

**Assignment 3 (Deadline: 6:00pm on 14 May 2021)***Prof Hongseok Yang*

Submit your solutions in KLMS. (Reminder: We adopt a very strict policy for handling dishonest behaviours. If a student is found to copy answers from fellow students or other sources in his or her homework submission, she or he will get F.)

- Given an undirected graph  $G = (V, E)$ , a set of vertices  $S \subseteq V$  is a *clique* if every pair of distinct vertices  $u, v \in S$  is connected by an edge and  $S$  is an *independent set* if no pair of distinct vertices  $u, v \in S$  is connected by an edge. Now consider the following two statements:

- Every infinite graph either has an infinite clique or an infinite independent set.
- For all  $k$  there exists  $n$  such that any graph with  $n$  vertices has a clique of size  $k$  or an independent set of size  $k$ .

Using the Compactness Theorem, prove that (A) implies (B).<sup>1</sup> **(20 points)**

- Translate the following formula to rectified form, then to prenex form, and finally to Skolem form:

$$\forall z \exists y (Q(x, g(y), z) \vee \neg \forall x P(x)) \wedge \neg \forall z \exists x \neg R(f(x, z), z).$$

**(10 points)**

- Let  $\sigma$  be a signature with finitely many relation and constant symbols, but no function symbols.

- Given  $\sigma$ -formulas  $G_1, \dots, G_n$  and a propositional formula  $F$  that mentions variables  $p_1, \dots, p_n$ , let  $F[G_1/p_1, \dots, G_n/p_n]$  denote the  $\sigma$ -formula obtained by substituting  $G_i$  for all occurrences of  $p_i$  in  $F$ . Give a formal definition of  $F[G_1/p_1, \dots, G_n/p_n]$ .

**(5 points)**

- Given propositional formulas  $F \equiv F'$ , both over variables  $p_1, \dots, p_n$ , and  $\sigma$ -formulas  $G_1 \equiv G'_1, \dots, G_n \equiv G'_n$ , show that  $F[G_1/p_1, \dots, G_n/p_n] \equiv F'[G'_1/p_1, \dots, G'_n/p_n]$ .

**(5 points)**

- Fix  $n \in \mathbb{N}$ . Show that up to logical equivalence there are only finitely many quantifier-free  $\sigma$ -formulas that use first-order variables  $x_1, \dots, x_n$ .

**(10 points)**

- Fix  $n, k \in \mathbb{N}$ . Show that up to logical equivalence there are only finitely many  $\sigma$ -formulas of quantifier depth at most  $k$  that use first-order variables  $x_1, \dots, x_n$ .

**(10 points)**

- Fix a signature  $\sigma$ . Consider a relation  $\sim$  on  $\sigma$ -assignments that satisfies the following two properties:

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<sup>1</sup>As an optional exercise, beyond the scope of the course, you can try to prove (A). This result is Theorem 1 in <https://www.dpmms.cam.ac.uk/~par31/notes/ramsey.pdf>. Combining this with your answer, we obtain a proof of (B).

- (P1) If  $\mathcal{A} \sim \mathcal{B}$ , then for every atomic formula  $F$  we have  $\mathcal{A} \models F$  iff  $\mathcal{B} \models F$ .
- (P2) If  $\mathcal{A} \sim \mathcal{B}$ , then for each variable  $x$  we have (i) for each  $a \in U_{\mathcal{A}}$  there exists  $b \in U_{\mathcal{B}}$  such that  $\mathcal{A}_{[x \mapsto a]} \sim \mathcal{B}_{[x \mapsto b]}$ , and (ii) for all  $b \in U_{\mathcal{B}}$  there exists  $a \in U_{\mathcal{A}}$  such that  $\mathcal{A}_{[x \mapsto a]} \sim \mathcal{B}_{[x \mapsto b]}$ .

Prove that if  $\mathcal{A} \sim \mathcal{B}$  then for any formula  $F$ ,  $\mathcal{A} \models F$  if and only if  $\mathcal{B} \models F$ . You may assume that  $F$  is built from atomic formulas using the connectives  $\wedge$  and  $\neg$  and the quantifier  $\exists$ .

**(20 points)**

5. In this question we work with first-order logic without equality.

- (a) Consider a signature  $\sigma$  containing only a binary relation symbol  $R$ . For each positive integer  $n$  show that there is a satisfiable  $\sigma$ -formula  $F_n$  such that every model  $\mathcal{A}$  of  $F_n$  has at least  $n$  elements. **(5 points)**
- (b) Consider a signature  $\sigma$  containing only unary predicate symbols  $P_1, \dots, P_k$ . Using Question 4, or otherwise, show that any satisfiable  $\sigma$ -formula has a model with at most  $2^k$  elements. **(15 points)**