

Minimum Spanning Tree

Given

Undirected Graph G with positive edge weights
(connected)

Definition A spanning tree of G is a subgraph T that is both a tree (connected and acyclic) and spanning (includes all vertices)

Goal = find a min weight spanning tree

Brute force : try all spanning trees?

Greedy Algorithm

Simplifying Assumptions:

- edge weights are distinct
- graph is connected

Consequence : MST exists and it is unique

Cut Property

A cut in a graph is a partition of its

vertices into two (nonempty) sets.

Crossing Edge: connects a vertex in one set with a vertex in the other

Cut Property Given any cut, the crossing edge of min weight is in the MST

Greedy MST Algorithm

- start with all edges colored gray
- find cut with no black crossing edges
- color its min-weight edge black
- repeat until $V-1$ edges are colored black

* Consider a cut whose vertices are one connected component

- Can we remove the two simplifying assumptions
 - 1) edge weights not distinct
 - \Rightarrow multiple MSTs

2) what if graph is not connected?

=> we compute a minimum spanning forest = MST of each connected component

Edge-Weighted Graph API

I) Weighted edge API

class Edge

- Edge (int v, int w, double weight)
- int either () - either endpoint
- int other (int o)
- int compareTo (Edge that)
- double weight ()

II) Edge-weighted graph API

class EdgeWeightedGraph

- void addEdge (Edge e)
- Iterable<Edge> adj (int v)
- Iterable<Edge> edges ()

- `int V()`

- `int E()`

Conventions = allow self-loops and parallel edges

III) Minimum Spanning Tree API

class MST

- `MST (EdgeWeighted Graph G)`
- `Iterable<Edge> edges()`
- `double weight()`

Kruskal's Algorithm

- consider edges in ascending order of weight
- add next edge to tree T unless doing so would create a cycle

Proof: Kruskal's algorithm is a special case of the greedy MST algorithm

- Suppose Kruskal's algorithm colors the edge $e (v-w)$ black
- act = set of vertices connected to v in tree T
- no crossing edge is black
- no crossing edge has lower weight

Challenge

- Would adding edge $v \rightarrow w$ to tree T create a cycle? If not, add it!
- 1) ◦ run DFS from v and check if w is reachable $\rightarrow O(V)$
 - 2) ◦ use union-find - to see if v and w are connected $\rightarrow O(\log V)$
- * maintain a set of each connected comp. in T
- * if v and w are in the same set \Rightarrow

- adding $(v-w)$ would create a cycle
- otherwise add $(v-w)$ to T and merge the sets containing v and w

Kruskal $\rightarrow O(E \log E)$

Prim's Algorithm

- start with vertex 0 and greedily grow tree T
- add to T the min weight edge with exactly one endpoint in T
- repeat until $(V-1)$ edges

Proof: Prim's algorithm is a special case of the greedy MST algorithm

\Rightarrow Suppose edge e = min weight edge connecting a vertex on the tree to a vertex not on the tree.

- cut = set of vertices connected on tree
- no crossing edge is black
- no crossing edge has lower weight

⇒ use a priority queue!

Lazy implementation → find the min weight edge with exactly one endpoint in T

⇒ Maintain a PQ of edges with (at least) one endpoint in T

◦ key = edge, priority = weight of edge

◦ deletion to determine the next edge $e = (v-w)$ to add to T

◦ disregard edge that has both endpoints v and w in tree T

◦ otherwise
 $\begin{matrix} \swarrow & \searrow \\ \text{v is on the tree} & \text{w not on the tree} \end{matrix}$

— add to PQ any edge incident to w

(assuming the other endpoint not in T)

- add w to T

- Running Time $O(E \log E)$

Eager Implementation

- maintain a PQ of vertices connected by an edge to $T \Rightarrow$ priority of vertex $v =$ weight of the shortest edge connecting v to T

o delete the min vertex v and add its associated edge $e = v \rightarrow w$ to T

o update PQ by considering all edges $e = v \rightarrow x$ incident to v

- ignore if x is already in T

- add x to PQ if not already on it

- decrease priority of x if $v \rightarrow x$ becomes shortest edge connecting x to T

Indexed Priority Queue

- associate an index between 0 and $N-1$ for each key in the priority queue
- client can insert and delete the minimum
- client can change the key by specifying the index

IndexMin PQ

- void insert (int i, key key)
 - void decreasekey (int i, key key)
 - boolean contains (int k)
 - int delMin()
 - boolean isEmpty()
 - int size()
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- start with the same code for Min PQ
 - maintain parallel arrays `keys[]`,

$pq[i]$ and $gp[i]$ so that:

- $keys[i] = \text{priority of } i$
 - $pq[i] = \text{index of the key in heap position } i$
 - $gp[i] = \text{heap position of the key with index } i$
- use $swim(gp[k])$ implement decrease key

MST Context

- is there a linear time MST algorithm?
- Euclidean MST
- Clustering
- single-link clustering algorithm