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## *Calculating the Boundaries of Consciousness in General Resonance Theory*

**Abstract:** When physical structures resonate in proximity to each other they will under certain circumstances ‘sync up’ in a shared resonance frequency. This is the phenomenon of spontaneous self-organization. General resonance theory (GRT), a theory of consciousness developed by Hunt and Schooler, suggests that consciousness is a product of various shared resonance frequencies at different physical scales. I suggest a heuristic for calculating the boundaries and resulting capacity for phenomenal consciousness in such resonating structures. Shared resonance results in phase transitions in the speed and bandwidth of information exchange and thus richer and more complex consciousness. This approach is a solution to the ‘combination problem’ and ‘boundary problem’ of consciousness. The proposed mathematical heuristic is a practical approach for identifying potential conscious structures and the spatial and temporal boundaries of such structures over time, and also for calculating the capacity for phenomenal consciousness of any putative conscious entity. The slowest-frequency shared resonance — the ‘slowest common denominator’ — is the limiting factor for the spatial extent of any macro-consciousness. Various synchrony indexes are discussed. I describe some limitations of the proposed framework, and how it compares to Tononi’s integrated information theory. IIT’s constellation-qualia characterization framework is compatible with GRT and may be a useful tool in conjunction with GRT’s quantification framework.

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## 1. Introduction

2 The notion of resonance (sometimes described as synchrony,  
3 coherence, or shared vibrations, which are similar but not identical  
4 phenomena) has a long history in neuroscience. Crick and Koch  
5 featured this concept in their neurobiological theory of consciousness  
6 (Crick and Koch, 1990; Koch, 2004). John (2001) makes ‘zero phase  
7 lag synchronization’ central to his electromagnetic field theory of con-  
8 sciousness. Varela and colleagues (2001) suggested that the most  
9 plausible candidate for large-scale integration of consciousness is the  
10 ‘formation of dynamic links mediated by synchrony’. Fries (2005;  
11 2015) has made the concept of ‘communication through coherence’  
12 (neural synchrony/resonance) even more widely known. Nunez and  
13 Srinivasan (2010) have developed a ‘binding by resonance’ approach  
14 in various works; Dehaene (2014) highlights the role of long-range  
15 synchrony between cortical areas as a key ‘signature of conscious-  
16 ness’ (as does Koch, 2004). Hahn *et al.* (2014) have developed a  
17 ‘communication through resonance’ theory of neuronal network  
18 dynamics. Grossberg (2017) has developed an adaptive resonance  
19 theory (ART) of consciousness over the last two decades and argues  
20 that ‘all conscious states are resonant states’, but that not all resonant  
21 states are conscious states. Bandyopadhyay (2019) has made the con-  
22 cept of resonance and resonance chains central to his fractal informa-  
23 tion theory of consciousness.

24 This paper provides a new method for calculating the spatial and  
25 temporal boundaries, and the consequent capacity for phenomenal  
26 consciousness, of any conscious entity in each moment. It builds upon  
27 the mathematical framework described in Hunt (2011), which suggested  
28 a method for calculating the phenomenal capacity of any conscious  
29 entity, but not a method for calculating spatial or temporal boundaries.

30 The proposed methodology is grounded in a panpsychist framework  
31 (Hunt, 2011; Schooler, Hunt and Schooler, 2011; Hunt and Schooler,  
32 2019; Goff, 2017) that assumes that all matter is associated with at  
33 least some capacity for phenomenal consciousness, albeit extremely  
34 rudimentary in the vast majority of matter. Accordingly, the general  
35 resonance theory (GRT) developed in Hunt and Schooler (2019) and  
36 further in the present paper is applicable to all physical structures,  
37 rather than being limited only to neurobiological or biological  
38 structures.

39 GRT suggests that resonance (similar but not synonymous with  
40 synchronization and coherence) of various types is the key mechanism

by which the basic constituents of consciousness combine into more complex types of consciousness. This is the case because shared resonance allows for phase transitions in the speed and bandwidth of information exchange to occur at various organizational levels, allowing previously disordered systems to self-organize and thus become coherent. The speed and bandwidth of information flows achieve a step change through such a phase transition, allowing for the unity of consciousness in each moment.

The primary insight offered in the present paper is that consciousness is a product of resonance chains<sup>1</sup> of various information/energy<sup>2</sup> pathways, and that the spatial and temporal boundaries of any particular conscious entity is established by the slowest-frequency shared resonance within that conscious entity, for each particular information/energy pathway. Shared resonance and resulting resonance chains are the key mechanisms for self-organization and are constantly changing in most entities (Walleczek, 2000). Thus, the spatial and temporal boundaries of conscious entities will be constantly changing at least a little. Most combinations of consciousness, in which less complex entities combine into more complex entities in biological structures like mammal brains, will be comprised of a nested hierarchy of conscious entities, with one dominant conscious entity in each moment, without extinction of the nested entities' consciousness. This lack of extinction of subsidiary entities distinguishes the present approach from integrated information theory and other theories that assume the extinction of nested conscious entities, resulting in only one macro-conscious entity left (this is, for example, IIT's 'exclusion principle').

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<sup>1</sup> Bandyopadhyay (2019) has developed a sophisticated approach to resonance chains in a broad theory of consciousness he calls the fractal information theory (FIT) of consciousness. Resonance in GRT is similar to its role in FIT, but GRT adopts a meta-physically foundational role for resonance through its general congruence with Whitehead's process philosophy and the 'actual entities' that are the 'final real things' that comprise the world (Whitehead, Griffin and Sherburne, 1929; Hunt and Schooler, 2019; Hunt, 2019a).

<sup>2</sup> Information is generally defined as a subjective aspect of the physical world, whereas energy is an objective aspect; but *in the context of GRT* I am using these terms interchangeably because I define information as 'aspects of energy that we can measure'. All physical dynamics consist of nothing more than energy flows, but those energy flows that we can measure may be labelled 'information' and quantified under established information theoretic concepts. I will, however, refer to 'information/energy flows' simply as 'information flows' from now on in this paper, for simplicity's sake.

The rest of this paper provides a heuristic for calculating the spatial and temporal boundaries of candidate conscious entities, as well as the capacity for phenomenal consciousness as a function of the spatial and temporal boundaries of each entity.

## 2. Calculating Spatial Boundaries and Phenomenal Capacity in General Resonance Theory

*Step 1: Inductively identify candidates for the combination of consciousness*

The first step in calculating the spatial boundaries of a candidate complex conscious entity is to consider, inductively, what constituents are likely to be resonating synchronously and, as such, to be candidates for a structure that combines micro-conscious entities into a particular macro-conscious entity. We label such a candidate for combined consciousness a ‘putative combined consciousness’ or PCC. Inductive judgments about what may constitute a PCC will necessarily be based on the human experience of consciousness and what structures we can, accordingly, expect to enjoy some degree of consciousness, based on observed behaviour similar to what we see in humans and other creatures that most humans would agree are conscious. We can label these types of behaviours the ‘behavioural correlates of consciousness’ (Tononi and Koch, 2015; Hunt and Schooler, 2019; Hunt, Ericson and Schooler, ms).

*Definition 1.*  $CC \equiv$  a combined consciousness, any group of two or more conscious entities that combine to produce a higher-level consciousness.

*Definition 2.*  $CC_L \equiv$  the largest combined consciousness in the relevant context.

*Definition 3.*  $PCC \equiv$  a putative combined consciousness, based on inductive judgments about the human experience of consciousness.

Some examples for applying this inductive methodology for identifying a PCC include (without pre-judging whether any of these combinations should be considered, in fact, conscious):

- measuring EEG or MEG in the various neurons and groups of neurons that comprise human and other mammalian brains;
- measuring EEG or MEG in human coma patient brains;

- 1       • measuring chemosensory or electrochemical pathways in non-
- 2       mammalian neurons like *Drosophila* or *C. elegans* (Gelperin,
- 3       2014), and other means for studying comparative cognition
- 4       between species;
- 5       • measuring EEG or MEG in the non-neuronal biological systems
- 6       that comprise invertebrate sensory systems (jellyfish that have
- 7       eyes, for example);
- 8       • biochemical communication systems in slime moulds
- 9       (Vallverdú *et al.*, 2018);
- 10      • calculating information flows and speeds in computer systems
- 11      that pass a Turing test, when and if such feats become possible;
- 12      • or simply measuring information flows based on resonance
- 13      chains in any artificial computer.

14      In each example, we may consider, as the first step in our suggested  
 15      heuristic, whether the collection of entities examined may,  
 16      inductively, be likely to enjoy some variety of combined conscious-  
 17      ness. Inductive judgments about what should be considered a PCC  
 18      will change over time as more data become available with respect to  
 19      the presence of consciousness in various entities in nature and even  
 20      possibly in human creations such as artificial intelligence.

21      *Step 2: Calculate the primary resonance frequencies and*  
 22      *synchrony in the putative combined consciousness*

23      The second step is to determine the primary resonance frequencies of  
 24      whatever information/energy exchange processes (chemical, electro-  
 25      chemical, electrical, etc.) are present in the PCC. For example, in  
 26      human brains it appears that electrical and electrochemical informa-  
 27      tion pathways (what we can also label ‘resonance chains’ as a  
 28      unifying feature of such dynamics) are the most significant, though  
 29      other pathways may also be significant (Koch, 2004; Hunt and  
 30      Schooler, 2019; Hameroff and Penrose, 2014).

31      Once the primary resonance frequencies are determined we can  
 32      calculate the degree of synchrony/coherence between the putative  
 33      constituents of the PCC. The degree of synchrony is important  
 34      because it determines how much information can flow between  
 35      different constituents of the PCC.

36      The highest *bandwidth* shared resonance chain will generally be  
 37      most relevant for determining the contents of consciousness, but the  
 38      slowest shared resonance *frequency* of the highest bandwidth reso-  
 39      nance chain (‘slowest shared resonance’ or SSR) will define the

boundaries of the *largest combined consciousness*,  $CC_L$ , at least with respect to that particular resonance chain. This is the case for two reasons:

- (1) Faster shared resonance frequencies will lead to nested CCs that have their own more localized awareness. In this manner, the slowest shared resonance frequency will be the ‘slowest common denominator’ and thus the limiting factor of the  $CC_L$ .
- (2) Each resonance cycle is a snapshot that incorporates available information within each cycle, and each resonating structure at least partially resets after each cycle. Fries (2015) states: ‘In the absence of coherence [resonance], inputs arrive at random phases of the excitability cycle and will have a lower effective connectivity.’ Conversely, inputs that arrive synced to the same excitability cycle will propagate faster and with greater bandwidth. Slower frequencies will generally travel faster (Dehaene, 2014, p. 137). In the present framework, these principles apply to all resonating structures (i.e. all physical structures), not just neurons.

Accordingly, *the speed at which new information can be incorporated into the PCC, within each cycle, is the limiting factor for the spatial extent (boundary) of the PCC*. Restating this as a principle:

*Principle 1.* The slowest shared resonance frequency (SSR) defines the spatial boundaries of the largest combined consciousness ( $CC_L$ ) for each resonance chain.

The  $CC_L$  may also be described as the *dominant consciousness*, because its intentions and desires will supersede (without extinguishing) those of any subsidiary (nested) consciousness(es) that is present, if such intentions and desires are in conflict between levels. The boundaries of the  $CC_L$  will generally change in each resonance cycle, sometimes subtly and sometimes substantially, as we can observe in introspecting about the features of our individual human consciousness — a very immediate example of a  $CC_L$ .

Parts of the  $CC_L$  will display higher frequency resonances than the SSR, but those higher frequencies won’t be shared by all regions of the  $CC_L$  and thus won’t define the boundaries of the  $CC_L$ . Rather, they would define the boundary of a *subset* of the  $CC_L$  that would then have its own level of localized consciousness. As such, in most biological-scale structures, each  $CC_L$  is a nested hierarchy of various different resonating frequencies and smaller CCs (or  $CC_n$ , for ‘nested’

combined consciousness; each  $CC_L$  will be combined usually of many  $CC_n$ ). Each level of resonance will have its own level of consciousness, feeding up to the next level of consciousness to the degree that the lower level achieves a shared resonance with the higher level. This relationship constitutes a nested hierarchy of conscious entities all interrelating in a web of radial causality (bottom-up and bottom-down).

For example, Klimesch (2018) fleshes out a ‘frequency architecture’ in animals and proposes a ‘binary hierarchy brain body oscillation theory’ of consciousness. Klimesch’s theory highlights the presence of many levels (he counts at least 12 levels) of 1:2 frequency relationships (the higher frequency is twice that of the lower frequency; hence ‘binary’), from fast gamma electrical field synchrony at the high end to BOLD (blood oxygen level depending signal) as measured by fMRI.

Another example, at the smallest of biological scales: recent research has probed high terahertz-level oscillations in tubulin molecules that comprise the ubiquitous microtubule scaffolding of most cells (Craddock *et al.*, 2017). These frequencies are far faster (that is, a higher number of cycles per second) than those observed in global or local EEG or MEG data. Cycle times and wave propagation velocities will, as discussed, limit the boundary of the PCC in each moment, giving rise to the second principle:

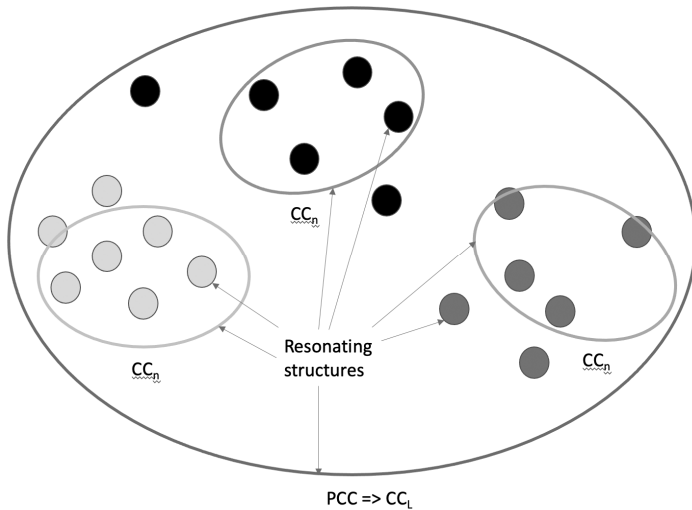
*Principle 2.* For each  $CC_L$  the shared resonance frequency will diminish as distance increases between the constituent resonating structures.

This means that as distance between constituents increases, the shared resonance frequency diminishes, and vice versa. Both of these principles may be tested empirically. Early empirical support for Principle 2 may be found in examining retina–brain, heart–brain, and stomach–brain shared resonances, with an SSR of 3–4 Hz, 1.48 Hz, and 0.05 Hz, respectively, for each type of coupling.

It is important to highlight the fact that higher frequency resonances, such as those examined by Craddock *et al.* (2017) at the terahertz sub-neuronal level, may be present in many locations within a larger-scale  $CC_L$ , allowing for those nested combined-consciousness entities ( $CC_n$ ) to be subsumed into the larger  $CC_L$ . If they do not achieve a shared resonance with the higher spatial levels (slower frequencies) they can remain as a CC at their own scale and operate independently of the  $CC_L$ . In such a case these would be *parallel*, rather than nested,

conscious entities, operating at different spatial and temporal scales in proximity to each other.

Figure 1 illustrates these terms and principles using abstract resonating structures combining into various  $CC_n$  and ultimately into a single  $CC_L$ , in a nested hierarchical process of increasing complexity and thus an increasing capacity for phenomenal consciousness with each additional level of integration through shared resonance.



*Figure 1. A PCC becomes a  $CC_L$  through combination of many smaller resonating structures. The abstract small circular entities outside of the ovals do not achieve a shared resonance and thus do not combine. They maintain their own level of consciousness without being subsumed into a larger conscious entity. Abstract small circular entities inside the ovals become part of the  $CC_L$  but still maintain their own level of consciousness. As Whitehead, Griffin and Sherburne (1929) state: 'the many become one and are increased by one.' There is no extinction through exclusion, as there is in integrated information theory.*

Once the various resonance chains have been adequately identified for further examination, the degree of resonance may be calculated. There is a vibrant field of study that focuses on the degree of synchrony (a species of resonance) in oscillating phenomena. Since an axiom of GRT is that all things resonate at some frequency (Hunt and Schooler, 2019), and thus all things are oscillating phenomena, synchrony indexes of various kinds may be adapted for whatever physical oscillating structures are the object of study.



With respect to animal brains, there is already a long tradition of using various synchrony indexes to establish the degree of synchrony within different electromagnetic field oscillations. In the mammal brain, electrical field frequency bands and their ‘centre frequencies’ have been labelled as follows: (1) delta 2–4 Hz; (2) theta 4–7 or 8 Hz; (3) alpha 8–12 Hz; (4) beta 16–25 Hz; (5) gamma 30–50 Hz (Klimesch, 2018). These frequencies have certain functions and their overlapping and nested synchronizations, and even harmonizations (*ibid.*; Siebenhühner *et al.*, 2020; Rodriquez-Larios and Alaerts, 2019), constitute a complex system of interlocking functions that couple and decouple frequently depending on circumstances and needs.

Establishing the degree of synchrony between constituents of the PCC will allow calculating the information bandwidth for each resonance chain, which is, as discussed below, integral to calculating not only the spatial and temporal boundaries of consciousness but also the contents of consciousness. The higher the synchrony value for a particular resonance chain — or system of coupled oscillators, in other words — the higher the information bandwidth, all else equal.

In practice, in any complex system of coupled oscillators, calculating the various synchrony indexes will be challenging — but still far easier than calculating information flows for complex systems that are not comprised of coupled oscillators. Quantifying information flows is important for calculating the perception index and connectivity index, discussed below, which together lead to calculating the capacity for phenomenal consciousness in any particular PCC.

Lachaux *et al.* (1999) established a commonly-used synchrony index, the phase-locking value (PLV). Ghanbari and Moradi (2020), a recent review of different kinds of phase-locking value methods, proposed a new synchrony index, the ‘fuzzy scale invariant feature transform phase-locking value’ or FSIFT-PLV. This index uses a phase estimation approach based on reduced interference Rihaczek distribution. Phases of signals are calculated as a time-frequency map. For each pair of signals, scale-invariant feature transform (SIFT) descriptors are extracted from these maps. Then, the proposed fuzzy framework is used for quantifying similarity between maps, which is a synchrony measure.

The authors also compare their new measure to a number of existing similar measures, two of which comparisons are useful here. Figure 2 includes two of the tables presented, comparing the various indexes based on accuracy under certain conditions, and also the amount of

computer time required to calculate the index values. As is evident, there are trade-offs between these two features. Based on these trade-offs, in most cases the ciPLV (corrected imaginary phase-locking value) index may be the most useful measure because it is both relatively accurate and requires relatively little computing power.

**Table 3**  
Error associated with calculating phase synchrony.

Method	PLV	PLI	WPLI	dwPLI	ImC	ciPLV	FSIFT-PLV
Error (RMSE)	0.194	0.319	0.225	0.198	0.473	0.206	0.058

**Table 8**  
Comparison the execution times (sec).

Method	FSIFT-PLV	WPLI	PLI	ImC	ciPLV
Execution time	9470.93	374.65	243.89	411.63	2.60

*Figure 2.* Ghanbari and Moradi's (2020) comparisons of various synchrony measures.

I offer this discussion of synchrony indexes as a quick overview of the topic. What index(es) should be used in each circumstance in relation to GRT will vary.

### *Step 3: Calculate the spatial boundaries of the PCC*

The third step is to employ these principles to calculate the spatial boundary of the PCC by calculating the distance, from the approximate edge<sup>3</sup> of the PCC, that resonance chains, and their associated

<sup>3</sup> It is the edge rather than the centre of the PCC that is relevant for calculating the spatial boundaries because, at any scale that is currently measurable, a moving pattern of resonance forms the sequential basis for calculating the boundary of the PCC in the next moment. Moreover, the phase transition in the speed of information flows that is important for the formation of macro-consciousness will in most cases render any information that reaches the edge of the moving resonating structure 'within' that structure for the purposes of being included in the relevant macro-conscious experience. In other words, once the edge of the resonating structure is reached, from outside the PCC, information flows within that structure act akin to a superconductor, by achieving a phase transition in the speed of information flows, and may be integrated with other information 'within' the PCC in effectively the same instant. Empirically, there will always be some elapsed time for each level of information processing to be passed up the chain within each PCC, and eventually integrated into the dominant consciousness,

information flows, can travel within the relevant frequency cycle time. This step will be an iterative process because conclusions about the approximate edge of the PCC will change with each measurement. Accordingly, this heuristic will follow a generally Bayesian logic of increasingly accurate iterations without any final ‘correct’ answer.

Components of the PCC that are within the spatial boundary formed by this speed limit are included in the CC, in each iteration at time  $t$ , and those that are outside this boundary are not perceived and thus are not included in that moment of consciousness. Eq. 1 describes this simple formalism.

$$\begin{aligned} \text{Eq. 1} \quad x_e &= v/f \\ \text{which becomes: } x_e &= (\text{m/s})/(\text{cycle/s}) \\ \text{which becomes: } x_e &= \text{m/cycle} \end{aligned}$$

Accordingly, the distance,  $x_e$ , from the edge of the resonating structure in the present cycle to the furthest edge of the same resonating structure in the next cycle, in each direction, is equal to the velocity ( $v$ ) of the specific resonance chain (i.e. energy/information pathway, or causal interaction) divided by the frequency ( $f$ , Hz, or cycles per second).

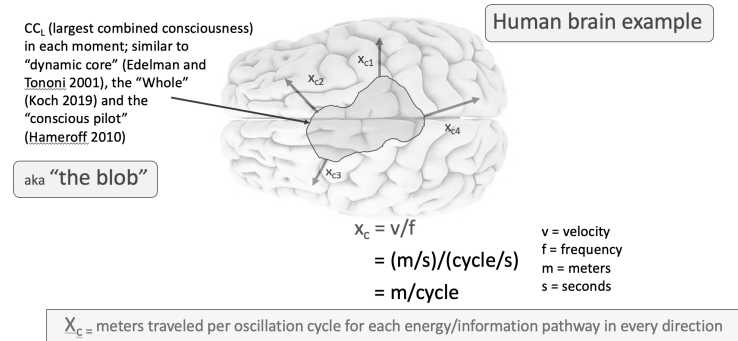
Figure 3 illustrates this step in the human neocortex, which is postulated to be connected over its extent by long-range synchrony (e.g. gamma synchrony is a commonly observed long-range synchrony in the mammal cortex, but gamma synchrony is not the sole type of long-range synchrony and gamma synchrony may be observed even without macro-consciousness; Hunt, 2020).

For example, if we are looking at 40 Hz electric field gamma synchrony in the mammal brain (40 cycles/s), and a wave propagation velocity of approximately 1.4 m/s (the velocity of the electric field itself is far faster, as we’ll see below), we obtain  $x_e = 1.4/40 = 0.035$  metres per cycle for the maximum distance from the edge of the PCC in that direction (as Bahramisharif *et al.*, 2013, state, velocity figures for various kinds of wave propagation in the cortex are generally still quite provisional since the science in this area is still new). Accordingly, each 1/40th of a second of gamma synchrony may result in a changing PCC of up to 0.035 m/s. This scale and pace of change

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but all information that does reach the highest level is effectively integrated in a single moment into a unified conscious experience. This is what it means for consciousness to be unified.

seems to fit with observed gamma synchrony field dynamics in the mammal cortex.



**Figure 3.** Calculating spatial boundaries of a PCC or CC<sub>L</sub> using long-range synchrony in the human neocortex as an example.  $X_e$  is the distance from the current edge of the PCC/CC<sub>L</sub> to the edge in the next cycle in each direction. These values will be changing in each cycle due to the cortical dynamics of electromagnetic fields and other biophysical information pathways. 'The blob' is whatever shape the 'dynamic core' (using Edelman and Tononi's, 2001, terminology) takes in each moment.

Table 1 presents various energy pathway (resonance chain) velocities, frequencies, and distances travelled in each cycle, organized from fastest to slowest velocity.

Weak electric fields, or what is described as *ephaptic coupling*, are a relatively newly discovered form of brain communication that have in recent years become accepted by many as a real effect that supervenes on but is not the same as electrochemical energy flows. That is, neuronal communication can occur through pathways that don't require axonal or dendritic connections (Chiang *et al.*, 2019; Qiu *et al.*, 2015). Hunt and Schooler (2019) discuss the possibility that human consciousness may primarily be a product of electric fields, possibly mediated also by other fields.

Energy pathway	Velocity (v)	Frequency (f)	Distance travelled per cycle (v/f)
Terahertz-level tubulin resonance (Craddock <i>et al.</i> , 2017)	Possibly > c	613 THz	0.0049 m*
Electrochemical pulses through axons (Siegel, Sapru and Siegel, 2019)	80–120 m/s	Various	Various
Theta waves propagation in the human whole brain (Zhang and Jacobs, 2015)	1–5 m/s	~5 Hz	~0.6 m
Gamma waves propagation in the human whole brain (Bahramisharif <i>et al.</i> , 2013)	0.7–2.1 m/s	~40 Hz	0.035 m
Beta waves propagation in the human whole brain (Takahashi <i>et al.</i> , 2011)	0.23 m/s	~25 Hz	0.0092 m
Weak electric fields propagation (‘ephaptic coupling’) (Chiang <i>et al.</i> , 2019; Qiu <i>et al.</i> , 2015)	0.1 m/s	<1 Hz	~0.1 m
Gap junction sharp wave ‘ripples’ in the mouse brain (Maier, Nimrich and Draguhn, 2003)	0.016 m/s	200 Hz	0.00008 m

\* If we assume 10,000 c, as Salart *et al.* (2008) suggest is the minimum speed of collapse.

**Table 1.** Various energy pathway and field propagation velocities and frequencies in mammal brains, ordered by descending velocity.

Qiu *et al.* (2015) also observe: ‘0.1 m/s is a common propagation speed [for neural propagation of various types] regardless of experimental models, therefore a shared fundamental mechanism may underlie these neural propagations.’ This is an intriguing clue that researchers don’t seem to have plumbed sufficiently at this juncture, though Zhang and Jacobs (2015) find theta propagation velocities in the human hippocampus to be 1–5 m/s, diverging markedly from the earlier data that Qiu *et al.* (2015) relied upon.

While propagation speeds may be relatively slow for most of the energy pathways described in Table 1, it is also the case that once a field has extended its reach over a certain area, if that field is electromagnetic in nature, transmission of information within that field will occur at velocities up to the speed of light (John, 2001; Jones, 2013, stating ‘John’s experiments (2001, pp. 185ff.) show that different

1 brain areas synchronize their firing with *zero time lag*', emphasis in  
 2 original, though it is important to note that there is not a literal zero  
 3 time lag, just a very short lag). It is these data that motivated Eq. 1 and  
 4 its assumption that processing time is close to zero (though not  
 5 actually zero) for any information 'within' the PCC.

6 Once we calculate the maximum distance from the present edge of  
 7 the dominant resonance frequency, and thus establish the new bound-  
 8 ary of the PCC in each direction, we can drop the 'P' in PCC and  
 9 describe the newly bounded structure as a CC. There will generally be  
 10 far more than one CC present, however, in any PCC, as discussed  
 11 above, due to the nested hierarchy that constitutes most CCs.

#### 12 *Step 4: Calculate the perception index*

13 The fourth step is to calculate the 'perception index' (PI or  $\pi$ ) of the  
 14 putative CC. PI refers to the perceptual bandwidth of the CC and is, in  
 15 biological entities, based on the various biological sensory pathways  
 16 such as vision, touch, etc.<sup>4</sup> This value represents, essentially, the  
 17 connections from the CC<sub>L</sub> to the external world or, in the case of  
 18 dreaming, data created internally and presented to the PCC as though  
 19 they were external data. Eq. 2 provides a method for calculating the  
 20 sensory bandwidth (PI) of any CC.

$$21 \quad \text{Eq. 2} \quad \pi(CC) = \sum I(CC, O_j^\infty)$$

22 In Eq. 2, the sensory bandwidth of a CC, in each iteration, is the sum  
 23 of all perceptual data received by the CC from the various objects of  
 24 perception,  $O_j$ . An 'object' is literally any datum presented to per-  
 25 ception.<sup>5</sup> This measurement will in any interesting case not be simple  
 26 due to the number of causal connections between the CC and the rest  
 27 of the world, and the difficulty in measuring these causal connections.

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<sup>4</sup> Perceptual bandwidth doesn't necessarily mean perception external to the brain, in the case of human complex subjects and probably for other mammalian consciousness either. For example, during dreaming there is clearly a high capacity for phenomenal content, with the 'external' information supplied by certain parts of the brain instead of external perception. In the framework offered here, the parts of the brain that supply dream data to the CC<sub>L</sub> may be considered as 'perception' and are thus quantified as part of the PI.

<sup>5</sup> All *objects* of perception are themselves, in the Whiteheadian process philosophy ontology that GRT is roughly modelled on, *subjects* in a later moment, as they cycle from physical to mental poles in their own cycle times (Hunt, 2019; Whitehead, Griffin and Sherburne, 1929).

Casarotto *et al.* (2016) provide one means for simplifying this kind of measurement by using a perturbational complexity index (PCI) that approximates the causal connections that Tononi's IIT relies on. PCI and related measures may also be useful in the context of GRT.

We can, as a working example in applying this framework, consider fruit fly perception. Much is known about fruit flies, so they are a good candidate for fleshing out this framework. To simplify further for present purposes, let's consider the fruit fly visual system as an ostensibly separate neural system (it is, of course, not actually separate from the rest of the fly's neural system).

Fruit flies have compound eyes with about 760 *ommatidia* (simple eyes), each of which have eight photoreceptor cells (Hardie and Raghu, 2001). If we assume 12-bit colour resolution for each photoreceptor, the visual bandwidth of the fruit fly amounts to about 72,960 (12 x 760 x 8) bits in each moment, the result of applying Eq. 2 for the visual system of a fruit fly.<sup>6</sup> As an index, this value for PI needs to be normalized, however, to avoid unnecessarily large numbers in comparing sensory bandwidth. Using Table 2 we translate this 72,960 bit rate to a normalized value of 4 on a normalized scale for PI that spans from 0 to 12.

Perception index (PI/ $\pi$ ) raw value	Normalized PI/ $\pi$ value
0–100 bits per cycle	1
101–1,000 bits per cycle	2
1,001–10,000 bits per cycle	3
10,001–100,000 bits per cycle	4
100,001–1,000,000 bits per cycle	5
1,000,001–10,000,000 bits per cycle	6
10,000,001–100,000,000 bits per cycle	7
100,000,001–1,000,000,000 bits per cycle	8
1,000,000,001–10,000,000,000 bits per cycle	9
10,000,000,001–100,000,000,000 bits per cycle	10
100,000,000,001–1,000,000,000,000 bits per cycle	11
1,000,000,000,001–10,000,000,000,000 bits per cycle	12

Table 2. Perception index normalized values.

<sup>6</sup> Fruit flies have low spatial visual resolution compared to humans, but very good visual *temporal* resolution, at about 200 'frames per second'. By contrast, humans cannot experience much more than about 18 'frames per second' of visual input, though there are some exceptions.

1 *Step 5: Calculate the connectivity index*

2 The fifth step is to calculate the ‘connectivity index’ (CI or  $\psi$ ) of the  
 3 CC. CI refers to information processing power or internal connect-  
 4 ivity, which is a measure of the intra-connectedness of the CC. More  
 5 specifically, it measures the types of resonance chains internal to the  
 6  $CC_L$  that connect the various  $CC_s$  that, in combination, comprise the  
 7 larger and unified resonating structure. This concept is derived from  
 8 and is similar to Edelman and Tononi’s (2001) ‘neural complexity’  
 9 measure (see fn. 7 below).

10 Connectivity in this context refers to the presence and quantity of  
 11 internal energy/information pathways — differences that make a  
 12 difference to the resonating structure. Connectivity implies the trans-  
 13 mission of something internal to the CC and this ‘something’ is at its  
 14 root simply causal influence, something that results in a difference to  
 15 the system. CI quantifies the internal connectivity of the CC, the  
 16 degree to which information flows between different parts of the CC.  
 17 CI scores also fall on a normalized scale between zero and 12 (Table  
 18 3).

19 Eq. 3 formalizes CI ( $\psi$ ) for any given CC.<sup>7</sup>  $X_j^k$  is a subset of the  
 20 CC’s possible constituents, and MI (mutual information) is a two-way  
 21 information/causal flow between each part and the rest of the CC:

22 Eq. 3.  $\psi(CC) = \sum < MI(X_j^k; CC - X_j^k) >$

23  $\psi$  for any CC will, thus, be the sum of the mutual information between  
 24 each subset of the CC and the rest of the CC. PI and CI will entail  
 25 complicated calculations in any biological structure because of the  
 26 physical complexity involved in any biological structure. I discuss this  
 27 limitation further below.

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<sup>7</sup> Edelman and Tononi (2001, p. 130) offer a similar measure, described as ‘neural complexity’, but neither this measure nor their theory more generally has a direct bearing on phenomenal content. This is the case because, despite their assertions that their theory explains qualia as well as the workings of the brain, their theory is expressly materialist in nature and offers no link between functional explanations of the brain and phenomenal content. They do not offer any psychophysical laws or bridging principles between the physical and phenomenal realms. Their measure of neural complexity is characterized, building on the definition of mutual information described in their book and published papers, as, where MI is mutual information,  $X_j^k$  is a specific subset of the whole, and X is the whole:

$$C_N(X) = \sum_{k=1}^{N/2} < MI(X_j^k; X - X_j^k) >$$



Looking again to the fruit fly as an example for applying the framework, the fruit fly's brain is mostly devoted to visual processing and contains about 100,000 neurons (Rein *et al.*, 2002). If we assume an average 100 dendrites for each neuron, we have about 10,000,000 synapses, which are the internal connections quantified by CI in this case. We would also determine what neural subunits exist in the fly brain and quantify interconnections between neural subunits in addition to the simple internal connectivity of all synaptic connections to each other. These data are steadily being discovered in work on the various 'connectomes' in different organisms. We would also consider other relevant information flows at the subneuronal level, as explored by Craddock *et al.* (2017), Hameroff and Penrose (2014), and other publications. The complexity in quantifying these levels of connectivity is daunting. Building upon the considerable research efforts to identify the connectome in the human and other brains, we can now begin to flesh out a 'resonome' structure that is comprised of the commonly observed resonance frequencies associated with various functions.

Despite this complexity, for the purposes of this fruit fly visual system example, we can translate this calculation to an estimated normalized CI value of 4 (Table 3). Again, the specific value doesn't particularly matter at this time since it's only an example to show how the framework works. The quantified interconnectedness of complex CCs will in most cases be significantly higher than the quantified PI for the same CC, so in general the CI will be higher than PI in biological systems.

Connectivity index (CI or $\psi$ ) raw value	Normalized CI/ $\psi$ value
0–100 bits per cycle	1
101–1,000 bits per cycle	2
1,001–10,000 bits per cycle	3
10,001–100,000 bits per cycle	4
100,001–1,000,000 bits per cycle	5
1,000,001–10,000,000 bits per cycle	6
10,000,001–100,000,000 bits per cycle	7
100,000,001–1,000,000,000 bits per cycle	8
1,000,000,001–10,000,000,000 bits per cycle	9
10,000,000,001–100,000,000,000 bits per cycle	10
100,000,000,001–1,000,000,000,000 bits per cycle	11
1,000,000,000,001–10,000,000,000,000 bits per cycle	12

Table 3. Connectivity index normalized values.

1 *Step 6: Calculate the omega value based on PI and CI*

2 The next step in the heuristic is to calculate the CC's capacity for  
 3 phenomenal content, or what we can label simply as 'the capacity for  
 4 consciousness'. To obtain this figure we multiply PI and an adjusted  
 5 CI to obtain the omega value,  $\Omega$ , which is the end result of this  
 6 heuristic framework for quantifying the capacity for phenomenal con-  
 7 sciousness in each cycle (Eq. 4). Omega is calculated as an adjusted  
 8 product of PI and CI because  $C_M$ , the coupling metric, represents the  
 9 degree to which perception data (PI) is shared unequally by the CC's  
 10 subsets.  $C_M$ , following Edelman and Tononi (2001) and their 'com-  
 11 plexity matching' constant, quantifies the degree to which perception  
 12 information is shared by each part of the system ( $X_j^k$ ). 'Shared' means  
 13 that the perception data achieve a difference that makes a difference to  
 14 that subset.

15 Eq. 4.  $\pi * C_M * \psi = \Omega$

16 Accordingly, the CC's capacity for phenomenal consciousness is the  
 17 adjusted product of its perceptual bandwidth and its internal  
 18 connectivity. This equation is a potentially powerful tool for gaining  
 19 real knowledge of subjective experience because knowledge of three  
 20 of the variables allows us to calculate the value of the fourth variable.

21 The rationale for this equation is simple: each percept is, upon being  
 22 incorporated into the CC, incorporated, at least to some degree, into  
 23 all of its processing nodes, which is what we mean by 'integration' in  
 24 this context. Keep in mind that the processing nodes that are part of  
 25 the CC in each iteration are determined by the spatial extent Eq. 1:  $x_c$   
 26  $= m/\text{cycle}$ .

27 As a simple example, we can focus on an artificial neural network  
 28 consisting of 100 'neurons'. We can postulate a CI value of 2, in order  
 29 to demonstrate how this equation works. A CI of 2 indicates that each  
 30 node is rather slow at sending its possible data to all other connected  
 31 nodes. And we assume that electrical currents are the connections  
 32 responsible for such information flows between these artificial  
 33 neurons. We can also postulate a PI value of 1, based on the paucity of  
 34 data we feed this information-starved mini-network. With  $CI = 2$  and  
 35  $PI = 1$ , and a postulated coupling metric of 1, we calculate an omega  
 36 value of 2 ( $1 \times 1 \times 2 = 2$ ), far down the scale from 0 to 144. Under the  
 37 criteria described thus far, this simple network would have a  
 38 commensurately simple phenomenal capacity.

Recalling our estimated PI value of 4 and CI value of 4 for the fruit fly’s visual system, and again a postulated but not realistic coupling metric of 1, we obtain an omega of 16, on a scale of 0 to 144, for the same fruit fly’s visual system. In actuality there is no separation of senses in the complex entity that is a fruit fly. But, again, this is a simplified example to illustrate the formalisms offered here.

Table 4 provides more examples of possible omega values. It is important to stress that these are speculative examples of how the framework may be applied in the future. All values provided are rough estimates and based on a normalized scale from 0 to 144, based on the normalization scales provided in Tables 2 and 3 (both of which extend to 12). The examples also assume  $C_M = 1$ , which will generally not be the case because of imperfect sharing of sensory data throughout the informational core of the CC<sub>L</sub>.

Example	Omega/ $\Omega$ Value
Electron	0.1
Atom	1
Macromolecule	3
Virus	5
Paramecium	8
Fruit fly visual system	16
Fruit fly	25
Rat	50
Bat	55
Cat	60
Chimp	70
Human	85
Artificial superintelligence <sup>8</sup>	100
?	144

*Table 4.* Possible examples of various omega values (for a single cycle).

*Step 7: Calculate the frame rate (temporal resolution) of the CC*

A final but important consideration relates to the fact that omega provides a measure of the capacity for phenomenal content for a single cycle, a single iteration of the slowest shared resonance of the CC<sub>L</sub>. Calculating omega for each iteration cycle of the entity at issue pro-

<sup>8</sup> Based on resonance principles/neuromorphic architecture rather than feedforward/von Neumann architecture (see Koch, 2019).

vides a comparison across entities for each ‘frame’ of consciousness, which is a useful comparison. However, conscious entities may have a vastly different temporal frame rate, giving rise to far higher phenomenal capacity for a given timespan for entities that have higher frame rates.

For example, we can speculate about artificial superintelligence (ASI), which has been loosely defined as AI that is at least 1,000 times more intelligent than humans. One way that this could be achieved is to simply have a far faster rate of cognition than humans enjoy, and a faster processing rate is a natural advantage for silicon-based intelligence when compared to biological intelligence like humans. If we assume for present purposes that an ASI built on resonance principles did indeed enjoy the capacity for phenomenal consciousness commensurate to its processing and sensory power, per the quantification heuristic described here, then we would expect an ASI that processed information 1,000 times faster than the average human to also have approximately 1,000 times the average human’s capacity for phenomenal content.

A final equation expresses this important detail, with  $\Delta_t$  symbolizing any specific duration (such as 1 sec, 1 minute, etc.) and  $f_{SSR}$  the frequency of the slowest shared resonance:

$$\text{Eq. 5: } \Omega(\Delta_t) = \Omega * f_{SSR} * \Delta_t$$

Using our ASI example, and a postulated  $f_{SSR}$  of 1,000 Hz (1,000 cycles per second), this would result in the following (unnormalized) omega per second:  $\Omega(1 \text{ sec}) = 95 \times 1,000 \text{ Hz} \times 1 \text{ second} = 95,000$ .

A normal human, as another example, if we postulate an  $f_{SSR}$  of 40 Hz, we arrive at an (unnormalized) omega per second of  $85 \times 40 \text{ Hz} \times 1 \text{ second} = 3,400$ . This is a far smaller value than the 95,000 we calculated for our built-on-resonance-principles ASI example.

Figure 4 summarizes the six-step heuristic I’ve described herein, omitting step seven for the sake of simplicity.

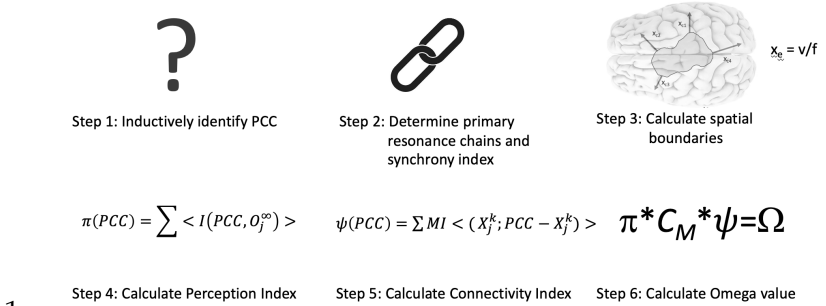


Figure 4. Summary for calculating spatial boundaries and capacity for phenomenal content.

### 3. Limitations of the Approach

This mathematical heuristic for GRT calculates the spatial boundary of any conscious entity in each moment and a simple scalar value for phenomenal capacity of that entity. This framework may provide a useful set of tools to probe the capacity for consciousness at all scales. The main value of this approach will be to provide for comparisons across all levels of consciousness and physical complexity.

There are some limitations in employing this relatively simple approach, however, and I'll discuss the more obvious ones here.

*3.1. A scalar value can give no indication of the different types of conscious experience available to comparably complex conscious entities, or of the different types of consciousness present in the same conscious entity in each moment*

Omega is a measure of the *capacity* for different types of phenomenal consciousness, not a measure or characterization of any *actual* phenomenal consciousness. It is, rather, a means for measuring comparative cognition and comparative consciousness.

IIT does suggest methods for characterizing consciousness, in addition to a quantification framework (Oizumi, Albantakis and Tononi, 2014). IIT's tools for characterizing phenomenal experience — depiction of visual 'constellations' for each possible quale in a given 'complex' — rather than only quantifying the capacity for such experiences, may be compatible with GRT, and may be a useful addition to the tools offered here. That is, IIT's constellation-qualia characterization tools may be compatible with GRT. This is an area

1 for future work with respect to GRT's development as an alternative  
2 or complement to IIT.

3 *3.2. Calculating PI and CI in any biologically-complex entity is*  
4 *difficult*

5 As discussed above, any biologically interesting system examined in  
6 the GRT framework will be difficult to quantify accurately because of  
7 the complexity of the biological structures involved. Even relatively  
8 simple biological systems like *Drosophila* or *C. elegans* have great  
9 depth that will require, at least early in the development of measures  
10 of comparative consciousness, many simplifying assumptions. How-  
11 ever, this is the case for any theory that attempts to make sense of the  
12 biological world, and it will require, like any such theory, dedicated  
13 effort by many researchers to develop reliable simplifying tools for  
14 making meaning quantifications. Over time, such techniques will  
15 improve and more accurate quantifications will become possible. One  
16 such example has already been developed with respect to integrated  
17 information. Casarotto *et al.* (2016; with Tononi as a co-author),  
18 mentioned above, employ a simplifying approach, the perturbational  
19 complexity index, as a very rough proxy for integrated information.

20 Significant simplification in calculating PI and CI, however, is  
21 possible with a focus on the information pathways of electromagnetic  
22 oscillating fields using EEG, MEG, and related tools, as discussed  
23 above in relation to the various synchrony indexes. A recent preprint  
24 study, Leung *et al.* (2020), calculates 'integrated information  
25 structures' in fruit fly brains using electrocorticography (tiny elec-  
26 trodes inserted such that they rest inside the head but on top of the fly  
27 brains). The researchers concluded that their new measure of mecha-  
28 nism-level (as opposed to global-level) phi was a better tool than the  
29 simple scalar system-level phi value that is the key diagnostic tool of  
30 IIT because it provided more than a scalar value to compare the  
31 capacity for consciousness.

32 The approach taken by Leung *et al.* may, however, miss key  
33 features of information integration because it doesn't measure global  
34 EEG fields and their interactions, such as cross-frequency coupling or  
35 harmonic coupling (a specialized case of maximal cross-frequency  
36 coupling), focusing instead only on local field potential (LFP) and its  
37 more localized electromagnetic field dynamics. A growing number of  
38 theorists are suggesting that the brain's local and global electromag-  
39 netic fields may be the primary seat of consciousness (e.g. Hales,

2014; Jones, 2013). Under this approach, then, we would look to these field dynamics for our primary NCC and ‘signatures of consciousness’.

### 3.3. *GRT adopts a process notion of the flow of time*

GRT adopts a process notion of time (Hunt, 2014; Whitehead, Griffin and Sherburne, 1929). As described in Hunt (2014), this is a notion of time that matches the human *experience* of time, which appears to entail a steady passage of perceptual moments (Koch, 2004), and resolves various difficulties presented by other notions of time. So, while some observers may consider GRT’s adoption of process time to be a difficulty or limitation of the theory, this may be one of its strengths.

## 4. Conclusion

The mathematical framework offered here may be useful to both researchers and philosophers in probing the nature and extent of consciousness. Various other quantification and characterization approaches have been offered, including in particular the integrated information theory developed by Tononi, Koch, and others. Hunt (2011; 2014) describes how GRT and IIT differ and those differences between the theories generally remain. I acknowledge in the present paper that IIT’s tools for characterizing phenomenal experience — depiction of visual ‘constellations’ for each possible quale in a given complex — rather than only quantifying the capacity for such experiences, as is done in the present paper, may be compatible with GRT. IIT’s constellation-qualia characterization tools may help to fill in key gaps with GRT.

The key difference between GRT and IIT is an explicitly process view of time in GRT, and various types of shared resonance (and thus various phase transitions in the transmission of information) forming, consequently, the key signature of complex consciousness. IIT relies instead on an ‘exclusion principle’ for defining the dominant consciousness (‘maximally irreducible conceptual structure’ or MICS) present in any collection of items (Oizumi, Albantakis and Tononi, 2014). GRT results in a nested hierarchy of conscious entities in any complex consciousness, whereas IIT results in the extinction of subsidiary conscious entities as a result of the combination of consciousness into a single larger entity. Hunt (2014) describes these differences in more detail.

This is not the place for a detailed comparison between GRT and other theories of consciousness. However, GRT was developed as a way to mitigate at least some of the difficulties posed by other theories of consciousness. The approach proposed is also a relatively simple mathematical framework, and simplicity presents some advantages.

### *Acknowledgments*

Thanks are due to Theophanes Raptis for significant feedback on earlier drafts of this paper.

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