

CORPORATE GOVERNANCE

General Information of the Company

Scrip Code	500350
NSE Symbol	RSWM
MSEI Symbol	NOTLISTED
ISIN	INE611A01016
Name of the Entity	RSWM LIMITED
Date of start of Financial Year	01/04/2023
Date of end of Financial Year	31/03/2024
Reporting Quarter	Yearly
Date of Report	31/03/2024
Risk Management Committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I
Annexure I to be Submitted by Listed Entity on Quarterly Basis
I. Composition of Board of Directors

Disclosure of notes on Composition of Board of Directors Explanatory

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Director ship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of independent Director ship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of members in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Number of post of Chairper son in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN	
1	Mr	Riju Jhunjhunwala	AAKPJ4377M	00061060	Executive Director	Chairperson	CEO-MD	13-01-1979	No					Active	NA		23-10-2003	01-05-2021			3	0	2	1			
2	Mr	Ravi Jhunjhunwala	AAGPJ0739D	00060972	Non-Executive - Non Independent Director	Not Applicable		28-10-1955	No					Active	NA		18-05-1979	18-05-1979			6	2	5	2			
3	Mr	Shekhar Agarwal	AAEPA6590M	00066113	Non-Executive - Non Independent Director	Not Applicable		09-10-1952	No					Active	NA		13-02-1984	25-09-2009			5	0	4	0			
4	Mr	Brij Mohan Sharma	AOMPS2044A	08195895	Executive Director	Not Applicable	MD	08-11-1957	No					Active	NA		07-08-2018	07-08-2022			1	0	0	0			
5	Mr	Arun Churiwal	ACTPC0401E	00001718	Non-Executive - Non Independent Director	Not Applicable		15-05-1950	No					Active	NA		23-10-2003	01-04-2016			3	0	4	1			
6	Mr	Kamal Gupta	AAHPG1101F	00038490	Non-Executive - Independent Director	Not Applicable		12-02-1946	No					Active	Yes	11-09-2019	16-09-2014	16-09-2019		114	3	3	9	4			
7	Mr	Amar Nath Choudhary	ABVPC0060A	00587814	Non-Executive - Independent Director	Not Applicable		06-03-1944	No					Active	Yes	11-09-2019	16-09-2014	16-09-2019		114	2	2	1	0			
8	Mr	Deepak Jain	AAEPJ1525D	00004972	Non-Executive - Independent Director	Not Applicable		06-04-1975	No					Active	NA		11-05-2016	11-05-2021			95	4	2	3	1		
9	Mrs	Archana Kapoor	ABSPC4053C	01204170	Non-Executive - Independent Director	Not Applicable		17-09-1958	No					Active	NA		13-02-2018	13-02-2023			74	6	6	6	2		
10	Mr	Surya Kant Gupta	AAUPG3837H	00323759	Non-Executive - Independent Director	Not Applicable		11-02-1951	No					Active	Yes	15-09-2023	10-08-2023	10-08-2023		8	1	0	0	0			

Annexure 1									
II. Composition of Committees									
Disclosure of notes on Composition of Committees Explanatory									
Audit Committee Details									
Whether the Audit Committee has a regular Chairperson						Yes			
Sr.	DIN	Name of Committee members	Category 1 of directors		Category 2 of directors	Date of Appointment	Date of Cessation		
1	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director		Chairperson	31/10/2000			
2	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director		Member	28/01/2010			
3	01204170	Smt. Archana Kapoor	Non-Executive - Independent Director		Member	12/08/2019			
Nomination and remuneration committee									
Whether the Nomination & Remuneration Committee has a regular Chairperson						Yes			
1	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director		Chairperson	15/01/2002			
2	00066113	Mr. Shekhar Agarwal	Non-Executive - Non Independent Director		Member	17/03/2009			
3	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director		Member	21/05/2019			
Stakeholders Relationship Committee									
Whether the Stakeholders' Relationship Committee has a regular Chairperson						Yes			
1	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director		Chairperson	02/05/2001			
2	00066113	Mr. Shekhar Agarwal	Non-Executive - Non Independent Director		Member	02/05/2001			
3	00001718	Mr. Arun Churiwal	Non-Executive - Non Independent Director		Member	29/04/2009			
Risk Management Committee									
Whether the Risk Management Committee has a regular Chairperson						Yes			
1	00061060	Mr. Riju Jhunjhunwala	Executive Director		Chairperson	28/05/2021			
2	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director		Member	28/05/2021			
3	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director		Member	28/05/2021			
Corporate Social Responsibility Committee									
Whether the Corporate Social Responsibility Committee has a regular Chairperson						Yes			
1	00001718	Mr. Arun Churiwal	Non-Executive - Non Independent Director		Chairperson	22/04/2014			
2	00061060	Mr. Riju Jhunjhunwala	Executive Director		Member	22/04/2014			
3	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director		Member	22/04/2014			
Other Committee									

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on Composition of Board of Directors Explanatory

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	09-11-2023			Yes	10	9	4
2	01-12-2023	21		Yes	10	10	5
3	24-01-2024	53		Yes	10	7	3
4	09-02-2024	15		Yes	10	9	4
5	21-03-2024	40		Yes	10	9	4
6	29-03-2024	7		Yes	10	10	5

* To be filled in only for the current quarter meetings

Annexure 1

IV. Meeting of Committees

Disclosure of notes on Composition of Committees Explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	09-11-2023				Yes	3	3	3	0
2	Audit Committee	01-12-2023	21			Yes	3	3	3	0
3	Audit Committee	21-12-2023	19			Yes	3	3	3	0
4	Audit Committee	09-02-2024	49			Yes	3	3	3	0
5	Audit Committee	29-03-2024	48			Yes	3	3	3	0
6	Nomination and remuneration committee	24-01-2024				Yes	3	2	1	0
7	Nomination and remuneration committee	09-02-2024	15			Yes	3	3	2	0
8	Stakeholders Relationship Committee	09-11-2023				Yes	3	3	1	0
9	Stakeholders Relationship Committee	09-02-2024	91			Yes	3	3	1	0
10	Risk Management Committee	21-12-2023				Yes	3	2	2	0
11	Corporate Social Responsibility Committee	09-11-2023				Yes	3	2	1	0
12	Corporate Social Responsibility Committee	09-02-2024	91			Yes	3	3	1	0

* To be filled in only for the current quarter meetings.

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Other details of cyber security incidence or breaches or loss of data event		Add Notes
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Annexure 1 V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of Audit Committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Noes
Disclosure of notes of material transaction with related party			Add Noes

Annexure 1
VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. a. Audit Committee	YES
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & Remuneration Committee	YES
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders Relationship Committee	YES
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	YES
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. (This report will be placed in ensuing Board meeting and the previous report had been placed in the previous Board meeting.)	YES
9	Any comments/observations/advice of Board of Directors may be mentioned here:	There were no comments/observations/advice on the said report by the Board of Directors.

Annexure I

Sr	Subject	Compliance status
1	Name of Signatory	SURENDER GUPTA
2	Designation	Company Secretary and Compliance Officer

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.rswm.in
2	Terms and conditions of appointment of independent directors	Yes		www.rswm.in
3	Composition of various committees of board of directors	Yes		www.rswm.in
4	Code of conduct of board of directors and senior management personnel	Yes		www.rswm.in
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.rswm.in
6	Criteria of making payments to non-executive directors	Yes		www.rswm.in
7	Policy on dealing with related party transactions	Yes		www.rswm.in
8	Policy for determining 'material' subsidiaries	Yes		www.rswm.in
9	Details of familiarization programmes imparted to independent directors	Yes		www.rswm.in
10	Email address for grievance redressal and other relevant details	Yes		www.rswm.in
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.rswm.in
12	Financial results	Yes		www.rswm.in
13	Shareholding pattern	Yes		www.rswm.in
14	Details of agreements entered into with the media companies and/or their associates	NA		
15.1	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.rswm.in
15.2	Audio or video recordings and transcripts of post earnings/quarterly calls	Yes		www.rswm.in
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.rswm.in
18	Credit rating or revision in credit rating obtained	Yes		www.rswm.in
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Secretarial Compliance Report	Yes		www.rswm.in
21	Materiality Policy as per Regulation 30 (4)	Yes		www.rswm.in
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes		www.rswm.in
23	Disclosures under regulation 30(8)	Yes		www.rswm.in
24	Statements of deviation(s) or variations(s) as specified in regulation 32	Yes		www.rswm.in
25	Dividend Distribution policy as per Regulation 43A(1)	Yes		www.rswm.in
26	Annual return as provided under section 92 of the Companies Act, 2013	Yes		www.rswm.in
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes		www.rswm.in
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes		www.rswm.in
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]	Add Notes		

Annexure II

II. Annual Affirmations		Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'		16(1)(b) & 25(6)	Yes	
2	Board composition		17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors		17(2)	Yes	
4	Quorum of Board meeting		17(2A)	Yes	
5	Review of Compliance Reports		17(3)	Yes	
6	Plans for orderly succession for appointments		17(4)	Yes	
7	Code of Conduct		17(5)	Yes	
8	Fees/compensation		17(6)	Yes	
9	Minimum Information		17(7)	Yes	
10	Compliance Certificate		17(8)	Yes	
11	Risk Assessment & Management		17(9)	Yes	
12	Performance Evaluation of Independent Directors		17(10)	Yes	
13	Recommendation of Board		17(11)	Yes	
14	Maximum number of Directorships		17A	Yes	
15	Composition of Audit Committee		18(1)	Yes	
16	Meeting of Audit Committee		18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee		18(3)	Yes	
18	Composition of nomination & remuneration committee		19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting		19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee		19(3A)	Yes	
21	Role of Nomination and Remuneration Committee		19(4)	Yes	
22	Composition of Stakeholder Relationship Committee		20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholder Relationship Committee		20(3A)	Yes	
24	Role of Stakeholders Relationship Committee		20(4)	Yes	
25	Composition and role of risk management committee		21(1),(2),(3),(4)	Yes	
26	Meeting of Risk Management Committee		21(3A)	Yes	
27	Quorum of Risk Management Committee meeting		21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee		21(3C)	Yes	
29	Vigil Mechanism		22	Yes	
30	Policy for related party Transaction		23(1), (1A), (5), (6), & (8)	Yes	
31	Prior or Omnibus approval of Audit Committee for all related party transactions		23(2), (3)	Yes	
32	Approval for material related party transactions		23(4)	NA	
33	Disclosure of related party transactions on consolidated basis		23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary		24(1)	NA	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity		24(2),(3),(4),(5) & (6)	Yes	
36	Alternate Director to Independent Director		25(1)	NA	
37	Maximum Tenure		25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism		25(2A)	Yes	
39	Meeting of independent directors		25(3) & (4)	Yes	
40	Familiarization of independent directors		25(7)	Yes	
41	Declaration from Independent Director		25(8) & (9)	Yes	
42	Directors and Officers insurance		25(10)	Yes	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity		25(11)	NA	
44	Memberships in Committees		26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel		26(3)	Yes	
46	Disclosure of Shareholding by Non-Executive Directors		26(4)	Yes	
47	Policy with respect to Obligations of directors and senior management		26(2) & 26(5)	Yes	
48	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity		26(6)	NA	
49	Vacancies in respect Key Managerial Personnel		26A(1) & 26A(2)	NA	
Any other information to be provided				Add Notes	

Annexure II

1	Name of signatory	SURENDER GUPTA
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		Add Notes

Annexure II		
1	Name of signatory	SURENDER GUPTA
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure	Applicable	Add Notes	
Reason for Non Applicability	Add Notes		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below		The Figure should be mentioned in actual INR only	
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0.00	0.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	-	0.00	0.00
Promoter Group or any other entity controlled by them	-	0.00	0.00
Directors (including relatives) or any other entity controlled by them	Guarantee and Letter of Comfort	0.00	20000000.00
KMPs or any other entity controlled by them	-	0.00	0.00
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	-	0.00	0.00
Promoter Group or any other entity controlled by them	-	0.00	0.00
Directors (including relatives) or any other entity controlled by them	-	0.00	0.00
KMPs or any other entity controlled by them	-	0.00	0.00
(D) Additional Information			Add Notes
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s),		Yes	Add Notes
Name	AVINASH BHARGAVA	Add Notes	
Designation	CFO		
Place	NOIDA		
Date	19-04-2024		

Signatory Details	
Name of signatory	SURENDER GUPTA
Designation of person	Company Secretary and Compliance Officer
Place	NOIDA
Date	19-04-2024