# Hongli Zhan

Ph.D. Candidate, UT Austin

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## Education

### 2021 - Ph.D. in Computational Linguistics.

Department of Linguistics, The University of Texas at Austin, Austin, TX

- Advisor: Dr. Junyi Jessy Li
- Committee: Dr. Desmond C. Ong, Dr. David I. Beaver, Dr. Kyle Mahowald

### 2017 – 2021 B.A. in English Linguistics.

School of Foreign Languages, Shanghai Jiao Tong University, Shanghai, China

# Industry Experience

#### Summer 2025 Research Scientist Intern, IBM Research.

IBM Thomas J. Watson Research Center, Yorktown Heights, NY

- Manager: Dr. Raya Horesh; Mentors: Dr. Muneeza Azmat & Dr. Pin-Yu Chen
- Developed a scalable method for continuous safety governance of LLMs; the pipeline directly supports the safety and trustworthiness of IBM's Granite models
- Work in preparation for a first-authored paper & a first-authored U.S. patent

#### Summer 2024 Research Scientist Intern, IBM Research.

IBM Thomas J. Watson Research Center, Yorktown Heights, NY

- Manager: Dr. Raya Horesh; Mentors: Dr. Muneeza Azmat & Dr. Mikhail Yurochkin
- Designed and implemented SPRI, a context-aware, principle-guided framework that enables LLMs to achieve human-level alignment with minimal manual oversight; SPRI forms the core synthetic data generation pipeline for IBM's Granite Guardian models
- Work led to a first-authored paper at ICML 2025 [C6] & a first-authored U.S. patent [P1]

### Refereed Publications

\* denotes equal contributions

(C stands for conference publications, J for journals, and P for patents)

#### In Conference Proceedings

- [C6] 2025 **Hongli Zhan**, Muneeza Azmat, Raya Horesh, Junyi Jessy Li, and Mikhail Yurochkin. SPRI: Aligning large language models with context-situated principles. In *Proceedings of the 42nd International Conference on Machine Learning (ICML)*, 2025. [26.9% acceptance rate (3,260 out of 12,107 submissions)] .
- [C5] 2024 **Hongli Zhan**, Allen Zheng, Yoon Kyung Lee, Jina Suh, Junyi Jessy Li, and Desmond C. Ong. Large language models are capable of offering cognitive reappraisal, if guided. In *Proceedings of the 1st Conference on Language Modeling (COLM)*, 2024. [28.8% acceptance rate (299 out of 1,036 submissions)] .
- [C4] 2024 Yoon Kyung Lee, Jina Suh, **Hongli Zhan**, Junyi Jessy Li, and Desmond C. Ong. Large language models produce responses perceived to be empathic. In *Proceedings of the 12th IEEE International Conference on Affective Computing and Intelligent Interaction* (ACII), 2024. [36.7% acceptance rate (44 out of 120 submissions)] .

- [C3] 2023 **Hongli Zhan**, Desmond C. Ong, and Junyi Jessy Li. Evaluating subjective cognitive appraisals of emotions from large language models. In Houda Bouamor, Juan Pino, and Kalika Bali, editors, *Findings of the Association for Computational Linguistics: EMNLP 2023 (EMNLP Findings)*, pages 14418–14446, Singapore, December 2023. Association for Computational Linguistics. [45.4% acceptance rate (1,758 out of 3,868 submissions)] [A].
- [C2] 2023 Tiberiu Sosea\*, Hongli Zhan\*, Junyi Jessy Li, and Cornelia Caragea. Unsupervised extractive summarization of emotion triggers. In Anna Rogers, Jordan Boyd-Graber, and Naoaki Okazaki, editors, *Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics* (Volume 1: Long Papers) (ACL), pages 9550–9569, Toronto, Canada, July 2023. Association for Computational Linguistics. [23.5% acceptance rate (910 out of 3,872 submissions)] .
- [C1] 2022 **Hongli Zhan\***, Tiberiu Sosea\*, Cornelia Caragea, and Junyi Jessy Li. Why do you feel this way? summarizing triggers of emotions in social media posts. In Yoav Goldberg, Zornitsa Kozareva, and Yue Zhang, editors, *Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing (EMNLP)*, pages 9436–9453, Abu Dhabi, United Arab Emirates, December 2022. Association for Computational Linguistics. [22.1% acceptance rate (715 out of 3,242 submissions)] .

#### **Patents**

[P1] Hongli Zhan, Mikhail Yurochkin, Raya Horesh, Adriana Alvarado Garcia, Heloisa Caroline de Souza Pereira Candello, and Muneeza Azmat. Context-aware principle-guided (framework and system) for synthetic data generation. U.S. Patent Application [Filed and Pending].

## Professional Activities

### Organization and Service

2023 – 2024 **Organizer**, *Natural Language Learning Reading Group*, UT Austin. **Student Volunteer**, *ACL 2023*.

#### Reviewing

- 2025 Conference on Language Modeling, (COLM).
- 2025 Annual Meeting of the Association for Computational Linguistics, (ACL).
- 2024 IEEE International Conference on Robotics and Automation, (ICRA).
- 2023 Conference on Empirical Methods in Natural Language Processing, (EMNLP).

# Teaching Experience

### The University of Texas at Austin

Fall 2024 LIN 371: Machine Learning for Text Analysis, Guest Lecturer.

Instructor: Prof. Junyi Jessy Li

Spring 2024 LIN 371: Machine Learning for Text Analysis, *Graduate Teaching Assistant*.

Instructor: Prof. Junyi Jessy Li

Spring 2023 LIN 306: Intro to the Study of Language, Guest Lecturer.

Instructor: Seyeon Park

- Fall 2022 LIN 373N: Machine Learning Toolbox for Text Analysis, *Graduate Teaching Assistant*. Instructor: Prof. Junyi Jessy Li
- Fall 2021 LIN 350: Computational Semantics, Graduate Teaching Assistant. Instructor: Prof. Katrin Erk

## Mentoring

- 2024 Marco Cheung, B.A. in Linguistics & Computer Science, UT Austin.
- 2023 2024 Allen Zheng, B.S. in Computer Science (Turing Honors) & Mathematics, UT Austin.

# Fellowships & Awards

#### IBM Internal Awards

- May 2025 Patent File Award, IBM Research, 867 USD.
  - Awards from The University of Texas at Austin
- Fall 2024 Professional Development Award for Attending COLM 2024, UT Austin, 1,200 USD.
- Fall 2023 Professional Development Award for Attending EMNLP 2023, UT Austin, 1,160 USD.
- Fall 2022 Professional Development Award for Attending EMNLP 2022, UT Austin, 1,200 USD.
- Spring 2022 COLA Supplemental Graduate School Fellowship, UT Austin, 5,000 USD.
  - Awards from Shanghai Jiao Tong University
  - 2021 Outstanding Graduate, Shanghai Jiao Tong University.
  - 2021 Outstanding Undergraduate Thesis Award, Shanghai Jiao Tong University.

## Invited Talks

- Oct 11, 2023 Can I Cheer You Up? Psychologically-Grounded Emotional Support: Leveraging LLMs for Targeted Re-Appraisals, Computational Affective and Social Cognition Lab (CASCogLab), Department of Psychology, The University of Texas at Austin, Austin, TX.
- Feb 22, 2023 An Introduction to Computational Linguistics, Westlake High School, Austin, TX.