

# The Compact Muon Solenoid Experiment

# **CMS Note**

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# Searches for beyond-the-standard model physics in events with a Z boson, jets and missing transverse energy

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#### Abstract

This note describes a search for beyond-the-standard model (BSM) physics in events with a leptonicallydecaying Z boson, jets, and missing transverse energy ( $E_T^{miss}$ ). This signature is predicted to occur in several BSM scenarios, for example supersymmetric (SUSY) models. Two search strategies are pursued. The first is an inclusive approach which selects events with at least two jets and large  $E_T^{miss}$ , produced in association with the  $Z \rightarrow \ell \ell$  candidate. The second is a targeted search in which additional requirements are imposed in order to achieve sensitivity to the production of the weakly-coupled SUSY charginos and neutralinos. The main backgrounds of SM Z + jets and  $t\bar{t}$  production are estimated with the data-driven  $E_T^{miss}$  templates technique and the opposite-flavor subtraction technique, respectively Additional backgrounds are estimated from simulation after validation in data control samples. In the inclusive analysis, good agreement is observed between the data and predicted background over the full  $E_{\mathrm{T}}^{\mathrm{miss}}$  range. In the targeted analysis, good agreement is observed between the data and the predicted background in low  $E_T^{miss}$  control regions, which validates the background estimation methodology. The results in the signal regions of the targeted analysis, defined by the requirement  $\rm E_{T}^{miss} > 100$  GeV, will be unblinded soon after completion of signal optimization studies. These results will be interpreted in the context of simplified model spectra. The  $E_{\mathrm{T}}^{\mathrm{miss}}$  templates technique is also applied to the signal regions of the so-called "edge" analysis, as described in the appendices.

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# 1 Changes w.r.t. previous AN Version

- v4: Update results of the low- $E_T^{miss}$  and high  $E_T^{miss}$  signal regions to 9.2 fb<sup>-1</sup>, and un-blind the results of the inclusive analysis
- v3: Add results in the low- $E_T^{miss}$  and high- $E_T^{miss}$  signal regions used for the edge analysis for the first 5.1 fb<sup>-1</sup> (see App. A).
  - v2: Update to 9.2 fb $^{-1}$  of 53X data and MC (v1 used 5.1 fb $^{-1}$  52X data and MC).

#### 2 Introduction

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- This note presents two searches for beyond-the-standard model (BSM) physics in events containing a leptonically-
- 9 decaying Z boson, jets, and missing transverse energy. This is an update of previous searches performed with 2011
- data [1, 2]. The search is based on a data sample of pp collisions collected at  $\sqrt{s} = 8$  TeV in 2012, corresponding
- to an integrated luminosity of 9.2 fb $^{-1}$ . For those readers interested in the "edge analysis" SUS-12-019, the
- relevant results may be found in App. A.
- The production of Z bosons is expected in many BSM scenarios, for example supersymmetric (SUSY) models. In
- SUSY models with neutralino lightest SUSY particle (LSP), Z bosons may be produced in the decays  $\chi^0_2 \to Z \chi^0_1$ ,
- where  $\chi_2^0$  is the second lightest neutralino and  $\chi_1^0$  is the lightest neutralino. In models with gravitino LSP such
- as gauge-mediated SUSY breaking (GMSB) models, Z bosons may be produced via  $\chi_1^0 \to Z\tilde{G}$ , where  $\tilde{G}$  is the
- gravitino. Such decays may occur either in the cascade decays of the strongly-produced squarks and gluinos, or
- via direct production of the electroweak charginos and neutralino. Examples of such processes (see Fig. 1) are:
  - strong production:  $pp \to \tilde{g}\tilde{g} \to (q\bar{q}\chi_2^0)(q\bar{q}\chi_2^0) \to (q\bar{q}Z\chi_1^0)(q\bar{q}Z\chi_1^0) \to ZZ + 4$  jets +  $E_T^{miss}$
  - electroweak production:  $pp \to \chi_1^{\pm} \chi_2^0 \to (W\chi_1^0)(Z\chi_1^0) \to WZ + E_T^{miss}$

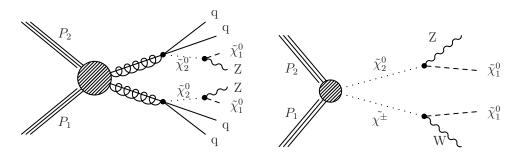


Figure 1: Examples of BSM physics signatures targeted in this search. In the left diagram, Z bosons are produced in the cascade decays of the strongly-interacting gluinos. In the right diagram, a Z boson is produced via direct production of the weakly-coupled charginos and neutralinos.

We thus pursue two strategies. The first is an inclusive strategy which selects events with a  $Z \rightarrow \ell\ell$  candidate, at least two jets, and large  $E_T^{miss}$ . This strategy is useful for targeting, e.g., the production of Z bosons in the cascade decays of strongly-interacting particles as depicted in Fig. 1 (left). In the second strategy, we impose additional requirements which strongly suppress the backgrounds while retaining high efficiency for events with Z bosons produced via direct production of the weakly-coupled charginos and neutralinos. These two strategies are referred to as the "inclusive search" and the "targeted search," respectively.

After selecting events with jets and a  $Z \to \ell^+\ell^-$  ( $\ell = e, \mu$ ) candidate, the dominant background consists of SM Z production accompanied by jets from initial-state radiation (Z + jets). The  $E_T^{\text{miss}}$  in Z + jets events arises primarily when jet energies are mismeasured. The Z + jets cross section is several orders of magnitude larger than our signal, and the artificial  $E_T^{\text{miss}}$  is not necessarily well reproduced in simulation. Therefore, the critical prerequisite to a discovery of BSM physics in the  $Z + \text{jets} + E_T^{\text{miss}}$  final state is to establish that a potential excess is not due to SM Z + jets production accompanied by artificial  $E_T^{\text{miss}}$  from jet mismeasurements. In this note, the Z + jets background is estimated with the  $E_T^{\text{miss}}$  templates technique, in which the artificial  $E_T^{\text{miss}}$  in Z + jets events is modeled using a  $\gamma + \text{jets}$  control sample. The second background category consists of processes which produce leptons with uncorrelated flavor. These "flavor-symmetric" (FS) backgrounds, which are dominated by  $t\bar{t}$ 

- but also contain WW, DY $\to \tau \tau$  and single top processes, are estimated using a data control sample of e $\mu$  events.
- Additional backgrounds from WZ and ZZ production are estimated from MC, after validation of the MC modeling
- of these processes using 3-lepton and 4-lepton data control samples.

# 9 3 Datasets and Triggers

In this section we list the datasets, triggers, and MC samples used in the analysis. For selecting signal events, 40 we use dilepton triggers in the DoubleElectron, DoubleMu, and MuEG datasets. An event in the ee final state is required to pass the dielectron trigger, a  $\mu\mu$  event is required to pass the dimuon trigger, while an  $e\mu$  event is 42 required to pass at least one of the two  $e-\mu$  cross triggers. The efficiencies of the ee,  $\mu\mu$  and  $e\mu$  triggers with respect to the offline selection have been measured as  $0.95 \pm 0.03$ ,  $0.88 \pm 0.03$ , and  $0.92 \pm 0.03$ , respectively [6]. These trigger efficiencies were measured with the first  $5.1 \text{ fb}^{-1}$  and will be updated with the full data sample. Preliminary measurements of the trigger efficiency with the full sample show consistent results within  $\sim 1-2\%$ . A sample of  $\gamma$  + jets events, used as a control sample to estimate the Z+jets background, is selected using a set of single photon triggers. The golden json of Aug 31st, corresponding to an integrated luminosity of 9.7 fb<sup>-1</sup>, is used as the starting point. However, due to a bug in the Run2012C-PromptReco-v1 data samples (corresponding to 0.5 fb<sup>-1</sup>), this portion of the data is currently excluded but will be added back after it is reprocessed. Thus we currently 50 use a sample corresponding to 9.2 fb<sup>-1</sup>. All data and MC samples are processed in CMSSW\_5\_3\_2\_patch4. 51

#### Datasets

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- /DoubleElectron/Run2012A-13Jul2012-v1/AOD
- /DoubleMu/Run2012A-13Jul2012-v1/AOD
  - /MuEG/Run2012A-13Jul2012-v1/AOD
    - /DoubleElectron/Run2012B-13Jul2012-v1/AOD
    - /DoubleMu/Run2012B-13Jul2012-v1/AOD
- /MuEG/Run2012B-13Jul2012-v1/AOD
- 59 /DoubleElectron/Run2012C-PromptReco-v2/AOD
  - /DoubleMu/Run2012C-PromptReco-v2/AOD
  - /MuEG/Run2012C-PromptReco-v2/AOD

#### Triggers

- HLT\_Mu17\_Mu8\_v\*
- HLT\_Mu17\_Ele8\_CaloIdT\_CaloIsoVL\_TrkIdVL\_TrkIsoVL\_v\*
- 65 HLT\_Mu8\_Ele17\_CaloIdT\_CaloIsoVL\_TrkIdVL\_TrkIsoVL\*
- 66 HLT\_Ele17\_CaloIdT\_CaloIsoVL\_TrkIdVL\_TrkIsoVL\_Ele8\_CaloIdT\_CaloIsoVL\_TrkIdVL\_TrkIsoVL\_v\*
  - HLT\_Photon22\_R9Id90\_HE10\_Iso40\_EBOnly\_v\*
- HLT\_Photon36\_R9Id90\_HE10\_Iso40\_EBOnly\_v\*
  - HLT\_Photon50\_R9Id90\_HE10\_Iso40\_EBOnly\_v\*
- HLT\_Photon75\_R9Id90\_HE10\_Iso40\_EBOnly\_v\*
- HLT\_Photon90\_R9Id90\_HE10\_Iso40\_EBOnly\_v\*

Table 1: List of MC samples.

Process	Dataset Name	Cross Section [pb]
Z + jets	DYJetsToLL_M-50_TuneZ2Star_8TeV-madgraph-tarball/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM/	3532.8
$\overline{t}\overline{t}$	TTJets_MassiveBinDECAY_TuneZ2star_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM/	225.2
ZZ	/ZZJetsTo4L_TuneZ2star_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	0.1769
	/ZZJetsTo2L2Q_TuneZ2star_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	2.4487
	/ZZJetsTo2L2Nu_TuneZ2star_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v3/AODSIM	0.3648
WZ	/WZJetsTo3LNu_TuneZ2_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	1.0575
	/WZJetsTo2L2Q_TuneZ2star_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	2.206
WW	/WWJetsTo2L2Nu_TuneZ2star_8TeV-madgraph-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	5.8123
single top	T_tW-channel-DR_TuneZ2star_8TeV-powheg-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM/	11.177
	Tbar_tW-channel-DR_TuneZ2star_8TeV-powheg-tauola/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM/	11.177
ttV	TTZJets_8TeV-madgraph_v2/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	0.208
	TTWJets_8TeV-madgraph/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	0.232
VVV	/ZZZNoGstarJets_8TeV-madgraph/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	0.01922
	/WWWJets_8TeV-madgraph/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	0.08217
	/WWZNoGstarJets_8TeV-madgraph/Summer12_DR53X-PU_S10_START53_V7A-v1/AODSIM	0.0633

#### <sub>72</sub> 4 Selection

In this section, we list the event selection, electron and muon objects selections, jets,  $E_{\rm T}^{\rm miss}$ , and b-tagging selections used in this analysis. These selections are based on those recommended by the relevant POG's.

#### 75 4.1 Event Selection

We require the presence of at least one primary vertex satisfying the standard quality criteria; namely, vertex is not fake,  $ndf \ge 4$ ,  $\rho < 2$  cm, and |z| < 24 cm.

#### 78 4.2 Lepton Selection

- Because  $Z \to \ell\ell$  ( $\ell = e, \mu$ ) is a final state with very little background, we restrict ourselves to events in which the Z boson decays to electrons or muons only. Therefore opposite sign leptons passing the identification and isolation requirements described below are required in each event.
  - $p_T > 20 \text{ GeV} \text{ and } |\eta| < 2.4;$ 
    - Opposite-sign same-flavor (SF) ee and  $\mu\mu$  lepton pairs (opposite-flavor (OF) e $\mu$  lepton pairs are retained in a control sample used to estimate the FS contribution);
    - For SF events, the dilepton invariant mass is required to be consistent with the Z mass; namely  $81 < m_{\ell\ell} < 101$  GeV.

#### 87 4.2.1 Electron Selection

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The electron selection is the loose working point recommended by the E/gamma POG, as documented at [3]. Electrons with  $p_T > 20$  GeV and  $|\eta| < 2.4$  are considered. We use PF-based isolation with a cone size of  $\Delta R < 0.3$ , using the effective area rho corrections documented at [4], and we require a relative isolation < 0.15. Electrons in the transition region defined by  $1.4442 < |\eta_{SC}| < 1.566$  are rejected. Electrons with a selected muon with  $p_T > 10$  GeV within  $\Delta R < 0.1$  are rejected. The electron selection requirements are listed in Table 2 for completeness.

Table 2: Summary of the electron selection requirements.

Quantity	Barrel	Endcap
$-\delta\eta$	< 0.007	< 0.009
$\delta\phi$	< 0.15	< 0.10
$\sigma_{i\eta i\eta}$	< 0.01	< 0.03
H/E	< 0.12	< 0.10
$d_0$ (w.r.t. 1st good PV)	< 0.02  cm	< 0.02  cm
$d_z$ (w.r.t. 1st good PV)	< 0.2  cm	< 0.2  cm
1/E - 1/P	$< 0.05  {\rm GeV^{-1}}$	$< 0.05 \; \mathrm{GeV}^{-1}$
PF isolation / $p_T$	< 0.15	< 0.15
conversion rejection: fit probability	$< 10^{-6}$	$< 10^{-6}$
conversion rejection: missing hits	$\leq 1$	$\leq 1$

#### 4 4.2.2 Muon Selection

We use the tight muon selection recommended by the muon POG, as documented at [5]. Muons with  $p_T > 20$  GeV and  $|\eta| < 2.4$  are considered. We use PF-based isolation with a cone size of  $\Delta R < 0.3$ , using the  $\Delta \beta$  PU correction scheme, and we require a relative isolation of < 0.15. The muon selection requirements are listed in Table 3 for completeness.

#### 4.3 Photons

As will be explained later, it is not essential that we select real photons. What is needed are jets that are predominantly electromagnetic, well measured in the ECAL, and hence less likely to contribute to fake MET. We select photons with:

Table 3: Summary of the muons selection requirements.

Quantity	Requirement
muon type	global muon and PF muon
$\chi^2/\mathrm{ndf}$	< 10
muon chamber hits	$\geq 1$
matched stations	$\geq 2$
$d_0$ (w.r.t. 1st good PV)	< 0.02  cm
$d_z$ (w.r.t. 1st good PV)	< 0.5  cm
pixel hits	$\geq 1$
tracker layers	$\geq 5$

- $p_T > 22 \text{ GeV}$ 
  - $|\eta| < 2$

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- H/E < 0.1
  - No matching pixel track (pixel veto)
- There must be a pfjet of  $p_T > 10$  GeV matched to the photon within dR < 0.3. The matched jet is required to have a neutral electromagnetic energy fraction of at least 70%.
- We require that the pfjet  $p_T$  matched to the photon satisfy (pfjet  $p_T$  photon  $p_T$ ) > -5 GeV. This removes a few rare cases in which "overcleaning" of a pfjet generates fake MET.
  - We also match photons to calojets and require (calojet  $p_T$  photon  $p_T$ ) > -5 GeV (the same requirement used for pfjets). This is to remove other rare cases in which fake energy is added to the photon object but not the calojet.
  - We reject photons which have an electron of at least  $p_T > 10$  GeV within dR < 0.2 in order to reject conversions from electrons from W decays which are accompanied by real MET.
  - We reject photons which are aligned with the MET to within 0.14 radians in phi.

#### 117 **4.4 MET**

We use pfmet, henceforth referred to simply as  $E_T^{miss}$ .

#### 119 **4.5** Jets

- PF jets with L1FastL2L3 corrections (MC), L1FastL2L3residual corrections (data), using the 52X jet energy corrections
- $|\eta| < 2.5$ 
  - Passes loose PFJet ID
- $p_T > 30$  GeV for determining the jet multiplicity,  $p_T > 15$  GeV for calculation of  $H_T$ 
  - For the creation of photon templates, the jet matched to the photon passing the photon selection described above is vetoed
- For the dilepton sample, jets are vetoed if they are within  $\Delta R < 0.4$  from any lepton  $p_T > 20$  GeV passing analysis selection

#### Data vs. MC Comparison in Preselection Region 5

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In this section we compare the data and MC samples passing the selection described in Sec. 4 In the following, the MC is reweighted to match the data distribution of number of reconstructed primary vertices. The trigger efficiencies of Sec. 3 are applied. In all plots, the last bin contains the overflow.

We begin by counting the inclusive Z yields. Here we require the presence of two selected leptons without any additional requirements on jets or  $E_T^{miss}$ . In Fig. 2 the distribution of dilepton invariant mass in the ee and  $\mu\mu$ channels is displayed. In Table 4 the yields for selected dilepton events in the Z mass window are indicated. Good data vs. MC agreement is observed, within the systematic uncertainties of integrated luminosity (4.5%), trigger efficiency (3%), Z + jets and  $t\bar{t}$  cross sections.

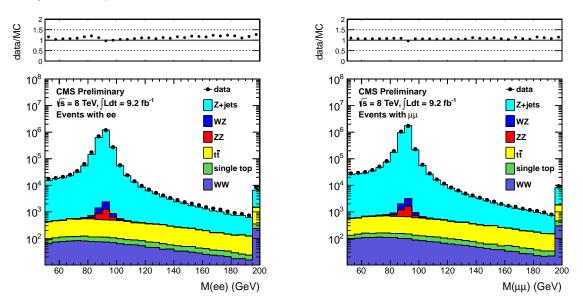


Figure 2: Dilepton mass distribution for events with two selected leptons in the ee (left) and  $\mu\mu$  (right) final states.

Table 4. Data alic	Table 4. Data and Monte Carlo yields for events with two selected reptons in the Z mass window.							
Sample	ee	$\mu\mu$	$e\mu$	total				
Z + jets	$22662501 \pm 1660$	$3125059 \pm 1873$	$1082 \pm 35.8$	$5392392 \pm 2503$				
$t ar{t}$	$1579.1 \pm 22.6$	$1998.3 \pm 24.4$	$3592.2 \pm 33.5$	$7169.5 \pm 47.2$				
WW	$290.6 \pm 2.9$	$387.2 \pm 3.3$	$671.2 \pm 4.4$	$1349.0 \pm 6.2$				
WZ	$2052.6 \pm 3.6$	$2686.9 \pm 3.9$	$54.1 \pm 0.5$	$4793.5 \pm 5.3$				
ZZ	$1294.6 \pm 2.7$	$1708.5 \pm 3.0$	$5.2 \pm 0.1$	$3008.3 \pm 4.0$				
single top	$150.0 \pm 5.9$	$192.6 \pm 6.4$	$332.9 \pm 8.6$	$675.5 \pm 12.2$				
total SM MC	$2271617 \pm 1661$	$3132032 \pm 18723$	$5738 \pm 50.0$	$5409387 \pm 2503$				
data	2329993	3169480	6182	5505655				

- 198 We next define the preselection region for the inclusive search using the following requirements:
  - Number of jets  $\geq 2$ ;

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- Same flavor dileptons (opposite flavor yields will be shown since they are used in data for the FS background estimation);
- Dilepton invariant mass  $81 < m_{\ell\ell} < 101$  GeV.

The dilepton mass distributions in the preselection region of the inclusive search (without the dilepton mass requirement applied) for the ee and  $\mu\mu$  final states are shown in Figure 3. In Table 5 the data and MC yields in the preselection region are indicated. Good data vs. MC agreement is observed.

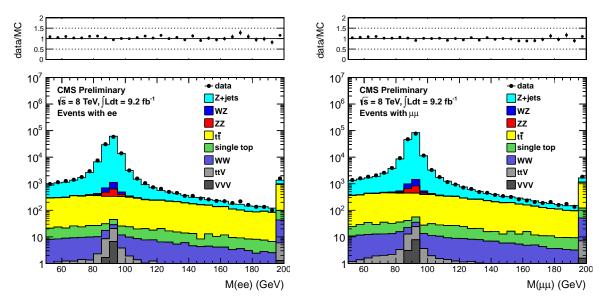


Figure 3: Dilepton mass distribution for events in the preselection region of the inclusive search in the ee (left) and  $\mu\mu$  (right) final states.

Table 5: Data and MC	yields in the pres	election region of t	the inclusive search.

Sample	ee	$\mu\mu$	$e\mu$	total		
Z + jets	$108778.2 \pm 358.0$	$145999.4 \pm 398.7$	$59.7 \pm 8.3$	$254837.4 \pm 535.9$		
$tar{t}$	$1220.9 \pm 19.9$	$1544.7 \pm 21.4$	$2788.1 \pm 29.5$	$5553.8 \pm 41.5$		
WW	$32.7 \pm 1.0$	$42.5 \pm 1.1$	$74.7 \pm 1.4$	$149.9 \pm 2.0$		
WZ	$853.0 \pm 2.4$	$1100.8 \pm 2.6$	$10.7 \pm 0.2$	$1964.4 \pm 3.5$		
ZZ	$532.5 \pm 1.8$	$692.6 \pm 2.0$	$1.2 \pm 0.0$	$1226.3 \pm 2.7$		
single top	$60.4 \pm 3.7$	$73.1 \pm 3.9$	$131.8 \pm 5.4$	$265.3 \pm 7.6$		
ttV	$25.9 \pm 0.5$	$32.2\pm0.5$	$9.4 \pm 0.3$	$67.5 \pm 0.8$		
VVV	$9.2 \pm 0.1$	$11.6 \pm 0.2$	$1.6 \pm 0.1$	$22.5\pm0.2$		
tot SM MC	$111512.9 \pm 358.6$	$149497.0 \pm 399.3$	$3077.2 \pm 31.1$	$264087.1 \pm 537.6$		
data	110325	144122	2966	257413		

46 We next define the preselection region for the targeted search by adding the following requirements:

• Veto events containing a b-tagged jet;

- Dijet invariant mass  $70 < m_{jj} < 110 \text{ GeV}$ ;
- Veto events containing a third selected lepton (electron or muon) with  $p_T > 10$  GeV;

The rejection of events with a b-tagged jet strongly suppresses the  $t\bar{t}$  background, which is the dominant background in the inclusive search after requiring large  $E_T^{miss}$ . The requirement that the jet pair is consistent with originating from W/Z decay is motivated by the fact that we are searching for signatures producing  $V(jj)Z(\ell\ell)+E_T^{miss}$ ; this requirement suppresses the Z+jets and  $t\bar{t}$  backgrounds. The veto of events containting a third electron or muon suppresses the WZ background, and also serves to make this analysis exclusive with respect to searches in the trilepton final state.

The dilepton mass distributions in the preselection region of the targeted search (without the dilepton mass requirement applied) for the ee and  $\mu\mu$  final states are shown in Figure 4. In Table 6 the data and MC yields in the preselection region are indicated. Good data vs. MC agreement is observed. We also show the distribution of dijet mass in the targeted preselection (with the requirement on this quantity removed) in Fig. 5, which demonstrates that the MC does a reasonable job of modeling this quantity.

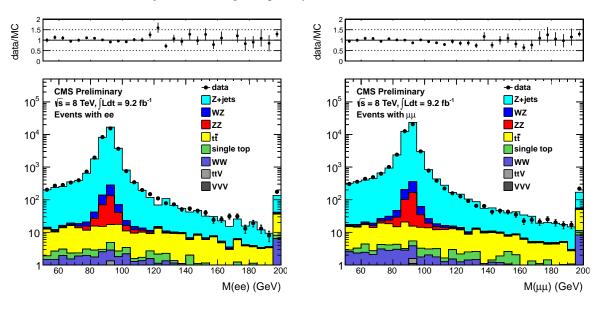


Figure 4: Dilepton mass distribution for events in the preselection region of the targeted search in the ee (left) and  $\mu\mu$  (right) final states.

Table 6: Data and MC yields in the preselection region of the targeted search.

Sample	ee	$\mu\mu$	$e\mu$	total
Z + jets	$30033.0 \pm 186.9$	$40552.4 \pm 208.9$	$12.9 \pm 3.7$	$70598.3 \pm 280.4$
t ar t	$50.5 \pm 4.1$	$49.1 \pm 3.8$	$105.1 \pm 5.7$	$204.7 \pm 8.0$
WW	$6.8 \pm 0.4$	$8.6 \pm 0.5$	$15.9 \pm 0.7$	$31.3 \pm 0.9$
WZ	$260.7 \pm 1.3$	$339.8 \pm 1.4$	$1.7 \pm 0.1$	$602.2 \pm 2.0$
ZZ	$204.2 \pm 1.1$	$264.0 \pm 1.2$	$0.2 \pm 0.0$	$468.4 \pm 1.7$
single top	$4.7 \pm 1.1$	$5.0 \pm 1.0$	$8.5 \pm 1.3$	$18.2\pm2.0$
ttV	$0.7 \pm 0.1$	$0.8 \pm 0.1$	$0.4 \pm 0.1$	$2.0 \pm 0.1$
VVV	$1.4 \pm 0.1$	$1.8 \pm 0.1$	$0.4 \pm 0.0$	$3.6 \pm 0.1$
tot SM MC	$30562.0 \pm 187.0$	$41221.5 \pm 208.9$	$145.2 \pm 7.0$	$71928.6 \pm 280.5$
data	29183	38388	120	67691

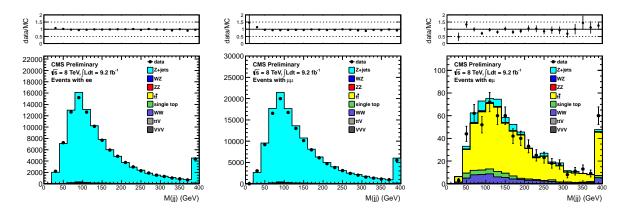


Figure 5: Distributions of dijet mass for the targeted preselection in the ee (left),  $\mu\mu$  (middle) and  $e\mu$  (right) final state.

# **6 Background Estimation Techniques**

In this section we describe the techniques used to estimate the SM backgrounds in our signal regions defined by requirements of large  $E_T^{miss}$ . The SM backgrounds fall into three categories:

- Z + jets: this is the dominant background after the preselection. The  $E_T^{miss}$  in Z + jets events is estimated with the " $E_T^{miss}$  templates" technique described in Sec. 6.1;
- Flavor-symmetric (FS) backgrounds: this category includes processes which produces 2 leptons of uncorrelated flavor. It is dominated by  $t\bar{t}$  but also contains  $Z \rightarrow \tau\tau$ , WW, and single top processes. This is the dominant contribution in the signal regions, and it is estimated using a data control sample of  $e\mu$  events as described in Sec. 6.2;
- WZ and ZZ backgrounds: this background is estimated from MC, after validating the MC modeling of these processes using data control samples with jets and exactly 3 leptons (WZ control sample) and exactly 4 leptons (ZZ control sample) as described in Sec. 6.3;

# **6.1** Estimating the Z + jets Background with $E_T^{miss}$ Templates

The premise of this data driven technique is that  $E_T^{miss}$  in Z+jets events is produced by the hadronic recoil system and *not* by the leptons making up the Z. Therefore, the basic idea of the  $E_T^{miss}$  template method is to measure the  $E_T^{miss}$  distribution in a control sample which has no true MET and the same general attributes regarding fake MET as in Z+jets events. We thus use a sample of  $\gamma+jets$  events, since both Z+jets and  $\gamma+jets$  events consist of a well-measured object recoiling against hadronic jets.

For selecting photon-like objects, the very loose photon selection described in Sec. 4.3 is used. It is not essential for the photon sample to have high purity. For our purposes, selecting jets with predominantly electromagnetic energy deposition in a good fiducial volume suffices to ensure that they are well measured and do not contribute to fake  $E_T^{\rm miss}$ . The  $\gamma$  + jets events are selected with a suite of single photon triggers with  $p_T$  thresholds varying from 22–90 GeV. The events are weighted by the trigger prescale such that  $\gamma$  + jets events evenly sample the conditions over the full period of data taking. There remains a small difference in the PU conditions in the  $\gamma$  + jets vs. Z + jets samples due to the different dependencies of the  $\gamma$  vs. Z isolation efficiencies on PU. To account for this, we reweight the  $\gamma$  + jets samples to match the distribution of reconstructed primary vertices in the Z + jets sample.

To account for kinematic differences between the hadronic systems in the control vs. signal samples, we measure the  $E_{\rm T}^{\rm miss}$  distributions in the  $\gamma$  + jets sample in bins of the number of jets and the scalar sum of jet transverse energies ( $H_T$ ). These  $E_{\rm T}^{\rm miss}$  templates are extracted separately from the 5 single photon triggers with thresholds 22, 36, 50, 75, and 90 GeV, so that the templates are effectively binned in photon  $p_T$ . All  $E_{\rm T}^{\rm miss}$  distributions are normalized to unit area to form "MET templates". The prediction of the MET in each Z event is the template which corresponds to the  $N_{\rm jets}$ ,  $H_T$ , and Z  $p_T$  in the Z+ jets event. The prediction for the Z sample is simply the sum of all such templates. All templates are displayed in App. C.

After preselection, there is a small contribution from backgrounds other than Z+jets. To correct for this, the  $E_T^{miss}$  templates prediction is scaled such that the total background prediction matches the observed data yield in the  $E_T^{miss}$  0–60 GeV region. Because the non-Z+jets impurity in the low  $E_T^{miss}$  region after preselection is very small, this results in scaling factors of 0.985 (0.995) for the inclusive (targeted) search.

#### 6.2 Estimating the Flavor-Symmetric Background with $e\mu$ Events

In this subsection we describe the background estimate for the FS background. Since this background produces equal rates of same-flavor (SF) ee and  $\mu\mu$  lepton pairs as opposite-flavor (OF) e $\mu$  lepton pairs, the OF yield can be used to estimate the SF yield, after correcting for the different electron vs. muon offline selection efficiencies and the different efficiencies for the ee,  $\mu\mu$ , and e $\mu$  triggers.

An important quantity needed to translate from the OF yield to a prediction for the background in the SF final state is the ratio  $R_{\mu e} = \epsilon_{\mu}/\epsilon_{e}$ , where  $\epsilon_{\mu}$  ( $\epsilon_{e}$ ) indicates the offline muon (electron) selection efficiency. This quantity can be extracted from data using the observed  $Z \rightarrow \mu\mu$  and  $Z \rightarrow ee$  yields in the preselection region, after correcting for the different trigger efficiencies.

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• 
$$N_{ee}^{\mathrm{trig}} = \epsilon_{ee}^{\mathrm{trig}} N_{ee}^{\mathrm{offline}},$$

• 
$$N_{\mu\mu}^{\text{trig}} = \epsilon_{\mu\mu}^{\text{trig}} N_{\mu\mu}^{\text{offline}}$$
,

• 
$$N_{e\mu}^{\text{trig}} = \epsilon_{e\mu}^{\text{trig}} N_{e\mu}^{\text{offline}}$$
.

Here  $N_{\ell\ell}^{\rm trig}$  denotes the number of selected Z events in the  $\ell\ell$  channel passing the offline and trigger selection (in other words, the number of recorded and selected events),  $\epsilon_{\ell\ell}^{\rm trig}$  is the trigger efficiency, and  $N_{\ell\ell}^{\rm offline}$  is the number of events that would have passed the offline selection if the trigger had an efficiency of 100%. Thus we calculate the quantity:

$$R_{\mu e} = \sqrt{\frac{N_{\mu \mu}^{\text{offline}}}{N_{ee}^{\text{offline}}}} = \sqrt{\frac{N_{\mu \mu}^{\text{trig}} / \epsilon_{\mu \mu}^{\text{trig}}}{N_{ee}^{\text{trig}} / \epsilon_{ee}^{\text{trig}}}} = \sqrt{\frac{144122/0.88}{110325/0.95}} = 1.19 \pm 0.07.$$
 (1)

Here we have used the  $Z \rightarrow \mu\mu$  and  $Z \rightarrow$  ee yields from Table 5 and the trigger efficiencies quoted in Sec. 3. The indicated uncertainty is due to the 3% uncertainties in the trigger efficiencies. The predicted yields in the ee and  $\mu\mu$  final states are calculated from the observed  $e\mu$  yield as

• 
$$N_{ee}^{\text{predicted}} = \frac{N_{e\mu}^{\text{trig}}}{\epsilon_{e\mu}^{\text{trig}}} \frac{\epsilon_{ee}^{\text{trig}}}{2R_{\mu e}} = \frac{N_{e\mu}^{\text{trig}}}{0.92} \frac{0.95}{2 \times 1.26} = (0.43 \pm 0.05) \times N_{e\mu}^{\text{trig}}$$
,

• 
$$N_{\mu\mu}^{\text{predicted}} = \frac{N_{e\mu}^{\text{trig}}}{\epsilon_{e\mu}^{\text{trig}}} \frac{\epsilon_{\mu\mu}^{\text{trig}} R_{\mu e}}{2} = \frac{N_{e\mu}^{\text{trig}}}{0.95} \frac{0.88 \times 1.26}{2} = (0.55 \pm 0.07) \times N_{e\mu}^{\text{trig}},$$

and the predicted yield in the combined ee and  $\mu\mu$  channel is simply the sum of these two predictions:

• 
$$N_{ee+\mu\mu}^{\text{predicted}} = (0.99 \pm 0.06) \times N_{e\mu}^{\text{trig}}$$
.

Note that the relative uncertainty in the combined ee and  $\mu\mu$  prediction is smaller than those for the individual ee and  $\mu\mu$  predictions because the uncertainty in  $R_{\mu e}$  cancels when summing the ee and  $\mu\mu$  predictions.

To improve the statistical precision of the FS background estimate, we remove the requirement that the  $e\mu$  lepton pair falls in the Z mass window. Instead we scale the  $e\mu$  yield by K, the efficiency for  $e\mu$  events to satisfy the Z mass requirement, extracted from simulation. In Fig. 6 we display the value of K in data and simulation, for a variety of  $E_{\rm T}^{\rm miss}$  requirements, for the inclusive analysis. Based on this we chose  $K=0.14\pm0.02$  for the lower  $E_{\rm T}^{\rm miss}$  regions,  $K=0.14\pm0.04$  for the  $E_{\rm T}^{\rm miss}>200$  GeV region,and  $K=0.14\pm0.09$  for  $E_{\rm T}^{\rm miss}>300$  GeV, where the larger uncertainties reflect the reduced statistical precision at large  $E_{\rm T}^{\rm miss}$ . The corresponding plot for the targeted analysis, including the b-veto, is displayed in Fig. 7. Based on this we chose  $K=0.13\pm0.02$  for all  $E_{\rm T}^{\rm miss}$  regions up to  $E_{\rm T}^{\rm miss}>150$  GeV. For the  $E_{\rm T}^{\rm miss}>200$  GeV region we choose  $K=0.13\pm0.05$ , due to the reduced statistical precision.

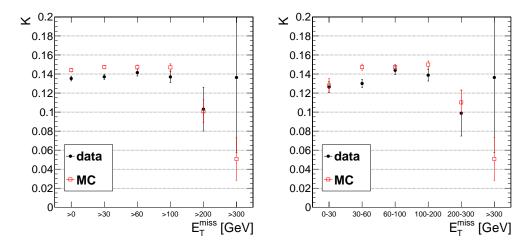


Figure 6: The efficiency for  $e\mu$  events to satisfy the dilepton mass requirement, K, in data and simulation for inclusive  $E_T^{miss}$  intervals (left) and exclusive  $E_T^{miss}$  intervals (right) for the inclusive analysis.

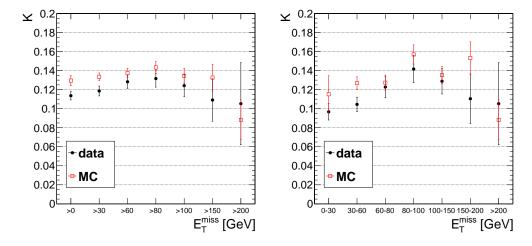


Figure 7: The efficiency for  $e\mu$  events to satisfy the dilepton mass requirement, K, in data and simulation for inclusive  $E_{\rm T}^{\rm miss}$  intervals (left) and exclusive  $E_{\rm T}^{\rm miss}$  intervals (right) for the targeted analysis, including the b-veto. Based on this we chose  $K=0.13\pm0.02$  for the  $E_{\rm T}^{\rm miss}$  regions up to  $E_{\rm T}^{\rm miss}>100$  GeV. For higher  $E_{\rm T}^{\rm miss}$  regions we chose  $K=0.13\pm0.07$ .

#### 234 6.3 Estimating the WZ and ZZ Background with MC

Backgrounds from  $W(\ell\nu)Z(\ell\ell)$  where the W lepton is not identified or is outside acceptance, and  $Z(\nu\nu)Z(\ell\ell)$ , are estimated from simulation. The MC modeling of these processes is validated by comparing the MC predictions with data in control samples with exactly 3 leptons (WZ control sample) and exactly 4 leptons (ZZ control sample). The critical samples are the WZJetsTo3LNu and ZZJetsTo4L, listed in Table 1 (the WZJetsTo2L2Q, ZZJetsTo2L2Q, and ZZJetsTo2L2Nu samples are also used in this analysis but their contribution to the 3-lepton and 4-lepton control samples is negligible).

#### 241 6.3.1 WZ Validation Studies

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A pure WZ sample can be selected in data with the requirements:

- Exactly 3  $p_T > 20$  GeV leptons passing analysis identication and isolation requirements,
- 2 of the 3 leptons must fall in the Z window 81-101 GeV,
- $E_T^{miss} > 50 \text{ GeV}$  (to suppress DY).

The data and MC yields passing the above selection are in Table 7. The inclusive yields (without any jet requirements) agree within 13%, which is consistent within the uncertainty in the CMS measured WZ cross section (17%). A data vs. MC comparison of kinematic distributions (jet multiplicity,  $E_{\rm T}^{\rm miss}$ , Z  $p_T$ ) is given in Fig. 8. High  $E_{\rm T}^{\rm miss}$  values in WZ and ZZ events arise from highly boosted W or Z bosons that decay leptonically, and we therefore check that the MC does a reasonable job of reproducing the  $p_T$  distributions of the leptonically decaying Z. While the inclusive WZ yields are in reasonable agreement, we observe an excess in data in events with at least 2 jets, corresponding to the jet multiplicity requirement in our preselection. We observe 106 events in data while the MC predicts  $62 \pm 1.5$  (stat), representing an excess of 71%, as indicated in Table 8. This excess will be studied further. For the time being, based on these studies we currently assess an uncertainty of 70% on the WZ yield.

Table 7: Data and Monte Carlo yields passing the WZ preselection.

Sample	ee	$\mu\mu$	$e\mu$	total
WZ	$116.7 \pm 0.8$	$151.5 \pm 0.8$	$8.1 \pm 0.2$	$276.3 \pm 1.2$
ttV	$4.1 \pm 0.2$	$4.9 \pm 0.2$	$1.2 \pm 0.1$	$10.2 \pm 0.3$
$tar{t}$	$1.2 \pm 0.6$	$3.2 \pm 0.9$	$3.6 \pm 1.0$	$7.9 \pm 1.5$
ZZ	$2.5 \pm 0.0$	$3.4 \pm 0.0$	$0.2 \pm 0.0$	$6.1 \pm 0.0$
Z + jets	$1.2 \pm 0.9$	$3.0 \pm 1.8$	$0.0 \pm 0.0$	$4.2 \pm 2.1$
vvv	$1.6 \pm 0.1$	$2.1 \pm 0.1$	$0.3 \pm 0.0$	$4.0 \pm 0.1$
single top	$0.0 \pm 0.0$	$0.2 \pm 0.2$	$0.0 \pm 0.0$	$0.2 \pm 0.2$
WW	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.1 \pm 0.0$	$0.1 \pm 0.1$
tot SM MC	$127.3 \pm 1.4$	$168.4 \pm 2.3$	$13.5 \pm 1.0$	$309.2 \pm 2.8$
data	156	178	16	350

Table 8: Data and Monte Carlo yields passing the WZ preselection and  $N_{\rm jets} \ge 2$ .

Sample	ee	$\mu\mu$	$e\mu$	total
WZ	$19.1 \pm 0.3$	$24.6 \pm 0.3$	$1.3 \pm 0.1$	$44.9 \pm 0.5$
ttV	$3.8 \pm 0.2$	$4.5 \pm 0.2$	$1.0 \pm 0.1$	$9.3 \pm 0.3$
$t \overline{t}$	$0.8 \pm 0.5$	$1.6 \pm 0.7$	$0.9 \pm 0.5$	$3.3 \pm 1.0$
ZZ	$0.5 \pm 0.0$	$0.7 \pm 0.0$	$0.0 \pm 0.0$	$1.2 \pm 0.0$
Z + jets	$0.9 \pm 0.9$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.9 \pm 0.9$
VVV	$0.9 \pm 0.0$	$1.2 \pm 0.1$	$0.1 \pm 0.0$	$2.2 \pm 0.1$
single top	$0.0 \pm 0.0$	$0.2 \pm 0.2$	$0.0 \pm 0.0$	$0.2 \pm 0.2$
WW	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
tot SM MC	$25.9 \pm 1.1$	$32.9 \pm 0.8$	$3.3 \pm 0.5$	$62.1 \pm 1.5$
data	47	51	8	106

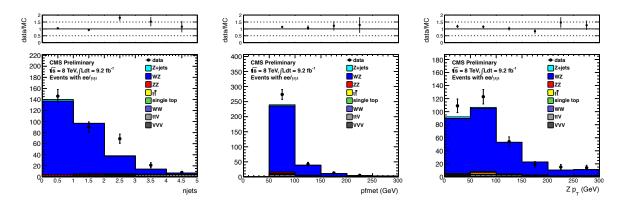


Figure 8: Data vs. MC comparisons for the WZ selection discussed in the text for  $9.2~{\rm fb^{-1}}$ . The number of jets, missing transverse energy, and Z boson transverse momentum are displayed.

#### 255 6.3.2 ZZ Validation Studies

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A pure ZZ sample can be selected in data with the requirements:

- Exactly 4  $p_T > 20$  GeV leptons passing analysis identication and isolation requirements,
- 2 of the 4 leptons must fall in the Z window 81-101 GeV.

The data and MC yields passing the above selection are in Table 9. In this ZZ-dominated sample we observe good agreement between the data yield and the MC prediction. After requiring 2 jets (corresponding to the requirement in the analysis selection), we observe 4 events in data and the MC predicts  $6.6 \pm 0.1$  events. Due to the limited statistical precision we assign an uncertainty fo 50% on the ZZ yield.

Table 9: Data and Monte Carlo yields for the ZZ preselection.

Sample	ee	$\mu\mu$	еμ	total
ZZ	$25.1 \pm 0.1$	$34.9 \pm 0.1$	$1.6 \pm 0.0$	$61.7 \pm 0.1$
ttV	$0.6 \pm 0.1$	$0.6 \pm 0.1$	$0.2 \pm 0.0$	$1.4 \pm 0.1$
VVV	$0.3 \pm 0.0$	$0.4 \pm 0.0$	$0.0 \pm 0.0$	$0.7 \pm 0.0$
WZ	$0.1 \pm 0.0$	$0.1 \pm 0.0$	$0.0 \pm 0.0$	$0.1 \pm 0.0$
Z + jets	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
t ar t	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
single top	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
WW	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$	$0.0 \pm 0.0$
tot SM MC	$26.1 \pm 0.1$	$36.1 \pm 0.1$	$1.8 \pm 0.0$	$63.9 \pm 0.2$
data	24	36	0	60

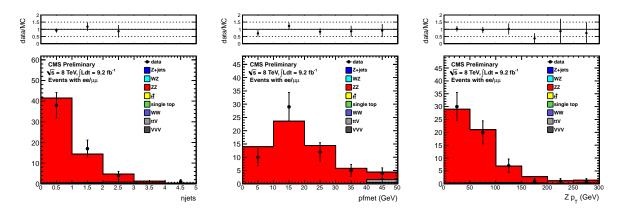


Figure 9: Data vs. MC comparisons for the ZZ selection discussed in the text for 9.2 fb<sup>-1</sup>. The number of jets, missing transverse energy, and Z boson transverse momentum are displayed.

### 7 Results

In this section we provide the results of the inclusive and targeted searches. The observed and predicted  $E_T^{miss}$  distributions for the inclusive analysis are indicated in Fig. 10. A summary of the results in the signal regions is provided in Table 10. Currently we blind the observed data yields for the signal regions, defined by  $E_T^{miss} > 100$  GeV. These yields will be presented when the decision is made to unblind the Z region for the Aachen/ETH low-mass opposite-sign same-flavor dilepton analysis ("edge analysis"). In the low  $E_T^{miss}$  region, we observe good agreement between the data and the predicted background, which validates the background estimation methodology. The separate results for the ee and  $\mu\mu$  channels are presented in App. B.

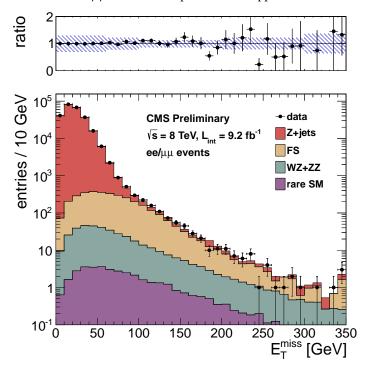


Figure 10: Results of the inclusive analysis. The observed  $E_T^{\rm miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{\rm miss}$  distributions from  $Z+{\rm jets}$ , flavor-symmetric backgrounds, and WZ+ZZ backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 10: Summary of results in the inclusive analysis. The total background is the sum of the  $Z+{\rm jets}$  background predicted from the  $E_T^{\rm miss}$  templates method ( $Z+{\rm jets}$  bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), and the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg). All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	$\mathrm{E_{T}^{miss}}$ 0–30 GeV	$\mathrm{E_{T}^{miss}}$ 30–60 GeV	E <sub>T</sub> <sup>miss</sup> 60–100 GeV	$\mathrm{E_{T}^{miss}}$ 100–200 GeV	E <sub>T</sub> <sup>miss</sup> 200–300 GeV	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$190111 \pm 57034$	$57989 \pm 17398$	$2744 \pm 824$	$123 \pm 37$	$7.4 \pm 2.4$	$1.3 \pm 0.5$
FS bkg	$492 \pm 77$	$947 \pm 147$	$981 \pm 152$	$503 \pm 78$	$23.6 \pm 7.1$	$3.0 \pm 1.9$
WZ bkg	$61.5 \pm 43.0$	$104.8 \pm 73.4$	$75.4 \pm 52.8$	$41.2 \pm 28.8$	$5.6 \pm 3.9$	$1.6 \pm 1.6$
ZZ bkg	$7.6 \pm 3.8$	$16.2 \pm 8.1$	$17.4 \pm 8.7$	$16.1 \pm 8.1$	$3.2 \pm 1.6$	$1.0 \pm 1.0$
rare SM bkg	$5.1 \pm 2.5$	$10.7 \pm 5.4$	$10.4 \pm 5.2$	$9.1 \pm 4.6$	$1.7 \pm 0.8$	$0.6 \pm 0.6$
total bkg	$190678 \pm 57034$	$59068 \pm 17398$	$3829 \pm 840$	$692 \pm 92$	$41.5 \pm 8.7$	$7.5 \pm 2.7$
data	190793	58953	3921	733	42	5
significance	$0.0\sigma$	$-0.0\sigma$	$0.1\sigma$	$0.4\sigma$	$0.0\sigma$	

The observed and predicted  $E_T^{\rm miss}$  distributions for the targeted analysis are indicated in Fig. 11. A summary of the results in the signal regions is provided in Table 11. The observed yields are in good agreement with the predicted background the low  $E_T^{\rm miss}$  region, validating the background estimation methodology. In the signal regions defined by requirements of large  $E_T^{\rm miss}$ , good agreement is found between the observed yields and the predicted background.

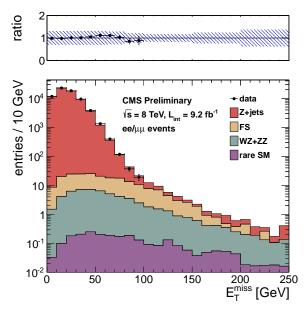


Figure 11: Results of the targeted analysis. The observed  $E_T^{\rm miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{\rm miss}$  distributions from  $Z+{\rm jets}$ , flavor-symmetric backgrounds, and WZ+ZZ backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 11: Summary of results in the targeted analysis. The total background is the sum of the Z+jets background predicted from the  $E_T^{\rm miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), and the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg). All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	E <sub>T</sub> <sup>miss</sup> 0–30 GeV	E <sub>T</sub> <sup>miss</sup> 30–60 GeV	E <sub>T</sub> <sup>miss</sup> 60–80 GeV	E <sub>T</sub> <sup>miss</sup> 80–100 GeV
Z + jets bkg	$52823 \pm 15847$	$14015 \pm 4205$	$433 \pm 130$	$40.9 \pm 12.4$
FS bkg	$41.3 \pm 7.2$	$49.5 \pm 8.6$	$26.4 \pm 4.7$	$17.9 \pm 3.3$
WZ bkg	$9.5 \pm 6.6$	$15.9 \pm 11.2$	$6.6 \pm 4.7$	$3.9 \pm 2.7$
ZZ bkg	$2.1 \pm 1.0$	$4.1 \pm 2.1$	$2.2 \pm 1.1$	$1.8 \pm 0.9$
rare SM bkg	$0.3 \pm 0.2$	$0.7 \pm 0.3$	$0.4 \pm 0.2$	$0.3 \pm 0.2$
total bkg	$52876 \pm 15847$	$14085 \pm 4205$	$468 \pm 130$	$64.7 \pm 13.2$
data	52485	14476	510	56
significance	$-0.0\sigma$	$0.1\sigma$	$0.3\sigma$	$-0.6\sigma$
	E <sub>T</sub> 100–120 GeV	E <sub>T</sub> 120–150 GeV	E <sub>T</sub> 150–200 GeV	$\rm E_T^{miss} > 200~GeV$
Z + jets bkg	$7.0 \pm 2.2$	$3.1 \pm 0.9$	$1.6 \pm 0.5$	$0.8 \pm 0.3$
FS bkg	$11.3 \pm 2.2$	$6.9 \pm 1.5$	$2.4 \pm 1.1$	$0.4 \pm 0.3$
WZ bkg	$2.1 \pm 1.5$	$1.6 \pm 1.1$	$1.0 \pm 0.7$	$0.5 \pm 0.5$
ZZ bkg	$1.0 \pm 0.5$	$1.1 \pm 0.6$	$0.8 \pm 0.4$	$0.7 \pm 0.7$
rare SM bkg	$0.2 \pm 0.1$	$0.3 \pm 0.1$	$0.2 \pm 0.1$	$0.2 \pm 0.2$
total bkg	$21.7 \pm 3.5$	$13.0 \pm 2.2$	$6.1 \pm 1.5$	$2.5 \pm 0.9$
data	?	?	?	?
significance	?	?	?	?

# **8 Interpretation**

The results of this search will be interpreted in the context of simplified model spectra (SMS). For the inclusive analysis, we will use the T5zz model depicted in Fig. 1 (left). For the targeted analysis, we will use the WZ+ $E_{\rm T}^{\rm miss}$  model depicted in Fig. 1 (right), and a GMSB model which produces a signature of ZZ+ $E_{\rm T}^{\rm miss}$ . For the WZ+ $E_{\rm T}^{\rm miss}$  model (GMSB ZZ +  $E_{\rm T}^{\rm miss}$  model), the results of this analysis will be combined with the results of searches for charginos and neutralinos in the trilepton (quadlepton) final state.

# 9 Summary

This note presents a search for BSM physics in final states with leptonically-decaying Z bosons, jets, and  $E_{\rm T}^{\rm miss}$ . Two strategies were pursued. The first is an inclusive approach which targets BSM scenarios with Z bosons produced in the decays of strongly-interacting particles. The second is a targeted approach which focuses on BSM scenarios where the Z bosons are produced in the decays of weakly-interacting particles. The main backgrounds are estimated with data-driven techniques. Good agreement is observed between the data and the predicted backgrounds in the control regions defined by  $E_{\rm T}^{\rm miss} < 100$  GeV. The data yields in the signal region of the inclusive analysis will be presented when the decision to unblind the Z region of the edge analysis is taken. The data yields of the targeted analysis will be presented after completion of signal optimization studies.

# 91 References

- [1] CMS Collaboration, "Search for physics beyond the standard model in events with a Z boson, jets, and missing transverse energy in pp collisions at  $\sqrt{s}=7$  TeV,", arXiv:1204.3774v1 [hep-ex].
- 294 [2] SUS-12-006, paper draft
- [3] https://twiki.cern.ch/twiki/bin/viewauth/CMS/EgammaCutBasedIdentification
- <sup>296</sup> [4] https://twiki.cern.ch/twiki/bin/viewauth/CMS/EgammaEARhoCorrection
- [5] https://twiki.cern.ch/twiki/bin/view/CMSPublic/SWGuideMuonId
- [6] M. Chen, AN 2012/237 "Interpretation of the Same-Sign di-leptons with bjets and MET search"

# A Results for the "edge analysis" SUS-12-019

The Aachen and ETH groups have reported an excess of low-mass, opposite-sign same-flavor events (see AN 2012/200 and AN 2012/231). In App. A.1 we derive predictions for the Z background in the Z mass regions for the two signal regions used for this analysis, and use these predictions to derive an estimate of the low-mass  $\gamma^*/Z$  contributions using an extrapolation technique commonly referred to as the " $R_{out/in}$ " technique.

## A.1 Z Background Predictions for the "Edge Analysis"

5 The two signal regions of the edge analysis are defined as:

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• Low-E<sup>miss</sup>signal region (ETH)
306
                 - 2 p_T > 20 GeV leptons with |\eta| < 2.4
307
                 - At least 3 jets (p_T > 40 GeV, |\eta| < 3)
308
                 – E_{\mathrm{T}}^{\mathrm{miss}} > 100 \ \text{GeV}
309
          • High-E<sup>miss</sup>signal region (Aachen)
310
                 - leading lepton p_T > 20 GeV, trailing lepton p_T > 10 GeV, both with |\eta| < 2.4
311
                 - At least 2 jets (p_T > 40 GeV, |\eta| < 3) with scalar sum H_T > 100 GeV
312
                 – E_{\mathrm{T}}^{\mathrm{miss}} > 150 \, GeV
313
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We begin with a synchronization exercise to make sure that we can reproduce the ETH/Aachen results. In Table 12 we display the yields in the Z mass regions of the 2 signal regions and compare these to results from the ETH group. In general we are synchronized to 3% or better in all channels. Note that for the purposes of this exercise we include an additional dimuon trigger (HLT\_Mu17\_TkMu8) which is not yet included in the results that follow. The inclusion of this trigger adds 3  $\mu\mu$  events in both the low  $E_T^{miss}$  and high  $E_T^{miss}$  signal regions.

Table 12: Summary of the synchronization exercise with the ETH group with 9.2 fb<sup>-1</sup>. The yields in the Z mass region (81 <  $m_{\ell\ell}$  < 101 GeV) are displayed for the low  $E_{\rm T}^{\rm miss}$  and high  $E_{\rm T}^{\rm miss}$  signal regions.

low E <sub>T</sub> <sup>miss</sup> signal region	UCSB-UCSD-FNAL	ETH
ee	125	123
$\mu\mu$	166	164
$e\mu$	186	186
high E <sub>T</sub> signal region	UCSB-UCSD-FNAL	ETH
ee	75	72
	75 95	72 94

In order to adapt the  $\rm E_T^{miss}$  templates method to predict the Z background in these regions, we make minor modifications to the flavor-symmetric (FS) scaling factor K and to the binning used for the  $\rm E_T^{miss}$  templates. The FS background is estimated using  $\rm e\mu$  events in data. To improve the precision of this background estimate, the dilepton mass requirement is not applied, and we apply a scaling factor K, which is the efficiency for  $\rm e\mu$  events to fall in the Z mass window, extracted from MC. The values of K for various  $\rm E_T^{miss}$  intervals for the high- $\rm E_T^{miss}$  region (using  $p_T > (20,10)$  GeV leptons and at least 2 jets) are shown in Fig. 12. Based on this plot we choose  $K=0.13\pm0.02$  for  $\rm E_T^{miss}$  signal regions up to 200 GeV; for  $\rm E_T^{miss}$  200-300 GeV and  $\rm E_T^{miss} > 300$  GeV we inflate the uncertainty to  $K=0.13\pm0.04$  and  $K=0.13\pm0.05$ , respectively, due to the limited statistical precision. The values of K for the low- $\rm E_T^{miss}$  region (using  $p_T > (20,20)$  GeV leptons and at least 3 jets) are shown in Fig. 13. Based on this plot we choose  $K=0.14\pm0.02$  for  $\rm E_T^{miss}$  signal regions up to 200 GeV; for  $\rm E_T^{miss}$  200-300 GeV and  $\rm E_T^{miss} > 300$  GeV we inflate the uncertainty to  $K=0.14\pm0.03$  and  $K=0.14\pm0.07$ , respectively. In addition, we change the jet  $p_T$  threshold for the  $\rm E_T^{miss}$  templates jet multiplicity binning from 30 to 40 GeV, and change the  $H_T$  bins to (0.80,100,150,200,250,300,5000) GeV.

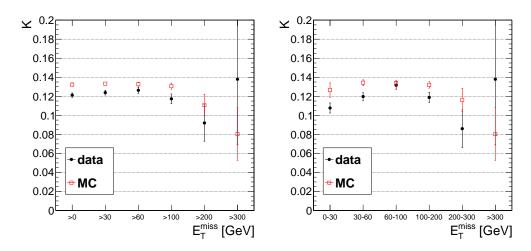


Figure 12: The efficiency for  $e\mu$  events to satisfy the dilepton mass requirement, K, in data and simulation for inclusive  $E_T^{miss}$  intervals (left) and exclusive  $E_T^{miss}$  intervals (right) for the dilepton  $p_T > (20,10)$  GeV selection with at least 2  $p_T > 40$  GeV jets (used for the high  $E_T^{miss}$  signal region).

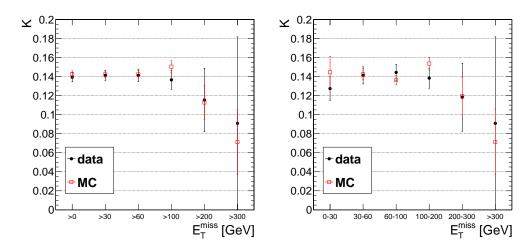


Figure 13: The efficiency for  $e\mu$  events to satisfy the dilepton mass requirement, K, in data and simulation for inclusive  $E_T^{miss}$  intervals (left) and exclusive  $E_T^{miss}$  intervals (right) for the dilepton  $p_T > (20,20)$  GeV selection with at least 3  $p_T > 40$  GeV jets (used for the low  $E_T^{miss}$  signal region).

The strategy is to select  $Z \to \ell \ell$  candidates (81 <  $m_{\ell\ell}$  < 101 GeV) with jet requirements corresponding to the low- $E_T^{miss}$  and high- $E_T^{miss}$  signal regions, and compare the observed  $E_T^{miss}$  distribution to the sum of the predictions from the Z + jets background (from the  $E_T^{miss}$  templates method based on the  $\gamma$  + jets data control sample), the flavor-symmetric background predicted from  $e\mu$  data events, and MC contributions from WZ/ZZ, as well as the rare SM processes with Z bosons ( $t\bar{t}Z$  and ZZZ, ZZW, ZWW).

The results of the low  $E_T^{miss}$  signal region are displayed in Fig. 14 and summarized in Table 13, separately for the Run2012A+B data (5.1 fb<sup>-1</sup>) and Run2012C data (4.1 fb<sup>-1</sup>). In the Run2012A+B data, we observed a  $1.6\sigma$  excess for  $E_T^{miss} > 100$  GeV, corresponding to the low  $E_T^{miss}$  signal region. However, this excess does not persist in Run2012C data, where we observe good agreement between the data and the predicted background. In the combined Run2012A+B+C data (Fig. 16 and Table 15) we observe reasonable agreement over the full  $E_T^{miss}$  range. In the  $E_T^{miss} > 100$  GeV region we observe 288 events with a predicted background of  $251 \pm 33$ , representing an excess of  $1.0\sigma$ .

The results of the high  $E_T^{miss}$  signal region are displayed in Fig. 15 and summarized in Table 14, separately for the Run2012A+B data (5.1 fb<sup>-1</sup>) and Run2012C data (4.1 fb<sup>-1</sup>). In both periods we observe good agreement between the data and predicted background over the full  $E_T^{miss}$  range. In the  $E_T^{miss} > 150$  GeV region corresponding to the high  $E_T^{miss}$  signal region in the full sample, we observe 167 events with a predicted background of  $177 \pm 25$  events, representing a deficit of  $-0.4\sigma$ .

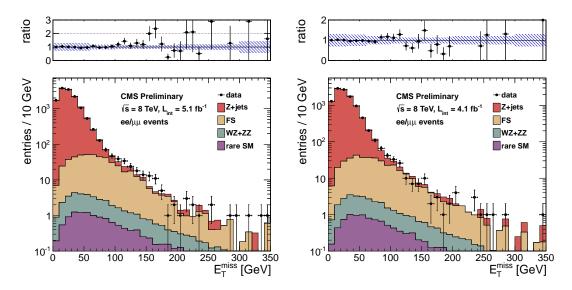


Figure 14: Results for the low  $E_T^{\rm miss}$  signal region. The results for 5.1 fb<sup>-1</sup> 2012A+B data are displayed on the left, the results for 4.1 fb<sup>-1</sup> 2012C data are displayed on the right. The observed  $E_T^{\rm miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{\rm miss}$  distributions from Z+jets , flavor-symmetric backgrounds, WZ+ZZ backgrounds, and rare SM backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 13: Results for the low  $E_T^{\rm miss}$  signal region. The results for 5.1 fb<sup>-1</sup> 2012A+B data are displayed in the top table, the results for 4.1 fb<sup>-1</sup> 2012C data are displayed in the bottom table. The total background is the sum of the Z+jets background predicted from the  $E_T^{\rm miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from  $e\mu$  events (FS bkg), the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg) and the rare SM backgrounds. All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	$\rm E_T^{miss} > 0~GeV$	$\rm E_T^{miss} > 30~GeV$	$\rm E_T^{miss} > 60~GeV$	$\rm E_T^{miss} > 100~GeV$	$\rm E_T^{miss} > 200~GeV$	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$12870 \pm 3862$	$4118 \pm 1236$	$356 \pm 107$	$27.5 \pm 8.5$	$2.6 \pm 1.1$	$0.3 \pm 0.3$
FS bkg	$451 \pm 70$	$389 \pm 61$	$256 \pm 40$	$99.1 \pm 15.8$	$6.9 \pm 1.8$	$1.0 \pm 0.6$
WZ bkg	$24.1 \pm 16.9$	$19.5 \pm 13.7$	$11.8 \pm 8.3$	$5.6 \pm 3.9$	$1.1 \pm 1.0$	$0.2 \pm 0.2$
ZZ bkg	$4.3 \pm 2.2$	$3.9 \pm 2.0$	$3.0 \pm 1.5$	$1.9 \pm 1.0$	$0.5 \pm 0.4$	$0.1 \pm 0.1$
rare SM bkg	$12.2 \pm 6.1$	$10.5 \pm 5.3$	$6.9 \pm 3.5$	$3.5 \pm 1.8$	$0.7 \pm 0.6$	$0.2 \pm 0.2$
total bkg	$13362 \pm 3862$	$4541 \pm 1238$	$634 \pm 115$	$138\pm18$	$11.8 \pm 2.5$	$1.8 \pm 0.8$
data	13412	4461	684	175	14	3
significance	$0.0\sigma$	$-0.1\sigma$	$0.4\sigma$	$1.6\sigma$	$0.5\sigma$	
	$\rm E_{T}^{miss} > 0~GeV$	$\rm E_T^{miss} > 30~GeV$	$\rm E_T^{miss} > 60~GeV$	$\rm E_T^{miss} > 100~GeV$	$\rm E_T^{miss} > 200~GeV$	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$10203 \pm 3061$	$3449 \pm 1035$	$320 \pm 97$	$20.5 \pm 6.3$	$2.1 \pm 0.6$	$0.8 \pm 0.2$
FS bkg	$356 \pm 56$	$307 \pm 48$	$201 \pm 32$	$84.5 \pm 13.5$	$7.2 \pm 1.9$	$0.6 \pm 0.4$
WZ bkg	$19.4 \pm 13.6$	$15.7 \pm 11.0$	$9.5 \pm 6.6$	$4.5 \pm 3.2$	$0.9 \pm 0.8$	$0.2 \pm 0.2$
ZZ bkg	$3.5 \pm 1.8$	$3.1 \pm 1.6$	$2.4 \pm 1.2$	$1.5 \pm 0.8$	$0.4 \pm 0.4$	$0.1 \pm 0.1$
rare SM bkg	$9.8 \pm 4.9$	$8.5 \pm 4.3$	$5.5 \pm 2.8$	$2.8 \pm 1.5$	$0.6 \pm 0.5$	$0.1 \pm 0.1$
total bkg	$10592 \pm 3062$	$3783 \pm 1036$	$538 \pm 102$	$114\pm15$	$11.2 \pm 2.2$	$1.8 \pm 0.5$
data	10587	3673	533	113	12	1
significance	$-0.0\sigma$	$-0.1\sigma$	$-0.0\sigma$	-0.0 $\sigma$		

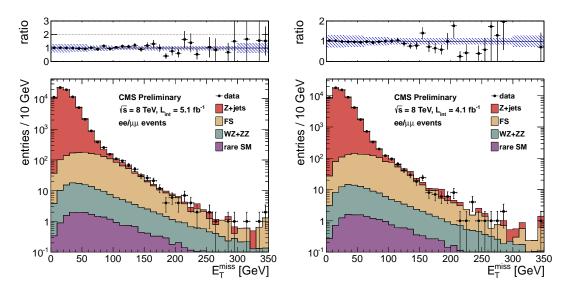


Figure 15: Results of for the high  $E_T^{\rm miss}$  signal region. The results for 5.1 fb<sup>-1</sup> 2012A+B data are displayed on the left, the results for 4.1 fb<sup>-1</sup> 2012C data are displayed on the right. The observed  $E_T^{\rm miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{\rm miss}$  distributions from Z + jets , flavor-symmetric backgrounds, WZ+ZZ backgrounds, and rare SM backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 14: Results for the high  $E_T^{miss}$  signal region. The results for 5.1 fb<sup>-1</sup> 2012A+B data are displayed in the top table, the results for 4.1 fb<sup>-1</sup> 2012C data are displayed in the bottom table. The total background is the sum of the Z+jets background predicted from the  $E_T^{miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg) and the rare SM backgrounds. All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	$E_{\mathrm{T}}^{\mathrm{miss}} > 0 \mathrm{GeV}$	$\rm E_T^{miss} > 30~GeV$	$\rm E_T^{miss} > 60~GeV$	$\rm E_T^{miss} > 100~GeV$	$\rm E_T^{miss} > 150~GeV$	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$71975 \pm 21593$	$19573 \pm 5873$	$1182 \pm 355$	$70.7 \pm 21.4$	$13.6 \pm 4.2$	$0.4 \pm 0.4$
FS bkg	$1540 \pm 255$	$1293 \pm 214$	$823 \pm 136$	$313 \pm 52$	$68.6 \pm 11.7$	$2.4 \pm 1.1$
WZ bkg	$115.9 \pm 81.2$	$91.8 \pm 64.3$	$52.1 \pm 36.5$	$22.4 \pm 15.7$	$8.9 \pm 6.3$	$0.8 \pm 0.8$
ZZ bkg	$22.6 \pm 11.3$	$20.3 \pm 10.2$	$15.1 \pm 7.6$	$8.8 \pm 4.5$	$4.3 \pm 2.3$	$0.5 \pm 0.5$
rare SM bkg	$20.6 \pm 10.3$	$17.9 \pm 9.0$	$12.0 \pm 6.1$	$6.3 \pm 3.2$	$2.8 \pm 1.5$	$0.3 \pm 0.3$
total bkg	$73674 \pm 21595$	$20996 \pm 5877$	$2084 \pm 382$	$421 \pm 59$	$98.1 \pm 14.2$	$4.5 \pm 1.5$
data	73711	20601	2121	446	95	4
significance	$0.0\sigma$	$-0.1\sigma$	$0.1\sigma$	$0.4\sigma$	-0.2 $\sigma$	
	$\rm E_T^{miss} > 0~GeV$	$\rm E_T^{miss} > 30~GeV$	$\rm E_T^{miss} > 60~GeV$	$\rm E_T^{miss} > 100~GeV$	$\rm E_T^{miss} > 150~GeV$	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$57206 \pm 17163$	$15965 \pm 4790$	$1040 \pm 313$	$68.3 \pm 21.5$	$17.5 \pm 6.0$	$1.4 \pm 0.4$
FS bkg	$1206 \pm 200$	$1015 \pm 168$	$649 \pm 108$	$244 \pm 41$	$48.1 \pm 8.3$	$1.3 \pm 0.6$
WZ bkg	$93.2 \pm 65.3$	$73.8 \pm 51.7$	$41.9 \pm 29.4$	$18.0 \pm 12.7$	$7.1 \pm 5.1$	$0.7 \pm 0.7$
ZZ bkg	$18.2 \pm 9.1$	$16.3 \pm 8.2$	$12.1 \pm 6.1$	$7.1 \pm 3.6$	$3.4 \pm 1.8$	$0.4 \pm 0.4$
rare SM bkg	$16.6 \pm 8.3$	$14.4 \pm 7.2$	$9.7 \pm 4.9$	$5.1 \pm 2.6$	$2.3 \pm 1.2$	$0.3 \pm 0.3$
total bkg	$58541 \pm 17164$	$17084 \pm 4793$	$1753 \pm 332$	$343 \pm 48$	$\textbf{78.4} \pm \textbf{11.7}$	$4.0 \pm 1.1$
data	58478	16494	1690	321	72	1
uata	30470	10454	1070	321	/-	1

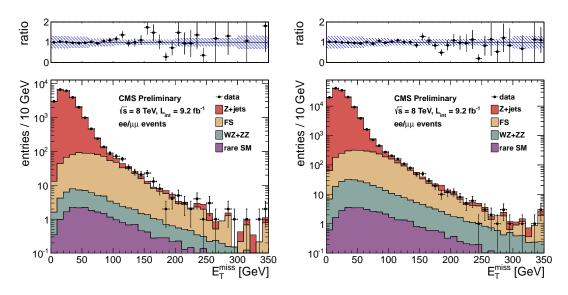


Figure 16: Results of for the low  $E_T^{miss}$  (left) and high  $E_T^{miss}$  (right) signal regions for the full 9.2 fb<sup>-1</sup> sample. The observed  $E_T^{miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{miss}$  distributions from Z+jets, flavor-symmetric backgrounds, WZ+ZZ backgrounds, and rare SM backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 15: Results for the low  $E_T^{\rm miss}$  signal region (top table) and high  $E_T^{\rm miss}$  signal region (bottom table). The total background is the sum of the  $Z+{\rm jets}$  background predicted from the  $E_T^{\rm miss}$  templates method ( $Z+{\rm jets}$  bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg) and the rare SM backgrounds. All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	$E_{\mathrm{T}}^{\mathrm{miss}} > 0 \mathrm{GeV}$	$\rm E_{T}^{miss} > 30~GeV$	$\rm E_T^{miss} > 60~GeV$	$\rm E_T^{miss} > 100~GeV$	$\rm E_T^{miss} > 200~GeV$	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$23072 \pm 6922$	$7566 \pm 2270$	$674 \pm 203$	$47.9 \pm 14.6$	$4.7 \pm 1.6$	$1.1 \pm 0.4$
FS bkg	$807 \pm 126$	$695 \pm 108$	$457 \pm 71$	$184 \pm 29$	$14.1 \pm 3.4$	$1.5 \pm 0.9$
WZ bkg	$43.5 \pm 30.5$	$35.1 \pm 24.6$	$21.3 \pm 14.9$	$10.0 \pm 7.1$	$1.9 \pm 1.7$	$0.4 \pm 0.4$
ZZ bkg	$7.8 \pm 3.9$	$7.0 \pm 3.6$	$5.4 \pm 2.8$	$3.3 \pm 1.8$	$0.9 \pm 0.8$	$0.2 \pm 0.2$
rare SM bkg	$22.0 \pm 11.0$	$19.0 \pm 9.6$	$12.4 \pm 6.3$	$6.3 \pm 3.3$	$1.3 \pm 1.1$	$0.3 \pm 0.3$
total bkg	$23952 \pm 6923$	$8323 \pm 2273$	$1170 \pm 216$	$251 \pm 33$	$22.8 \pm 4.4$	$3.5 \pm 1.1$
data	23999	8134	1217	288	26	4
significance	$0.0\sigma$	$-0.1\sigma$	$0.2\sigma$	$1.0\sigma$	$0.5\sigma$	
	$E_T^{miss} > 0 \text{ GeV}$	$E_{T}^{miss} > 30 \text{ GeV}$	$E_T^{miss} > 60 \text{ GeV}$	$E_{T}^{miss} > 100 \text{ GeV}$	$E_{T}^{miss} > 150 \text{ GeV}$	$E_{T}^{miss} > 300 \text{ GeV}$
Z + jets bkg	$129184 \pm 38756$	$35565 \pm 10670$	$2225 \pm 668$	$140 \pm 43$	$31.6 \pm 10.1$	$1.7 \pm 0.6$
Z + jets bkg FS bkg	$129184 \pm 38756 \\ 2746 \pm 454$	$35565 \pm 10670$ $2308 \pm 382$	$   \begin{array}{c}     2225 \pm 668 \\     1471 \pm 243   \end{array} $	$140 \pm 43$ 557 ± 92	$31.6 \pm 10.1$ $117 \pm 20$	$1.7 \pm 0.6$ $3.7 \pm 1.6$
. 5						
FS bkg	$2746 \pm 454$	$2308 \pm 382$	$1471 \pm 243$	$557 \pm 92$	$117 \pm 20$	$3.7 \pm 1.6$
FS bkg WZ bkg	$2746 \pm 454$ $209.2 \pm 146.4$	$2308 \pm 382$ $165.6 \pm 115.9$	$   \begin{array}{c}     1471 \pm 243 \\     94.1 \pm 65.9   \end{array} $	$557 \pm 92$ $40.5 \pm 28.4$	$117 \pm 20 \\ 16.0 \pm 11.3$	$3.7 \pm 1.6$ $1.5 \pm 1.5$
FS bkg WZ bkg ZZ bkg	$2746 \pm 454$ $209.2 \pm 146.4$ $40.8 \pm 20.4$	$2308 \pm 382$ $165.6 \pm 115.9$ $36.6 \pm 18.4$	$   \begin{array}{c}     1471 \pm 243 \\     94.1 \pm 65.9 \\     27.2 \pm 13.7   \end{array} $	$557 \pm 92$ $40.5 \pm 28.4$ $16.0 \pm 8.1$	$ 117 \pm 20  16.0 \pm 11.3  7.7 \pm 4.1 $	$3.7 \pm 1.6$ $1.5 \pm 1.5$ $0.9 \pm 0.9$
FS bkg WZ bkg ZZ bkg rare SM bkg	$2746 \pm 454$ $209.2 \pm 146.4$ $40.8 \pm 20.4$ $37.2 \pm 18.7$	$2308 \pm 382$ $165.6 \pm 115.9$ $36.6 \pm 18.4$ $32.2 \pm 16.2$	$1471 \pm 243$ $94.1 \pm 65.9$ $27.2 \pm 13.7$ $21.7 \pm 10.9$	$557 \pm 92$ $40.5 \pm 28.4$ $16.0 \pm 8.1$ $11.4 \pm 5.8$	$117 \pm 20$ $16.0 \pm 11.3$ $7.7 \pm 4.1$ $5.1 \pm 2.8$	$3.7 \pm 1.6$ $1.5 \pm 1.5$ $0.9 \pm 0.9$ $0.6 \pm 0.6$

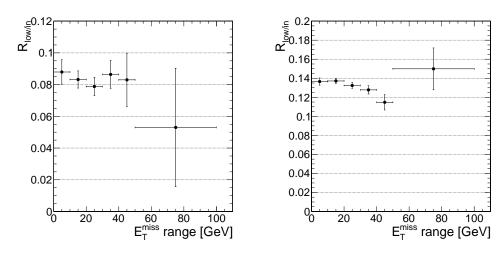


Figure 17: The ratio  $R_{low/in}$  of low mass (15 <  $m_{\ell\ell}$  < 70 GeV) to on-Z (81 <  $m_{\ell\ell}$  < 101 GeV) events, as a function of the  $E_{\rm T}^{\rm miss}$  requirement. The left plot corresponds to the low  $E_{\rm T}^{\rm miss}$  signal region (2  $p_T$  > 20 GeV leptons with at least 3 jets), the right plot corresponds to the high  $E_{\rm T}^{\rm miss}$  signal region ( $p_T$  > (20,10) GeV leptons with at least 2 jets).

Given a prediction for the Z background in the Z mass window, we can extrapolate to estimate the low mass  $\gamma^*/Z$  contribution. We extract the ratio  $R_{low/in}$  of low-mass to on-shell Z events from data, correcting for the contribution from flavor-symmetric backgrounds, according to:

$$R_{low/in} = (N_{SF}^{low} - N_{OF}^{low}) / (N_{SF}^{in} - N_{OF}^{in}).$$
 (2)

Here SF and OF refer to the same-flavor and opposite-flavor data yields in the "low" ( $15 < m_{\ell\ell} < 70$  GeV) and "in" ( $81 < m_{\ell\ell} < 101$  GeV) dilepton mass regions. To predict the low-mass  $\gamma^*/Z$  contribution, we scale the total predicted Z background by this quantity, which is displayed in Fig. 17. Here we measure  $R_{low/in}$  in several  $E_{\rm T}^{\rm miss}$  regions, and assess the uncertainty based on the variation with respect to  $E_{\rm T}^{\rm miss}$ . Based on this plot we choose  $R_{low/in} = 0.08 \pm 0.02$  for the low  $E_{\rm T}^{\rm miss}$  signal region and  $R_{low/in} = 0.13 \pm 0.03$  for the high  $E_{\rm T}^{\rm miss}$  region.

We find the following results for the first  $5.1~{\rm fb^{-1}}$ . For the low  $E_{\rm T}^{\rm miss}$  signal region, the total predicted Z background in the Z mass region is  $39\pm9.6$  (sum of the Z + jets , WZ+ZZ, and rare SM backgrounds from Table 13,  $E_{\rm T}^{\rm miss}$  > 100 GeV region), resulting in a  $\gamma^*$ /Z prediction of  $3.1\pm1.1$  events. For the high  $E_{\rm T}^{\rm miss}$  signal region, the total predicted Z background in the Z mass region is  $30\pm8.1$  (sum of the Z + jets , WZ+ZZ, and rare SM backgrounds from Table 14,  $E_{\rm T}^{\rm miss}$  > 150 GeV region), resulting in a  $\gamma^*$ /Z prediction of  $3.8\pm1.4$  events. Hence we summarize the  $5.1~{\rm fb^{-1}}$  results as:

• Low E<sub>T</sub> signal region

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- Total predicted background in Z mass region:  $138 \pm 18$  events
- Total observed yield in Z mass region: 175 events  $(+1.6\sigma)$ 
  - Low-mass  $\gamma^*/Z$  prediction:  $3.1 \pm 1.1$  events
- High E<sup>miss</sup> signal region
  - Total predicted background in Z mass region:  $98 \pm 14$  events
- Total observed yield in Z mass region: 95 events  $(-0.2\sigma)$ 
  - Low-mass  $\gamma^*/Z$  prediction:  $3.8 \pm 1.4$  events

We find the following results for the full  $9.2~{\rm fb^{-1}}$ . For the low  $E_{\rm T}^{\rm miss}$  signal region, the total predicted Z background in the Z mass region is  $68\pm17$  (sum of the Z + jets , WZ+ZZ, and rare SM backgrounds from Table 15,  $E_{\rm T}^{\rm miss}$  > 100 GeV region), resulting in a  $\gamma^*$ /Z prediction of  $5.4\pm1.9$  events. For the high  $E_{\rm T}^{\rm miss}$  signal region, the total predicted Z background in the Z mass region is  $60\pm16$  (sum of the Z + jets , WZ+ZZ, and rare SM backgrounds from Table 15,  $E_{\rm T}^{\rm miss}$  > 150 GeV region), resulting in a  $\gamma^*$ /Z prediction of  $7.9\pm2.7$  events. Hence we summarize the  $9.2~{\rm fb^{-1}}$  results as:

- Low E<sub>T</sub> signal region
  - Total predicted background in Z mass region:  $251 \pm 33$  events
  - Total observed yield in Z mass region: 288 events  $(+1.0\sigma)$
- Low-mass  $\gamma^*/Z$  prediction:  $5.4 \pm 1.9$  events
- $_{\rm 381}$   $\qquad$   $\bullet$  High  $E_{\rm T}^{\rm miss}$  signal region
  - Total predicted background in Z mass region:  $177 \pm 25$  events
  - Total observed yield in Z mass region: 167 events  $(-0.4\sigma)$
- Low-mass  $\gamma^*/Z$  prediction:  $7.9 \pm 2.7$  events

# $_{\mathtt{385}}$ B Results in the ee and $\mu\mu$ Channels

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In this section we provide the results of the inclusive and targeted searches, separately in the ee and  $\mu\mu$  channels. The  $E_T^{miss}$  distributions in the inclusive analysis for the ee channel are displayed in Fig. 18 and the signal region yields are presented in Table 16. The  $E_T^{miss}$  distributions in the inclusive analysis for the  $\mu\mu$  channel are displayed in Fig. 19 and the signal region yields are presented in Table 17.

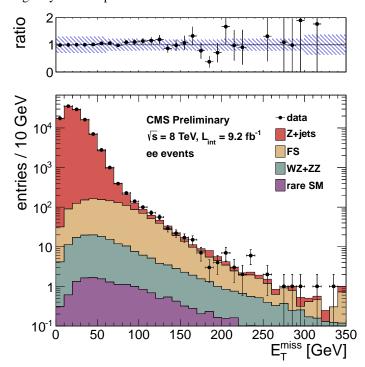


Figure 18: Results of the inclusive analysis in the ee channel. The observed  $E_T^{miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{miss}$  distributions from Z+jets, flavor-symmetric backgrounds, and WZ+ZZ backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 16: Summary of results in the inclusive analysis in the ee channel. The total background is the sum of the Z+jets background predicted from the  $E_T^{miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), and the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg). All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	E <sub>T</sub> <sup>miss</sup> 0–30 GeV	E <sub>T</sub> <sup>miss</sup> 30–60 GeV	E <sub>T</sub> <sup>miss</sup> 60–100 GeV	E <sub>T</sub> <sup>miss</sup> 100–200 GeV	$\mathrm{E_{T}^{miss}}$ 200–300 GeV	$\rm E_{T}^{miss} > 300~GeV$
Z + jets bkg	$82295 \pm 24694$	$25206 \pm 7570$	$1204 \pm 371$	$54.8 \pm 40.1$	$3.3 \pm 1.1$	$0.6 \pm 0.2$
FS bkg	$214 \pm 40$	$411 \pm 77$	$426 \pm 80$	$218 \pm 41$	$10.2 \pm 3.3$	$1.3 \pm 0.8$
WZ bkg	$26.9 \pm 18.9$	$45.7 \pm 32.0$	$33.4 \pm 23.4$	$18.3 \pm 12.8$	$2.6 \pm 1.8$	$0.8 \pm 0.8$
ZZ bkg	$3.3 \pm 1.6$	$6.9 \pm 3.5$	$7.4 \pm 3.7$	$7.0 \pm 3.5$	$1.5 \pm 0.8$	$0.4 \pm 0.4$
rare SM bkg	$2.2 \pm 1.1$	$4.8 \pm 2.4$	$4.5 \pm 2.3$	$4.2 \pm 2.1$	$0.8 \pm 0.4$	$0.3 \pm 0.3$
total bkg	$82542 \pm 24694$	$25675 \pm 7570$	$1675 \pm 380$	$303 \pm 59$	$18.5 \pm 4.0$	$3.4 \pm 1.3$
data	82228	25989	1758	325	23	2
significance	$-0.0\sigma$	$0.0\sigma$	$0.2\sigma$	$0.4\sigma$	$0.7\sigma$	$-0.7\sigma$

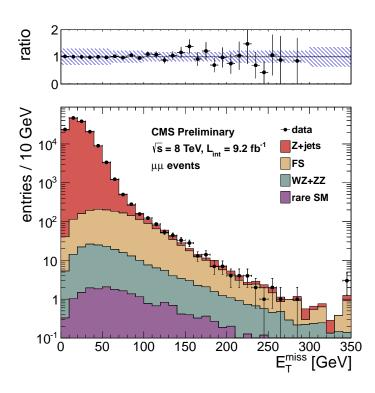


Figure 19: Results of the inclusive analysis in the  $\mu\mu$  channel. The observed  $E_T^{miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{miss}$  distributions from Z+jets, flavor-symmetric backgrounds, and WZ+ZZ backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 17: Summary of results in the inclusive analysis in the  $\mu\mu$  channel. The total background is the sum of the Z+jets background predicted from the  $E_T^{miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), and the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg). All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	E <sub>T</sub> <sup>miss</sup> 0–30 GeV	E <sub>T</sub> <sup>miss</sup> 30–60 GeV	E <sub>T</sub> <sup>miss</sup> 60–100 GeV	E <sub>T</sub> <sup>miss</sup> 100–200 GeV	E <sub>T</sub> <sup>miss</sup> 200–300 GeV	$\rm E_T^{miss} > 300~GeV$
Z + jets bkg	$107821 \pm 32348$	$32792 \pm 9840$	$1541 \pm 465$	$68.3 \pm 27.7$	$4.1 \pm 1.3$	$0.7 \pm 0.3$
FS bkg	$274 \pm 51$	$526 \pm 98$	$545 \pm 102$	$279 \pm 52$	$13.1 \pm 4.2$	$1.7 \pm 1.1$
WZ bkg	$34.5 \pm 24.2$	$59.1 \pm 41.4$	$42.0 \pm 29.4$	$22.9 \pm 16.1$	$3.1 \pm 2.2$	$0.8 \pm 0.8$
ZZ bkg	$4.3 \pm 2.2$	$9.2 \pm 4.6$	$10.0 \pm 5.0$	$9.2 \pm 4.6$	$1.7 \pm 0.9$	$0.6 \pm 0.6$
rare SM bkg	$2.8 \pm 1.4$	$5.9 \pm 2.9$	$5.9 \pm 3.0$	$4.9 \pm 2.5$	$0.8 \pm 0.4$	$0.3 \pm 0.3$
total bkg	$108136 \pm 32348$	$33393 \pm 9840$	$2144 \pm 477$	$385 \pm 62$	$22.9 \pm 5.0$	$4.1 \pm 1.5$
data	108565	32964	2163	408	19	3
significance	$0.0\sigma$	$-0.0\sigma$	$0.0\sigma$	$0.4\sigma$	$-0.6\sigma$	$-0.5\sigma$

The  $E_T^{miss}$  distributions in the targeted analysis for the ee channel are displayed in Fig. 20 and the signal region yields are presented in Table 18. The  $E_T^{miss}$  distributions in the inclusive analysis for the  $\mu\mu$  channel are displayed in Fig. 21 and the signal region yields are presented in Table 19.

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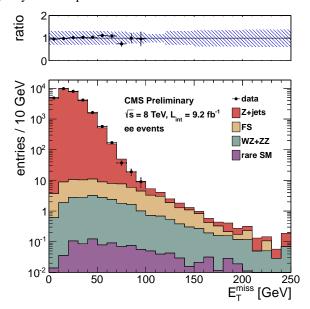


Figure 20: Results of the targeted analysis in the ee channel. The observed  $E_T^{\rm miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{\rm miss}$  distributions from  $Z+{\rm jets}$ , flavor-symmetric backgrounds, and WZ+ZZ backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 18: Summary of results in the targeted analysis in the ee channel. The total background is the sum of the Z+jets background predicted from the  $E_T^{miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), and the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg). All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	$\mathrm{E_{T}^{miss}}$ 0–30 GeV	E <sub>T</sub> <sup>miss</sup> 30–60 GeV	E <sub>T</sub> <sup>miss</sup> 60–80 GeV	E <sub>T</sub> <sup>miss</sup> 80–100 GeV
Z + jets bkg	$22806 \pm 6842$	$6065 \pm 1820$	$188 \pm 57$	$17.9 \pm 6.2$
FS bkg	$17.9 \pm 3.6$	$21.5 \pm 4.3$	$11.5 \pm 2.4$	$7.8 \pm 1.7$
WZ bkg	$4.2 \pm 2.9$	$6.8 \pm 4.7$	$3.0 \pm 2.1$	$1.8 \pm 1.2$
ZZ bkg	$1.0 \pm 0.5$	$1.7 \pm 0.9$	$1.0 \pm 0.5$	$0.8 \pm 0.4$
rare SM bkg	$0.2 \pm 0.1$	$0.3 \pm 0.1$	$0.2 \pm 0.1$	$0.1 \pm 0.1$
total bkg	$22830 \pm 6842$	$6095 \pm 1820$	$204 \pm 58$	$28.4 \pm 6.5$
data	22581	6344	206	28
significance	$-0.0\sigma$	$0.1\sigma$	$0.0\sigma$	$-0.0\sigma$
	$\mathrm{E_{T}^{miss}}$ 100–120 GeV	E <sub>T</sub> <sup>miss</sup> 120–150 GeV	E <sub>T</sub> <sup>miss</sup> 150–200 GeV	$\rm E_{T}^{miss} > 200~GeV$
Z + jets bkg	$3.1 \pm 1.1$	$1.4 \pm 1.4$	$0.7 \pm 0.7$	$0.4 \pm 0.1$
FS bkg	$4.9 \pm 1.1$	$3.0 \pm 0.7$	$1.1 \pm 0.5$	$0.2 \pm 0.1$
WZ bkg	$0.9 \pm 0.6$	$0.7 \pm 0.5$	$0.4 \pm 0.3$	$0.2 \pm 0.2$
ZZ bkg	$0.4 \pm 0.2$	$0.4 \pm 0.2$	$0.4 \pm 0.2$	$0.3 \pm 0.3$
rare SM bkg	$0.1 \pm 0.0$	$0.1 \pm 0.0$	$0.1 \pm 0.1$	$0.1 \pm 0.1$
total bkg	$9.4 \pm 1.7$	$5.6 \pm 1.7$	$2.7 \pm 0.9$	$1.1 \pm 0.4$
data	?	?	?	?
significance	?	?	?	?

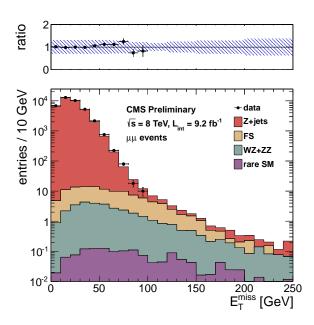


Figure 21: Results of the targeted analysis in the  $\mu\mu$  channel. The observed  $E_T^{miss}$  distribution (black points) is compared with the sum of the predicted  $E_T^{miss}$  distributions from  $Z+{\rm jets}$ , flavor-symmetric backgrounds, and WZ+ZZ backgrounds. The ratio of observed to predicted yields in each bin is indicated. The error bars indicate the statistical uncertainty in the data and the shaded band indicates the total background uncertainty.

Table 19: Summary of results in the targeted analysis in the  $\mu\mu$  channel. The total background is the sum of the Z+jets background predicted from the  $E_T^{miss}$  templates method (Z+jets bkg), the flavor-symmetric background predicted from e $\mu$  events (FS bkg), and the WZ and ZZ backgrounds predicted from MC (WZ bkg and ZZ bkg). All uncertainties include both the statistical and systematic components. The Gaussian significance of the deviation between the data and total background is indicated for signal regions with at least 20 observed events.

	E <sub>T</sub> <sup>miss</sup> 0–30 GeV	E <sub>T</sub> <sup>miss</sup> 30–60 GeV	E <sub>T</sub> <sup>miss</sup> 60–80 GeV	E <sub>T</sub> <sup>miss</sup> 80–100 GeV
Z + jets bkg	$30017 \pm 9005$	$7950 \pm 2385$	$245 \pm 74$	$23.0 \pm 7.2$
FS bkg	$23.0 \pm 4.7$	$27.5 \pm 5.6$	$14.7 \pm 3.0$	$9.9 \pm 2.1$
WZ bkg	$5.3 \pm 3.7$	$9.2 \pm 6.4$	$3.6 \pm 2.5$	$2.1 \pm 1.5$
ZZ bkg	$1.1 \pm 0.6$	$2.4 \pm 1.2$	$1.2 \pm 0.6$	$1.0 \pm 0.5$
rare SM bkg	$0.2 \pm 0.1$	$0.4 \pm 0.2$	$0.2 \pm 0.1$	$0.2 \pm 0.1$
total bkg	$30046 \pm 9005$	$7990 \pm 2385$	$264 \pm 74$	$36.2 \pm 7.6$
data	29904	8132	304	28
significance	$-0.0\sigma$	$0.1\sigma$	$0.5\sigma$	$-0.9\sigma$
	E <sub>T</sub> 100–120 GeV	E <sub>T</sub> <sup>miss</sup> 120–150 GeV	E <sub>T</sub> 150–200 GeV	$E_{\mathrm{T}}^{\mathrm{miss}} > 200 \mathrm{GeV}$
Z + jets bkg	$3.9 \pm 1.2$	$1.7 \pm 1.2$	$0.9 \pm 0.6$	$0.5 \pm 0.1$
Z + jets bkg FS bkg	$3.9 \pm 1.2$ $6.3 \pm 1.4$	$1.7 \pm 1.2$ $3.9 \pm 0.9$	$0.9 \pm 0.6$ $1.4 \pm 0.6$	$0.5 \pm 0.1 \\ 0.2 \pm 0.2$
FS bkg	$6.3 \pm 1.4$	$3.9 \pm 0.9$	$1.4 \pm 0.6$	$0.2 \pm 0.2$
FS bkg WZ bkg	$6.3 \pm 1.4$ $1.2 \pm 0.9$	$3.9 \pm 0.9$ $0.9 \pm 0.6$	$1.4 \pm 0.6$ $0.6 \pm 0.4$	$0.2 \pm 0.2 \\ 0.3 \pm 0.3$
FS bkg WZ bkg ZZ bkg	$6.3 \pm 1.4$ $1.2 \pm 0.9$ $0.6 \pm 0.3$	$3.9 \pm 0.9$ $0.9 \pm 0.6$ $0.7 \pm 0.4$	$\begin{array}{c} 1.4 \pm 0.6 \\ 0.6 \pm 0.4 \\ 0.4 \pm 0.2 \end{array}$	$0.2 \pm 0.2$ $0.3 \pm 0.3$ $0.4 \pm 0.4$
FS bkg WZ bkg ZZ bkg rare SM bkg	$6.3 \pm 1.4 \\ 1.2 \pm 0.9 \\ 0.6 \pm 0.3 \\ 0.1 \pm 0.0$	$3.9 \pm 0.9$ $0.9 \pm 0.6$ $0.7 \pm 0.4$ $0.2 \pm 0.1$	$1.4 \pm 0.6 \\ 0.6 \pm 0.4 \\ 0.4 \pm 0.2 \\ 0.1 \pm 0.1$	$0.2 \pm 0.2$ $0.3 \pm 0.3$ $0.4 \pm 0.4$ $0.1 \pm 0.1$

# $^{_{393}}$ C $\mathrm{E_{T}^{miss}}$ Templates from $\gamma + \mathrm{jets}$ Sample

In this section we display the templates used for the inclusive analysis (red) and the targeted analysis (blue).

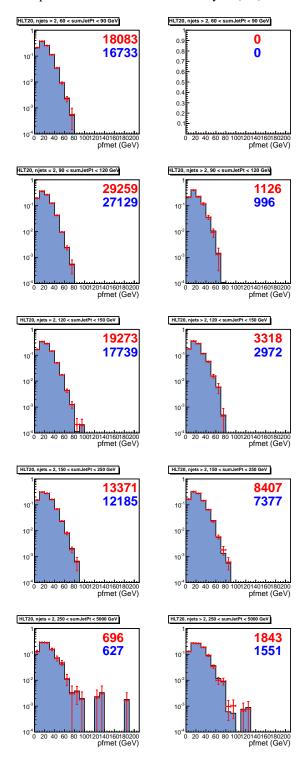


Figure 22:  $E_T^{miss}$  templates collected with the  $p_T > 22$  GeV single photon trigger. The number in red (blue) indicates the number of entries in the template for the inclusive (targeted) analysis.

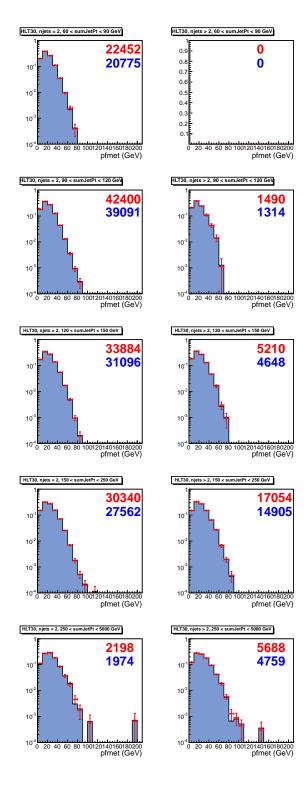


Figure 23:  $E_T^{miss}$  templates collected with the  $p_T > 36$  GeV single photon trigger. The number in red (blue) indicates the number of entries in the template for the inclusive (targeted) analysis.

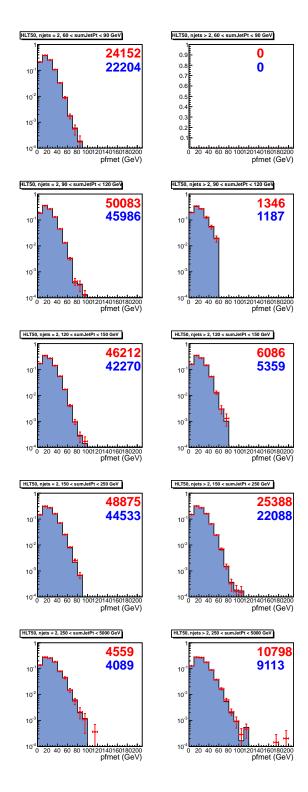


Figure 24:  $E_T^{miss}$  templates collected with the  $p_T > 50$  GeV single photon trigger. The number in red (blue) indicates the number of entries in the template for the inclusive (targeted) analysis.

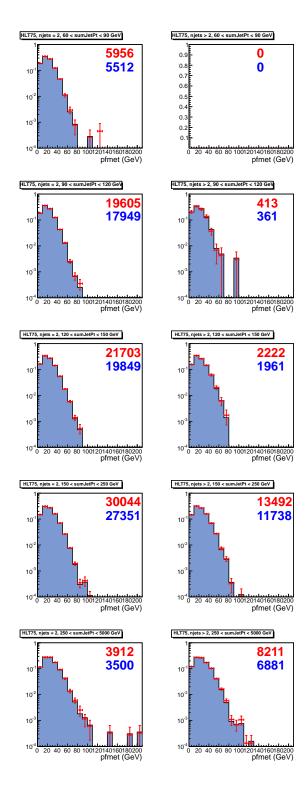


Figure 25:  $E_T^{miss}$  templates collected with the  $p_T > 75$  GeV single photon trigger. The number in red (blue) indicates the number of entries in the template for the inclusive (targeted) analysis.

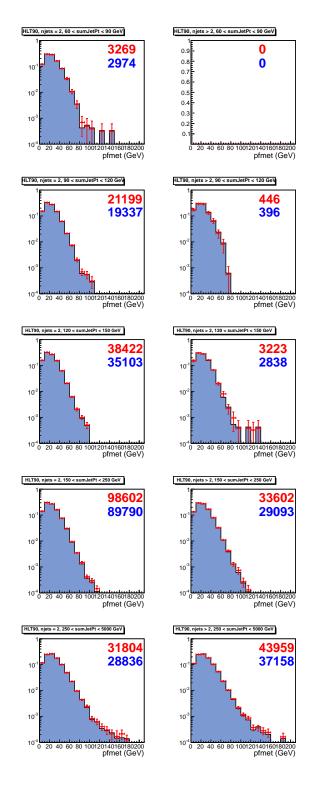


Figure 26:  $E_T^{miss}$  templates collected with the  $p_T > 90$  GeV single photon trigger. The number in red (blue) indicates the number of entries in the template for the inclusive (targeted) analysis.