

Lecture 8

First-order logic

Syntax and semantics

Introduction to Logic for Computer Science

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These slides are minor variants of those made by Prof Worrell and Dr Haase for their logic course at Oxford.

Limitations of propositional logic

- Can only reason about true or false.
- Atomic formulas have no internal structure.
- Impossible to express “real” mathematical statements.

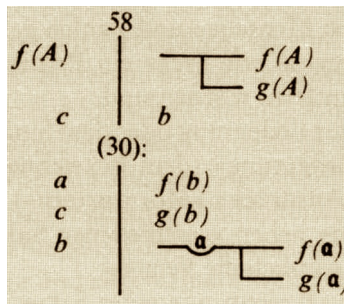
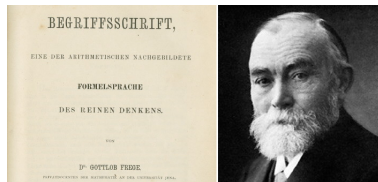
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Example

Every natural number x is either odd or even.

Frege's Begriffsschrift (Concept script in English)



Introduced a classical second-order logic with identity.

Fermat's last theorem in first-order logic

$$\forall n \left(n > 2 \rightarrow \neg \left(\exists x \exists y \exists z (x^n + y^n = z^n) \right) \right).$$

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Includes atomic formulas with internal structures.

What atomic formulas can we use?

Signatures – Overview

Parameterises the syntax of first-order logic.

Determines the atomic formulas that we can write.

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Signatures

Definition

A **signature** σ is a tuple consisting of

- a set of **constant symbols** (denoted c, d),
- a set of **function symbols** (denoted f, g), and
- a set of **predicate symbols** (denoted P, Q, R).

Each function and predicate symbol has an **arity** $k > 0$.

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A signature of number theory:

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where $0, 1, 2$ are constant symbols, $+, \times, pow$ are function symbols of arity two, and $<, =$ are predicate symbols of arity two.

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Ex: Define a signature σ_P for a propositional formulas over variables p, q, r . Include a predicate symbol for logical entailment.

Definition

Given a signature σ , σ -**terms** or **terms** are defined by structural induction:

- Each variable x is a term.
- Each constant symbol c is a term.
- If t_1, \dots, t_k are terms and f is a k -ary function symbol, then $f(t_1, \dots, t_k)$ is a term.

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Expressions denoting objects, such as natural numbers.

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Example: Given the signature σ_N of number theory,

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is a term. We often use **infix** notation and write $(1 + 1) \times x$ instead.

Definition

The set of **formulas** given a signature σ is defined inductively:

- $P(t_1, \dots, t_k)$ is a formula for any k -ary predicate symbol P in σ and any σ -terms t_1, \dots, t_k .
- *true* and *false* are formulas.
- For each formula F , $\neg F$ is a formula.
- For formulas F, G , $(F \vee G)$ and $(F \wedge G)$ are both formulas.
- If F is a formula and x is a variable then $\exists x F$ and $\forall x F$ are both formulas (**existential** and **universal quantifiers**).

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Describe properties of objects.

Ex1: Assume σ_N of number theory. Express that there are infinitely many prime numbers.

Ex2: Assume σ_P for propositional logic. Express de Morgan's laws.

Quantifier depth and free/bound variables

Inductive structure of formulas enables structural induction:

Definition

Quantifier depth is defined as follows:

$$\text{qd}(P(t_1, \dots, t_k)) = \text{qd}(\text{true}) = \text{qd}(\text{false}) := 0$$

$$\text{qd}(\neg F) := \text{qd}(F)$$

$$\text{qd}(F \wedge G) = \text{qd}(F \vee G) := \max(\text{qd}(F), \text{qd}(G))$$

$$\text{qd}(\exists x F) = \text{qd}(\forall x F) := \text{qd}(F) + 1.$$

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Ex1: Define $\text{free}(F)$ that computes the set of free variables in F .

Ex2: Define $\text{bound}(F)$ that computes the set of bound variables in F .

Scope, free/bound variables, and sentences

For a formula $\exists x F$, if S is a subformula of F , we say S is in the **scope** of the quantifier $\exists x$. Likewise for $\forall x F$ and a term t appearing in F .

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An occurrence of a variable x in F is **bound** if it is in the scope of $\exists x$ or $\forall x$. Otherwise, the occurrence is **free**.

Ex1: Can an occurrence of x be both free and bound in F ?

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A variable x in F is **bound** if F contains a quantifier over x .

A variable x in F is **free** if it has a free occurrence in F .

Formulas with no free variables are called **closed formulas** or **sentences**.

Ex2: Can a variable x be both free and bound in F ?

Semantics of first-order logic

Definition

Given a signature σ , a σ -**structure** (or **assignment**) \mathcal{A} consists of:

- a non-empty set $U_{\mathcal{A}}$ called the **universe** of the structure;
- for each constant symbol c , an element $c_{\mathcal{A}}$ of $U_{\mathcal{A}}$;
- for each k -ary function symbol f in σ , a k -ary function,

$$f_{\mathcal{A}}: \underbrace{U_{\mathcal{A}} \times \cdots \times U_{\mathcal{A}}}_k \rightarrow U_{\mathcal{A}};$$

- for each k -ary predicate symbol P in σ , a k -ary relation

$$P_{\mathcal{A}} \subseteq \underbrace{U_{\mathcal{A}} \times \cdots \times U_{\mathcal{A}}}_k;$$

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Often a σ -structure or structure just means the first four.

Example

Let σ_N be the signature of number theory. The natural σ_N -structure \mathcal{A} is:

- $U_{\mathcal{A}} := \mathbb{N} = \{0, 1, \dots\}$.
- $0_{\mathcal{A}} := 0, 1_{\mathcal{A}} := 1, 2_{\mathcal{A}} := 2$.
- $+_{\mathcal{A}} := (m, n) \mapsto m + n$.
- $\times_{\mathcal{A}} := (m, n) \mapsto m \cdot n$.
- $pow_{\mathcal{A}} := (m, n) \mapsto m^n$.
- $(<_{\mathcal{A}}) := \{(n, m) : n, m \in \mathbb{N}, n < m\}$ and $(=_{\mathcal{A}}) := \{(n, n) : n \in \mathbb{N}\}$.

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The following \mathcal{B} is also a σ_N -structure:

- $U_{\mathcal{B}} := \{A, B, 5\}$.
- $0_{\mathcal{B}} := A, 1_{\mathcal{B}} := 5, 2_{\mathcal{B}} := B$.
- $+_{\mathcal{B}} := (m, n) \mapsto 5$.
- $\times_{\mathcal{B}} = pow_{\mathcal{B}} := (m, n) \mapsto A$.
- $(<_{\mathcal{B}}) = (=_{\mathcal{B}}) := \{(A, B), (B, B)\}$.

Ex: Define a structure for the signature σ_P for propositional logic.

Semantics of first-order logic – Overview

Parameterised by a structure \mathcal{A} of a signature σ .

First, semantics of terms t (using $\mathcal{A}(t) \in U_{\mathcal{A}}$).

Then, semantics of formulas F (using $\mathcal{A} \models F$).

Definition

The **value** $\mathcal{A}(t) \in U_{\mathcal{A}}$ of term t is defined inductively as follows:

- For a constant symbol c , $\mathcal{A}(c) := c_{\mathcal{A}}$.
- For a variable x , $\mathcal{A}(x) := x_{\mathcal{A}}$.
- For a term $f(t_1, \dots, t_k)$, where f is k -ary function symbol and t_1, \dots, t_k are terms,

$$\mathcal{A}(f(t_1, \dots, t_k)) := f_{\mathcal{A}}(\mathcal{A}(t_1), \dots, \mathcal{A}(t_k)).$$

Definition

Define the **satisfaction relation** $\mathcal{A} \models F$ (\mathcal{A} **satisfies** F , or \mathcal{A} **models** F) by structural induction:

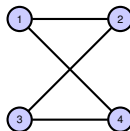
- $\mathcal{A} \models P(t_1, \dots, t_k)$ if and only if $(\mathcal{A}(t_1), \dots, \mathcal{A}(t_k)) \in P_{\mathcal{A}}$.
- $\mathcal{A} \models \text{true}$ always holds.
- $\mathcal{A} \models \text{false}$ never holds.
- $\mathcal{A} \models (F \wedge G)$ if and only if $\mathcal{A} \models F$ and $\mathcal{A} \models G$.
- $\mathcal{A} \models (F \vee G)$ if and only if $\mathcal{A} \models F$ or $\mathcal{A} \models G$.
- $\mathcal{A} \models \neg F$ if and only if $\mathcal{A} \not\models F$.
- $\mathcal{A} \models \exists x F$ if and only if there exists $a \in U_{\mathcal{A}}$ such that $\mathcal{A}_{[x \mapsto a]} \models F$.
- $\mathcal{A} \models \forall x F$ if and only if $\mathcal{A}_{[x \mapsto a]} \models F$ for all $a \in U_{\mathcal{A}}$.

Semantics of first-order logic – Example

Ex: Let \mathcal{A} be the natural σ -structure of number theory. Then, does the following satisfaction relation hold?

$$\mathcal{A} \models \forall x \exists y ((x = (1 + 1) \times y) \vee (x = 1 + (1 + 1) \times y)).$$

Semantics of first-order logic – Example



Undirected graph as a σ -structure with one binary relation symbol E interpreted as the edge relation.

The above graph represented by the structure \mathcal{A} with universe $U_{\mathcal{A}} = \{1, 2, 3, 4\}$ and irreflexive symmetric binary relation

$$E_{\mathcal{A}} = \{(1, 2), (2, 3), (3, 4), (4, 1), (2, 1), (3, 2), (4, 3), (1, 4)\}.$$

Ex1: Does the following satisfaction relation hold?

$$\mathcal{A} \models \forall x \neg E(x, x) \wedge \forall x \forall y (E(x, y) \rightarrow E(y, x)).$$

Ex2: Does the following satisfaction relation hold?

$$\mathcal{A} \models \forall x \forall y \exists z_1 \exists z_2 (E(x, z_1) \wedge E(z_1, z_2) \wedge E(z_2, y)).$$

The relevance lemma

Lemma

Let \mathcal{A} and \mathcal{A}' be σ -structures, and F be a formula over σ . If

- ① \mathcal{A} and \mathcal{A}' use the same universe U ;*
- ② they have identical interpretations of the predicate, function, and constant symbols in σ ; and*
- ③ they give the same interpretation to each variable occurring free in F ,*

then $\mathcal{A} \models F$ if and only if $\mathcal{A}' \models F$.

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Ex: Prove the lemma. Use structural induction on terms and formulas.