

# An efficient kinetic modeling in plasmas by using the AWBS transport equation

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Text of abstract.

## I. INTRODUCTION

The first attempts of modern kinetic modeling of plasma can be tracked back to the fifties, when Cohen, Spitzer, and Routly (CSR) [1] in detail demonstrated the fact, that in the ionized gas the effect of Coulomb collisions between electrons and ions predominantly results from frequently occurring events of cumulative small deflections rather than occasional close encounters. This effect was originally described by Jeans in [2] and Chandrasekhar [3] proposed to use the diffusion equation model of the Fokker-Planck type (FP) [4].

As a result, a classical paper by Spitzer and Harm (SH) [5] provides the computed electron distribution function spanning from low to high  $Z$  plasmas, and more importantly, the current and heat flux formulas, which are widely used in almost every plasma hydrodynamic code nowadays. The distribution function is of the form  $f^0 + \mu f^1$ , where  $f^0$  and  $f^1$  are isotropic and  $\mu$ , is the direction cosine between the particle trajectory and some preferred direction in space. It should be emphasized that the SH solution expresses a small perturbation of equilibrium, i.e. that  $f^0$  is the Maxwell-Boltzmann distribution and  $\mu f^1$  represents a very small deviation.

The actual cornerstone of the modern FP simulations was set in place by Rosenbluth [6], when he derived a simplified form of the FP equation for a finite expansion of the distribution function, where all the terms are computed according to plasma conditions, including  $f^0$ , which of course needs to tend to the Maxwell-Boltzmann distribution.

In the purpose of this paper to present an efficient alternative to FP model based on the Albritton-Williams-Bernstein-Swartz collision operator (AWBS) [7]. In Section II we propose a modified form of the AWBS collision operator, where its important properties are further presented in Section III with the emphasis on its comparison to the full FP solution in local diffusive regime. Section IV focuses on the performance of the AWBS transport equation model compared to modern kinetic codes including FP codes Aladin and Impact, and PIC code Calder, where the cases related to real laser generated plasma conditions are studied. Finally, the most important outcomes of our research are concluded in Section V.

## II. THE AWBS KINETIC MODEL

The electrons in plasma can be modeled by the deterministic model of charged particles

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla_{\mathbf{x}} f + \tilde{\mathbf{E}} \cdot \nabla_{\mathbf{v}} f = C_{ee}(f) + C_{ei}(f), \quad (1)$$

where  $f(t, \mathbf{x}, \mathbf{v})$  represents the density function of electrons at time  $t$ , spatial point  $\mathbf{x}$ , and velocity  $\mathbf{v}$ , and  $\tilde{\mathbf{E}} = \frac{q_e}{m_e} \mathbf{E}$  is the existing electric field in plasma.

The generally accepted form of the electron-electron collision operator  $C_{ee}$  is the Fokker-Planck form published by Landau [8]

$$C_{FP}(f) = \Gamma \int \nabla_{\mathbf{v}} \nabla_{\mathbf{v}'} (\mathbf{v} - \mathbf{v}') \cdot (f \nabla_{\mathbf{v}'} f - f' \nabla_{\mathbf{v}} f) d\mathbf{v}', \quad (2)$$

where  $\Gamma = \frac{q_e^4 \ln \Lambda}{4\pi e^2 m_e^2}$  and  $\ln \Lambda$  is the Coulomb logarithm. In principal, the electron-ion collision operator  $C_{ei}$  could be expressed in the form similar to (2), but since ions are considered to be motionless compared to electrons, the scattering operator, i.e. no change in the velocity magnitude, expressed in spherical coordinates is widely accepted

$$C_{ei}(f) = \frac{\nu_{ei}}{2} \left( \frac{\partial}{\partial \mu} \left( (1 - \mu^2) \frac{\partial f}{\partial \mu} \right) + \frac{1}{\sin^2(\phi)} \frac{\partial^2 f}{\partial \theta^2} \right), \quad (3)$$

where  $\mu = \cos(\phi)$ ,  $\phi$  and  $\theta$  are the polar and azimuthal angles, and  $\nu_{ei} = \frac{Z n_e \Gamma}{v^3}$  is the electron-ion collision frequency.

Fish introduced an alternative form of  $C_{ee}$  in [9] referred to as high-velocity limit electron collision operator

$$C_H(f) = \nu_e \frac{\partial}{\partial v} \left( f + \frac{v_{th}^2}{v} \frac{\partial f}{\partial v} \right) + \frac{\nu_e}{2} \left( 1 - \frac{v_{th}^2}{v^2} \right) \left( \frac{\partial}{\partial \mu} \left( (1 - \mu^2) \frac{\partial f}{\partial \mu} \right) + \frac{1}{\sin^2(\phi)} \frac{\partial^2 f}{\partial \theta^2} \right), \quad (4)$$

where  $\nu_e = \frac{n_e \Gamma}{v^3}$  is the electron-electron collision frequency and  $v_{th} = \sqrt{\frac{k_B T_e}{m_e}}$  is the electron thermal velocity. The linear form of  $C_H$  arises from an assumption that the fast electrons predominantly interact with the thermal (slow) electrons, which simplifies importantly the nonlinear form (2).

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The aim of this work is to use a yet simpler form of the electron-electron collision operator, i.e. the AWBS formulation [7], where we propose the following form

$$C_{AWBS}(f) = v \frac{\nu_e}{2} \frac{\partial}{\partial v} (f - f_M) + \frac{\nu_{ei} + \frac{\nu_e}{2}}{2} \left( \frac{\partial}{\partial \mu} \left( (1 - \mu^2) \frac{\partial f}{\partial \mu} \right) + \frac{1}{\sin^2(\phi)} \frac{\partial^2 f}{\partial \theta^2} \right), \quad (5)$$

where  $f_M$  is the Maxwell-Boltzmann equilibrium distribution.  $C_{AWBS}$  represents the complete  $C_{ee} + C_{ei}$  collision operator in (1).

The complete form of collision operator (5) was previously introduced in [10, 11], nevertheless, we intentionally use a half of the electron-electron collisional frequency modification  $\frac{\nu_e}{2}$ , because this formulation provides very promising results compared to the full FP operator as emphasized in Section III.

The Maxwell-Boltzmann averaged e-e scattering in (4) can be approximated as  $\nu_e \int \left( 1 - \frac{v_{th}^2}{2v^2} \right) f_M 4\pi v^2 dv = \frac{\nu_e}{2}$ .

### III. BGK, AWBS, AND FOKKER-PLANCK MODELS IN LOCAL DIFFUSIVE REGIME

In a broad analysis of the electron transport, any qualitative information about its properties are highly welcome. Even better, if one can extract some qualitative information, which provides comparative and reliable results in a clear way, the confidence of using a transport model, e.g. (5), can lead to efficient yet relatively cheap computation cost predictions of real physics.

In this paper, we can try to find an approximate solution to the so-called *local diffusive regime* of electron transport, where the *diffusive regime*, in general, refers to a low anisotropy in angle given by  $\mu$ , and *local* means that the mean free path of electrons  $\lambda_{ei}$  is rather restricted compared to the plasma spatial scale. In the words of mathematics this corresponds to the first order expansion in  $\lambda_{ei}$  and  $\mu$  of the distribution function as

$$\tilde{f}(z, v, \mu) = f^0(z, v) + f^1(z, v) \lambda_{ei} \mu, \quad (6)$$

where  $z$  is the spatial coordinate along the axis  $z$ ,  $v$  the magnitude of transport velocity, and  $\lambda_{ei} = \frac{v}{\nu_{ei}} = \frac{v^4}{Z n_e \Gamma}$ . In other words, one can say that by evaluating numerically  $\tilde{f}$  in (6), we accept some error of the order  $O(\lambda_{ei}^2) + O(\mu^2)$ . The expansion in a small parameter  $\lambda_{ei}$  is also coherent with a time-steady approximation due to the relation between the mean free path and collision frequency, where the higher the collision frequency the more steady the solution.

In order to start, we express the time-steady left hand side of (1) in 1D and insert the approximation (6), which

leads to

$$\mu \left( \frac{\partial \tilde{f}}{\partial z} + \frac{\tilde{E}_z}{v} \frac{\partial \tilde{f}}{\partial v} \right) + \frac{\tilde{E}_z (1 - \mu^2)}{v^2} \frac{\partial \tilde{f}}{\partial \mu} = \mu \left( \frac{\partial f^0}{\partial z} + \frac{\tilde{E}_z}{v} \frac{\partial f^0}{\partial v} \right) + \frac{\tilde{E}_z \lambda_{ei}}{v^2} f^1 + O(\mu^2), \quad (7)$$

and is truncated for low anisotropy, i.e. with error  $O(\mu^2)$ .

#### A. The BGK local diffusive electron transport

Even though the BGK plasma collisional operator [12]

$$\frac{1}{v} C_{BGK}(\tilde{f}) = \frac{\tilde{f} - f_M}{\lambda_e} + \frac{1}{2\lambda_{ei}} \frac{\partial}{\partial \mu} (1 - \mu^2) \frac{\partial \tilde{f}}{\partial \mu}, \quad (8)$$

where  $\lambda_e = Z\lambda_{ei}$ , is not actually used in our nonlocal transport simulations, we consider it useful to include this simplest form of the Boltzmann transport collision operator, because of two reasons: a) it can be treated analytically in the local diffusive regime; and b) it represents the so-called phenomenological collision operator by explicitly using the Maxwell-Boltzmann equilibrium distribution  $f_M$ , which proves to be very useful in coupling of the nonlocal electron transport to hydrodynamics.

If one applies the action of the right hand side, i.e. of (8), on the approximation (6) and sets the result to be equal to the left hand side (7), the corresponding terms in  $\mu$  are governed by the following equations

$$f^0 = f_M + \frac{\tilde{E}_z}{v^2} f^1 Z \lambda_{ei}^2, \quad (9)$$

$$f^1 = -\frac{Z}{Z+1} \left( \frac{\partial f^0}{\partial z} + \frac{\tilde{E}_z}{v} \frac{\partial f^0}{\partial v} \right), \quad (10)$$

i.e.  $f^0 = f_M + O(\lambda_{ei}^2)$  and  $f^1 = -\frac{Z}{Z+1} \left( \frac{\partial f_M}{\partial z} + \frac{\tilde{E}_z}{v} \frac{\partial f_M}{\partial v} \right)$ . Now the electric current expressing the contribution of every electron naturally tends to zero, i.e. the *quasi-neutrality* constraint, which lead to an analytic formula of the self-consistent electric field

$$\mathbf{j} \equiv q_e \int \mathbf{v} \tilde{f} d\mathbf{v} = \mathbf{0} \rightarrow \tilde{\mathbf{E}} = v_{th}^2 \left( \frac{\nabla \rho}{\rho} + \frac{5}{2} \frac{\nabla T}{T} \right). \quad (11)$$

Consequently, based on (9), (10), and (11), the analytic formula (6) of the electron distribution function reads

$$\tilde{f} = f_M - \frac{Z}{Z+1} \left( \frac{v^2}{2v_{th}^2} - 4 \right) \frac{1}{T} \frac{\partial T}{\partial z} f_M \lambda_{ei} \mu, \quad (12)$$

which is nothing else than the famous Lorentz electron-ion collision gas model [13] scaled by a constant depending on  $Z$ , naturally arising from the BGK model (8).

## B. The AWBS local diffusive electron transport

The main object of this work presented in Sec II simplifies in 1D to a relatively simple form of the Boltzmann transport collision operator (compared to (2))

$$\frac{1}{v} C_{AWBS}(f) = \frac{v}{2\lambda_e} \frac{\partial}{\partial v} (f - f_M) + \frac{1}{2} \left( \frac{1}{\lambda_{ei}} + \frac{1}{2\lambda_e} \right) \frac{\partial}{\partial \mu} (1 - \mu^2) \frac{\partial f}{\partial \mu}. \quad (13)$$

Similarly to the BGK model, AWBS 13 is also referred to as a phenomenological model, since it explicitly uses the Maxwell-Boltzmann equilibrium distribution  $f_M$ , and also, makes it a very attractive model of the non-local electron transport to be coupled to hydrodynamics via the plasma electron temperature and density.

A qualitative information about the AWBS model is obtained while repeating the action on (6) by the left hand side (7) and by the right hand side (13) and setting the equality. The corresponding terms in  $\mu$  are then governed by the following equations

$$\frac{\partial}{\partial v} (f^0 - f_M) = \frac{\tilde{E}_z}{v^3} f^1 2Z\lambda_{ei}^2, \quad (14)$$

$$\frac{v}{2Z\lambda_{ei}} \frac{\partial(f^1\lambda_{ei})}{\partial v} - \frac{2Z+1}{2Z} f^1 = \frac{\partial f^0}{\partial z} + \frac{\tilde{E}_z}{v} \frac{\partial f^0}{\partial v}, \quad (15)$$

i.e.  $f^0 = f_M + O(\lambda_{ei}^2)$ , however, the  $f^1$  does not have a straightforward analytic formula. In reality,  $f^1$  arises from the ordinary differential equation (by inserting  $f_M$  into (15))

$$\frac{\partial f^1}{\partial v} + \frac{1}{v}(3 - 2Z)f^1 = \frac{2Z}{v} \left( \frac{1}{\rho} \frac{\partial \rho}{\partial z} + \left( \frac{v^2}{2v_{th}^2} - \frac{3}{2} \right) \frac{1}{T} \frac{\partial T}{\partial z} - \frac{\tilde{E}_z}{v_{th}^2} \right) f_M. \quad (16)$$

We will stick with a numerical solution of (16), where the details about the resulting distribution function can be found in Section III D.

## C. The Fokker-Planck local diffusive electron transport

The Fokker-Planck (2) collision operator can be also written as [14]

$$\frac{1}{v} C_{FP}(f) = \frac{\Gamma}{v} \left( 4\pi f^2 + \frac{\nabla_{\mathbf{v}} \nabla_{\mathbf{v}} f : \nabla_{\mathbf{v}} \nabla_{\mathbf{v}} g}{2} \right), \quad (17)$$

where  $g(\mathbf{v}) = \int |\mathbf{v} - \tilde{\mathbf{v}}| f(\tilde{\mathbf{v}}) d\tilde{\mathbf{v}}$  is the Rosenbluth potential [6]. Since we are interested in the approximate solution in the local diffusive regime, it is convenient to use a low anisotropy approximation  $\tilde{g} = g^0(f^0) + g^1(f^1)\lambda_{ei}\mu$ , which arises based on Eq. 45 of [6].

For a better clarity we present the action of (17) in 1D

$$\begin{aligned} C_{FP}(\tilde{f}) = & \Gamma \left( 4\pi f^0{}^2 + \frac{1}{2} \frac{\partial^2 f^0}{\partial v^2} \frac{\partial^2 g^0}{\partial v^2} + \frac{1}{v^2} \frac{\partial f^0}{\partial v} \frac{\partial g^0}{\partial v} \right) \\ & + \frac{\mu}{Zn_e} \left[ 8\pi f^0 f^1 v^4 - v \left( \frac{\partial f^0}{\partial v} g^1 + \frac{\partial g^0}{\partial v} f^1 \right) \right. \\ & + \frac{1}{v^2} \left( \frac{\partial f^0}{\partial v} \frac{\partial(g^1 v^4)}{\partial v} + \frac{\partial g^0}{\partial v} \frac{\partial(f^1 v^4)}{\partial v} \right) \\ & \left. + \frac{1}{2} \left( \frac{\partial^2 f^0}{\partial v^2} \frac{\partial^2(g^1 v^4)}{\partial v^2} + \frac{\partial^2 g^0}{\partial v^2} \frac{\partial^2(f^1 v^4)}{\partial v^2} \right) \right] + O(\lambda_{ei}^2, \mu^2), \end{aligned} \quad (18)$$

truncated by the quadratic terms in the angular anisotropy and the transport localization.

If once more repeated the action on (6) by the left hand side (7) and by the right hand side (17) and setting the equality, the equation governing  $f^0$  corresponding to  $\mu^0$  takes the form

$$\begin{aligned} 4\pi f^0{}^2 + \frac{1}{2} \frac{\partial^2 f^0}{\partial v^2} \frac{\partial^2 g^0}{\partial v^2} + \frac{1}{v^2} \frac{\partial f^0}{\partial v} \frac{\partial g^0}{\partial v} = & \frac{\tilde{E}_z}{v^5} f^1 Zn_e \lambda_{ei}^2 \\ - \frac{2}{v^2} \left( \frac{\partial f^1 \lambda_{ei}}{\partial v} - \frac{f^1 \lambda_{ei}}{v} \right) \left( \frac{\partial g^1 \lambda_{ei}}{\partial v} - \frac{g^1 \lambda_{ei}}{v} \right), \end{aligned} \quad (19)$$

where the fundamental property of the Fokker-Planck collision operator tending to the Maxwell-Boltzmann distribution  $f_M$  [15], leads to  $f^0 = f_M + O(\lambda_{ei}^2)$ , where we write an explicit form of the quadratic term  $O(\lambda_{ei}^2)$  obtained from the truncation (18). The equality corresponding to  $\mu$  takes the form

$$\begin{aligned} \frac{1}{Zn_e} \left[ \frac{1}{2} \left( \frac{\partial^2 f_M}{\partial v^2} \frac{\partial^2(g^1 v^4)}{\partial v^2} + \frac{\partial^2 g_M}{\partial v^2} \frac{\partial^2(f^1 v^4)}{\partial v^2} \right) \right. \\ + \frac{1}{v^2} \left( \frac{\partial f_M}{\partial v} \frac{\partial(g^1 v^4)}{\partial v} + \frac{\partial g_M}{\partial v} \frac{\partial(f^1 v^4)}{\partial v} \right) \\ \left. - v \left( \frac{\partial f_M}{\partial v} g^1 + \frac{\partial g_M}{\partial v} f^1 \right) + 8\pi f_M f^1 v^4 \right] - v f^1 \\ = v \frac{\partial f_M}{\partial z} + \tilde{E}_z \frac{\partial f_M}{\partial v}, \end{aligned} \quad (20)$$

which is the equation governing the unknown  $f^1$ .

In principle, the solution to the equation (20) is very ambitious, as demonstrated in [1, 3, 6], fortunately, one can use the explicit evaluation of the electron distribution function published in [5], which takes the following form

$$\begin{aligned} f^1(z, v) = & \frac{1}{\lambda_{ei}} \frac{m_e^2}{4\pi q_e^4 \ln \Lambda} \frac{v_{2th}^4}{Z} \\ & \left( 2d_T(v/v_{2th}) + \frac{3}{2} \frac{\gamma_T}{\gamma_E} d_E(v/v_{2th}) \right) \frac{f_M}{n_e} \frac{1}{T} \frac{\partial T_e}{\partial z}, \end{aligned} \quad (21)$$

where  $d_T(x) = ZD_T(x)/B$ ,  $d_E(x) = ZD_E(x)/A$ ,  $\gamma_T$ , and  $\gamma_E$  are represented by numerical values in TABLE I, TABLE II, and TABLE III in [5], and  $v_{2th} = \sqrt{\frac{k_B T_e}{2m_e}}$ .

	$Z = 1$	$Z = 2$	$Z = 4$	$Z = 16$	$Z = 116$
$\bar{\Delta}q_{AWBS}$	0.057	0.004	0.038	0.049	0.004

TABLE I. Relative error  $\bar{\Delta}q_{AWBS} = |q_{AWBS} - q_{SH}|/q_{SH}$  of the AWBS kinetic model equation (5) showing the discrepancy (maximum around 5%) with respect to the original solution of the heat flux given by numerical solution in Spitzer and Harm [5].

#### D. Summary of the BGK, AWBS, and Fokker-Planck local diffusive transport

Ever since the SH paper [5], the effect of microscopic electron transport on the current  $\int q_e \mathbf{v} \tilde{f} d\mathbf{v}$  and the heat flux  $\int \frac{m_e |\mathbf{v}|^2}{2} \mathbf{v} \tilde{f} d\mathbf{v}$  in plasmas under local diffusive conditions has been understood. By overcoming some delicate aspects of the numerical solution to (20) presented in the CSR paper [1], the effect of electron-electron collisions was properly quantified and the correct dependence on  $Z$  of the heat flux  $\mathbf{q}$  was approximated as

$$\mathbf{q} = \frac{Z + 0.24}{Z + 4.2} \mathbf{q}_L, \quad (22)$$

where  $\mathbf{q}_L = \kappa T_e^{\frac{5}{2}} \nabla T_e$  is the heat flux given by Lorentz [13]. In order to follow the SH  $Z$ -dependence of heat flux, the BGK operator needs to be scaled as

$$\frac{Z + 4.2}{Z + 0.24} \frac{Z}{Z + 1} \left[ \nu_e (\tilde{f} - f_M) + \frac{\nu_{ei}}{2} \frac{\partial}{\partial \mu} (1 - \mu^2) \frac{\partial \tilde{f}}{\partial \mu} \right],$$

which leads to a scaled Lorentz\* distribution function

$$\tilde{f} = f_M - \frac{Z + 0.24}{Z + 4.2} \left( \frac{v^2}{2v_{th}^2} - 4 \right) \frac{1}{T} \frac{\partial T}{\partial z} f_M \lambda_{ei} \mu, \quad (23)$$

which obeys the  $Z$ -dependence (22).

On the contrary, the modified form of the AWBS collision operator (5) provides a very precise heat flux  $Z$ -dependence without introducing any scaling. Indeed, TABLE I shows the relative error (maximum around 5%) of the heat flux modeled by (5) vs. SH results represented by (22). It should be noted that the error is calculated with respect to original values presented in TABLE III in [5].

Nevertheless, the electron-electron collisions effect represented by (22) provides only an integrated information about the heat flux magnitude. If one takes a closure look at the distribution function itself, the conformity of the modified AWBS collision operator is even more emphasized as can be seen in FIG. 1.

HEREDEFINE  $q_1$ .

In the case of the high  $Z$  Livermorium plasma ( $Z = 116$ ), AWBS exactly aligns with the Lorentz gas limit. In the opposite case of the low  $Z$  Hydrogen plasma ( $Z = 1$ ), the AWBS distribution function approaches significantly the numerical SH solution. Overall BGK behavior is consistent with the scaled Lorentz\* distribution function (23) for any  $Z$ .

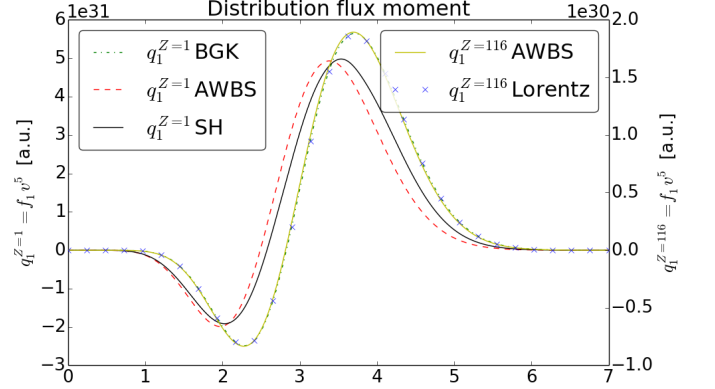


FIG. 1. The flux velocity moment of the anisotropic part of the electron distribution function in low  $Z = 1$  and high  $Z = 116$  plasmas in diffusive regime.

If one observes the  $f^1$  equations of each of the model, i.e. (10), (16), and (20), it turns out to be clear that the terms containing derivatives with respect to  $v$  address important physical mechanisms of electron-electron collisions in plasma. In other words, even a simple linear first derivative term in the modified AWBS collision operator (5) (red dashed line) provides a significant model improvement with respect to the SH (Fokker-Planck) solution (solid black line) and compared to the simplest BGK model (dashed-dot blue line) in FIG. 1.

At last, we provide a qualitative information with respect to the dominant velocity of electrons contributing to the heat flux. In the high  $Z$  case all the models give  $3.7 \times v_{th}$ , while SH solution gives  $3.5 \times v_{th}$  and AWBS  $3.4 \times v_{th}$  in the case of low  $Z$  plasmas, thus showing the right tendency of the maximum velocity shift modeled by the modified AWBS collision operator (5).

## IV. BENCHMARKING THE AWBS NONLOCAL TRANSPORT MODEL

### A. AP1 implementation

AP1 represents the abbreviation AWBS-P1, i.e. the use of collision operator (5) and the P1 angular discretization, i.e. the lowest order anisotropy approximation. AP1 in general belongs to the so-called angular moments method and the electron distribution function

220 takes the form

$$\tilde{f} = \frac{f_0}{4\pi} + \frac{3}{4\pi} \mathbf{n} \cdot \mathbf{f}_1,$$

221 which consists of the isotropic part  $f_0 = \int_{4\pi} \tilde{f} d\mathbf{n}$  and  
 222 the directional part  $\mathbf{f}_1 = \int_{4\pi} \mathbf{n} \tilde{f} d\mathbf{n}$ , where  $\mathbf{n}$  is the trans-  
 223 port direction (the solid angle).

224 The first two angular moments applied to the steady  
 225 form of (1) with collision operator (5) lead to the AP1  
 226 model equations

$$\begin{aligned} v \frac{\nu_e}{2} \frac{\partial}{\partial v} (f_0 - 4\pi f_M) &= v \nabla \cdot \mathbf{f}_1 + \tilde{\mathbf{E}} \cdot \frac{\partial \mathbf{f}_1}{\partial v} + \frac{2}{v} \tilde{\mathbf{E}} \cdot \mathbf{f}_1, \\ v \frac{\nu_e}{2} \frac{\partial \mathbf{f}_1}{\partial v} - \nu_{scat} \mathbf{f}_1 &= \frac{v}{3} \nabla f_0 + \frac{\tilde{\mathbf{E}}}{3} \frac{\partial f_0}{\partial v}, \end{aligned} \quad (25)$$

227 where  $\nu_{scat} = \nu_{ei} + \frac{\nu_e}{2}$ . The strategy of solving (24) and  
 228 (25) resides in integrating  $\frac{\partial f_0}{\partial v}$  and  $\frac{\partial \mathbf{f}_1}{\partial v}$  in velocity magni-  
 229 tude while starting the integration from infinite velocity  
 230 to zero velocity, which corresponds to decelerating elec-  
 231 trons. It should be noted, that in practice we start the in-  
 232 tegration from  $v = 7v_{th}$ , which represents a sufficiently  
 233 high velocity.

### 1. Nonlocal electric field treatment

234 Similarly to (11), one can obtain the model equation  
 235 of the electric field  $\tilde{\mathbf{E}}$  by evaluating the zero current con-  
 236 dition (a velocity integration of (25))

$$\int_v \left( \frac{\nu_e}{2} v^2 \frac{\partial \mathbf{f}_1}{\partial v} - \frac{v^2}{3\nu_{scat}} \nabla f_0 - \frac{v}{3\nu_{scat}} \frac{\partial f_0}{\partial v} \tilde{\mathbf{E}} \right) v^2 dv = 0, \quad (26)$$

238 from which it is easy to express  $\tilde{\mathbf{E}}$  once  $f_0$  and  $\mathbf{f}_1$  are  
 239 known, or in other words, the integral-differential model  
 240 equations need to be solved simultaneously, which is  
 241 achieved by  $k$ -iteration of  $f_0^k(\tilde{\mathbf{E}}^k)$ ,  $\mathbf{f}_1^k(\tilde{\mathbf{E}}^k)$ , i.e. (24), (25),  
 242 and  $\tilde{\mathbf{E}}^{k+1}(f_0^k, \mathbf{f}_1^k)$ , i.e. (26), until the current evaluation  
 243 (26) converges to zero. In particular, the first iteration  
 244 starts with  $\tilde{\mathbf{E}} = \mathbf{0}$  in (24) and (25).

Interestingly, we have encountered a very specific prop-  
 erty of the AP1 model with respect to the electric field  
 magnitude. The easiest way how to demonstrate this is  
 to write the model equations (24) and (25) in 1D and  
 eliminate one of the partial derivatives with respect to  $v$ .  
 In the case of elimination of  $\frac{\partial f_0}{\partial v}$  one obtains the following  
 equation

$$\begin{aligned} \left( v \frac{\nu_e}{2} - \frac{2\tilde{E}_z^2}{3v\nu_e} \right) \frac{\partial f_{1z}}{\partial v} &= \frac{2\tilde{E}_z}{3\nu_e} \frac{\partial f_{1z}}{\partial z} + \frac{4\pi\tilde{E}_z}{3} \frac{\partial f_M}{\partial v} \\ &+ \frac{v}{3} \frac{\partial f_0}{\partial z} + \left( \frac{4\tilde{E}_z^2}{3v^2\nu_e} + \left( \nu_{ei} + \frac{\nu_e}{2} \right) \right) f_{1z}. \end{aligned} \quad (27)$$

245 It is convenient to write the left hand side of (27)  
 246 as  $\frac{2}{3v\nu_e} \left( (\sqrt{3}v\frac{\nu_e}{2})^2 - \tilde{E}_z^2 \right)$  from where it is clear that

Kn <sup>e</sup>	10 <sup>-4</sup>	10 <sup>-3</sup>	10 <sup>-2</sup>	10 <sup>-1</sup>	1
$v_{lim}/v_{th}$	70.8	22.4	7.3	3.1	1.8

TABLE II.  $\sqrt{3}v\frac{\nu_e}{2} > |\tilde{\mathbf{E}}|$ .

the bracket is negative if  $\sqrt{3}v\frac{\nu_e}{2} = \sqrt{3}\frac{n_e\Gamma}{2v^2} < |\tilde{\mathbf{E}}|$ , i.e.  
 there is a velocity limit for a given magnitude  $|\tilde{\mathbf{E}}|$ , when  
 the collisions are no more fully dominant and the electric  
 field introduces a comparable effect to friction in the elec-  
 tron transport.

Since the last term on the right hand side  
 of (27) is dominant, the solution behaves as  
 $\mathbf{f}_1 \sim \exp\left(-\left(\frac{4\tilde{E}_z^2}{3v^2\nu_e} + \left(\nu_{ei} + \frac{\nu_e}{2}\right)\right) / \left(v\frac{\nu_e}{2} - \frac{2\tilde{E}_z^2}{3v\nu_e}\right) v\right)$ ,  
 which becomes ill-posed for velocities above the limit.

In order to provide a stable model, we introduce a re-  
 duced electric field

$$|\tilde{\mathbf{E}}_{red}| = \sqrt{3}v\frac{\nu_e}{2}, \quad (28)$$

ensuring that the bracket on the left hand side of (27)  
 remains positive. Further more we define two quantities

$$\omega_{red} = \frac{|\tilde{\mathbf{E}}_{red}|}{|\tilde{\mathbf{E}}|}, \quad \nu_{scat}^E = \frac{|\tilde{\mathbf{E}}| - |\tilde{\mathbf{E}}_{red}|}{v}.$$

introducing the reduction factor of the electric field to be  
 applied  $\omega_{red}$  and the compensation of the electric field ef-  
 fect in terms of scattering  $\nu_{scat}^E$ . Consequently, the AP1  
 model (24), (25), and (26) can be formulated as well  
 posed with the help of  $\omega_{red}$  and  $\nu_{scat}^E$ . However, before  
 doing so, we introduce a slightly different approximation  
 to the electron distribution function as

$$\tilde{f} = \frac{4\pi f_M + \delta f_0}{4\pi} + \frac{3}{4\pi} \mathbf{n} \cdot \mathbf{f}_1. \quad (29)$$

where  $\delta f_0$  represents the departure of isotropic part  
 from the Maxwell-Boltzmann equilibrium distribution  
 $f_M$ , which we keep intentionally in the distribution func-  
 tion approximation.

Then, the stable AP1 model reads

$$v \frac{\nu_e}{2} \frac{\partial \delta f_0}{\partial v} = v \nabla \cdot \mathbf{f}_1 + \tilde{\mathbf{E}} \cdot \left( \omega_{red} \frac{\partial \mathbf{f}_1}{\partial v} + \frac{2}{v} \mathbf{f}_1 \right), \quad (30)$$

$$\begin{aligned} v \frac{\nu_e}{2} \frac{\partial \mathbf{f}_1}{\partial v} &= \tilde{\nu}_{scat} \mathbf{f}_1 + \frac{v}{3} \nabla (4\pi f_M + \delta f_0) \\ &+ \frac{\tilde{\mathbf{E}}}{3} \left( 4\pi \frac{\partial f_M}{\partial v} + \omega_{red} \frac{\partial \delta f_0}{\partial v} \right), \end{aligned} \quad (31)$$

where  $\tilde{\nu}_{scat} = \nu_{ei} + \nu_{scat}^E + \frac{\nu_e}{2}$ . The reason for keeping  $f_M$   
 in the distribution function approximation (29) can be  
 seen in the last term on the right hand side of (31), which

provides the effect of electric field on directional quantities as current or heat flux. In principle, the explicit use of  $f_M$  ensures the proper effect of  $\tilde{E}$  if  $\delta f_0 \ll f_M$ , i.e. no matter what the reduction  $\omega_{red}$  is. Apart from its stability, it also exhibits much better convergence of the electric field, which is now given by the zero current condition of (31) as

$$\tilde{E} = \frac{\int_v \left( \frac{\nu_e}{2\bar{\nu}_{scat}} v^2 \frac{\partial f_1}{\partial v} - \frac{v^2}{3\bar{\nu}_{scat}} \nabla (4\pi f_M + \delta f_0) \right) v^2 dv}{\int_v \frac{v}{3\bar{\nu}_{scat}} \left( 4\pi \frac{\partial f_M}{\partial v} + \omega_{red} \frac{\partial \delta f_0}{\partial v} \right) v^2 dv}. \quad (32)$$

For practical reasons we present in TABLE II some explicit values of velocity limit corresponding to varying transport conditions expressed in terms of Knudsen number  $Kn^e = \frac{\lambda_e |\nabla T_e|}{T_e}$ , where  $\frac{T_e}{|\nabla T_e|}$  stands for the length scale of plasma.

### B. Aladin, Impact, and Calder kinetic codes

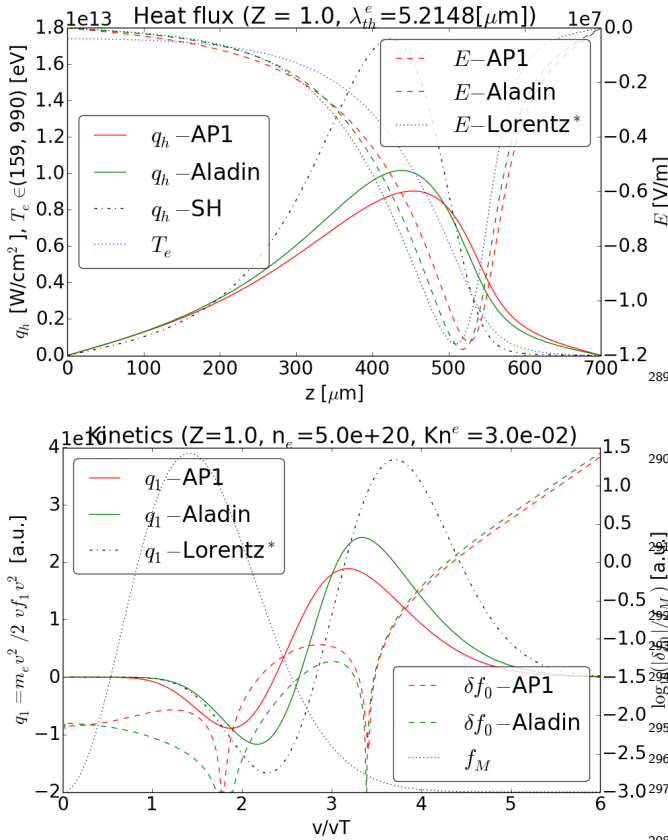


FIG. 2. Snapshot 20 ps. Left: correct steady solution of heat flux. Right: Aladins results are correct. Velocity limit  $4.4 v_{th}$ .

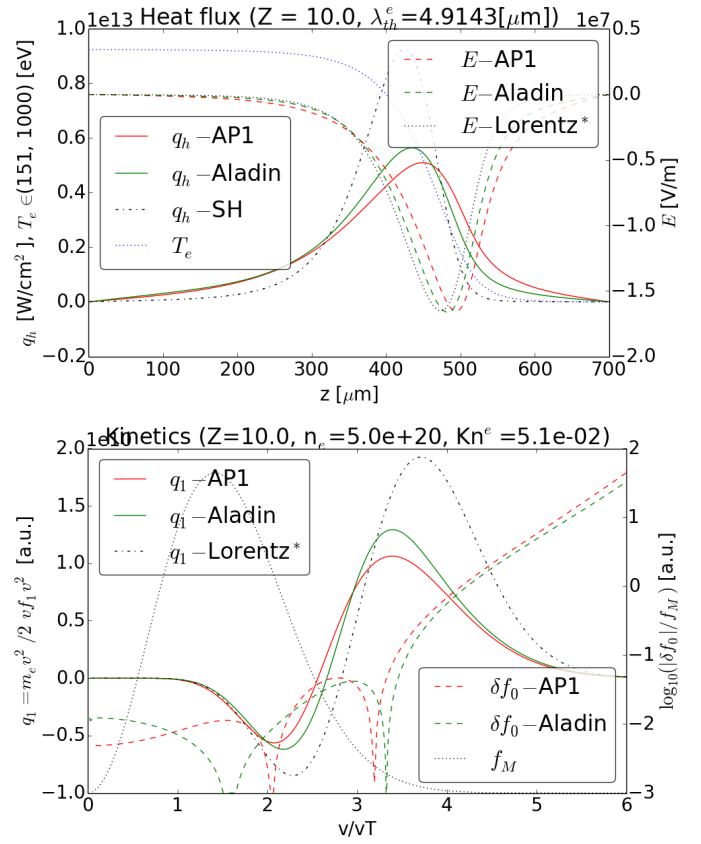


FIG. 3. Snapshot 12 ps. Left: correct steady solution of heat flux. Right: correct comparison to kinetic profiles at point 442 μm by Aladin. Velocity limit  $3.4 v_{th}$ .

- Brief description of the Impact code FIG. 4.

- Brief description of the Calder code FIG. 5.

### C. Simulation results

- Multiple runs analyzing the performance of AP1 with respect to Aladin/Impact/Calder along wide range of  $Kn^e$  shown in FIG. 6.
- Realistic hydro simulation setting provided by HYDRA, a comparison between AP1, Impact, and SNB shown in FIG. 7.
- Comment on and summarize the velocity limits for all figs.

## V. CONCLUSIONS

- The most important point is that we introduce a collision operator, which is coherent with the full FP, i.e. no extra dependence on  $Z$ .

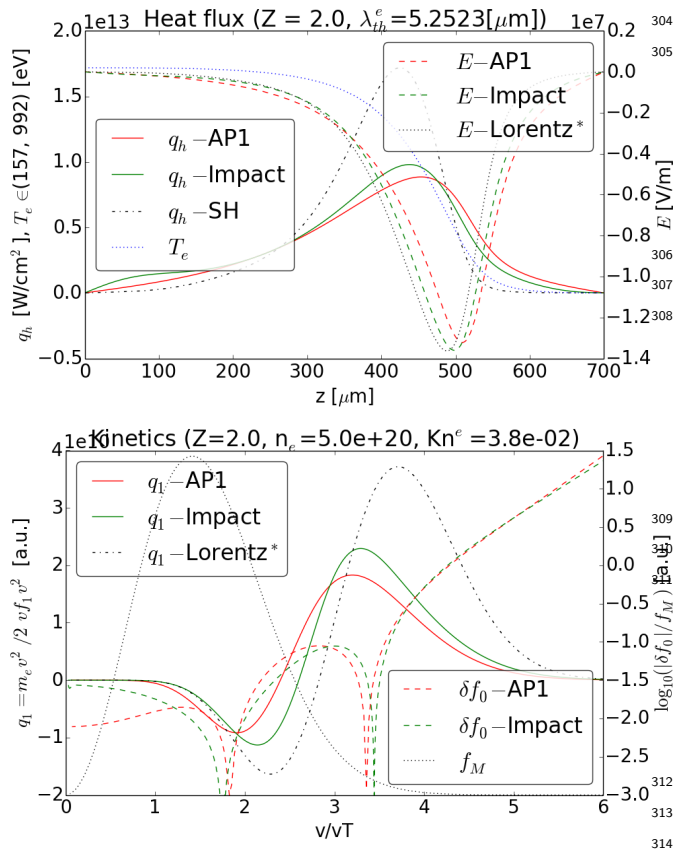


FIG. 4. Snapshot 12 ps. Left: correct steady solution of heat flux. Right: correct comparison to kinetic profiles at point 437  $\mu\text{m}$  by Impact. Velocity limit 4.0  $v_{th}$ .

- Touch pros/contras of linearized FP in Aladin and Impact vs AWBS
- Raise discussion about what is the weakest point of AP1 for high Kn's: the velocity limit or phenomenological Maxwellization?
- Summarize useful outcomes related to plasma physics as the tendency of the velocity maximum in  $q_1$  with respect to  $Z$  and  $\text{Kn}^e$ .
- Emphasize the good results of Aladin (compared to Impact) and also outstanding results of Calder while being PIC.

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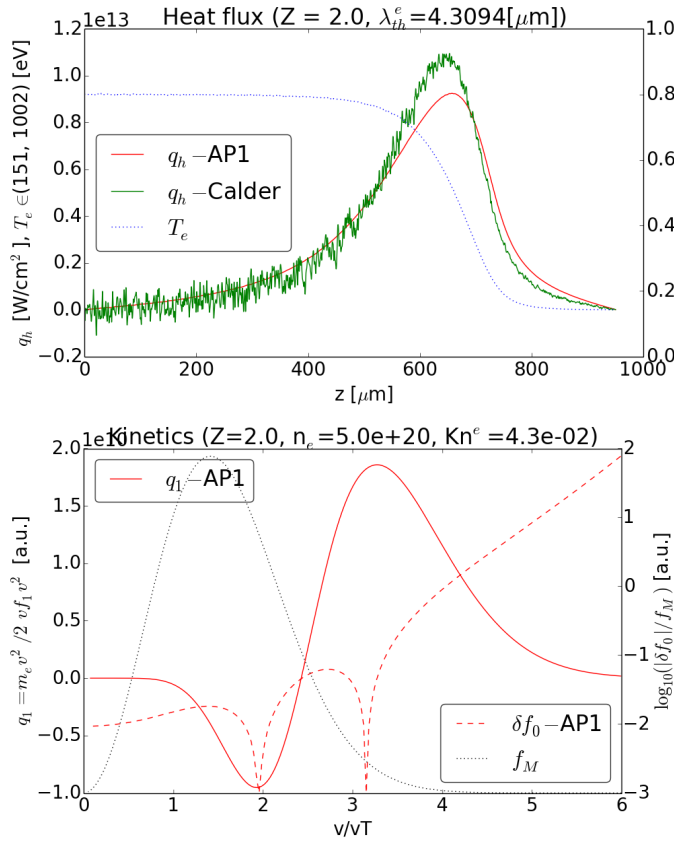


FIG. 5. Snapshot 11 ps. Left: correct steady solution of heat flux. Right: Kinetic profiles at point of maximum flux by AP1. Kinetics profiles by CALDER should be added. Velocity limit  $3.8 v_{th}$ .

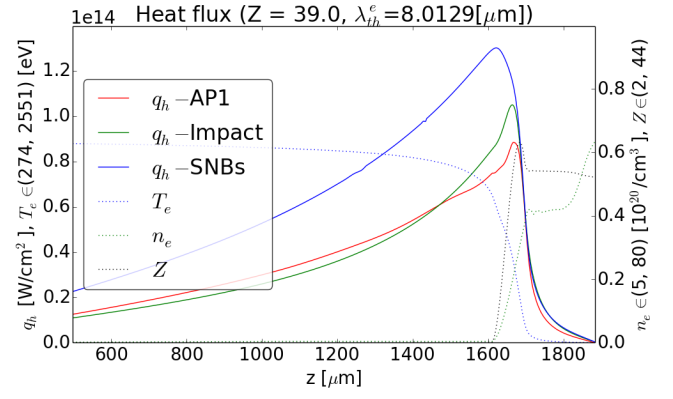


FIG. 7.

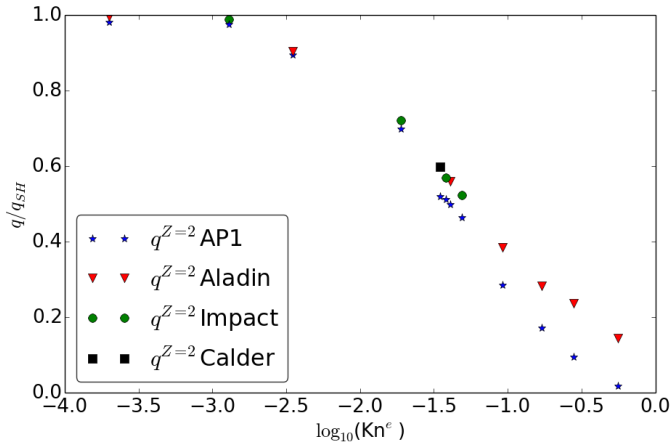


FIG. 6. Simulation results for the case  $Z = 2$  computed by AP1/Aladin/Impact/Calder. Every point corresponds to the maximum heat flux in a "tanh" temperature simulation, which can be characterized by  $Kn$ . The range of  $\log_{10}(Kn) \in (0, -4)$  can be expressed as equivalent to the electron density approximate range  $n_e \in (1e19, 3.5e22)$  of the  $50 \mu m$  slope tanh case. In the case of  $Kn = 0.56$ ,  $q_{Aladin}/q_{AP1} \approx 7.9$ .