# FINAL ARTIFICIAL INTELLIGENCE ASSIGNMENT

**Due Date: 2020/6/28(Sun) 11:59**

**Python Version: 3.6.x**

*All of the source code is from CS188 Berkeley (https://inst.eecs.berkeley.edu/~cs188/sp20/projects/)*

You only have to solve Question 6~10 in https://inst.eecs.berkeley.edu/~cs188/sp20/project3/. You can read through this PDF file or directly go to the link to solve the questions.

Submission policy is at the end of this PDF.

## Final Assignment

In this project, you will implement Q-learning. You will test your agents first on Gridworld (from class), then apply them to a simulated robot controller (Crawler) and Pacman.

As in previous projects, this project includes an autograder for you to grade your solutions on your machine. This can be run on all questions with the command:

```
$ python autograder.py
```

It can be run for one particular question, such as q2, by:

```
$ python autograder.py -q q2
```

It can be run for one particular test by commands of the form:

```
$ python autograder.py -t test_cases/q2/1-bridge-grid
```

▼ **Files you'll edit:**

- `qlearningAgents.py`: Q-learning agents for Gridworld, Crawler and Pacman.
- `analysis.py`: A file to put your answers to questions given in the project.

▼ **Files you should read but NOT edit:**

- `mdp.py`: Defines methods on general MDPs.
- `learningAgents.py`: Defines the base classes `ValueEstimationAgent` and , `QLearningAgent` which your agents will extend.
- `util.py`: Utilities, including `util.Counter`:, which is particularly useful for Q-learners.

- `gridworld.py`: The Gridworld implementation.
- `featureExtractors.py`: Classes for extracting features on (state, action) pairs. Used for the approximate Q-learning agent (in `qlearningAgents.py`).

▼ **Files you can ignore:**

- `environment.py`: Abstract class for general reinforcement learning environments. Used by `gridworld.py`.
- `graphicsGridworldDisplay.py`: Gridworld graphical display.
- `graphicsUtils.py`: Graphics utilities.
- `textGridworldDisplay.py`: Plug-in for the Gridworld text interface.
- `crawler.py`: The crawler code and test harness. You will run this but not edit it.
- `graphicsCrawlerDisplay.py`: GUI for the crawler robot.
- `autograder.py`: Project autograder
- `testParser.py`: Parses autograder test and solution files
- `testClasses.py`: General autograding test classes
- `test_cases/`: Directory containing the test cases for each question
- `reinforcementTestClasses.py`: Project 3 specific autograding test classes

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## MDPs

To get started, run Gridworld in manual control mode, which uses the arrow keys:

```
$ python gridworld.py -m
```

You will see the two-exit layout from class. The blue dot is the agent. Note that when you press *up*, the agent only actually moves north 80% of the time. Such is the life of a Gridworld agent!

You can control many aspects of the simulation. A full list of options is available by running:

```
$ python gridworld.py -h
```

The default agent moves randomly

```
$ python gridworld.py -g MazeGrid
```

You should see the random agent bounce around the grid until it happens upon an exit. Not the finest hour for an AI agent.

*Note:* The Gridworld MDP is such that you first must enter a pre-terminal state (the double boxes shown in the GUI) and then take the special 'exit' action before the episode actually ends (in the true terminal state called `TERMINAL_STATE`, which is not shown in the GUI). If you run an episode manually, your total return may be less than you expected, due to the discount rate (`-d` to change; 0.9 by default).

Look at the console output that accompanies the graphical output (or use `-t` for all text). You will be told about each transition the agent experiences (to turn this off, use `-q`).

As in Pacman, positions are represented by `(x,y)` Cartesian coordinates and any arrays are indexed by `[y]`, with `'north'` being the direction of increasing `y`, etc. By default, most transitions will receive a reward of zero, though you can change this with the living reward option (`-r`).

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## Question 6: Q-Learning

Note that your value iteration agent does not actually learn from experience. Rather, it ponders its MDP model to arrive at a complete policy before ever interacting with a real environment. When it does interact with the environment, it simply follows the precomputed policy (e.g. it becomes a reflex agent). This distinction may be subtle in a simulated environment like a Gridworld, but it's very important in the real world, where the real MDP is not available.

You will now write a Q-learning agent, which does very little on construction, but instead learns by trial and error from interactions with the environment through its `update(state, action, nextState, reward)` method. A stub of a Q-learner is specified in `QLearningAgent` in `qlearningAgents.py`, and you can select it with the option `'-a q'`. For this question, you must implement the `update`, `computeValueFromQValues`, `getQValue`, and `computeActionFromQValues` methods.

*Note:* For `computeActionFromQValues`, you should break ties randomly for better behavior. The `random.choice()` function will help. In a particular state, actions that your agent *hasn't* seen before still have a Q-value, specifically a Q-value of zero, and if all of the actions that your agent *has* seen before have a negative Q-value, an unseen action may be optimal.

*Important:* Make sure that in your `computeValueFromQValues` and `computeActionFromQValues` functions, you only access Q values by calling `getQValue` . This abstraction will be useful for question 10 when you override `getQValue` to use features of state-action pairs rather than state-action pairs directly.

With the Q-learning update in place, you can watch your Q-learner learn under manual control, using the keyboard:

```
$ python gridworld.py -a q -k 5 -m
```

Recall that `-k` will control the number of episodes your agent gets to learn. Watch how the agent learns about the state it was just in, not the one it moves to, and "leaves learning in its wake." Hint: to help with debugging, you can turn off noise by using the `--noise 0.0` parameter (though this obviously makes Q-learning less interesting).

```
$ python autograder.py -q q6
```

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## Question 7: Epsilon Greedy

Complete your Q-learning agent by implementing epsilon-greedy action selection in `getAction`, meaning it chooses random actions an epsilon fraction of the time, and follows its current best Q-values otherwise. Note that choosing a random action may result in choosing the best action - that is, you should not choose a random sub-optimal action, but rather *any* random legal action.

You can choose an element from a list uniformly at random by calling the `random.choice` function. You can simulate a binary variable with probability `p` of success by using `util.flipCoin(p)`, which returns `True` with probability `p` and `False` with probability `1-p`.

After implementing the `getAction` method, observe the following behavior of the agent in gridworld (with epsilon = 0.3).

```
$ python gridworld.py -a q -k 100
```

Your final Q-values should resemble those of your value iteration agent, especially along well-traveled paths. However, your average returns will be lower than the Q-values predict because of the random actions and the initial learning phase.

You can also observe the following simulations for different epsilon values. Does that behavior of the agent match what you expect?

```
$ python gridworld.py -a q -k 100 --noise 0.0 -e 0.1
```

```
$ python gridworld.py -a q -k 100 --noise 0.0 -e 0.9
```

To test your implementation, run the autograder:

```
$ python autograder.py -q q7
```

With no additional code, you should now be able to run a Q-learning crawler robot:

```
$ python crawler.py
```

If this doesn't work, you've probably written some code too specific to the `GridWorld` problem and you should make it more general to all MDPs.

This will invoke the crawling robot from class using your Q-learner. Play around with the various learning parameters to see how they affect the agent's policies and actions. Note that the step delay is a parameter of the simulation, whereas the learning rate and epsilon are parameters of your learning algorithm, and the discount factor is a property of the environment.

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## Question 8: Bridge Crossing Revisited

First, train a completely random Q-learner with the default learning rate on the noiseless BridgeGrid for 50 episodes and observe whether it finds the optimal policy.

```
$ python gridworld.py -a q -k 50 -n 0 -g BridgeGrid -e 1
```

Now try the same experiment with an epsilon of 0. Is there an epsilon and a learning rate for which it is highly likely (greater than 99%) that the optimal policy will be learned after 50 iterations? `question8()` in `analysis.py` should return EITHER a 2-item tuple of `(epsilon, learning rate)` OR the string `'NOT POSSIBLE'` if there is none. Epsilon is controlled by `-e`, learning rate by `-l`.

*Note:* Your response should be not depend on the exact tie-breaking mechanism used to choose actions. This means your answer should be correct even if for instance we rotated the entire bridge grid world 90 degrees.

To grade your answer, run the autograder:

```
$ python autograder.py -q q8
```

## Question 9: Q-Learning and Pacman

Time to play some Pacman! Pacman will play games in two phases. In the first phase, training, Pacman will begin to learn about the values of positions and actions. Because it takes a very long time to learn accurate Q-values even for tiny grids, Pacman's training games run in quiet mode by default, with no GUI (or console) display. Once Pacman's training is complete, he will enter testing mode. When testing, Pacman's `self.epsilon` and `self.alpha` will be set to 0.0, effectively stopping Q-learning and disabling exploration, in order to allow Pacman to exploit his learned policy. Test games are shown in the GUI by default. Without any code changes you should be able to run Q-learning Pacman for very tiny grids as follows:

```
$ python pacman.py -p PacmanQAgent -x 2000 -n 2010 -l smallGrid
```

Note that `PacmanQAgent` is already defined for you in terms of the `QLearningAgent` you've already written. `PacmanQAgent` is only different in that it has default learning parameters that are more effective for the Pacman problem (`epsilon=0.05, alpha=0.2, gamma=0.8`). You will receive full credit for this question if the command above works without exceptions and your agent wins at least 80% of the time. The autograder will run 100 test games after the 2000 training games.

*Hint:* If your `QLearningAgent` works for `gridworld.py` and `crawler.py` but does not seem to be learning a good policy for Pacman on `smallGrid`, it may be because your `getAction` and/or `computeActionFromQValues` methods do not in some cases properly consider unseen actions. In particular, because unseen actions have by definition a Q-value of zero, if all of the actions that *have* been seen have negative Q-values, an unseen action may be optimal. Beware of the argmax function from util.Counter!

*Note:* To grade your answer, run:

```
$ python autograder.py -q q9
```

*Note:* If you want to experiment with learning parameters, you can use the option `-a`, for example `-a epsilon=0.1,alpha=0.3,gamma=0.7`. These values will then be accessible as `self.epsilon`, `self.gamma` and `self.alpha` inside the agent.

*Note:* While a total of 2010 games will be played, the first 2000 games will not be displayed because of the option `-x 2000`, which designates the first 2000 games for training (no output). Thus, you will only see Pacman play the last 10 of these games. The number of training games is also passed to your agent as the option `numTraining`.

*Note:* If you want to watch 10 training games to see what's going on, use the command:

```
$ python pacman.py -p PacmanQAgent -n 10 -l smallGrid -a numTraining=10
```

During training, you will see output every 100 games with statistics about how Pacman is faring. Epsilon is positive during training, so Pacman will play poorly even after having learned a good policy: this is because he occasionally makes a random exploratory move into a ghost. As a benchmark, it

should take between 1000 and 1400 games before Pacman's rewards for a 100 episode segment becomes positive, reflecting that he's started winning more than losing. By the end of training, it should remain positive and be fairly high (between 100 and 350).

Make sure you understand what is happening here: the MDP state is the *exact* board configuration facing Pacman, with the now complex transitions describing an entire ply of change to that state. The intermediate game configurations in which Pacman has moved but the ghosts have not replied are *not* MDP states, but are bundled in to the transitions.

Once Pacman is done training, he should win very reliably in test games (at least 90% of the time), since now he is exploiting his learned policy.

However, you will find that training the same agent on the seemingly simple `mediumGrid` does not work well. In our implementation, Pacman's average training rewards remain negative throughout training. At test time, he plays badly, probably losing all of his test games. Training will also take a long time, despite its ineffectiveness.

Pacman fails to win on larger layouts because each board configuration is a separate state with separate Q-values. He has no way to generalize that running into a ghost is bad for all positions. Obviously, this approach will not scale.

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## Question 10: Approximate Q-Learning

Implement an approximate Q-learning agent that learns weights for features of states, where many states might share the same features. Write your implementation in `ApproximateQAgent` class in `qlearningAgents.py`, which is a subclass of `PacmanQAgent`.

*Note:* Approximate Q-learning assumes the existence of a feature function f(s,a) over state and action pairs, which yields a vector [f_1(s,a), ..., f_i(s,a), ..., f_n(s,a)] of feature values. We provide feature functions for you in `featureExtractors.py`. Feature vectors are `util.Counter` (like a dictionary) objects containing the non-zero pairs of features and values; all omitted features have value zero.

The approximate Q-function takes the following form:

$$Q(s,a) = \sum_{i=1}^{n} f_i(s,a) w_i$$

where each weight w_i

is associated with a particular feature f_i(s,a)

. In your code, you should implement the weight vector as a dictionary mapping features (which the feature extractors will return) to weight values. You will update your weight vectors similarly to how you updated Q-values:

$$w_i \leftarrow w_i + \alpha \cdot \text{difference} \cdot f_i(s,a)$$
$$\text{difference} = \left(r + \gamma \max_{a'} Q\left(s', a'\right)\right) - Q(s,a)$$

Note that the difference term is the same as in normal Q-learning, and r is the experienced reward.

By default, `ApproximateQAgent` uses the `IdentityExtractor`, which assigns a single feature to every `(state,action)` pair. With this feature extractor, your approximate Q-learning agent should work identically to `PacmanQAgent`. You can test this with the following command:

```
$ python pacman.py -p ApproximateQAgent -x 2000 -n 2010 -l smallGrid
```

*Important:* `ApproximateQAgent` is a subclass of `QLearningAgent`, and it therefore shares several methods like `getAction`. Make sure that your methods in `QLearningAgent` call `getQValue` instead of accessing Q-values directly, so that when you override `getQValue` in your approximate agent, the new approximate q-values are used to compute actions.

Once you're confident that your approximate learner works correctly with the identity features, run your approximate Q-learning agent with our custom feature extractor, which can learn to win with ease:

```
$ python pacman.py -p ApproximateQAgent -a extractor=SimpleExtractor -x 50 -n 60 -l mediumGrid
```

Even much larger layouts should be no problem for your `ApproximateQAgent` (warning: this may take a few minutes to train):

```
$ python pacman.py -p ApproximateQAgent -a extractor=SimpleExtractor -x 50 -n 60 -l mediumClassic
```

If you have no errors, your approximate Q-learning agent should win almost every time with these simple features, even with only 50 training games.

*Grading:* We will run your approximate Q-learning agent and check that it learns the same Q-values and feature weights as our reference implementation when each is presented with the same set of examples. To grade your implementation, run the autograder:

```
$ python autograder.py -q q10
```

Congratulations! You have a learning Pacman agent!

## Submission

**If you submit the code only without the report, you'll get 0 point for the final assignment.** You need to solve only the **Question 6~10**.

▼ These are files you need to submit **(110 points).**

1. (CODE) Submit `qlearningAgents.py`, and `analysis.py` on Blackboard
2. (REPORT) Submit a pdf file with the following:

   Please write 2 ~3 page long report (without explanation of your code), but you can write more if you want. Note that all the discussions below are related to Question 10.

   1. Screenshot the result of `autograder.py` in the terminal. (20 points)

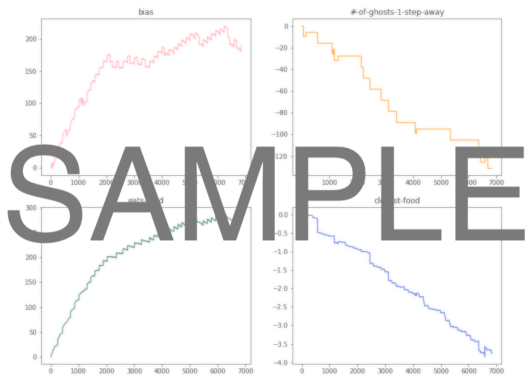
```
Provisional grades
==================
Question q1: 0/4
Question q2: 0/1
Question q3: 0/5
Question q4: 0/1
Question q5: 0/3
Question q6: 4/4
Question q7: 2/2
Question q8: 1/1
Question q9: 1/1
Question q10: 3/3
------------------
Total: 11/25
```

2. In Q10, you'll get *log_Q_weights.png* image if you run two commands below. It shows the weight updates of approximate Q function (x-axis: # of samples, y-axis: weights). Discuss the different behaviors of the weights for each feature in *log_Q_weights.png* image. You have to attach your *log_Q_weights.png* image in your report. (20 points)

```
$ python pacman.py -p ApproximateQAgent -a extractor=SimpleExtractor -x 50 -n 55 -l mediumClassic
```

```
$ python get_figures.py
```

*log_Q_weights.png* will look like below:




3. In the epsilon-greedy search, discuss the convergence of Q-value according to epsilon (e.g., compare the convergence of Q-values when epsilon is 1 with ones when epsilon is 0) (15 points).
    - **[Extra credit]** You'll get extra points if you visualize the change of Q-values with various epsilons and explain how you implement it. Provide your observation, e.g., the average of Q(s,a) for all state and action pairs. (5 extra points)
4. In the Q-learning, discuss the convergence of Q-value according to alpha (e.g., compare the convergence of Q-values when alpha is 1 with ones when alpha is 0)(15 points).

$$Q(s,a) \leftarrow (1-\alpha)Q(s,a) + (\alpha)\left[r + \gamma \max_{a'} Q(s',a')\right]$$

- **[Extra credit]** You'll get extra points if you visualize the change of Q-values with various alpha and explain how you implement it. Provide your observation, e.g., the average of Q(s,a) for all state and action pairs. (5 extra points)

5. In Q10, there are three features used in `ApproximateQAgent` (#-of-ghosts-1-step-away, eats-food, closest-food). Discuss any new features that might improve `ApproximateQAgent` and specific situations that the new features might be helpful.(20 points)
    - **[Extra credit]** In Q10, implement the features you discussed in #5 in `featureExtractors.py`. You can edit any file (just for this question), but you have to report all the modifications, and submit all the file you edit on Blackboard. (10 extra points)

## About Plagiarism

We know that it is easy to find source code for this assignment. However, if we find out that you just copied from one of the source code, grade for the assignment will be zero. You can refer to those source codes, but do not just copy and paste them.

## Q & A

If you have any questions, feel free to ask TAs by e-mail.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