

Vector Spaces

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Abstract

I present a formalisation of basic linear algebra based completely on locales, building off HOL-Algebra. It includes the following:

1. basic definitions: linear combinations, span, linear independence
2. linear transformations
3. interpretation of function spaces as vector spaces
4. direct sum of vector spaces, sum of subspaces
5. the replacement theorem
6. existence of bases in finite-dimensional vector spaces, definition of dimension
7. rank-nullity theorem.

Note that some concepts are actually defined and proved for modules as they also apply there.

In the process, I also prove some basic facts about rings, modules, and fields, as well as finite sums in monoids/modules.

Note that infinite-dimensional vector spaces are supported, but dimension is only supported for finite-dimensional vector spaces.

The proofs are standard; the proofs of the replacement theorem and rank-nullity theorem roughly follow the presentation in [FIS03]. The rank-nullity theorem generalises the existing development in [DA13] (originally using type classes, now using a mix of type classes and locales).

Further developments will be made available at <https://github.com/holdenlee/Isabelle>.

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1 Basic facts about rings and modules

```

theory RingModuleFacts
imports Main
  ~~/src/HOL/Algebra/Module
  ~~/src/HOL/Algebra/Coset

```

```
begin
```

1.1 Basic facts

In a field, every nonzero element has an inverse.

```
lemma (in field) inverse-exists [simp, intro]:
```

```
  assumes h1:  $a \in \text{carrier } R$  and h2:  $a \neq \mathbf{0}_R$ 
```

```
  shows  $\text{inv}_R a \in \text{carrier } R$ 
```

```
proof –
```

```
  have  $1: \text{Units } R = \text{carrier } R - \{\mathbf{0}_R\}$  by (rule field-Units)
```

```
  from h1 h2 1 show ?thesis by auto
```

```
qed
```

Multiplication by 0 in R gives 0. (Note that this fact encompasses `smult-l-null` as this is for module while that is for algebra, so `smult-l-null` is redundant.)

```
lemma (in module) lmult-0 [simp]:
```

```
  assumes  $1: m \in \text{carrier } M$ 
```

```
  shows  $\mathbf{0}_{R \odot M} m = \mathbf{0}_M$ 
```

```
proof –
```

```
  from  $1$  have  $0: \mathbf{0}_{R \odot M} m \in \text{carrier } M$  by simp
```

```

from 1 have 2:  $\mathbf{0}_R \odot_M m = (\mathbf{0}_R \oplus_R \mathbf{0}_R) \odot_M m$  by simp
from 1 have 3:  $(\mathbf{0}_R \oplus_R \mathbf{0}_R) \odot_M m = (\mathbf{0}_R \odot_M m) \oplus_M (\mathbf{0}_R \odot_M m)$ 
using [[simp-trace, simp-trace-depth-limit=3]]
by (simp add: smult-l-distr del: R.add.r-one R.add.l-one)
from 2 3 have 4:  $\mathbf{0}_R \odot_M m = (\mathbf{0}_R \odot_M m) \oplus_M (\mathbf{0}_R \odot_M m)$  by auto
from 0 4 show ?thesis by (metis 1 M.add.l-cancel M.r-zero M.zero-closed)
qed

```

Multiplication by 0 in M gives 0.

```

lemma (in module) rmult-0 [simp]:
  assumes 0:  $r \in \text{carrier } R$ 
  shows  $r \odot_M \mathbf{0}_M = \mathbf{0}_M$ 
by (metis M.zero-closed R.zero-closed assms lmult-0 r-null smult-assoc1)

```

Multiplication by -1 is the same as negation. May be useful as a simp rule.

```

lemma (in module) smult-minus-1:
  fixes  $v$ 
  assumes 0:  $v \in \text{carrier } M$ 
  shows  $(\ominus_R \mathbf{1}_R) \odot_M v = (\ominus_M v)$ 

```

proof –

```

from 0 have a0:  $\mathbf{1}_R \odot_M v = v$  by simp
from 0 have 1:  $((\ominus_R \mathbf{1}_R) \oplus_R \mathbf{1}_R) \odot_M v = \mathbf{0}_M$ 
  by (simp add: R.l-neg)
from 0 have 2:  $((\ominus_R \mathbf{1}_R) \oplus_R \mathbf{1}_R) \odot_M v = (\ominus_R \mathbf{1}_R) \odot_M v \oplus_M \mathbf{1}_R \odot_M v$ 
  by (simp add: smult-l-distr)
from 1 2 show ?thesis by (metis M.minus-equality R.add.inv-closed

  a0 assms one-closed smult-closed)
qed

```

The version with equality reversed.

```

lemmas (in module) smult-minus-1-back = smult-minus-1 [THEN sym]

```

-1 is not 0

```

lemma (in field) neg-1-not-0 [simp]:  $\ominus_R \mathbf{1}_R \neq \mathbf{0}_R$ 
by (metis local.minus-minus local.minus-zero one-closed zero-not-one)

```

Note smult-assoc1 is the wrong way around for simplification. This is the reverse of smult-assoc1.

```

lemma (in module) smult-assoc-simp:
  [|  $a \in \text{carrier } R$ ;  $b \in \text{carrier } R$ ;  $x \in \text{carrier } M$  |] ==>
   $a \odot_M (b \odot_M x) = (a \otimes b) \odot_M x$ 
by (auto simp add: smult-assoc1)

```

```

lemma (in group) show-r-one [simp]:
   $\llbracket a \in \text{carrier } G; b \in \text{carrier } G \rrbracket \implies (a \otimes_G b = a) = (b = \mathbf{1}_G)$ 
by (metis l-inv r-one transpose-inv)

```

```

lemma (in group) show-l-one [simp]:
   $\llbracket a \in \text{carrier } G; b \in \text{carrier } G \rrbracket \implies (a \otimes_G b = b) = (a = \mathbf{1}_G)$ 
by (metis l-one one-closed r-cancel)

```

```

lemmas (in abelian-group) show-r-zero=add.show-r-one
lemmas (in abelian-group) show-l-zero=add.show-l-one

```

A nontrivial ring has $0 \neq 1$.

```

lemma (in ring) nontrivial-ring [simp]:
  assumes carrier  $R \neq \{\mathbf{0}_R\}$ 
  shows  $\mathbf{0}_R \neq \mathbf{1}_R$ 
proof (rule ccontr)
  assume  $1: \neg(\mathbf{0}_R \neq \mathbf{1}_R)$ 
  {
    fix  $r$ 
    assume  $2: r \in \text{carrier } R$ 
    from  $1\ 2$  have  $3: \mathbf{1}_R \otimes_R r = \mathbf{0}_R \otimes_R r$  by auto
    from  $2\ 3$  have  $r = \mathbf{0}_R$  by auto
  }
  from this assms show False by auto
qed

```

Use as simp rule. To show $a - b = 0$, it suffices to show $a = b$.

```

lemma (in abelian-group) minus-other-side [simp]:
   $\llbracket a \in \text{carrier } G; b \in \text{carrier } G \rrbracket \implies (a \ominus_G b = \mathbf{0}_G) = (a = b)$ 
by (metis add.inv-closed add.r-cancel minus-eq r-neg)

```

1.2 Units group

Define the units group R^\times and show it is actually a group.

```

definition units-group::('a,'b) ring-scheme  $\Rightarrow$  'a monoid
  where units-group  $R = (\text{carrier} = \text{Units } R, \text{mult} = (\lambda x y. x \otimes_R y),$ 
     $\text{one} = \mathbf{1}_R)$ 

```

The units form a group.

```

lemma (in ring) units-form-group: group (units-group  $R$ )
  apply (intro groupI)
  apply (unfold units-group-def, auto)
  apply (intro m-assoc)
  apply auto
  apply (unfold Units-def)

```

```

apply auto
done

```

The units of a *cring* form a commutative group.

```

lemma (in cring) units-form-cgroup: comm-group (units-group R)
  apply (intro comm-groupI)
  apply (unfold units-group-def) apply auto
  apply (intro m-assoc) apply auto
  apply (unfold Units-def) apply auto
  apply (rule m-comm) apply auto
done

```

end

2 Basic lemmas about functions

theory *FunctionLemmas*

```

imports Main
  ~~/src/HOL/Library/FuncSet
begin

```

These are used in simplification. Note that the difference from Pi-mem is that the statement about the function comes first, so Isabelle can more easily figure out what S is.

```

lemma PiE-mem2:  $f \in S \rightarrow_E T \implies x \in S \implies f x \in T$ 
  unfolding PiE-def by auto
lemma Pi-mem2:  $f \in S \rightarrow T \implies x \in S \implies f x \in T$ 
  unfolding Pi-def by auto

```

end

3 Sums in monoids

theory *MonoidSums*

```

imports Main
  ~~/src/HOL/Algebra/Module
  RingModuleFacts
  FunctionLemmas
begin

```

We build on the finite product simplifications in FiniteProduct.thy and the analogous ones for finite sums (see "lemmas" in Ring.thy).

Use as an intro rule

lemma (in *comm-monoid*) *factors-equal*:

$\llbracket a=b; c=d \rrbracket \implies a \otimes_G c = b \otimes_G d$
by *simp*

lemma (in *comm-monoid*) *extend-prod*:

fixes *a A S*

assumes *fin*: *finite S* **and** *subset*: $A \subseteq S$ **and** *a*: $a \in A \rightarrow \text{carrier } G$

shows $(\bigotimes_G x \in S. (\text{if } x \in A \text{ then } a \ x \text{ else } \mathbf{1}_G)) = (\bigotimes_G x \in A. a \ x)$

(**is** $(\bigotimes_G x \in S. ?b \ x) = (\bigotimes_G x \in A. a \ x)$)

proof –

from *subset* **have** *uni*: $S = A \cup (S - A)$ **by** *auto*

from *assms subset* **show** *?thesis*

apply (*subst uni*)

apply (*subst finprod-Un-disjoint, auto*)

by (*auto cong: finprod-cong if-cong elim: finite-subset simp add: Pi-def finite-subset*)

qed

Scalar multiplication distributes over scalar multiplication (on left).

lemma (in *module*) *finsum-smult*:

$\llbracket \text{finite } A; c \in \text{carrier } R; g \in A \rightarrow \text{carrier } M \rrbracket \implies$

$(c \odot_M \text{finsum } M \ g \ A) = \text{finsum } M \ (\%x. c \odot_M g \ x) \ A$

proof (*induct set: finite*)

case *empty*

from $\langle c \in \text{carrier } R \rangle$ **show** *?case*

by *simp*

next

case (*insert a A*)

from *insert.hyps insert.prem*s **have** *1*: $\text{finsum } M \ g \ (\text{insert } a \ A) = g \ a \oplus_M \text{finsum } M \ g \ A$

by (*intro finsum-insert, auto*)

from *insert.hyps insert.prem*s **have** *2*: $(\bigoplus_{Mx \in \text{insert } a \ A. c \odot_M g \ x}) = c \odot_M g \ a \oplus_M (\bigoplus_{Mx \in A. c \odot_M g \ x})$

by (*intro finsum-insert, auto*)

from *insert.hyps insert.prem*s **show** *?case*

by (*auto simp add: 1 2 smult-r-distr finsum-closed*)

qed

Scalar multiplication distributes over scalar multiplication (on right).

lemma (in *module*) *finsum-smult-r*:

$\llbracket \text{finite } A; v \in \text{carrier } M; f \in A \rightarrow \text{carrier } R \rrbracket \implies$

$(\text{finsum } R \ f \ A \odot_M v) = \text{finsum } M \ (\%x. f \ x \odot_M v) \ A$

proof (*induct set: finite*)

```

case empty
from  $\langle v \in \text{carrier } M \rangle$  show ?case
  by simp
next
case (insert a A)
from insert.hyps insert.prems have 1:  $\text{finsum } R \ f \ (\text{insert } a \ A) = f$ 
 $a \oplus_R \text{finsum } R \ f \ A$ 
  by (intro R.finsum-insert, auto)
from insert.hyps insert.prems have 2:  $(\bigoplus_{Mx \in \text{insert } a \ A} f \ x \odot_M$ 
 $v) = f \ a \odot_M v \oplus_M (\bigoplus_{Mx \in A} f \ x \odot_M v)$ 
  by (intro finsum-insert, auto)
from insert.hyps insert.prems show ?case
  by (auto simp add:1 2 smult-l-distr finsum-closed)
qed

```

A sequence of lemmas that shows that the product does not depend on the ambient group. Note I had to dig back into the definitions of `foldSet` to show this.

```

lemma foldSet-not-depend:
  fixes A E
  assumes h1:  $D \subseteq E$ 
  shows  $\text{foldSetD } D \ f \ e \subseteq \text{foldSetD } E \ f \ e$ 
proof –
  from h1 have 1:  $\bigwedge x1 \ x2. (x1, x2) \in \text{foldSetD } D \ f \ e \implies (x1, x2) \in$ 
 $\text{foldSetD } E \ f \ e$ 
  proof –
    fix x1 x2
    assume 2:  $(x1, x2) \in \text{foldSetD } D \ f \ e$ 
    from h1 2 show ?thesis x1 x2
    apply (intro foldSetD.induct[where ?D=D and ?f=f and ?e=e
and ?x1.0=x1 and ?x2.0=x2
      and  $?P = \lambda x1 \ x2. ((x1, x2) \in \text{foldSetD } E \ f \ e)]$ )
    apply auto
    apply (intro emptyI, auto)
    by (intro insertI, auto)
  qed
from 1 show ?thesis by auto
qed

```

```

lemma foldD-not-depend:
  fixes D E B f e A
  assumes h1:  $LCD \ B \ D \ f$  and h2:  $LCD \ B \ E \ f$  and h3:  $D \subseteq E$  and
h4:  $e \in D$  and h5:  $A \subseteq B$  and h6: finite B
  shows  $\text{foldD } D \ f \ e \ A = \text{foldD } E \ f \ e \ A$ 
proof –
from assms have 1:  $\exists y. (A, y) \in \text{foldSetD } D \ f \ e$ 
  apply (intro finite-imp-foldSetD, auto)
  apply (metis finite-subset)
  by (unfold LCD-def, auto)

```

```

from 1 obtain  $y$  where 2:  $(A,y) \in \text{foldSetD } D \text{ f e}$  by auto
from assms 2 have 3:  $\text{foldD } D \text{ f e } A = y$  by (intro LCD.foldD-equality[of
 $B$ ], auto)
from  $h3$  have 4:  $\text{foldSetD } D \text{ f e} \subseteq \text{foldSetD } E \text{ f e}$  by (rule foldSet-not-depend)
from 2 4 have 5:  $(A,y) \in \text{foldSetD } E \text{ f e}$  by auto
from assms 5 have 6:  $\text{foldD } E \text{ f e } A = y$  by (intro LCD.foldD-equality[of
 $B$ ], auto)

```

```

from 3 6 show ?thesis by auto
qed

```

```

lemma (in comm-monoid) finprod-all1[simp]:
  assumes fin: finite  $A$  and all1:  $\bigwedge a. a \in A \implies f \ a = \mathbf{1}_G$ 
  shows  $(\bigotimes_G a \in A. f \ a) = \mathbf{1}_G$ 

```

```

proof –
  from assms show ?thesis
  by (simp cong: finprod-cong)
qed

```

```

context abelian-monoid
begin
lemmas summands-equal = add.factors-equal
lemmas extend-sum = add.extend-prod
lemmas finsum-all0 = add.finprod-all1
end

```

```

end

```

4 Linear Combinations

```

theory LinearCombinations
imports Main
  ~~/src/HOL/Algebra/Module
  ~~/src/HOL/Algebra/Coset
  RingModuleFacts
  MonoidSums
  FunctionLemmas
begin

```

4.1 Lemmas for simplification

The following are helpful in certain simplifications (esp. congruence rules). Warning: arbitrary use leads to looping.

```

lemma (in ring) coeff-in-ring:
   $\llbracket a \in A \rightarrow \text{carrier } R; x \in A \rrbracket \implies a \ x \in \text{carrier } R$ 
by (metis Pi-mem)

```


lemma (in ring) *coeff-in-ring2*:
 $\llbracket x \in A; a \in A \rightarrow \text{carrier } R \rrbracket \implies a \ x \in \text{carrier } R$
by (metis *Pi-mem*)

lemma *ring-subset-carrier*:
 $\llbracket x \in A; A \subseteq \text{carrier } R \rrbracket \implies x \in \text{carrier } R$
by *auto*

A hack to not cause an infinite loop with \rightarrow simplification.

definition *Pi2*::('a set) \Rightarrow ('b set) \Rightarrow ('a \Rightarrow 'b) set
where *Pi2* A B = A \rightarrow B

lemma *Pi-implies-Pi2*:
 $a \in A \rightarrow B \implies a \in \text{Pi2 } A \ B$
by (unfold *Pi2-def*, *auto*)

lemma *Pi-mem-Pi2*:
 $\llbracket a \in \text{Pi2 } S \ T; x \in S \rrbracket \implies a \ x \in T$
by (unfold *Pi2-def*, rule *Pi-mem2*)

lemma *Pi-mem-Pi2-sub1*:
 $\llbracket a \in \text{Pi2 } S \ T; x \in A; A \subseteq S \rrbracket \implies a \ x \in T$
by (unfold *Pi2-def*, *auto* intro: *Pi-mem2*)

lemma *Pi-mem-Pi2-sub2*:
 $\llbracket a \in \text{Pi2 } S \ T; x \in S; T \subseteq U \rrbracket \implies a \ x \in U$
by (unfold *Pi2-def*, *auto* intro: *Pi-mem2*)

lemma *disj-if*:
 $\llbracket A \cap B = \{\}; x \in B \rrbracket \implies (\text{if } x \in A \text{ then } f \ x \text{ else } g \ x) = g \ x$
by *auto*

lemmas *Pi-simp* = *Pi-mem-Pi2* *Pi-mem-Pi2-sub1* *Pi-mem-Pi2-sub2*
lemmas (in module) *sum-simp* = *Pi-simp* *ring-subset-carrier*

4.2 Linear combinations

A linear combination is $\sum_{v \in A} a_v v$. $(a_v)_{v \in S}$ is a function $A \rightarrow K$, where $A \subseteq K$.

definition (in module) *lincomb*::['c \Rightarrow 'a, 'c set] \Rightarrow 'c
where *lincomb* a A = $(\bigoplus_M v \in A. (a \ v \odot_M v))$

lemma (in module) *summands-valid*:
fixes A a
assumes *h2*: $A \subseteq \text{carrier } M$ **and** *h3*: $a \in (A \rightarrow \text{carrier } R)$

shows $\forall v \in A. (((a \ v) \odot_M v) \in \text{carrier } M)$

proof –

from *assms* **show** *?thesis* **by** *auto*

qed

lemma (*in module*) *lincomb-closed* [*simp*, *intro*]:

fixes *S a*

assumes *h1: finite S and h2: S ⊆ carrier M and h3: a ∈ (S → carrier R)*

shows *lincomb a S ∈ carrier M*

proof –

from *h1 h2 h3* **show** *?thesis* **by** (*unfold lincomb-def, auto intro: finsum-closed*)

qed

lemma (*in comm-monoid*) *finprod-cong2*:

$[[A = B;$

$!!i. i \in B ==> f \ i = g \ i; f \in B \rightarrow \text{carrier } G]] ==>$

finprod G f A = finprod G g B

by (*intro finprod-cong, auto*)

lemmas (*in abelian-monoid*) *finsum-cong2 = add.finprod-cong2*

lemma (*in module*) *lincomb-cong*:

fixes *a b A B*

assumes *h1: finite (A) and h2: A=B and h3: A ⊆ carrier M*

and *h4: $\bigwedge v. v \in A \implies a \ v = b \ v$ and h5: $b \in B \rightarrow \text{carrier } R$*

shows *lincomb a A = lincomb b B*

proof –

from *assms* **show** *?thesis*

apply (*unfold lincomb-def*)

apply (*drule Pi-implies-Pi2*)+

by (*simp cong: finsum-cong2 add: h2 Pi-simp ring-subset-carrier*)

qed

lemma (*in module*) *lincomb-union*:

fixes *a A B*

assumes *h1: finite (A ∪ B) and h3: A ∪ B ⊆ carrier M*

and *h4: A ∩ B = {} and h5: $a \in (A \cup B \rightarrow \text{carrier } R)$*

shows *lincomb a (A ∪ B) = lincomb a A ⊕_M lincomb a B*

proof –

from *assms* **show** *?thesis*

apply (*unfold lincomb-def*)

apply (*drule Pi-implies-Pi2*)

by (*simp cong: finsum-cong2 add: finsum-Un-disjoint Pi-simp ring-subset-carrier*)

qed

This is useful as a simp rule sometimes, for combining linear

combinations.

lemma (in module) *lincomb-union2*:
fixes $a\ b\ A\ B$
assumes $h1$: *finite* ($A \cup B$) **and** $h3$: $A \cup B \subseteq \text{carrier } M$
and $h4$: $A \cap B = \{\}$ **and** $h5$: $a \in A \rightarrow \text{carrier } R$ **and** $h6$: $b \in B \rightarrow \text{carrier } R$
shows $\text{lincomb } a\ A \oplus_M \text{lincomb } b\ B = \text{lincomb } (\lambda v. \text{if } (v \in A) \text{ then } a\ v \text{ else } b\ v) (A \cup B)$
(is $\text{lincomb } a\ A \oplus_M \text{lincomb } b\ B = \text{lincomb } ?c\ (A \cup B)$)
proof –
from *assms* **show** *?thesis*
apply (*unfold lincomb-def*)
apply (*drule Pi-implies-Pi2*) +
by (*simp cong: finsum-cong2 add: finsum-Un-disjoint Pi-simp ring-subset-carrier disj-if*)
qed

lemma (in module) *lincomb-del2*:
fixes $S\ a\ v$
assumes $h1$: *finite* S **and** $h2$: $S \subseteq \text{carrier } M$ **and** $h3$: $a \in (S \rightarrow \text{carrier } R)$ **and** $h4$: $v \in S$
shows $\text{lincomb } a\ S = ((a\ v) \odot_M v) \oplus_M \text{lincomb } a\ (S - \{v\})$
proof –
from $h4$ **have** 1 : $S = \{v\} \cup (S - \{v\})$ **by** (*metis insert-Diff insert-is-Un*)
from *assms* **show** *?thesis*
apply (*subst 1*)
apply (*subst lincomb-union, auto*)
by (*unfold lincomb-def, auto simp add: coeff-in-ring*)
qed

lemma (in module) *lincomb-insert*:
fixes $S\ a\ v$
assumes $h1$: *finite* S **and** $h2$: $S \subseteq \text{carrier } M$ **and** $h3$: $a \in (S \cup \{v\} \rightarrow \text{carrier } R)$ **and** $h4$: $v \notin S$ **and**
 $h5$: $v \in \text{carrier } M$
shows $\text{lincomb } a\ (S \cup \{v\}) = ((a\ v) \odot_M v) \oplus_M \text{lincomb } a\ S$
proof –
have 1 : $S \cup \{v\} = \{v\} \cup S$ **by** *auto*
from *assms* **show** *?thesis*
apply (*subst 1*)
apply (*unfold lincomb-def*)
apply (*drule Pi-implies-Pi2*) +
by (*simp cong: finsum-cong2 add: finsum-Un-disjoint Pi-simp ring-subset-carrier disj-if*)
qed

lemma (in module) *lincomb-elim-if* [*simp*]:

```

fixes  $b\ c\ S$ 
assumes  $h0: \text{finite } S$  and  $h1: S \subseteq \text{carrier } M$  and  $h2: \bigwedge v. v \in S \implies$ 
 $\neg P\ v$  and  $h3: c \in S \rightarrow \text{carrier } R$ 
shows  $\text{lincomb } (\lambda w. \text{ if } P\ w \text{ then } b\ w \text{ else } c\ w)\ S = \text{lincomb } c\ S$ 
proof –
  from assms show ?thesis
    apply (unfold lincomb-def)
    apply (drule Pi-implies-Pi2)+
    by (simp cong: finsum-cong2 add: finsum-Un-disjoint Pi-simp
ring-subset-carrier disj-if)
qed

```

```

lemma (in module) lincomb-smult:
  fixes  $A\ c$ 
assumes  $h1: \text{finite } A$  and  $h2: A \subseteq \text{carrier } M$  and  $h3: a \in A \rightarrow \text{carrier}$ 
 $R$  and  $h4: c \in \text{carrier } R$ 
shows  $\text{lincomb } (\lambda w. c \otimes_R a\ w)\ A = c \odot_M (\text{lincomb } a\ A)$ 
proof –
  from assms show ?thesis
    apply (unfold lincomb-def)
    apply (drule Pi-implies-Pi2)+
    by (simp cong: finsum-cong2 add: finsum-Un-disjoint finsum-smult
Pi-simp ring-subset-carrier disj-if
smult-assoc1 coeff-in-ring)
qed

```

4.3 Linear dependence and independence.

A set S in a module/vectorspace is linearly dependent if there is a finite set $A \subseteq S$ and coefficients $(a_v)_{v \in A}$ such that $\sum_{v \in A} a_v v = 0$ and for some v , $a_v \neq 0$.

definition (**in** *module*) *lin-dep* **where**
 $\text{lin-dep } S = (\exists A\ a\ v. (\text{finite } A \wedge A \subseteq S \wedge (a \in (A \rightarrow \text{carrier } R)) \wedge$
 $(\text{lincomb } a\ A = \mathbf{0}_M) \wedge (v \in A) \wedge (a\ v \neq \mathbf{0}_R)))$

abbreviation (**in** *module*) *lin-indpt::'c set \Rightarrow bool*
where $\text{lin-indpt } S \equiv \neg \text{lin-dep } S$

In the finite case, we can take $A = S$. This may be more convenient (e.g., when adding two linear combinations).

```

lemma (in module) finite-lin-dep:
  fixes  $S$ 
assumes  $\text{finS}: \text{finite } S$  and  $\text{ld}: \text{lin-dep } S$  and  $\text{inC}: S \subseteq \text{carrier } M$ 
shows  $\exists a\ v. (a \in (S \rightarrow \text{carrier } R)) \wedge (\text{lincomb } a\ S = \mathbf{0}_M) \wedge (v \in S)$ 
 $\wedge (a\ v \neq \mathbf{0}_R)$ 
proof –

```

```

from ld obtain  $A$   $a$   $v$  where  $A: (A \subseteq S \wedge (a \in (A \rightarrow \text{carrier } R)) \wedge$ 
 $(\text{lincomb } a \ A = \mathbf{0}_M) \wedge (v \in A) \wedge (a \neq \mathbf{0}_R))$ 
by (unfold lin-dep-def, auto)
let  $?b = \lambda w. \text{ if } w \in A \text{ then } a \ w \text{ else } \mathbf{0}_R$ 
from finS inC  $A$  have if-in:  $(\bigoplus_{M} v \in S. (\text{if } v \in A \text{ then } a \ v \text{ else } \mathbf{0}))$ 
 $\odot_M v) = (\bigoplus_{M} v \in S. (\text{if } v \in A \text{ then } a \ v \odot_M v \text{ else } \mathbf{0}_M))$ 
apply auto
apply (intro finsum-cong')
by (auto simp add: coeff-in-ring)
from finS inC  $A$  have  $b: \text{lincomb } ?b \ S = \mathbf{0}_M$ 
apply (unfold lincomb-def)
apply (subst if-in)
by (subst extend-sum, auto)
from  $A$   $b$  show ?thesis
apply (rule-tac x=?b in exI)
apply (rule-tac x=v in exI)
by auto
qed

```

Criteria of linear dependency in a easy format to apply: apply
(rule *lin-dep-crit*)

```

lemma (in module) lin-dep-crit:
fixes  $A$   $S$   $a$   $v$ 
assumes fin: finite  $A$  and subset:  $A \subseteq S$  and h1:  $(a \in (A \rightarrow \text{carrier } R))$  and h2:  $v \in A$ 
and h3:  $a \neq \mathbf{0}_R$  and h4:  $(\text{lincomb } a \ A = \mathbf{0}_M)$ 
shows lin-dep  $S$ 
proof –
from assms show ?thesis
by (unfold lin-dep-def, auto)
qed

```

If $\sum_{v \in A} a_v v = 0$ implies $a_v = 0$ for all $v \in S$, then A is linearly independent.

```

lemma (in module) finite-lin-indpt2:
fixes  $A$ 
assumes A-fin: finite  $A$  and AinC:  $A \subseteq \text{carrier } M$  and
 $lc0: \bigwedge a. a \in (A \rightarrow \text{carrier } R) \implies (\text{lincomb } a \ A = \mathbf{0}_M) \implies (\forall v \in A. a \ v = \mathbf{0}_R)$ 
shows lin-indpt  $A$ 
proof (rule ccontr)
assume  $\neg \text{lin-indpt } A$ 
from  $A$ -fin AinC this obtain  $a$   $v$  where av:
 $(a \in (A \rightarrow \text{carrier } R)) \wedge (\text{lincomb } a \ A = \mathbf{0}_M) \wedge (v \in A) \wedge (a \ v \neq \mathbf{0}_R)$ 
by (metis finite-lin-dep)
from av lc0 show False by auto
qed

```

Any set containing 0 is linearly dependent.

lemma (in module) zero-lin-dep:
 assumes $0: \mathbf{0}_M \in S$ and nonzero: carrier $R \neq \{\mathbf{0}_R\}$
 shows lin-dep S
 proof –
 from nonzero have zero-not-one: $\mathbf{0}_R \neq \mathbf{1}_R$ by (rule nontrivial-ring)
 from 0 zero-not-one show ?thesis
 apply (unfold lin-dep-def)
 apply (rule-tac $x=\{\mathbf{0}_M\}$ in exI)
 apply (rule-tac $x=(\lambda v. \mathbf{1}_R)$ in exI)
 apply (rule-tac $x=\mathbf{0}_M$ in exI)
 by (unfold lincomb-def, auto)
 qed

lemma (in module) zero-nin-lin-indpt:
 assumes $h2: S \subseteq \text{carrier } M$ and li: $\neg(\text{lin-dep } S)$ and nonzero: carrier $R \neq \{\mathbf{0}_R\}$
 shows $\mathbf{0}_M \notin S$
 proof (rule ccontr)
 assume a1: $\neg(\mathbf{0}_M \notin S)$
 from a1 have a2: $\mathbf{0}_M \in S$ by auto
 from a2 nonzero have ld: lin-dep S by (rule zero-lin-dep)
 from li ld show False by auto
 qed

The *span* of S is the set of linear combinations with $A \subseteq S$.

definition (in module) span::'c set \Rightarrow 'c set
 where $\text{span } S = \{\text{lincomb } a \ A \mid a \ A. \text{ finite } A \wedge A \subseteq S \wedge a \in (A \rightarrow \text{carrier } R)\}$

The *span* interpreted as a module or vectorspace.

abbreviation (in module) span-vs::'c set \Rightarrow ('a,'c,'d) module-scheme

where $\text{span-vs } S \equiv M \ (\text{carrier} := \text{span } S)$

In the finite case, we can take $A = S$ without loss of generality.

lemma (in module) finite-span:
 assumes fin: finite S and inC: $S \subseteq \text{carrier } M$
 shows $\text{span } S = \{\text{lincomb } a \ S \mid a. a \in (S \rightarrow \text{carrier } R)\}$
 proof (rule equalityI)
 {
 fix $A \ a$
 assume subset: $A \subseteq S$ and $a: a \in A \rightarrow \text{carrier } R$
 let $?b = (\lambda v. \text{ if } v \in A \text{ then } a \ v \text{ else } \mathbf{0})$
 from fin inC subset a have if-in: $(\bigoplus_{M} v \in S. ?b \ v \odot_M v) =$
 $(\bigoplus_{M} v \in S. (\text{if } v \in A \text{ then } a \ v \odot_M v \text{ else } \mathbf{0}_M))$
 apply (intro finsum-cong')
 by (auto simp add: coeff-in-ring)
 from fin inC subset a have $\exists b. \text{ lincomb } a \ A = \text{ lincomb } b \ S \wedge b \in$
 $S \rightarrow \text{carrier } R$

```

    apply (rule-tac x=?b in exI)
    apply (unfold lincomb-def, auto)
    apply (subst if-in)
    by (subst extend-sum, auto)
  }
  from this show span S  $\subseteq$  {lincomb a S | a. a  $\in$  S  $\rightarrow$  carrier R}
    by (unfold span-def, auto)
next
  from fin show {lincomb a S | a. a  $\in$  S  $\rightarrow$  carrier R}  $\subseteq$  span S
    by (unfold span-def, auto)
qed

```

If $v \in \text{span } S$, then we can find a linear combination. This is in an easy to apply format (e.g. obtain a A where...)

```

lemma (in module) in-span:
  fixes S v
  assumes h2:  $S \subseteq \text{carrier } V$  and h3:  $v \in \text{span } S$ 
  shows  $\exists a A. (A \subseteq S \wedge (a \in A \rightarrow \text{carrier } R) \wedge (\text{lincomb } a A = v))$ 
proof -
  from h2 h3 show ?thesis
    apply (unfold span-def)
    by auto
qed

```

In the finite case, we can take $A = S$.

```

lemma (in module) finite-in-span:
  fixes S v
  assumes fin: finite S and h2:  $S \subseteq \text{carrier } M$  and h3:  $v \in \text{span } S$ 
  shows  $\exists a. (a \in S \rightarrow \text{carrier } R) \wedge (\text{lincomb } a S = v)$ 
proof -
  from fin h2 have fin-span:  $\text{span } S = \{\text{lincomb } a S \mid a. a \in S \rightarrow \text{carrier } R\}$  by (rule finite-span)
  from h3 fin-span show ?thesis by auto
qed

```

If a subset is linearly independent, then any linear combination that is 0 must have a nonzero coefficient outside that set.

```

lemma (in module) lincomb-must-include:
  fixes A S T b v
  assumes inC:  $T \subseteq \text{carrier } M$  and li: lin-indpt S and Ssub:  $S \subseteq T$ 
  and Ssub:  $A \subseteq T$ 
  and fin: finite A
  and b:  $b \in A \rightarrow \text{carrier } R$  and lc:  $\text{lincomb } b A = \mathbf{0}_M$  and v-in:  $v \in A$ 
  and nz-coeff:  $b v \neq \mathbf{0}_R$ 
  shows  $\exists w \in A - S. b w \neq \mathbf{0}_R$ 
proof (rule ccontr)

```

```

  assume 0:  $\neg(\exists w \in A - S. b w \neq \mathbf{0}_R)$ 
  from 0 have 1:  $\bigwedge w. w \in A - S \implies b w = \mathbf{0}_R$  by auto

```

```

have Auni:  $A = (S \cap A) \cup (A - S)$  by auto
from fin b Ssub inC 1 have 2:  $\text{lincomb } b \ A = \text{lincomb } b \ (S \cap A)$ 
  apply (subst Auni)
  apply (subst lincomb-union, auto)

  apply (unfold lincomb-def)
  apply (subst (2) finsum-all0, auto)
  by (subst show-r-zero, auto intro!: finsum-closed)
from 1 2 assms have ld:  $\text{lin-dep } S$ 
  apply (intro lin-dep-crit[where  $?A = S \cap A$  and  $?a = b$  and  $?v = v$ ])
  by auto
from ld li show False by auto
qed

```

A generating set is a set such that the span of S is all of M .

abbreviation (**in** module) $\text{gen-set}::'c \text{ set} \Rightarrow \text{bool}$
where $\text{gen-set } S \equiv (\text{span } S = \text{carrier } M)$

4.4 Submodules

lemma module-criteria:

```

fixes R and M
assumes cring:  $\text{cring } R$ 
  and zero:  $\mathbf{0}_M \in \text{carrier } M$ 
  and add:  $\forall v \ w. v \in \text{carrier } M \wedge w \in \text{carrier } M \longrightarrow v \oplus_M w \in \text{carrier } M$ 
  and neg:  $\forall v \in \text{carrier } M. (\exists \text{ neg-}v \in \text{carrier } M. v \oplus_M \text{neg-}v = \mathbf{0}_M)$ 
  and smult:  $\forall c \ v. c \in \text{carrier } R \wedge v \in \text{carrier } M \longrightarrow c \odot_M v \in \text{carrier } M$ 
  and comm:  $\forall v \ w. v \in \text{carrier } M \wedge w \in \text{carrier } M \longrightarrow v \oplus_M w = w \oplus_M v$ 
  and assoc:  $\forall v \ w \ x. v \in \text{carrier } M \wedge w \in \text{carrier } M \wedge x \in \text{carrier } M \longrightarrow (v \oplus_M w) \oplus_M x = v \oplus_M (w \oplus_M x)$ 
  and add-id:  $\forall v \in \text{carrier } M. (v \oplus_M \mathbf{0}_M = v)$ 
  and compat:  $\forall a \ b \ v. a \in \text{carrier } R \wedge b \in \text{carrier } R \wedge v \in \text{carrier } M \longrightarrow (a \otimes_R b) \odot_M v = a \odot_M (b \odot_M v)$ 
  and smult-id:  $\forall v \in \text{carrier } M. (\mathbf{1}_R \odot_M v = v)$ 
  and dist-f:  $\forall a \ b \ v. a \in \text{carrier } R \wedge b \in \text{carrier } R \wedge v \in \text{carrier } M \longrightarrow (a \oplus_R b) \odot_M v = (a \odot_M v) \oplus_M (b \odot_M v)$ 
  and dist-add:  $\forall a \ v \ w. a \in \text{carrier } R \wedge v \in \text{carrier } M \wedge w \in \text{carrier } M \longrightarrow a \odot_M (v \oplus_M w) = (a \odot_M v) \oplus_M (a \odot_M w)$ 
shows module R M
proof –
from assms have 2:  $\text{abelian-group } M$ 
  by (intro abelian-groupI, auto)
from assms have 3:  $\text{module-axioms } R \ M$ 
  by (unfold module-axioms-def, auto)
from 2 3 cring show ?thesis
  by (unfold module-def module-def, auto)

```


qed

A submodule is $N \subseteq M$ that is closed under addition and scalar multiplication, and contains 0 (so is not empty).

```

locale submodule =
  fixes  $R$  and  $N$  and  $M$  (structure)
  assumes module: module  $R$   $M$ 
  and subset:  $N \subseteq \text{carrier } M$ 
  and m-closed [intro, simp]:  $\llbracket v \in N; w \in N \rrbracket \implies v \oplus w \in N$ 
  and zero-closed [simp]:  $0 \in N$ 
  and smult-closed [intro, simp]:  $\llbracket c \in \text{carrier } R; v \in N \rrbracket \implies c \odot v \in N$ 

```

abbreviation (**in** module) $md::'c \text{ set} \Rightarrow ('a, 'c, 'd) \text{ module-scheme}$
where $md \ N \equiv M(\text{carrier} := N)$

```

lemma (in module) carrier-vs-is-self [simp]:
  carrier (md  $N$ ) =  $N$ 
by auto

```

lemma (**in** module) submodule-is-module:

```

  fixes  $N::'c \text{ set}$ 
  assumes 0: submodule  $R$   $N$   $M$ 
  shows module  $R$  (md  $N$ )
proof (unfold module-def, auto)
  show 1: cring  $R..$ 
next
  from assms show 2: abelian-group (md  $N$ )
  apply (unfold submodule-def)
  apply (intro abelian-groupI, auto)
  apply (metis (no-types, hide-lams)  $M.\text{add.m-assoc contra-subsetD}$ )
  apply (metis (no-types, hide-lams)  $M.\text{add.m-comm contra-subsetD}$ )
  apply (rename-tac  $v$ )

```

The inverse of v under addition is $-v$

```

  apply (rule-tac  $x = \ominus_M v$  in  $\text{bexI}$ )
  apply (metis  $M.l\text{-neg contra-subsetD}$ )
  by (metis  $R.\text{add.inv-closed one-closed smult-minus-1 subset-iff}$ )
next
  from assms show 3: module-axioms  $R$  (md  $N$ )
  apply (unfold module-axioms-def submodule-def, auto)
  apply (metis (no-types, hide-lams)  $\text{smult-l-distr contra-subsetD}$ )
  apply (metis (no-types, hide-lams)  $\text{smult-r-distr contra-subsetD}$ )
  by (metis (no-types, hide-lams)  $\text{smult-assoc1 contra-subsetD}$ )

```

qed

$$N_1 + N_2 = \{x + y \mid x \in N_1, y \in N_2\}$$

definition (**in** module) submodule-sum:: $'c \text{ set}, 'c \text{ set} \Rightarrow 'c \text{ set}$

where $\text{submodule-sum } N1 \ N2 = (\lambda (x,y). x \oplus_M y) \ \{ (x,y). x \in N1 \wedge y \in N2 \}$

A module homomorphism $M \rightarrow N$ preserves addition and scalar multiplication.

definition $\text{module-hom}:: [('a, 'c0) \text{ ring-scheme}, ('a, 'b1, 'c1) \text{ module-scheme}, ('a, 'b2, 'c2) \text{ module-scheme}] \Rightarrow ('b1 \Rightarrow 'b2) \text{ set}$

where $\text{module-hom } R \ M \ N = \{ f. ((f \in \text{carrier } M \rightarrow \text{carrier } N) \wedge (\forall m1 \ m2. m1 \in \text{carrier } M \wedge m2 \in \text{carrier } M \longrightarrow f (m1 \oplus_M m2) = (f m1) \oplus_N (f m2)) \wedge (\forall r \ m. r \in \text{carrier } R \wedge m \in \text{carrier } M \longrightarrow f (r \odot_M m) = r \odot_N (f m))) \}$

lemma $\text{module-hom-closed}: f \in \text{module-hom } R \ M \ N \implies f \in \text{carrier } M \rightarrow \text{carrier } N$

by $(\text{unfold module-hom-def}, \text{auto})$

lemma $\text{module-hom-add}: \llbracket f \in \text{module-hom } R \ M \ N; m1 \in \text{carrier } M; m2 \in \text{carrier } M \rrbracket \implies f (m1 \oplus_M m2) = (f m1) \oplus_N (f m2)$

by $(\text{unfold module-hom-def}, \text{auto})$

lemma $\text{module-hom-smult}: \llbracket f \in \text{module-hom } R \ M \ N; r \in \text{carrier } R; m \in \text{carrier } M \rrbracket \implies f (r \odot_M m) = r \odot_N (f m)$

by $(\text{unfold module-hom-def}, \text{auto})$

locale $\text{mod-hom} =$

$M: \text{module } R \ M + N: \text{module } R \ N$

for R **and** M **and** N **+**

fixes f

assumes $f\text{-hom}: f \in \text{module-hom } R \ M \ N$

notes $f\text{-add} [\text{simp}] = \text{module-hom-add } [OF \ f\text{-hom}]$

and $f\text{-smult} [\text{simp}] = \text{module-hom-smult } [OF \ f\text{-hom}]$

Some basic simplification rules for module homomorphisms.

context mod-hom

begin

lemma $f\text{-im} [\text{simp}, \text{intro}]:$

assumes $v \in \text{carrier } M$

shows $f \ v \in \text{carrier } N$

proof $-$

have $0: \text{mod-hom } R \ M \ N \ f..$

from 0 **assms** **show** $?thesis$

apply $(\text{unfold mod-hom-def module-hom-def mod-hom-axioms-def } Pi\text{-def})$

by auto

qed

definition *im*:: 'e set
 where *im* = $f'(carrier\ M)$

definition *ker*:: 'c set
 where *ker* = $\{v. v \in carrier\ M \ \& \ f\ v = 0_N\}$

lemma *f0-is-0*[*simp*]: $f\ 0_M = 0_N$
proof –
 have 1: $f\ 0_M = f\ (0_R \odot_M 0_M)$ **by** *simp*
 have 2: $f\ (0_R \odot_M 0_M) = 0_N$ **by** (*simp del: M.lmult-0 M.rmult-0 add:f-smult f-im*)
 from 1 2 **show** ?thesis **by** *auto*
qed

lemma *f-neg* [*simp*]:
 $v \in carrier\ M \implies f\ (\ominus_M v) = \ominus_N f\ v$
by (*simp add: M.smult-minus-1 [THEN sym] N.smult-minus-1 [THEN sym] f-smult*)

lemma *f-minus* [*simp*]:
 $\llbracket v \in carrier\ M; w \in carrier\ M \rrbracket \implies f\ (v \ominus_M w) = f\ v \ominus_N f\ w$
by (*simp add: a-minus-def f-neg f-add*)

lemma *ker-is-submodule*: *submodule* *R* *ker* *M*
proof –
 have 0: *mod-hom* *R* *M* *N* *f*..
 from 0 **have** 1: *module* *R* *M* **by** (*unfold mod-hom-def, auto*)
show ?thesis
by (*rule submodule.intro, auto simp add: ker-def, rule 1*)
qed

lemma *im-is-submodule*: *submodule* *R* *im* *N*
proof –
 have 1: $im \subseteq carrier\ N$ **by** (*auto simp add: im-def image-def mod-hom-def module-hom-def f-im*)
 have 2: $\bigwedge w1\ w2. \llbracket w1 \in im; w2 \in im \rrbracket \implies w1 \oplus_N w2 \in im$
proof –
 fix *w1 w2*
 assume *w1*: $w1 \in im$ **and** *w2*: $w2 \in im$
 from *w1* **obtain** *v1* **where** 3: $v1 \in carrier\ M \ \& \ f\ v1 = w1$ **by** (*unfold im-def, auto*)
 from *w2* **obtain** *v2* **where** 4: $v2 \in carrier\ M \ \& \ f\ v2 = w2$ **by** (*unfold im-def, auto*)
 from 3 4 **have** 5: $f\ (v1 \oplus_M v2) = w1 \oplus_N w2$ **by** *simp*
 from 3 4 **have** 6: $v1 \oplus_M v2 \in carrier\ M$ **by** *simp*
 from 5 6 **have** 7: $\exists x \in carrier\ M. w1 \oplus_N w2 = f\ x$ **by** *metis*
 from 7 **show** ?thesis *w1 w2* **by** (*unfold im-def image-def, auto*)
qed

```

have 3:  $\mathbf{0}_N \in im$ 
proof -
  have 8:  $f \mathbf{0}_M = \mathbf{0}_N \wedge \mathbf{0}_M \in carrier\ M$  by auto
  from 8 have 9:  $\exists x \in carrier\ M. \mathbf{0}_N = f\ x$  by metis
  from 9 show ?thesis by (unfold im-def image-def, auto)
qed
have 4:  $\bigwedge c\ w. \llbracket c \in carrier\ R; w \in im \rrbracket \implies c \odot_N w \in im$ 
proof -
  fix c w
  assume c:  $c \in carrier\ R$  and w:  $w \in im$ 
  from w obtain v where 10:  $v \in carrier\ M \wedge f\ v = w$  by (unfold
im-def, auto)
  from c 10 have 11:  $f\ (c \odot_M v) = c \odot_N w \wedge (c \odot_M v \in carrier\ M)$ 
by auto
  from 11 have 12:  $\exists v1 \in carrier\ M. c \odot_N w = f\ v1$  by metis
  from 12 show ?thesis c w by (unfold im-def image-def, auto)
qed
from 1 2 3 4 show ?thesis by (unfold-locales, auto)
qed

```

```

lemma (in mod-hom) f-ker:
   $v \in ker \implies f\ v = \mathbf{0}_N$ 
by (unfold ker-def, auto)
end

```

We will show that for any set S , the space of functions $S \rightarrow K$ forms a vector space.

```

definition (in ring) func-space:: 'z set  $\Rightarrow$  ('a, ('z  $\Rightarrow$  'a)) module
  where func-space  $S = \llbracket carrier = S \rightarrow_E carrier\ R,$ 
    mult =  $(\lambda f\ g. restrict\ (\lambda v. \mathbf{0}_R)\ S),$ 
    one =  $restrict\ (\lambda v. \mathbf{0}_R)\ S,$ 
    zero =  $restrict\ (\lambda v. \mathbf{0}_R)\ S,$ 
    add =  $(\lambda f\ g. restrict\ (\lambda v. f\ v \oplus_R g\ v)\ S),$ 
    smult =  $(\lambda c\ f. restrict\ (\lambda v. c \otimes_R f\ v)\ S) \rrbracket$ 

```

```

lemma (in cring) func-space-is-module:
  fixes S
  shows module R (func-space S)
proof -
  have 0: cring R..
  from 0 show ?thesis
  apply (auto intro!: module-criteria simp add: func-space-def)
  apply (auto simp add: module-def)
  apply (rename-tac f)
  apply (rule-tac x=restrict  $(\lambda v'. \ominus_R (f\ v'))\ S$  in bexI)
  apply (auto simp add: restrict-def cong: if-cong split: split-if-asm,
auto)
  apply (auto simp add: a-ac PiE-mem2 r-neg)
  apply (unfold PiE-def extensional-def Pi-def)

```

by (*auto simp add: m-assoc l-distr r-distr*)
qed

Note: one can define M^n from this.

A linear combination is a module homomorphism from the space of coefficients to the module, $(a_v) \mapsto \sum_{v \in S} a_v v$.

lemma (*in module*) *lincomb-is-mod-hom*:
fixes S
assumes h : *finite* S **and** $h2$: $S \subseteq \text{carrier } M$
shows *mod-hom* R (*func-space* S) M ($\lambda a. \text{lincomb } a \ S$)
proof –
have 0 : *module* $R \ M..$
{
fix $m1 \ m2$
assume $m1$: $m1 \in S \rightarrow_E \text{carrier } R$ **and** $m2$: $m2 \in S \rightarrow_E \text{carrier } R$
from $h \ h2 \ m1 \ m2$ **have** $a1$: $(\bigoplus_{Mv \in S. (\lambda v \in S. m1 \ v \oplus_R m2 \ v) \ v} \odot_M v) =$
 $(\bigoplus_{Mv \in S. m1 \ v \odot_M v \oplus_M m2 \ v \odot_M v})$
by (*intro finsum-cong', auto simp add: smult-l-distr PiE-mem2*)
from $h \ h2 \ m1 \ m2$ **have** $a2$: $(\bigoplus_{Mv \in S. m1 \ v \odot_M v \oplus_M m2 \ v \odot_M v}) =$
 $(\bigoplus_{Mv \in S. m1 \ v \odot_M v} \oplus_M (\bigoplus_{Mv \in S. m2 \ v \odot_M v}))$
by (*intro finsum-addf, auto*)
from $a1 \ a2$ **have** $(\bigoplus_{Mv \in S. (\lambda v \in S. m1 \ v \oplus m2 \ v) \ v \odot_M v}) =$
 $(\bigoplus_{Mv \in S. m1 \ v \odot_M v} \oplus_M (\bigoplus_{Mv \in S. m2 \ v \odot_M v}))$ **by** *auto*
}
hence 1 : $\bigwedge m1 \ m2. m1 \in S \rightarrow_E \text{carrier } R \implies$
 $m2 \in S \rightarrow_E \text{carrier } R \implies (\bigoplus_{Mv \in S. (\lambda v \in S. m1 \ v \oplus m2 \ v) \ v} \odot_M v) =$
 $(\bigoplus_{Mv \in S. m1 \ v \odot_M v} \oplus_M (\bigoplus_{Mv \in S. m2 \ v \odot_M v}))$ **by** *auto*
{
fix $r \ m$
assume r : $r \in \text{carrier } R$ **and** m : $m \in S \rightarrow_E \text{carrier } R$
from $h \ h2 \ r \ m$ **have** $b1$: $r \odot_M (\bigoplus_{Mv \in S. m \ v \odot_M v}) = (\bigoplus_{Mv \in S. r \odot_M (m \ v \odot_M v))$
by (*intro finsum-smult, auto*)
from $h \ h2 \ r \ m$ **have** $b2$: $(\bigoplus_{Mv \in S. (\lambda v \in S. r \otimes m \ v) \ v \odot_M v}) =$
 $r \odot_M (\bigoplus_{Mv \in S. m \ v \odot_M v})$
apply (*subst b1*)
apply (*intro finsum-cong', auto*)
by (*subst smult-assoc1, auto*)
}
hence 2 : $\bigwedge r \ m. r \in \text{carrier } R \implies$
 $m \in S \rightarrow_E \text{carrier } R \implies (\bigoplus_{Mv \in S. (\lambda v \in S. r \otimes m \ v) \ v \odot_M v}) =$
 $r \odot_M (\bigoplus_{Mv \in S. m \ v \odot_M v})$ **by** *auto*

```

from  $h$   $h2$   $0$   $1$   $2$  show  $?thesis$ 
  apply (unfold mod-hom-def, auto)
  apply (rule func-space-is-module)
  apply (unfold mod-hom-axioms-def module-hom-def, auto)
  apply (rule lincomb-closed, unfold func-space-def, auto)
  apply (unfold lincomb-def)
  by auto
qed

lemma (in module) lincomb-sum:
  assumes  $A$ -fin: finite  $A$  and  $A$ inC:  $A \subseteq \text{carrier } M$  and  $a$ -fun:  $a \in A \rightarrow \text{carrier } R$  and
  shows  $\text{lincomb } (\lambda v. a \ v \oplus_R b \ v) \ A = \text{lincomb } a \ A \oplus_M \text{lincomb } b \ A$ 
proof –
  from  $A$ -fin  $A$ inC interpret  $mh$ : mod-hom  $R$  func-space  $A \ M$  ( $\lambda a.$ 
lincomb  $a \ A$ ) by (rule
    lincomb-is-mod-hom)
  let  $?a$ =restrict  $a \ A$ 
  let  $?b$ =restrict  $b \ A$ 
  from  $a$ -fun  $b$ -fun  $A$ -fin  $A$ inC
  have  $1$ : LinearCombinations.module.lincomb  $M$  ( $?a \oplus (\text{LinearCombinations.ring.func-space } R \ A)$ 
 $?b$ )  $A$ 
    = LinearCombinations.module.lincomb  $M$  ( $\lambda x. a \ x \oplus_R b \ x$ )  $A$ 
  apply (unfold func-space-def, auto)
  apply (drule Pi-implies-Pi2) +
  by (simp-all (no-asm-simp) add: R.minus-closed sum-simp cong:
lincomb-cong)
  from  $a$ -fun  $b$ -fun  $A$ -fin  $A$ inC
  have  $2$ : LinearCombinations.module.lincomb  $M$   $?a \ A \oplus_M$ 
LinearCombinations.module.lincomb  $M$   $?b \ A = \text{LinearCombina-}$ 
tions.module.lincomb  $M$   $a \ A \oplus_M$ 
LinearCombinations.module.lincomb  $M$   $b \ A$ 
  apply (subst refl)
  apply (drule Pi-implies-Pi2) +
  by (simp-all (no-asm-simp) add: sum-simp cong: lincomb-cong)
  from  $a$ -fun  $b$ -fun have  $ainC$ :  $?a \in \text{carrier } (\text{LinearCombinations.ring.func-space } R \ A)$ 
  and  $binC$ :  $?b \in \text{carrier } (\text{LinearCombinations.ring.func-space } R \ A)$ 
by (unfold func-space-def, auto)
  from  $ainC$   $binC$  have  $lc$ -sum: LinearCombinations.module.lincomb
 $M$  ( $?a \oplus (\text{LinearCombinations.ring.func-space } R \ A)$   $?b$ )  $A$ 
    = LinearCombinations.module.lincomb  $M$   $?a \ A \oplus_M$ 
LinearCombinations.module.lincomb  $M$   $?b \ A$ 
  by (simp-all cong: lincomb-cong add: mh.f-add func-space-def)
  from  $1$   $2$   $lc$ -sum show  $?thesis$  by auto
qed

```

The negative of a function is just pointwise negation.

```

lemma (in cring) func-space-neg:
  fixes f
  assumes  $f \in \text{carrier } (\text{func-space } S)$ 
  shows  $\ominus_{\text{func-space } S} f = (\lambda v. \text{ if } (v \in S) \text{ then } \ominus_R f v \text{ else undefined})$ 
proof –
  interpret fs: module R func-space S by (rule func-space-is-module)
  from asms show ?thesis
  apply (intro fs.minus-equality)
  apply (unfold func-space-def PiE-def extensional-def)
  apply auto
  apply (intro restrict-ext, auto)
  by (simp add: l-neg coeff-in-ring)
qed

```

Ditto for subtraction. Note the above is really a special case, when a is the 0 function.

```

lemma (in module) lincomb-diff:
  assumes  $A\text{-fin}$ : finite A and  $AinC$ :  $A \subseteq \text{carrier } M$  and  $a\text{-fun}$ :  $a \in A \rightarrow \text{carrier } R$  and
   $b\text{-fun}$ :  $b \in A \rightarrow \text{carrier } R$ 
  shows  $\text{lincomb } (\lambda v. a v \ominus_R b v) A = \text{lincomb } a A \ominus_M \text{lincomb } b A$ 
proof –
  from  $A\text{-fin}$   $AinC$  interpret mh: mod-hom R func-space A M ( $\lambda a. \text{lincomb } a A$ ) by (rule
    lincomb-is-mod-hom)
  let ?a=restrict a A
  let ?b=restrict b A
  from  $a\text{-fun}$   $b\text{-fun}$  have  $ainC$ :  $?a \in \text{carrier } (\text{LinearCombinations.ring.func-space } R A)$ 
  and  $binC$ :  $?b \in \text{carrier } (\text{LinearCombinations.ring.func-space } R A)$ 
  by (unfold func-space-def, auto)
  from  $a\text{-fun}$   $b\text{-fun}$   $ainC$   $binC$   $A\text{-fin}$   $AinC$ 
  have 1:  $\text{LinearCombinations.module.lincomb } M (?a \ominus_{(\text{func-space } A)} ?b) A$ 
  =  $\text{LinearCombinations.module.lincomb } M (\lambda x. a x \ominus_R b x) A$ 
  apply (subst mh.M.M.minus-eq)
  apply (auto simp del: mh.f-minus mh.f-add)
  apply (intro lincomb-cong, auto)
  apply (subst func-space-neg, auto)
  apply (simp add: restrict-def func-space-def)
  by (subst R.minus-eq, auto)
  from  $a\text{-fun}$   $b\text{-fun}$   $A\text{-fin}$   $AinC$ 
  have 2:  $\text{LinearCombinations.module.lincomb } M ?a A \ominus_M \text{LinearCombinations.module.lincomb } M ?b A = \text{LinearCombinations.module.lincomb } M a A \ominus_M \text{LinearCombinations.module.lincomb } M b A$ 
  apply (subst refl)
  apply (drule Pi-implies-Pi2)+

```

```

    by (simp-all (no-asm-simp)
      add: R.minus-closed sum-simp cong: lincomb-cong)
  from ainC binC have lc-sum: LinearCombinations.module.lincomb
M (?a⊖(LinearCombinations.ring.func-space R A) ?b) A
  = LinearCombinations.module.lincomb M ?a A ⊖M
    LinearCombinations.module.lincomb M ?b A
  by (simp-all cong: lincomb-cong add: mh.f-add func-space-def)
  from 1 2 lc-sum show ?thesis by auto
qed

```

The union of nested submodules is a submodule. We will use this to show that span of any set is a submodule.

```

lemma (in module) nested-union-vs:
  fixes I N N'
  assumes subm:  $\bigwedge i. i \in I \implies \text{submodule } R (N i) M$ 
    and max-exists:  $\bigwedge i j. i \in I \implies j \in I \implies (\exists k. k \in I \wedge N i \subseteq N k \wedge N j \subseteq N k)$ 
    and uni:  $N' = (\bigcup i \in I. N i)$ 
    and ne:  $I \neq \{\}$ 
  shows submodule R N' M
proof -
  have 1: module R M..
  from subm have all-in:  $\bigwedge i. i \in I \implies N i \subseteq \text{carrier } M$ 
    by (unfold submodule-def, auto)
  from uni all-in have 2:  $\bigwedge x. x \in N' \implies x \in \text{carrier } M$ 
    by auto
  from uni have 3:  $\bigwedge v w. v \in N' \implies w \in N' \implies v \oplus_M w \in N'$ 
proof -
  fix v w
  assume v:  $v \in N'$  and w:  $w \in N'$ 
  from uni v w obtain i j where i:  $i \in I \wedge v \in N i$  and j:  $j \in I \wedge w \in N j$  by auto
  from max-exists i j obtain k where k:  $k \in I \wedge N i \subseteq N k \wedge N j \subseteq N k$  by presburger
  from v w i j k have v2:  $v \in N k$  and w2:  $w \in N k$  by auto
  from v2 w2 k subm[of k] have vw:  $v \oplus_M w \in N k$  apply (unfold submodule-def) by auto
  from k vw uni show ?thesis v w by auto
qed
  have 4:  $0_M \in N'$ 
proof -
  from ne obtain i where i:  $i \in I$  by auto
  from i subm have zi:  $0_M \in N i$  by (unfold submodule-def, auto)
  from i zi uni show ?thesis by auto
qed
  from uni subm have 5:  $\bigwedge c v. c \in \text{carrier } R \implies v \in N' \implies c \odot_M v \in N'$ 
    by (unfold submodule-def, auto)
  from 1 2 3 4 5 show ?thesis by (unfold submodule-def, auto)

```


qed

lemma (in module) span-is-monotone:

fixes $S\ T$

assumes $subs: S \subseteq T$

shows $span\ S \subseteq span\ T$

proof –

from $subs$ show ?thesis

by (unfold span-def, auto)

qed

lemma (in module) span-is-submodule:

fixes S

assumes $h2: S \subseteq carrier\ M$

shows submodule $R\ (span\ S)\ M$

proof (cases $S = \{\}$)

case True

moreover have module $R\ M..$

ultimately show ?thesis apply (unfold submodule-def span-def

lincomb-def, auto) done

next

case False

show ?thesis

proof (rule nested-union-vs[where ?I = { $F. F \subseteq S \wedge finite\ F$ } and
?N = $\lambda F. span\ F$ and ?N' = $span\ S$])

show $\bigwedge F. F \in \{F. F \subseteq S \wedge finite\ F\} \implies submodule\ R\ (span\ F)\ M$

proof –

fix F

assume $F: F \in \{F. F \subseteq S \wedge finite\ F\}$

from F have $h1: finite\ F$ by auto

from $F\ h2$ have $inC: F \subseteq carrier\ M$ by auto

from $h1\ inC$ interpret $mh: mod-hom\ R\ (func-space\ F)\ M\ (\lambda a.$

$lincomb\ a\ F)$

by (rule lincomb-is-mod-hom)

from $h1\ inC$ have $1: mh.im = span\ F$

apply (unfold mh.im-def)

apply (unfold func-space-def, simp)

apply (subst finite-span, auto)

apply (unfold image-def, auto)

apply (rule-tac $x = restrict\ a\ F$ in bexI)

by (auto intro!: lincomb-cong)

from 1 show submodule $R\ (span\ F)\ M$ by (metis mh.im-is-submodule)

qed

next

show $\bigwedge i\ j. i \in \{F. F \subseteq S \wedge finite\ F\} \implies$

$j \in \{F. F \subseteq S \wedge finite\ F\} \implies$

$\exists k. k \in \{F. F \subseteq S \wedge finite\ F\} \wedge span\ i \subseteq span\ k \wedge span\ j$

```

 $\subseteq \text{span } k$ 
proof –
  fix  $i\ j$ 
  assume  $i: i \in \{F. F \subseteq S \wedge \text{finite } F\}$  and  $j: j \in \{F. F \subseteq S \wedge$ 
 $\text{finite } F\}$ 
  from  $i\ j$  show  $?thesis\ i\ j$ 
  apply ( $\text{rule-tac } x=i \cup j$  in  $exI$ )
  apply ( $\text{auto del: subsetI}$ )
  by ( $\text{intro span-is-monotone, auto del: subsetI}$ )+
qed
next
show  $\text{span } S = (\bigcup_{i \in \{F. F \subseteq S \wedge \text{finite } F\}} \text{span } i)$ 
by ( $\text{unfold span-def, auto}$ )
next
have  $ne: S \neq \{\}$  by  $\text{fact}$ 
from  $ne$  show  $\{F. F \subseteq S \wedge \text{finite } F\} \neq \{\}$  by  $\text{auto}$ 
qed
qed

```

A finite sum does not depend on the ambient module. This can be done for monoid, but "submonoid" isn't currently defined. (It can be copied, however, for groups...) This lemma requires a somewhat annoying lemma `foldD-not-depend`. Then we show that linear combinations, linear independence, span do not depend on the ambient module.

```

lemma (in  $\text{module}$ )  $\text{finsum-not-depend}$ :
  fixes  $a\ A\ N$ 
  assumes  $h1: \text{finite } A$  and  $h2: A \subseteq N$  and  $h3: \text{submodule } R\ N\ M$ 
and  $h4: f: A \rightarrow N$ 
  shows  $(\bigoplus_{(md\ N)} v \in A. f\ v) = (\bigoplus_M v \in A. f\ v)$ 
proof –
  from  $h1\ h2\ h3\ h4$  show  $?thesis$ 
  apply ( $\text{unfold finsum-def finprod-def}$ )
  apply  $\text{simp}$ 
  apply ( $\text{intro foldD-not-depend[where ?B=A]}$ )
  apply ( $\text{unfold submodule-def LCD-def, auto}$ )
  by ( $\text{drule Pi-implies-Pi2, simp-all add: a-ac Pi-mem-Pi2-sub2}$ 
 $\text{ring-subset-carrier}$ ) +
qed

```

```

lemma (in  $\text{module}$ )  $\text{lincomb-not-depend}$ :
  fixes  $a\ A\ N$ 
  assumes  $h1: \text{finite } A$  and  $h2: A \subseteq N$  and  $h3: \text{submodule } R\ N\ M$ 
and  $h4: a: A \rightarrow \text{carrier } R$ 
  shows  $\text{lincomb } a\ A = \text{module.lincomb } (md\ N)\ a\ A$ 
proof –
  from  $h3$  interpret  $N: \text{module } R\ (md\ N)$  by ( $\text{rule submodule-is-module}$ )
  have  $\exists: N = \text{carrier } (md\ N)$  by  $\text{auto}$ 

```

```

have 4: (smult M ) = (smult (md N)) by auto
from h1 h2 h3 h4 have ( $\bigoplus_{(md\ N)\ v \in A. a\ v \odot_M v} = (\bigoplus_{M^v \in A. a\ v \odot_M v}$ )
apply (intro finsum-not-depend, auto)
apply (subst 3)
apply (subst 4)
apply (intro N.smult-closed)
by (drule Pi-implies-Pi2, auto simp add: Pi-simp)
from this show ?thesis by (unfold lincomb-def N.lincomb-def, simp)
qed

```

```

lemma (in module) span-li-not-depend:
  fixes S N
  assumes h2:  $S \subseteq N$  and h3: submodule R N M
  shows module.span R (md N) S = module.span R M S
    and module.lin-dep R (md N) S = module.lin-dep R M S
proof –
from h3 interpret w: module R (md N) by (rule submodule-is-module)
from h2 have 1: submodule R (module.span R (md N) S) (md N)
  by (intro w.span-is-submodule, simp)
have 3:  $\bigwedge a\ A. (finite\ A \wedge A \subseteq S \wedge a \in A \rightarrow carrier\ R \Rightarrow$ 
  module.lincomb M a A = module.lincomb (md N) a A)
proof –
  fix a A
  assume 31: finite A  $\wedge A \subseteq S \wedge a \in A \rightarrow carrier\ R$ 
  from assms 31 show ?thesis a A
  by (intro lincomb-not-depend, auto)
qed
from 3 show 4: module.span R (md N) S = module.span R M S
  apply (unfold span-def w.span-def)
  apply auto
  by (metis)
have zeros:  $0_{md\ N} = 0_M$  by auto
from assms 3 show 5: module.lin-dep R (md N) S = module.lin-dep
R M S
  apply (unfold lin-dep-def w.lin-dep-def)
  apply (subst zeros)
  by metis
qed

```

```

lemma (in module) span-is-subset:
  fixes S N
  assumes h2:  $S \subseteq N$  and h3: submodule R N M
  shows span S  $\subseteq N$ 
proof –
from h3 interpret w: module R (md N) by (rule submodule-is-module)
from h2 have 1: submodule R (module.span R (md N) S) (md N)
  by (intro w.span-is-submodule, simp)
from assms have 4: module.span R (md N) S = module.span R M

```

```

S
  by (rule span-li-not-depend)
  from 1 4 have 5: submodule R (module.span R M S) (md N) by
auto
  from 5 show ?thesis by (unfold submodule-def, simp)
qed

```

```

lemma (in module) span-is-subset2:
  fixes S
  assumes h2:  $S \subseteq \text{carrier } M$ 
  shows  $\text{span } S \subseteq \text{carrier } M$ 
proof -
  have 0: module R M..
  from 0 have h3: submodule R (carrier M) M by (unfold submodule-def,
auto)
  from h2 h3 show ?thesis by (rule span-is-subset)
qed

```

```

lemma (in module) in-own-span:
  fixes S
  assumes inC:  $S \subseteq \text{carrier } M$ 
  shows  $S \subseteq \text{span } S$ 
proof -
  from inC show ?thesis
    apply (unfold span-def, auto)
    apply (rename-tac v)
    apply (rule-tac x=( $\lambda w. \text{if } (w=v) \text{ then } \mathbf{1}_R \text{ else } \mathbf{0}_R$ ) in exI)
    apply (rule-tac x={v} in exI)
    apply (unfold lincomb-def)
    by (auto simp add: finsum-insert)
qed

```

```

lemma (in module) supset-ld-is-ld:
  fixes A B
  assumes ld: lin-dep A and sub:  $A \subseteq B$ 
  shows lin-dep B
proof -
  from ld obtain A' a v where 1: ( $\text{finite } A' \wedge A' \subseteq A \wedge (a \in (A' \rightarrow \text{carrier } R)) \wedge (\text{lincomb } a \ A' = \mathbf{0}_M) \wedge (v \in A') \wedge (a \neq \mathbf{0}_R)$ )
    by (unfold lin-dep-def, auto)
  from 1 sub show ?thesis
    apply (unfold lin-dep-def)
    apply (rule-tac x=A' in exI)
    apply (rule-tac x=a in exI)
    apply (rule-tac x=v in exI)
    by auto
qed

```

```

lemma (in module) subset-li-is-li:
  fixes A B
  assumes li: lin-indpt A and sub: B ⊆ A
  shows lin-indpt B
proof (rule ccontr)
  assume ld: ¬lin-indpt B
  from ld sub have ldA: lin-dep A by (metis supset-ld-is-ld)
  from li ldA show False by auto
qed

lemma (in mod-hom) hom-sum:
  fixes A B g
  assumes h1: finite A and h2: A ⊆ carrier M and h3: g: A → carrier
M
  shows f (⊕M a ∈ A. g a) = (⊕N a ∈ A. f (g a))
proof -
  from h1 h2 h3 show ?thesis
  proof (induct set: finite)
    case empty
    show ?case by auto
  next
    case (insert a A)
    from insert.premis insert.hyps have 1: (⊕N a ∈ insert a A. f (g
a)) = f (g a) ⊕N (⊕N a ∈ A. f (g a))
    by (intro finsum-insert, auto)

    from insert.premis insert.hyps 1 show ?case
    by (simp add: finsum-insert)
  qed
qed
end

```

5 The direct sum of modules.

```

theory SumSpaces
imports Main
  ~~/src/HOL/Algebra/Module
  ~~/src/HOL/Algebra/Coset
  RingModuleFacts
  MonoidSums
  FunctionLemmas
  LinearCombinations
begin

```

We define the direct sum $M_1 \oplus M_2$ of 2 vector spaces as the set $M_1 \times M_2$ under componentwise addition and scalar multiplication.

definition *direct-sum*:: ('a,'b, 'd) module-scheme \Rightarrow ('a, 'c, 'e) module-scheme
 \Rightarrow ('a, ('b \times 'c)) module

where *direct-sum* M1 M2 = (\llbracket carrier = carrier M1 \times carrier M2,
mult = (λ v w. ($\mathbf{0}_{M1}$, $\mathbf{0}_{M2}$)),
one = ($\mathbf{0}_{M1}$, $\mathbf{0}_{M2}$),
zero = ($\mathbf{0}_{M1}$, $\mathbf{0}_{M2}$),
add = (λ v w. (fst v \oplus_{M1} fst w, snd v \oplus_{M2} snd w)),
smult = (λ c v. (c \odot_{M1} fst v, c \odot_{M2} snd v)) \rrbracket)

lemma *direct-sum-is-module*:

fixes R M1 M2

assumes h1: module R M1 **and** h2: module R M2

shows module R (direct-sum M1 M2)

proof –

from h1 **have** 1: cring R **by** (unfold module-def, auto)

from h1 **interpret** v1: module R M1 **by** auto

from h2 **interpret** v2: module R M2 **by** auto

from h1 h2 **have** 2: abelian-group (direct-sum M1 M2)

apply (intro abelian-groupI, auto)

apply (unfold direct-sum-def, auto)

by (auto simp add: v1.a-ac v2.a-ac)

from h1 h2 **assms** **have** 3: module-axioms R (direct-sum M1 M2)

apply (unfold module-axioms-def, auto)

apply (unfold direct-sum-def, auto)

by (auto simp add: v1.smult-l-distr v2.smult-l-distr v1.smult-r-distr

v2.smult-r-distr

v1.smult-assoc1 v2.smult-assoc1)

from 1 2 3 **show** ?thesis **by** (unfold module-def, auto)

qed

definition *inj1*:: ('a,'b) module \Rightarrow ('a, 'c) module \Rightarrow ('b \Rightarrow ('b \times 'c))

where *inj1* M1 M2 = (λ v. (v, $\mathbf{0}_{M2}$))

definition *inj2*:: ('a,'b) module \Rightarrow ('a, 'c) module \Rightarrow ('c \Rightarrow ('b \times 'c))

where *inj2* M1 M2 = (λ v. ($\mathbf{0}_{M1}$, v))

lemma *inj1-hom*:

fixes R M1 M2

assumes h1: module R M1 **and** h2: module R M2

shows mod-hom R M1 (direct-sum M1 M2) (*inj1* M1 M2)

proof –

from h1 **interpret** v1: module R M1 **by** auto

from h2 **interpret** v2: module R M2 **by** auto

from h1 h2 **show** ?thesis

apply (unfold mod-hom-def module-hom-def mod-hom-axioms-def

inj1-def, auto)

apply (rule direct-sum-is-module, auto)

by (unfold direct-sum-def, auto)

qed

```

lemma inj2-hom:
  fixes  $R$   $M1$   $M2$ 
  assumes  $h1$ : module  $R$   $M1$  and  $h2$ : module  $R$   $M2$ 
  shows mod-hom  $R$   $M2$  (direct-sum  $M1$   $M2$ ) (inj2  $M1$   $M2$ )
proof -
  from  $h1$  interpret  $v1$ :module  $R$   $M1$  by auto
  from  $h2$  interpret  $v2$ :module  $R$   $M2$  by auto
  from  $h1$   $h2$  show ?thesis
    apply (unfold mod-hom-def module-hom-def mod-hom-axioms-def
inj2-def, auto)
    apply (rule direct-sum-is-module, auto)
    by (unfold direct-sum-def, auto)
qed

```

For submodules $M_1, M_2 \subseteq M$, the map $M_1 \oplus M_2 \rightarrow M$ given by $(m_1, m_2) \mapsto m_1 + m_2$ is linear.

```

lemma (in module) sum-map-hom:
  fixes  $M1$   $M2$ 
  assumes  $h1$ : submodule  $R$   $M1$   $M$  and  $h2$ : submodule  $R$   $M2$   $M$ 
  shows mod-hom  $R$  (direct-sum (md  $M1$ ) (md  $M2$ ))  $M$  ( $\lambda v. (fst\ v) \oplus_M (snd\ v)$ )
proof -
  have  $0$ : module  $R$   $M$ ..
  from  $h1$  have  $1$ : module  $R$  (md  $M1$ ) by (rule submodule-is-module)
  from  $h2$  have  $2$ : module  $R$  (md  $M2$ ) by (rule submodule-is-module)
  from  $h1$  interpret  $w1$ : module  $R$  (md  $M1$ ) by (rule submodule-is-module)
  from  $h2$  interpret  $w2$ : module  $R$  (md  $M2$ ) by (rule submodule-is-module)
  from  $0$   $h1$   $h2$   $1$   $2$  show ?thesis
    apply (unfold mod-hom-def mod-hom-axioms-def module-hom-def,
auto)
    apply (rule direct-sum-is-module, auto)
    apply (unfold direct-sum-def, auto)
    apply (unfold submodule-def, auto)
    by (auto simp add: a-ac smult-r-distr ring-subset-carrier)
qed

```

```

lemma (in module) sum-is-submodule:
  fixes  $N1$   $N2$ 
  assumes  $h1$ : submodule  $R$   $N1$   $M$  and  $h2$ : submodule  $R$   $N2$   $M$ 
  shows submodule  $R$  (submodule-sum  $N1$   $N2$ )  $M$ 
proof -
  from  $h1$   $h2$  interpret  $l$ : mod-hom  $R$  (direct-sum (md  $N1$ ) (md  $N2$ ))
   $M$  ( $\lambda v. (fst\ v) \oplus_M (snd\ v)$ )
  by (rule sum-map-hom)
  have  $1$ :  $l.im = submodule-sum\ N1\ N2$ 
  apply (unfold l.im-def submodule-sum-def)
  apply (unfold direct-sum-def, auto)

```

```

    by (unfold image-def, auto)
  have 2: submodule R (l.im) M by (rule l.im-is-submodule)
  from 1 2 show ?thesis by auto
qed

```

```

lemma (in module) in-sum:
  fixes N1 N2
  assumes h1: submodule R N1 M and h2: submodule R N2 M
  shows N1  $\subseteq$  submodule-sum N1 N2
proof -
  from h1 h2 show ?thesis
  apply auto
  apply (unfold submodule-sum-def image-def, auto)
  apply (rename-tac v)
  apply (rule-tac x=v in bexI)
  apply (rule-tac x=0M in bexI)
  by (unfold submodule-def, auto)
qed

```

```

lemma (in module) msum-comm:
  fixes N1 N2
  assumes h1: submodule R N1 M and h2: submodule R N2 M
  shows (submodule-sum N1 N2) = (submodule-sum N2 N1)
proof -
  from h1 h2 show ?thesis
  apply (unfold submodule-sum-def image-def, auto)
  apply (unfold submodule-def)
  apply (rename-tac v w)
  by (metis (full-types) M.add.m-comm subsetD)+

```

qed

If $M_1, M_2 \subseteq M$ are submodules, then $M_1 + M_2$ is the minimal subspace such that both $M_1 \subseteq M$ and $M_2 \subseteq M$.

```

lemma (in module) sum-is-minimal:
  fixes N N1 N2
  assumes h1: submodule R N1 M and h2: submodule R N2 M and
  h3: submodule R N M
  shows (submodule-sum N1 N2)  $\subseteq$  N  $\longleftrightarrow$  N1  $\subseteq$  N  $\wedge$  N2  $\subseteq$  N
proof -
  have 1: (submodule-sum N1 N2)  $\subseteq$  N  $\implies$  N1  $\subseteq$  N  $\wedge$  N2  $\subseteq$  N
  proof -
    assume 10: (submodule-sum N1 N2)  $\subseteq$  N
    from h1 h2 have 11: N1  $\subseteq$  submodule-sum N1 N2 by (rule in-sum)
    from h2 h1 have 12: N2  $\subseteq$  submodule-sum N2 N1 by (rule in-sum)
    from 12 h1 h2 have 13: N2  $\subseteq$  submodule-sum N1 N2 by (metis
    msum-comm)
    from 10 11 13 show ?thesis by auto

```



```

qed
have 2:  $N1 \subseteq N \wedge N2 \subseteq N \implies (\text{submodule-sum } N1 \ N2) \subseteq N$ 
proof -
  assume 19:  $N1 \subseteq N \wedge N2 \subseteq N$ 
  {
    fix v
    assume 20:  $v \in \text{submodule-sum } N1 \ N2$ 
    from 20 obtain w1 w2 where 21:  $w1 \in N1$  and 22:  $w2 \in N2$  and
23:  $v = w1 \oplus_M w2$ 
    by (unfold submodule-sum-def image-def, auto)
    from 19 21 22 23 h3 have  $v \in N$ 
    apply (unfold submodule-def, auto)
    by (metis (poly-guards-query) contra-subsetD)

  }
  thus ?thesis
    by (metis subset-iff)
qed
from 1 2 show ?thesis by metis
qed

```

$\text{span}A \cup B = \text{span}A + \text{span}B$

```

lemma (in module) span-union-is-sum:
  fixes A B
  assumes h2:  $A \subseteq \text{carrier } M$  and h3:  $B \subseteq \text{carrier } M$ 
  shows  $\text{span } (A \cup B) = \text{submodule-sum } (\text{span } A) (\text{span } B)$ 
proof-
  let ?AplusB = submodule-sum (span A) (span B)
  from h2 have s0: submodule R (span A) M by (rule span-is-submodule)
  from h3 have s1: submodule R (span B) M by (rule span-is-submodule)
  from s0 have s0-1:  $(\text{span } A) \subseteq \text{carrier } M$  by (unfold submodule-def,
auto)
  from s1 have s1-1:  $(\text{span } B) \subseteq \text{carrier } M$  by (unfold submodule-def,
auto)
  from h2 h3 have 1:  $A \cup B \subseteq \text{carrier } M$  by auto
  from 1 have 2: submodule R (span (A ∪ B)) M by (rule span-is-submodule)
  from s0 s1 have 3: submodule R ?AplusB M by (rule sum-is-submodule)
  have c1:  $\text{span } (A \cup B) \subseteq ?AplusB$ 

proof -
  from h2 have a1:  $A \subseteq \text{span } A$  by (rule in-own-span)
  from s0 s1 have a2:  $\text{span } A \subseteq ?AplusB$  by (rule in-sum)
  from a1 a2 have a3:  $A \subseteq ?AplusB$  by auto

  from h3 have b1:  $B \subseteq \text{span } B$  by (rule in-own-span)
  from s1 s0 have b2:  $\text{span } B \subseteq ?AplusB$  by (metis in-sum msum-comm)

  from b1 b2 have b3:  $B \subseteq ?AplusB$  by auto
  from a3 b3 have 5:  $A \cup B \subseteq ?AplusB$  by auto

```

```

    from 5 3 show ?thesis by (rule span-is-subset)
qed
have c2: ?AplusB  $\subseteq$  span (A $\cup$ B)
proof -
  have 11: A $\subseteq$ A $\cup$ B by auto
  have 12: B $\subseteq$ A $\cup$ B by auto
  from 11 have 21:span A  $\subseteq$ span (A $\cup$ B) by (rule span-is-monotone)
  from 12 have 22:span B  $\subseteq$ span (A $\cup$ B) by (rule span-is-monotone)
  from s0 s1 2 21 22 show ?thesis by (auto simp add: sum-is-minimal)
qed
from c1 c2 show ?thesis by auto
qed

end

```

6 Basic theory of vector spaces, using locales

```

theory VectorSpace
imports Main
  ~~/src/HOL/Algebra/Module
  ~~/src/HOL/Algebra/Coset
  RingModuleFacts
  MonoidSums
  LinearCombinations
  SumSpaces
begin

```

6.1 Basic definitions and facts carried over from modules

A *vectorspace* is a module where the ring is a field. Note that we switch notation from (R, M) to (K, V) .

```

locale vectorspace =
  module: module K V + field: field K
for K and V

```

A *subspace* of a vectorspace is a nonempty subset that is closed under addition and scalar multiplication. These properties have already been defined in submodule. Caution: W is a set, while V is a module record. To get W as a vectorspace, write $vs\ W$.

```

locale subspace =
  fixes K and W and V (structure)
  assumes vs: vectorspace K V
    and submod: submodule K W V

```

```

lemma (in vectorspace) is-module[simp]:
  subspace K W V  $\implies$  submodule K W V
by (unfold subspace-def, auto)

```

We introduce some basic facts and definitions copied from module. We introduce some abbreviations, to match convention.

```

abbreviation (in vectorspace) vs::'c set  $\Rightarrow$  ('a, 'c, 'd) module-scheme
  where vs W  $\equiv V(\downarrow \text{carrier} := W)$ 

```

```

lemma (in vectorspace) carrier-vs-is-self [simp]:
  carrier (vs W) = W
by auto

```

```

lemma (in vectorspace) subspace-is-vs:
  fixes W::'c set
  assumes 0: subspace K W V
  shows vectorspace K (vs W)
proof –
  from 0 show ?thesis
    apply (unfold vectorspace-def subspace-def, auto)
    by (intro submodule-is-module, auto)
qed

```

```

abbreviation (in module) subspace-sum::['c set, 'c set]  $\Rightarrow$  'c set
  where subspace-sum W1 W2  $\equiv$  submodule-sum W1 W2

```

```

lemma (in vectorspace) vs-zero-lin-dep:
  assumes h2: S  $\subseteq$  carrier V and h3: lin-indpt S
  shows  $\mathbf{0}_V \notin S$ 
proof –
  have vs: vectorspace K V..
  from vs have nonzero: carrier K  $\neq \{\mathbf{0}_K\}$ 
    by (metis one-zeroI zero-not-one)
  from h2 h3 nonzero show ?thesis by (rule zero-nin-lin-indpt)
qed

```

A *linear-map* is a module homomorphism between 2 vectorspaces over the same field.

```

locale linear-map =
  V: vectorspace K V + W: vectorspace K W
  + mod-hom: mod-hom K V W T
  for K and V and W and T

```

```

context linear-map
begin
lemmas T-hom = f-hom
lemmas T-add = f-add
lemmas T-smult = f-smult

```

lemmas $T\text{-im} = f\text{-im}$
lemmas $T\text{-neg} = f\text{-neg}$
lemmas $T\text{-minus} = f\text{-minus}$
lemmas $T\text{-ker} = f\text{-ker}$

abbreviation $\text{im}T:: 'e \text{ set}$
where $\text{im}T \equiv \text{mod-hom.im}$

abbreviation $\text{ker}T:: 'c \text{ set}$
where $\text{ker}T \equiv \text{mod-hom.ker}$

lemmas $T0\text{-is-0}[simp] = f0\text{-is-0}$

lemma $\text{ker}T\text{-is-subspace}: \text{subspace } K \text{ ker } V$
proof –
have $vs: \text{vectorspace } K \ V..$
from vs **show** $?thesis$
apply $(\text{unfold subspace-def}, \text{auto})$
by $(\text{rule ker-is-submodule})$
qed

lemma $\text{im}T\text{-is-subspace}: \text{subspace } K \text{ im}T \ W$
proof –
have $vs: \text{vectorspace } K \ W..$
from vs **show** $?thesis$
apply $(\text{unfold subspace-def}, \text{auto})$
by $(\text{rule im-is-submodule})$
qed
end

lemma $vs\text{-criteria}$:
fixes K **and** V
assumes $\text{field}: \text{field } K$
and $\text{zero}: \mathbf{0}_V \in \text{carrier } V$
and $\text{add}: \forall v \ w. v \in \text{carrier } V \wedge w \in \text{carrier } V \longrightarrow v \oplus_V w \in \text{carrier } V$
and $\text{neg}: \forall v \in \text{carrier } V. (\exists \text{neg-}v \in \text{carrier } V. v \oplus_V \text{neg-}v = \mathbf{0}_V)$
and $\text{smult}: \forall c \ v. c \in \text{carrier } K \wedge v \in \text{carrier } V \longrightarrow c \odot_V v \in \text{carrier } V$
and $\text{comm}: \forall v \ w. v \in \text{carrier } V \wedge w \in \text{carrier } V \longrightarrow v \oplus_V w = w \oplus_V v$
and $\text{assoc}: \forall v \ w \ x. v \in \text{carrier } V \wedge w \in \text{carrier } V \wedge x \in \text{carrier } V \longrightarrow (v \oplus_V w) \oplus_V x = v \oplus_V (w \oplus_V x)$
and $\text{add-id}: \forall v \in \text{carrier } V. (v \oplus_V \mathbf{0}_V = v)$
and $\text{compat}: \forall a \ b \ v. a \in \text{carrier } K \wedge b \in \text{carrier } K \wedge v \in \text{carrier } V \longrightarrow (a \otimes_K b) \odot_V v = a \odot_V (b \odot_V v)$
and $\text{smult-id}: \forall v \in \text{carrier } V. (\mathbf{1}_K \odot_V v = v)$
and $\text{dist-f}: \forall a \ b \ v. a \in \text{carrier } K \wedge b \in \text{carrier } K \wedge v \in \text{carrier } V \longrightarrow (a \oplus_K b) \odot_V v = (a \odot_V v) \oplus_V (b \odot_V v)$

and *dist-add*: $\forall a\ v\ w. a \in \text{carrier } K \wedge v \in \text{carrier } V \wedge w \in \text{carrier } V \longrightarrow a \odot_V (v \oplus_V w) = (a \odot_V v) \oplus_V (a \odot_V w)$
shows *vectorspace* $K\ V$
proof –
from *field* **have** 1: *cring* K **by** (*unfold field-def domain-def*, *auto*)
from *assms* 1 **have** 2: *module* $K\ V$ **by** (*intro module-criteria*, *auto*)
from *field* 2 **show** ?thesis **by** (*unfold vectorspace-def module-def*, *auto*)
qed

For any set S , the space of functions $S \rightarrow K$ forms a vector space.

lemma (*in vectorspace*) *func-space-is-vs*:
fixes S
shows *vectorspace* $K\ (\text{func-space } S)$
proof –
have 0: *field* K ..
have 1: *module* $K\ (\text{func-space } S)$ **by** (*rule func-space-is-module*)
from 0 1 **show** ?thesis **by** (*unfold vectorspace-def module-def*, *auto*)
qed

lemma *direct-sum-is-vs*:
fixes $K\ V1\ V2$
assumes *h1*: *vectorspace* $K\ V1$ **and** *h2*: *vectorspace* $K\ V2$
shows *vectorspace* $K\ (\text{direct-sum } V1\ V2)$
proof –
from *h1 h2* **have** *mod*: *module* $K\ (\text{direct-sum } V1\ V2)$ **by** (*unfold vectorspace-def*, *intro direct-sum-is-module*, *auto*)
from *mod h1* **show** ?thesis **by** (*unfold vectorspace-def*, *auto*)
qed

lemma *inj1-linear*:
fixes $K\ V1\ V2$
assumes *h1*: *vectorspace* $K\ V1$ **and** *h2*: *vectorspace* $K\ V2$
shows *linear-map* $K\ V1\ (\text{direct-sum } V1\ V2)\ (\text{inj1 } V1\ V2)$
proof –
from *h1 h2* **have** *mod*: *mod-hom* $K\ V1\ (\text{direct-sum } V1\ V2)\ (\text{inj1 } V1\ V2)$ **by** (*unfold vectorspace-def*, *intro inj1-hom*, *auto*)
from *mod h1 h2* **show** ?thesis
by (*unfold linear-map-def vectorspace-def*, *auto*, *intro direct-sum-is-module*, *auto*)
qed

lemma *inj2-linear*:
fixes $K\ V1\ V2$
assumes *h1*: *vectorspace* $K\ V1$ **and** *h2*: *vectorspace* $K\ V2$
shows *linear-map* $K\ V2\ (\text{direct-sum } V1\ V2)\ (\text{inj2 } V1\ V2)$
proof –

```

from  $h1\ h2$  have  $mod: mod-hom\ K\ V2\ (direct-sum\ V1\ V2)\ (inj2\ V1\ V2)$  by  $(unfold\ vectorspace-def,\ intro\ inj2-hom,\ auto)$ 
from  $mod\ h1\ h2$  show  $?thesis$ 
by  $(unfold\ linear-map-def\ vectorspace-def\ ,\ auto,\ intro\ direct-sum-is-module,\ auto)$ 
qed

```

For subspaces $V_1, V_2 \subseteq V$, the map $V_1 \oplus V_2 \rightarrow V$ given by $(v_1, v_2) \mapsto v_1 + v_2$ is linear.

```

lemma  $(in\ vectorspace)\ sum-map-linear:$ 
  fixes  $V1\ V2$ 
  assumes  $h1: subspace\ K\ V1\ V$  and  $h2: subspace\ K\ V2\ V$ 
  shows  $linear-map\ K\ (direct-sum\ (vs\ V1)\ (vs\ V2))\ V\ (\lambda\ v.\ (fst\ v)\ \oplus_V\ (snd\ v))$ 
proof  $-$ 
  from  $h1\ h2$  have  $mod: mod-hom\ K\ (direct-sum\ (vs\ V1)\ (vs\ V2))\ V\ (\lambda\ v.\ (fst\ v)\ \oplus_V\ (snd\ v))$ 
  by  $(intro\ sum-map-hom,\ unfold\ subspace-def,\ auto)$ 
from  $mod\ h1\ h2$  show  $?thesis$ 
  apply  $(unfold\ linear-map-def,\ auto)$  apply  $(intro\ direct-sum-is-vs\ subspace-is-vs,\ auto).. $$ 
qed$ 
```

```

lemma  $(in\ vectorspace)\ sum-is-subspace:$ 
  fixes  $W1\ W2$ 
  assumes  $h1: subspace\ K\ W1\ V$  and  $h2: subspace\ K\ W2\ V$ 
  shows  $subspace\ K\ (subspace-sum\ W1\ W2)\ V$ 
proof  $-$ 
  from  $h1\ h2$  have  $mod: submodule\ K\ (submodule-sum\ W1\ W2)\ V$ 
  by  $(intro\ sum-is-submodule,\ unfold\ subspace-def,\ auto)$ 
from  $mod\ h1\ h2$  show  $?thesis$ 
  by  $(unfold\ subspace-def,\ auto)$ 
qed

```

If $W_1, W_2 \subseteq V$ are subspaces, $W_1 \subseteq W_1 + W_2$

```

lemma  $(in\ vectorspace)\ in-sum-vs:$ 
  fixes  $W1\ W2$ 
  assumes  $h1: subspace\ K\ W1\ V$  and  $h2: subspace\ K\ W2\ V$ 
  shows  $W1 \subseteq subspace-sum\ W1\ W2$ 
proof  $-$ 
  from  $h1\ h2$  show  $?thesis$  by  $(intro\ in-sum,\ unfold\ subspace-def,\ auto)$ 
qed

```

```

lemma  $(in\ vectorspace)\ vsum-comm:$ 
  fixes  $W1\ W2$ 
  assumes  $h1: subspace\ K\ W1\ V$  and  $h2: subspace\ K\ W2\ V$ 
  shows  $(subspace-sum\ W1\ W2) = (subspace-sum\ W2\ W1)$ 
proof  $-$ 

```

```

from  $h1\ h2$  show ?thesis by (intro msum-comm, unfold subspace-def,
auto)
qed

```

If $W_1, W_2 \subseteq V$ are subspaces, then $W_1 + W_2$ is the minimal subspace such that both $W_1 \subseteq W$ and $W_2 \subseteq W$.

```

lemma (in vectorspace) vsum-is-minimal:
  fixes  $W\ W1\ W2$ 
  assumes  $h1$ : subspace  $K\ W1\ V$  and  $h2$ : subspace  $K\ W2\ V$  and  $h3$ :
  subspace  $K\ W\ V$ 
  shows (subspace-sum  $W1\ W2$ )  $\subseteq W \longleftrightarrow W1 \subseteq W \wedge W2 \subseteq W$ 
proof –
  from  $h1\ h2\ h3$  show ?thesis by (intro sum-is-minimal, unfold
  subspace-def, auto)
qed

```

```

lemma (in vectorspace) span-is-subspace:
  fixes  $S$ 
  assumes  $h2$ :  $S \subseteq \text{carrier } V$ 
  shows subspace  $K\ (\text{span } S)\ V$ 
proof –
  have 0: vectorspace  $K\ V$ ..
  from  $h2$  have 1: submodule  $K\ (\text{span } S)\ V$  by (rule span-is-submodule)
  from 0 1 show ?thesis by (unfold subspace-def mod-hom-def linear-map-def,
  auto)
qed

```

6.1.1 Facts specific to vector spaces

If $av = w$ and $a \neq 0$, $v = a^{-1}w$.

```

lemma (in vectorspace) mult-inverse:
  assumes  $h1$ :  $a \in \text{carrier } K$  and  $h2$ :  $v \in \text{carrier } V$  and  $h3$ :  $a \odot_V v$ 
  =  $w$  and  $h4$ :  $a \neq 0_K$ 
  shows  $v = (\text{inv}_K a) \odot_V w$ 
proof –
  from  $h1\ h2\ h3$  have 1:  $w \in \text{carrier } V$  by auto
  from  $h3\ 1$  have 2:  $(\text{inv}_K a) \odot_V (a \odot_V v) = (\text{inv}_K a) \odot_V w$  by auto
  from  $h1\ h4$  have 3:  $\text{inv}_K a \in \text{carrier } K$  by auto
  interpret  $g$ : group (units-group  $K$ ) by (rule units-form-group)
  have  $f$ : field  $K$ ..
  from  $f\ h1\ h4$  have 4:  $a \in \text{Units } K$ 
  by (unfold field-def field-axioms-def, simp)
  from 4  $h1\ h4$  have 5:  $((\text{inv}_K a) \otimes_K a) = 1_K$ 
  by (intro Units-l-inv, auto)
  from 5 have 6:  $(\text{inv}_K a) \odot_V (a \odot_V v) = v$ 
proof –
  from  $h1\ h2\ h4$  have 7:  $(\text{inv}_K a) \odot_V (a \odot_V v) = (\text{inv}_K a \otimes_K a)$ 
   $\odot_V v$  by (auto simp add: smult-assoc1)

```

from 5 h2 have 8: $(\text{inv}_K a \otimes_K a) \odot_V v = v$ by auto
 from 7 8 show ?thesis by auto
 qed
 from 2 6 show ?thesis by auto
 qed

If $w \in S$ and $\sum_{w \in S} a_w w = 0$, we have $v = \sum_{w \notin S} a_w^{-1} a_w w$

lemma (in *vectorspace*) *lincomb-isolate*:
 fixes $A v$
 assumes $h1$: *finite* A and $h2$: $A \subseteq \text{carrier } V$ and $h3$: $a \in A \rightarrow \text{carrier } K$ and $h4$: $v \in A$
 and $h5$: $a v \neq \mathbf{0}_K$ and $h6$: $\text{lincomb } a A = \mathbf{0}_V$
 shows $v = \text{lincomb } (\lambda w. \ominus_K(\text{inv}_K (a v)) \otimes_K a w) (A - \{v\})$ and $v \in \text{span } (A - \{v\})$
proof –
 from $h1 h2 h3 h4$ have 1: $\text{lincomb } a A = ((a v) \odot_V v) \oplus_V \text{lincomb } a (A - \{v\})$
 by (rule *lincomb-del2*)
 from 1 have 2: $\mathbf{0}_V = ((a v) \odot_V v) \oplus_V \text{lincomb } a (A - \{v\})$ by (simp add: $h6$)
 from $h1 h2 h3$ have 5: $\text{lincomb } a (A - \{v\}) \in \text{carrier } V$ by auto
 from 2 $h1 h2 h3 h4$ have 3: $\ominus_V \text{lincomb } a (A - \{v\}) = ((a v) \odot_V v)$
 by (auto intro!: *M.minus-equality*)
 have 6: $v = (\ominus_K(\text{inv}_K (a v))) \odot_V \text{lincomb } a (A - \{v\})$
proof –
 from $h2 h3 h4 h5 3$ have 7: $v = \text{inv}_K (a v) \odot_V (\ominus_V \text{lincomb } a (A - \{v\}))$
 by (intro *mult-inverse*, auto)
 from *assms* have 8: $\text{inv}_K (a v) \in \text{carrier } K$ by auto
 from *assms* 5 8 have 9: $\text{inv}_K (a v) \odot_V (\ominus_V \text{lincomb } a (A - \{v\}))$

$$= (\ominus_K(\text{inv}_K (a v))) \odot_V \text{lincomb } a (A - \{v\})$$

 by (simp add: *smult-assoc-simp smult-minus-1-back r-minus*)
 from 7 9 show ?thesis by auto
 qed
 from $h1$ have 10: *finite* $(A - \{v\})$ by auto
 from *assms* have 11 : $(\ominus_K(\text{inv}_K (a v))) \in \text{carrier } K$ by auto
 from *assms* have 12: $\text{lincomb } (\lambda w. \ominus_K(\text{inv}_K (a v)) \otimes_K a w) (A - \{v\}) =$

$$(\ominus_K(\text{inv}_K (a v))) \odot_V \text{lincomb } a (A - \{v\})$$

 by (intro *lincomb-smult*, auto)
 from 6 12 show 13: $v = \text{lincomb } (\lambda w. \ominus_K(\text{inv}_K (a v)) \otimes_K a w) (A - \{v\})$ by auto
 from 13 *assms* show 14 : $v \in \text{span } (A - \{v\})$
 apply (unfold *span-def*, auto)
 apply (rule-tac $x = (\lambda w. \ominus_K(\text{inv}_K (a v)) \otimes_K a w)$ in *exI*)
 apply (drule *Pi-implies-Pi2*)
 by (auto simp add: *Pi-simp ring-subset-carrier*)

qed

The map $(S \rightarrow K) \mapsto V$ given by $(a_v)_{v \in S} \mapsto \sum_{v \in S} a_v v$ is linear.

lemma (in *vectorspace*) *lincomb-is-linear*:

fixes S

assumes h : *finite* S **and** $h2$: $S \subseteq \text{carrier } V$

shows *linear-map* K (*func-space* S) V ($\lambda a. \text{lincomb } a \ S$)

proof –

have 0 : *vectorspace* $K \ V$..

from $h \ h2$ **have** 1 : *mod-hom* K (*func-space* S) V ($\lambda a. \text{lincomb } a \ S$)

by (*rule lincomb-is-mod-hom*)

from $0 \ 1$ **show** *?thesis* **by** (*unfold vectorspace-def mod-hom-def linear-map-def, auto*)

qed

6.2 Basic facts about span and linear independence

If S is linearly independent, then $v \in \text{span } S$ iff $S \cup \{v\}$ is linearly dependent.

theorem (in *vectorspace*) *lin-dep-iff-in-span*:

fixes $A \ v \ S$

assumes $h1$: $S \subseteq \text{carrier } V$ **and** $h2$: *lin-indpt* S **and** $h3$: $v \in \text{carrier } V$ **and** $h4$: $v \notin S$

shows $v \in \text{span } S \iff \text{lin-dep } (S \cup \{v\})$

proof –

let $?T = S \cup \{v\}$

have 0 : $v \in ?T$ **by** *auto*

from $h1 \ h3$ **have** $h1-1$: $?T \subseteq \text{carrier } V$ **by** *auto*

have $a1$: $\text{lin-dep } ?T \implies v \in \text{span } S$

proof –

assume $a11$: *lin-dep* $?T$

from $a11$ **obtain** $a \ w \ A$ **where** a : (*finite* $A \wedge A \subseteq ?T \wedge (a \in (A \rightarrow \text{carrier } K)) \wedge (\text{lincomb } a \ A = \mathbf{0}_V) \wedge (w \in A) \wedge (a \ w \neq \mathbf{0}_K)$)

by (*metis lin-dep-def*)

from *assms* a **have** $nz2$: $\exists v \in A - S. \ a \ v \neq \mathbf{0}_K$

by (*intro lincomb-must-include* [**where** $?v = w$ **and** $?T = S \cup \{v\}$], *auto*)

from $a \ nz2$ **have** *singleton*: $\{v\} = A - S$ **by** *auto*

from *singleton* $nz2$ **have** $nz3$: $a \ v \neq \mathbf{0}_K$ **by** *auto*

let $?b = (\lambda w. \ominus_K (\text{inv}_K (a \ v)) \otimes_K (a \ w))$

from *singleton* **have** $Ains$: $(A \cap S) = A - \{v\}$ **by** *auto*

from *assms* a *singleton* $nz3$ **have** $a31$: $v = \text{lincomb } ?b \ (A \cap S)$

apply (*subst Ains*)

by (*intro lincomb-isolate*(1), *auto*)

from $a \ a31 \ nz3$ *singleton* **show** *?thesis*

apply (*unfold span-def, auto*)

apply (*rule-tac* $x = ?b$ **in** *exI*)

```

    apply (rule-tac x=A∩S in exI)
    by (auto intro!: m-closed)
qed
have a2: v ∈ (span S) ⇒ lin-dep ?T
proof -
  assume inspan: v ∈ (span S)
  from inspan obtain a A where a: A ⊆ S ∧ finite A ∧ (v = lincomb
a A) ∧ a ∈ A → carrier K by (simp add: span-def, auto)
  let ?b = λ w. if (w=v) then (⊖K 1K) else a w
  have lc0: lincomb ?b (A ∪ {v}) = 0V
  proof -
    from assms a have lc-ins: lincomb ?b (A ∪ {v}) = ((?b v) ⊙V v)
    ⊕V lincomb ?b A
    by (intro lincomb-insert, auto)
    from assms a have lc-elim: lincomb ?b A = lincomb a A by (intro
lincomb-elim-if, auto)
    from assms lc-ins lc-elim a show ?thesis by (simp add: M.l-neg
smult-minus-1)
  qed
  from a lc0 show ?thesis
  apply (unfold lin-dep-def)
  apply (rule-tac x=A ∪ {v} in exI)
  apply (rule-tac x=?b in exI)
  apply (rule-tac x=v in exI)
  by auto
qed
from a1 a2 show ?thesis by auto
qed

```

If $v \in \text{span } A$ then $\text{span } A = \text{span}(A \cup \{v\})$

lemma (in *vectorspace*) *already-in-span*:

```

  fixes v A
  assumes inC: A ⊆ carrier V and inspan: v ∈ span A
  shows span A = span (A ∪ {v})
proof -
  from inC inspan have dir1: span A ⊆ span (A ∪ {v}) by (intro
span-is-monotone, auto)

  from inC have inown: A ⊆ span A by (rule in-own-span)
  from inC have subm: submodule K (span A) V by (rule span-is-submodule)
  from inown inspan subm have dir2: span (A ∪ {v}) ⊆ span A by
(intro span-is-subset, auto)

  from dir1 dir2 show ?thesis by auto
qed

```

6.3 The Replacement Theorem

If $A, B \subseteq V$ are finite, A is linearly independent, B generates W , and $A \subseteq W$, then there exists $C \subseteq V$ disjoint from A such that $\text{span}(A \cup C) = W$ and $|C| \leq |B| - |A|$. In other words, we can complete any linearly independent set to a generating set of W by adding at most $|B| - |A|$ more elements.

theorem (in *vectorspace*) *replacement*:

```

fixes A B
assumes h1: finite A
         and h2: finite B
         and h3: B ⊆ carrier V
         and h4: lin-indpt A
         and h5: A ⊆ span B
shows ∃ C. finite C ∧ C ⊆ carrier V ∧ C ⊆ span B ∧ C ∩ A = {} ∧ int
(int C) ≤ (int (card B)) - (int (card A)) ∧ (span (A ∪ C) = span
B)
(is ∃ C. ?P A B C)

using h1 h2 h3 h4 h5
proof (induct card A arbitrary: A B)
  case 0
    from 0.prem(1) 0.hyps have a0: A = {} by auto
    from 0.prem(3) have a3: B ⊆ span B by (rule in-own-span)
    from a0 a3 0.prem show ?case by (rule-tac x=B in exI, auto)
  next
    case (Suc m)
    let ?W = span B
    from Suc.prem(3) have BinC: span B ⊆ carrier V by (rule span-is-subset2)

    from Suc.prem Suc.hyps BinC have A: finite A lin-indpt A A ⊆ span
B Suc m = card A A ⊆ carrier V
    by auto

    from Suc.prem have B: finite B B ⊆ carrier V by auto

    from Suc.hyps(2) obtain v where v: v ∈ A by fastforce
    let ?A' = A - {v}

    from A(2) have liA': lin-indpt ?A'
    apply (intro subset-li-is-li[of A ?A'])
    by auto
    from v liA' Suc.prem Suc.hyps(2) have ∃ C'. ?P ?A' B C'
    apply (intro Suc.hyps(1))
    by auto
    from this obtain C' where C': ?P ?A' B C' by auto

    show ?case
    proof (cases v ∈ C')

```

```

case True
have vinC':  $v \in C'$  by fact
from vinC' v have seteq:  $A - \{v\} \cup C' = A \cup (C' - \{v\})$  by
auto
from C' seteq have spaneq:  $\text{span } (A \cup (C' - \{v\})) = \text{span } (B)$ 
by algebra
from Suc.premS Suc.hyps C' vinC' v spaneq show ?thesis
apply (rule-tac x=C'-{v} in exI)
apply (subgoal-tac card C' > 0)
by auto
next
case False
have f:  $v \notin C'$  by fact
from A v C' have  $\exists a. a \in (?A' \cup C') \rightarrow \text{carrier } K \wedge \text{lincomb } a (?A' \cup C') = v$ 
by (intro finite-in-span, auto)
from this obtain a where a:  $a \in (?A' \cup C') \rightarrow \text{carrier } K \wedge v = \text{lincomb } a (?A' \cup C')$  by metis
let ?b = ( $\lambda w. \text{if } (w=v) \text{ then } \ominus_K \mathbf{1}_K \text{ else } a w$ )
from a have b:  $?b \in A \cup C' \rightarrow \text{carrier } K$  by auto
from v have rewrite-ins:  $A \cup C' = (?A' \cup C') \cup \{v\}$  by auto
from f have  $v \notin ?A' \cup C'$  by auto
from this A C' v a f have lcb:  $\text{lincomb } ?b (A \cup C') = \mathbf{0}_V$ 
apply (subst rewrite-ins)
apply (subst lincomb-insert)
apply (simp-all add: ring-subset-carrier coeff-in-ring)
apply (auto split: split-if-asm)
apply (subst lincomb-elim-if)
by (auto simp add: smult-minus-1 l-neg ring-subset-carrier)

from C' f have rewrite-minus:  $C' = (A \cup C') - A$  by auto
from A C' b lcb v have exw:  $\exists w \in C'. ?b w \neq \mathbf{0}_K$ 
apply (subst rewrite-minus)
apply (intro lincomb-must-include[where ?T=A∪C' and ?v=v])
by auto
from exw obtain w where w:  $w \in C' ?b w \neq \mathbf{0}_K$  by auto
from A C' w f b lcb have w-in:  $w \in \text{span } ((A \cup C') - \{w\})$ 
apply (intro lincomb-isolate[where a=?b])
by auto
have spaneq2:  $\text{span } (A \cup (C' - \{w\})) = \text{span } B$ 
proof -
have 1:  $\text{span } (?A' \cup C') = \text{span } (A \cup C')$ 
proof -
from A C' v have m1:  $\text{span } (?A' \cup C') = \text{span } ((?A' \cup C') \cup \{v\})$ 
apply (intro already-in-span)
by auto
from f m1 show ?thesis by (metis rewrite-ins)
qed

```

```

      have 2:  $\text{span } (A \cup (C' - \{w\})) = \text{span } (A \cup C')$ 
    proof -
      from  $C' \ w(1) \ f$  have  $b60: A \cup (C' - \{w\}) = (A \cup C') - \{w\}$ 
    by auto
      from  $w(1)$  have  $b61: A \cup C' = (A \cup C' - \{w\}) \cup \{w\}$  by auto
      from  $A \ C' \ w\text{-in}$  show ?thesis
        apply (subst b61)
        apply (subst b60)
        apply (intro already-in-span)
        by auto
      qed
    from  $C' \ 1 \ 2$  show ?thesis by auto
  qed
  from  $A \ C' \ w \ f \ v \ \text{spaneq2}$  show ?thesis
    apply (rule-tac  $x = C' - \{w\}$  in exI)
    apply (subgoal-tac  $\text{card } C' > 0$ )
    by auto
  qed
qed

```

6.4 Defining dimension and bases.

Finite dimensional is defined as having a finite generating set.

definition (in *vectorspace*) *fin-dim*:: bool
 where *fin-dim* = $(\exists A. ((\text{finite } A) \wedge (A \subseteq \text{carrier } V) \wedge (\text{gen-set } A)))$

The dimension is the size of the smallest generating set. For equivalent characterizations see below.

definition (in *vectorspace*) *dim*:: nat
 where *dim* = $(\text{LEAST } n. (\exists A. ((\text{finite } A) \wedge (\text{card } A = n) \wedge (A \subseteq \text{carrier } V) \wedge (\text{gen-set } A))))$

A *basis* is a linearly independent generating set.

definition (in *vectorspace*) *basis*:: 'c set \Rightarrow bool
 where *basis* $A = ((\text{lin-indpt } A) \wedge (\text{gen-set } A) \wedge (A \subseteq \text{carrier } V))$

From the replacement theorem, any linearly independent set is smaller than any generating set.

lemma (in *vectorspace*) *li-smaller-than-gen*:
 fixes $A \ B$
 assumes $h1: \text{finite } A$ and $h2: \text{finite } B$ and $h3: A \subseteq \text{carrier } V$ and
 $h4: B \subseteq \text{carrier } V$
 and $h5: \text{lin-indpt } A$ and $h6: \text{gen-set } B$
 shows $\text{card } A \leq \text{card } B$
proof -
 from $h3 \ h6$ have $1: A \subseteq \text{span } B$ by auto
 from $h1 \ h2 \ h4 \ h5 \ 1$ obtain C where

$2: \text{finite } C \wedge C \subseteq \text{carrier } V \wedge C \subseteq \text{span } B \wedge C \cap A = \{\} \wedge \text{int } (\text{card } C) \leq \text{int } (\text{card } B) - \text{int } (\text{card } A) \wedge (\text{span } (A \cup C) = \text{span } B)$
 by (metis replacement)
 from 2 show ?thesis by arith
 qed

The dimension is the cardinality of any basis. (In particular, all bases are the same size.)

lemma (in *vectorspace*) *dim-basis*:
 fixes A
 assumes $\text{fin}: \text{finite } A$ and $\text{h2}: \text{basis } A$
 shows $\text{dim} = \text{card } A$
proof –
 have $0: \bigwedge B m. ((\text{finite } B) \wedge (\text{card } B = m) \wedge (B \subseteq \text{carrier } V) \wedge (\text{gen-set } B)) \implies \text{card } A \leq m$
proof –
 fix $B m$
 assume $1: ((\text{finite } B) \wedge (\text{card } B = m) \wedge (B \subseteq \text{carrier } V) \wedge (\text{gen-set } B))$
 from 1 fin h2 have $2: \text{card } A \leq \text{card } B$
 apply (unfold basis-def)
 apply (intro li-smaller-than-gen)
 by auto
 from 1 2 show ?thesis B m by auto
 qed
 from fin h2 0 show ?thesis
 apply (unfold dim-def basis-def)
 apply (intro Least-equality)
 apply (rule-tac $x=A$ in exI)
 by auto
 qed

A *maximal* set with respect to P is such that if $B \supseteq A$ and P is also satisfied for B , then $B = A$.

definition *maximal*:: $'a \text{ set} \Rightarrow ('a \text{ set} \Rightarrow \text{bool}) \Rightarrow \text{bool}$
 where $\text{maximal } A P = ((P A) \wedge (\forall B. B \supseteq A \wedge P B \longrightarrow B = A))$

A *minimal* set with respect to P is such that if $B \subseteq A$ and P is also satisfied for B , then $B = A$.

definition *minimal*:: $'a \text{ set} \Rightarrow ('a \text{ set} \Rightarrow \text{bool}) \Rightarrow \text{bool}$
 where $\text{minimal } A P = ((P A) \wedge (\forall B. B \subseteq A \wedge P B \longrightarrow B = A))$

A maximal linearly independent set is a generating set.

lemma (in *vectorspace*) *max-li-is-gen*:
 fixes A
 assumes $\text{h1}: \text{maximal } A (\lambda S. S \subseteq \text{carrier } V \wedge \text{lin-indpt } S)$
 shows $\text{gen-set } A$
proof (rule ccontr)

```

assume 0:  $\neg(\text{gen-set } A)$ 
from h1 have 1:  $A \subseteq \text{carrier } V \wedge \text{lin-indpt } A$  by (unfold maximal-def,
auto)
from 1 have 2:  $\text{span } A \subseteq \text{carrier } V$  by (intro span-is-subset2, auto)
from 0 1 2 have 3:  $\exists v. v \in \text{carrier } V \wedge v \notin (\text{span } A)$ 
by auto
from 3 obtain v where 4:  $v \in \text{carrier } V \wedge v \notin (\text{span } A)$  by auto
have 5:  $v \notin A$ 
proof –
from h1 1 have 51:  $A \subseteq \text{span } A$  apply (intro in-own-span) by auto
from 4 51 show ?thesis by auto
qed
from lin-dep-iff-in-span have 6:  $\bigwedge S v. S \subseteq \text{carrier } V \wedge \text{lin-indpt } S$ 
 $\wedge v \in \text{carrier } V \wedge v \notin S$ 
 $\wedge v \notin \text{span } S \implies (\text{lin-indpt } (S \cup \{v\}))$  by auto
from 1 4 5 have 7:  $\text{lin-indpt } (A \cup \{v\})$  apply (intro 6) by auto

have 9:  $\neg(\text{maximal } A (\lambda S. S \subseteq \text{carrier } V \wedge \text{lin-indpt } S))$ 
proof –
from 1 4 5 7 have 8:  $(\exists B. A \subseteq B \wedge B \subseteq \text{carrier } V \wedge \text{lin-indpt } B \wedge B \neq A)$ 
apply (rule-tac x=A $\cup\{v\}$  in exI)
by auto
from 8 show ?thesis
apply (unfold maximal-def)
by simp
qed
from h1 9 show False by auto
qed

```

A minimal generating set is linearly independent.

```

lemma (in vectorspace) min-gen-is-li:
fixes A
assumes h1:  $\text{minimal } A (\lambda S. S \subseteq \text{carrier } V \wedge \text{gen-set } S)$ 
shows lin-indpt A
proof (rule ccontr)
assume 0:  $\neg \text{lin-indpt } A$ 
from h1 have 1:  $A \subseteq \text{carrier } V \wedge \text{gen-set } A$  by (unfold minimal-def,
auto)
from 1 have 2:  $\text{span } A = \text{carrier } V$  by auto
from 0 1 obtain a v A' where
3:  $\text{finite } A' \wedge A' \subseteq A \wedge a \in A' \rightarrow \text{carrier } K \wedge \text{LinearCombinations.module.lincomb } V a A' = \mathbf{0}_V \wedge v \in A' \wedge a v \neq \mathbf{0}_K$ 
by (unfold lin-dep-def, auto)
have 4:  $\text{gen-set } (A - \{v\})$ 
proof –
from 1 3 have 5:  $v \in \text{span } (A' - \{v\})$ 
apply (intro lincomb-isolate[where a=a and v=v])
by auto

```

```

    from 3 5 have 51:  $v \in \text{span } (A - \{v\})$ 
      apply (intro subsetD[where ?A=span (A'-{v}) and ?B=span
(A-{v}) and ?c=v])
      by (intro span-is-monotone, auto)
    from 1 have 6:  $A \subseteq \text{span } A$  apply (intro in-own-span) by auto
    from 1 51 have 7:  $\text{span } (A - \{v\}) = \text{span } ((A - \{v\}) \cup \{v\})$  apply
(intro already-in-span) by auto
    from 3 have 8:  $A = ((A - \{v\}) \cup \{v\})$  by auto
    from 2 7 8 have 9:  $\text{span } (A - \{v\}) = \text{carrier } V$  by auto
    from 9 show ?thesis by auto
  qed
  have 10:  $\neg(\text{minimal } A (\lambda S. S \subseteq \text{carrier } V \wedge \text{gen-set } S))$ 
  proof -
    from 1 3 4 have 11:  $(\exists B. A \supseteq B \wedge B \subseteq \text{carrier } V \wedge \text{gen-set } B$ 
 $\wedge B \neq A)$ 
      apply (rule-tac x=A-{v} in exI)
      by auto
    from 11 show ?thesis
      apply (unfold minimal-def)
      by auto
  qed
  from h1 10 show False by auto
qed

```

Given that some finite set satisfies P , there is a minimal set that satisfies P .

```

lemma minimal-exists:
  fixes A P
  assumes h1: finite A and h2: P A
  shows  $\exists B. B \subseteq A \wedge \text{minimal } B P$ 
using h1 h2
proof (induct card A arbitrary: A rule: less-induct)
case (less A)
  show ?case
  proof (cases card A = 0)
  case True
    from True less.hyps less.prem1 show ?thesis
      apply (rule-tac x={} in exI)
      apply (unfold minimal-def)
      by auto
  next
  case False
    show ?thesis
    proof (cases minimal A P)
    case True
      then show ?thesis
        apply (rule-tac x=A in exI)
        by auto
    next

```



```

case False
  have 2:  $\neg \text{minimal } A \ P$  by fact
  from less.prems 2 have 3:  $\exists B. P \ B \wedge B \subseteq A \wedge B \neq A$ 
  apply (unfold minimal-def)
  by auto
  from 3 obtain B where 4:  $P \ B \wedge B \subseteq A \wedge B \neq A$  by auto
  from 4 have 5:  $\text{card } B < \text{card } A$  by (metis less.prems(1)
psubset-card-mono)
  from less.hyps less.prems 3 4 5 have 6:  $\exists C \subseteq B. \text{minimal } C \ P$ 
  apply (intro less.hyps)
  apply auto
  by (metis rev-finite-subset)
  from 6 obtain C where 7:  $C \subseteq B \wedge \text{minimal } C \ P$  by auto
  from 4 7 show ?thesis
  apply (rule-tac x=C in exI)
  apply (unfold minimal-def)
  by auto
qed
qed
qed

```

If V is finite-dimensional, then any linearly independent set is finite.

```

lemma (in vectorspace) fin-dim-li-fin:
  assumes fd: fin-dim and li: lin-indpt A and inC:  $A \subseteq \text{carrier } V$ 
  shows fin: finite A
proof (rule ccontr)
  assume A:  $\neg \text{finite } A$ 
  from fd obtain C where  $C: \text{finite } C \wedge C \subseteq \text{carrier } V \wedge \text{gen-set } C$ 
by (unfold fin-dim-def, auto)
  from A obtain B where  $B: B \subseteq A \wedge \text{finite } B \wedge \text{card } B = \text{card } C + 1$ 
  by (metis infinite-arbitrarily-large)
  from B li have liB: lin-indpt B
  by (intro subset-li-is-li[where ?A=A and ?B=B], auto)
  from B C liB inC have  $\text{card } B \leq \text{card } C$  by (intro li-smaller-than-gen, auto)
  from this B show False by auto
qed

```

If V is finite-dimensional (has a finite generating set), then a finite basis exists.

```

lemma (in vectorspace) finite-basis-exists:
  assumes h1: fin-dim
  shows  $\exists \beta. \text{finite } \beta \wedge \text{basis } \beta$ 
proof –
  from h1 obtain A where  $1: \text{finite } A \wedge A \subseteq \text{carrier } V \wedge \text{gen-set } A$ 
by (metis fin-dim-def)
  hence 2:  $\exists \beta. \beta \subseteq A \wedge \text{minimal } \beta \ (\lambda S. S \subseteq \text{carrier } V \wedge \text{gen-set } S)$ 

```

apply (*intro minimal-exists*)
by *auto*
then obtain β **where** $\beta \subseteq A \wedge \text{minimal } \beta \ (\lambda S. S \subseteq \text{carrier } V \wedge \text{gen-set } S)$ **by** *auto*
hence $4: \text{lin-indpt } \beta$ **apply** (*intro min-gen-is-li*) **by** *auto*
moreover from 3 **have** $5: \text{gen-set } \beta \wedge \beta \subseteq \text{carrier } V$ **apply** (*unfold minimal-def*) **by** *auto*
moreover from $1\ 3$ **have** $6: \text{finite } \beta$ **by** (*auto simp add: finite-subset*)
ultimately show *?thesis* **apply** (*unfold basis-def*) **by** *auto*
qed

The proof is as follows.

1. Because V is finite-dimensional, there is a finite generating set (we took this as our definition of finite-dimensional).
2. Hence, there is a minimal $\beta \subseteq A$ such that β generates V .
3. β is linearly independent because a minimal generating set is linearly independent.

Finally, β is a basis because it is both generating and linearly independent.

Any linearly independent set has cardinality at most equal to the dimension.

lemma (*in vectorspace*) *li-le-dim*:
fixes A
assumes $fd: \text{fin-dim}$ **and** $c: A \subseteq \text{carrier } V$ **and** $l: \text{lin-indpt } A$
shows $\text{finite } A \ \text{card } A \leq \text{dim}$
proof –
from $fd\ c\ l$ **show** $fa: \text{finite } A$ **by** (*intro fin-dim-li-fin, auto*)
from fd **obtain** β **where** $1: \text{finite } \beta \wedge \text{basis } \beta$
by (*metis finite-basis-exists*)
from $assms\ fa\ 1$ **have** $2: \text{card } A \leq \text{card } \beta$
apply (*intro li-smaller-than-gen, auto*)
by (*unfold basis-def, auto*)
from $assms\ 1$ **have** $3: \text{dim} = \text{card } \beta$ **by** (*intro dim-basis, auto*)
from $2\ 3$ **show** $\text{card } A \leq \text{dim}$ **by** *auto*
qed

Any generating set has cardinality at least equal to the dimension.

lemma (*in vectorspace*) *gen-ge-dim*:
fixes A
assumes $fa: \text{finite } A$ **and** $c: A \subseteq \text{carrier } V$ **and** $l: \text{gen-set } A$
shows $\text{card } A \geq \text{dim}$
proof –
from $assms$ **have** $fd: \text{fin-dim}$ **by** (*unfold fin-dim-def, auto*)
from fd **obtain** β **where** $1: \text{finite } \beta \wedge \text{basis } \beta$ **by** (*metis finite-basis-exists*)

```

from assms 1 have 2:  $\text{card } A \geq \text{card } \beta$ 
  apply (intro li-smaller-than-gen, auto)
  by (unfold basis-def, auto)
from assms 1 have 3:  $\text{dim} = \text{card } \beta$  by (intro dim-basis, auto)
from 2 3 show ?thesis by auto
qed

```

If there is an upper bound on the cardinality of sets satisfying P , then there is a maximal set satisfying P .

```

lemma maximal-exists:
  fixes  $P B N$ 
  assumes maxc:  $\bigwedge A. P A \implies \text{finite } A \wedge (\text{card } A \leq N)$  and  $b: P B$ 
  shows  $\exists A. \text{finite } A \wedge \text{maximal } A P$ 
proof –

```

```

  let ?S = { $\text{card } A \mid A. P A$ }
  let ?n = Max ?S
  from maxc have 1: finite ?S
    apply (simp add: finite-nat-set-iff-bounded-le) by auto
  from 1 have 2:  $?n \in ?S$ 
    by (metis (mono-tags, lifting) Collect-empty-eq Max-in b)
  from assms 2 have 3:  $\exists A. P A \wedge \text{finite } A \wedge \text{card } A = ?n$ 
    by auto
  from 3 obtain  $A$  where 4:  $P A \wedge \text{finite } A \wedge \text{card } A = ?n$  by auto
  from 1 maxc have 5:  $\bigwedge A. P A \implies \text{finite } A \wedge (\text{card } A \leq ?n)$ 
    by (metis (mono-tags, lifting) Max.coboundedI mem-Collect-eq)
  from 4 5 have 6: maximal  $A P$ 
    apply (unfold maximal-def)
    by (metis card-seteq)
  from 4 6 show ?thesis by auto
qed

```

Any maximal linearly independent set is a basis.

```

lemma (in vectorspace) max-li-is-basis:
  fixes  $A$ 
  assumes h1: maximal  $A$  ( $\lambda S. S \subseteq \text{carrier } V \wedge \text{lin-indpt } S$ )
  shows basis  $A$ 
proof –
  from h1 have 1: gen-set  $A$  by (rule max-li-is-gen)
  from assms 1 show ?thesis by (unfold basis-def maximal-def, auto)
qed

```

Any minimal linearly independent set is a generating set.

```

lemma (in vectorspace) min-gen-is-basis:
  fixes  $A$ 
  assumes h1: minimal  $A$  ( $\lambda S. S \subseteq \text{carrier } V \wedge \text{gen-set } S$ )
  shows basis  $A$ 
proof –
  from h1 have 1: lin-indpt  $A$  by (rule min-gen-is-li)

```

from *assms* 1 **show** *?thesis* **by** (*unfold basis-def minimal-def*, *auto*)
qed

Any linearly independent set with cardinality at least the dimension is a basis.

lemma (**in** *vectorspace*) *dim-li-is-basis*:
fixes *A*
assumes *fd*: *fin-dim* **and** *fa*: *finite A* **and** *ca*: $A \subseteq \text{carrier } V$ **and** *li*:
lin-indpt A
and *d*: $\text{card } A \geq \text{dim}$
shows *basis A*
proof –
from *fd* **have** 0: $\bigwedge S. S \subseteq \text{carrier } V \wedge \text{lin-indpt } S \implies \text{finite } S \wedge \text{card } S \leq \text{dim}$
by (*auto intro: li-le-dim*)

from 0 *assms* **have** *h1*: $\text{finite } A \wedge \text{maximal } A (\lambda S. S \subseteq \text{carrier } V \wedge \text{lin-indpt } S)$
apply (*unfold maximal-def*)
apply *auto*
by (*metis card-seteq eq-iff*)
from *h1* **show** *?thesis* **by** (*auto intro: max-li-is-basis*)
qed

Any generating set with cardinality at most the dimension is a basis.

lemma (**in** *vectorspace*) *dim-gen-is-basis*:
fixes *A*
assumes *fa*: *finite A* **and** *ca*: $A \subseteq \text{carrier } V$ **and** *li*: *gen-set A*
and *d*: $\text{card } A \leq \text{dim}$
shows *basis A*
proof –
have 0: $\bigwedge S. \text{finite } S \wedge S \subseteq \text{carrier } V \wedge \text{gen-set } S \implies \text{card } S \geq \text{dim}$
by (*intro gen-ge-dim, auto*)

from 0 *assms* **have** *h1*: $\text{minimal } A (\lambda S. \text{finite } S \wedge S \subseteq \text{carrier } V \wedge \text{gen-set } S)$
apply (*unfold minimal-def*)
apply *auto*
by (*metis card-seteq eq-iff*)

from *h1* **have** *h*: $\bigwedge B. B \subseteq A \wedge B \subseteq \text{carrier } V \wedge \text{LinearCombinations.module.gen-set } K \ V \ B \implies B = A$
proof –
fix *B*
assume *asm*: $B \subseteq A \wedge B \subseteq \text{carrier } V \wedge \text{LinearCombinations.module.gen-set } K \ V \ B$
from *asm h1* **have** *finite B*
apply (*unfold minimal-def*)

```

      apply (intro finite-subset[where ?A=B and ?B=A])
    by auto
  from h1 asm this show ?thesis B apply (unfold minimal-def) by
simp
qed
from h1 h have h2: minimal A (λS. S ⊆ carrier V ∧ gen-set S)
  apply (unfold minimal-def)
  by presburger
from h2 show ?thesis by (rule min-gen-is-basis)
qed

```

β is a basis iff for all $v \in V$, there exists a unique $(a_v)_{v \in S}$ such that $\sum_{v \in S} a_v v = v$.

lemma (in *vectorspace*) *basis-criterion*:

```

  fixes A
  assumes A-fin: finite A and AinC: A ⊆ carrier V
  shows basis A <-> (∀ v. v ∈ carrier V ⟶ (∃! a. a ∈ A ⟶E carrier K ∧
lincomb a A = v))
proof -
  have 1: ¬(∀ v. v ∈ carrier V ⟶ (∃! a. a ∈ A ⟶E carrier K ∧
lincomb a A = v)) ⟹ ¬basis A
  proof -
    assume ¬(∀ v. v ∈ carrier V ⟶ (∃! a. a ∈ A ⟶E carrier K ∧
lincomb a A = v))
    then obtain v where v: v ∈ carrier V ∧ ¬(∃! a. a ∈ A ⟶E carrier
K ∧ lincomb a A = v) by metis

```

```

  from v have vinC: v ∈ carrier V by auto
  from v have ¬(∃ a. a ∈ A ⟶E carrier K ∧ lincomb a A = v) ∨
(∃ a b.
  a ∈ A ⟶E carrier K ∧ lincomb a A = v ∧ b ∈ A ⟶E carrier K ∧
lincomb b A = v
  ∧ a ≠ b) by metis
  from this show ?thesis
proof (rule disjE)
  assume a: ¬(∃ a. a ∈ A ⟶E carrier K ∧ lincomb a A = v)
  from A-fin AinC have 1: ⋀ a. a ∈ A ⟶ carrier K ⟹ lincomb a
A = lincomb (restrict a A) A
  apply (unfold lincomb-def restrict-def)
  apply (drule Pi-implies-Pi2)
  by (simp cong: finsum-cong add: ring-subset-carrier Pi-simp)
  have 2: ⋀ a. a ∈ A ⟶ carrier K ⟹ restrict a A ∈ A ⟶E carrier
K by auto
  from a 1 2 have 3: ¬(∃ a. a ∈ A ⟶ carrier K ∧ lincomb a A =
v) by algebra
  from 3 A-fin AinC have 4: v ∉ span A
  by (subst finite-span, auto)
  from 4 AinC v show ¬(basis A) by (unfold basis-def, auto)
next

```

```

assume a2: ( $\exists a b.$ 
   $a \in A \rightarrow_E \text{carrier } K \wedge \text{lincomb } a A = v \wedge b \in A \rightarrow_E \text{carrier } K$ 
 $\wedge \text{lincomb } b A = v$ 
   $\wedge a \neq b$ )
then obtain a b where ab:  $a \in A \rightarrow_E \text{carrier } K \wedge \text{lincomb } a A$ 
 $= v \wedge b \in A \rightarrow_E \text{carrier } K \wedge \text{lincomb } b A = v$ 
   $\wedge a \neq b$  by metis
from ab obtain w where w:  $w \in A \wedge a w \neq b w$  apply (unfold
PiE-def, auto)
by (metis extensionalityI)
let ?c =  $\lambda x. (\text{if } x \in A \text{ then } ((a x) \ominus_K (b x)) \text{ else undefined})$ 
from ab have a-fun:  $a \in A \rightarrow \text{carrier } K$ 
  and b-fun:  $b \in A \rightarrow \text{carrier } K$ 
by (unfold PiE-def, auto)
from w a-fun b-fun have abinC:  $a w \in \text{carrier } K \wedge b w \in \text{carrier } K$ 
by auto

from abinC w have nz:  $a w \ominus_K b w \neq \mathbf{0}_K$ 
by auto
from A-fin AinC a-fun b-fun ab vinC have a-b:
  LinearCombinations.module.lincomb V ( $\lambda x. \text{if } x \in A \text{ then } a x$ 
 $\ominus_K b x \text{ else undefined}$ ) A =  $\mathbf{0}_V$ 
apply (subst refl)
apply (drule Pi-implies-Pi2)+
apply (simp cong: lincomb-cong add: Pi-simp)
apply (unfold Pi2-def)
apply (subst lincomb-diff)
by (simp-all add: minus-eq r-neg)
from A-fin AinC ab w v nz a-b have lin-dep A
apply (intro lin-dep-crit[where ?A=A and ?a=?c and ?v=w])
apply (auto simp add: PiE-def)
by auto
thus  $\neg \text{basis } A$  by (unfold basis-def, auto)
qed
qed
have 2: ( $\forall v. v \in \text{carrier } V \longrightarrow (\exists! a. a \in A \rightarrow_E \text{carrier } K \wedge \text{lincomb}$ 
 $a A = v)) \implies \text{basis } A$ 
proof –
  assume b1: ( $\forall v. v \in \text{carrier } V \longrightarrow (\exists! a. a \in A \rightarrow_E \text{carrier } K \wedge$ 
 $\text{lincomb } a A = v)$ )
  (is ( $\forall v. v \in \text{carrier } V \longrightarrow (\exists! a. ?Q a v)$ ))
  from b1 have b2: ( $\forall v. v \in \text{carrier } V \longrightarrow (\exists a. a \in A \rightarrow \text{carrier}$ 
 $K \wedge \text{lincomb } a A = v)$ )
  apply (unfold PiE-def)
  by blast
from A-fin AinC b2 have gen-set A
  apply (unfold span-def)
  by blast
from b1 have A-li: lin-indpt A

```

```

proof –
  let ?z= $\lambda$   $x$ . (if ( $x \in A$ ) then  $\mathbf{0}_K$  else undefined)
  from  $A$ -fin  $AinC$  have zero: ?Q ?z  $\mathbf{0}_V$ 
  by (unfold  $PiE$ -def extensional-def lincomb-def, auto simp add:
ring-subset-carrier)

  from  $A$ -fin  $AinC$  show ?thesis
  proof (rule finite-lin-indpt2)
    fix  $a$ 
    assume  $a$ -fun:  $a \in A \rightarrow \text{carrier } K$  and
       $lc$ -a:  $\text{LinearCombinations.module.lincomb } V$   $a$   $A = \mathbf{0}_V$ 
    from  $a$ -fun have  $a$ -res: restrict  $a$   $A \in A \rightarrow_E \text{carrier } K$  by auto
    from  $a$ -fun  $A$ -fin  $AinC$   $lc$ -a have
       $lc$ -a-res:  $\text{LinearCombinations.module.lincomb } V$  (restrict  $a$   $A$ )
 $A = \mathbf{0}_V$ 
    apply (unfold lincomb-def restrict-def)
    by (drule  $Pi$ -implies- $Pi2$ , simp cong: finsum-cong add:  $Pi$ -simp
ring-subset-carrier)
    from  $a$ -fun  $a$ -res  $lc$ -a-res zero  $b1$  have restrict  $a$   $A = ?z$  by
auto
    from this show  $\forall v \in A. a \ v = \mathbf{0}_K$ 
    apply (unfold restrict-def)
    by meson
  qed
qed
have  $A$ -gen: gen-set  $A$ 
proof –
  from  $AinC$  have  $dir1$ :  $\text{span } A \subseteq \text{carrier } V$  by (rule span-is-subset2)
  have  $dir2$ :  $\text{carrier } V \subseteq \text{span } A$ 
  proof (auto)
    fix  $v$ 
    assume  $v$ :  $v \in \text{carrier } V$ 
    from  $v$   $b2$  obtain  $a$  where  $a \in A \rightarrow \text{carrier } K \wedge \text{lincomb } a$   $A$ 
 $= v$  by auto
    from this  $A$ -fin  $AinC$  show  $v \in \text{span } A$  by (subst finite-span,
auto)
  qed
from  $dir1$   $dir2$  show ?thesis by auto
qed
from  $A$ -li  $A$ -gen  $AinC$  show basis  $A$  by (unfold basis-def, auto)
qed
from 1 2 show ?thesis by satx
qed

```

6.5 The rank-nullity (dimension) theorem

If V is finite-dimensional and $T : V \rightarrow W$ is a linear map, then $\dim(\text{im}(T)) + \dim(\ker(T)) = \dim V$.

theorem (in linear-map) rank-nullity:

```

assumes fd: V.fin-dim
shows (vectorspace.dim K (W.vs imT)) + (vectorspace.dim K (V.vs
kerT)) = V.dim
proof –
  — First interpret kerT, imT as vectorspaces
  have subs-ker: subspace K kerT V by (intro kerT-is-subspace)
  from subs-ker have vs-ker: vectorspace K (V.vs kerT) by (rule
V.subspace-is-vs)
  from vs-ker interpret ker: vectorspace K (V.vs kerT) by auto
  have kerInC: kerT ⊆ carrier V by (unfold ker-def, auto)

  have subs-im: subspace K imT W by (intro imT-is-subspace)
  from subs-im have vs-im: vectorspace K (W.vs imT) by (rule
W.subspace-is-vs)
  from vs-im interpret im: vectorspace K (W.vs imT) by auto
  have imInC: imT ⊆ carrier W by (unfold im-def, auto)

  have zero-same[simp]: 0 V.vs kerT = 0 V apply (unfold ker-def) by
auto
  — Show ker T has a finite basis. This is not obvious. Show that
  any linearly independent set has size at most that of V. There exists a
  maximal linearly independent set, which is the basis.
  have every-li-small:  $\bigwedge A. (A \subseteq \text{kerT}) \wedge \text{ker.lin-indpt } A \implies$ 
finite A ∧ card A ≤ V.dim
  proof –
    fix A
    assume eli-asm:  $(A \subseteq \text{kerT}) \wedge \text{ker.lin-indpt } A$ 

    note V.module.span-li-not-depend(2)[where ?N=kerT and ?S=A]

    from this subs-ker fd eli-asm kerInC show ?thesis A
    apply (intro conjI)
    by (auto intro!: V.li-le-dim)
  qed
  from every-li-small have exA:
  ∃ A. finite A ∧ maximal A (λS. S ⊆ carrier (V.vs kerT) ∧ ker.lin-indpt
S)
  apply (intro maximal-exists[where ?N=V.dim and ?B={ }])
  apply auto
  by (unfold ker.lin-dep-def, auto)
  from exA obtain A where A: finite A ∧ maximal A (λS. S ⊆ carrier
(V.vs kerT) ∧ ker.lin-indpt S)
  by blast
  hence finA: finite A and Ainker: A ⊆ carrier (V.vs kerT) and AinC:
A ⊆ carrier V
  by (unfold maximal-def ker-def, auto)
  — We obtain the basis A of kerT. It is also linearly independent when
  considered in V rather than kerT
  from A have Abasis: ker.basis A

```



```

    by (intro ker.max-li-is-basis, auto)
  from subs-ker Abasis have spanA:  $V.module.span\ A = kerT$ 
    apply (unfold ker.basis-def)
  by (subst sym[OF  $V.module.span-li-not-depend(1)$ ][where ?N= $kerT$ ]],
    auto)
  from Abasis have Akerli:  $ker.lin-indpt\ A$ 
    apply (unfold ker.basis-def)
  by auto
  from subs-ker Ainker Akerli have Ali:  $V.module.lin-indpt\ A$ 
    by (auto simp add:  $V.module.span-li-not-depend(2)$ )

```

Use the replacement theorem to find C such that $A \cup C$ is a basis of V .

```

  from fd obtain B where B:  $finite\ B \wedge V.basis\ B$  by (metis  $V.finite-basis-exists$ )
  from B have Bfin:  $finite\ B$  and Bbasis:  $V.basis\ B$  by auto
  from B have Bcard:  $V.dim = card\ B$  by (intro  $V.dim-basis$ , auto)

  from Bbasis have 62:  $V.module.span\ B = carrier\ V$ 
    by (unfold  $V.basis-def$ , auto)
  from A Abasis Ali B vs-ker have  $\exists C. finite\ C \wedge C \subseteq carrier\ V \wedge$ 
 $C \subseteq V.module.span\ B \wedge C \cap A = \{\}$ 
     $\wedge int\ (card\ C) \leq (int\ (card\ B)) - (int\ (card\ A)) \wedge (V.module.span$ 
 $(A \cup C) = V.module.span\ B)$ 
    apply (intro  $V.replacement$ )
    apply (unfold  $vectorspace.basis-def\ V.basis-def$ )
    by (unfold  $ker-def$ , auto)

```

From replacement we got $|C| \leq |B| - |A|$. Equality must actually hold, because no generating set can be smaller than B . Now $A \cup C$ is a maximal generating set, hence a basis; its cardinality equals the dimension.

We claim that $T(C)$ is basis for $\text{im}(T)$.

```

  then obtain C where C:  $finite\ C \wedge C \subseteq carrier\ V \wedge C \subseteq V.module.span$ 
 $B \wedge C \cap A = \{\}$ 
     $\wedge int\ (card\ C) \leq (int\ (card\ B)) - (int\ (card\ A)) \wedge (V.module.span$ 
 $(A \cup C) = V.module.span\ B)$  by auto
  hence Cfin:  $finite\ C$  and Cinc:  $C \subseteq carrier\ V$  and CinspanB:
 $C \subseteq V.module.span\ B$  and CAdis:  $C \cap A = \{\}$ 
    and Ccard:  $int\ (card\ C) \leq (int\ (card\ B)) - (int\ (card\ A))$ 
    and ACspanB:  $(V.module.span\ (A \cup C) = V.module.span\ B)$  by
    auto
  from C have cardLe:  $card\ A + card\ C \leq card\ B$  by auto
  from B C have ACgen:  $V.module.gen-set\ (A \cup C)$  apply (unfold
 $V.basis-def$ ) by auto
  from finA C ACgen AinC B have cardGe:  $card\ (A \cup C) \geq card\ B$ 
  by (intro  $V.li-smaller-than-gen$ , unfold  $V.basis-def$ , auto)
  from finA C have cardUn:  $card\ (A \cup C) \leq card\ A + card\ C$ 
    by (metis  $Int-commute\ card-Un-disjoint\ le-refl$ )
  from cardLe cardUn cardGe Bcard have cardEq:
 $card\ (A \cup C) = card\ A + card\ C$ 

```

```

card (A ∪ C) = card B
card (A ∪ C) = V.dim
by auto
from Abasis C cardEq have disj: A ∩ C = {} by auto
from finA AinC C cardEq 62 have ACfin: finite (A ∪ C) and ACba-
sis: V.basis (A ∪ C)
  by (auto intro!: V.dim-gen-is-basis)
have lm: linear-map K V W T..

```

Let C' be the image of C under T . We will show C' is a basis for $\text{im}(T)$.

```

let ?C' = T`C
from Cfin have C'fin: finite ?C' by auto
from AinC C have cim: ?C' ⊆ im T by (unfold im-def, auto)

```

”There is a subtle detail: we first have to show T is injective on C .

We establish that no nontrivial linear combination of C can have image 0 under T , because that would mean it is a linear combination of A , giving that $A \cup C$ is linearly dependent, contradiction. We use this result in 2 ways: (1) if T is not injective on C , then we obtain $v, w \in C$ such that $v - w$ is in the kernel, contradiction, (2) if $T(C)$ is linearly dependent, taking the inverse image of that linear combination gives a linear combination of C in the kernel, contradiction. Hence T is injective on C and $T(C)$ is linearly independent.

```

have lc-in-ker: ⋀ d D v. [D ⊆ C; d ∈ D → carrier K; T (V.module.lincomb
d D) = 0_W;
  v ∈ D; d v ≠ 0_K] ⇒ False
proof -
  fix d D v
  assume D: D ⊆ C and d: d ∈ D → carrier K and T0: T (V.module.lincomb
d D) = 0_W
  and v: v ∈ D and dvnz: d v ≠ 0_K
  from D Cfin have Dfin: finite D by (auto intro: finite-subset)
  from D CinC have DinC: D ⊆ carrier V by auto
  from T0 d Dfin DinC have lc-d: V.module.lincomb d D ∈ ker T
  by (unfold ker-def, auto)
  from lc-d spanA AinC have ∃ a' A'. A' ⊆ A ∧ a' ∈ A' → carrier K
  ∧
    V.module.lincomb a' A' = V.module.lincomb d D
  by (intro V.module.in-span, auto)
  then obtain a' A' where a': A' ⊆ A ∧ a' ∈ A' → carrier K ∧
    V.module.lincomb d D = V.module.lincomb a' A'
  by metis
  hence A'sub: A' ⊆ A and a'fun: a' ∈ A' → carrier K
  and a'-lc: V.module.lincomb d D = V.module.lincomb a' A' by
  auto
  from a' finA Dfin have A'fin: finite (A') by (auto intro: finite-subset)
  from AinC A'sub have A'inC: A' ⊆ carrier V by auto
  let ?e = (λ v. if v ∈ A' then a' v else ⊖_K 1_K ⊗_K d v)

```

```

from  $a'_{\text{fun}} d$  have  $e\text{-fun}: ?e \in A' \cup D \rightarrow \text{carrier } K$ 
  apply (unfold Pi-def)
  by auto
from
   $A'_{\text{fin}} D_{\text{fin}}$ 
   $A'_{\text{in}C} D_{\text{in}C}$ 
   $a'_{\text{fun}} d e\text{-fun}$ 
   $\text{disj } D A'_{\text{sub}}$ 
have  $\text{lccomp1}$ :
   $V.\text{module.lincomb } a' A' \oplus_V \ominus_K \mathbf{1}_{K \odot_V} V.\text{module.lincomb } d D =$ 
     $V.\text{module.lincomb } (\lambda v. \text{ if } v \in A' \text{ then } a' v \text{ else } \ominus_K \mathbf{1}_{K \otimes_K} d v)$ 
  ( $A' \cup D$ )
  apply (subst sym[OF V.module.lincomb-smult])
  apply (simp-all)
  apply (subst V.module.lincomb-union2)
  by (auto)
from
   $A'_{\text{fin}}$ 
   $A'_{\text{in}C}$ 
   $a'_{\text{fun}}$ 
have  $\text{lccomp2}$ :
   $V.\text{module.lincomb } a' A' \oplus_V \ominus_K \mathbf{1}_{K \odot_V} V.\text{module.lincomb } d D =$ 
     $\mathbf{0}_V$ 
  by (simp add: a'-lc
     $V.\text{module.smult-minus-1 } V.\text{module.M.r-neg}$ )
from  $\text{lccomp1 lccomp2}$  have  $\text{lc0}: V.\text{module.lincomb } (\lambda v. \text{ if } v \in A'$ 
  then  $a' v$  else  $\ominus_K \mathbf{1}_{K \otimes_K} d v)$  ( $A' \cup D$ )
   $= \mathbf{0}_V$  by auto
from  $\text{disj } a' v D$  have  $v\text{-nin}: v \notin A'$  by auto
from  $A'_{\text{fin}} D_{\text{fin}}$ 
   $A'_{\text{in}C} D_{\text{in}C}$ 
   $e\text{-fun } d$ 
   $A'_{\text{sub}} D \text{ disj}$ 
   $v \text{ dvnz}$ 
   $\text{lc0}$ 
have  $AC\text{-ld}: V.\text{module.lin-dep } (A \cup C)$ 
  apply (intro V.module.lin-dep-crit[where ?A=A' ∪ D and
     $?S=A \cup C$  and  $?a=\lambda v. \text{ if } v \in A' \text{ then } a' v \text{ else } \ominus_K \mathbf{1}_{K \otimes_K} d v$ 
and  $?v=v]$ )
  by (auto dest: integral)
from  $AC\text{-ld } AC\text{basis}$  show False by (unfold V.basis-def, auto)
qed
have  $C'\text{-card}: \text{inj-on } T C \text{ card } C = \text{card } ?C'$ 
proof –
  show inj-on  $T C$ 
  proof (rule ccontr)
    assume  $\neg \text{inj-on } T C$ 

```

```

then obtain  $v\ w$  where  $v \in C\ w \in C\ v \neq w\ T\ v = T\ w$  by (unfold
inj-on-def, auto)
from this CinC show False
apply (intro lc-in-ker[where  $?D = \{v, w\}$  and  $?d = \lambda x. \text{if } x = v$ 
then  $\mathbf{1}_K$  else  $\ominus_K \mathbf{1}_K$ 
and  $?v = v$ ])
by (auto simp add: V.module.lincomb-def hom-sum ring-subset-carrier

W.module.smult-minus-1 r-neg T-im)

qed
from this Cfin show  $\text{card } C = \text{card } ?C'$ 
by (metis card-image)
qed
let  $?f = \text{the-inv-into } C\ T$ 
have  $f: \bigwedge x. x \in C \implies ?f\ (T\ x) = x \bigwedge y. y \in ?C' \implies T\ (?f\ y) = y$ 
apply (insert C'-card(1))
apply (metis the-inv-into-f-f)
by (metis f-the-inv-into-f)

have  $C'\text{-li}: \text{im.lin-indpt } ?C'$ 
proof (rule ccontr)
assume  $\text{Cld}: \neg \text{im.lin-indpt } ?C'$ 
from Cld cim subs-im have  $\text{CldW}: W.\text{module.lin-dep } ?C'$ 
apply (subst sym[OF W.module.span-li-not-depend(2)][where
 $?S = T'C$  and  $?N = \text{im } T$ ]])
by auto
from  $C\ \text{CldW}$  have  $\exists c'\ v'. (c' \in (?C' \rightarrow \text{carrier } K)) \wedge (W.\text{module.lincomb}$ 
 $c'\ ?C' = \mathbf{0}_W)$ 
 $\wedge (v' \in ?C') \wedge (c'\ v' \neq \mathbf{0}_K)$  by (intro W.module.finite-lin-dep,
auto)
then obtain  $c'\ v'$  where  $c': (c' \in (?C' \rightarrow \text{carrier } K)) \wedge (W.\text{module.lincomb}$ 
 $c'\ ?C' = \mathbf{0}_W)$ 
 $\wedge (v' \in ?C') \wedge (c'\ v' \neq \mathbf{0}_K)$  by auto
hence  $c'\text{fun}: (c' \in (?C' \rightarrow \text{carrier } K))$  and  $c'\text{lc}: (W.\text{module.lincomb}$ 
 $c'\ ?C' = \mathbf{0}_W)$  and
 $v': (v' \in ?C') \text{ and } cvnz: (c'\ v' \neq \mathbf{0}_K)$  by auto

```

We take the inverse image of C' under T to get a linear combination of C that is in the kernel and hence a linear combination of A . This contradicts $A \cup C$ being linearly independent.

```

let  $?c = \lambda v. c'\ (T\ v)$ 
from  $c'\text{fun}$  have  $c\text{-fun}: ?c \in C \rightarrow \text{carrier } K$  by auto
from Cfin
   $c\text{-fun } c'\text{fun}$ 
   $C'\text{-card}$ 
  CinC
   $f$ 
   $c'\text{lc}$ 
have  $T\text{-lc-0}: T\ (V.\text{module.lincomb } ?c\ C) = \mathbf{0}_W$ 

```

```

    apply (unfold V.module.lincomb-def W.module.lincomb-def)
    apply (subst hom-sum, auto)
    apply (drule Pi-implies-Pi2)+
    apply (auto cong: finsum-cong simp add: T-smult ring-subset-carrier
Pi-simp)
    apply (subst finsum-reindex[where ?f= $\lambda w. c' w \odot_W w$  and
?h=T and ?A=C, THEN sym])
    by (auto simp add: Pi-simp)
    from f c'fun cvnz v' T-lc-0 show False
    by (intro lc-in-ker[where ?D=C and ?d=?c and ?v=?f v],
auto)
qed
have C'-gen: im.gen-set ?C'
proof -
  have C'-span: span ?C' = imT
  proof (rule equalityI)
    from cim subs-im show W.module.span ?C'  $\subseteq$  imT
    by (intro span-is-subset, unfold subspace-def, auto)
  next
    show imT  $\subseteq$  W.module.span ?C'
    proof (auto)
      fix w
      assume w: w  $\in$  imT
      from this finA Cfin AinC CinC obtain v where v-inC:
v  $\in$  carrier V and w-eq-T-v: w = T v
      by (unfold im-def image-def, auto)
      from finA Cfin AinC CinC v-inC ACgen have  $\exists a. a \in A \cup C$ 
 $\rightarrow$  carrier  $K \cap V$ .module.lincomb a (A  $\cup$  C) = v
      by (intro V.module.finite-in-span, auto)
      then obtain a where
a-fun: a  $\in$  A  $\cup$  C  $\rightarrow$  carrier K and
lc-a-v: v = V.module.lincomb a (A  $\cup$  C)
      by auto
      let ?a'= $\lambda v. a$  (?f v)
      from finA Cfin AinC CinC a-fun disj Ainker f C'-card have
Tv: T v = W.module.lincomb ?a' ?C'
      apply (subst lc-a-v)
      apply (subst V.module.lincomb-union, simp-all)
      apply (unfold lincomb-def V.module.lincomb-def)
      apply (subst hom-sum, auto)
      apply (drule Pi-implies-Pi2)+
      apply (simp add: Pi-simp subsetD
hom-sum
T-ker
)
      apply (subst finsum-reindex[where ?h=T and ?f= $\lambda v. ?a'$ 
v  $\odot_W v$ ], auto)
      by (auto cong: finsum-cong simp add: Pi-simp ring-subset-carrier)
      from a-fun f have a'-fun: ?a'  $\in$  ?C'  $\rightarrow$  carrier K by auto

```

```

      from C'fin CinC this w-eq-T-v a'-fun Tv show w ∈ LinearCom-
binations.module.span K W (T' C)
      by (subst finite-span, auto)
    qed
  qed
  from this subs-im CinC show ?thesis
  apply (subst span-li-not-depend(1))
  by (unfold im-def subspace-def, auto)
qed
from C'-li C'-gen C cim have C'-basis: im.basis (T'C)
  by (unfold im.basis-def, auto)
have C-card-im: card C = (vectorspace.dim K (W.vs imT))
proof -
  from C'fin C'-card C'-basis have vectorspace.dim K (W.vs imT)
= card ?C'
  apply (intro im.dim-basis)
  by auto
  from C'-card this show ?thesis by auto
qed
from finA Abasis have A-card-ker: ker.dim = card A by (rule
ker.dim-basis)
from C-card-im A-card-ker cardEq show ?thesis by auto
qed

```

end

References

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