

# CS 520

## Theory of Programming Language

04/19 – 04/28, 2021

Chap 6 : Transition Semantics. ..... Small-step operational semantics.  
(big-step op. semantics.)

1. Motivation / Big Picture.

① Denotational semantics. .... programs into math. entities.

+ rigorous, reveals math. structures.

- too complex. doesn't say much about intermediate steps.

② Operational Semantics. (Transition Semantics).

↳ simple abstract impl. of an interpreter.

rigorous.

③ e.g.  $x := 3 ; y := x + 4$

c1)  $\llbracket x := 3 ; y := x + 4 \rrbracket : \Sigma \rightarrow \Sigma_{\perp}$

$\llbracket x := 3 ; y := x + 4 \rrbracket \underline{c} = \underline{[c \mid x:3 \mid y:7]}$

c2)  $\langle \overbrace{x := 3 ; y := x + 4}^{\text{command}}, \underline{c} \rangle \xrightarrow{\substack{\uparrow \\ \text{transition} \\ \text{relation}}} \langle y := x + 4, [c \mid x:3] \rangle$

$\downarrow$   
 $[ [c \mid x:3] \mid y:7 ] = \underline{[c \mid x:3 \mid y:7]}$

Note:  $\langle \underline{x := 3 ; \text{skip} ; y := x + 4}, \underline{c} \rangle \xrightarrow{\substack{\vdots \\ x:=3}} \dots \xrightarrow{\substack{\vdots \\ \text{skip}}} \dots \xrightarrow{\substack{\vdots \\ y:=x+4}} [c \mid x:3 \mid y:7]$

2. General recipe for defining small-step op. semantics (trans. semantics).

Goal: Define  $\rightarrow$  ... relation that describes what happens in a single comp. step.  
 Define the set of.

① Configurations.

$$\Gamma = \Gamma_N \cup \Gamma_T \quad (\Gamma_N \cap \Gamma_T = \emptyset)$$



conf. for completed computations  
 conf. for incomplete comput.

Define transition relation.

②  $\rightarrow \subseteq \Gamma_N \times \Gamma$   
 $\quad \quad \quad \psi \quad \psi$   
 $\quad \quad \quad \gamma \quad \gamma'$

$\vdots$   
 $(\rightarrow \subseteq \Gamma_N \times \Lambda \times \Gamma$

$\forall (\gamma, \gamma') \in \rightarrow \quad \gamma \rightarrow \gamma'$   
 unfinished.

$\gamma \xrightarrow{\lambda} \gamma' \quad ((\gamma, \lambda, \gamma') \in \rightarrow)$

3. Simple imp. PL.

$\langle \text{comm} \rangle ::= \text{skip} \mid \langle \text{var} \rangle := \langle \text{int exp} \rangle \mid \langle \text{comm} \rangle ; \langle \text{comm} \rangle$

$\mid \text{if } \langle \text{bool exp} \rangle \text{ then } \langle \text{comm} \rangle \text{ else } \langle \text{comm} \rangle.$

$\mid \text{while } \langle \text{bool exp} \rangle \text{ do } \langle \text{comm} \rangle$

$$\textcircled{1} \quad \Gamma_N \stackrel{\text{def}}{=} \langle \text{comm} \rangle \times \Sigma. \quad \left( \Sigma \stackrel{\text{def}}{=} \langle \text{var} \rangle \rightarrow \mathbb{Z} \right)$$

$$\Gamma_T \stackrel{\text{def}}{=} \Sigma. \quad , \quad \Gamma \stackrel{\text{def}}{=} \Gamma_N \cup \Gamma_T$$

$$\textcircled{2} \quad \rightarrow \subseteq \Gamma_N \times \Gamma$$

$$\frac{}{\langle \text{skip}, b \rangle \xrightarrow{\varepsilon} b}$$

$$\frac{}{\langle c_1, b \rangle \xrightarrow{\lambda} b'} \quad \checkmark$$

$$\frac{}{\langle \underline{c_1}, \underline{c_2}, b \rangle \xrightarrow{\lambda} \langle c_2, b' \rangle}$$

$$\llbracket b \rrbracket b = \text{tt}$$

$$\frac{}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2, b \rangle \xrightarrow{\varepsilon} \langle c_1, b \rangle}$$

$$\llbracket b \rrbracket b = \text{tt}$$

$$\frac{}{\langle \text{while } \underline{b} \text{ do } c, \underline{b} \rangle \xrightarrow{\varepsilon} \langle c, \text{while } b \text{ do } c, b \rangle}$$

$$\frac{}{\langle \text{fail}, b \rangle \xrightarrow{\varepsilon} \langle \text{abort}, b \rangle}$$

$$\frac{}{\langle x := e, b \rangle \xrightarrow{\varepsilon} [b \mid x : \llbracket e \rrbracket b]}$$

$$\frac{}{\langle c_1, b \rangle \xrightarrow{\lambda} \langle c'_1, b' \rangle} \quad \checkmark$$

$$\frac{}{\langle \underline{c_1}, \underline{c_2}, b \rangle \xrightarrow{\lambda} \langle c'_1, c_2, b' \rangle}$$

$$\llbracket b \rrbracket b = \text{ff}$$

$$\frac{}{\langle \text{if } b \text{ then } c_1 \text{ else } c_2, b \rangle \xrightarrow{\varepsilon} \langle c_2, b \rangle}$$

$$\llbracket b \rrbracket b = \text{ff}$$

$$\frac{}{\langle \text{while } b \text{ do } c, b \rangle \xrightarrow{\varepsilon} b}$$

$$\star \quad \langle c_1, c \rangle \xrightarrow{\lambda} \langle \text{abort}, b' \rangle$$

$$\frac{}{\langle c_1, c_2, b \rangle \xrightarrow{\lambda} \langle \text{abort}, b' \rangle}$$

$$\frac{}{\langle ?x, b \rangle \xrightarrow{?n} [b \mid x : n]}$$

$$\frac{}{\langle !e, b \rangle \xrightarrow{! \llbracket e \rrbracket b} .b}$$

③  $\star$  (1)  $\rightarrow^{\star} = \bigcup_{n=0}^{\infty} (\rightarrow)^n$

(2)  $\rightarrow$  .... deterministic.  $(\gamma \rightarrow \gamma' \text{ and } \gamma \rightarrow \gamma'' \Rightarrow \gamma' = \gamma'')$   
 $\rightarrow$  .... progress.  $\forall \gamma \in \Gamma_N. \exists \gamma' \in \Gamma. \gamma \rightarrow \gamma'$

(3)  $\gamma \in \Gamma$  [poss 1]  $\gamma \rightarrow \gamma_1 \rightarrow \gamma_2 \rightarrow \gamma_3 \rightarrow \dots$  infinite.  $(\gamma \uparrow)$ .  
 [poss 2]  $\gamma \rightarrow \gamma_1 \rightarrow \gamma_2 \rightarrow \dots \rightarrow \gamma_n$   $(\gamma \rightarrow^{\star} \gamma_n)$ .

$F(\gamma) = \begin{cases} \perp & \text{if the first case } (\gamma \uparrow) \\ \gamma_n & \text{otherwise.} \end{cases}$

$\begin{matrix} \uparrow \\ \langle c, b \rangle \\ \uparrow \uparrow \end{matrix}$ 
 $\begin{matrix} \uparrow \\ \gamma_n \\ \uparrow \\ b' \end{matrix}$

[Thm]  $c \dots \text{command}, \quad b \in \Sigma.$   
 $\Rightarrow \llbracket c \rrbracket b = F(\langle c, b \rangle).$

3. Extension: Include fail.

$$\hat{\Sigma} = \Sigma \cup \{\text{abort}\} \times \Sigma.$$

$$\llbracket \text{fail} \rrbracket G = \langle \text{abort}, b \rangle.$$

$$\llbracket \text{fail}; c \rrbracket b = \llbracket c \rrbracket_{\perp} (\llbracket \text{fail} \rrbracket b) = \llbracket c \rrbracket_{\perp} (\langle \text{abort}, b \rangle) = \langle \text{abort}, b \rangle.$$

$$\textcircled{1} \quad \Gamma = \underbrace{\Gamma_N}_{\text{not changed.}} \cup \underbrace{\Gamma_T}_{\Sigma \cup \{\text{abort}\} \times \Sigma}.$$

$\textcircled{2} \rightarrow \dots$  How to define it? Very similar to what we did.

(1) fail.

$$(2) \frac{\dots \rightarrow \dots}{\dots \rightarrow \dots}$$

add  
one more  
case.



#### 4. Extension: Input/output.

$\langle \text{comm} \rangle ::= \dots \mid \text{fail} \mid ?\langle \text{var} \rangle \mid !\langle \text{ntexp} \rangle.$

①  $\Gamma = \underbrace{\Gamma_N \cup \Gamma_T}_{\text{same as Extension 3.}}$

②  $\rightarrow \subseteq \Gamma_N \times \Lambda \times \Gamma$   
 $\Lambda = (\{\varepsilon\} \cup \{!n \mid n \in \mathbb{Z}\} \cup \{?n \mid n \in \mathbb{Z}\})$   
 $\gamma \xrightarrow{\varepsilon} \gamma' \quad , \quad \gamma \xrightarrow{!3} \gamma' \quad , \quad \gamma \xrightarrow{?4} \gamma'$

