

## I) Introduction

practical reason: avoid performance bugs

eg: FFT: from  $O(n^2) \rightarrow O(n \log n)$

enabled new technology (DVD, JPEG, MRI..)

N-body simulation

$$O(n^2) \rightarrow O(n \log n)$$

simulate gravitational interactions

among  $n$  bodies

Scientific method

- o observe
- o hypothesize
- o predict
- o verify
- o validate

$$O(n^2)$$

$$\cancel{n} \cdot n$$

$10^6 \leftarrow$

$$O(n \log_2 n)$$

$$\cancel{n} \cdot \log_2 n$$

$$\log_2 10^6$$

$$2^{(19)} < 10^6 < 2^{(20)}$$

$$\boxed{19 < \log_2 n < 20}$$

$$10^6 / 20 = 10^5 / 2 = 50000$$

2) Observations

given 8 distinct integers, how many triplets sum to exactly 0?

$$\boxed{T(n) = a n^b}$$

$$1.3 / 16000^2 \approx =$$

$$1.3 / (16^2 \cdot 10^6)$$

$$\frac{1.3}{16^2} \cdot \frac{1}{10^6} = 0.005 \cdot \frac{1}{10^6}$$

$$\frac{5}{10^3}$$

$$\lg(T(N)) = b \cdot \lg N + c$$

$$\Rightarrow T(N) = a \cdot N^b, \text{ where } \boxed{a = 2^c}$$

$$\log_2 T(N) = b \cdot \log_2 N + c$$

$$2^{b \cdot \log_2 N + c} = T(N)$$

$$2^{b \cdot \log_2 N} \cdot 2^c = T(N)$$

$$\left(2^{\log_2 N}\right)^b \cdot 2^c = T(N)$$

$$N^b \cdot a = T(N)$$

$$\Rightarrow \boxed{T(N) = a N^b}$$

### III) Mathematical Models

Total running time: sum of cost × frequency  
for all operations

\* String concatenation in Java is not constant time but  $O(c \cdot N) \rightarrow O(N)$

Cost model: use some basic operation as a proxy for running time

- drop non-dominant terms

$$f(N) \sim g(N) \rightarrow \boxed{\lim_{N \rightarrow \infty} \frac{f(N)}{g(N)} = 1}$$

- drop constants

$$\binom{N}{3} = \frac{N(N-1)(N-2)}{3!} \sim \frac{1}{6} N^3$$

a)  $1 + 2 + \dots + N \rightarrow \sum_{i=1}^N i = \frac{n(n+1)}{2}$

$$\sim \boxed{\frac{1}{2} N^2} \rightarrow \boxed{N^2}$$

b)  $1 + 1/2 + 1/3 + \dots + 1/N \rightarrow \sum_{i=1}^N \frac{1}{i} \sim \boxed{\ln N}$

$$\ln x = \log_e x$$

$$\boxed{e = 2.71828}$$

c) 3-sum triple loop

$$\boxed{\frac{1}{6} N^3}$$

$$\sum_{i=1}^N \sum_{j=i}^N \sum_{k=j}^N 1 \sim$$

eg) for (i from 0 to n)  
       for (j from i+1 to n)  
           for (k from 0 to n, step 1)  $k = k * 2$

$\lg N$

$$[1 + 2 + \dots + (N-1)] \lg N$$

$$= \left[ \frac{(n-1)n}{2} \lg N \right] \times \text{access operations} = 3$$

$$\Rightarrow \boxed{O\left(\frac{N^2}{2} \lg N\right)} \sim$$

#### IV) Order-of-Growth Classifications

- small set of functions

$$1, \log N, N, N \cdot \log N, N^2, N^3, 2^N, N!$$

- $N \log N$  = linearithmic

(\*) Binary search: mathematical analysis

- Binary search uses at most  $1 + \lg N$  compares to search in a sorted array of  $N$  size
- $T(N) = \# \text{compare to binary search in a sorted subarray of size } \leq N$
- Binary search recurrence

$$T(N) \leq T(N/2) + 1 \quad \text{for } N > 1$$

splitting in half

$$T(1) = 1$$

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$$T(N) \leq T(N/2) + 1$$

$$\leq T(N/4) + 1 + 1$$

$$\leq T(N/8) + 1 + 1 + 1$$

$\vdots$

$$\leq T(N/N) + 1 + 1 + 1 \dots + 1$$

$$= \underline{\underline{1 + \lg N}}$$

$\Rightarrow$  we can develop a  $N^2 \lg N$  algorithm for the 3-sum problem

- sort  $N$  distinct numbers
  - for each pair of numbers  $a[i], a[j]$  we do a binary search for  $\boxed{-(a[i] + a[j])}$
- $\Rightarrow$  if we find it we print out the values

## Theory of Algorithms

- best case : lower bound on cost
- worst case : upper bound on cost

notation	provides	used to
Big Theta $\Theta$	asymptotic order of growth	classify algorithms
Big Oh $O$	$O(N^2)$ and smaller	develop upper bounds
Big omega $\Omega$	$\Omega(N^2)$ and larger	develop lower bounds

Eg 1 1-SUM = "Is there a 0 in the array?"

• upper bound  $O(N)$   $(\leq)$

• lower bound = proof that no algorithm can do better  $\Omega(N)$   $(\geq)$

• optimal  $\Theta(N)$  tight bound runtime

Eg. 2 3-SUM

• upper:  $O(N^3)$   $\rightarrow$  we found a better one  $O(N^2 \log N)$

• lower bound  $\rightarrow \Omega(N)$  we have to examine all  $N$  entries (at least)

• optimal - we don't know

Tilde notation  $\sim$  provide approximate model

$\hookrightarrow$  optimal, similar to  $\Theta$  but containing the coefficient of the leading term.



# Memory

bit  $\in \{0, 1\}$  , byte = 8 bits

$$MB = 2^{20}$$

$$GB = 2^{30}$$

32-bit machine - 4 byte

64-bit machine - 8 byte

pointers

types	bytes	
boolean	1	char [ ] $2N + (24)$ bytes ↙ overhead
byte	1	
char	2	
int	4	
float	4	
long	8	
double	8	

- object overhead : 16 bytes
- reference : 8 bytes
- padding: multiple of 8 bytes

Date class

Object

int day

————→ 4 bytes

int month

————→ 4 bytes :

int year

————→ 4 bytes

16 bytes overhead

4 bytes of padding (multiple of 8)

⇒ 32 bytes

- array : 24 bytes + memory for each entry  $N \times \text{size of entry}$

Tilde only drop non-dominant terms.