

# Notes on 'Simplicial and Dendroidal Homotopy Theory'

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## Part I

# Simplicial and Dendroidal Homotopy Theory

## 1 Operads

### 1.1 Operads

**Definition 1 Operad:** An operad  $P$  consists of a set of colours  $C$  and for each  $n \geq 0$  and sequence  $c_1, \dots, c_n, c$  of colours in  $C$ , a set  $P(c_1, \dots, c_n; c)$  of operations, thought of as taking  $n$  inputs of colours  $c_1, \dots, c_n$  and with output of colour  $c$ . Moreover there are the structure maps

- $\forall c \in C, \exists 1_c \in P(c; c)$ ,
- For  $\sigma \in \Sigma_n$  a map

$$\sigma^* : P(c_1, \dots, c_n; c) \rightarrow P(c_{\sigma(1)}, \dots, c_{\sigma(n)}; c)$$

denoted  $\sigma^* \circ p = p \circ \sigma$

- For any sequence  $c_1, \dots, c_n$  and  $n$ -tuple of sequences  $d_1^i, \dots, d_{k_i}^i$ , a composition

•

$$\gamma : P(c_1, \dots, c_n; c) \times \prod_{i=1}^n P(d_1^i, \dots, d_{k_i}^i; c_i) \rightarrow P(d_1^1, \dots, d_{k_n}^n; c)$$

which is written as  $\gamma(p, q_1, \dots, q_n) \rightarrow p \circ (q_1, \dots, q_n)$

and there are further requirements on the structure maps:

- $\forall p \in P(c_1, \dots, c_n; c), \gamma(1_c, p) = p$ ,
- $\forall p \in P(c_1, \dots, c_n; c), \gamma(p, 1_{c_1}, \dots, 1_{c_n}) = p$

There are some classical notation we must be weary of and state here. Let  $P$  be an operad with a singleton colour set, i.e.  $C = \{*\}$ . Then we can write  $P(c_1, \dots, c_n; c) = P(c, \dots, c; c) =: P(n)$ . There is an obvious formulation for the composition in this case:

$$P(n) \times \prod_{i=1}^n P(k_i) \rightarrow P(k_1 + \dots + k_n)$$

In this case we say  $P$  is uncoloured. If  $C \notin \{\phi, \{*\}\}$  then we say  $P$  is a coloured operad. We can then clearly see that

$$\begin{array}{ccc} \text{Monoids} & \hookrightarrow & \text{Categories} \\ \downarrow & & \downarrow \\ \text{Uncoloured Operads} & \hookrightarrow & \text{Operads} \end{array}$$

The definition of an operad allows for  $n = 0$  in  $P(c_1, \dots, c_n; c)$ , which we define as  $P(-; c)$ . The elements of  $P(-; c)$  are called the constants of colour  $c$ , and an operad with  $P(-; c) = \{*_c\}$  is called unital. An operad  $P$  is open if there are no constants for any colour, i.e. its interior  $P^o$  (the set of constants) is empty.

The most fundamental examples of operads are **Com** and **Ass**:

- **Com** is the commutative operad with  $\mathbf{Com}(n) = \{*\}$ ,
- **Ass** is the associative operad with  $\mathbf{Ass}(n) = \Sigma_n$
- **Tree**<sup>pl</sup> is the planar tree operad. The  $n$ -ary operations here are the set of planar rooted trees with  $n$  numbered leaves.

$$\begin{aligned}
\mathbf{Tree}^{pl}(6) \ni \hat{T} &= \begin{array}{c} \begin{array}{ccccc} & 5 & & 3 & \\ & \diagdown & & \diagup & \\ 6 & & & & 2 & 1 & 3 \\ & \diagup & & \diagdown & \\ & & & & \end{array} \\ | \\ \end{array} \\
\mathbf{Tree}^{pl}(2) \ni T &= \begin{array}{c} \begin{array}{cc} 2 & 1 \\ & \diagdown \diagup \\ & \end{array} \\ | \\ \end{array}, \mathbf{Tree}^{pl}(3) \ni T_1 = \begin{array}{c} \begin{array}{ccc} & 1 & 2 \\ & \diagdown \diagup & \\ 3 & & \end{array} \\ | \\ \end{array}, \mathbf{Tree}^{pl}(4) \ni T_2 = \begin{array}{c} \begin{array}{cccc} 1 & 2 & & 3 & 4 \\ & \diagdown \diagup & & \diagdown \diagup & \\ & & & \end{array} \\ | \\ \end{array} \\
\mathbf{Tree}^{pl}(7) \ni \tilde{T} &= \begin{array}{c} \begin{array}{cc} T_2 & T_1 \\ & \diagdown \diagup \\ & \end{array} \\ | \\ \end{array}
\end{aligned}$$

Then in fact  $\gamma(T, T_1, T_2) = \tilde{T}$ . The operation of composition on the operad  $\mathbf{Tree}^{pl}$  is computed as  $\gamma(T \in \mathbf{Tree}^{pl}(n), T_1 \in \mathbf{Tree}^{pl}(k_1), \dots, T_n \in \mathbf{Tree}^{pl}(k_n)) = \hat{T}$  where  $\hat{T} \in \mathbf{Tree}^{pl}(k_1 + \dots + k_n)$  is the original  $T$  but with the subtree  $T_i$  grafted onto the leaf  $i$  for all choices of  $i$ .

**Definition 2 Topological Operad:** A topological operad is an operad  $P$  where each set of operations  $P(c_1, \dots, c_n; c)$  is equipped with some topology and all the structure maps are continuous with respect to this topology.

The most basic form of a topological operad is the little  $d$ -cubs operad  $\mathbf{E}_d$ . The space  $\mathbf{E}_d(n)$  is the space of  $n$  numbered  $d$ -dimensional cubes inside the  $d$ -dimensional unit cube  $[0, 1]^d$ . The operadic composition between  $p \in \mathbf{E}_d(n)$  with operations  $q_1, \dots, q_n$  is given by substituting the rescaled  $q_i$  into the  $i$ th cube of  $p$ . Note that this is really just a topological analogue to the planar tree operad  $\mathbf{Tree}^{pl}$ , where instead of grafting trees onto leaves we are scaling and embedding cubes in some smooth way.

More specifically, a point in  $\mathbf{E}_d(n)$  is an  $n$ -tuple of embeddings  $f_1, \dots, f_n : [0, 1]^d \rightarrow [0, 1]^d$  satisfying:

- Each  $f_i$  is the composition of  $d$  affine embeddings,
- The interiors of the cubes embedded by  $f_i$  are mutually disjoint

We can now observe that operads form a category in a very natural fashion. Given two operads  $P, Q$ , a morphism  $\varphi : P \rightarrow Q$  is a function  $f : C_P \rightarrow C_Q$  on operadic colours and for each sequence  $c_1, \dots, c_n; c$  of  $C_P$ , we have

$$\varphi_{(c_1, \dots, c_n; c)} : P(c_1, \dots, c_n; c) \rightarrow Q(f(c_1), \dots, f(c_n); f(c))$$

that is compatible in the natural way with  $\Sigma_n$  actions

## 1.2 Algebras for Operads

**Definition 3 Operadic Algebras:** Let  $P$  be an operad. A  $P$ -algebra  $A$  is a family of sets  $\{A_c\}_{c \in C_P}$  together with maps

$$P(c_1, \dots, c_n; c) \times A_{c_1} \times \dots \times A_{c_n} \rightarrow A_c$$

written  $(p, a_1, \dots, a_n) \rightarrow A(p)(a_1, \dots, a_n)$ . These maps also satisfy:

- $1_c(a) = a \quad \forall a \in A_c$
- $\sigma \in \Sigma_n, a_i \in A_{c_i}, \sigma^* p(a_{\sigma(1)}, \dots, a_{\sigma(n)}) = p(a_1, \dots, a_n)$

**Definition 4 Morphisms of Operadic Algebras:** Let  $A, B$  be two  $P$ -algebras. A morphism  $f : A \rightarrow B$  is a family of maps

$$f_c : A_c \rightarrow B_c$$

which are compatible:

$$f_c(A(p)(a_1, \dots, a_n)) = B(p)(f_{c_1}(a_1), \dots, f_{c_n}(a_n))$$

**Definition 5 Category of  $P$ -Algebras:** Let  $P$  be an operad. Then we have a category of  $P$ -algebras  $\text{Alg}_P$  with:

- $\text{ObAlg}_P = \{P\text{-algebras } A\}$
- $\text{Hom}_{\text{Alg}_P}(A, B) = \{f : A \rightarrow B : f \text{ is a morphism of algebras}\}$

We can now see some examples of operadic algebras. A **Com** algebra is a set  $A$  together with a map  $\mu_n : A^{\times n} \rightarrow A$  for each  $n \geq 0$ . We can then verify that the category of algebras over the commutative operad,  $\text{Alg}_{\text{Com}}$  is the category of commutative monoids. In a similar way  $\text{Alg}_{\text{Ass}}$  is the category of associative monoids.

Consider the little- $d$  cubes operad  $\mathbf{E}_d$ . Let  $X$  be a topological space with basepoint  $x_0$ . Then the loop space of  $X$  is  $\Omega X$ , the space of basepoint preserving maps  $S^1 \rightarrow X$ , or in other words  $\{\omega : [0, 1] \rightarrow X, \omega(\partial[0, 1]) = x_0\}$ . One can then inductively construct the  $d$ -fold loop space  $\Omega^d X = \Omega(\Omega^{d-1} X)$ .  $\Omega^d X$  is very naturally a  $\mathbf{E}_d$  algebra.

### 1.3 Trees

### 1.4 Alternative Definitions for Operads

### 1.5 Free Operads

### 1.6 The Tensor Product of Operads

### 1.7 The Boardman-Vogt Resolution of an Operad

### 1.8 Configuration Spaces and the Fulton-MacPherson Operad

### 1.9 Configuration Spaces and the Operad of Little Cubes

## 2 Simplicial Sets

### 2.1 The Simplex Category $\Delta$

**Definition 6 The Simplex Category  $\Delta$ :**  $\Delta$  is the category with:

- $\text{Ob}\Delta = \mathbb{N}$ ,
- $\text{Hom}_\Delta([n], [m]) = \{\text{order preserving maps } [n] \rightarrow [m]\}$

There are special maps in  $\Delta$  - the elementary faces  $\delta^i : [m-1] \rightarrow [m]$  and elementary degeneracies  $\sigma^i : [m] \rightarrow [m-1]$   $0 \leq i \leq m-1$ :

$$\delta^i(j) = \begin{cases} j & j < i \\ j+1 & j \geq i \end{cases}, \quad \sigma^i(j) = \begin{cases} j & j \leq i \\ j-1 & j > i \end{cases}$$

These have some nice relations, called the cosimplicial identities:

- $\sigma_i \sigma_j = \sigma_{j-1} \sigma_i, \quad i < j$
- $\delta_j \delta_i = \delta_i \delta_{j-1}$

### 2.1.1 Limits and Colimits of The Simplicial Category

$$\begin{array}{ccc} k & \xrightarrow{f} & n \\ \downarrow g & & \downarrow \\ m & \rightarrow & m+n \end{array}$$

is a pushout, where  $f(i) = i, g(i) = m - k + i$

## 2.2 Simplicial Sets and the Geometric Realisation

Let  $\mathcal{C}$  be a category. A simplicial object in  $\mathcal{C}$  is a functor  $X : \Delta^{op} \rightarrow \mathcal{C}$ . The morphisms between two simplicial objects over  $\mathcal{C}$  are the natural transformations of functors.

From this we obtain a category of simplicial objects on  $\mathcal{C}$ , which has two notations:

$$\mathcal{C}^{\Delta^{op}}, \quad s\mathcal{C}$$

where we use the left notation when we want to be reminded that elements are functors (and thus we treat the category in the standard way one treats a functor category) and the right when we want to be reminded of the underlying structure.

When  $\mathcal{C} = Set$  then there is really nice structure here. First off, in this case we say  $X \in sSet$  is a simplicial *set*. We get back to this case soon but we expand on the definition of a simplicial object further.

A simplicial object  $X$  in  $\mathcal{C}$  is given by a sequence of objects  $X_n = X([n])$  in  $\mathcal{C}$  together with maps  $\alpha^* : X_n \rightarrow X_m$  where  $\alpha \in \text{Hom}_{\Delta}(m, n)$ . These maps should be functorial, i.e.

$$id^* = id : X_n \rightarrow X_n$$

$$(\alpha \circ \beta)^* = \beta^* \alpha^* \quad \alpha : [m] \rightarrow [n], \beta : [k] \rightarrow [m]$$

and a morphism between two simplicial objects is a morphism on objects  $f_n : X_n \rightarrow Y_n$  such that it is functorially compatible  $f_m \alpha^* = \alpha^* f_n$ .

Back to the case of simplicial sets. In this case we refer to  $X_n$  as the set of  $n$ -simplices of  $X$ . We have some special maps

$$d_i = (\delta_i)^* : X_n \rightarrow X_{n-1}$$

$$s_i = \sigma_i^* : X_{n-1} \rightarrow X_n$$

called the face maps and face degeneracies of the simplicial object  $X$ .

For now let's consider the common topological  $n$ -simplex:

$$\Delta^n = \{(t_0, \dots, t_n) \in \mathbb{R}^{n+1} : \sum_i t_i = 1, t_i \geq 0\}$$

These can be thought of as generalisations of triangles in higher dimensions.  $\Delta^1$  is just the line  $y = -x + 1$ ,  $\Delta^2$  is the set  $\{(x, y, 1 - (x + y)) : 0 \leq x, y, x + y \leq 1\}$  and so on. A function  $f : \{0, \dots, m\} \rightarrow \{0, \dots, n\}$  defines an affine map  $f_* : \Delta^m \rightarrow \Delta^n, v_i \rightarrow v_{f(i)}$  where  $v_i$  is the  $i$ th vertex of the simplex  $\Delta^m$ .

This construction makes the family of standard simplicies into a functor  $\Delta^\bullet : \Delta \rightarrow \mathbf{Top}$ .

$$\Delta^\alpha(t_0, \dots, t_m) = (s_0, \dots, s_n), s_j = \sum_{i \in \alpha^{-1}(j)} t_i$$

for  $\alpha \in \text{Hom}_{\Delta}([m], [n])$ . Specifically the elementary face map  $\delta_i : [n-1] \rightarrow [n]$  is lifted to

$$\Delta^{\delta_i} : \Delta^{n-1} \rightarrow \Delta^n$$

and embeds  $\Delta^{n-1}$  as the face opposite the vertex  $i$

Let's see this in low dimension. Let  $n = 1$  and  $i = 0$ . We must keep track of what's going on. We have just claimed that  $\Delta^{\delta_0}$  embeds  $\Delta^0$  as the face opposite the vertex  $v_0$  in  $\Delta^1$ .

Recall that  $\Delta^0 = \{1 \in \mathbb{R}^1\}$  is a point and  $\Delta^1$  corresponds to the line  $y = 1 - x$ . Let's call the point  $(0, 1) = v_0$  the first vertex. We have  $\Delta^{\delta_0}(t) = (\sum_{i \in \delta_0^{-1}(0)} t_i, \sum_{i \in \delta_0^{-1}(1)} t_i)$  but  $\delta_0^{-1}(1) = \{j \in [1] = \{0, 1\} : j + 1 = 1 \text{ and } j \geq 0\} = \emptyset$ . Thus we get that  $\Delta^{\delta_0}(1) = (1, 0)$ . So now we have gained the intuition for the following proposition

**Proposition 1** *For maps  $\delta_i : [n - 1] \rightarrow [n]$ , the map  $(\delta_i)_* = \Delta^{\delta_i} : \Delta^{n-1} \rightarrow \Delta^n$  embeds  $\Delta^{n-1}$  as the face opposite the vertex labelled  $i$*

*Proof*

There is also a nice geometric interpretation for  $\Delta^{\sigma_j}$  for  $\sigma_j : [n] \rightarrow [n - 1]$ . This is the collapsing of  $\Delta^n$  onto  $\Delta^{n-1}$  by a projection parallel to the line connecting  $v_j$  and  $v_{j+1}$ .

We start with a large and rather unintuitive space and try to quotient out by these relations in order to get a nice geometric space. Let  $\hat{X} = \bigsqcup_{n \geq 0} (X_n \times \Delta^n)$ . Let  $(x, t) \in \hat{X}$ , that is  $x \in X_n, t \in \Delta^n$ . We have the relation  $(x, \Delta^\alpha t) \sim (\alpha^* x, t)$ .

**Definition 7** *The Geometric Realisation of a Simplicial Set:* Let  $X : \Delta^{op} \rightarrow \mathbf{Set}$  be a simplicial set. The geometric realisation of  $X$  is

$$|X| := (\bigsqcup_{n \geq 0} (X_n \times \Delta^n)) / \sim$$

where we denote  $x \otimes t$  the equivalence class of  $(x, t)$  in  $|X|$ .

A map  $f : X \rightarrow Y$  between simplicial sets induces a continuous map

$$|f| : |X| \rightarrow |Y|, x \otimes t \rightarrow f(x) \otimes t$$

Whence it is clear we have a functor  $|\cdot| : \mathbf{sSet} \rightarrow \mathbf{Top}$

If  $C^\bullet : \Delta \rightarrow \mathcal{C}$  is a functor, each object  $c \in \mathcal{C}$  defines a simplicial set  $\mathbf{Sing}_{C^\bullet}(c) = \text{Hom}_{\mathcal{C}}(C^n, c)$

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