# Point-process based representation learning for Electronic Health Records

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Abstract—It is very well accepted that missingness in electronic health records (EHRs) are not at random which is regarded as informative missingness. The clinician's decision on when to observe lab tests over time can be modeled using point processes. We propose a novel framework based on neural point process to analyze laboratory tests of ICU patients. This framework can take into account additional information for better characterization of conditional intensity function (CIF) as well as better accuracy in prediction of future timestamp and labels.

Index Terms— Enter keywords or phrases in alphabetical order, separated by commas. For a list of suggested keywords, send a blank e-mail to keywords@ieee.org or visit the site.

#### I. INTRODUCTION

Writing an effective abstract is an indispensable part of any form of research, since it that can motivate the audience to read follow the rest of the text. In this task, I have analyzed five abstracts from the field of artificial intelligence (AI). The table in section 2 shows a summary of the most important aspects of the analyzed abstracts.

#### II. BACKGROUND

Temporal point process Neural temporal point process Handling irregular sampling

# III. RELATED WORKS IV. PROPOSED MODEL

Event sequence data consists of N sequences  $\{\mathcal{S}_i\}_{j=1}^N$ , where each sequence  $\mathcal{S}_i$  is a series of  $L_i$  events  $\{(t_j,e_j)\}_{j=1}^{L_i}$ . Here,  $e_j$  represents events that could be independent our mutually exclusive occurring at  $t_i$ .

In addition to the event data, we might have additional

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information  $\{\mathcal{D}_i\}_{i=1}^N$ . Suppose that each state is represented as  $\{(t_k,v_k,m_k)\}_{k=1}^N$ , consisting of a time value  $t_k\in\mathbb{R}^+$ , an observed value  $z_k\in\mathbb{R}$  and a modality indicator  $m_k\in\{1,...,M\}$ .

### A. Event Encoder

We use a similar transformer architecture [thp] for encoding events. The advantage of the attention mechanism is that it discards recurrent architecture. it is necessary to include temporal information. Similar to the original positional encoding [vaswani], we use a temporal encoding procedure:

$$[z(t_j)]_k = \begin{cases} \sin\left(\frac{t_j}{\mathcal{T}^{(k-1)/d_t}}\right) & \text{if } k \text{ is odd} \\ \sin\left(\frac{t_j}{\mathcal{T}^{k/d_t}}\right) & \text{if } k \text{ is even} \end{cases}$$
(1)

Here,  $d_t \in \mathbb{N}$  is the dimensionality of encoded timestamp and  $z \in \mathbb{R}^{d_t}$  is the embeding vector of timestamp.

Each event mark  $e_j$  is projected to a sparse binary vector representation. We add an embedding layer to achieve a more compact and efficient representation emb. Here, w and b are weights and biases of the embedding layer which can be learned during network training.

While previous works suggested adding temporal encoding to the embedded events, we propose to concatenate these two vectors. The effectiveness of this concatenation is further investigated in the results.

$$x_{emb} = [y(k_j), z(t_j)] \tag{2}$$

Now that the  $x_{emb}$  is ready for encoding, it is encoded through a standard transformer encoder with multiple layers and attention heads.

$$x_{enc} = TE(x_{emb}) \tag{3}$$

## B. State Encoder

Here, we propose a method to incorporate additional information for a better representation. In healthcare, much data is available from different modalities such as vital signs and laboratory values.

Similar to [setF], we use an attention-based aggregation approach for encoding all additional information. Each side information  $(t_k, v_k, m_k)$  can be represented by  $s_k = (z(t_k), v_k, m_k)$ . we define attention  $a(S_k, s_k)$ 

We define  $S_p$  to be the set of the first p available information. The goal is to calculate  $a(S_p, s_k), k \leq p$  that is the

relevance of k-th observation  $s_k$  to the first p observed values  $S_p$ . This is achieved by computing an embedding of the set elements using a smaller set functions f', and projecting the concatenation of the set representation and the individual set element into d-dimensional space:

$$f'(S_p) = g'\left(\frac{1}{p}\sum_{s_k \in S_p} h'_{\theta}(s_k)\right)$$
$$K_p = [f'(S_p), s_p]^T W^K$$

Furthermore, we define a query vector  $w^q \in \mathbb{R}^d$ , which allows the model to summarize different aspects of the dataset via

$$e_p = \frac{K_p.w^q}{\sqrt{d}}$$

Now, the desired attention can be computed as follows:

$$a(S_p, s_k) = \frac{\exp(e_p)}{\sum_{k \le p} \exp(e_k)}$$

Finally, we compute a weighted aggregation of set elements:

$$f(S_p) = g_{\psi} \left( \sum_{s_k \in S_p} a(S_p, s_k) h_{\theta}(s_k) \right)$$

Without loss of generality, we can consider multiple heads by adding an additional dimension to keys and queries.

All formulas are:

$$\begin{cases} f'(\mathcal{S}_p) = g'\left(\frac{1}{p}\sum_{s_k \in \mathcal{S}_p} h'_{\theta}(s_k)\right) \\ K_p = [f'(\mathcal{S}_p), s_p]^T W^K \\ e_p = \frac{K_p \cdot w^q}{\sqrt{d}} \\ a(\mathcal{S}_p, s_k) = \frac{\exp(e_p)}{\sum_{k \le p} \exp(e_k)} \\ f(\mathcal{S}_p) = \sum_{s_k \in \mathcal{S}_p} a(\mathcal{S}_p, s_k) h_{\theta}(s_k) \\ z_p = g_{\psi} (f(\mathcal{S}_p)) \end{cases}$$

## C. Mark and Time Decoder

by concatenating encoded events  $x_{enc}$  and additional information  $f'(\mathcal{S}_p)$ , we can predict next marks and times as follows:

$$\hat{e}_{j+1} = MLP([x_j, f'(\mathcal{S}_j)]) \tag{4}$$

$$\hat{t}_{j+1} = MLP([x_j, f'(\mathcal{S}_j)]) \tag{5}$$

$$\mathcal{L}_{mark} = \tag{6}$$

$$\mathcal{L}_{time} = \sum_{j=1}^{L-1} \left( (\hat{t}_{j+1} - t_j) - (t_{j+1} - t_j) \right)^2 \tag{7}$$

#### D. Event Decoder

Once we obtain a representation of a patient using embedded events and states, we can try to parameterize conditional intensity functions (CIFs) of the events.

In neural point process literature, many approaches have been propose to decode either conditional or cumulative intensity function.

$$\lambda_k(t|\mathcal{H}_t) = f_k \left( \alpha_k \frac{t - t_j}{t_j} + \mathbf{w}_k^T \mathbf{x}_{enc}(t_j) + \mathbf{y}_k^T \mathbf{s}_{enc}(t_j) + b_k \right)$$
(8)

$$\lambda_k(t|\mathcal{H}_t) = f_k \left( \alpha_k \frac{t - t_j}{t_j} + \mathbf{w}_k^T \mathbf{x}_{enc}(t_j) + b_k \right)$$
 (9)

## V. EXPERIMENTS

#### **Datasets**

### Physionet 2019 Sepsis Early Prediction Challenge (P19).

This dataset contains clinical data of about 40k patients in ICU. Clinical data consist of demographics, vital signs and laboratory values as well as sepsis label in a one-hour time grid. Our objective is to predict the timestamp of next lab sampling events as well as measured variables (event marks) given the patient history.

**MIMIC-IV** (*M4*). We selected Medical Information Mart for Intensive Care (MIMIC) IV [1], which is a real-world clinical database comprising health data relating to over 40,000 patients admitted to ICU at the Beth Israel Deaconess Medical Center.

**Synthea** (*Syn*). We used the Synthea simulator (Walonoski et al., 2018) which generates patient-level EHRs using human expert curated Markov processes. Here, we reused the already processed version of this data by Edgauard.

**Stackoverflow** (SO). StackOverflow is a questionanswering website. The website rewards users with badges to promote engagement in the community, and the same badge can be rewarded multiple times to the same user. We collect data in a two-year period, and we treat each user's reward history as a sequence. Each event in the sequence signifies receipt of a particular medal.

#### Scenarios

To investigate the effectiveness of the proposed method, we consider three input scenarios (TE, DA, TE+DA) as well as three loss functions (next event, CIF, next event+CIF) which would result in nine scenarios.

The first series of experiments are conducted to investigate the advantage of encoding additional information for paramterization of intensity functions. We consider seven scenarios: Here, the baseline models

To show the effectiveness of time concatenation we report.

## **Baselines**

we use NEURALTPP that is already developed pipeline by as they already considered a lot of combinations.

#### Metrics

We report the weighted AUPRC, AUROC of next predicted event as well as root mean square error (RMSE) of next measurement interval. For evaluating the goodness of fit for the parameterized point process, we report normalized negative likelihood normalized by number of ocurred event (NLL/events). Furthermore, we can also evaluate the learned representation of each patient to predict the sepsis label in a binary classification task.

## VI. RESULTS AND DISCUSSION

TABLE I UNSUPERVISED LEARNING

Dataset	Model		next mark		next time	CIFs
	module	loss	AUPRC	AUROC	RMSE	NLL
p12	TE	MC	23.98	23.98	23.98	23.98
		ML	23.98	23.98	23.98	23.98
		SHPMARK	23.98	23.98	23.98	23.98
	TEDA	MC	23.98	23.98	23.98	23.98
		ML	23.98	23.98	23.98	23.98
		SHPMARK	23.98	23.98	23.98	23.98
p19	TE	MC	23.98	23.98	23.98	23.98
		ML	23.98	23.98	23.98	23.98
		SHPMARK	23.98	23.98	23.98	23.98
	TEDA	MC	23.98	23.98	23.98	23.98
		ML	23.98	23.98	23.98	23.98
		SHPMARK	23.98	23.98	23.98	23.98

TABLE II TRANSFER LEARNINGS

model			p12		p19	
module	loss	freezed	AUPRC	AUROC	AUPRC	AUROC
TE	MC		23.98	23.98	23.98	23.98
	ML		23.98	23.98	23.98	23.98
	SHPMARK		23.98	23.98	23.98	23.98
TEDA	MC		23.98	23.98	23.98	23.98
	ML		23.98	23.98	23.98	23.98
	SHPMARK		23.98	23.98	23.98	23.98

#### A. Next event prediction

using CIF does not seem to improve the next mark and time prediction. this is new insight as previous studies has claimed this loss to be useful.

#### B. Learned representation

using CIF loss function leads to a better representation for sepsis.

## C. Likelihood estimation

Although CIF does not imporve mark prediction, it has led to better representation of patient for downstream task such as sepsis prediction.

In addition, we can interprete some of learned CIF patterns. explain the effect of time concatenation in SO dataset

#### D. Model interpretability

one advantage of proposed method is use of attention mechanisms in both event and state encoder.

tsne of learned representation. 4 modes:

• (DA,TE)-¿(Mark, CIF) attention of DA for sepsis prediction attention matrix of events for SO dataset

# VII. CONCLUSION VIII. INTRODUCTION

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Define abbreviations and acronyms the first time they are used in the text, even after they have already been defined in the abstract. Abbreviations such as IEEE, SI, ac, and dc do not have to be defined. Abbreviations that incorporate periods should not have spaces: write "C.N.R.S.," not "C. N. R. S." Do not use abbreviations in the title unless they are unavoidable (for example, "IEEE" in the title of this article).

#### B. Other Recommendations

Use one space after periods and colons. Hyphenate complex modifiers: "zero-field-cooled magnetization." Avoid dangling participles, such as, "Using (10), the potential was calculated." [It is not clear who or what used (10).] Write instead, "The potential was calculated by using (10)," or "Using (10), we calculated the potential."

Use a zero before decimal points: "0.25," not ".25." Use "cm³," not "cc." Indicate sample dimensions as "0.1 cm  $\times$  0.2 cm," not "0.1  $\times$  0.2 cm²." The abbreviation for "seconds" is "s," not "sec." Use "Wb/m²" or "webers per square meter," not "webers/m²." When expressing a range of values, write "7 to 9" or "7–9," not "7 $\sim$ 9."

A parenthetical statement at the end of a sentence is punctuated outside of the closing parenthesis (like this). (A parenthetical sentence is punctuated within the parentheses.) In American English, periods and commas are within quotation marks, like "this period." Other punctuation is "outside"! Avoid contractions; for example, write "do not" instead of

"don't." The serial comma is preferred: "A, B, and C" instead of "A, B and C."

If you wish, you may write in the first person singular or plural and use the active voice ("I observed that ..." or "We observed that ..." instead of "It was observed that ..."). Remember to check spelling. If your native language is not English, please get a native English-speaking colleague to carefully proofread your paper.

Try not to use too many typefaces in the same article. You're writing scholarly papers, not ransom notes. Also please remember that MathJax can't handle really weird typefaces.

### C. Equations

Number equations consecutively with equation numbers in parentheses flush with the right margin, as in (10). To make your equations more compact, you may use the solidus ( / ), the exp function, or appropriate exponents. Use parentheses to avoid ambiguities in denominators. Punctuate equations when they are part of a sentence, as in

$$E = mc^2. (10)$$

Be sure that the symbols in your equation have been defined before the equation appears or immediately following. Italicize symbols (T might refer to temperature, but T is the unit tesla). Refer to "(10)," not "Eq. (10)" or "equation (10)," except at the beginning of a sentence: "Equation (10) is . . . ."

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Please use "soft" (e.g., \eqref{Eq}) cross references instead of "hard" references (e.g., (1)). That will make it possible to combine sections, add equations, or change the order of figures or citations without having to go through the file line by line.

Please don't use the {eqnarray} equation environment. Use {align} or {IEEEeqnarray} instead. The {eqnarray} environment leaves unsightly spaces around relation symbols.

Please note that the {subequations} environment in LATEX will increment the main equation counter even when there are no equation numbers displayed. If you forget that, you might write an article in which the equation numbers skip from (17) to (20), causing the copy editors to wonder if you've discovered a new method of counting.

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If you are submitting your paper to a colorized journal, you can use the following two lines at the start of the article to ensure its appearance resembles the final copy:

\documentclass[journal,twoside,web]{ieeecolor}
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#### IX. UNITS

Use either SI (MKS) or CGS as primary units. (SI units are strongly encouraged.) English units may be used as secondary units (in parentheses). This applies to papers in data storage. For example, write "15 Gb/cm² (100 Gb/in²)." An exception is when English units are used as identifiers in trade, such as "3½-in disk drive." Avoid combining SI and CGS units, such as current in amperes and magnetic field in oersteds. This often leads to confusion because equations do not balance dimensionally. If you must use mixed units, clearly state the units for each quantity in an equation.

The SI unit for magnetic field strength H is A/m. However, if you wish to use units of T, either refer to magnetic flux density B or magnetic field strength symbolized as  $\mu_0H$ . Use the center dot to separate compound units, e.g., "A·m<sup>2</sup>."

#### X. SOME COMMON MISTAKES

The word "data" is plural, not singular. The subscript for the permeability of vacuum  $\mu_0$  is zero, not a lowercase letter "o." The term for residual magnetization is "remanence"; the adjective is "remanent"; do not write "remnance" or "remnant." Use the word "micrometer" instead of "micron." A graph within a graph is an "inset," not an "insert." The word "alternatively" is preferred to the word "alternately" (unless you really mean something that alternates). Use the word "whereas" instead of "while" (unless you are referring to simultaneous events). Do not use the word "essentially" to mean "approximately" or "effectively." Do not use the word "issue" as a euphemism for "problem." When compositions are not specified, separate chemical symbols by en-dashes; for example, "NiMn" indicates the intermetallic compound Ni<sub>0.5</sub>Mn<sub>0.5</sub> whereas "Ni-Mn" indicates an alloy of some composition  $Ni_xMn_{1-x}$ .

Be aware of the different meanings of the homophones "affect" (usually a verb) and "effect" (usually a noun), "complement" and "compliment," "discreet" and "discrete," "principal" (e.g., "principal investigator") and "principle" (e.g., "principle of measurement"). Do not confuse "imply" and "infer."

Prefixes such as "non," "sub," "micro," "multi," and "ultra" are not independent words; they should be joined to the words they modify, usually without a hyphen. There is no period after the "et" in the Latin abbreviation "et al." (it is also italicized). The abbreviation "i.e.," means "that is," and the abbreviation "e.g.," means "for example" (these abbreviations are not italicized).

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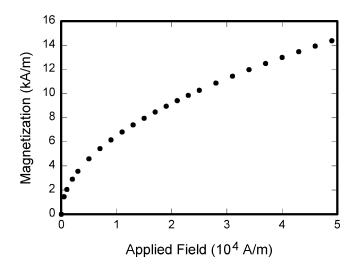


Fig. 1. Magnetization as a function of applied field. It is good practice to explain the significance of the figure in the caption.

## XI. GUIDELINES FOR GRAPHICS PREPARATION AND SUBMISSION

## A. Types of Graphics

The following list outlines the different types of graphics published in IEEE journals. They are categorized based on their construction, and use of color/shades of gray:

- 1) Color/Grayscale figures: Figures that are meant to appear in color, or shades of black/gray. Such figures may include photographs, illustrations, multicolor graphs, and flowcharts.
- 2) Line Art figures: Figures that are composed of only black lines and shapes. These figures should have no shades or halftones of gray, only black and white.
- *3) Author photos:* Head and shoulders shots of authors that appear at the end of our papers.
- 4) Tables: Data charts which are typically black and white, but sometimes include color.

## B. Multipart figures

Figures compiled of more than one sub-figure presented side-by-side, or stacked. If a multipart figure is made up of multiple figure types (one part is lineart, and another is grayscale or color) the figure should meet the stricter guidelines.

#### C. File Formats For Graphics

Format and save your graphics using a suitable graphics processing program that will allow you to create the images as PostScript (PS), Encapsulated PostScript (.EPS), Tagged Image File Format (.TIFF), Portable Document Format (.PDF), Portable Network Graphics (.PNG), or Metapost (.MPS), sizes them, and adjusts the resolution settings. When submitting your final paper, your graphics should all be submitted individually in one of these formats along with the manuscript.

TABLE III
UNITS FOR MAGNETIC PROPERTIES

Symbol	Quantity	Conversion from Gaussian and
		CGS EMU to SI <sup>a</sup>
Φ	magnetic flux	$1 \text{ Mx} \rightarrow 10^{-8} \text{ Wb} = 10^{-8} \text{ V} \cdot \text{s}$
B	magnetic flux density,	$1 \text{ G} \rightarrow 10^{-4} \text{ T} = 10^{-4} \text{ Wb/m}^2$
	magnetic induction	
H	magnetic field strength	1 Oe $\to 10^3/(4\pi)$ A/m
m	magnetic moment	1  erg/G = 1  emu
		$\rightarrow 10^{-3} \text{ A} \cdot \text{m}^2 = 10^{-3} \text{ J/T}$
M	magnetization	$1 \text{ erg/(G} \cdot \text{cm}^3) = 1 \text{ emu/cm}^3$
		$\rightarrow 10^3 \text{ A/m}$
$4\pi M$	magnetization	$1 \text{ G} \to 10^3/(4\pi) \text{ A/m}$
$\sigma$	specific magnetization	$1 \operatorname{erg}/(G \cdot g) = 1 \operatorname{emu/g} \rightarrow 1$
		A·m <sup>2</sup> /kg
j	magnetic dipole	1  erg/G = 1  emu
	moment	$\rightarrow 4\pi \times 10^{-10} \text{ Wb·m}$
J	magnetic polarization	$1 \text{ erg/(G} \cdot \text{cm}^3) = 1 \text{ emu/cm}^3$
		$\rightarrow 4\pi \times 10^{-4} \text{ T}$
$\chi, \kappa$	susceptibility	$1 \rightarrow 4\pi$
$\chi_{\rho}$	mass susceptibility	$1 \text{ cm}^3/\text{g} \to 4\pi \times 10^{-3} \text{ m}^3/\text{kg}$
$\mu$	permeability	$1 \rightarrow 4\pi \times 10^{-7} \text{ H/m}$
		$= 4\pi \times 10^{-7} \text{ Wb/(A·m)}$
$\mu_r$	relative permeability	$\mu  ightarrow \mu_r$
w, W	energy density	$1 \text{ erg/cm}^3 \rightarrow 10^{-1} \text{ J/m}^3$
N, D	demagnetizing factor	$1 \rightarrow 1/(4\pi)$

Vertical lines are optional in tables. Statements that serve as captions for the entire table do not need footnote letters.

<sup>a</sup>Gaussian units are the same as cg emu for magnetostatics; Mx = maxwell, G = gauss, Oe = Oersted; Ce = We = Oersted; Ce =

## D. Sizing of Graphics

Most charts, graphs, and tables are one column wide (3.5 inches/88 millimeters/21 picas) or page wide (7.16 inches/181 millimeters/43 picas). The maximum depth a graphic can be is 8.5 inches (216 millimeters/54 picas). When choosing the depth of a graphic, please allow space for a caption. Figures can be sized between column and page widths if the author chooses, however it is recommended that figures are not sized less than column width unless when necessary.

There is currently one publication with column measurements that do not coincide with those listed above. Proceedings of the IEEE has a column measurement of 3.25 inches (82.5 millimeters/19.5 picas).

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## E. Resolution

The proper resolution of your figures will depend on the type of figure it is as defined in the "Types of Figures" section. Author photographs, color, and grayscale figures should be at least 300dpi. Line art, including tables should be a minimum of 600dpi.

#### F. Vector Art

In order to preserve the figures' integrity across multiple computer platforms, we accept files in the following formats: .EPS/.PDF/.PS. All fonts must be embedded or text converted to outlines in order to achieve the best-quality results.

### G. Color Space

The term color space refers to the entire sum of colors that can be represented within the said medium. For our purposes, the three main color spaces are Grayscale, RGB (red/green/blue) and CMYK (cyan/magenta/yellow/black). RGB is generally used with on-screen graphics, whereas CMYK is used for printing purposes.

All color figures should be generated in RGB or CMYK color space. Grayscale images should be submitted in Grayscale color space. Line art may be provided in grayscale OR bitmap colorspace. Note that "bitmap colorspace" and "bitmap file format" are not the same thing. When bitmap color space is selected, .TIF/.TIFF/.PNG are the recommended file formats.

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When preparing your graphics IEEE suggests that you use of one of the following Open Type fonts: Times New Roman, Helvetica, Arial, Cambria, and Symbol. If you are supplying EPS, PS, or PDF files all fonts must be embedded. Some fonts may only be native to your operating system; without the fonts embedded, parts of the graphic may be distorted or missing.

A safe option when finalizing your figures is to strip out the fonts before you save the files, creating "outline" type. This converts fonts to artwork what will appear uniformly on any screen.

### I. Using Labels Within Figures

1) Figure Axis labels: Figure axis labels are often a source of confusion. Use words rather than symbols. As an example, write the quantity "Magnetization," or "Magnetization M," not just "M." Put units in parentheses. Do not label axes only with units. As in Fig. 1, for example, write "Magnetization (A/m)" or "Magnetization (A·m $^{-1}$ )," not just "A/m." Do not label axes with a ratio of quantities and units. For example, write "Temperature (K)," not "Temperature/K."

Multipliers can be especially confusing. Write "Magnetization (kA/m)" or "Magnetization ( $10^3$  A/m)." Do not write "Magnetization (A/m)  $\times$  1000" because the reader would not know whether the top axis label in Fig. 1 meant 16000 A/m or 0.016 A/m. Figure labels should be legible, approximately 8 to 10 point type.

2) Subfigure Labels in Multipart Figures and Tables: Multipart figures should be combined and labeled before final submission. Labels should appear centered below each subfigure in 8 point Times New Roman font in the format of (a) (b) (c).

## J. File Naming

Figures (line artwork or photographs) should be named starting with the first 5 letters of the author's last name. The next characters in the filename should be the number that represents the sequential location of this image in your article. For example, in author "Anderson's" paper, the first three figures would be named ander1.tif, ander2.tif, and ander3.ps.

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- Example when using et al.: See [34].

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Mr. Author's awards and honors include the Frew Fellowship (Australian Academy of Science), the I. I. Rabi Prize (APS), the European Frequency and Time Forum Award, the Carl Zeiss Research Award, the William F. Meggers Award and the Adolph Lomb Medal (OSA).