

Assignment 3 (Deadline: 6:00pm on 17 May 2024)*Prof Hongseok Yang*

Submit your solutions in KLMS. (Reminder: We adopt a very strict policy for handling dishonest behaviours. If a student is found to copy answers from fellow students or other sources in his or her homework submission, she or he will get F.)

1. Given an undirected graph $G = (V, E)$, a set of vertices $S \subseteq V$ is a *clique* if every pair of distinct vertices $u, v \in S$ is connected by an edge and S is an *independent set* if no pair of distinct vertices $u, v \in S$ is connected by an edge. Now consider the following two statements:
 - (A) Every infinite graph either has an infinite clique or an infinite independent set.
 - (B) For all k there exists n such that any graph with n vertices has a clique of size k or an independent set of size k .

Using the Compactness Theorem, prove that (A) implies (B).¹ **(25 points)**

2. Are the following claims correct? Justify your answers.

- (a) For any formula F and term t , if F is valid then $F[t/x]$ is valid. **(5 points)**
- (b) $\exists x (P(x) \rightarrow \forall y P(y))$ is valid. **(10 points)**
- (c) For any formula F and constant symbol c , if $F[c/x]$ is valid and c does not appear in F then $\forall x F$ is valid. **(10 points)**

3. Fix a signature σ . Consider a relation \sim on σ -assignments that satisfies the following two properties:

- (P1) If $\mathcal{A} \sim \mathcal{B}$, then for every atomic formula F we have $\mathcal{A} \models F$ iff $\mathcal{B} \models F$.
- (P2) If $\mathcal{A} \sim \mathcal{B}$, then for each variable x we have (i) for each $a \in U_{\mathcal{A}}$ there exists $b \in U_{\mathcal{B}}$ such that $\mathcal{A}_{[x \mapsto a]} \sim \mathcal{B}_{[x \mapsto b]}$, and (ii) for all $b \in U_{\mathcal{B}}$ there exists $a \in U_{\mathcal{A}}$ such that $\mathcal{A}_{[x \mapsto a]} \sim \mathcal{B}_{[x \mapsto b]}$.

Prove that if $\mathcal{A} \sim \mathcal{B}$ then for any formula F , $\mathcal{A} \models F$ if and only if $\mathcal{B} \models F$. You may assume that F is built from atomic formulas using the connectives \wedge and \neg and the quantifier \exists .

(25 points)

4. In this question we work with first-order logic without equality.

- (a) Consider a signature σ containing only a binary relation symbol R . For each positive integer n show that there is a satisfiable σ -formula F_n such that every model \mathcal{A} of F_n has at least n elements. **(10 points)**
- (b) Consider a signature σ containing only unary predicate symbols P_1, \dots, P_k . Using Question 3, or otherwise, show that any satisfiable σ -formula has a model with at most 2^k elements. **(15 points)**

¹As an optional exercise, beyond the scope of the course, you can try to prove (A). This result is Theorem 1 in <https://www.dpmms.cam.ac.uk/~par31/notes/ramsey.pdf>. Combining this with your answer, we obtain a proof of (B).