现和效果。在推荐系统中, 探索意味着向用户展示不同的推荐结果, 以便收集有关它们的反馈和效果信息。
2. **利用 (Exploitation) :** 利用指根据之前的探索结果和反馈, 选择最优的推荐策略或物品, 以向用户提供最可能引起用户兴趣的推荐。这是基于已知信息做出最优选择的过程。

- To handle the cold start problem for new users, we can incorporate popular or trending items in the recommendations.

Item Detail Page Recommendations:

- We can focus on item-item collaborative filtering to recommend similar items based on item attributes and user-item interactions.
- By using diversity-aware algorithms, we can ensure a variety of recommended items without compromising relevance.
- Real-time updates can be incorporated to handle dynamic user preferences and keep the recommendations fresh and relevant.

Q12 What are the different evaluation metrics for Recommender Systems?

1. Precision and Recall:

Formula:

$$\text{Precision} = \frac{\text{Number of relevant items recommended}}{\text{Total number of recommended items}}$$

$$\text{Recall} = \frac{\text{Number of relevant items recommended}}{\text{Total number of relevant items}}$$

Definition: Precision measures the proportion of recommended items that are relevant to the user, while recall measures the proportion of relevant items that are successfully recommended.

Characteristics:

- Precision focuses on the quality of recommended items, while recall focuses on the coverage of relevant items.
- They are complementary metrics, and optimizing one may negatively impact the other.

Pros:

- Easy to understand and interpret.
- Useful for binary relevance scenarios (e.g., click or no-click).

Cons:

- Doesn't consider the ranking of recommended items.
- Challenging to handle imbalanced datasets.

2. Mean Absolute Error (MAE):

Formula: $MAE = \frac{1}{N} \sum_{i=1}^N |r_i - \hat{r}_i|$

Definition: MAE calculates the absolute difference between predicted ratings (\hat{r}_i) and actual ratings (r_i) provided by users. It measures the average error in predicting user preferences.

Characteristics:

- Focuses on rating prediction accuracy.
- The lower the MAE, the better the performance.

Pros:

- Easy to interpret and explain.
- Resilient to outliers.

Cons:

- Treats all errors equally without considering the direction of the error.

3. Root Mean Squared Error (RMSE):

Formula: $RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (r_i - \hat{r}_i)^2}$

Definition: Similar to MAE, RMSE calculates the difference between predicted and actual ratings, but it penalizes larger errors more severely.

Characteristics:

- Focuses on the accuracy of rating predictions.
- Squaring the errors gives more weight to large errors.

Pros:

- Reflects the magnitude of prediction errors.
- Punishes larger errors more than MAE.

Cons:

- Sensitive to outliers.

4. Mean Average Precision (MAP):

Formula:
$$\text{MAP} = \frac{1}{|U|} \sum_{u=1}^{|U|} \frac{1}{\min(m, n_u)} \sum_{k=1}^{n_u} \text{Precision}@k$$

Definition: MAP measures the average precision across different users. It considers the ranking of recommended items and rewards systems that place relevant items higher in the list.

Characteristics:

- Focuses on ranking quality and relevance.
- Suitable for top-N recommendation scenarios.

Pros:

- Incorporates the ranking of recommended items.
- Provides a single value for overall performance.

Cons:

- Sensitive to the number of recommended items (n) and relevant items in the test set.

5. Normalized Discounted Cumulative Gain (NDCG):

Formula:
$$\text{NDCG} = \frac{\text{DCG}}{\text{IDCG}}$$

Definition: NDCG evaluates the ranking quality of recommended items. It takes into account both relevance and position of relevant items in the recommendation list.

Characteristics:

- Focuses on the quality of ranking and item relevance.
- Handles graded relevance (e.g., ratings) better than MAP.

Pros:

- Considers the position of relevant items in the ranking.
- Handles graded relevance.

Cons:

- Sensitive to the number of recommended items and relevant items.

NDCG Formula:
$$\text{NDCG} = \frac{\text{DCG}}{\text{IDCG}}$$

DCG (Discounted Cumulative Gain): DCG is a measure of the ranking quality of recommended items. It calculates the cumulative gain of the recommended items based on their relevance and position in the recommendation list.

Formula: $DCG = \sum_{i=1}^n \frac{rel_i}{\log_2(i+1)}$

where:

- rel_i is the relevance of the item at position i in the recommendation list (higher relevance values indicate higher relevance).
- n is the number of recommended items in the list.

The DCG formula discounts the relevance of items based on their position in the list. Items at higher positions have a higher contribution to DCG, but their contribution decreases logarithmically. This means that relevant items at higher positions are more important than those at lower positions.

IDCG (Ideal Discounted Cumulative Gain): IDCG is the maximum possible DCG for a given recommendation list. It represents the ideal ranking where all relevant items are placed at the top of the list.

Formula: $IDCG = \sum_{i=1}^k \frac{rel_{(i)}}{\log_2(i+1)}$

where:

- $rel_{(i)}$ is the relevance of the i -th most relevant item in the list.
- k is the total number of relevant items in the list.

IDCG is calculated by considering the relevance of relevant items in the ideal ranking. It captures the best possible DCG value that can be achieved if all relevant items are perfectly ranked at the top.

Normalized DCG (NDCG): NDCG is the ratio of DCG to IDCG, normalized to the range of $[0, 1]$. It measures the relative ranking quality of the recommendation list compared to the ideal ranking.

Formula: $NDCG = \frac{DCG}{IDCG}$

A higher NDCG value indicates a better ranking quality, with 1 representing a perfect ranking where all relevant items are ranked at the top.

NDCG is commonly used in recommendation systems, especially when dealing with graded relevance (e.g., ratings) and when the order of recommended items matters. It provides a more comprehensive evaluation of ranking quality and the relevance of recommended items compared to other metrics like Precision and Recall.

NDCG (Normalized Discounted Cumulative Gain) 是用来衡量推荐系统中推荐结果排序质量的指标。它考虑了推荐物品的相关性和在推荐列表中的位置。

首先，我们来解释DCG（折损累计增益）的计算。在推荐列表中，每个物品都有一个相关性的分数，分数越高表示越相关。DCG 就是把推荐列表中的每个物品的相关性分数加起来，并且根据它在列表中的位置进行折损。也就是说，排在前面的物品对 DCG 的贡献更大，而后面的物品对 DCG 的贡献逐渐减小。

接着，我们解释IDCG（理想折损累计增益）。IDCG 是一个理想的排名，它假设所有相关性最高的物品都排在推荐列表的前面。也就是说，IDCG 是在推荐列表中，把所有相关性最高的物品加起来，然后根据它们在列表中的位置进行折损。IDCG 反映了如果所有相关性最高的物品都被正确地排在前面，那么 DCG 的最大值是多少。最后，我们计算 NDCG（标准化折损累计增益）。NDCG 就是 DCG 除以 IDCG，并且将结果标准化到 $[0, 1]$ 的范围内。NDCG 衡量了推荐列表的排序质量相对于理想排名的优劣。NDCG 的值越高表示推荐列表的排序质量越好，1 表示所有相关性最高的物品都被正确地排在前面。

推荐系统中常用的评价指标可以分为 **线上业务评价指标** 和 **离线评价指标**。

线上业务评价指标更加关注推荐系统在实际业务中的效果。它包括转化类指标，如转化率（用户点击推荐后实际购买的比例）、点击率（用户点击推荐的比例）、GMV成交总额等等。另外，还有内容消费满意度指标，比如留存率（用户重复访问的比例）、停留时长（用户在推荐内容页停留的时间）、观看时长（用户观看推荐视频的时长）等等。这些指标直接反映了推荐系统对业务的贡献和用户的满意度。

离线评价指标则是在离线数据集上进行模型评估的指标，主要用于调参和算法比较。其中，最常用的指标是AUC (Area Under the Curve)，也有细分为AUC-ROC和AUC-PR。AUC-ROC是指分类器在预测正样本和负样本时，将正样本排在负样本前面的概率。AUC-PR是指根据预测结果计算精确率和召回率的曲线下面积。GAUC (Group AUC) 是对每个用户的AUC进行加权平均，可以考虑用户观看或点击次数作为权重。GAUC需要处理单个用户全是正样本或负样本的情况，通常需要过滤掉这些用户。

离线的时候，AUC和GAUC两个指标最好都关注。实践中，不要太刻意追求离线验证集的很高的AUC，太高的验证集的AUC可能表示模型过拟合到这个验证集了，线上效果可能会很差。一般离线的AUC可能在0.7~0.85之间就可以上线。

Q13 What is Matrix Factorization?

Matrix Factorization is a technique used to predict user preferences or item ratings in recommender systems. It involves breaking down a large matrix of user items into smaller matrices, representing latent factors that underlie the interactions between users and items. These latent factors could be anything, such as genre or director, in the case of movie ratings, or brand or category in e-commerce sites.

Matrix Factorization produces a low-dimensional representation of users and items that allows for better predictions of unknown entries in the matrix. By doing so, it helps to overcome the sparsity problem that is common in recommender systems where users only rate a few items.

Applications of Matrix Factorization in Recommender Systems:

- **Rating Prediction:** Matrix factorization predicts missing ratings in the user-item matrix, enabling personalized rating estimations for items users have not interacted with.

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- **Top-N Item Recommendation:** It generates personalized top-N item recommendations for users based on their historical preferences.
- **Personalized Ranking:** Matrix factorization can be combined with ranking algorithms to create personalized rankings for each user.
- **Implicit Feedback:** It can handle implicit feedback data (e.g., clicks) where explicit ratings are not available, making it suitable for various recommendation scenarios.
- **Cold Start Problem:** Matrix factorization helps with the cold start problem by making recommendations for new users or items with limited data.

Advantages:

- Handles large-scale, sparse data efficiently.
- Captures underlying user-item preferences.
- Provides personalized and accurate recommendations.
- Mitigates the cold start problem for new users/items.

Q14 What is Alternating Least Squares?

Alternating Least Squares (ALS) is an optimization algorithm commonly used in collaborative filtering-based matrix factorization models.

It is designed to factorize a large user-item interaction matrix into lower-dimensional matrices, representing users and items in a latent space.

The main idea behind ALS is to alternate between updating the user embeddings (latent factors) and the item embeddings while keeping the other fixed. This iterative process continues until convergence, where the factorized matrices approximate the original user-item interaction matrix.

Alternating Least Squares (交替最小二乘法) 是一种在协同过滤型的矩阵分解模型中常用的优化算法！

它的主要思想是交替地更新用户和物品的嵌入向量（也叫潜在因子），同时保持另一方不变。这个过程不断迭代，直到达到收敛，使得分解后的矩阵与原始的用户-物品交互矩阵近似相等。

ALS的目标是将一个大的用户-物品交互矩阵转化成低维度的用户和物品的矩阵

Q15 Can you explain the difference between implicit and explicit feedback?

Implicit feedback is feedback that is not given directly by the user, but rather is inferred based on the user's behavior. For instance, if a user frequently listens to a particular artist on a music streaming platform, that can be considered as implicit feedback as it indicates that the user likes that artist.

Explicit feedback is feedback that is directly given by the user. For instance, a user rating a product on e-commerce platform is considered as explicit feedback as it directly states the user's opinion about the product.

The main difference between the two is the level of user engagement and the amount of information available. Implicit feedback is generally passive and does not require any active input from the user. It is also often noisy and ambiguous, making it more difficult to interpret. Explicit feedback on the other hand, is more direct and explicit, making it easier to interpret and analyze.

Q16 Can you outline the steps to build a Recommender System?

1. **Define the problem:** Clearly define the objective of the recommender system and choose the type of recommender system that best suits the problem. For example, if the goal is to recommend products to users, a collaborative filtering-based approach might be suitable.
2. **Gather and preprocess the data:** Collect relevant data on users and items, along with any metadata. Clean the data, handle missing values, and perform feature engineering if needed.
3. **Split the data:** Divide the preprocessed data into training, validation, and test sets. The typical split ratio could be 70% for training, 20% for validation, and 10% for testing.
4. **Select appropriate metrics:** Decide on the evaluation metrics to measure the performance of the recommender system. Common metrics include precision, recall, AUC, and RMSE.
5. **Develop the model:** Choose and develop a suitable modeling approach based on the data split, the type of recommender system, and the chosen evaluation metrics. For example, matrix factorization using alternating least squares for collaborative

filtering.

6. **Train the model:** Train the selected model on the training data and validate its performance on the validation data. Fine-tune the hyperparameters to improve the model's performance.
7. **Assess model performance:** Test the model on the test data using the selected evaluation metrics. Analyze the results to identify the best-performing model for production.
8. **Deploy the model:** Deploy the chosen model for use in a production environment.
9. **Maintain the model:** Monitor the model's performance in production and periodically retrain it with new data to keep it up-to-date.
10. **Iterate and improve:** Continuously iterate on the entire process to enhance the recommender system's accuracy, efficiency, and user experience.

Q17 What are some potential reasons for the inconsistency between offline and online AUC in a recommender system?

Sample Leakage: The presence of future information in the offline training data may lead to overly optimistic model performance estimates. This can cause a discrepancy between online and offline AUC evaluations.

Solution: Implement a temporal data split by using historical interactions only up to a specific time point in the offline training data. In online evaluation, utilize interactions that occurred after that time point. This strategy prevents sample leakage and ensures data consistency between online and offline environments.

Data Distribution Disparities: Differences in data distribution between offline training and online recommendation can result in inconsistent model performance.

Solution: To address data distribution disparities, employ weighted sampling during offline training to match the online environment more closely. This allows the model to better adapt to real-world user behavior.

Feature Discrepancies: Variances in feature sets used during offline training and online recommendation can impede the model's ability to apply learned features effectively in the online environment.

Solution: Ensure the same feature set is used consistently during both offline training and online recommendation to maintain feature coherence and improve model performance.

Differences Between Online and Offline Environments: Real-time factors, such as user mood and current events, can influence online recommendations but are not considered during offline training.

Solution: Employ A/B testing and real-time monitoring to detect any drift in user behavior and make necessary adjustments to the model. This adaptive approach helps the model remain responsive to dynamic user preferences and ensures more consistent AUC evaluations between online and offline settings.

样本穿越 (Sample Leakage) : 在线下训练中, 可能会把未来的信息泄露到训练数据中, 导致模型过于乐观地估计了性能。这会导致线上评估与线下评估结果不一致。
解决方法: 确保在线下训练时只使用当前时间点之前的数据, 并在在线上评估时只使用当前时间点之后的数据。这样可以避免样本穿越问题, 使线上线下数据保持一致。

数据分布不一致: 在线下训练时使用的训练集和在线上推荐时用户行为数据的分布可能不同, 导致模型在线下和线上表现不一致。

解决方法: 确保在线下训练集中的用户行为数据与线上实际推荐时的数据分布尽可能相似。可以通过加权重采样、使用滚动窗口更新数据等方法来实现。

特征不一致: 在线下训练和在线上推荐时可能使用了不同的特征集, 导致模型学到的特征在线上无法有效应用。

解决方法: 确保在线下训练和在线上推荐时使用相同的特征集, 以保持特征的一致性。

在线上环境与离线环境的差异: 在线上推荐时, 用户行为可能会受到更多实时因素的影响, 如用户当前的情绪、环境等, 而在线下训练时通常只能考虑静态的历史数据。

Q18 How does the ranking model in the recommender system handle real-time updates and maintain up-to-date recommendations?

The ranking model in the recommender system employs the "wide & deep" approach. It operates in real-time and handles updates through a continuous process. Every 30 minutes, the system emits session labels that represent user behavior data collected during that time period. These session labels, along with the corresponding user behavior data and relevant features (e.g., user and item features), are dumped to cloud-based servers.

On the cloud servers, the model undergoes automatic training using the collected real-time data and offline data. The training process is designed to keep the model up-to-date and accurate. The frequency of model training can be adjusted based on business requirements and data update rates, typically occurring at regular intervals to ensure the model adapts to users' dynamic behavior and new data effectively.

Wide & Deep是什么？

Wide & Deep是一种混合型推荐模型，最初由Google提出并用于广告推荐。这个模型结合了线性模型（Wide部分）和深度神经网络模型（Deep部分），从而兼顾了广泛的特征表达和深度学习的能力。

Wide & Deep模型的主要思想是将线性模型和深度神经网络模型进行结合，以利用它们各自的优势。Wide部分是一个线性模型，用于学习广泛的特征组合，例如特征交叉，这可以帮助模型捕捉特征之间的关联性。Deep部分是一个深度神经网络模型，用于学习高层次的特征表达，这可以帮助模型发现更复杂的模式和特征表示。

在Wide & Deep模型中，输入数据首先被同时送入Wide部分和Deep部分。Wide部分处理输入数据并学习线性权重，然后将其输出与Deep部分的输出连接在一起。最终，连接后的输出被用于进行最终的预测。

Wide & Deep模型的优点在于它能够同时考虑广泛特征的线性关系和深层次的非线性关系。这使得模型可以适应各种不同类型的特征和数据，从而提高了推荐系统的性能和准确性。

排序模型的实时训练过程如何？

首先，推荐系统在线上会收集用户的行为数据，比如点击、购买、收藏等行为，这些行为数据构成了用户的会话（session）。每30分钟左右，系统会发射一个会话标签（session label），表示这段时间内用户的行为数据形成了一个会话。

然后，系统将这些会话标签和相应的用户行为数据，以及相关特征（比如用户特征、物品特征等）一起上传（dump）到云上的服务器。这样做的目的是将在线上产生的实时数据与其他离线数据进行整合，以便后续的模式训练使用。

在云上的服务器上，模型会自动进行训练。这意味着模型会根据收集到的实时数据和离线数据进行更新和优化，以保持模型的最新性和准确性。模型训练的频率可能会根据业务需求和数据更新的速度而调整，通常是每隔一段时间就进行一次训练，以确保模型能够及时地适应用户的行为变化和新数据的影响。

整个过程是实时的，因为会话标签和数据的收集是在用户实际进行行为时进行的，并且模型的训练也是根据最新的数据进行的。

Q19 Explain the differences between FM (Factorization Machines), DeepFM, and FFM (Field-aware Factorization Machines)

Factorization Machines (FM) are popular for their ability to efficiently capture low-order feature interactions in recommendation systems. They decompose feature interactions into latent factors, making them suitable for sparse data. However, FM is limited in

representing high-order interactions.

DeepFM, an extension of FM, combines the strengths of Factorization Machines with deep neural networks. By incorporating the deep component, DeepFM can capture complex and non-linear feature interactions, making it more flexible and powerful for modeling intricate user-item relationships.

Field-aware Factorization Machines (FFM) take the idea further by considering the interactions between features from different fields or categories. Instead of treating all features as belonging to the same field, FFM groups features by fields and learns interactions separately for each field. This enables FFM to capture cross-field feature interactions, which can be particularly beneficial in certain recommendation scenarios.

Factorization Machines (FM):

- 特点: FM主要用于有效地捕捉推荐系统中的低阶特征交互。
- 优点: 适用于处理稀疏数据, 通过将特征交互分解为潜在因子。
- 改进点: 可以通过引入更多特征工程来提高模型性能, 例如组合特征或引入非线性特征。

DeepFM:

- 特点: DeepFM是对FM的扩展, 结合了Factorization Machines和深度神经网络。
- 优点: 捕捉复杂的非线性特征交互, 建模复杂的用户-物品关系。
- 改进点: 相比FM, 模型复杂度增加, 需要更多计算资源和时间, 可以采用正则化技术或dropout层来避免过拟合。

Field-aware Factorization Machines (FFM):

- 特点: FFM将特征按照字段或类别分组, 单独学习每个字段的交互。
- 优点: 捕捉跨字段的特征交互, 在某些推荐场景中特别有益。
- 改进点: 需要更多资源, 可以考虑特征选择或降维技术来减少特征维度。

例子: 假设在一个电商推荐系统中, 我们有用户和商品两个字段的特征, 其中用户特征包括年龄、性别等信息, 商品特征包括价格、类别等信息。

- 使用FM时, 我们只能考虑用户特征和商品特征之间的低阶交互, 例如用户年龄和商品价格之间的相关性。
- 在DeepFM中, 我们可以通过深度神经网络学习到用户特征和商品特征之间的更复杂的非线性交互, 例如用户的购买行为和商品类别之间的关系。
- 而在FFM中, 我们可以将用户特征和商品特征按照字段分组, 例如将所有用户特征归为一组, 所有商品特征归为一组, 从而捕捉到跨字段的特征交互, 例如用户的购买历史和商品类别之间的关系。

Q20 Write FM Training Pseudocode

```
1  Input:
2  - X: 特征矩阵, 大小为  $m \times n$ , 其中  $m$  表示样本数,  $n$  表示特征数
3  - y: 目标变量, 大小为  $m \times 1$ , 表示每个样本的标签
4  - k: 潜在因子的维度
5
6  Parameters:
7  - learning_rate: 学习率
8  - num_iterations: 迭代次数
9  - regularization: 正则化项系数
10
11 Initialize:
12 - V: 潜在因子矩阵, 大小为  $n \times k$ , 其中  $V[i][j]$  表示第  $i$  个特征的第  $j$  个
    潜在因子
13
14 Repeat for num_iterations:
15     for each sample in X:
16         Compute the first-order interaction term:
17         linear_term = dot_product(sample, V) #  $(1 \times n) * (n \times$ 
             $k) = (1 \times k)$ 
18
19         Compute the second-order interaction term:
20         interactions = 0
21         for i in range(n):
22             for j in range(i+1, n):
23                 interactions +=  $V[i] * V[j] * (sample[i] * sample[j])$ 
24         quadratic_term =  $0.5 * interactions$ 
25
26         Compute the predicted score:
27         score = linear_term + quadratic_term
28
29         Compute the loss:
30         loss = log_loss(y, sigmoid(score))
31
32         Compute the gradients for V:
33         linear_gradient = sigmoid(score) - y
34         quadratic_gradient = sigmoid(score) * sample -
            regularization * V
35
36         Update V:
37          $V -= learning\_rate * (linear\_gradient * sample + quadratic\_gradient)$ 
38
39 Output:
40 - V: 训练后得到的潜在因子矩阵, 可以用于预测和推荐
```

Common Concepts in Recommendation Systems:

- 1. Personalized and Non-Personalized Recommendations:** Personalized recommendations are tailored to individual users, providing unique recommendations based on their preferences and behavior (one-to-one). Non-personalized recommendations, on the other hand, are generic and not user-specific, often based on overall popularity or global trends (one-to-many).
- 2. Exploration and Exploitation:** Exploration refers to trying out new and potentially relevant items to gather information about user preferences. Exploitation involves recommending items that are already known to be of interest to users based on historical data and feedback.
- 3. Homepage Recommendations:** Recommendations presented on the main page of a website or app to attract users' attention and increase engagement. Homepage recommendations typically focus on personalized content to cater to individual users' interests.
- 4. Item Detail Page Recommendations:** Recommendations displayed on a page when users view the details of a specific item. The goal is to suggest other relevant or similar items to enhance user browsing duration and interaction depth.
- 5. Offline (Batch) Recommendations and Real-time Recommendations:** Offline recommendations involve precomputing recommendation results and storing them in a memory-based NoSQL database for quick access when users request recommendations. Real-time recommendations, on the other hand, dynamically generate recommendations on the fly based on user interactions and preferences.
- 6. Recall, Ranking, and Re-ranking Phases:** The recommendation process can be divided into these three stages. Recall involves generating a set of candidate items for recommendation. Ranking involves sorting the candidates based on predicted relevance. Re-ranking is the process of fine-tuning the ranking based on business rules, strategies, or models.
- 7. Cold Start Problem:** The challenge of recommending items to new users or items with limited historical data, where the system lacks sufficient information to make accurate personalized recommendations.
- 8. Long Tail:** Refers to the large number of niche or less popular items that collectively have a significant impact on user engagement and revenue.

9. **User-Item Interaction Data:** Historical data that captures user behavior, such as clicks, purchases, ratings, and other interactions with items, used to understand user preferences and generate recommendations.
10. **Multi-Armed Bandit:** A decision-making problem that involves balancing exploration of different actions (recommendation strategies) and exploitation of known effective ones to optimize user engagement.