# Lecture 8 First-order logic

Syntax and semantics

Introduction to Logic for Computer Science

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These slides are minor variants of those made by Prof Worrell and Prof Haase for their logic course at Oxford.

# **Limitations of propositional logic**

- Can only reason about true or false.
- Atomic formulas have no internal structure.
- Impossible to express "real" mathematical statements.

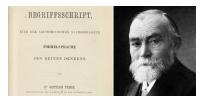
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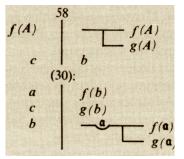
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# **Example**

Every natural number *x* is either odd or even.

# Frege's Begriffsschrift (Concept script in English)





Introduced a classical second-order logic with equality.

$$\forall n \left( n > 2 \rightarrow \neg \left( \exists x \,\exists y \,\exists z \, (x^n + y^n = z^n) \right) \right).$$

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What atomic formulas can we use?

# Signatures – Overview

Parameterises the syntax of first-order logic.

Determines the atomic formulas that we can write.

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## **Definition**

A **signature**  $\sigma$  is a tuple consisting of

- a set of **constant symbols** (denoted c, d),
- a set of **function symbols** (denoted f, g), and
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A signature of number theory:

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where 0, 1, 2 are constant symbols, +,  $\times$ , pow are function symbols of arity two, and <, = are predicate symbols of arity two.

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Ex: Define a signature  $\sigma_P$  for a propositional formulas over variables p, q, r. Include a predicate symbol for logical entailment.

#### $\sigma$ -Terms

## **Definition**

Given a signature  $\sigma$ ,  $\sigma$ -**terms** or **terms** are defined by structural induction:

- Each variable x is a term.
- Each constant symbol c is a term.
- If  $t_1, \ldots, t_k$  are terms and f is a k-ary function symbol, then  $f(t_1, \ldots, t_k)$  is a term.

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Example: Given the signature  $\sigma_N$  of number theory,

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is a term. We often use **infix** notation and write  $(1 + 1) \times x$  instead.

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The set of **formulas** given a signature  $\sigma$  is defined inductively:

- $P(t_1, ..., t_k)$  is a formula for any k-ary predicate symbol P in  $\sigma$  and any  $\sigma$ -terms  $t_1, ..., t_k$ .
- true and false are formulas.
- For each formula F,  $\neg F$  is a formula.
- For formulas F, G,  $(F \lor G)$  and  $(F \land G)$  are both formulas.
- If F is a formula and x is a variable, then  $\exists x F$  and  $\forall x F$  are both formulas (**existential** and **universal quantifiers**).

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Describe properties of objects.

Ex1: Assume  $\sigma_N$  of number theory. Express that there are infinitely many prime numbers.

Ex2: Assume  $\sigma_P$  for propositional logic. Express de Morgan's laws.

## Quantifier depth and free/bound variables

Inductive structure of formulas enables structural induction:

#### **Definition**

Quantifier depth is defined as follows:

$$\begin{split} \operatorname{qd}(P(t_1,\ldots,t_k)) &= \operatorname{qd}(\textit{true}) = \operatorname{qd}(\textit{false}) := 0 \\ &\operatorname{qd}(\neg F) := \operatorname{qd}(F) \\ &\operatorname{qd}(F \wedge G) = \operatorname{qd}(F \vee G) := \max(\operatorname{qd}(F),\operatorname{qd}(G)) \\ &\operatorname{qd}(\exists x \, F) = \operatorname{qd}(\forall x \, F) := \operatorname{qd}(F) + 1. \end{split}$$

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Ex1: Define free(F) that computes the set of free variables in F.

Ex2: Define bound(F) that computes the set of bound variables in F.

# Scope, free/bound variables, and sentences

For a formula  $\exists x \, F$ , if S is a subformula of F, we say S is in the **scope** of the quantifier  $\exists x$ . Likewise for  $\forall x \, F$  and a term t appearing in F.

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An occurrence of a variable x in F is **bound** if it is in the scope of  $\exists x$  or  $\forall x$ . Otherwise, the occurrence is **free**.

Ex1: Can an occurrence of x be both free and bound in F?

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Ex1: Can an occurrence of x be both free and bound in F?

A variable x in F is **bound** if F contains a quantifier over x.

A variable x in F is **free** if it has a free occurrence in F.

Formulas with no free variables are called **closed formulas** or **sentences**.

Ex2: Can a variable *x* be both free and bound in *F*?

# Semantics of first-order logic

#### **Definition**

Given a signature  $\sigma$ , a  $\sigma$ -structure (or assignment)  $\mathcal{A}$  consists of:

- a non-empty set  $U_A$  called the **universe** of the structure;
- for each constant symbol c, an element  $c_A$  of  $U_A$ ;
- for each k-ary function symbol f in  $\sigma$ , a k-ary function,

$$f_{\mathcal{A}}: \underbrace{U_{\mathcal{A}} \times \cdots \times U_{\mathcal{A}}}_{k} \to U_{\mathcal{A}};$$

• for each k-ary predicate symbol P in  $\sigma$ , a k-ary relation

$$P_{\mathcal{A}}\subseteq\underbrace{U_{\mathcal{A}}\times\cdots\times U_{\mathcal{A}}}_{k};$$

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## Example

Let  $\sigma_N$  be the signature of number theory. The natural  $\sigma_N$ -structure  $\mathcal A$  is:

- $U_A := \mathbb{N} = \{0, 1, \ldots\}.$
- $\bullet$   $0_A := 0, 1_A := 1, 2_A := 2.$
- $\bullet$  +<sub>A</sub> :=  $(m, n) \mapsto m + n$ .
- $\bullet \times_{\mathcal{A}} := (m, n) \mapsto m \cdot n.$
- $pow_A := (m, n) \mapsto m^n$ .
- $\bullet \ (<_{\mathcal{A}}) := \{ (n, m) : n, m \in \mathbb{N}, n < m \} \text{ and } (=_{\mathcal{A}}) := \{ (n, n) : n \in \mathbb{N} \}.$

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- $pow_{\mathcal{A}} := (m, n) \mapsto m^n$ .
- $(<_{\mathcal{A}}) := \{(n, m) : n, m \in \mathbb{N}, n < m\} \text{ and } (=_{\mathcal{A}}) := \{(n, n) : n \in \mathbb{N}\}.$

The following  $\mathcal{B}$  is also a  $\sigma_N$ -structure:

- $U_A := \{A, B, 5\}.$
- $0_A := A, 1_A := 5, 2_A := B.$
- $\bullet +_{\mathcal{A}} := (m, n) \mapsto 5.$
- $\bullet \times_{\mathcal{A}} = pow_{\mathcal{A}} := (m, n) \mapsto A.$
- $(<_A) = (=_A) := \{(A, B), (B, B)\}.$

Ex: Define a structure for the signature  $\sigma_P$  for propositional logic.

## Semantics of first-order logic - Overview

Parameterised by a structure A of a signature  $\sigma$ .

First, semantics of terms t (using  $A(t) \in U_A$ ).

Then, semantics of formulas F (using  $A \models F$ ).

# **Semantics of first-order logic – Terms**

## **Definition**

The **value**  $A(t) \in U_A$  of term t is defined inductively as follows:

- For a constant symbol c,  $A(c) := c_A$ .
- For a variable x,  $A(x) := x_A$ .
- For a term  $f(t_1, ..., t_k)$ , where f is a k-ary function symbol and  $t_1, ..., t_k$  are terms,

$$\mathcal{A}(f(t_1,\ldots,t_k)):=f_{\mathcal{A}}(\mathcal{A}(t_1),\ldots,\mathcal{A}(t_k)).$$

# **Semantics of first-order logic – Formulas**

#### **Definition**

Define the satisfaction relation  $A \models F$  (A satisfies F, or A models F) by structural induction:

- $A \models P(t_1, ..., t_k)$  if and only if  $(A(t_1), ..., A(t_k)) \in P_A$ .
- $A \models true$  always holds.
- $A \models false$  never holds.
- $\mathcal{A} \models (F \land G)$  if and only if  $\mathcal{A} \models F$  and  $\mathcal{A} \models G$ .
- $\mathcal{A} \models (F \lor G)$  if and only if  $\mathcal{A} \models F$  or  $\mathcal{A} \models G$ .
- $\mathcal{A} \models \neg F$  if and only if  $\mathcal{A} \not\models F$ .
- $A \models \exists x \ F$  if and only if there exists  $a \in U_A$  such that  $A_{[x \mapsto a]} \models F$ .
- $A \models \forall x \ F$  if and only if  $A_{[x \mapsto a]} \models F$  for all  $a \in U_A$ .

## **Semantics of first-order logic – Example**

Ex: Let  $\mathcal A$  be the natural  $\sigma$ -structure of number theory. Then, does the following satisfaction relation hold?

$$\mathcal{A} \models \forall x \,\exists y ((x = (1+1) \times y) \vee (x = 1 + (1+1) \times y)).$$

# Semantics of first-order logic – Example



Undirected graph as a  $\sigma$ -structure with one binary relation symbol E interpreted as the edge relation.

The above graph represented by the structure  $\mathcal{A}$  with universe  $U_{\mathcal{A}} = \{1, 2, 3, 4\}$  and irreflexive symmetric binary relation

$$E_{\mathcal{A}} = \{(1,2), (2,3), (3,4), (4,1), (2,1), (3,2), (4,3), (1,4)\}.$$

Ex1: Does the following satisfaction relation hold?

$$\mathcal{A} \models \forall x \neg E(x, x) \land \forall x \forall y (E(x, y) \rightarrow E(y, x)).$$

Ex2: Does the following satisfaction relation hold?

$$\mathcal{A} \models \forall x \,\forall y \,\exists z_1 \,\exists z_2 \, (E(x,z_1) \wedge E(z_1,z_2) \wedge E(z_2,y)).$$

## The relevance lemma

#### Lemma

Let A and A' be  $\sigma$ -structures, and F be a formula over  $\sigma$ . If

- $\bullet$  A and A' use the same universe U;
- 2 they have identical interpretations of the constant, function, and predicate symbols in  $\sigma$ ; and
- they give the same interpretation to each variable occurring free in F,

then  $A \models F$  if and only if  $A' \models F$ .

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Ex: Prove the lemma. Use structural induction on terms and formulas.