



Due on 14 Oct. 2025

Discussion on 14 Oct. 2025

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## 1 From second to first order transitions in Landau theory ★ New

**Setup.** Consider a uniform scalar order parameter  $m$  (e.g. magnetization) with  $m \mapsto -m$  symmetry. The Landau free-energy density is

$$f(m; T, g, h) = f_0 + \frac{a(T)}{2} m^2 + \frac{b(g)}{4} m^4 + \frac{c}{6} m^6 - h m,$$

with  $c \geq 0$  for stability,  $a(T) = a_0(T - T_c)$  ( $a_0 > 0$ ), and a non-thermal control parameter  $g$  that can tune  $b(g)$ . Unless stated otherwise, set  $h = 0$ .<sup>1</sup>

(a) **Continuous (second-order) transition.** Before doing the exercise, you can try out different combination of positive/negative  $a$ ,  $b$ , and  $c$  and plot out the function  $f(m)$ . It is worth getting some feels of how the shape of the function changes with different signs of  $a$ ,  $b$ ,  $c$ . For all sub-exercises (a), we assume  $c = 0$  for now.

(a) **Symmetry constraint.** *Prove* that odd powers of  $m$  are forbidden at  $h = 0$  by the  $m \rightarrow -m$  symmetry, so that the lowest non-trivial terms are  $m^2, m^4, m^6$ .

(b) **Equilibrium order parameter and  $\beta$ .** Assume  $b > 0$ . *Prove* that the global minimum satisfies

$$m_{\text{eq}}(T) = \begin{cases} 0, & T > T_c, \\ \pm\sqrt{-a(T)/b}, & T < T_c, \end{cases}$$

and hence the order-parameter critical exponent is  $\beta = \frac{1}{2}$ .<sup>2</sup>

(c) **Continuity of  $f$  and specific-heat jump.** Define  $f_{\min}(T) = \min_m f(m; T, g, 0)$ .<sup>3</sup> *Prove* that  $f_{\min}(T)$  is continuous at  $T_c$ , while the specific heat  $C = -T \partial^2 f_{\min}/\partial T^2$  has a finite jump at  $T_c$  (no divergence). *Hint:* Evaluate  $f_{\min}$  below  $T_c$  by inserting  $m_{\text{eq}}$  from (a2).

(d) (Optional: finish everything else before coming back for this)

**Susceptibility and  $\gamma$ .** Turn on a uniform field  $h$  (keep  $b > 0$ ). *Prove* for  $T > T_c$  that the linear susceptibility  $\chi = \partial m / \partial h|_{h=0}$  obeys  $\chi = 1/a(T)$ , and infer  $\gamma = 1$ . *Prove* that the same exponent holds for  $T < T_c$  when the response is computed around  $m_{\text{eq}} \neq 0$ .<sup>4</sup>

(e) (Optional: finish everything else before coming back for this)

**Critical isotherm and  $\delta$ .** At  $T = T_c$  and small  $h$ , *prove* that  $m \propto h^{1/3}$ , hence  $\delta = 3$ .<sup>5</sup>

<sup>1</sup>It is worth reminding yourself that only  $a$  is  $T$ -dependent.

<sup>2</sup> $\beta$  characterizes how the order parameter vanishes as the transition is approached from below:  $m \sim (-t)^\beta$  for  $t \rightarrow 0^-$  at  $h = 0$  (equivalently  $m \sim (-a)^\beta$  since  $a \propto t$ ).

<sup>3</sup>Here  $\min_m f$  means the *global* minimum of  $f(m)$  over all real  $m$ . Practically: solve  $\partial f / \partial m = 0$  for all stationary points  $m_i$  and compare the values  $f(m_i)$ . The phase realized in equilibrium is the one with the smallest  $f$ . If two minima have equal free energy the system is on a phase boundary (coexistence). Other local minima with larger  $f$  are *metastable*.

<sup>4</sup> $\gamma$  governs the divergence of the (isothermal) susceptibility:  $\chi \equiv \partial m / \partial h|_{h=0} \sim t^{-\gamma}$  as  $t \rightarrow 0^+$  (and likewise from below when computed about  $m_{\text{eq}} \neq 0$ ).

<sup>5</sup> $\delta$  is defined by the *critical isotherm* at  $T = T_c$ :  $m \sim h^{1/\delta}$  for small  $h$ .

(b) **Bridging to first order: softening the quartic term.** Assume  $b = b(g)$  can change sign under variation of the control parameter  $g$  (pressure, composition, ...), while  $c > 0$  remains fixed. Note that you need to restore the  $m^6$  to the free energy, since you have  $c \neq 0$  now.

- (a) **Emergence of extra extrema.** For  $b < 0$  and small positive  $a$ , prove that  $f(m)$  has multiple stationary points ( $m = 0$  and nonzero  $m$ ) if and only if  $b^2 > 4ac$ . (Optional) Prove that the  $m = 0$  and the largest  $m$  are local minima by inspecting  $\partial^2 f / \partial m^2$ .
- (b) **Coexistence condition.** Let  $m_* \neq 0$  denote a nonzero minimum when it exists. Coexistence (first-order transition) occurs when  $f(m_*) = f(0)$ .<sup>6</sup> (Optional): Using the stationarity condition for  $m_*$ , prove that coexistence requires

$$b = -4\sqrt{\frac{ac}{3}} \quad (a > 0, b < 0),$$

You can try to examine the parameter space, i.e. the  $a - b$  plane, and mark out the different phases (disordered phase  $m = 0$  and ordered phase  $m \neq 0$ ). You will find that the second-order line  $a = 0$  ( $b > 0$ ) joins a first-order line through a tricritical point at  $(a, b) = (0, 0)$ .

- (c) **Order-parameter discontinuity on the first-order line.** Does the  $m_*$  undergo a jump across the first-order phase transition? What about the case of second order phase transition? Explain what makes first-order phase transition different than the second order one. For your information, the jump obeys

$$m_*^2 = \sqrt{\frac{3a}{c}} = -\frac{3b}{4c}$$

- (d) (Optional) **Latent heat along the first-order line.** Assume  $a(T) = a_0(T - T_c)$  and  $b, c$  are  $T$ -independent near the transition. With  $S = -\partial f_{\min} / \partial T$ , prove that the latent heat (upon heating across the coexistence line at fixed  $g$ ) is

$$L = T [S_{\text{disordered}} - S_{\text{ordered}}] = \frac{a_0 T}{2} m_*^2 > 0,$$

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<sup>6</sup>At a first-order transition two phases have equal Gibbs/Helmholtz free-energy density at the same control parameters; the equality  $f(m_*) = f(0)$  is precisely this condition at  $h = 0$ . The jump in  $m$  at coexistence is the order-parameter discontinuity.