

# Global Tracking Controllers for Flexible-joint Manipulators: a Comparative Study

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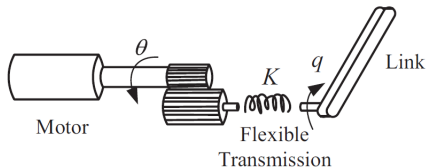
SAPIENZA  
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**Underactuated Robotics**

# Elastic Joint System Model

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- Explicit modelling of stiffness creates underactuated system
- Simplified model proposed by Spong (1989) [6]
- Assumes that the angular part of the motor's kinetic energy is due only to its own rotation
- No Inertial or Centrifugal coupling; only the joint's elasticity



# Dynamics

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$$D(q_1)\ddot{q}_1 + C(q_1, \dot{q}_1)\dot{q}_1 + g(q_1) = K(q_2 - q_1) \quad (1)$$

$$J\ddot{q}_2 + K(q_2 - q_1) = u$$

- where  $q_1 \in R^n$  link angles     $q_2 \in R^n$  motor angles     $g(q_1)$  gravity term  
 $D(q_1)$   $n \times n$  link inertia matrix     $C(q_1, \dot{q}_1)\dot{q}_1$  Coriolis & centrifugal forces
- Dynamical model in compact form,

$$\bar{D}\ddot{q} + \bar{C}\dot{q} + \bar{g} + \bar{K}q = Mu$$

$$q^T = \begin{bmatrix} q_1^T & q_2^T \end{bmatrix} \quad \bar{D} = \begin{bmatrix} D & 0 \\ 0 & J \end{bmatrix} \quad \bar{C} = \begin{bmatrix} C & 0 \\ 0 & 0 \end{bmatrix} \quad \bar{g} = \begin{bmatrix} g \\ 0 \end{bmatrix} \quad \bar{K} = \begin{bmatrix} K & \underbrace{-K}_{K} \\ \underbrace{-K}_{K} & K \end{bmatrix} \quad M = \begin{bmatrix} 0 \\ I_n \end{bmatrix} \quad (2)$$

# Control Techniques

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- Decoupling based
- Backstepping based
- Passivity based

- 1 Theoretical Background
- 2 Implementation
- 3 Simulation Results
- 4 Comparison

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# Decoupling based controller

## Theoretical Background

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- A simple input transformation reconstructs system in to two cascaded subsystems
- The name is due to the resulting closed loop equation is also cascaded
- The cascaded subsystems are proven stable, when the system orbits are proven bounded as seen in lemma 1 introduced by[3]
- The reduced dynamic model is partially decoupled except in the elasticity term
- It is considered the easiest controller

# Decoupling based controller

## Implementation

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- Use triangularization property to obtain two GAS subsystems:
  - The motor (unforced) drives the link by  $q_2$
  - The link (forced)
- Main idea: Find a function that will drive  $q_1 \rightarrow q_{1d}$  when  $q_2 \equiv q_{2d}$
- Several choices possible for  $q_{2d}$
- We chose the  $q_{2d}$  proposed by author in [4]; it will unify the implementation for all controllers and give us a common framework:

$$q_{2d} = K^{-1} u_r + q_1 \quad (3)$$

- with the error signals as

$$s_1 = \dot{q}_1 + \Lambda_1 \tilde{q}_1, \quad \Lambda_1 > 0 \quad \tilde{q}_1 = q_1 - q_{1d}$$



# Decoupling based controller

## Implementation

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- The control law designed for the rigid part of the body by [4]

$$u_r = D(q_1)\ddot{q}_{1r} + C(q_1, \dot{q}_1)\dot{q}_{1r} + g(q_1) - B_1 s_1 \quad (4)$$

- Inverse dynamics also possible for the definition of  $u_R$  instead of [4]
- The velocity reference signal

$$\dot{q}_{1r} = \dot{q}_{1d} - \Lambda_1 q_1 \quad (5)$$

- Apply  $\tilde{q}_2 = q_2 - q_{2d}$  as an input perturbation to the model results in the closed loop dynamic model

$$D\dot{s}_1 + Cs_1 + B_1 s_1 = K\tilde{q}_2 \quad (6)$$

# Decoupling based controller

## Implementation

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- The perturbed closed loop system was proved GAS by [5], where setting the perturbation in the motor as  $\tilde{q}_2 \equiv 0$  results in the rigid Lyapunov

$$V_R = \frac{1}{2} s_1^T D s_1 + \tilde{q}_1^T \Lambda b_1 \tilde{q}_1 \quad (7)$$

- is shown to be a strict Lyapunov function
- The control law decouples the motor dynamic and makes system GAS:

$$u = K(q_2 - q_1) - K_1 \tilde{q}_2 - K_2 \dot{\tilde{q}}_2 + J \ddot{q}_{2d} \quad (8)$$

- This control will yield a decoupled linear error equation

$$J \ddot{\tilde{q}}_2 + K_2 \dot{\tilde{q}}_2 + K_1 \tilde{q}_2 = 0 \quad (9)$$

# Decoupling based controller

## Implementation

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- The proof that the full decoupled system is GAS comes from the Lyapunov equation

$$V_{DB} = V_R + \frac{1}{2} z^T P z$$

Where  $P$  is a positive definite matrix, and  $z = \begin{pmatrix} \tilde{q}_2^T & \dot{\tilde{q}}_2^T \end{pmatrix}$

- where the  $V_{DB}$  was proven to be a strict Lyapunov as  $z$  is bounded, and decays to zero, proving system is GAS.

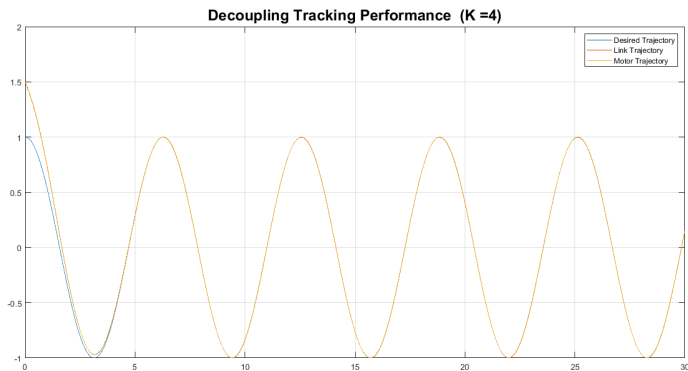
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# Decoupling - Tracking Performance

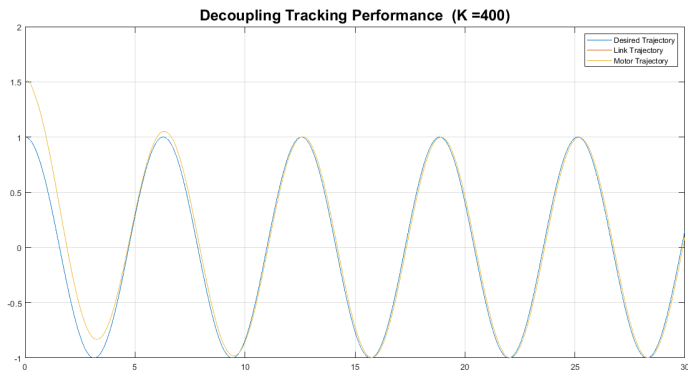
$K=4$



Settling time = 4.1223sec

# Decoupling - Tracking Performance

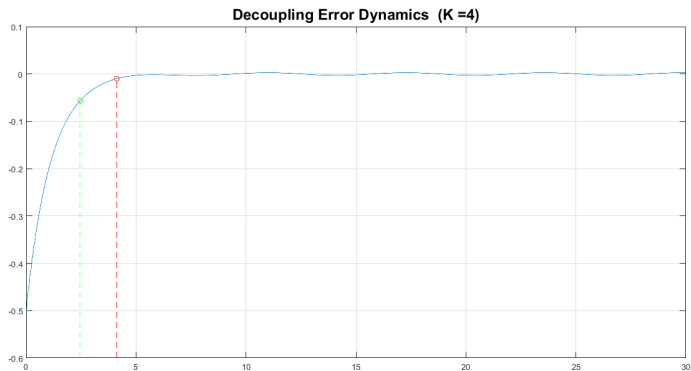
**K=400**



Settling time = 4.1223sec

# Decoupling - Error Dynamics

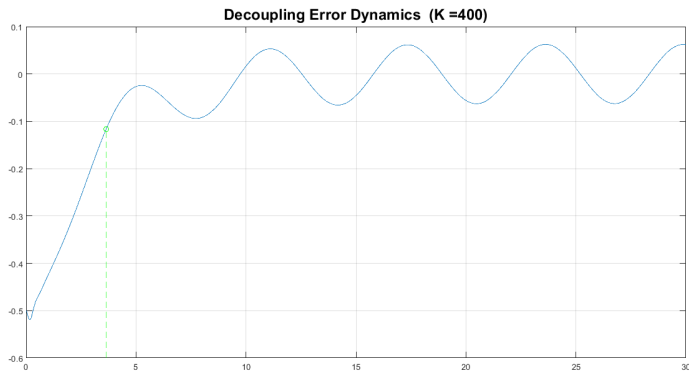
$K=4$



Rise time = 2.4657sec

# Decoupling - Error Dynamics

**K=400**

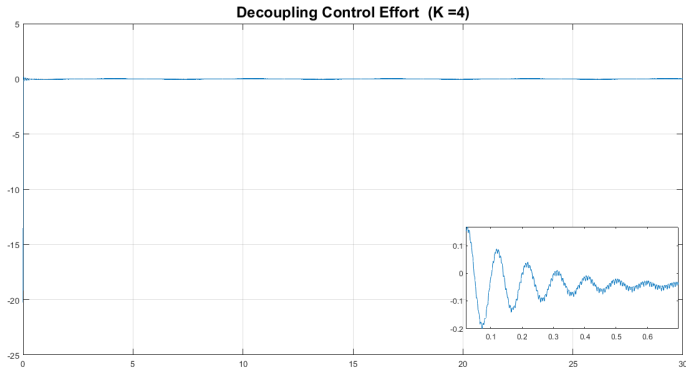


Rise time = 2.4657sec



# Decoupling - Control Effort

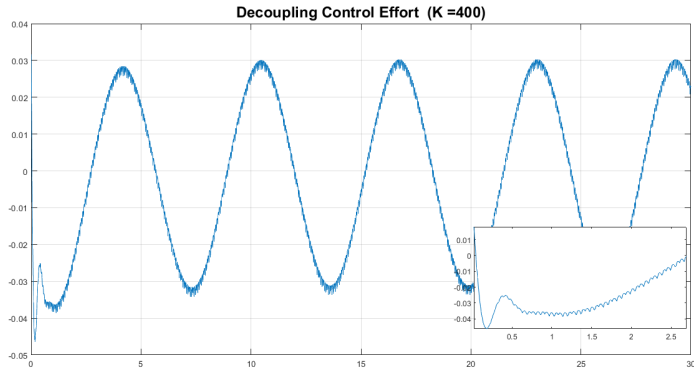
$K=4$



Gains:  $k_p = 18.0$ ,  $k_d = 20.0$

# Decoupling - Error Dynamics

**K=400**



Gains:  $k_p = 0.80$ ,  $k_d = 0.20$

# Control Techniques

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# Backstepping based controller

## Theoretical Background

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- The most systematic procedure presented by the authors
  - Based on Integrator Backstepping
- ① Select imaginary input
  - ② Choose a suitable Lyapunov candidate
  - ③ Prove strict Lyapunov(system is GAS)
  - ④ Integrate input
  - ⑤ Repeat until real input appears

# Backstepping based controller

## Implementation

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- Applying the following feedback law

$$u = J\nu - K(q_1 - q_2) \quad (10)$$

- reduces robot's dynamics to the cascaded form:

$$\begin{aligned} D\ddot{q}_1 + C\dot{q}_1 + g + Kq_1 &= Kq_2 \\ \ddot{q}_2 &= \nu \end{aligned} \quad (11)$$

- Systematic application of Integrator Backstepping can begin

# Backstepping based controller

## Step 1

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- Assume  $q_2$  is the first virtual input of the first equation in (11)
- by means of feedback choose  $q_2 = q_{2d} = K^{-1}u_r + q_1$
- Closed-loop equation becomes

$$D\dot{s}_1 + Cs_1 + B_1s_1 = K\tilde{q}_2$$

- We know it's GAS when  $\tilde{q}_2 = 0$  with Lyapunov  $V_R$  (refer to (6) & (7))
- Since  $q_2$  is not the real input, we have an error  $\tilde{q}_2 = -q_{2d} + q_2$
- We add an integrator before the input  $\tilde{q}_2$  and proceed to step 2

# Backstepping based controller

## Step 2.1

---

- Assume  $\dot{q}_2$  is now the second virtual input
- Augment the Lyapunov function candidate from the first step, by adding a term in the first virtual input resulting in

$$V_2 = V_R + \frac{1}{2} \tilde{q}_2^T \tilde{q}_2 \quad (12)$$

- Its derivative is given by

$$\dot{V}_2 = -\dot{\tilde{q}}_1^T B_1 \dot{\tilde{q}}_1 - \tilde{q}_1^T \Lambda_1^T B_1 \Lambda_1 \tilde{q}_1 + \underbrace{s_1^T K \tilde{q}_2 + \tilde{q}_2^T (\dot{q}_2 - \dot{q}_{2d})}_{\text{not -ve definite}} \quad (13)$$

- Choose  $\dot{q}_2$  in such a way to cancel cross terms, and creating a quadratic term in  $\tilde{q}_2$

$$\dot{q}_2 = -K s_1 - \tilde{q}_2 + \dot{q}_{2d}$$

# Backstepping based controller

## Step 2.2

- This cancels undesired terms & adds quadratic term in  $\tilde{q}_2$  to ensure GAS

$$\dot{V}_2 = \dots + \cancel{s_1^T K \tilde{q}_2} - \cancel{\tilde{q}_2^T K s_1} - \underbrace{\tilde{q}_2^T \tilde{q}_2}_{\text{quadratic term}} + \cancel{\dot{q}_{2d}} - \cancel{\dot{q}_{2d}}$$

- Since  $\dot{q}_2$  is not the real input, we have an error  $e_2 = \dot{q}_2 - \dot{e}_{2d}$

$$e_{2d} = -Ks_1 - \tilde{q}_2 + \dot{q}_{2d} \quad (14)$$

- We add an integrator before the 2<sup>nd</sup> virtual input ( $\dot{q}_2$ ) and proceed to step 3, where the overall error equations

$$D\dot{s}_1 + Cs_1 + B_1s_1 = K\tilde{q}_2 \quad (15)$$

$$\dot{\tilde{q}}_2 = e_2 - Ks_1 - \tilde{q}_2 \quad \dot{e}_2 = -\dot{e}_{2d} + \ddot{q} = -\dot{e}_{2d} + \nu$$



# Backstepping based controller

## Step 3

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- The real input  $\nu$  appears in equation (15) !
- Augment the Lyapunov function candidate from the second step , by adding a term in the second virtual input resulting in

$$V_3 = V_2 + \frac{1}{2}|e_2|^2 \quad (16)$$

- Its derivative is given by

$$\dot{V}_3 = -\dot{\tilde{q}}_1^T B_1 \dot{\tilde{q}}_1 - \tilde{q}_1^T \Lambda_1^T B_1 \Lambda_1 \tilde{q}_1 - |\tilde{q}_2|^2 - |\tilde{e}_2|^2 \quad (17)$$

- Set  $\nu = -e_2 + \dot{e}_{2d} - \tilde{q}_2$
- The proposed backstepping controller is

$$u = K(q_2 - q_1) + J[\ddot{q}_{2d} - 2\ddot{\tilde{q}}_2 - 2\tilde{q}_2 - K(\dot{s} + s)] \quad (18)$$

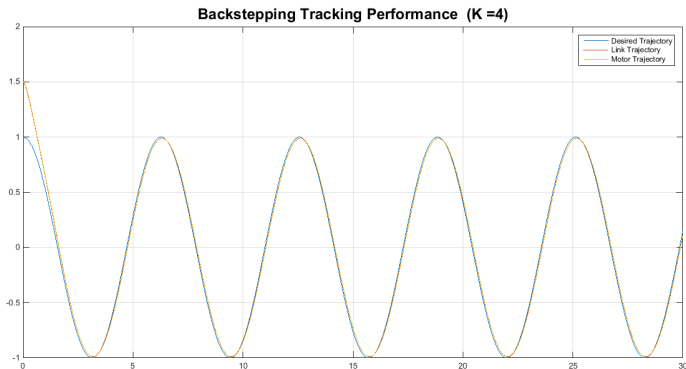
# Control Techniques

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# Backstepping - Tracking Performance

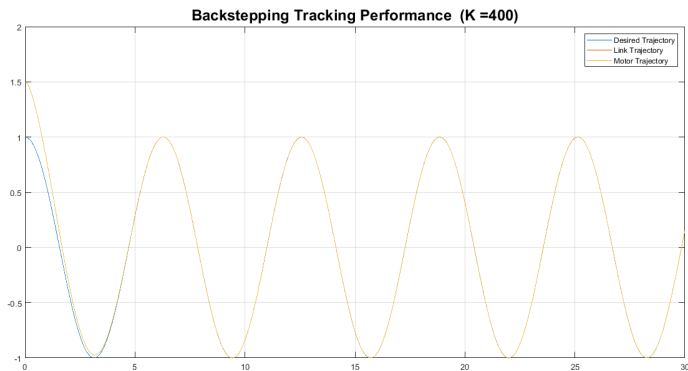
$K=4$



Settling time = 3.2776sec

# Backstepping - Tracking Performance

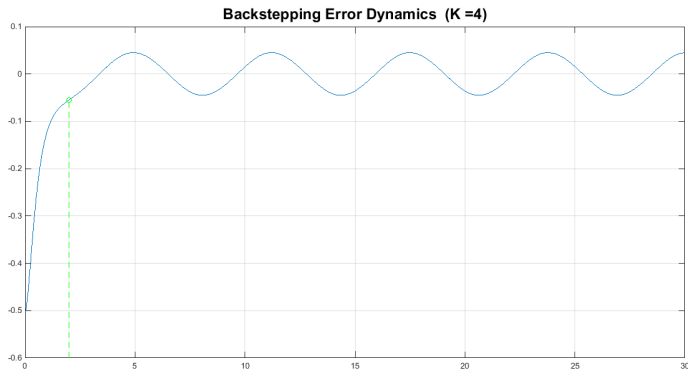
$K=400$



Settling time = 4.1035sec

# Backstepping - Error Dynamics

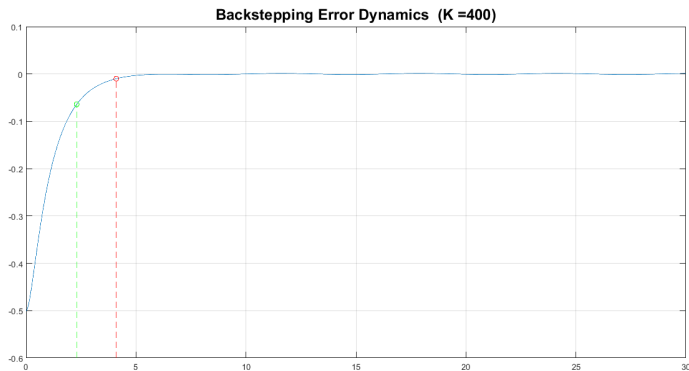
$K=4$



Rise time = 2.9997 sec

# Backstepping - Error Dynamics

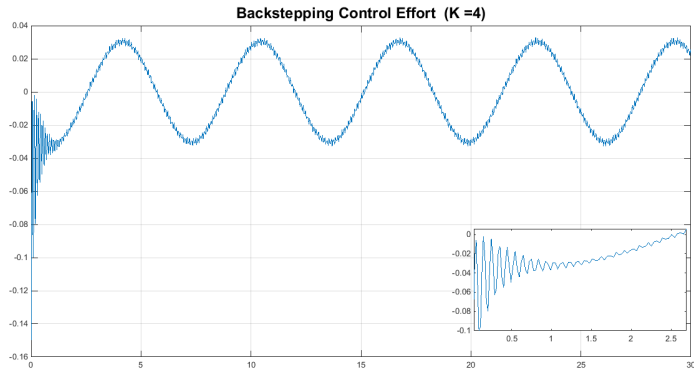
$K=400$



Rise time = 2.3017sec

# Backstepping - Control Effort

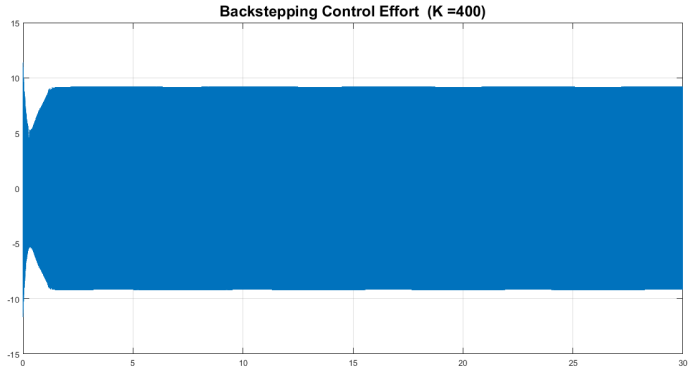
$K=4$



Gains:  $k_p = 0.80$ ,  $k_d = 0.08$

# Backstepping - Control Effort

K=400



Gains:  $k_p = 10.0$ ,  $k_d = 2.00$



# Robustification

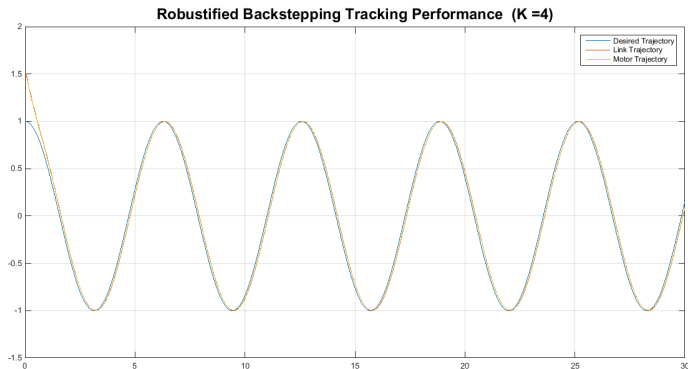
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- Introduced due to the required high gain for backstepping controller
- In step 2.2 use  $V_2 = V_R + \frac{1}{2} \tilde{q}_2^T K \tilde{q}_2$  instead of  $V_2 = V_R + \frac{1}{2} \tilde{q}_2^T \tilde{q}_2$
- Continue the remaining steps of the procedure as is
- As a result, The stiffness  $K$  is removed from the final term  $(\dot{s} + s)$  of the backstepping method
- Resulting controller

$$u = K(q_2 - q_1) + J[\ddot{q}_{2d} - 2\ddot{\tilde{q}}_2 - 2\tilde{q}_2 - (\dot{s} + s)] \quad (19)$$

# Rob. Backstepping - Tracking Performance

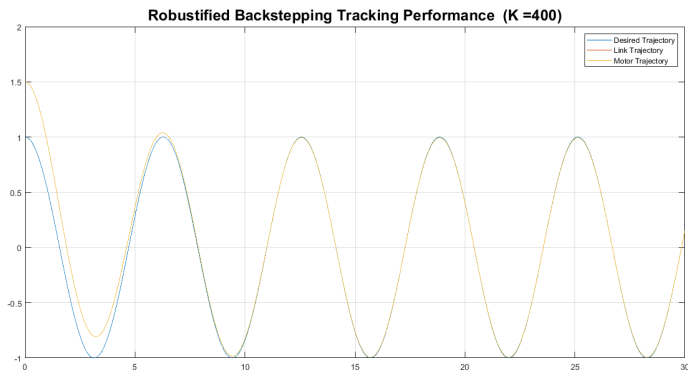
$K=4$



Settling time = NA

# Rob. Backstepping - Tracking Performance

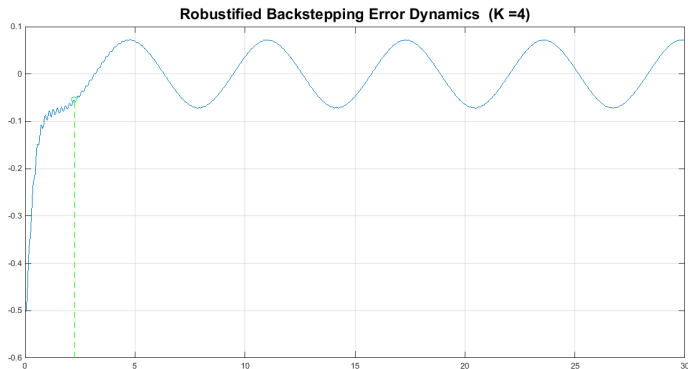
$K=400$



Settling time = 10.2635

# Rob. Backstepping - Error Dynamics

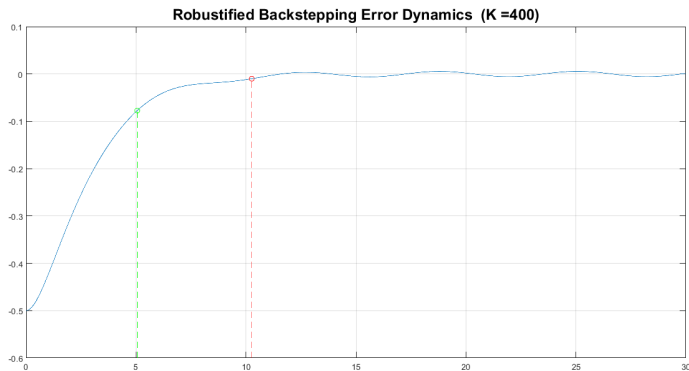
**K=4**



Rise time = 3.3290sec

# Rob. Backstepping - Error Dynamics

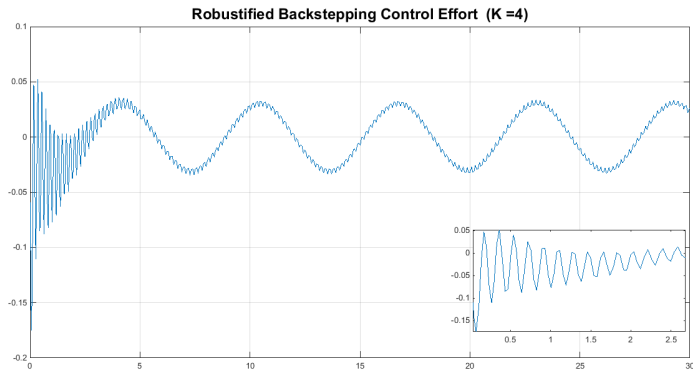
$K=400$



Rise time = 5.0564sec

# Rob. Backstepping - Control Effort

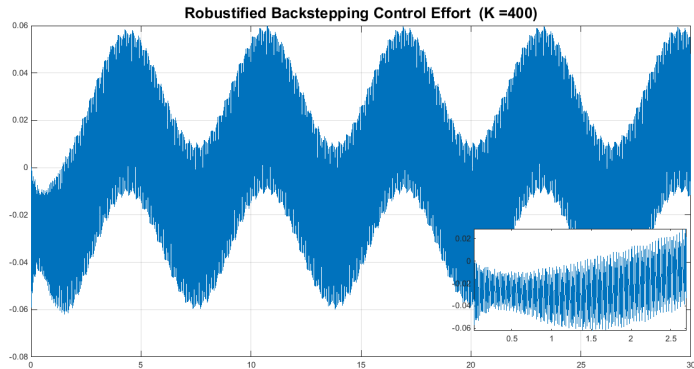
$K=4$



Gains:  $k_p = 43.0$ ,  $k_d = 49.00$

# Rob. Backstepping - Control Effort

**K=400**



Gains:  $k_p = 10.0$ ,  $k_d = 11.00$

# Control Techniques

---

- Decoupling based
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# Passivity based controller

## Theoretical Background

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- Passivity-based control is based on concept of energy
- Passivity intuitively means that something does not produce internal energy
- Describes the energy flow in the system
- The signal  $q_{2d}$  here has a different purpose: it ensures system's energy shaping, where the system total energy matches the desired energy, however in other controllers it represents desired input to link dynamical equation
- Elastic Joint model; we have to add elastic potential energy to our total energy function, and damping term to apply strict passivity

# Passivity based controller

## Implementation

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- We start by choosing the desired energy function as

$$H_d = \frac{1}{2} s^T \bar{D} s + \frac{1}{2} \left[ \int_0^t s^T(\tau) d\tau \right] \bar{K} \left[ \int_0^t s(\tau) d\tau \right]$$
$$\dot{H}_d = \nu^T s + s^T \bar{B} s$$

recalling the compact dynamic system in terms of error signals after adding damping term we find

$$\bar{D} \dot{s} + (\bar{C} + \bar{B}) s + \bar{K} \int_0^t s(\tau) d\tau = \psi \quad (20)$$

Where  $\bar{B}$  is the damping coefficient, and the  $\psi$  represents the desired error system perturbation term and is equal to

# Passivity based controller

## Implementation

---

$$\psi = \bar{u} - (\bar{D}\ddot{q}_r + \bar{C}\dot{q}_r + \bar{K}q_r + \bar{g}) + \bar{B}s - \dot{K}\tilde{q}(0) \quad (21)$$

with  $q_r = q_d - \bar{\Lambda}[\int_0^t \bar{q}(\tau)d\tau]$ , where the energy function derivation results in

$$\dot{H}_d = -s^T \bar{B}s + s^T \psi$$

which follows the passivity property. At this point,  $q_{2d}$  and  $u$  are used to match the desired total energy of the system ensuring perturbation is zero s.t  $\psi \equiv 0$ .

# Passivity based controller

## Implementation

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- The proposed  $q_{2d}$  and controller  $u$  are as follows

$$q_{2d} = p(pI + \Lambda_2)^{-1} \left\{ K^{-1} u_R + q_{1d} + K [\tilde{q}_1(0) - \tilde{q}_2(0)] - \int_0^t (\Lambda_1 \tilde{q}_1 - \Lambda_2 q_2) d\tau \right\} \quad (22)$$

where  $p = \frac{d}{dt}$

$$u = -B_2 s_2 + J \ddot{q}_{2r} - K(q_{1r} - q_{2r}) \quad (23)$$

leading to closed loop equation 20, with perturbation  $\psi$  identically equal zero

# Passivity based controller

## Drawbacks

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- Firstly the passivity based control is based on lemma 3 [2], which proof convergence of error, which is a weaker property than asymptotic stability.
- The controller has a complex dynamic part which will remove the ability to compare between schemes.
- The controller consider the initial conditions in the control law.
- Due to these drawbacks we choose a different passivity controller, by changing the desired total energy function and we call it the Modified(simplified) passivity controller.

# Modified Passivity based controller

## Implementation

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- The problems faced in the passivity controller are due to the choice of an integral term in the desired energy function.
- The new desired total energy will be

$$H_d = \frac{1}{2} s^T \bar{D} s + \frac{1}{2} \tilde{q}^T \bar{K} \tilde{q}$$

- From this we find the new perturbed error dynamics as

$$\bar{D} \dot{s} + (\bar{C} + \bar{B}) s + \bar{K} \tilde{q} = \psi$$

# Modified Passivity based controller

## Implementation

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- And the perturbation term becomes

$$\psi = \bar{u} - (\bar{D}\ddot{q}_r + \bar{C}\dot{q}_r + \bar{K}q_r + \bar{g}) + \bar{B}s \quad (24)$$

- where to set the perturbation term to zero, we use the following  $q_{2d}$  and the control law

$$u = -K_2 s_2 + J(\ddot{q}_{2d} - \Lambda_2 \tilde{q}_2) - K(q_{1d} - q_{2d})$$
$$q_{2d} = q_{1d} + K^{-1}u_R$$

- Then Lyapunov candidate function:

$$V_{PB} = \frac{1}{2} s^T \bar{D} s + \tilde{q}_1 \Lambda_1^T k_1 \tilde{q}_1 + \tilde{q}_2^T \Lambda_2^T K_2 \tilde{q}_2 + \frac{1}{2} \tilde{q}^T \bar{K} \tilde{q}$$

- It can be shown that  $\dot{V}_{PB} \leq -\alpha V_{PB}$  for some  $\alpha > 0$ . Hence we conclude GAS of the equilibrium.

# Control Techniques

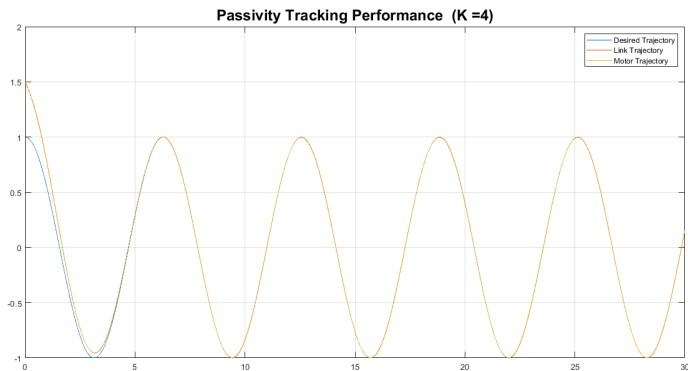
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# Passivity - Tracking Performance

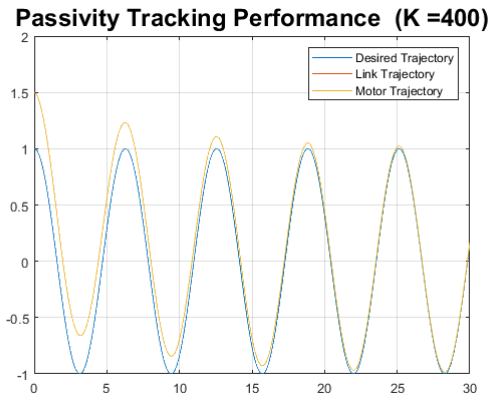
$K = 4$



Settling time = 4.7711sec

# Passivity - Tracking Performance

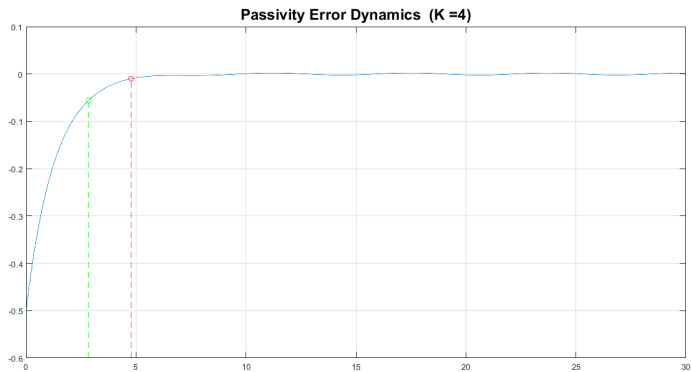
$K = 400$



Settling time = 25.2321 sec

# Passivity - Error Dynamics

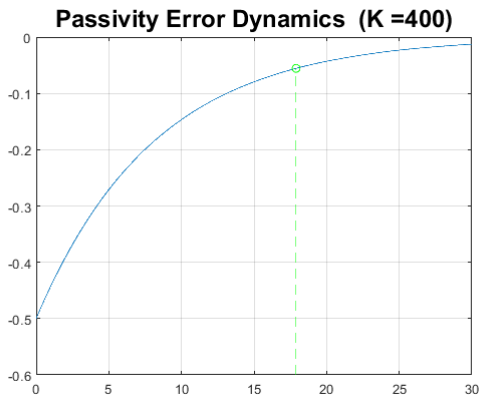
$K = 4$



Rise time = 2.8472sec

# Passivity - Error Dynamics

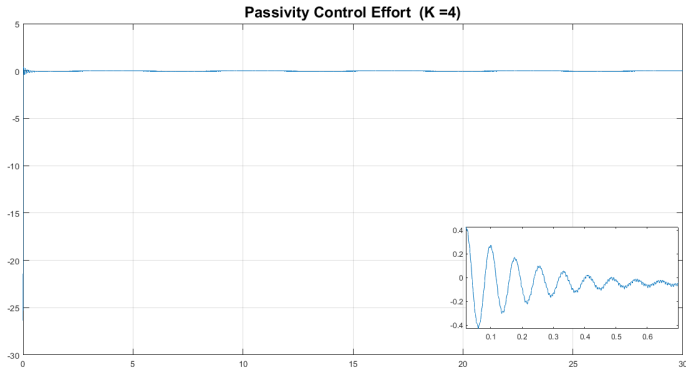
$K = 400$



Rise time = 17.9032sec

# Passivity - Control Effort

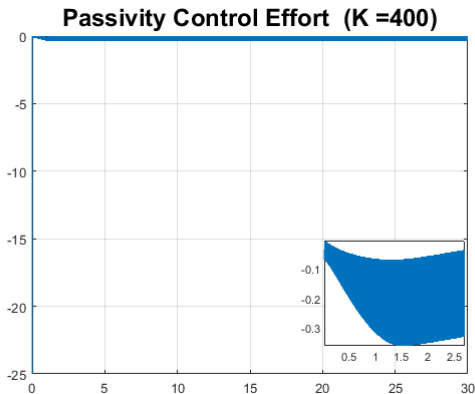
$K = 4$



Gains:  $k_p = 19.0$ ,  $k_d = 20.0$

# Passivity - Control Effort

$K = 400$



Gains:  $k_p = 290$ ,  $k_d = 400$

# Simulation Parameters

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- We used simulation parameters provided by İsmail H. Akyuz *et. al* [1]

Parameter	Value
Mass	0.03Kg
Distance to Center of Mass	0.06m
Motor Inertia	0.0075Kgm <sup>2</sup>
Link Inertia	0.004 Kgm <sup>2</sup>
Stiffness	4N/m
Gravity Acceleration	-9.81m/s <sup>2</sup>

# Control Techniques

---

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    - Control Effort
    - Speed of Convergence
    - Possibility of Adaptive implementation



# Comparison

$K = 4$

---

Controllers	Performance	Gains
Decoupling	Rise = 2.4657 Settling = 4.1223	$kp = 18.0$ $kd = 20.0$
Back-stepping	Rise = 1.9879 Settling = 3.50	$kp = 65.0$ $kd = 12.0$
Robustified Back-stepping	Rise = 3.3290 Settling = 3.20	$kp = 65.0$ $kd = 12.0$
Modified Passivity	Rise = 2.8472 Settling = 4.7711	$kp = 19.0$ $kd = 20.0$

# Comparison

$K = 400$

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Controllers	Performance	Gains
Decoupling	Rise = 2.4657 Settling = 4.1223	$kp = 0.80$ $kd = 0.20$
Back-stepping	Rise = 2.3017 Settling = 4.1035	$kp = 10.0$ $kd = 2.00$
Robustified Back-stepping	Rise = 5.0564 Settling = 10.2635	$kp = 10.0$ $kd = 11.0$
Modified Passivity	Rise = 17.9032 Settling = 25.0	$kp = 290$ $kd = 400$

# Control Techniques

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- Decoupling based
- Backstepping based
- Passivity based

- 1 Theoretical Background
- 2 Implementation
- 3 Simulation Results
- 4 Comparison
  - Control Effort
  - Speed of Convergence
  - Possibility of Adaptive implementation

# Comparison

## Adaptive extension - Assuming $K$ is known

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- *Decoupling based*
- Making the input " $u$ " LP would make it difficult to guarantee convergence. Authors do not "confirm or deny" possibility if adaptive extension
- *Backstepping based*
- Authors provide clear steps regarding the adaptive extension of the controller, but it is beyond the scope of this study
- *Passivity (or Energy Shaping) based*
- Problem will be the choice of  $q_{2d}$ . Reader is referred to the authors' 1992 paper on Adaptive version of Energy Shaping techniques

# Comparison

## Summary and Remarks

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- *For low joint stiffness*
- Performance of all controllers is very satisfactory and very similar
- Results inline with the paper

# Comparison

## Summary and Remarks

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- *For increasing values of joint stiffness*
- Backstepping controller becomes a high gain controller because of the term  $K(\dot{s} + s)$
- Robustified Backstepping controller designed to solve this issue; better tuning of gains will clearly show this
- As  $K \rightarrow \infty$ , the passivity based controller converges to the "FeedForward + PD Controller"  $u = J\ddot{q}_{2r} - B_2 s_2$  introduced by [4]
- Decoupling & Backstepping feed directly into the loop  $\ddot{q}_1$  while passivity uses  $\ddot{q}_1$  and better noise sensitivity can be expected as a result

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