



# Data Modeling × One Big Table

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Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	English	1968	142	Sci-fi, Adventure	Stanley	Kubrick	Jul. 26, 1928	USA
<i>The Shining</i>	English	1980	144 (US), 119 (EU)	Drama, Horror,	Stanley	Kubrick	Jul. 26, 1928	USA
<i>A Clockwork Orange</i>	English	1971	136	Crime, Drama, Sci-Fi	Stanley	Kubrick	Jul. 26, 1928	USA
<i>The Birds</i>	English	1963	119	Drama, Horror	Alfred	Hitchcock	Aug. 13, 1899	England
<i>Psycho</i>	English	1960	109	Horror, Mystery, Thriller	Alfred	Hitchcock	Aug. 13, 1899	England

Forget about data modeling in RDBMS  
i.e., conceptual (ER), logical (relational) & physical (SQL) levels

# Data Modeling × Anomaly

Anomaly | Inconsistency

Something that deviates from our expectations

To avoid anomaly in RDBMS

Data Integrity | Integrity Constraints

# SQL × DML × Data Integrity

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- I) Domain Integrity
- II) Entity Integrity
- III) Referential Integrity
- IV) User-defined Integrity

id=2 →

id=4

(2, ~~5~~)

(2, 10)

# Data Modeling × Normalization

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To avoid anomaly in RDBMS

→ Table decomposition (normalization) to minimize redundancy and improve data integrity.

# Data Modeling × Normalization

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Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	<i>English</i>	<i>1968</i>	<i>142</i>	<i>Sci-fi, Adventure</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Shining</i>	<i>English</i>	<i>1980</i>	<i>144 (US), 119 (EU)</i>	<i>Drama, Horror,</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>A Clockwork Orange</i>	<i>English</i>	<i>1971</i>	<i>136</i>	<i>Crime, Drama, Sci-Fi</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Birds</i>	<i>English</i>	<i>1963</i>	<i>119</i>	<i>Drama, Horror</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1960</i>	<i>109</i>	<i>Horror, Mystery, Thriller</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>



# Data Modeling × Normalization

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Movie			
Id	Title	Language	ReleaseDate
1	2001: A Space Odyssey	1	1968
2	The Shining	1	1980
3	A Clockwork Orange	1	1971
4	The Birds	1	1963
5	Psycho	1	1960

Director				
Id	FirstName	LastName	DateOfBirth	PlaceOfBirth
1	Stanley	Kubrick	Jul. 26, 1928	USA
2	Alfred	Hitchcock	Aug. 13, 1899	England

Language	
Id	Title
1	English

Genre	
Id	Title
1	Sci-fi
2	Drama
3	Crime
4	Mystery
5	Thriller
6	Adventure
7	Horror

MovieGenre	
Movielid	Genrelid
1	1
1	6
2	2
2	7
3	3
3	2
3	1
4	2
4	7
5	7
5	4
5	5

MovieDirector	
Movielid	DirectorId
1	1
2	1
3	1
4	2
5	2

MovieRunningTime		
Movielid	RunningTime	Scope
1	142	Globe
2	144	US
2	119	EU
3	136	Globe
3	119	Globe
3	109	Globe

# Data Modeling × Normalization

Given a big table of all information, the process of decomposing it into tables in order to avoid redundancy and improve data integrity.

Machine-based!  
Algorithm-based!

No conceptual level design. No E/R!

At conceptual or logical levels, we do not have actual data!



# Data Modeling × Normalization

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- I) Functional Dependencies
- II) Normal Forms

# Data Modeling × Normalization

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I) Functional Dependencies

II) Normal Forms

# Functional Dependencies

A functional dependency occurs when the value of one (set of) attribute(s) determines the value of a second (set of) attribute(s)

# Functional Dependencies

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Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	<i>English</i>	<i>1968</i>	<i>142</i>	<i>Sci-fi, Adventure</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Shining</i>	<i>English</i>	<i>1980</i>	<i>144 (US), 119 (EU)</i>	<i>Drama, Horror,</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>A Clockwork Orange</i>	<i>English</i>	<i>1971</i>	<i>136</i>	<i>Crime, Drama, Sci-Fi</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Birds</i>	<i>English</i>	<i>1963</i>	<i>119</i>	<i>Drama, Horror</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1960</i>	<i>109</i>	<i>Horror, Mystery, Thriller</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>

Title → Title

(Title, ReleaseDate) → FirstName

(Title, ReleaseDate) → (FirstName, LastName)

Title → Genre

Genre ↗ Title



# Functional Dependencies

Functional dependencies may be based on equations, e.g., in derived attributes:

TotalPrice = Quantity × UnitPrice  
 (Quantity, UnitPrice) → TotalPrice

$$f(a) = b * c$$

$$b, c \rightarrow f(a)$$

But,

$$\text{unit} \rightarrow \text{TotalPrice}$$

$$ph = \text{area}(\text{od} + \text{local} + \text{cod} + \text{t})$$

$$x, a, l, o \rightarrow ph$$

Not Limited to  
Equation or  
Function

# Functional Dependencies

Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
2001: A Space Odyssey	English	1968	142	Sci-fi, Adventure	Stanley	Kubrick	Jul. 26, 1928	USA
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A Clockwork Orange	English	1971	136	Crime, Drama, Sci-Fi	Stanley	Kubrick	Jul. 26, 1928	USA
The Birds	English	1963	119	Drama, Horror	Alfred	Hitchcock	Aug. 13, 1899	England
Psycho	English	1960	109	Horror, Mystery, Thriller	Alfred	Hitchcock	Aug. 13, 1899	England
Psycho	English	1998	104	Horror, Mystery, Thriller	Gus	Van Sant	July 24, 1952	USA

Functional dependencies are based on the existing data:

- Title  $\nrightarrow$  ReleaseDate ✗
- Title  $\rightarrow$  Genre
- Title  $\nrightarrow$  FirstName, LastName

# Functional Dependencies

Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	<i>English</i>	<i>1968</i>	<i>142</i>	<i>Sci-fi, Adventure</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Shining</i>	<i>English</i>	<i>1980</i>	<i>144 (US), 119 (EU)</i>	<i>Drama, Horror,</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>A Clockwork Orange</i>	<i>English</i>	<i>1971</i>	<i>136</i>	<i>Crime, Drama, Sci-Fi</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Birds</i>	<i>English</i>	<i>1963</i>	<i>119</i>	<i>Drama, Horror</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1960</i>	<i>109</i>	<i>Horror, Mystery, Thriller</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1998</i>	<i>104</i>	<i>Horror, Mystery, Thriller</i>	<i>Gus</i>	<i>Van Sant</i>	<i>July 24, 1952</i>	<i>USA</i>

Determinant might not be unique:

Title → Genre ✓

FirstName → LastName

# Functional Dependencies

Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
2001: A Space Odyssey	English	1968	142	Sci-fi, Adventure	Stanley	Kubrick	Jul. 26, 1928	USA
The Shining	English	1980	144 (US), 119 (EU)	Drama, Horror,	Stanley	Kubrick	Jul. 26, 1928	USA
A Clockwork Orange	English	1971	136	Crime, Drama, Sci-Fi	Stanley	Kubrick	Jul. 26, 1928	USA
The Birds	English	1963	119	Drama, Horror	Alfred	Hitchcock	Aug. 13, 1899	England
Psycho	English	1960	109	Horror, Mystery, Thriller	Alfred	Hitchcock	Aug. 13, 1899	England
Psycho	English	1998	104	Horror, Mystery, Thriller	Gus	Van Sant	July 24, 1952	USA

But if a determinant is unique, then it is determinant of ALL other attributes.

RunningTime → Title, Language, ..., PlaceOfBirth  
 ReleaseDate → Title, Language, ..., PlaceOfBirth



# Functional Dependencies × Rules

IF	THEN	Not a complete list
----	------	---------------------

$A \rightarrow BC$   
 $B \rightarrow C$

$A \rightarrow B$

$A \rightarrow C$

$AB \rightarrow C$

$AC \rightarrow B$

$A \rightarrow BC$   
 $\rightarrow B$   
 $\rightarrow C$

$A \rightarrow B$

$B \rightarrow C$

$A \rightarrow C$

Transitivity

$AB \rightarrow C$

HIG  $B \rightarrow A \rightarrow C$

$B \rightarrow C$

$A \rightarrow BC$

Be Careful!

$A \rightarrow B$   
 $A \rightarrow C$

$B \rightarrow C$

$C \rightarrow B$

Be Careful!

# Functional Dependencies × Trivial

A functional dependency is trivial if it is **satisfied by every tables**

$A \rightarrow A$   
 $AB \rightarrow A$   
 $AB \rightarrow B$

Generally,  $X \rightarrow Y$ , where  $Y \subseteq X$ .

Trivial FD does not make a significant statement about real world constraints and we only interested in non-trivial FD's.

$ABC \rightarrow A$   
 $ABC \rightarrow BC$   
 $ABC \rightarrow CD$   
 $ABC \rightarrow BCD$

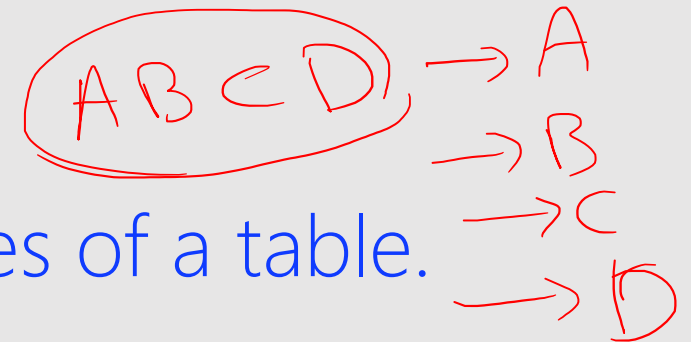
# Functional Dependencies × Super Key

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Super Key is a set of attributes that functionally determines ALL the attributes in a table.

Super Key is a set of attributes that identify an entity (row) uniquely.

The trivial Super Key is a set of all attributes of a table.



# Functional Dependencies × Candidate Key

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Candidate Key is a minimal Super Key.

Super Key is minimal if it is not possible to remove an attribute from it. Otherwise, it is not Super Key anymore.

Candidate Key is NOT a super key with smallest size!

# Functional Dependencies × Candidate Key

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Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	<i>English</i>	<i>1968</i>	<i>142</i>	<i>Sci-fi, Adventure</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Shining</i>	<i>English</i>	<i>1980</i>	<i>144 (US), 119 (EU)</i>	<i>Drama, Horror,</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>A Clockwork Orange</i>	<i>English</i>	<i>1971</i>	<i>136</i>	<i>Crime, Drama, Sci-Fi</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Birds</i>	<i>English</i>	<i>1963</i>	<i>119</i>	<i>Drama, Horror</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1960</i>	<i>109</i>	<i>Horror, Mystery, Thriller</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1998</i>	<i>104</i>	<i>Horror, Mystery, Thriller</i>	<i>Gus</i>	<i>Van Sant</i>	<i>July 24, 1952</i>	<i>USA</i>

Candidate Key (Minimal Super Key):

A) {Title, Language, ..., DateOfBirth, PlaceOfBirth} ✗

B) {Title, FirstName} ✓

C) {RunningTime} ✓

D) {ReleaseDate} ✓

# Functional Dependencies × Primary Key

Primary Key is a Candidate Key selected out of multiple Candidate Keys.

- A) (Title, FirstName)
- B) (RunningTime)
- C) (ReleaseDate)

Best Practice: The best candidate for Primary Key:

- I) Less #attributes AND
- II) The attributes are mandatory (must have value)

# Data Modeling × Normalization

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- I) Functional Dependencies
- II) Normal Forms

# Normalization × Normal Forms

Normalization is done through decomposing tables based on series of normal forms.

There are 11 normal forms:

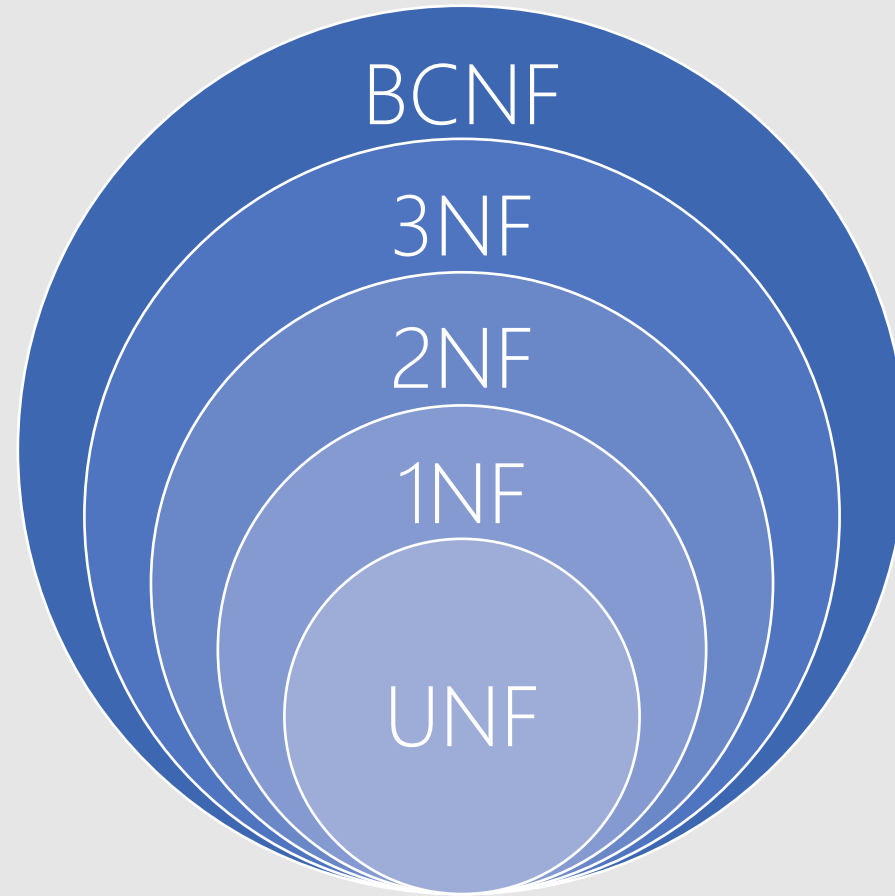
UNF (1970)	1NF (1971)	2NF (1971)	3NF (1971)	EKNF (1982)	BCNF (1974)	4NF (1977)	ETNF (2012)	5NF (1979)	DKNF (1981)	6NF (2003)
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But we only consider UNF, 1NF, 2NF, 3NF and BCNF.



# Normalization × Normal Forms

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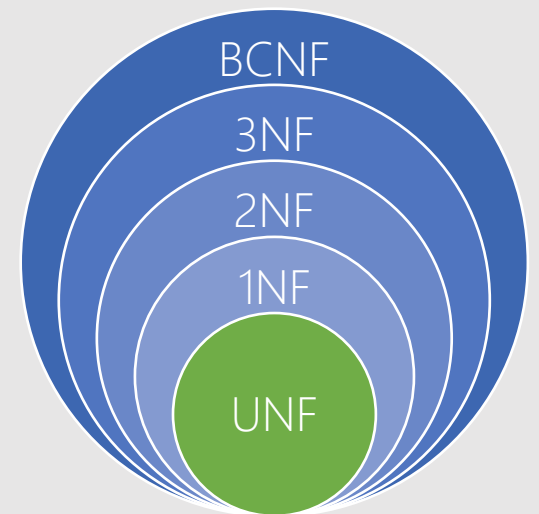


# Normalization × UNF

Unnormalized Form is the initial normal form where there is no duplicate tuple (row) in a table.

Any table MUST have a Primary Key (Entity Integrity).

This normal form is usually taken for granted.

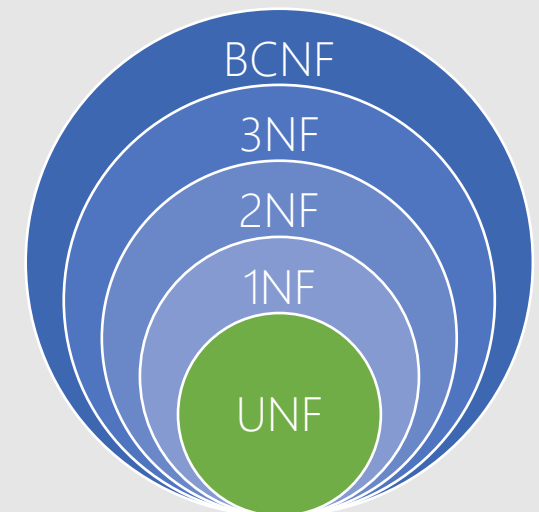


# Normalization × UNF

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Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	<i>English</i>	<i>1968</i>	<i>142</i>	<i>Sci-fi, Adventure</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Shining</i>	<i>English</i>	<i>1980</i>	<i>144 (US), 119 (EU)</i>	<i>Drama, Horror,</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>A Clockwork Orange</i>	<i>English</i>	<i>1971</i>	<i>136</i>	<i>Crime, Drama, Sci-Fi</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Birds</i>	<i>English</i>	<i>1963</i>	<i>119</i>	<i>Drama, Horror</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1960</i>	<i>109</i>	<i>Horror, Mystery, Thriller</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1998</i>	<i>104</i>	<i>Horror, Mystery, Thriller</i>	<i>Gus</i>	<i>Van Sant</i>	<i>July 24, 1952</i>	<i>USA</i>

UNF ✓

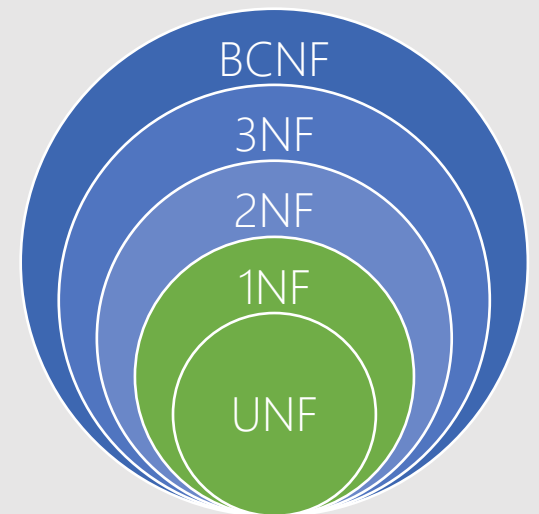


# Normalization × 1NF

1<sup>st</sup> Normal Form requires that the domain of each attribute contains only atomic (indivisible) values & UNF.

No composite attribute

No multivalued attribute

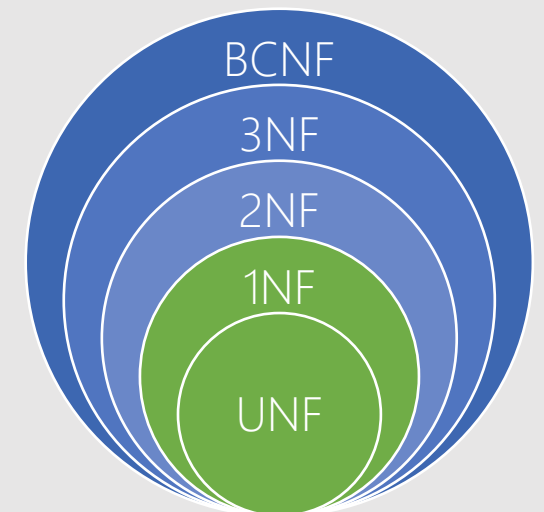


# Normalization × 1NF

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Movie								
Title	Language	ReleaseDate	RunningTime	Genre	FirstName	LastName	DateOfBirth	PlaceOfBirth
<i>2001: A Space Odyssey</i>	<i>English</i>	<i>1968</i>	<i>142</i>	<i>Sci-fi, Adventure</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
<i>The Shining</i>	<i>English</i>	<i>1980</i>	<i>144 (US), 119 (EU)</i>	<i>Drama, Horror,</i>	<i>Stanley</i>	<i>Kubrick</i>	<i>Jul. 26, 1928</i>	<i>USA</i>
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<i>Psycho</i>	<i>English</i>	<i>1960</i>	<i>109</i>	<i>Horror, Mystery, Thriller</i>	<i>Alfred</i>	<i>Hitchcock</i>	<i>Aug. 13, 1899</i>	<i>England</i>
<i>Psycho</i>	<i>English</i>	<i>1998</i>	<i>104</i>	<i>Horror, Mystery, Thriller</i>	<i>Gus</i>	<i>Van Sant</i>	<i>July 24, 1952</i>	<i>USA</i>

1NF ×



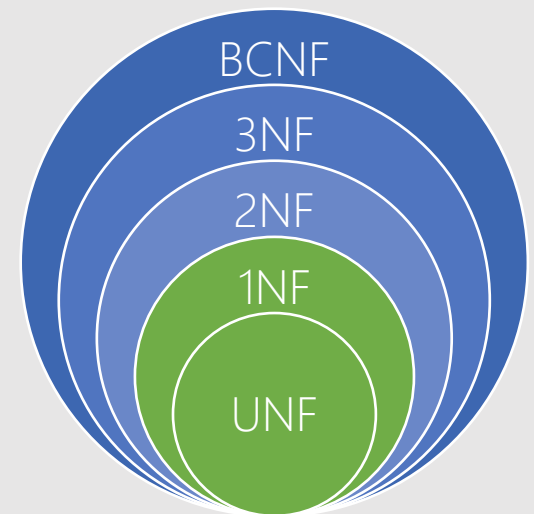
# Normalization × 1NF

Movie											
Title	Genre1	Genre2	Genre3	RunningTime1	Scope1	RunningTime2	Scope2	MonthOfBirth	DayOfBirth	YearOfBirth	...
<i>2001: A Space Odyssey</i>	<i>Sci-fi</i>	<i>Adventure</i>		142	<i>Globe</i>			<i>Jul.</i>	26	1928	
<i>The Shining</i>	<i>Drama</i>	<i>Horror</i>		144	<i>US</i>	119	<i>EU</i>	<i>Jul.</i>	26	1928	
<i>A Clockwork Orange</i>	<i>Crime</i>	<i>Drama</i>	<i>Sci-Fi</i>	136	<i>Globe</i>			<i>Jul.</i>	26	1928	
<i>The Birds</i>	<i>Drama</i>	<i>Horror</i>		119	<i>Globe</i>			<i>Aug.</i>	13	1899	
<i>Psycho</i>	<i>Horror</i>	<i>Mystery</i>	<i>Thriller</i>	109	<i>Globe</i>			<i>Aug.</i>	13	1899	
<i>Psycho</i>	<i>Horror</i>	<i>Mystery</i>	<i>Thriller</i>	104	<i>Globe</i>			<i>July</i>	24	1952	

Normalization: Each Part, One Column

1NF ✓

What's the problem with this way of normalization?



# Normalization × 1NF

Movie						
Id	Title	Language	ReleaseDate	MonthOfBirth	DayOfBirth	YearOfBirth
1	2001: A Space Odyssey	English	1968	Jul.	26	1928
2	The Shining	English	1980	Jul.	26	1928
3	A Clockwork Orange	English	1971	Jul.	26	1928
4	The Birds	English	1963	Aug.	13	1899
5	Psycho	English	1960	Aug.	13	1899
6	Psycho	English	1998	104	24	1952

MovieRunningTime			
Id	Movielid	RunningTime	Scope
1	1	142	Globe
2	2	144	US
3	2	119	EU
4	3	136	Globe
5	3	119	Globe
6	5	109	Globe

MovieGenre		
Id	Movielid	Genrelid
1	1	1
2	1	6
3	2	2
4	2	7
5	3	3
6	3	2
7	3	1
8	4	2
9	4	7
10	5	7
11	5	4
12	5	5

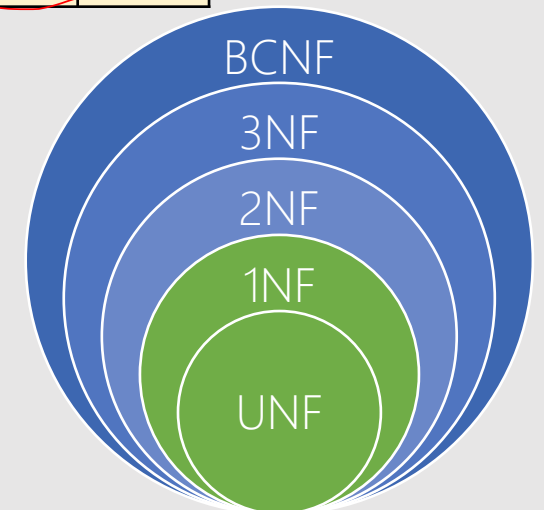
Genre	
Id	Title
1	Sci-fi
2	Drama
3	Crime
4	Mystery
5	Thriller
6	Adventure
7	Horror

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## Normalization:

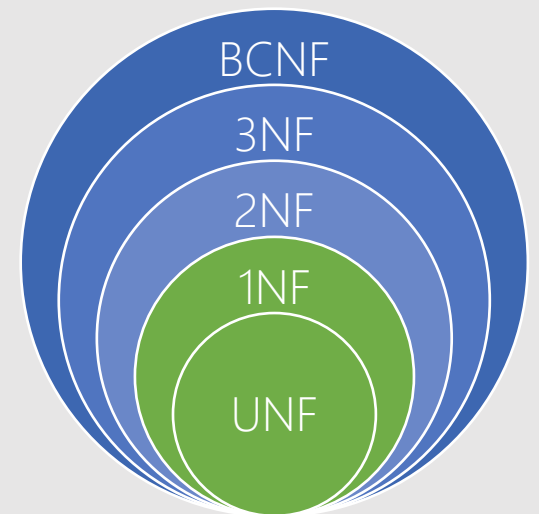
- Composite: Add Column
- Multivalued: One-2-Many or Many-2-Many

1NF ✓



# Normalization × U,1 NF

U-1NF: Requiring existence of "the key" in the table



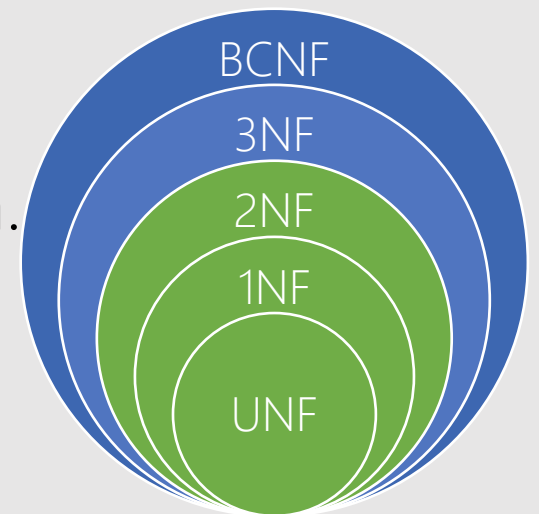


# Normalization × 2NF

2<sup>nd</sup> Normal Form requires that a table:

- I) Be in 1NF
- II) Does NOT have any non-key attribute that is dependent on any proper subset of any candidate key of the table.

A non-key attribute of a table is an attribute that is not a part of any candidate key of the relation.



# Normalization × 2NF

E.g.,  $T(A, B, C, D, E, F)$

CK1 = {A, B}      i.e.  $AB \rightarrow CDEF$

CK2 = {C, D, E}      i.e.  $CDE \rightarrow ABF$

$AB \rightarrow F$

$CDE \rightarrow F$

F is a non-key attribute since  $F \notin CK1$  and  $F \notin CK2$

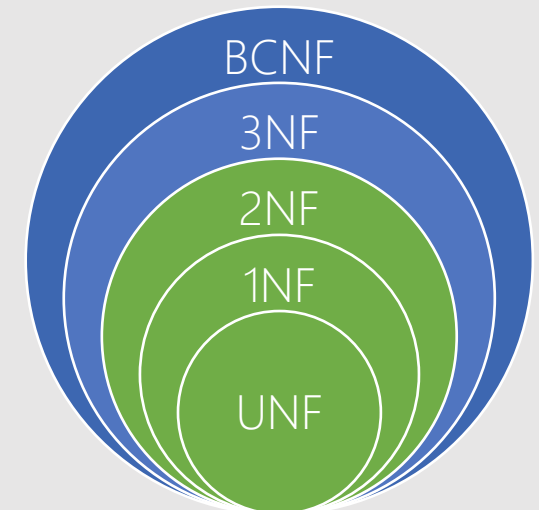
If T is in 2NF, there must be none of these functional dependencies

Subsets of CK1: {A}, {B}

$A \rightarrow F \mid B \rightarrow F$

Subsets of CK2: {C}, {D}, {E}, {CD}, {DE}, {CE}

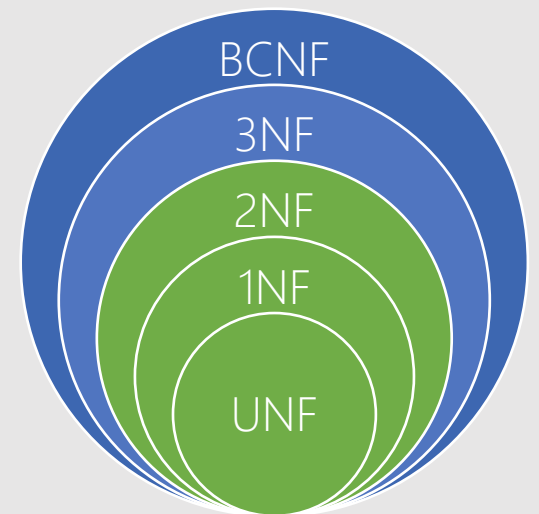
$C \rightarrow F \mid D \rightarrow F \mid E \rightarrow F \mid CD \rightarrow F \mid DE \rightarrow F \mid CE \rightarrow F$



# Normalization × U,1,2,3NF

U-1NF: Requiring existence of "the key" in the table

2NF: Requiring that non-key attributes be dependent on "the whole key"



# Normalization × 2NF

Movie					
Title	Language	ReleaseDate	FirstName	LastName	PlaceOfBirth
2001: A Space Odyssey	English	1968	Stanley	Kubrick	USA
The Shining	English	1980	Stanley	Kubrick	USA
A Clockwork Orange	English	1971	Stanley	Kubrick	USA
The Birds	English	1963	Alfred	Hitchcock	England
Psycho	English	1960	Alfred	Hitchcock	England
Psycho	English	1998	Gus	Van Sant	USA

Candidate Keys:

CK1={Title, FirstName}

CK2={RunningTime}

CK3={ReleaseDate}

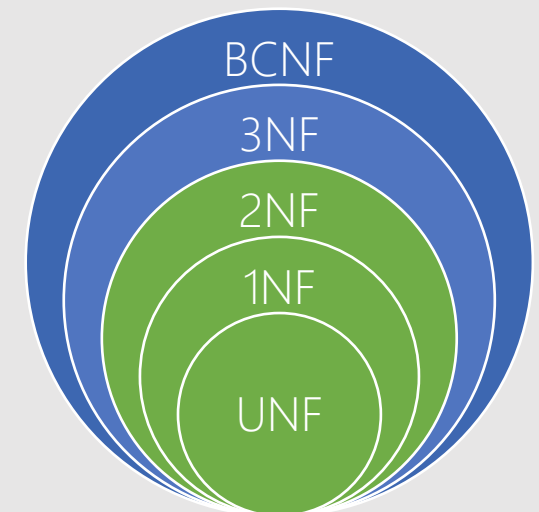
Non-keys:

- Language
- LastName
- PlaceOfBirth

2NF violations:

Title → Language

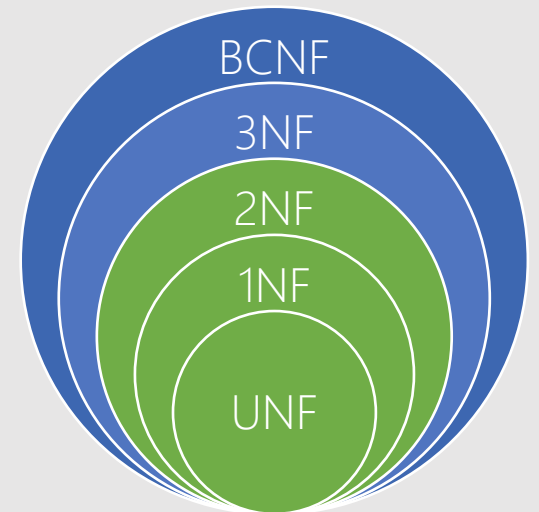
FirstName → LastName



# Normalization × 2NF

**ALGORITHM:** Normalize a table in 2NF

Move out data into new tables for functional dependencies that violate 2NF



# Normalization × 2NF

Movie				
Id	Title	LanguageId	ReleaseDate	DirectorId
1	2001: A Space Odyssey	1	1968	1
2	The Shining	1	1980	1
3	A Clockwork Orange	1	1971	1
4	The Birds	1	1963	2
5	Psycho	1	1960	2
6	Psycho	1	1998	3

Language	
Id	Title
1	English

Director			
Id	FirstName	LastName	PlaceOfBirth
1	Stanley	Kubrick	USA
2	Alfred	Hitchcock	England
3	Gus	Van Sant	USA

2NF ✗

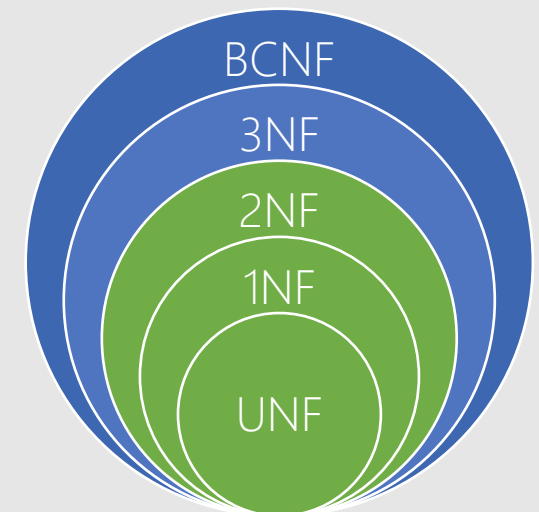
Why?

Title → Id  
✗

CK1 = {RD}

CK2 = {Id}

CK3 = {Title, DirId}



# Normalization × 2NF

Movie				
Id	Title	LanguageId	ReleaseDate	DirectorId
1	2001: A Space Odyssey	1	1968	1
2	The Shining	1	1980	1
3	A Clockwork Orange	1	1971	1
4	The Birds	1	1963	2
5	Psycho	1	1960	2
6	Psycho	1	1998	3

Language	
Id	Title
1	English

Director			
Id	FirstName	LastName	PlaceOfBirth
1	Stanley	Kubrick	USA
2	Alfred	Hitchcock	England
3	Gus	Van Sant	USA

2NF ✗

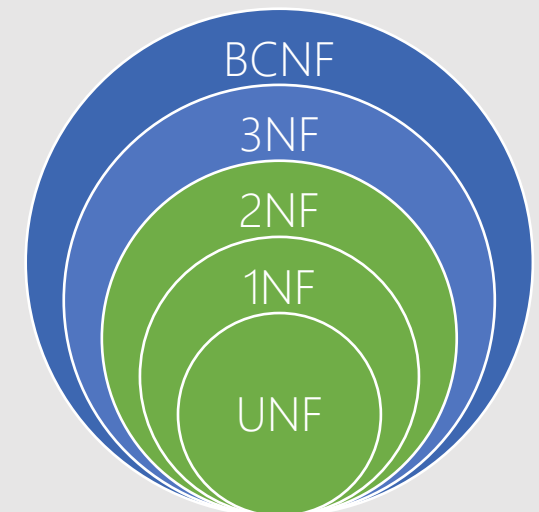
CK1: {Id}

CK2: {ReleaseDate}

CK3: {Title, DirectorId}

Non-Key: {LanguageId}

DirectorId → LanguageId ✗



# Normalization × 2NF

Movie			
Id	Title	ReleaseDate	DirectorId
1	2001: A Space Odyssey	1968	1
2	The Shining	1980	1
3	A Clockwork Orange	1971	1
4	The Birds	1963	2
5	Psycho	1960	2
6	Psycho	1998	3

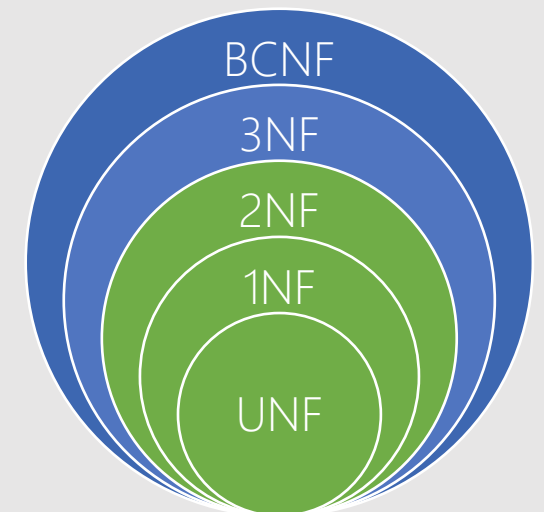
2NF ✓

MovieLanguage		
Id	Movied	Language
1	1	English
2	2	English
3	3	English
4	4	English
5	5	English
6	6	English

Title→Language

Director			
Id	FirstName	LastName	PlaceOfBirth
1	Stanley	Kubrick	USA ✓
2	Alfred	Hitchcock	England
3	Gus	Van Sant	USA ✓

FirstName → LastName





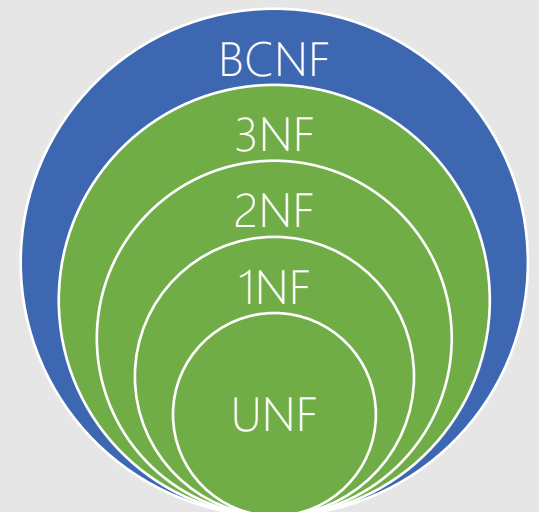
# Normalization × 3NF

3<sup>rd</sup> Normal Form requires that a table:

- I) Be in 2NF
- II) All the attributes in a table are determined only by the candidate keys and not by any non-key attributes.

{ Every non-key attribute of the table is non-transitively dependent only on all candidate keys.

No functional dependencies between non-keys.

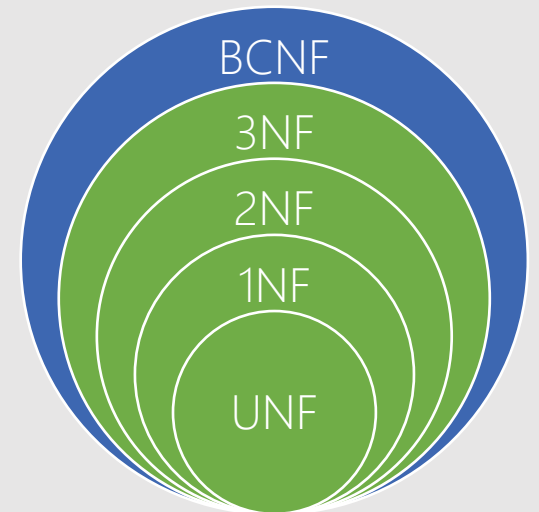


# Normalization × U,1,2,3NF

U-1NF: Requiring existence of "the key" in the table

2NF: Requiring that non-key attributes be dependent on "the whole key"

3NF: Requiring that non-key attributes be dependent on "nothing but the key"



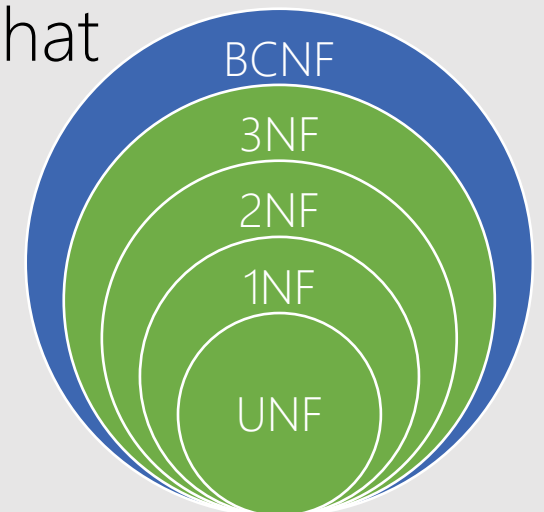
# Normalization × 3NF

E.g.,  $T(A, B, C, D, E, F)$   
 $CK1 = \{A, B\}$ , i.e.,  $AB \rightarrow CDEF$   
 $CK2 = \{C, D\}$ , i.e.,  $CD \rightarrow AB EF$

E and F are non-key attributes since  $E, F \notin CK1$  and  $E, F \notin CK2$

If T is 3NF, there must be **NO**  $(E \rightarrow F) \mid (F \rightarrow E)$  such that

$AB \rightarrow E$  &  $E \rightarrow F$  then  $AB \rightarrow F$  (transitivity)  
 $CD \rightarrow E$  &  $E \rightarrow F$  then  $CD \rightarrow F$  (transitivity)  
 $AB \rightarrow F$  &  $F \rightarrow E$  then  $AB \rightarrow E$  (transitivity)  
 $CD \rightarrow F$  &  $F \rightarrow E$  then  $CD \rightarrow E$  (transitivity)



# Normalization × 3NF

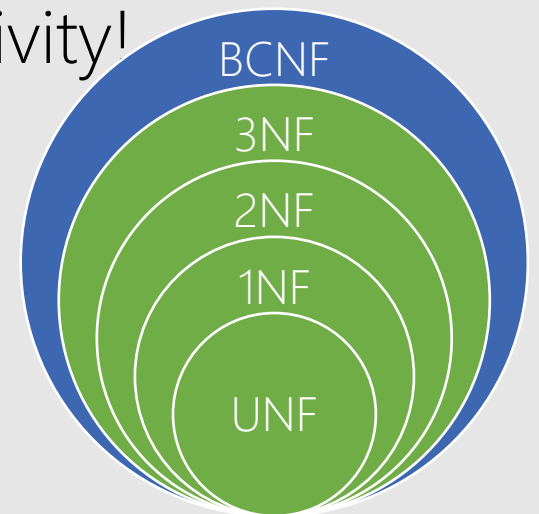
E.g.,  $T(A, B, C, D, E, F)$

$CK1 = \{A, B\}$ , i.e.,  $AB \rightarrow CDEF$

$CK2 = \{C, D\}$ , i.e.,  $CD \rightarrow AB EF$

E and F are non-key attributes since  $E, F \notin CK1$  and  $E, F \notin CK2$

CK1 or CK2 should give E or F directly, not via transitivity!



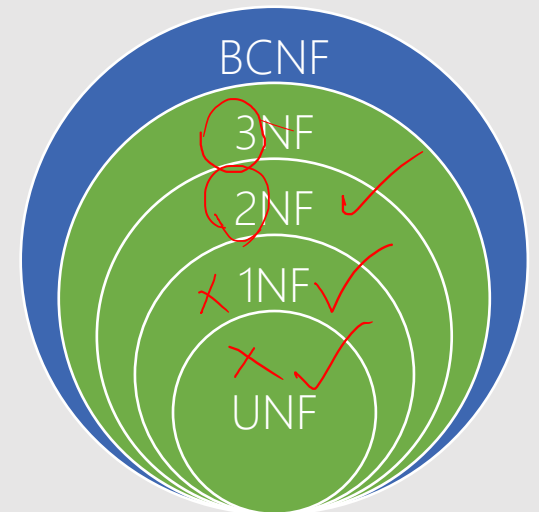
# Normalization × 3NF × Example

Invoice(Id, CustomerId, ProductId, Quantity, Price)

PK={Id} i.e., Id → CustomerId, ProductId, Quantity, Price

ProductId → Price

Invoice is NOT in 3NF since ...



# Normalization × 3NF × Example

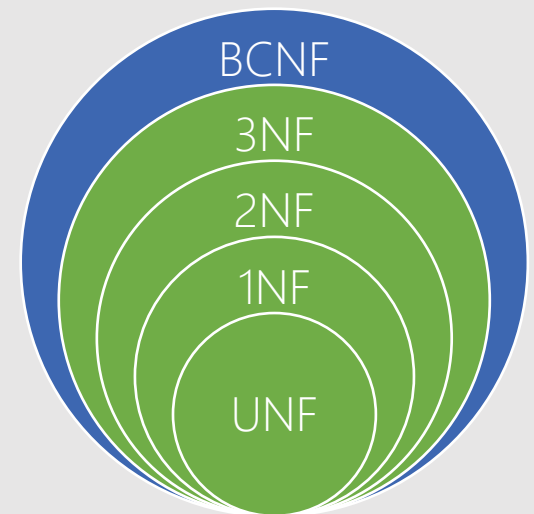
Invoice(Id, CustomerId, ProductId, Quantity, Price)

PK={Id} i.e., OrderId → CustomerId, ProductId, Quantity, Price

ProductId → Price

ProductId as a non-key is a determinant for Price as another non-key

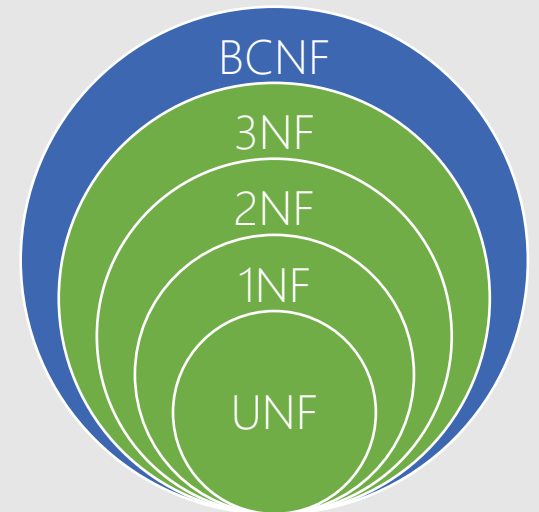
Id → ProductId & ProductId → Price THEN Id → Price (transitive)



# Normalization × 3NF

**ALGORITHM:** Normalize a table in 3NF

Move out data into new tables for functional dependencies that violate 3NF



# Normalization × 3NF × Example

Invoice(Id, CustomerId, ProductId, Quantity, Price)

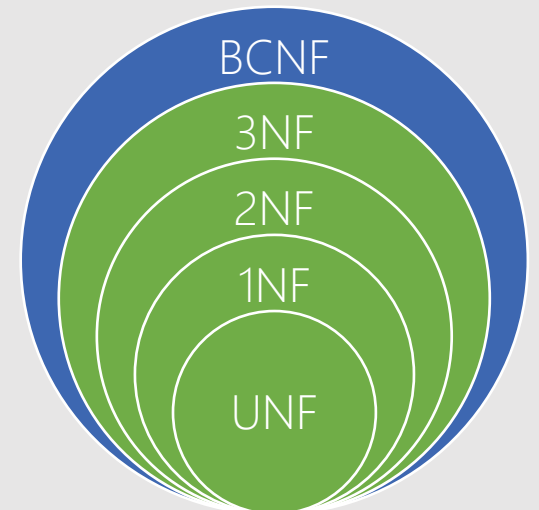
PK={Id} i.e., Id → CustomerId, ProductId, Quantity, Price

ProductId → Price

Invoice(Id, CustomerId, ProductId, Quantity)

ProductPrice(Id, ProductId, Price)

CK1 = {ProductId}





# Normalization × U-1,2,3NF

U-1NF: Requiring existence of "the key" in the table

2NF: Requiring that non-key attributes be dependent on "the whole key"

3NF: Requiring that non-key attributes be dependent on "nothing but the key"

○ Both 2NF and 3NF are concerned equally with ALL Candidate Keys of a table and not just any one key

○ If there is no non-key, i.e., all attributes are part of at least a candidate key, then table is already in 2NF and 3NF.

○ In 3NF, a non-key attribute is able to be a determinant of a key attribute!

