

CSC 330 / Spring 2026

OCaml
Local Bindings, Options

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based on Dan Grossman's Lecture materials

OCaml so far

- ▶ Types: `int`, `bool`, `t1 -> t2`, `t1 * t2 * ... * tN`, `t list`
- ▶ Expressions:

<code>34</code>	<code>x</code>	<code>true</code>	<code>e1 + e2</code>	<code>if e1 then e2 else e3</code>
<code>f (e1, ..., eN)</code>	<code>f e1</code>	<code>(e1, e2, ..., eN)</code>	<code>fst</code>	<code>snd</code>
<code>[]</code>	<code>e1 :: e2</code>	<code>l = []</code>	<code>List.hd</code>	<code>List.tl</code>

- ▶ Bindings

<code>let x = e</code>	<code>let [rec] f ((x1 : t1), ..., (xN : tN)) = e</code>
------------------------	--

let expressions

Local bindings (a.k.a. let expressions)

- ▶ Expressions can have their own local (private) variable and function bindings
- ▶ Why? For...
 - style and convenience: let's name intermediate results
 - consistency: everything else can nest
 - efficiency: avoid unnecessary recomputation
 - ▶ *not just a little faster!*
 - safety and maintenance: hide implementation details from clients

Let expressions

- ▶ **Syntax:**

```
let x = e1 in e2
```

where e_1 and e_2 are expressions

- ▶ **Type checking:**

- If e_1 has type t_1 in current static environment,
and e_2 has type t_2 in static environment extended with $x \mapsto t_1$
 - ▶ then: $\text{let } x = e_1 \text{ in } e_2$ has type t_2
 - ▶ else: report error and fail

Let expressions

► Evaluation:

```
let x = e1 in e2
```

- If $e1$ evaluates to $v1$ in current dynamic environment,
and $e2$ evaluates to $v2$ in dynamic environment *extended with* $x \mapsto v1$:
 - then: $let\ x\ =\ e1\ in\ e2$ evaluates to value $v2$

Let expressions are just expressions

A let expression can go **anywhere** an expression can go because it is an expression!

- ▶ Also: *multiple bindings* is just nested let-expressions
 - But as style, don't indent them that way

Local bindings: what's new?

- ▶ Scope:
 - More control over which parts of a program can access a binding
 - For let expressions, the scope is **just the body** of the let
- ▶ Nothing else is really new
 - *let expressions* just work pretty much like top-level *let bindings*

Let expressions: local function bindings

- ▶ **Syntax:**

```
let [rec] f ((x1 : t1), ..., (xN : tN)) = e in e2
```

- ▶ **Type checking:** same as top-level function binding, using static environment where the let expression occurs
- ▶ **Evaluation:** same as top-level function binding, using dynamic environment where the let expression occurs
- ▶ **Local binding:** `f` used only in `e` (if `rec` present), and `e2` (always)

Let expressions: an example

- ▶ Not great style:

```
let nats_up to (x : int) =
  let rec range ((lo : int), (hi : int)) =
    if lo < hi then
      lo :: range (lo + 1, hi)
    else
      []
in
range (0, x)
```

```
nats_up to 5 ;;
- : int list = [0; 1; 2; 3; 4]
```

- `range` is hidden... No one else can use it!
- Also, does `range` really need the `hi` parameter?

Let expressions: an example

- ▶ Better style:

```
let nats_upto (x : int) =
  let rec loop (lo : int) =
    if lo < x then
      lo :: loop (lo + 1)
    else
      []
in
loop 0
```

```
nats_upto 5 ;;
- : int list = [0; 1; 2; 3; 4]
```

- ▶ Functions can use bindings from the environment where they are defined, including:
 - “outer” environments, parameters to outer function, etc.
- ▶ Unnecessary parameters are *bad style*

Nested functions: style

- ▶ Good style to define helper functions inside if they are:
 - Not useful elsewhere (avoid clutter)
 - Likely to be misused elsewhere (improve reliability)
 - Likely to be changed or removed (hide implementation detail)
- ▶ Fundamental tradeoff:
 - Reusing code saves efforts and (usually) avoids bugs, ...
 - *but* makes it harder to change later (lots of people depend on its details)

⚠️ Repeated recursion ⚠️

How much work does this function do?

```
let rec bad_max (xs : int list) =
  if xs = [] then
    0 (* bad style, will fix later *)
  else if List.tl xs = [] then
    List.hd xs
  else if List.hd xs > bad_max (List.tl xs) then
    List.hd xs
  else
    bad_max (List.tl xs)
```

☢️ Repeated recursion ☠️

- ▶ How much work does this function do?

```
bad_max (List.rev (nats_upto 50)) ;;  
- : int = 49 (* returns in microseconds *)
```

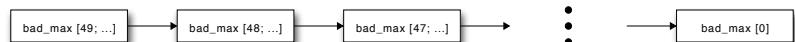
```
bad_max (nats_upto 50) ;;  
- : int = ... (* still running *)
```

- ▶ Why?

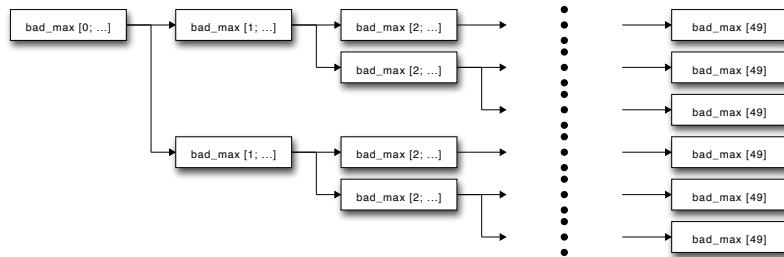


Repeated recursion ☣: fast vs. unusable

```
if List.hd xs > bad_max (List.tl xs)
  then List.hd xs
  else bad_max (List.tl xs)
```



50 calls



2^{50} calls

Repeated recursion: math and explosion

- ▶ Suppose the logic in one `bad_max` call *not counting recursion* takes 1 ms
 - It doesn't matter if this guess is off by 1000×
- ▶ Then `bad_max [49; ... ; 0]` takes 50 ms
 - but `bad_max [0; ...; 49]` takes 2^{50} ms, or 35+ years!
 - ▶ (Not so) fun fact: `bad_max [0; ...; 51]` takes 4× longer

Using `let` to avoid repeated recursion

```
1 let rec better_max (xs : int list) =
2   if xs = [] then
3     0 (* bad style *)
4   else if List.tl xs = [] then
5     List.hd xs
6   else
7     let tl_max = better_max (List.tl xs) in
8     if List.hd xs > tl_max then
9       List.hd xs
10    else
11      tl_max
```

- ▶ Line 8: Recurse over the list tail *once*
- ▶ Store result in the environment bound to `tl_max`

Fast vs. fast

```
let tl_max = better_max (List.tl xs) in
  if List.hd xs > tl_max then
    List.hd xs
  else
    tl_max
```



What about partial functions?

- ▶ Many computations are not defined or “misbehave” for some inputs:
 - `List.hd []`, `List.tl []`, maximum element of `[]` , `1 / 0`, etc.
- ▶ OCaml does provide *exceptions* for such cases
 - We will see and use exceptions more later
 - But exceptions let you forget-about-the-exceptional-thing, and that can lead to surprising and undesirable control flow
- ▶ OCaml also provides an option type for handling partial functions
 - also for when your data structure “may or may not have some data”

Demonstration 1: Local bindings

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```
# let silly1 (z : int) =
let x = if z > 0 then z else 34 in
let y = x + 9 in
  if x > y then x * 2 else y * y

let silly2 () = (* poor style, just showing let expressions are expressions *)
  let x = 1 in
    (let x = 1 in x + 1) + (let y = x + 2 in y + 1)

let s = silly2 () ;;
```

Demonstration 1: Local bindings

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  (let x = 1 in x + 1) + (let y = x + 2 in y + 1)

let s = silly2 () ;;
val silly1 : int -> int = <fun>
val silly2 : unit -> int = <fun>
val s : int = 6
```

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val s : int = 6

# let celsius_of_fahrenheit (tempF : float) =
  (tempF -. 32.0) *. 5.0 /. 9.0

(* Example where let expressions probably make code more readable even though
   no computations are repeated (we will have better ways to do this with variant types) *)
let is_first_warmer ((temp1 : float), (is_celsius1 : bool),
                     (temp2 : float), (is_celsius2 : bool)) =
  let temp1C = if is_celsius1 then temp1 else celsius_of_fahrenheit temp1 in
  let temp2C = if is_celsius2 then temp2 else celsius_of_fahrenheit temp2 in
  temp1C > temp2C

let res = is_first_warmer (0.1, true, 5., false) ;;
```

Demonstration 1: Local bindings

```
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  let x = if z > 0 then z else 34 in
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(* Example where let expressions probably make code more readable even though
   no computations are repeated (we will have better ways to do this with variant types) *)
let is_first_warmer ((temp1 : float), (is_celsius1 : bool),
                     (temp2 : float), (is_celsius2 : bool)) =
  let temp1C = if is_celsius1 then temp1 else celsius_of_fahrenheit temp1 in
  let temp2C = if is_celsius2 then temp2 else celsius_of_fahrenheit temp2 in
  temp1C > temp2C

let res = is_first_warmer (0.1, true, 5., false) ;;
val celsius_of_fahrenheit : float -> float = <fun>
val is_first_warmer : float * bool * float * bool -> bool = <fun>
val res : bool = true
```

Demonstration 1: Local bindings

Demonstration 1: Local bindings

```
# (* Example where we avoid repeating a computation, but it would not matter much *)
let rec squaring_sequence ((x : float), (n : int)) =
  if n = 0 then
    []
  else
    let sq = x *. x in
    sq :: squaring_sequence (sq, n - 1) ;;
```

Demonstration 1: Local bindings

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# (* Example where we avoid repeating a computation, but it would not matter much *)
let rec squaring_sequence ((x : float), (n : int)) =
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val squaring_sequence : float * int -> float list = <fun>
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Demonstration 1: Local bindings

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let rec squaring_sequence ((x : float), (n : int)) =
  if n = 0 then
    []
  else
    let sq = x *. x in
    sq :: squaring_sequence (sq, n - 1) ;;
val squaring_sequence : float * int -> float list = <fun>

# let rec range ((lo : int), (hi : int)) =
  if lo < hi then lo :: range (lo + 1, hi)
  else []
let nats_upto1 (x : int) =
  range (0, x)

let nats_upto2 (x : int) =
  let rec range ((lo : int), (hi : int)) =
    if lo < hi then lo :: range (lo + 1, hi)
    else []
  in
  range (0, x)

let nats_upto3 (x : int) =
  let rec loop (lo : int) = (* loop is NOT a special word, could call it fred *)
    if lo < x then lo :: loop (lo + 1) else []
  in
  loop 0
let n = nats_upto3 10 ;;
```

Demonstration 1: Local bindings

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# (* Example where we avoid repeating a computation, but it would not matter much *)
let rec squaring_sequence ((x : float), (n : int)) =
  if n = 0 then
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  else
    let sq = x *. x in
    sq :: squaring_sequence (sq, n - 1) ;;
val squaring_sequence : float * int -> float list = <fun>

# let rec range ((lo : int), (hi : int)) =
  if lo < hi then lo :: range (lo + 1, hi)
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let nats_upto1 (x : int) =
  range (0, x)

let nats_upto2 (x : int) =
  let rec range ((lo : int), (hi : int)) =
    if lo < hi then lo :: range (lo + 1, hi)
    else []
  in
  range (0, x)

let nats_upto3 (x : int) =
  let rec loop (lo : int) = (* loop is NOT a special word, could call it fred *)
    if lo < x then lo :: loop (lo + 1) else []
  in
  loop 0

let n = nats_upto3 10 ;;
val range : int * int -> int list = <fun>
val nats_upto1 : int -> int list = <fun>
val nats_upto2 : int -> int list = <fun>
val nats_upto3 : int -> int list = <fun>
val n : int list = [0; 1; 2; 3; 4; 5; 6; 7; 8; 9]
```

Options

Options

- ▶ Options are basically: “lists” of length zero or one, but with a *different* type constructor
- ▶ A value of type `t option` can either be:
 - `None`: a valid value of type `t option` for *any* type `t`
 - ▶ like `[]` for `t list`
 - `Some v`, where value `v` has type `t`
 - ▶ like `[v]` for `t list`

`option` is another type constructor, just like our other friends: `t1 * t2`, `t1 -> t2`, `t list`

Options: building

- ▶ Syntax:

- `None`

- ▶ Type checking:

- `None` has type `'a option`

- ▶ Evaluation:

- `None` is a value

- `None` has type `t option` for any `t`

Options: building

- ▶ Syntax:

`Some e`

where `e` is an expression

- ▶ Type checking:

- If `e` has type `t`:

- ▶ then: `Some e` has type `t option`

- ▶ else: report error and fail

- ▶ Evaluation:

- If `e` evaluates to value `v`:

- ▶ then: `Some e` evaluates to value `Some v`

Options: testing for None

► Syntax:

```
e = None
```

where `e` is an expression

► Type checking:

- If has `e` has type `t option`:
 - ▶ then: `e = None` has type `bool`
 - ▶ else: report error and fail

► Evaluation:

- If `e` evaluates to value `None`:
 - ▶ then: `e = None` evaluates to value `true`
 - ▶ else: `e = None` evaluates to value `false`

Alternately, use library functions `Option.is_some` or `Option.is_none`

Options: getting the element

► Syntax:

`Option.get e`

where `e` is an expression

► Type checking:

- If `e` has type `t option`:
 - ▶ then: `Option.get e` has type `t`
 - ▶ else: report error and fail

► Evaluation:

- If `e` evaluates to value `Some v`:
 - ▶ then: `Option.get e` evaluates to value `v`
 - ▶ else: `e` evaluated to `None` so `Option.get e` raises an exception

Much better **max**

```
1 let rec good_max (xs : int list) =
2   if xs = [] then
3     None
4   else
5     let tl_ans = good_max1 (List.tl xs) in
6     if Option.is_some tl_ans && Option.get tl_ans > List.hd xs then
7       tl_ans
8     else
9       Some (List.hd xs)
```

- ▶ returns an **int option**, NOT an **int**, so can finally give a reasonable answer for *bad* inputs (e.g., **[]**)
- ▶ clients are forced to consider data and no-data cases

Demonstration 2: Options

Demonstration 2: Options

```
# let rec bad_max (xs : int list) =
  if xs = [] then
    0 (* bad semantic style *)
  else if List.tl xs = [] then
    List.hd xs
  else if List.hd xs > bad_max (List.tl xs) then
    List.hd xs
  else
    bad_max (List.tl xs) ;;
```

Demonstration 2: Options

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# let rec bad_max (xs : int list) =
  if xs = [] then
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  else if List.tl xs = [] then
    List.hd xs
  else if List.hd xs > bad_max (List.tl xs) then
    List.hd xs
  else
    bad_max (List.tl xs) ;;
val bad_max : int list -> int = <fun>
```

Demonstration 2: Options

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  else if List.tl xs = [] then
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val bad_max : int list -> int = <fun>

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  if xs = [] then
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  else if List.tl xs = [] then
    List.hd xs
  else
    let tl_max = better_max (List.tl xs) in
    if List.hd xs > tl_max then
      List.hd xs
    else
      tl_max ;;
```

Demonstration 2: Options

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  else if List.tl xs = [] then
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  else
    bad_max (List.tl xs) ;;
val bad_max : int list -> int = <fun>

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  else
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    else
      tl_max ;;
val better_max : int list -> int = <fun>
```

Demonstration 2: Options

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# let rec good_max1 (xs : int list) =
  if xs = [] then
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    if Option.is_some tl_ans && Option.get tl_ans > List.hd xs then
      tl_ans
    else
      Some (List.hd xs)

let good_max2 (xs : int list) =
  if xs = [] then
    None
  else
    let rec max_nonempty (xs : int list) =
      if List.tl xs = [] then (* xs better not be [] *)
        List.hd xs
      else
        let tl_ans = max_nonempty (List.tl xs) in
        if List.hd xs > tl_ans then
          List.hd xs
        else
          tl_ans
    in
    Some (max_nonempty xs) ;;
```

Demonstration 2: Options

```
# let rec good_max1 (xs : int list) =
  if xs = [] then
    None
  else
    let tl_ans = good_max1 (List.tl xs) in
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    else
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let good_max2 (xs : int list) =
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          tl_ans
    in
    Some (max_nonempty xs) ;;
val good_max1 : int list -> int option = <fun>
val good_max2 : int list -> int option = <fun>
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Demonstration 2: Options

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Demonstration 2: Options

```
val good_max1 : int list -> int option = <fun>
val good_max2 : int list -> int option = <fun>

# let does_not_typecheck = (good_max1 [3; 4; 2]) + 4 ;;
```

Demonstration 2: Options

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val good_max1 : int list -> int option = <fun>
val good_max2 : int list -> int option = <fun>

# let does_not_typecheck = (good_max1 [3; 4; 2]) + 4 ;;
Error: This expression (good_max1 [3; 4; 2]) has type int option
      but an expression was expected of type int
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Demonstration 2: Options

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Error: This expression (good_max1 [3; 4; 2]) has type int option
      but an expression was expected of type int

# let risky_but_works = (Option.get (good_max1 [3; 4; 2])) + 2
let risky_and_fails = (Option.get (good_max1 [])) + 2 ;;
```

Demonstration 2: Options

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val good_max1 : int list -> int option = <fun>
val good_max2 : int list -> int option = <fun>

# let does_not_typecheck = (good_max1 [3; 4; 2]) + 4 ;;
Error: This expression (good_max1 [3; 4; 2]) has type int option
      but an expression was expected of type int

# let risky_but_works = (Option.get (good_max1 [3; 4; 2])) + 2
let risky_and_fails = (Option.get (good_max1 [])) + 2 ;;
val risky_but_works : int = 6
Exception: Invalid_argument "option is None".
```

Demonstration 2: Options

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val good_max1 : int list -> int option = <fun>
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let risky_and_fails = (Option.get (good_max1 [])) + 2 ;;
val risky_but_works : int = 6
Exception: Invalid_argument "option is None".

# let propagate_option =
  let x = good_max1 [3; 4; 2] in
  if Option.is_some x then Some ((Option.get x) + 2) else None ;;
```

Demonstration 2: Options

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val good_max1 : int list -> int option = <fun>
val good_max2 : int list -> int option = <fun>

# let does_not_typecheck = (good_max1 [3; 4; 2]) + 4 ;;
Error: This expression (good_max1 [3; 4; 2]) has type int option
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# let propagate_option =
  let x = good_max1 [3; 4; 2] in
  if Option.is_some x then Some ((Option.get x) + 2) else None ;;
val propagate_option : int option = Some 6
```

Aliasing and Mutation

Can you spot the difference? Can a client?

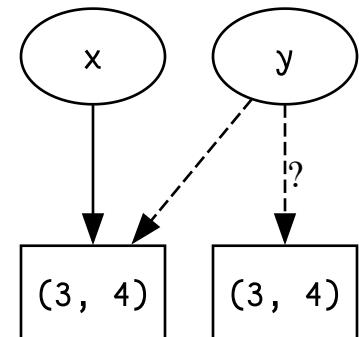
```
let sort_pair (pr : int * int) =  
  if fst pr < snd pr then  
    pr  
  else  
    (snd pr, fst pr)
```

```
let sort_pair (pr : int * int) =  
  if fst pr < snd pr then  
    (fst pr, snd pr)  
  else  
    (snd pr, fst pr)
```

- ▶ If pair already sorted, return (an alias to) the same pair, or a new pair with the same contents?
- ▶ In OCaml, we cannot tell which way `sort_pair` is implemented!
 - This **negative expressiveness** is a big deal
 - When data is immutable, aliasing does not matter

Why lack of mutation matters

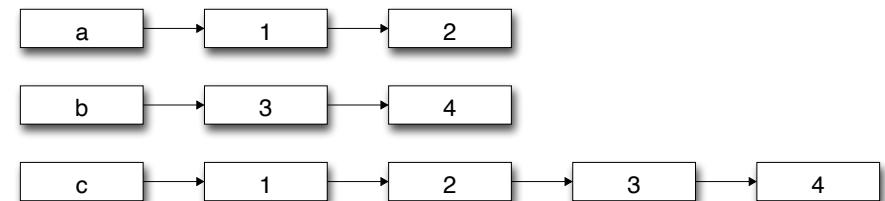
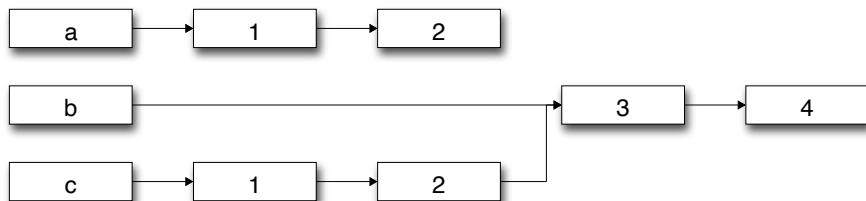
```
let x = (3, 4)
let y = sort_pair x
(* somehow mutate fst x to hold 5 *)
let z = fst y
```



- ▶ What is `z`?
 - Would depend on how we implemented `sort_pair`
- ▶ **With mutation:** Would have to decide carefully and document `sort_pair`
- ▶ **Without mutation:** we can implement *either way*:
 - No code can ever distinguish aliasing vs. identical copies
 - No need to think about aliasing: focus on other things
 - Can use aliasing, which saves space, without danger

Aliasing with append

```
let rec append ((xs : 'a list), (ys : 'a list)) =
  if xs = []
  then ys
  else List.hd xs :: append (List.tl xs, ys)
let a = [1; 2]
let b = [3; 4]
let c = append (a, b)
```



- ▶ Clients can never tell! (but it's actually the left one)
- ▶ Can *safely* reuse and share data. Immutability can be *more efficient!*

Immutability is great!

- ▶ In OCaml, we create aliases all the time without thinking about it because it is *impossible* to tell if there is aliasing
 - Example: `List.tl` is constant time; doesn't copy anything
 - No need to worry!
- ▶ In languages with mostly mutable data (e.g., C++, Python, Java), programmers are *obsessed* with aliasing and object identity
 - Remember `std::move` from C++? Or passing by `const T&`?
 - But... they have to be so that subsequent field assignments affect the right parts of the program
 - Often crucial to make copies in just the right places
 - ▶ Ever had to use `copy`, `copy.deepcopy` etc.?

Python security nightmare: bad code

Consider the following Python example:

```
class ProtectedResource:
    def __init__(self):
        self._allowedUsers = ...
    def allowedUsers(self):
        return self._allowedUsers
    def currentUser(self): ...
    def useTheResource(self):
        for u in self._allowedUsers:
            if self.currentUser() == u:
                # access allowed: use it ...
                return
        raise IllegalAccessError("...")
```

Python security nightmare: need to make copies

- ▶ The problem (representation exposure):

```
p.getAllowedUsers()[0] = p.currentUser()  
p.useTheResource()
```

- ▶ The fix:

```
def allowedUsers(self):  
    # return a copy of allowedUsers  
    return copy(self._allowedUsers)
```

However, copying immutable data is **unnecessary!**

= versus =

Equality in OCaml

- ▶ OCaml has two different equality operators: `=` and `==`
 - `e1 <> e2` is the same as `not (e1 = e2)`
 - `e1 != e2` is the same as `not (e1 == e2)`
- ▶ In this course, always use `=` (or `<>`)
 - ⚠ Not the character sequences (`==`) you are used to in Python or Java
 - It turns out not to matter for integers and booleans though
- ▶ The difference between them is interesting and relevant to aliasing...

Structural equality

- ▶ `=` is defined recursively: same data in same shape
 - `3 = 3`, `(1, 2) = (1, 2)`, `[3; 4; 5] = [3; 4; 5]`, `None = None`, `Some 7 = Some 7`, etc.
- ▶ Two subexpressions must have same type (it's hard to be equal otherwise...)
- ▶ **Note:**
 - If `x` and `y` are aliases, then `x = y` must evaluate to `true`
 - If `x` and `y` are not aliases, then `x = y` might be `true` or `false`
 - Aliasing here doesn't matter
- ▶ More like Java `equals`, but predefined by the language

Reference equality

Demonstration 3: Immutability

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```
# (* Does not matter if returns an alias *)
let sort_pair (pr : int * int) : int * int =
  if fst pr < snd pr then
    pr (* (fst pr, snd pr) *)
  else
    (snd pr, fst pr)

(* Naturally and efficiently introduces sharing and we don't care *)
let rec append ((xs : 'a list), (ys : 'a list)) =
  if xs = [] then
    ys
  else
    List.hd xs :: append (List.tl xs, ys)

append ([1; 2; 3], [3; 4; 5]) ;;
```

Demonstration 3: Immutability

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    List.hd xs :: append (List.tl xs, ys)

append ([1; 2; 3], [3; 4; 5]) ;;
val sort_pair : int * int -> int * int = <fun>
val append : 'a list * 'a list -> 'a list = <fun>
- : int list = [1; 2; 3; 3; 4; 5]
```

