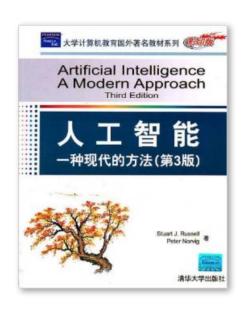
## Knowledge 1

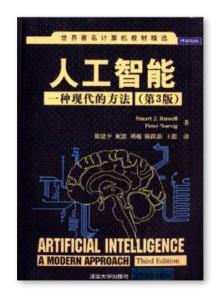


### 教材

http://www.ai-fundamental.com/

#### Materials on Knowledge





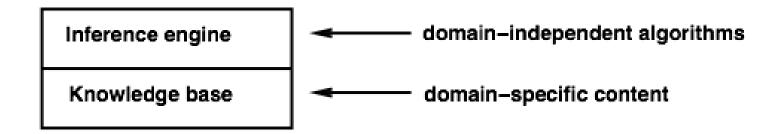
课程第二部分:台湾大学于天立教授"人工智慧"课程

链接: http://pan.baidu.com/s/1miec9jM 密码: 4fd4

http://aima.cs.berkeley.edu/

中英文版本对照阅读

### Knowledge bases



Knowledge base = set of sentences in a formal language

Declarative approach to building an agent (or other system):

TELL it what it needs to know 只需要告知想知道什么

Then it can Ask itself what to do—answers should follow from the KB

Agents can be viewed at the knowledge level

i.e., what they know, regardless of how implemented

Or at the implementation level

i.e., data structures in KB and algorithms that manipulate them

### 数理逻辑

《计算机科学的数理逻辑》陆钟万著

授课视频:

http://www.1ketang.com/course/2025.html

### Wumpus World PEAS description

Performance measure

gold +1000, death -1000

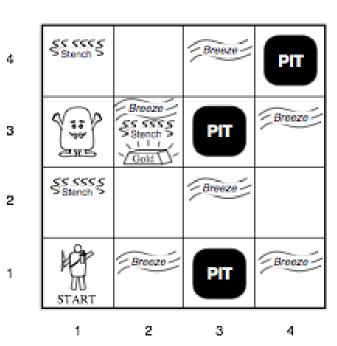
-1 per step, -10 for using the arrow

#### Environment

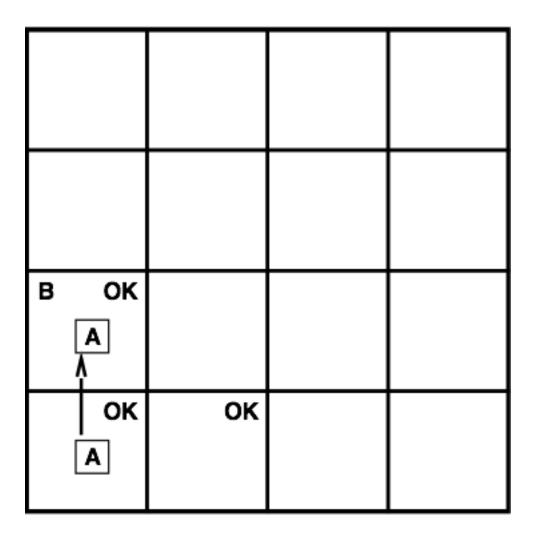
Squares adjacent to wumpus are smelly Squares adjacent to pit are breezy Glitter iff gold is in the same square Shooting kills wumpus if you are facing it Shooting uses up the only arrow Grabbing picks up gold if in same square Releasing drops the gold in same square

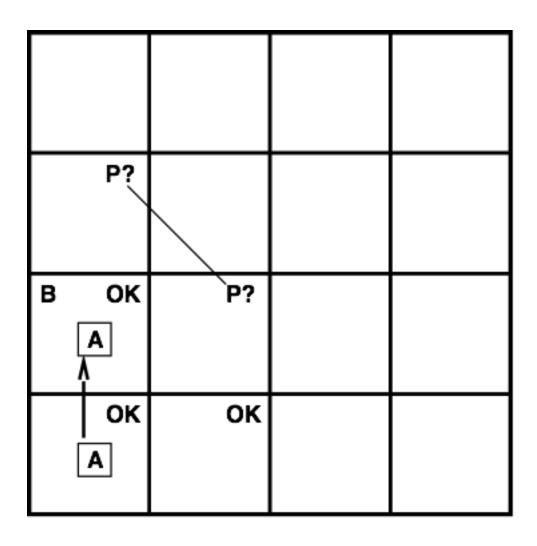
Actuators Left turn, Right turn, Forward, Grab, Release, Shoot

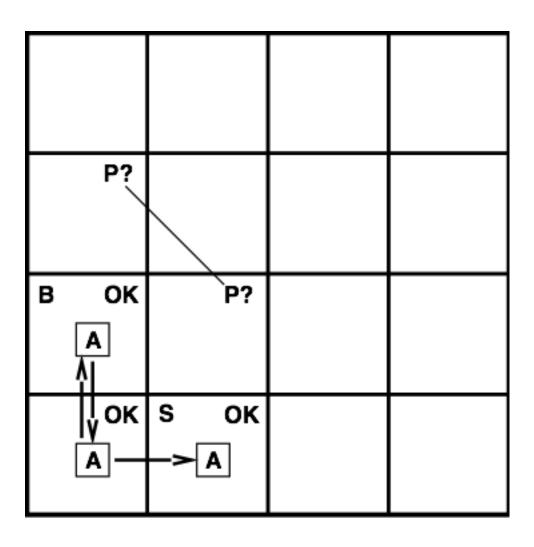
Sensors Breeze, Glitter, Smell

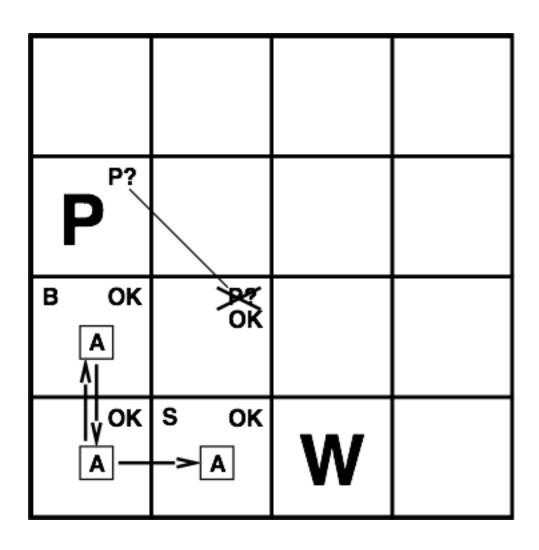


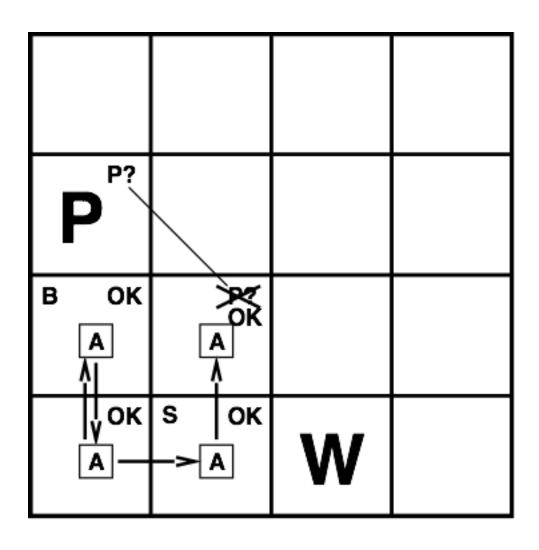
ОК		
OK A	ОК	

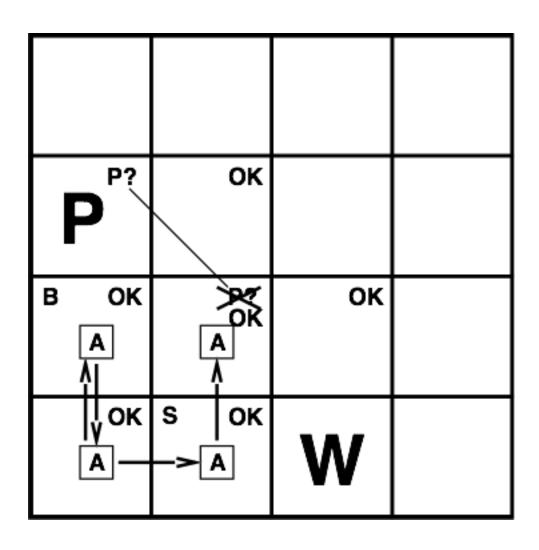


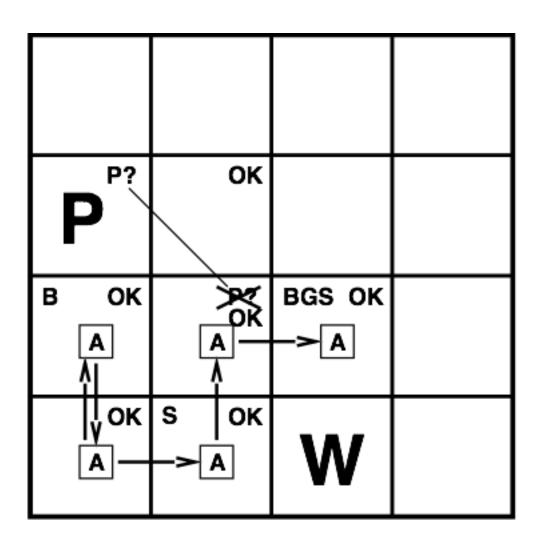












### Logic in general

Logics are formal languages for representing information such that conclusions can be drawn

Syntax defines the sentences in the language 7

Semantics define the "meaning" of sentences; i.e., define truth of a sentence in a world

E.g., the language of arithmetic

 $x+2 \ge y$  is a sentence; x2+y > i is not a sentence

 $x+2 \geq y$  is true iff the number x+2 is no less than the number y

 $x+2 \ge y$  is true in a world where x=7, y=1

 $x+2 \geq y$  is false in a world where  $x=0,\ y=6$ 

### 逻辑研究的内容

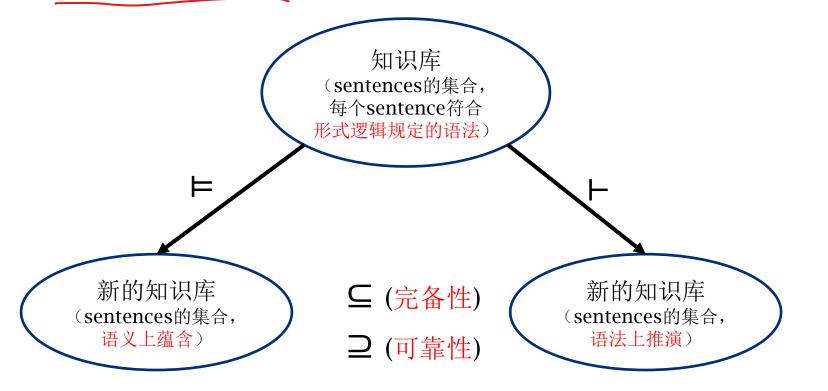
研究形式化定义的sentences之间的关系

两个角度:

语义: entailment 蕴含,逻辑推导

语法: deduction 演绎,形式推演





### 语义 Entailment

### Model (模型), Truth Assignment (真值指派)

Logicians typically think in terms of models, which are formally structured worlds with respect to which truth can be evaluated

We say m is a model of a sentence  $\alpha$  if  $\alpha$  is true in m

 $M(\alpha)$  is the set of all models of  $\alpha$ 

### Entailment (蕴涵 / 蕴含)

### 语义:逻辑推导

Entailment means that one thing follows from another:

$$KB \models \alpha$$

Knowledge base KB entails sentence  $\alpha$  if and only if

 $\alpha$  is true in all worlds where KB is true

E.g., the KB containing "the Giants won" and "the Reds won" entails "Either the Giants won or the Reds won"

E.g., 
$$x+y=4$$
 entails  $4=x+y$ 

Entailment is a relationship between sentences (i.e., syntax) that is based on semantics

Note: brains process syntax (of some sort)

### Model (模型)

Logicians typically think in terms of models, which are formally structured worlds with respect to which truth can be evaluated

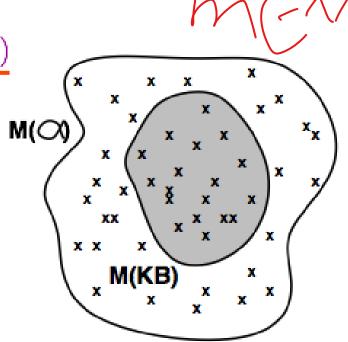
We say m is a model of a sentence  $\alpha$  if  $\alpha$  is true in m

M(lpha) is the set of all models of lpha

Then  $KB \models \alpha$  if and only if  $M(KB) \subseteq M(\alpha)$ 

E.g. KB = Giants won and Reds won $\alpha = \text{Giants won}$ 

Formal proof

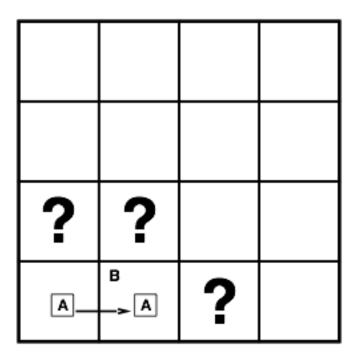


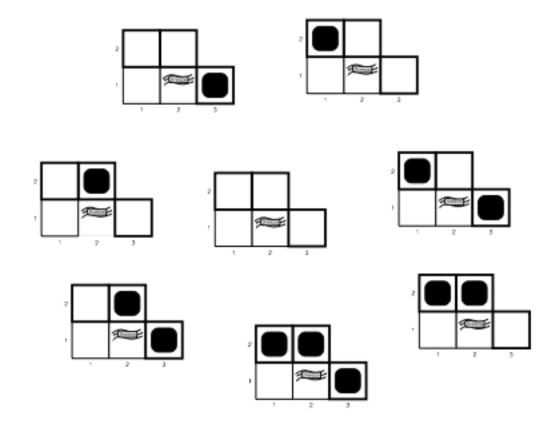
### Entailment in the wumpus world

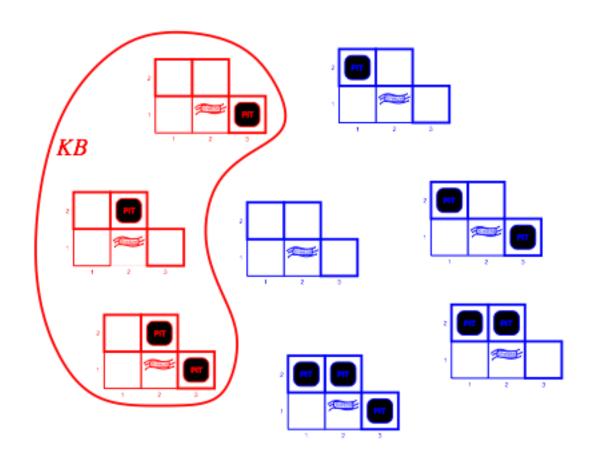
Situation after detecting nothing in [1,1], moving right, breeze in [2,1]

Consider possible models for ?s assuming only pits

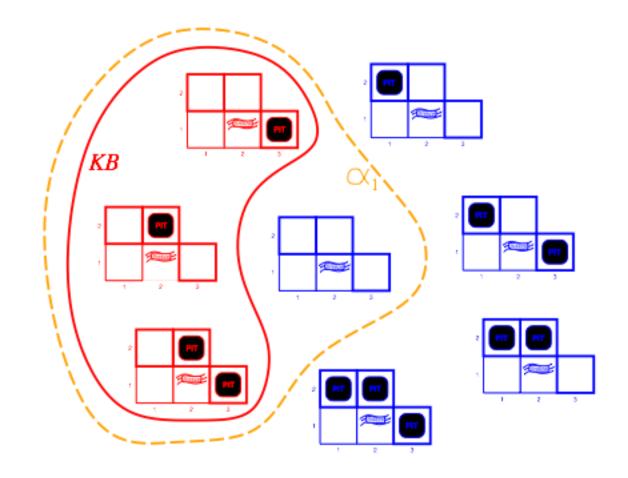
3 Boolean choices  $\Rightarrow$  8 possible models





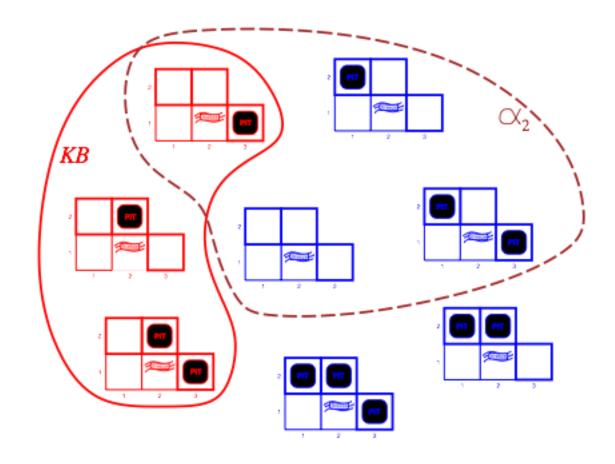


KB = wumpus-world rules + observations



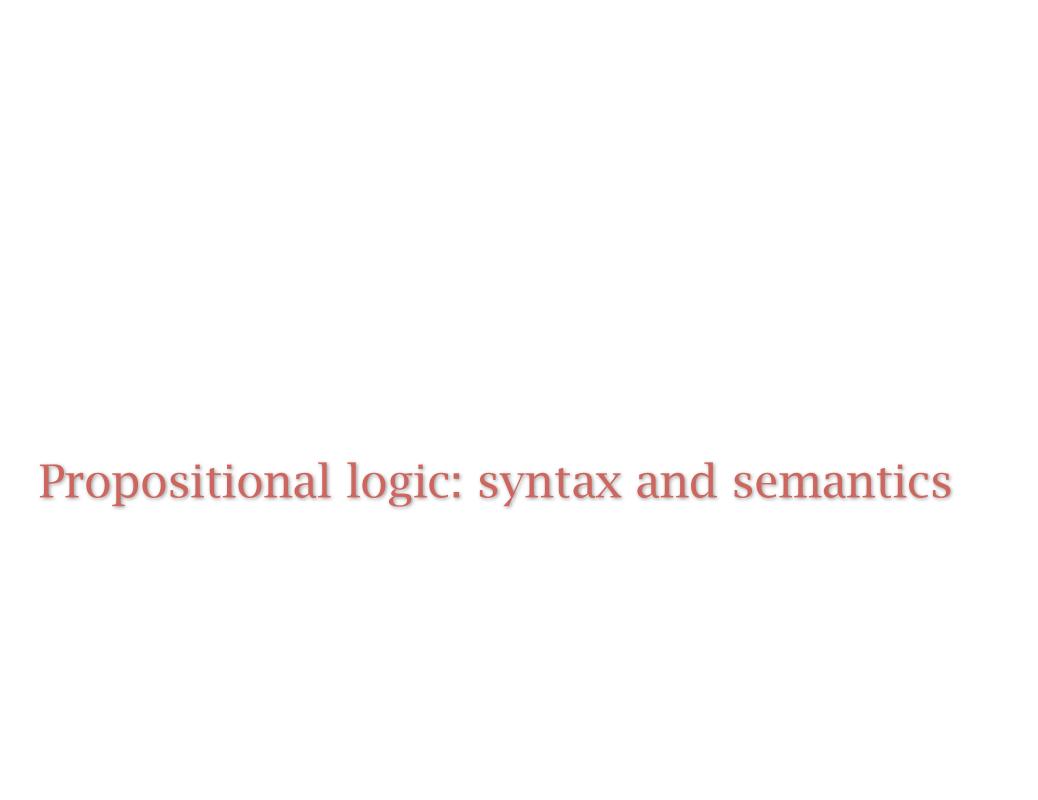
KB =wumpus-world rules + observations

 $lpha_1=$  "[1,2] is safe",  $KB\modelslpha_1$ , proved by model checking not pit



KB =wumpus-world rules + observations

$$lpha_2=$$
 "[2,2] is safe",  $KB
ot\models lpha_2$  not pit



- Proposition: a declarative sentence that is either true or false
- Propositional logic usually does not consider time
- If the truth of a proposition varies over time, we call it fluent ("today is Monday")

- Atomic propositions (原子命题) are minimal propositions
- Literals (文字) are atomic propositions or their negations

Propositional logic is the simplest logic—illustrates basic ideas

The proposition symbols  $P_1$ ,  $P_2$  etc are sentences

If S is a sentence, S is a sentence (negation)

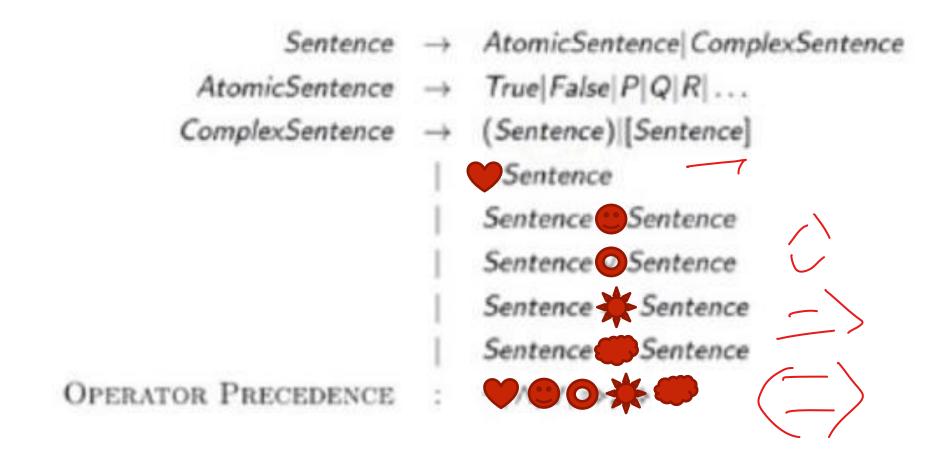
If  $S_1$  and  $S_2$  are sentences,  $S_1 S_2$  is a sentence (conjunction)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \bigcirc S_2$  is a sentence (disjunction)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \not\Longrightarrow S_2$  is a sentence (implication)

If  $S_1$  and  $S_2$  are sentences,  $S_1 
ightharpoonup S_2$  is a sentence (biconditional)

Defined in Backus-Naur form (BNF)



### Propositional logic: Semantics

Each model specifies true/false for each proposition symbol

E.g. 
$$P_{1,2}$$
  $P_{2,2}$   $P_{3,1}$   $true$   $true$   $false$ 

(With these symbols, 8 possible models, can be enumerated automatically.)

Rules for evaluating truth with respect to a model m:

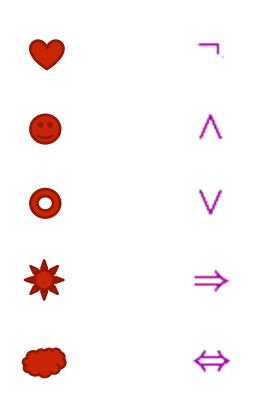
```
S_1 \ S_2 is true iff S_1 is true and S_2 is true S_1 \ S_2 is true iff S_1 is true or S_2 is true S_1 \ S_2 is true iff S_1 is false or S_2 is true iff S_1 is false or S_2 is true i.e., is false iff S_1 is true and S_2 is false S_1 \ S_2 is true iff S_1 \ S_2 is true and S_2 \ S_1 is true
```

Simple recursive process evaluates an arbitrary sentence, e.g.,

$$P_{1,2} (P_{2,2} P_{3,1}) = true(false)true = true(true) = true(true) = true(true)$$

### Truth tables for connectives (真值表)

P	Q	<b>♥</b> P	$P \bigcirc Q$	$P$ $\bigcirc Q$	$P \not = Q$	$P \bigcirc Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true



Defined in Backus-Naur form (BNF)

Propositional logic is the simplest logic—illustrates basic ideas

The proposition symbols  $P_1$ ,  $P_2$  etc are sentences

If S is a sentence,  $\neg S$  is a sentence (negation)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \wedge S_2$  is a sentence (conjunction)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \vee S_2$  is a sentence (disjunction)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \Rightarrow S_2$  is a sentence (implication)

If  $S_1$  and  $S_2$  are sentences,  $S_1 \Leftrightarrow S_2$  is a sentence (biconditional)

### Propositional logic: Semantics

Each model specifies true/false for each proposition symbol

E.g. 
$$P_{1,2}$$
  $P_{2,2}$   $P_{3,1}$   $true$   $true$   $false$ 

(With these symbols, 8 possible models, can be enumerated automatically.)

Rules for evaluating truth with respect to a model m:

```
abla S is true iff S is false S_1 \wedge S_2 is true iff S_1 is true and S_2 is true S_1 \vee S_2 is true iff S_1 is true or S_2 is true S_1 \Rightarrow S_2 is true iff S_1 is false or S_2 is true iff S_1 is false or S_2 is true iff S_1 \Rightarrow S_2 is true and S_2 \Rightarrow S_1 is false S_1 \Leftrightarrow S_2 \Rightarrow S_2 \Rightarrow S_1 is true
```

Simple recursive process evaluates an arbitrary sentence, e.g.,

$$\neg P_{1,2} \land (P_{2,2} \lor P_{3,1}) = true \land (false \lor true) = true \land true = true$$

### Truth tables for connectives (真值表)

P	Q	$\neg P$	$P \wedge Q$	$P \lor Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true

# Inference by enumeration $KB \models \alpha$ 是否成立

Depth-first enumeration of all models is sound and complete

```
function TT-ENTAILS? (KB, \alpha) returns true or false
   inputs: KB, the knowledge base, a sentence in propositional logic
            \alpha, the query, a sentence in propositional logic
   symbols \leftarrow a list of the proposition symbols in KB and \alpha
   return TT-CHECK-ALL(KB, \alpha, symbols, [])
function TT-CHECK-ALL(KB, \alpha, symbols, model) returns true or false
   if Empty?(symbols) then
        if PL-True?(KB, model) then return PL-True?(\alpha, model)
       else return true
   else do
        P \leftarrow \text{First}(symbols); rest \leftarrow \text{Rest}(symbols)
       return TT-CHECK-ALL(KB, \alpha, rest, EXTEND(P, true, model)) and
                  TT-CHECK-ALL(KB, \alpha, rest, Extend(P, false, model))
```

 $O(2^n)$  for n symbols; problem is co-NP-complete

# Wumpus world sentences

```
Let P_{i,j} be true if there is a pit in [i, j].
Let B_{i,j} be true if there is a breeze in [i, j].
```

$$\neg P_{1,1}$$
  
 $\neg B_{1,1}$   
 $B_{2,1}$ 

"Pits cause breezes in adjacent squares"

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$
  
 $B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$ 

"A square is breezy if and only if there is an adjacent pit"

#### Truth tables for inference

$B_{1,1}$	$B_{2,1}$	$P_{1,1}$	$P_{1,2}$	$P_{2,1}$	$P_{2,2}$	$P_{3,1}$	$R_1$	$R_2$	$R_3$	$R_4$	$R_5$	KB
false	true	true	true	true	false	false						
false	false	false	false	false	false	true	true	true	false	true	false	false
:	:	1		:	:	:	:	:	;	:	:	:
false	true	false	false	false	false	false	true	true	false	true	true	false
false	true	false	false	false	false	true	true	true	true	true	true	<u>true</u>
false	true	false	false	false	true	false	true	true	true	true	true	true
false	true	false	false	false	true	true	true	true	true	true	true	true
false	true	false	false	true	false	false	true	false	false	true	true	false
:	:	:	:	i	:	:	:	:	i	1		
true	false	true	true	false	true	false						

Enumerate rows (different assignments to symbols), if KB is true in row, check that  $\alpha$  is too

# Logical equivalence

#### 语义

Two sentences are logically equivalent iff true in same models:

 $\alpha \equiv \beta$  if and only if  $\alpha \models \beta$  and  $\beta \models \alpha$ 

```
(\alpha \wedge \beta) \equiv (\beta \wedge \alpha) commutativity of \wedge
          (\alpha \vee \beta) \equiv (\beta \vee \alpha) commutativity of \vee
((\alpha \land \beta) \land \gamma) \equiv (\alpha \land (\beta \land \gamma)) associativity of \land
((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma)) associativity of \vee
            \neg(\neg\alpha) \equiv \alpha double-negation elimination
      (\alpha \Rightarrow \beta) \equiv (\neg \beta \Rightarrow \neg \alpha) contraposition
      (\alpha \Rightarrow \beta) \equiv (\neg \alpha \lor \beta) implication elimination
      (\alpha \Leftrightarrow \beta) \equiv ((\alpha \Rightarrow \beta) \land (\beta \Rightarrow \alpha)) biconditional elimination
       \neg(\alpha \land \beta) \equiv (\neg \alpha \lor \neg \beta) De Morgan
       \neg(\alpha \lor \beta) \equiv (\neg \alpha \land \neg \beta) De Morgan
(\alpha \land (\beta \lor \gamma)) \equiv ((\alpha \land \beta) \lor (\alpha \land \gamma)) distributivity of \land over \lor
(\alpha \vee (\beta \wedge \gamma)) \equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma)) distributivity of \vee over \wedge
```

# Entailment与implication的区别

Entailment: 逻辑上的概念,刻画两组sentence之间的关系

Implication: proposition之间的一种运算子,使用真值表刻画其语义

# Validity and satisfiability



A sentence is valid if it is true in all models,

e.g., 
$$True$$
,  $A \vee \neg A$ ,  $A \Rightarrow A$ ,  $(A \wedge (A \Rightarrow B)) \Rightarrow B$ 

Validity is connected to inference via the Deduction Theorem;

$$KB \models \alpha$$
 if and only if  $(KB \Rightarrow \alpha)$  is valid

A sentence is satisfiable if it is true in some model e.g.,  $A \vee B$ , C

A sentence is unsatisfiable if it is true in  ${f no}$  models e.g.,  $A \wedge \neg A$ 

Satisfiability is connected to inference via the following:  $KB \models \alpha$  if and only if  $(KB \land \neg \alpha)$  is unsatisfiable i.e., prove  $\alpha$  by reductio ad absurdum

# 形式推演 Deduction

### 形式推演 Deduction

本节中要定义形式推演,定义公式之间的形式可推演性关系. 形式推演涉及公式的语法结构,它的正确性是能够机械地检验的. 我们先要介绍一些使用记号的约定.

设  $\Sigma = \{A_1, A_2, A_3, \cdots\}$ . 为了方便,我们把  $\Sigma$  写成序列的形式  $A_1, A_2, A_3, \cdots$ . 但是,这样写时,因为  $\Sigma$  是集,所以这个序列  $A_1$ ,  $A_2, A_3, \cdots$  中的元的次序是没有关系的.于是,集  $\Sigma \cup \{A\}$  和  $\Sigma \cup \Sigma'$  分别可以写作  $\Sigma$ , A 和  $\Sigma$ ,  $\Sigma'$ .

我们用记号 1-表示形式可推演性关系,用

#### $\Sigma \vdash A$

表示 A 是由  $\Sigma$ 形式可推演(或形式可证明)的(见本节后面的定义 2.6.1).形式可推演性关系  $\vdash$ 是  $\Sigma$ (作为前提的公式)和 A(作为结论的公式)之间的关系." $\vdash$ "可以读作"推出".注意,记号  $\vdash$ 不 是形式语言中的符号, $\Sigma$   $\vdash$ A 不是形式语言中的公式. $\Sigma$   $\vdash$ A 是关于  $\Sigma$  和 A 的(元语言中的)命题.

## 形式推演的11条规则(某一种推演系统)

形式推演将由形式推演的规则定义. 在命题逻辑中有以下的

11 条形式推演规则.

(Ref) A ⊢A (自反)

(+) 如果∑ ⊢A,

则  $\Sigma$ ,  $\Sigma'$   $\vdash$ A. (增加前提)

(¬-) 如果 Σ, ¬ A ⊢B,

 $\Sigma$ ,  $\neg A \vdash \neg B$ ,

则Σ ⊢A.

( ¬ 消去)

### 形式推演的11条规则

符号提示: 这里的→ 就是 前面定义的→

```
如果 \Sigma ⊢A→B,
                \Sigma \vdash A,
                                      (→消去)
           则 Σ ⊢B.
          如果 \Sigma, A \vdashB,
(\rightarrow +)
                                      (→引入)
           则 Σ ⊢A→B.
(Λ-) 如果∑ ⊢AΛB,
           则\Sigma \vdash A,
                                       ( / 消去)
              \Sigma \vdash B.
( ↑ + ) 如果 ∑ |-A,
                \Sigma \vdash B,
                                      (八引入)
           则\Sigma \vdash A \land B,
(V -)
           如果 \Sigma, A \vdashC,
                 \Sigma,B \vdashC,
                                       ( \/ 消去)
           则 \Sigma, A \vee B ⊢ C.
```

### 形式推演的11条规则

符号提示: 这里的↔ 就是 前面定义的↔

```
(\vee +)
           如果 \Sigma \vdash A,
            则\Sigma \vdash AVB,
                                        (V引入)
               \Sigma \vdash BVA.
           如果 \Sigma ⊢A↔B,
                  \Sigma \vdash A,
            则 Σ |-B.
            如果 \Sigma ⊢A↔B,
                  \Sigma \vdash B,
                                          (↔消去)
            则\Sigma \vdash A.
            如果 \Sigma, A \vdashB,
                  \Sigma, B \vdash A,
                                          (↔引入)
            则 Σ ⊢A↔B.
```

## 形式推演

定义 2.6.1(形式可推演性) A 是在命题逻辑中由 Σ形式可 推演(或形式可证明)的,记作

 $\Sigma \vdash A$ ,

当且仅当 Σ HA 能由(有限次使用)命题逻辑的形式推演规则生成.

由上述定义, $\Sigma$  HA成立,当且仅当有有限序列

 $Σ_1 \vdash A_1, \dots, Σ_n \vdash A_n$ 使得 6) 中的每一项  $Σ_k \vdash A_k (1 \le k \le n)$  由使用某一形式推演规则 生成,并且  $Σ_n \vdash A_n$  就是  $Σ \vdash A (即 Σ_n = Σ, A_n = A)$ .

## 形式推演: 例子

下面先给出例子说明怎样使用这些规则.

例 设  $A \in \Sigma$  并且  $\Sigma' = \Sigma - \{A\}$ . 下面由两个步骤构成一个序列:

- (1)A ⊢A (由(Ref)).
- $(2)A,\Sigma' \vdash A (由(+),(1)).$  (即 $\Sigma \vdash A.$ )

第(1)步直接由规则(Ref)生成.第(2)步由规则(+)使用于(1)生成.在每一步,所使用的规则和所涉及的前面的步骤(如果涉及了)构成使这一步成立的理由.我们把理由写在右边.这些步骤构成一个证明,它是其中最后一步的证明.

因此,在这个例子中证明了,当  $A \in \Sigma$  时, $\Sigma \vdash A$  成立.它记作 ( $\in$ ),使用集论中关于元素属于集合的记号.(Ref)是( $\in$ )的特殊情形.

## 逻辑推导 vs. 形式推演

#### 附注

- (1)逻辑推论(∑□A)和形式可推演性(∑□A)是不同的事情.前者属于语义,后者属于语法.第四章中的可靠性和完备性将研究这两个概念之间的关系.
- (2)逻辑推论和形式可推演性都是在元语言中研究的,研究时所用的推理是直观的非形式的推理.
- (3) 前面讲过, $\models$ 和  $\models$ 都不是形式语言中的符号. 不应把它们与 $\rightarrow$ 混淆, $\rightarrow$ 是  $\mathcal{L}^p$  的符号. 是用来构成公式的联结符号. 但是  $\models$ (或  $\models$ )和 $\rightarrow$ 之间有这样的联系:  $A\models$ B 当且仅当 $\varnothing\models$ A $\rightarrow$ B(即  $A\rightarrow$ B 是重言式),  $A\models$ B 当且仅当 $\varnothing\models$ A $\rightarrow$ B.

## 形式推演: 例子

#### 定理 2.6.4

- (i)  $A \rightarrow B$ ,  $A \vdash B$ .
- (ii) A ⊢B→A.
- (iii)  $A \rightarrow B, B \rightarrow C \vdash A \rightarrow C$ .
- (iv)  $A \rightarrow (B \rightarrow C)$ ,  $A \rightarrow B \vdash A \rightarrow C$ .

#### 证 我们选证(iii):

- (1) A→B,B→C,A ⊢A→B (由(∈)). (2) A→B,B→C,A ⊢A (由(∈)).

- (4)  $A \rightarrow B$ ,  $B \rightarrow C$ ,  $A \vdash B \rightarrow C$  (由(∈)).
- (5)  $A \rightarrow B$ ,  $B \rightarrow C$ ,  $A \vdash C$  ( $a(\rightarrow -)$ , (4), (3)).
- (6)  $A \rightarrow B, B \rightarrow C \vdash A \rightarrow C$  (由(→+),(5)).

其余的证明留给读者.

(3) 
$$A \rightarrow B$$
,  $B \rightarrow C$ ,  $A \vdash B$  ( $\pm ((→ -), (1), (2))$ ).

$$(由(\rightarrow -),(4),(3)).$$

$$(由(\rightarrow +),(5)).$$

Homework: 其余题目

## 形式推演: 例子

#### 定理 2.6.9

- (i) A ⊢AVB,BVA.
- (ii) AVB ⊢ BVA. (V交換律).
- (iii) (AVB) VC ⊢ AV (BVC). (V结合律)
- (iv) AVB  $\vdash \neg A \rightarrow B$ .
- (v)  $A \rightarrow B \vdash \neg A \lor B$ .
- (vi)¬(AVB) ⊢¬A∧¬B. (De Morgen 律)
- (vii)¬(A∧B) ⊢¬AV¬B. (De Morgen 律)
- (viii) Ø ⊢A V ¬ A. (排中律)

Homework: 证明这些性质

## 形式推演: 常用的定理

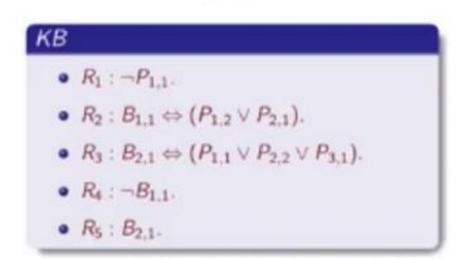
定理2.6.3, 2.6.4, 2.6.5, 2.6.6, 2.6.7, 2.6.8, 2.6.9

可以用基本的11条法则证明,它们则可以在其它证明中使用

# 形式推演: Wumpus

- P<sub>x,y</sub> is true there is a pit in [x, y].
- W<sub>x,y</sub> is true there is a wumpus in [x, y].
- B<sub>x,y</sub> is true if the agent perceives BREEZE in [x, y].
- S<sub>x,y</sub> is true if the agent perceives STENCH in [x, y].

4				
3				
2	23	.63		
1	SAFE	7	,63	
	1	2	3	4



证明: KB ⊢ ¬*P*<sub>1,2</sub> ∧ ¬*P*<sub>2,1</sub>

# 形式推演: Wumpus

```
Biconditional elimination from R_2.
• R_6: (B_{1,1} \Rightarrow (P_{1,2} \vee P_{2,1})) \wedge ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1}).
    And-elimination from R6.
• R_7: (P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1}.
    Contrapositive from R7.

    R<sub>8</sub>: ¬B<sub>1,1</sub> ⇒ ¬(P<sub>1,2</sub> ∨ P<sub>2,1</sub>).

    Modus Ponens from Rn.

    R<sub>0</sub>: ¬(P<sub>1.2</sub> ∨ P<sub>2.1</sub>).

    De Morgan's rule from Ro.
• R_{10}: \neg P_{1,2} \wedge \neg P_{2,1}.
```

证明:  $KB \vdash \neg P_{1,2} \land \neg P_{2,1}$ 证明过程并不是一个机械化的方法

# Summary

#### 逻辑系统

- Syntax: formal structure of sentences
- Semantics: truth of sentences wrt models;
   Entailment: necessary truth of one sentence given another
- Deduction: formal deduction based on deduction rules

#### Inference

 $KB \vdash_i \alpha = \text{sentence } \alpha \text{ can be derived from } KB \text{ by procedure } i$ 

Consequences of KB are a haystack;  $\alpha$  is a needle. Entailment = needle in haystack; inference = finding it

Soundness: i is sound if whenever  $KB \vdash_i \alpha$ , it is also true that  $KB \models \alpha$ 

Completeness: i is complete if whenever  $KB \models \alpha$ , it is also true that  $KB \vdash_i \alpha$ 

Preview: we will define a logic (first-order logic) which is expressive enough to say almost anything of interest, and for which there exists a sound and complete inference procedure.

That is, the procedure will answer any question whose answer follows from what is known by the KB.

哥德尔不完全定理:在一个大的范围内(证明法和问题与正整数存在一一对应关系),不存在既sound又complete的inference过程